

Women are underrepresented and receive differential outcomes at ASM journals: A six-year retrospective analysis

Running title: A six-year retrospective analysis of ASM journal outcomes

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1 Abstract

2 Despite 50% of biology Ph.D. graduates being women, the number of women that advance in
3 academia decreases at each level (e.g. from graduate to post-doctorate to tenure-track). Recently,
4 scientific societies and publishers have begun examining internal submissions data to evaluate
5 representation and evaluation of women in their peer review processes; however, representation
6 and attitudes differ by scientific field and no studies to-date have investigated academic publishing
7 in the field of microbiology. Using manuscripts submitted between January 2012 and August 2018
8 to the 15 journals published by the American Society for Microbiology (ASM), we describe the
9 representation of women at ASM journals and the outcomes of their manuscripts. Senior women
10 authors at ASM journals were underrepresented compared to global and society estimates of
11 microbiology researchers. Additionally, manuscripts submitted by corresponding authors that were
12 women received more negative outcomes than those submitted by men. These negative outcomes
13 were somewhat mediated by whether or not the corresponding author was based in the US, and
14 by the type of institution for US-based authors. Nonetheless, the pattern for women corresponding
15 authors to receive more negative outcomes on their submitted manuscripts held. We conclude with
16 suggestions to improve the representation of and decrease structural penalties against women.

17 Importance

18 Barriers in science and academia have prevented women from becoming researchers and experts
19 that are viewed as equivalent to their colleagues who are men. We evaluated the participation and
20 success of women researchers at ASM journals to better understand their success in the field of
21 microbiology. We found that women are underrepresented as expert scientists at ASM journals.
22 This is, in part, due to a combination of both low submissions from senior women authors and
23 more negative outcomes on submitted manuscripts for women compared to men.

²⁴ **Introduction**

²⁵ Evidence has accumulated over the decades that academic research has a representation
²⁶ problem. While at least 50% of biology Ph.D. graduates are women, the number of women
²⁷ in postdoctoral positions and tenure-track positions are less than 40 and 30%, respectively
²⁸ (1). There have been many proposed reasons for these disparities, which include biases in
²⁹ training and hiring, the impact of children on career trajectories, a lack of support for primary
³⁰ caregivers, a lack of recognition, lower perceived competency, and less productivity as measured
³¹ by research publications (1–8). These issues do not act independent of one another, instead they
³² accumulate for both individuals and the community, much as advantages do (9–11). Accordingly,
³³ addressing these issues necessitates multi-level approaches from all institutions and members of
³⁴ the scientific community.

³⁵ Scientific societies play an integral role in the formation and maintenance of scientific
³⁶ communities. They host conferences that provide forums for knowledge exchange, networking,
³⁷ and opportunities for increased visibility as a researcher. Scientific societies also frequently
³⁸ publish the most reputable journals in their field, facilitating the peer review process to vet new
³⁹ research submissions (12). Recently, scientific societies and publishers have begun examining
⁴⁰ internal submissions data to evaluate representation of and bias against women in their peer
⁴¹ review processes. The American Geological Union found that while the acceptance rate of
⁴² women-authored publications was greater than publications authored by men, women submitted
⁴³ fewer manuscripts than men and were used as reviewers only 20% of the time (13), a factor
⁴⁴ reported to be influenced by the gender of the editor (14). Several studies have concluded
⁴⁵ that there is no significant bias against papers authored by women (14–19). Recent reports of
⁴⁶ manuscript outcomes at publishers for ecology and evolution, physics, and chemistry journals
⁴⁷ have found that women-authored papers are less likely to have positive peer reviews and
⁴⁸ outcomes (20–23).

⁴⁹ The representation of women scientists and gender attitudes differ by scientific field and no studies
⁵⁰ to-date have investigated academic publishing in the field of microbiology. The American Society
⁵¹ for Microbiology (ASM) is one of the largest life science societies, with an average membership of

52 41,000 since 1990. In its mission statement, the ASM notes that it is “an inclusive organization,
53 engaging with and responding to the needs of its diverse constituencies” and pledges to “address
54 all members’ needs through development and assessment of programs and services.” One of
55 these services is the publication of microbiology research through a suite of research and review
56 journals. Between January 2012 and August 2018, ASM published 25,818 original research
57 papers across 15 different journals: *Antimicrobial Agents and Chemotherapy* (AAC), *Applied and*
58 *Environmental Microbiology* (AEM), *Clinical and Vaccine Immunology* (CVI), *Clinical Microbiology*
59 *Reviews* (CMR), *Eukaryotic Cell* (EC), *Infection and Immunity* (IAI), *Journal of Bacteriology*
60 (JB), *Journal of Clinical Microbiology* (JCM), *Journal of Virology* (JVI), *mBio*, *Microbiology and*
61 *Molecular Biology Reviews* (MMBR), *Genome Announcements* (GA, now *Microbiology Resource*
62 *Annoucements*), *Molecular and Cellular Biology* (MCB), *mSphere*, and *mSystems*. Two journals,
63 EC and CVI, were retired during the period under study and three journals, GA/MRA, MMBR, and
64 CMR, were excluded from the analysis due to their relatively low number of submissions. The goal
65 of our research study was to describe the population of ASM journals through the gender-based
66 representation of authors, reviewers, and editors and the associated peer review outcomes.

67 Results

68 Over 100,000 manuscript records were obtained for the period between January 2012 and August
69 2018 (Fig. 1). Each of these were evaluated by editors and/or reviewers, leading to multiple
70 possible outcomes. At ASM journals, manuscripts may be immediately rejected by editors instead
71 of being sent to peer review, often due to issues of scope or quality. These were defined as
72 editorial rejections and identified as manuscripts rejected without review. Alternately, editors
73 send a majority of manuscripts out for review by two or more experts in the field from a list
74 of potential reviewers suggested by the authors and/or editors. Reviewers give feedback to the
75 authors and editor, who decides whether the manuscript in question should be accepted, rejected,
76 or sent back for revision. Manuscripts with suggested revisions that are expected to take more
77 than 30 days to address are rejected, but generally encouraged to resubmit. If resubmitted,
78 the authors are asked to note the previous manuscript and the re-submission is assigned a

79 new manuscript number. Multiple related manuscripts were tracked together by generating a
80 unique grouped manuscript number based on the recorded related manuscript numbers. This
81 grouped manuscript number served dual purposes of tracking a single manuscript through multiple
82 rejections and avoiding duplicate counts of authors for a single manuscript. After eliminating
83 non-primary research manuscripts and linking records for resubmitted manuscripts, there were
84 79,189 unique manuscripts (Fig. 1).

85 We inferred genders of both the peer review participants (e.g., editor-in-chief, editors, reviewers)
86 and authors on the manuscripts evaluated during this time period using a social media-informed
87 classification algorithm with stringent criteria and validation process (Supp Text). We recognize
88 that biological sex (male/female) is not always equivalent to the gender that an individual presents
89 as (man/woman), which is also distinct from the gender(s) that an individual may self-identify as.
90 For the purposes of this manuscript, we choose to focus on the presenting gender based on
91 first names (and appearance for editors), as this information is what reviewers and editors also
92 have available. The sensitivity, specificity, and accuracy of our method were 0.97 (maximum of
93 1.0) when validated against a curated set of authors (Table S1). The accuracy was 0.99 when
94 applied to the list of editors, whose inferred genders were validated by hand using Google (Supp
95 Text). In addition to identifying journal participants as men or women, this method of gender
96 inference resulted in a category of individuals whose gender could not be reliably inferred (i.e.,
97 unknown). We included those individuals whose names did not allow a high degree of confidence
98 for gender inference in the “unknown” category of our analysis, which is shown in many of the plots
99 depicting representation of the population. These individuals were not included in the comparison
100 of manuscript outcomes.

101 **Men dominated as gatekeepers and senior authors.** We first evaluated the representation
102 of men and women who were gatekeepers during the study period. Each journal is led by an
103 editor-in-chief (EIC) who manages journal scope and quality standards through a board of editors
104 with field expertise that, in turn, handle the peer review process. There were 17 EICs, 17.6% of
105 which were women. Four years before retirement, the EIC of CVI transferred from a man to a
106 woman, while JVI has had a woman as EIC since 2012. The total number of editors at all ASM
107 journals combined over the duration of our study (senior editors and editors pooled) was 1015,

108 28.8% of which were women.

109 Over 40% of both men and women editors were from US-based R1 institutions, defined as
110 doctoral-granting universities with very high research activity. Non-US institutions and U.S.
111 medical schools or research institutions supplied the next largest proportions of editors (Fig.
112 2A)(24). Since 2012, there was a slow trend toward equivalent gender representation among
113 editors (Fig. 2B). The trends for each journal varied considerably, though most had slow trends
114 toward parity (Fig. S1). CVI and *mSphere* were the only ASM journals to have accomplished
115 equivalent representation of both genders, with CVI having a greater proportion of women editors
116 than men before it was retired. EC was the only journal with an increasing parity gap.

117 Altogether, 30439 reviewers submitted reviews and 24.6% were inferred to be women (using our
118 algorithm with 0.97-0.99 accuracy). The greatest proportion of reviewers (over 50% of all groups)
119 came from non-US institutions, while R1 institutions supplied the next largest cohort of reviewers
120 (Fig. 2C). The proportions of each gender were steady among reviewers at ASM journals (Fig.
121 2D) and representative of both the suggested reviewers at all journals combined, and the actual
122 reviewer proportions at most journals (Fig. S2).

123 **Editorial workloads were not proportionate.** Across all journals combined, men handled
124 a slightly greater proportion of manuscripts than women, relative to their respective editorial
125 representations (Fig. 3A). This trend was present at most individual journals with varying degrees
126 of difference between workload and representation (Fig. S1). For instance, at *mSphere*, both
127 workload and proportions were identical; however, CVI, *mBio*, and JVI each had periods at which
128 the workload for women editors was much higher than their representation, with corresponding
129 decreases in the workload of men. In the years preceding its retirement, the representation of
130 women at CVI increased, decreasing the gap in editorial workload. However, representation and
131 relative workloads for men and women editors at JVI held steady over time, while the proportional
132 workload for women at *mBio* has increased.

133 The median number of manuscripts reviewed by men, women, and unknown gendered individuals
134 was 2, for each group. Half of those in the men, women, or unknown gender groups reviewed
135 between one and 5, 4, or 3 manuscripts each, respectively (Fig. 3B). Conversely, 44.6% of men,

136 40.1% of women, and 48.6% of unknown gendered reviewers reviewed only one manuscript,
137 suggesting that women were more likely than other groups to review multiple manuscripts.
138 Reviewers of all genders accepted fewer requests to review from women editors (average of
139 47.8%) than from men (average of 53.3%; Fig. 3C). Reviewers were also less likely to respond to
140 women editors than men (no response rate averages of 25.1 and 19.9%, respectively). Editors
141 of both genders contacted reviewers from all three gender groups in similar proportions, with
142 women editors contacting 76.4% of suggested reviewers and men contacting 74.1% (median of
143 the percent contacted from each gender group).

144 **Women were underrepresented as authors.** Globally, microbiology researchers are 60% men
145 and 40% women (25). In September 2018, 38.4% of ASM members who reported their gender
146 were women. We wanted to determine if these proportions were similar for authors at ASM
147 journals and to understand the distribution of each gender among submitted manuscripts and
148 published papers. We began by describing author institutions by gender. Over 60% of submitting
149 senior authors (last or corresponding) were from non-US institutions, followed by about 20% from
150 R1 institutions. The proportion of manuscripts submitted from US institutions by women was 31%
151 versus 36% from women at non-US institutions. Women were more highly represented at low
152 research universities and federal research institutions than at any other US-based institution (Fig.
153 4A). The proportions of all men and women authors at ASM decreased over time at equivalent
154 rates, as the proportion of unknown gendered authors increased; the ratio of men to women
155 authors was 4 to 3 (i.e., 57% men; Fig. 4B).

156 In the field of microbiology, order of authorship on manuscripts signals the type and magnitude
157 of contributions to the finished product. First and last authorships are the most prestigious. First
158 authors are generally trainees (e.g., students or post-docs) or early career researchers responsible
159 for performing the bulk of the project, while last authors are generally lead investigators that
160 supply conceptual guidance and resources to complete the project. Middle authors are generally
161 responsible for technical analyses and methods. Any author can also be a corresponding author,
162 which we identified as the individual responsible for communicating with publishing staff during
163 peer review (as opposed to an author to whom readers direct questions).

164 The proportion of manuscripts submitted with men and women as first authors remained constant
165 at 29.1 and 30.7%, respectively (Fig. 4C, dashed). The proportions of their published papers were
166 nearly identical at 33.1% for men and 33.8% for women. The proportion of submitted manuscripts
167 with men corresponding authors remained steady at an average of 41.6% and the proportion
168 with women corresponding authors was at 23.4% (Fig. 4D, dashed); the proportion for unknown
169 gender authors declined. Both men and women corresponding authors had a greater proportion
170 of papers published than manuscripts submitted. Accordingly, manuscripts with corresponding
171 authors of unknown gender were rejected at a higher rate than their submission. The difference
172 between submitted manuscripts and published papers was 8.2% when men were corresponding
173 authors, but only 0.9% when women were corresponding authors. This trend was similar for middle
174 and last authors (Fig. S3).

175 Of 38594 multi-author manuscripts submitted by men corresponding authors, 23.5% had zero
176 women authors. In contrast, 7253 (36.3%) of manuscripts submitted by women corresponding
177 authors had a majority of the authors as women, exceeding those submitted by men corresponding
178 authors in both the number (3247) and percent (8.4) of submissions. Additionally, the proportion
179 of women authors decreased as the number of authors increased (Fig. S4). Men submitted 225
180 single-authored manuscripts while women submitted 69 single-authored manuscripts.

181 We hypothesized that we would be able to predict the inferred gender of the corresponding author
182 using a logistic regression model trained on the following variables: whether the corresponding
183 author's institution was in the U.S., the total number of authors, the proportion of authors that were
184 women, whether the paper was published, the gender of senior editors and editors, the number
185 of revisions, and whether the manuscript was editorially rejected at any point. We measured the
186 model's performance using the area under the receiver operating characteristic curve (AUROC).
187 The AUROC value is a predictive performance metric that ranges from 0.0, where the model's
188 predictions are completely wrong, to 1.0, where the model perfectly distinguishes between
189 outcomes. A value of 0.5 indicates the model did not perform better than random. The median
190 AUROC value of our model to predict the corresponding author's inferred gender was 0.7. The
191 variable with the largest weight (i.e., the most predictive value), in our model was the proportion of
192 women authors. These results indicate that manuscript submission data was capable of predicting

193 the inferred gender of the corresponding author, but that prediction was primarily driven by the
194 percentage of authors that were inferred to be women.

195 As described above, first authors were slightly more likely to be women (30.7%W vs 29.1%M), but
196 corresponding authors were significantly more likely to be men (23.44%W vs 41.59%M). A concern
197 is that if authors are not retained to transition from junior to senior status, they are also left out of
198 the gatekeeping roles. Since authorship conventions indicate that last and corresponding authors
199 are typically senior authors, we combined both first and middle authors into the “junior” author role
200 and tracked individuals through the possible roles at ASM journals. There were 75451 women
201 who participated as junior authors (first/middle) at ASM journals. Of those junior authors who
202 were women, 8.2% also participated as senior authors (last/corresponding), 8.9% were potential
203 reviewers and 5.4% participated as reviewers. 0.2% of women junior authors were also editors at
204 ASM journals. For men, there were a total of 83727 junior authors, where 13.6% also participated
205 as senior authors, 16.7% were potential reviewers, and 11.1% actually reviewed. 0.7% of men
206 junior authors were also editors at ASM journals. Overall, women were half as likely to move to
207 senior author or reviewer roles, and 30% as likely to be an editor than men.

208 **Manuscripts submitted by women have more negative outcomes than those submitted by**
209 **men.** To better understand the differences between published and submitted proportions for men
210 and women authors (Fig. 4CD, Fig. S3), we compared the rejection rates of men and women
211 at each author stage (first, middle, corresponding, and last). For the following analyses, only
212 manuscripts authored by a man or woman were included. In addition, these analyses were
213 conducted on all available manuscripts, not a statistical sampling. As a result, statistical tests
214 are only present, and were only required, for correlative analyses.

215 Middle authors were rejected at equivalent rates for men and women (a 0.23 percentage point
216 difference across all journals). However, manuscripts with senior women authors were rejected
217 more frequently than those authored by men with -6.7 and -6.0 percentage point differences
218 for corresponding and last authors, respectively (Fig. 5A, vertical line). The overall trend of
219 overperformance by men was most pronounced at MCB, JB, IAI and AAC. The greatest differences
220 were observed when comparing the outcome of corresponding authors by gender, so we used

221 this sub-population to further examine the difference in manuscript acceptance and rejection rates
222 between men and women.

223 We next compared the rejection rates for men and women corresponding authors after two review
224 points, initial review by the editor and the first round of peer review. Manuscripts authored by
225 women were editorially rejected by as much as 12 percentage points more often than those
226 authored by men (Fig. 5B). The difference at all ASM journals combined was -3.8 percentage
227 points (vertical line). *MCB* and *mBio* had the most extreme percentage point differences.
228 Manuscripts authored by men and women were equally likely to be accepted after the first round
229 of review (Fig. 5C, right panel). However, women-authored papers were rejected (left panel) more
230 often while men-authored papers were more often given revision (center panel) decisions. The
231 differences for rejection and revision decisions after review were -5.6 and 5.6 percentage points,
232 respectively (Fig. 5C, vertical lines). *JB*, *AAC*, and *MCB* had the most extreme differences for
233 rejection and revision decisions. Percentage point differences were not correlated with journal
234 prestige as measured by 2018 impact factors ($R^2 = -0.022$, $P = 0.787$).

235 In addition to manuscript decisions, other disparate outcomes may occur during the peer review
236 process (26). To determine whether accepted women-authored manuscripts spent more time
237 between being submitted and being ready for publication, we compared the number of revisions,
238 days spent in the ASM peer review system, and the number of days from submission to being
239 ready for publication to those authored by men. Manuscripts authored by women took slightly
240 longer (from submission to ready for publication) than those by men at some journals (*mSphere*,
241 *mBio*, *mSystems*, *CVI*, *JB*, *JCM*, *AEM*) despite spending similar amounts of time in the ASM
242 journal peer review system (Fig. S5), and having the same median number of revisions prior to
243 acceptance (Median = 2, IQR = 0).

244 To understand how a gatekeeper's (editor/reviewer) gender interacted with decision types (e.g.,
245 Fig. 5C), we grouped editor decisions and reviewer suggestions according to the gatekeeper's
246 gender. Both men and women editors rejected proportionally more women-authored papers,
247 however the difference in decisions were slightly larger for men-edited manuscripts (Fig. 6A).
248 Reviewers were more likely to suggest rejection for women-authored manuscripts as compared

249 to men, although a minimal difference in revise recommendations was observed (Fig. 6B). Both
250 men and women reviewers recommended rejection more often for women-authored manuscripts
251 although men recommended acceptance and revision more often for men-authored manuscripts
252 than women did (Fig. 6C).

253 To evaluate if gender played a role in manuscript editorial decisions, we trained a logistic
254 regression model to predict whether a manuscript was reviewed (i.e. editorially rejected or not).
255 We used the inferred genders of the senior editor, editor, and corresponding author, as well as
256 the proportion of authors that were women as variables to train the model. The median AUROC
257 value was 0.61, which indicated that editorial decisions were not random, however, the AUROC
258 value was relatively low indicating that there are factors other than those included in our model
259 that influence editorial decisions.

260 **Multiple factors contribute to the overperformance of men.** The association between gender
261 and manuscript decision could be attributed to implicit gender bias by journal gatekeepers,
262 however, there are other types of bias that may contribute to, or obscure, gender bias; for
263 instance, a recent evaluation of peer-review outcomes at *eLife* found evidence of preference for
264 research submitted by authors from a gatekeeper's own country or region (20). Other studies
265 have documented prestige bias, where men are over-represented in more prestigious (i.e.,
266 more respected and selective) programs (27). It is therefore possible, that what seems to be
267 gender bias could be geographic or prestige bias interacting with the increased proportion of
268 women submitting from outside the US or at lower prestige institutions (e.g., the highest rate of
269 submissions from women were at low research institutions, 37%; Fig. 4A).

270 To quantify how these factors affected manuscript decisions, we next looked at the outcome of
271 manuscripts submitted only by corresponding authors at US institutions, because these institutions
272 represented the majority of manuscripts and could be classified by the Carnegie Foundation (24).
273 We used the same strategy as described above. When only considering US-based authors, the
274 difference for editorial rejections increased from -3.8 to -1.4 percentage points (Fig. 7A). The
275 difference in decisions after review for US-based authors mirrored those seen for all corresponding
276 authors at the journal level (Fig. 7B). The over-representation of women in rejection decisions

277 increased from -5.6 to -4.4 percentage points, and the over-representation of men in revise only
278 decisions decreased from 5.6 to 4.2 (Fig. 7B). The difference in the rate of accept decisions
279 changed from -1.4 to 0.2 percentage points after restricting the analysis to US-based authors.
280 These results suggest that the country of origin (i.e., US versus not) accounted for some of the
281 differences in outcomes by gender, particularly for editorial rejections.

282 To address institution-based prestige bias, we split the US-based corresponding authors
283 according to the type of institution they were affiliated with (based on Carnegie classification)
284 and re-evaluated the differences for men and women (24). Editorial rejections occurred most
285 often for women from medical schools or institutes, followed by those from R2 institutions: 32%
286 and 28% of manuscripts from each institution were submitted by women, respectively (Fig. 7C,
287 Fig. S6A). This difference in the editorial rejections of corresponding authors from medical
288 schools or institutes was spread across most ASM journals, while the editorial rejection of papers
289 submitted from women at R2 institutions was driven primarily by submissions to JCM. Evaluating
290 the difference in acceptance rates by institution and gender mirrored that of editorial rejections
291 for some journals, where submissions from men outperformed submissions from women. For
292 instance, manuscripts submitted by men from medical schools or institutes were accepted up to
293 20 percentage points more often than those submitted by women (Fig. S6B).

294 To evaluate if these factors affect manuscript decisions, we trained a logistic regression model
295 to predict whether a manuscript was editorially rejected, using the variables: origin (US vs non),
296 institution (US institution type), number of authors, proportion of authors that were women, and
297 the inferred genders of both gatekeepers and corresponding authors. The model had a median
298 AUROC value of 0.67, which indicated a non-random interaction between these factors and
299 editorial decisions. Manuscripts from authors at U.S. “other” institutions, men EICs, men that
300 were corresponding authors from “other” U.S. institutions, and women from medical schools and
301 institutes were more associated with editorial rejections (Fig. S6C). Conversely, manuscripts from
302 R1 institutions, authors from the U.S., EICs that were women, and the number of authors were
303 more likely to be associated with review (Fig. S6C). These results confirm that the country of
304 origin and class of institutions impact decisions in a non-random manner, though not as much as
305 gender.

306 A final factor we considered was whether the type of research pursued by men as opposed
307 to women may impact manuscript outcomes. Black women philosophers and physicists have
308 described the devaluation of non-traditional sub-disciplines in their fields (28–30). This originally
309 described the bias against Black women—the intersection of two historically marginalized
310 identities. However, the concept that researchers in an established core field might be skeptical
311 of less established, or non-traditional, sub-field research likely applies elsewhere. The disparate
312 outcomes of sub-fields in a gendered context has recently been observed in the biomedical
313 sciences, where NIH proposals focusing on womens' reproductive health were the least likely to
314 be funded (31). To explore the phenomenon in ASM journals, we looked at the editorial rejection
315 rates of manuscripts (regardless of origin or institution) for each research category at the five
316 largest ASM journals: AAC, AEM, IAI, JVI, and JCM. Together, these journals account for 47% of
317 the manuscripts analyzed in this study across 55 categories.

318 The number of submissions in each category ranged from 1 ("FDA Approval" at AAC) to 2952
319 ("Bacteriology" at JCM) while the acceptance rates varied from 29.4% ("Chemistry:Biosynthesis"
320 at AAC) to 71.3% ("Structure and Assembly" at JVI) (Table 1). We argued that the number of
321 submissions to each category could help indicate core versus periphery subfields, (i.e., core
322 subfields would have more submissions than periphery subfields) and based on the literature
323 to-date, we expected that periphery subfields might have a higher participation of women. Women
324 submitted on average 35.3% of the manuscripts to each category, ranging from 20% to 86%
325 (Table 1). There was not a correlation between the proportion of women authors and the number
326 of submissions ($R^2 = -0.0177$, $P = 0.779$) to each category. Nor was there a correlation between
327 the proportion of women authors and the category acceptance rate ($R^2 = 0.041$, $P = 0.078$). These
328 data suggest that there was not a relationship between the participation of women and either the
329 number of submissions or the acceptance rate of categories in our dataset.

330 We next looked at the differences of performance for men and women in each category at two
331 decision points: editorial rejection and rejection after the first review. Each journal focuses on
332 a different facet of microbiology or immunology, making the results difficult to compare directly.
333 However, the pattern of increased rejection rates for women over men was maintained across
334 most categories with some categories displaying major differences in gendered performance (Fig.

335 S7). For instance, the “Biologic Response Modifier” (e.g., immunotherapy) sub-category at AAC,
336 had extreme differences for both editorial rejections and rejections after review, about -30 and -40
337 percentage points, respectively. While that category had a relatively low number of submissions
338 ($N = 44$), 43% were from women (Fig. S7A). One category, “Mycology”, was represented at two
339 journals, AEM and JCM. At both journals, men overperformed relative to women in this category.
340 At AEM, there were 73 “Mycology” submissions, 44% from women authors that had a difference
341 of almost -20 for editorial rejection outcomes and -10 for rejections after review (Fig. S7B). JCM
342 had 587 “Mycology” submissions with a submission rate of 39% from women authors (Fig. S7D).
343 Differences between outcomes were almost -10 for editorial rejections and -12 for rejections after
344 review at JCM.

345 Because of these extreme percentage point differences in categories with high women
346 authorship, we next asked if the number of women participating in a particular category was
347 related to manuscript outcomes. There was no correlation between the difference in editorial
348 rejection by category and the percent of women that were either authors ($R^2 = -0.003$, $P = 0.363$)
349 or editors ($R^2 = -0.018$, $P = 0.765$). The percent of women authors and percent of women editors
350 in journal categories did not correlate either ($R^2 = -0.007$, $P = 0.682$), which is likely related
351 to the underrepresentation of women editors in categories dominated by women authors (e.g.,
352 “Epidemiology”). These data suggest the possibility of persistent negative outcomes against
353 women in particular fields (e.g., “Mycology”), though it does not seem to relate to either the
354 number of submissions or participation of women in those subfields.

355 Discussion

356 We described the representation of men and women participating in the submission and peer
357 review process at ASM journals between January 2012 and August 2018 and compared editorial
358 outcomes according to the authors’ gender. Women were consistently under-represented (30%
359 or less in all levels of the peer review process) excluding first authors, where women represented
360 about 50% of authors where we could infer a gender (Figs. 2 and 4). Women and men editors
361 had proportionate workloads across all ASM journals combined, but those workloads were

disproportionate at the journal level and the overburdened gender varied according to the journal (Figs. 3 and S1). Additionally, manuscripts submitted by corresponding authors that were women received more negative outcomes (e.g., editorial rejections) than those submitted by men (Figs. 5 and 6). These negative outcomes were somewhat mediated by whether the corresponding author was based in the US, the type of institution for US-based authors, and the research category (Figs. 7 and S7). However, the trend for women corresponding authors to receive more negative outcomes held across all analyses, indicating a pattern of gender-influenced editorial decisions regardless of journal prestige (as determined by impact factor). Together, these data indicate a persistent penalty for senior women microbiologists who participate in ASM journals.

The proportion of women as first authors is higher than data obtained globally and from self-reported ASM membership data, which was higher than the proportion of senior women authors at ASM journals. Only half as many women who were junior authors at ASM journals were also senior authors when compared to men. The representation of women decreased as the prestige (e.g., reviewer, editor) increased. These trends are consistent with representation of senior women in academic biological sciences and the observation that women are more likely to leave academia during the transition from postdoc (junior) to investigator (senior) (32). These data indicate that microbiology (as represented by ASM journals) is not exempt from the issues that limit the retention of women through academic ranks.

How to define representation and determine what the leadership should look like are recurring questions in STEM. Ideally, the representation for men and women corresponding authors, reviewers, and editors would reflect the number of Ph.D.s awarded (about 50% each, when considered on a binary spectrum). We argue that the goal should depend on the workload and visibility of the position. Since high visibility positions (e.g., editor, EIC) are filled by a smaller number of individuals that are responsible for recruiting more individuals into leadership, filling these positions should be done aspirationally (i.e., 50% should be women if the goal were an aspirational leadership). This allows greater visibility for women as experts, expansion of the potential reviewer network, and recruitment into those positions (33–35). Conversely, lower visibility positions (e.g., reviewers) require effort from a greater number of individuals and should thus be representational of the field to avoid overburdening the minority population (i.e., since

391 23.5% of corresponding authors to ASM journals are women, then 20-25% of reviewers should
392 be women). Balancing the workload is particularly important given the literature indicating that
393 women faculty have higher institutional service loads than their counterparts who are men (36).

394 In contrast to institutional service, the editing workload at ASM journals seems to be predominantly
395 borne by men. A possible explanation for the difference in gatekeeper representation and editor
396 workloads is that women are more likely to study non-traditional sub-disciplines (28–30). Their
397 separation from the traditional center of a field decreases their perceived competency, which
398 could result in research typecasting and lower manuscript handling responsibilities. However,
399 our data could not confirm this phenomenon at ASM journals. Another possibility is the increased
400 proportion of potential reviewers that either did not accept, or did not respond to, requests to review
401 from women editors. This increases the proportion of reviewers that women editors must contact,
402 adding additional time and work to their editorial burdens, thus making them seem less efficient
403 (i.e., less capable) than men editors. Three journals, *mBio*, CVI, and JVI were exceptions with
404 regards to editorial workloads. At these journals, the editorial workloads of women exceeds their
405 representation. A possible explanation for CVI and JVI is that both of these journals have been
406 led by women EICs. The tendency for reviewers to reject requests to review from editors that are
407 women, may also extend to editors that are men; this could result in men editors being more likely
408 to reject requests to handle manuscripts from EICs that are women. Our data differ from those
409 of Fox, Burns, and Meyer who found that the gender of the editor influenced the gender of the
410 contacted reviewers (14), but supports findings that women editors contact more reviewers than
411 men (37).

412 Our data also revealed some disturbing patterns in gendered authorship that have implications for
413 the retention of women microbiologists. Previous research suggests that women who collaborate
414 with other women receive less credit for these publications than when they collaborate with men
415 (38), and that women are more likely to yield corresponding authorship to colleagues that are
416 men (21). In our linear regression models, the number of authors on a manuscript was the
417 largest contributor to avoiding editorial rejections, suggesting that highly collaborative research
418 is preferred by editors (39). This observation was supported by the positive correlation between
419 citations and author count (Fig. S6). It was concerning that when the number of authors exceeded

420 30 on a manuscript (N=59), the proportion of individuals inferred to be women was always below
421 51%, despite equivalent numbers of trainees in the biological sciences (Fig. S4). Additionally,
422 while women corresponding authors submitted fewer manuscripts, more of them (both numerically
423 and proportionally), had a majority of women co-authors, compared to those submitted by men
424 corresponding authors, which supports previous findings that women are more likely to collaborate
425 with other women (23, 40–42). This gender-based segregation of collaborations at ASM journals
426 likely has had consequences in pay and promotion for women and could be a factor in the
427 decreased retention of senior women. It would likely be aggravated by the under representation of
428 women as corresponding authors, which may also have negative consequences for their careers
429 and microbiology, since senior authorships impact status in the field. Buckley et al., suggested
430 that being selected as a reviewer increases the visibility of a researcher, which has a direct
431 and significant impact on salary (18). Therefore, the under representation of women as senior
432 authors and reviewers likely hampers their career progression and even their desire to progress,
433 since status in the peer review process also signals adoption of the researcher into the scientific
434 community (18, 43). The retention of women is important to the progress of microbiology since less
435 diversity in science limits the diversity of perspectives and approaches, thus stunting the search
436 for knowledge.

437 Whether academic research journals support women has been the topic of many papers, which
438 note the lack of women authors publishing relative to men in high impact journals (44–50).
439 However, submissions data are required to determine if the lack of representation is due to
440 low submissions or bias during peer review. Using such data, we have shown that there is a
441 disparity in submissions from senior women in microbiology compared to men, but this does
442 not fully account for the difference in publications by men and women corresponding authors
443 at ASM journals (Fig. 4). When examining manuscript outcomes, we found a consistent trend
444 favoring positive outcomes for manuscripts submitted by corresponding authors that were men
445 (Fig. 5). Manuscripts submitted by corresponding authors who were women were editorially
446 rejected at greater rates and gatekeepers of both genders favored rejection for manuscripts
447 authored by women. Neither geographic (i.e., US or not), institution type, nor sub-discipline could
448 fully account for the observed gender-based outcomes (Fig. 6, 7, S6, and S7). Instead, the

449 presence of outcomes that favor men over women from U.S. R1 institutions and medical schools
450 and institutes suggests that the penalty for women persists, even in environments with generally
451 excellent resources and infrastructure for research. Science and the peer review system select for
452 decisions that are often based on the assumption that scientists are objective, impartial experts.
453 As a result, scientists who believe themselves immune to bias are making decisions that inherently
454 rely on cognitive biases to speed the process (51). The types of implicit biases and penalties at
455 play, and their potential roles in peer review, are well documented (52, 53). For instance, previous
456 studies show that a greater burden of proof is required for women to achieve similar competency
457 as men and that women are less likely to self-promote (and are penalized if they do) (6, 54, 55).
458 These and similar implicit biases might train women to be more conservative in their manuscript
459 submissions, making our observed difference in outcomes even more concerning.

460 Even if a gatekeeper does not know the corresponding author or their gender, there remain ample
461 avenues for implicit bias during peer review. The stricter standard of competency has led women
462 to adopt different writing styles from men, resulting in manuscripts with increased explanations,
463 detail, and readability than those authored by men (26, 56). These differences in writing can act
464 as subtle cues to the author's gender. Additionally, significant time, funds, and staff are required to
465 be competitive in highly active fields, but women are often at a disadvantage for these resources
466 due to the cumulative affects of implicit bias and their structural penalties (9–11). As a result,
467 corresponding authors that are women may be spending their resources in research fields where
468 competition impacts are mitigated and/or on topics that are historically understudied. This has the
469 disadvantage of further decreasing perceived competency of these women scientists compared
470 to those studying core research field(s) (28–30). Alternatively, non-traditional research may be
471 seen as less impactful, leading to poorer peer review outcomes (31). These possibilities are
472 reflected in our data, since while the number of revisions before publication is identical for both men
473 and women, manuscripts authored by women have increased rejection rates and time spent on
474 revision. This suggests that manuscripts submitted by women receive more involved critiques (i.e.,
475 work) from reviewers and/or their competency to complete revisions within the prescribed 30 days
476 is doubted, compared to men. Women may also feel that they need to do more to meet reviewer
477 expectations, thus leading to longer periods between a decision and resubmission. Finally, our

478 data show a penalty for women researching mycology (Fig. S7). Despite being among the most
479 deadly infectious diseases in 2016 (along with tuberculosis and diarrheal diseases), mycology
480 is an underserved, and underfunded, field in microbiology that has historically been considered
481 unimportant (57–60). Microbiology would benefit from a more nuanced evaluation of sub-fields to
482 better understand how they interact with gender and peer review outcomes.

483 A limitation to our methodology is the use of an algorithm to infer gender from first names. While
484 we report a high accuracy (0.97-0.99) where gender was inferred, this method left us with a
485 category of unknown gendered individuals. Additionally, the gender of an individual may be
486 interpreted differently according to the reader (e.g., Kim is predominately a woman's name in
487 the U.S., but likely a man's name in other cultures). The increase in unknown gendered authors
488 corresponds to an increase in submissions to ASM journals from Asian countries, particularly
489 China. Anecdotally, most editorial rejections are poor quality papers from Asia. Our method had
490 low performance on non-gendered languages from this region (Supp Text, Fig. S8) resulting
491 in exclusion of many Asia-submitted manuscripts from the decision outcome analyses, which
492 increased our confidence that the trends observed were gender-based. For corresponding
493 authors, manuscript submissions are the end product of several other prior decisions such
494 as a mentor's implicit bias(es), postdoctoral fellowships, faculty applications, start-up funding
495 negotiations, and grant proposals. These prior factors, which cannot be accounted for in our
496 analysis, along with a small effect size observed in some analyses, limit quantifying the degree to
497 which gatekeeper decisions account for the disparate gender-influenced outcomes. However, the
498 consistency of decisions to benefit men corresponding authors over women across all journals
499 included in this study, in addition to accumulated literature to-date, confirms that this descriptive
500 study is highly relevant for the ASM as a society. Our findings offer opportunities to address
501 gendered representation in microbiology and systemic barriers in peer review at our journals.

502 All parties have an opportunity and obligation to advance underrepresented groups in science
503 (61). We suggest that journals develop a visible mission, vision, or other statement that commits
504 to equity and inclusion and includes a non-discrimination clause regarding decisions made by
505 editors and editors-in-chief. This non-discrimination clause would be backed by a specific protocol
506 for the reporting of, and response to, instances of discrimination and harassment. Second,

507 society journals should begin collecting additional data from authors and gatekeepers such as
508 race, ethnicity, gender identity, and disabilities. This data should not be readily available to journal
509 gatekeepers, but instead kept in a dis-aggregated manner that allows for public presentation and
510 tracking the success of inclusive measures to maintain accountability. Third, society journals
511 can implement mechanisms to explicitly provide support for women and other minority groups,
512 reward inclusive behavior by gatekeepers, nominate more women to leadership positions, and
513 recruit manuscripts from sub-fields that are more likely to attract women and other minorities
514 (31). We can all help advance women (and other marginalized groups) within the peer review
515 system by changing how we select experts in our field. For instance, authors can suggest
516 more women as reviewers using “Diversify” resources (62), while reviewers can agree to review
517 for women editors more often. Editors can rely more on manuscript reference lists and data
518 base searches than personal knowledge to recruit reviewers (63), and journals can improve the
519 interactivity and functionality of the reviewer selection software. Given the propensity for journals
520 to recruit editors and EiCs from within their already skewed reviewer pools, opening searches
521 to include more scientists in their reviewer pool and/or editors from outside the journal while
522 enacting more transparent processes could be a major component of improving representation.
523 Growing evidence suggests that representation problems in STEM are due to retention rather
524 than recruitment. We need to align journal practices to foster the retention of women and other
525 minority groups.

526 Most approaches to disparate outcomes focus on choices made by individuals, such as
527 double-blinded reviews and implicit bias training. These cannot fully remedy the effects of implicit
528 bias and may even worsen outcomes (64, 65). Since disparate outcomes (by gender, geographic,
529 prestige, or otherwise) are partially the result of accumulated disadvantages and actions resulting
530 from implicit biases, a structural, system-wide approach is required. Broadly, peer review is a
531 nebulous process with expectations and outcomes that vary considerably, even within a single
532 journal. Academic writing courses suffer similar issues and have sought to remedy them with
533 rubrics. When implemented correctly, rubrics can reduce implicit bias during evaluation and
534 enhance the evaluation process for both the evaluator and the evaluatee (66–69). We argue
535 that rubrics could be implemented in the peer review process to focus reviewer comments,

536 clarify editorial decisions, and improve the author experience. Such rubrics should increase the
537 emphasis on solid research, as opposed to novel or “impactful” research, the latter of which
538 is a highly subjective measure (70, 71). This might also change the overall negative attitude
539 toward replicative research and negative results, thus bolstering the field through reproduciblity.
540 We also argue that reconsidering journal scope and the membership of their honorary editorial
541 boards might help address structural penalties resulting from implicit bias against women (and
542 other minorities) in peer review. Expanding journal scope and adding more handling editors
543 would improve the breadth of research published, thus providing a home for more non-traditional
544 and underserved research fields (the case at *mSphere* with an increased pool of editors).
545 Implementing these steps to decrease implicit bias and structural penalties—review rubrics,
546 increased focus on solid research, expansion of journal scopes and editorial boards—will also
547 standardize competency principles for researchers at ASM journals and improve microbiology as
548 a whole.

549 Although the level of bias at many ASM journals is small, it is present. Peer review at ASM journals
550 is not immune to the accumulated disadvantages against women in microbiology. However, the
551 adaptation of women and other marginalized groups to implicit bias (e.g., area of research and
552 communication styles), make it impossible to address at the individual level. Instead, we must
553 commit to changing the fundamental structure and goals of peer review to minimize the impact
554 of such bias. We encourage ASM journals, as well as other societies, to institute more fair and
555 transparent procedures and approaches of peer review. The self-correcting nature of science is
556 a badge that scientists wear proudly, but no single report or action can correct the inertia of a
557 centuries-old institution. Instead, it requires the long-standing and steady actions of many. Our
558 findings reflect many similar reports, and suggest concrete actions to correct the inertia of peer
559 review at all levels. The next step is commitment and implementation.

560 **Data and Methods**

561 **Data.** All manuscripts handled by ASM journals (e.g., *mBio*, *Journal of Virology*) that received
562 an editorial decision between January 1, 2012 and August 31, 2018 were supplied as XML files

563 by ASM's publishing platform, eJP. Data were extracted from the XML documents provided,
564 manipulated, and visualized using R statistical software (version 3.4.4) and relevant packages.
565 Variables of interest included: the manuscript number assigned to each submission, manuscript
566 type (e.g., full length research, erratum, editorial), category (e.g., microbial ecology), related
567 (i.e., previously submitted) manuscripts, number of versions submitted, dates (e.g., submission,
568 decision), author data (e.g., first, last, and corresponding authorship, total number of authors),
569 reviewer data (e.g., recommendation, editor decision), and personal data (names, institutions,
570 country) of the editors, authors, and reviewers. For this analysis, only original, research-based
571 manuscripts were included, e.g., long- and short-form research articles, New-Data Letters,
572 Observations, Opinion/Hypothesis articles, and Fast-Track Communications. To help protect the
573 confidentiality of peer review, names were removed from all records, and identifying data (e.g.,
574 manuscript numbers, days of date), were replaced with randomized values.

575 **Institution classification.** To identify the communities represented, we used Carnegie
576 classifications to group US-based academic institutions into R1 research (very high research
577 activity), R2 research (high research activity), four-year medical schools, or low research (i.e.,
578 not R1, R2, or medical school) (24). Research institutes (e.g., Mayo Clinic, Cold Springs
579 Harbor), industry (e.g., pharmaceutical), and federal (e.g., NIH, CDC) research groups were
580 identified using the internet. Four-year medical schools and research institutions were grouped
581 together since these typically share research prestige and have considerable resources to
582 support research. Industry and federal research were grouped separately. The "Other" category
583 represents uncategorized US institutions. Non-US institutions were a category on their own.

584 **Gender inference.** The genderize.io API was used to infer an individual's gender based on their
585 given name and country where possible. The genderize.io platform uses data gathered from social
586 media to infer gender based on given names with the option to include an associated language or
587 country to enhance the probability of successful inference. Since all manuscripts were submitted
588 in English, which precludes language association for names with special characters, names were
589 standardized to ASCII coding (e.g., "José" to "Jose"). We next matched each individuals' country
590 against the list of 242 country names accepted by genderize.io. Using the GenderGuesser
591 package for R, all unique given names associated with an accepted country were submitted to

592 the genderize.io API and any names returned without an inferred value of either male or female
593 were resubmitted without an associated country. The data returned include the name, inferred
594 gender (as “male”, “female”, “unknown”), the probability of correct gender inference (ranging
595 from 0.5 to 1.0), and the number of instances the name and gender were associated together
596 (1 or greater). The inferred genders of all given names (with and without an associated country)
597 whose probabilities were greater or equal to a modified probability (pmod) of 0.85 were used to
598 infer genders (man/woman) of the individuals in our data set (Supp Text). The presenting gender
599 (man/woman) of editors and senior editors in our data set was inferred by hand using Google
600 where possible, and the algorithm was validated using both editor and published data (Supp
601 Text)(5).

602 **Manuscript outcome analysis.** To better visualize and understand the differences in outcomes
603 according to author gender, we calculated the difference in percentage points between the
604 proportion of that outcome for men and women. To correct for the disparity in the participation
605 of women relative to men at ASM journals, all percentage point comparisons were made relative
606 to the gender and population in question. For instance, the percentage point difference in
607 acceptance rates was the acceptance rate for men minus the acceptance rate for women. A
608 positive value indicated that men received the outcome more often than women, whereas a
609 negative value indicated that women outperformed men in the given metric.

610 **Logistic regression models.** For the L2-regularized logistic regression models, we established
611 modeling pipelines for a binary prediction task (72). First, we randomly split the data into training
612 and test sets so that the training set consisted of 80% of the full data set while the test set
613 was composed of the remaining 20% of the data. To maintain the distribution of the two model
614 outcomes found with the full data set, we performed stratified splits. The training data was
615 used to build the models and the test set was used for evaluating predictive performance. To
616 build the models, we performed an internal five-fold cross-validation where we tuned the cost
617 hyper-parameter, which determines the regularization strength where smaller values specify
618 stronger regularization. This internal cross-validation was repeated 100 times. Then, we trained
619 the full training data set with the selected hyper-parameter values and applied the model to
620 the held-out data to evaluate the testing predictive performance of each model. The data-split,

621 hyper-parameter selection, training and testing steps were repeated 25 times to get a reliable and
622 robust reading of model performance. Models were trained using the machine learning wrapper
623 caret package (v.6.0.81) in R (v.3.5.0).

624 **Code and data availability** Anonymized data and code for all analysis steps, logistic
625 regression pipeline, and an Rmarkdown version of this manuscript, is available at https://github.com/SchlossLab/Hagan_Gender_mBio_2019/

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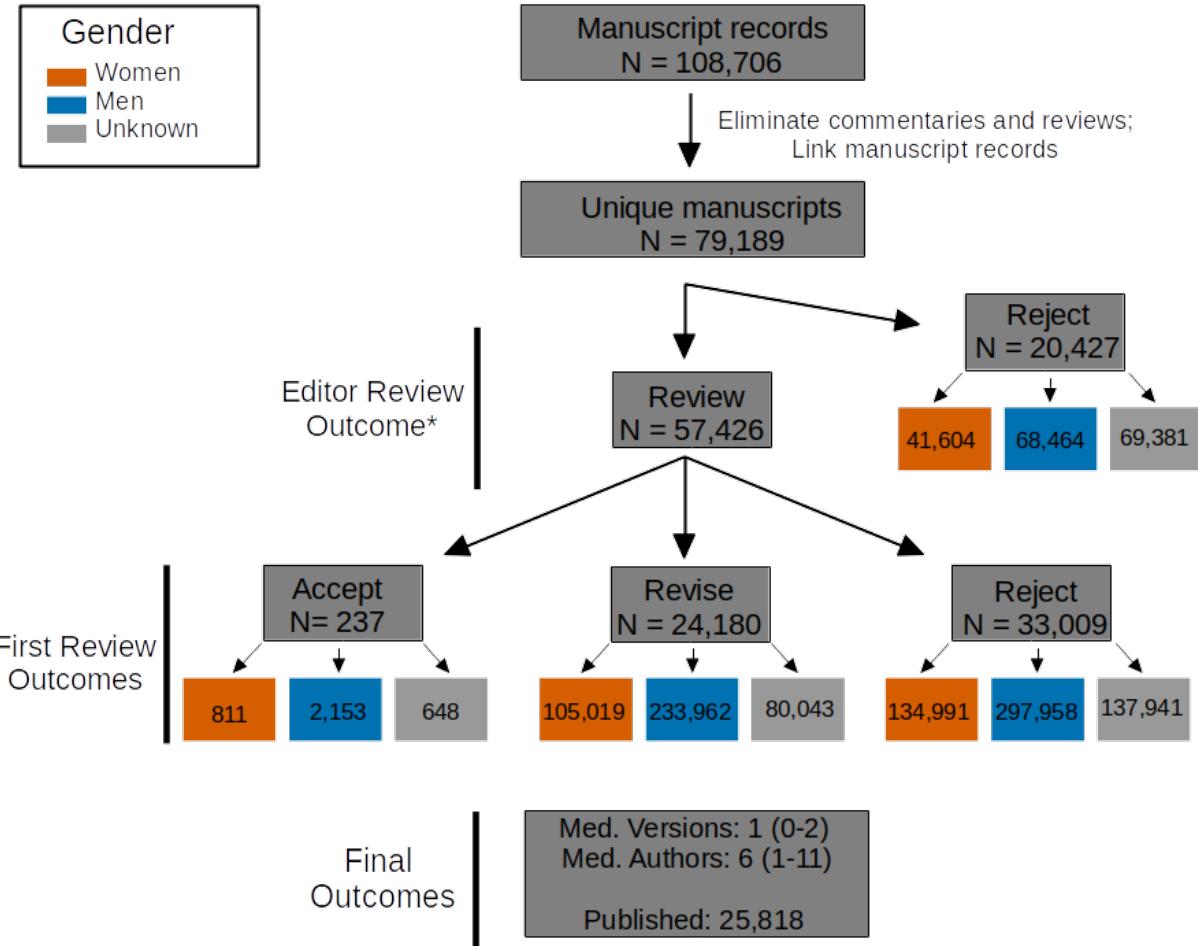
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812 Table 1. Analysis of sub-discipline participation by women corresponding authors at five ASM
 813 journals.

Journal Category		N	% Accepted	% Editors	% Women Authors
AAC	Analytical Procedures	135	43.0	14	29
AAC	Antiviral Agents	836	56.5	6	33
AAC	Biologic Response Modifiers	44	40.9	12	43
AAC	Chemistry; Biosynthesis	109	29.4	10	32
AAC	Clinical Therapeutics	1060	48.9	13	31
AAC	Epidemiology and Surveillance	765	52.3	14	40
AAC	Experimental Therapeutics	1329	57.4	13	28
AAC	FDA Approvals	1	NA	NA	NA
AAC	Mechanisms of Action: Physiological Effects	597	51.8	14	30
AAC	Mechanisms of Resistance	1783	60.0	14	36
AAC	Pharmacology	878	66.6	13	29
AAC	Susceptibility	1051	46.8	12	39
AEM	Biodegradation	302	38.4	35	26
AEM	Biotechnology	802	37.9	30	27
AEM	Environmental Microbiology	2395	30.3	35	42
AEM	Enzymology and Protein Engineering	340	46.5	28	24
AEM	Evolutionary and Genomic Microbiology	279	48.4	32	30
AEM	Food Microbiology	1216	38.2	33	39
AEM	Genetics and Molecular Biology	587	51.8	32	36
AEM	Geomicrobiology	151	44.4	34	37
AEM	Invertebrate Microbiology	317	45.7	29	37
AEM	Methods	529	39.7	30	29
AEM	Microbial Ecology	1121	35.8	29	37
AEM	Mycology	73	47.9	33	44

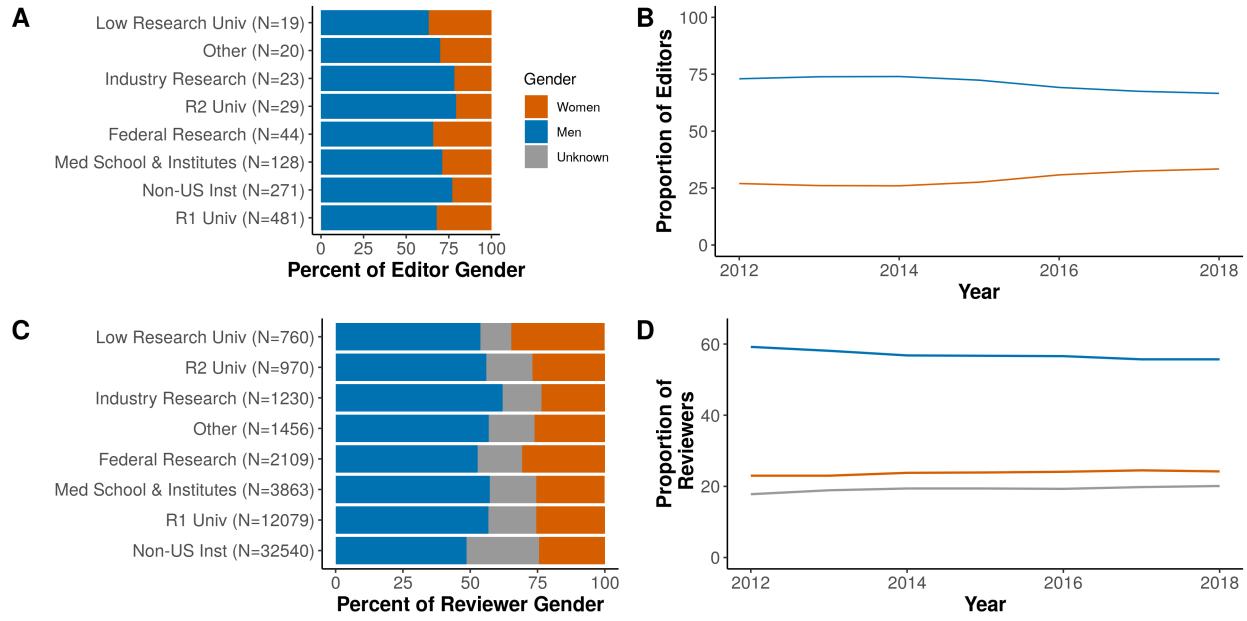
			%	% Women	% Women
Journal Category		N	Accepted	Editors	Authors
AEM	Physiology	356	50.3	32	31
AEM	Plant Microbiology	346	36.4	29	39
AEM	Public and Environmental Health	893	34.0	32	45
	Microbiology				
IAI	Bacterial Infections	716	58.4	35	36
IAI	Cellular Microbiology: Pathogen-Host	685	55.2	35	37
	Cell Molecular Interactions				
IAI	Fungal and Parasitic Infections	353	59.5	33	33
IAI	Host Response and Inflammation	763	50.2	35	40
IAI	Host-Associated Microbial Communities	7	57.1	43	86
IAI	Microbial Immunity and Vaccines	342	56.4	35	32
IAI	Molecular Genomics	33	60.6	37	33
IAI	Molecular Pathogenesis	617	68.4	35	31
JCM	Bacteriology	2952	33.2	27	41
JCM	Chlamydiology and Rickettsiology	80	32.5	25	41
JCM	Clinical Veterinary Microbiology	364	32.7	29	40
JCM	Epidemiology	854	29.7	30	45
JCM	Fast-Track Communications	5	40.0	33	40
JCM	Immunoassays	139	36.0	31	41
JCM	Mycobacteriology and Aerobic	510	42.9	32	41
	Actinomycetes				
JCM	Mycology	587	37.3	19	39
JCM	Parasitology	337	33.2	27	34
JCM	Virology	1140	37.5	29	41
JVI	Cellular Response to Infection	604	51.2	36	32
JVI	Gene Delivery	98	41.8	32	20
JVI	Genetic Diversity and Evolution	883	51.1	39	27

Journal Category		N	%	% Women	% Women
			Accepted	Editors	Authors
JVI	Genome Replication and Regulation of Viral Gene Expression	813	64.6	39	23
JVI	Pathogenesis and Immunity	1622	60.4	35	33
JVI	Prions	92	69.6	56	22
JVI	Structure and Assembly	725	71.3	39	29
JVI	Transformation and Oncogenesis	154	59.1	39	36
JVI	Vaccines and Antiviral Agents	1149	59.2	36	28
JVI	Virus-Cell Interactions	2414	63.6	40	30



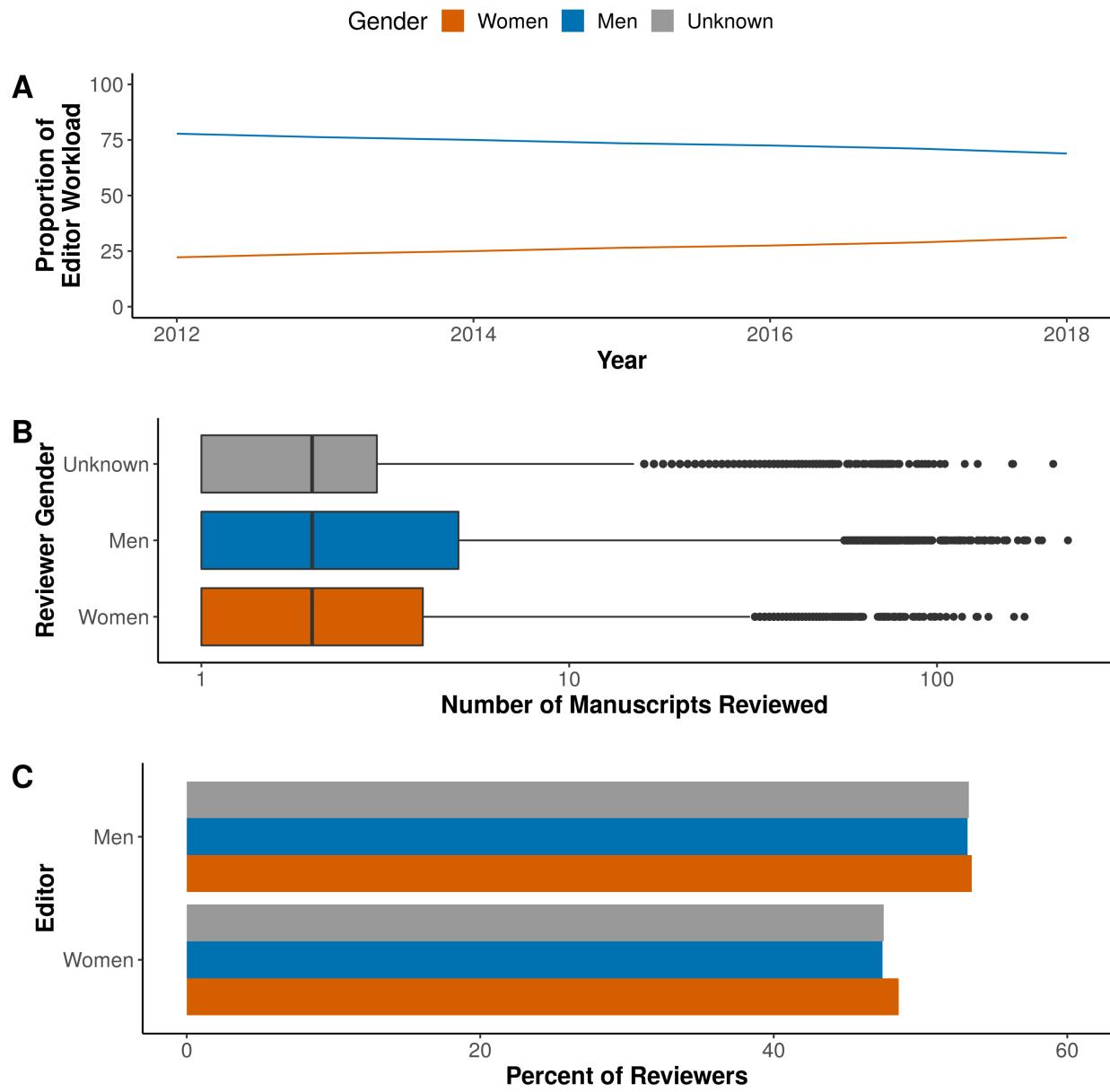
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815 **Figure 1. Overview of manuscript outcomes.** 108,706 manuscript records were obtained
 816 for the period between January 2012 and August 2018. After eliminating non-primary research
 817 manuscripts and linking records for resubmitted manuscripts, we processed 79,189 unique
 818 manuscripts. The median number of versions was 1 (IQR=0-2) with a median of 6 (IQR=1-11)
 819 authors per manuscript. As of August 2018, 34,196 of these were published at ASM journals.
 820 Revisions were requested for 24,016 manuscripts and 53,436 manuscripts were rejected at
 821 their first submission. The number of individuals (e.g., author, editor, reviewer) involved in each
 822 category of manuscript decision are indicated in the colored boxes: women (orange), men (blue),
 823 and unknown (gray). *A small number were given revise (242) or acceptance (1094) decisions
 824 without review.



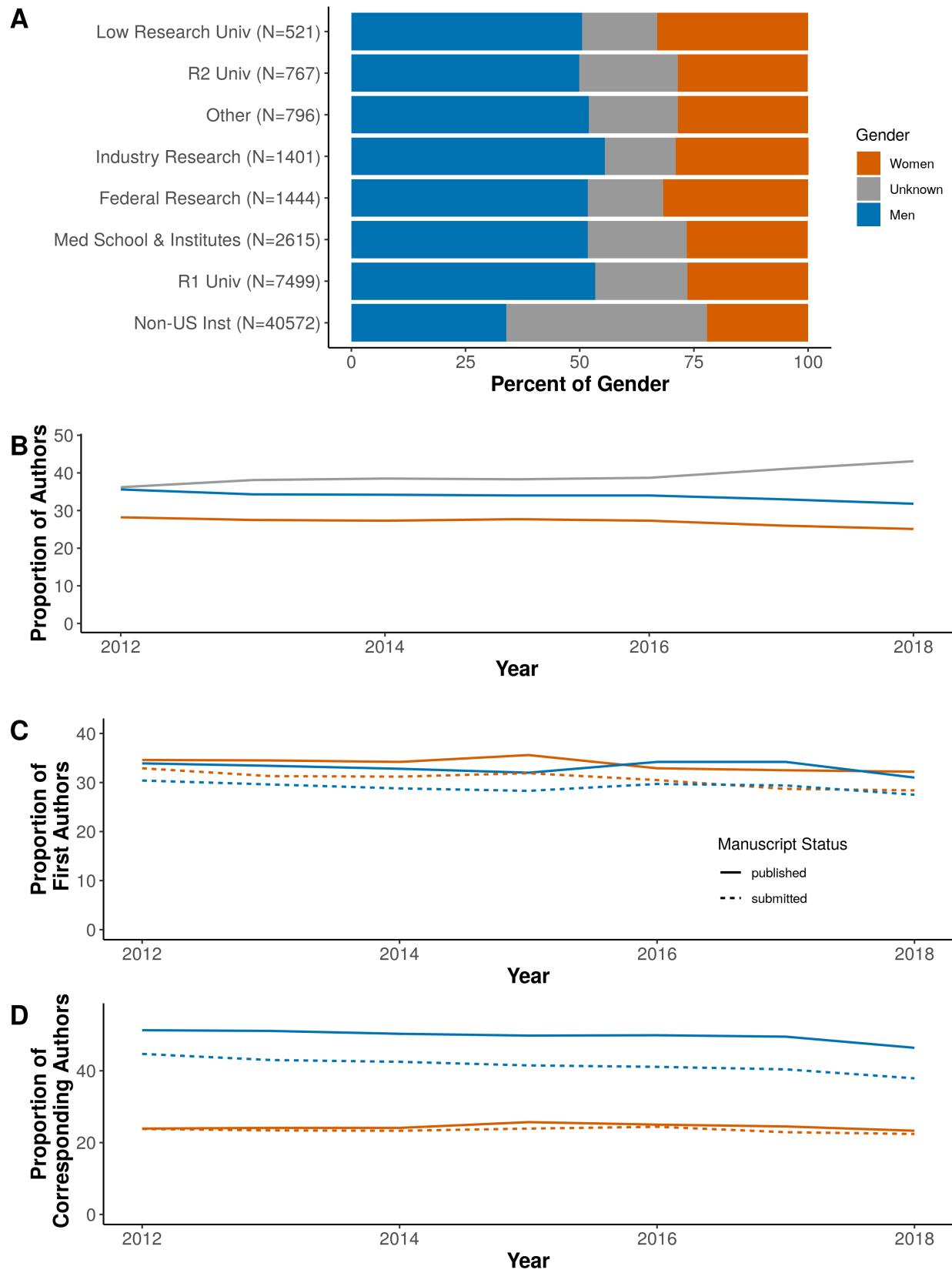
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826 **Figure 2. Gendered representation among gatekeepers.** Proportion of editors from (A)
 827 institution types and (B) over time. Editors and senior editors are pooled together. Proportion of
 828 reviewers from (C) institution types and (D) over time. (A,C) Each gender equals 100% when all
 829 institutions are summed.(B,D) Each individual was counted once per calendar year, proportions
 830 of each gender add to 100% per year.



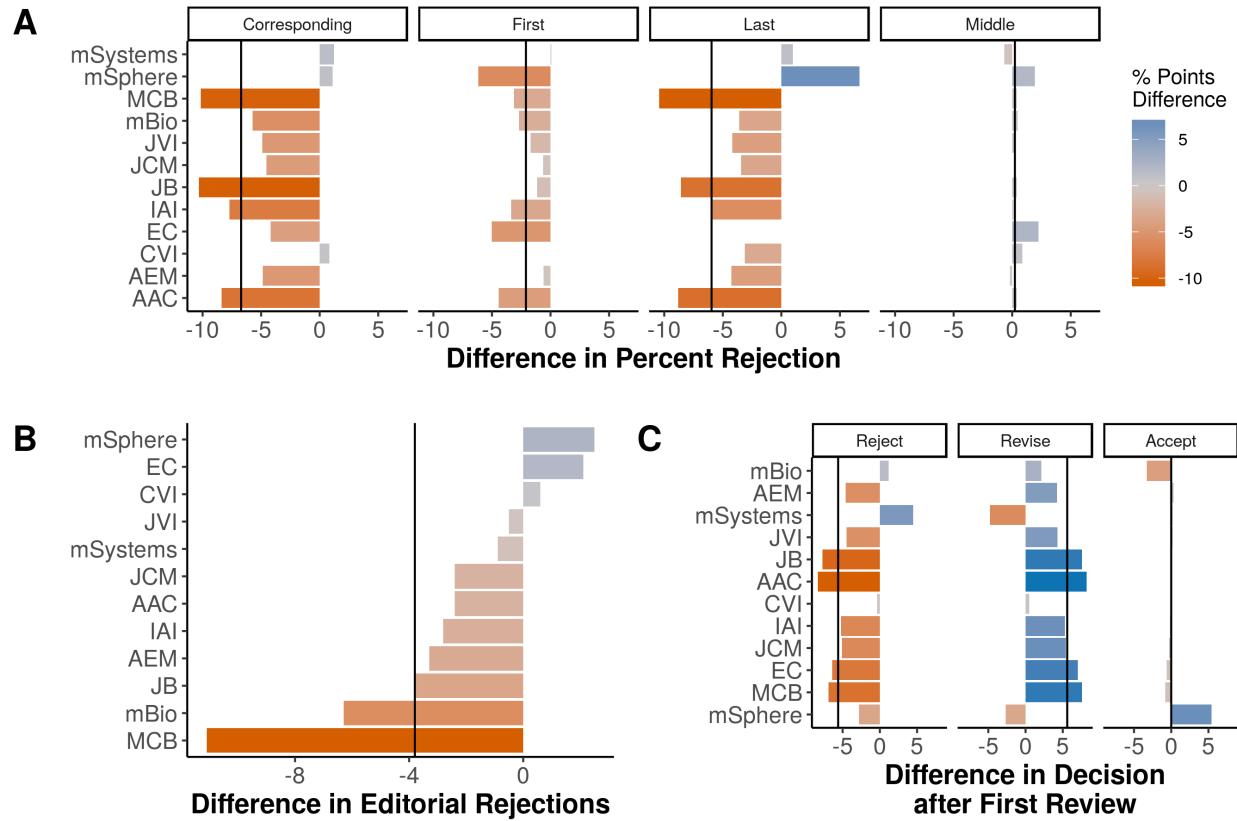
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832 **Figure 3. Gatekeeper workload and response to requests to review.** (A) Proportion of
 833 manuscript workloads by men and women editors, editorial rejections excluded. (B) Box plot
 834 comparison of all manuscripts, by reviewer gender. (C) The percent of reviewers by gender that
 835 accepted the opportunity to review, split according to the editor's gender.



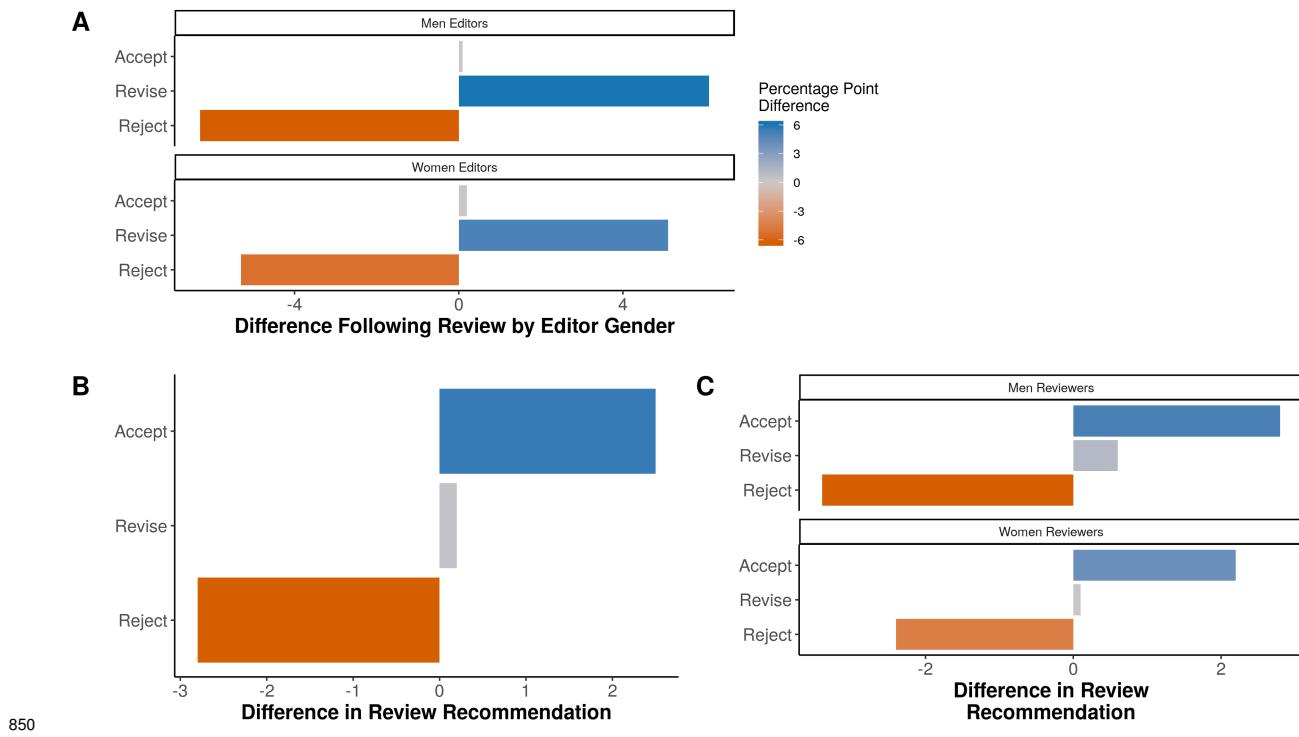
837 **Figure 4. Author representation by gender.** The proportion of (A) men and women senior

838 authors from each institution type, (B) men, women, and unknown authors from 2012 - 2018.
839 Each individual was counted once per calendar year. The proportion of (C) first authors and (D)
840 corresponding authors from 2012 - 2018 on submitted manuscripts (dashed line) and published
841 papers (solid line).



842

843 **Figure 5. Difference in manuscript outcomes by author gender.** (A) The percent of
 844 manuscripts rejected by author gender and type (e.g., corresponding, first, last, middle) at
 845 any stage across all journals where 0 indicates equal rates of rejection. (B) The difference in
 846 percent editorial rejection rates for corresponding authors at each journal. (C) The difference in
 847 percentage points between each decision type for corresponding authors following the first peer
 848 review. Vertical lines indicate the difference value for all journals combined. Absence of a bar
 849 indicates no difference, or parity.



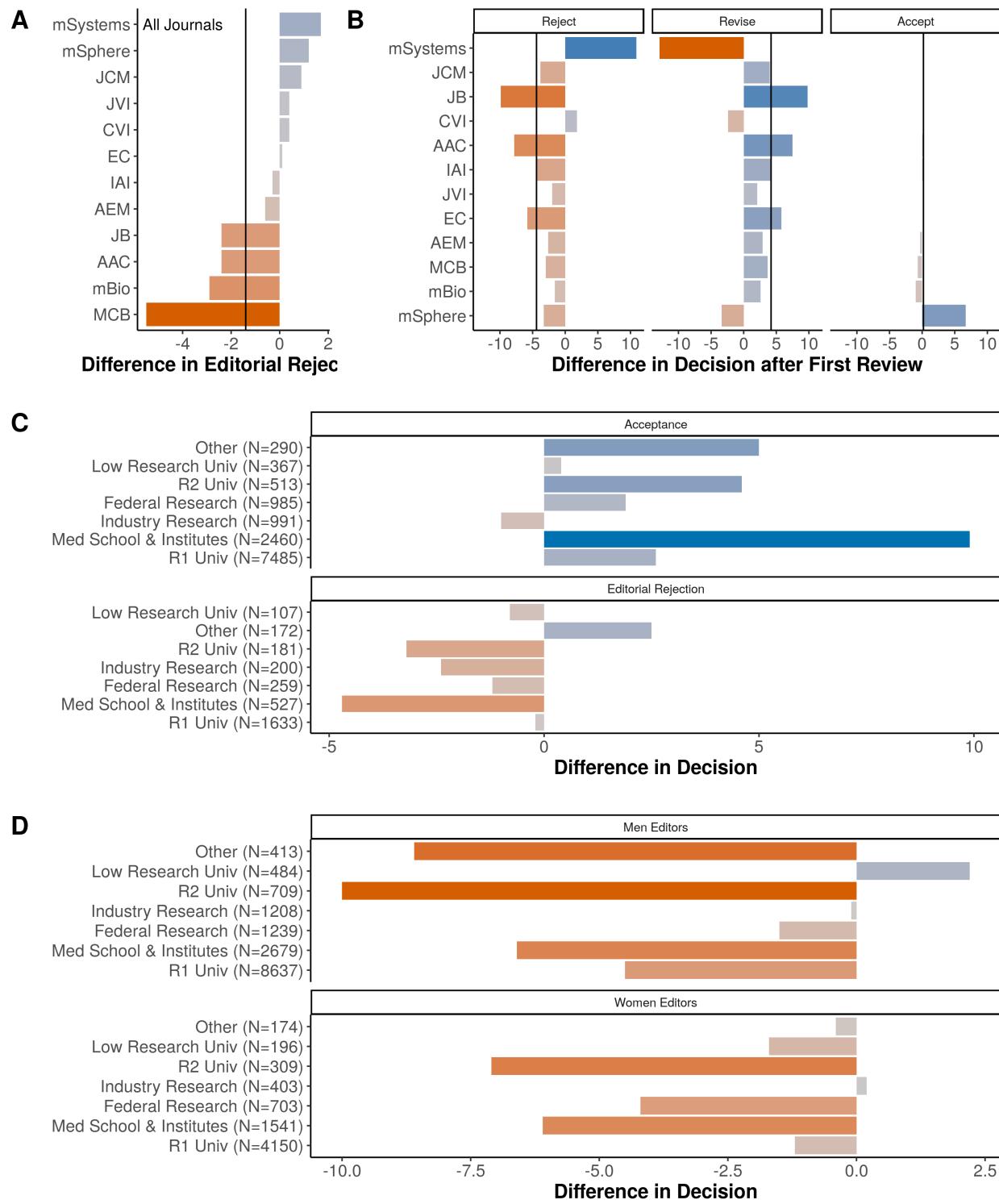
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851 **Figure 6. Difference in decisions or recommendations according to the gatekeeper gender.**

852 (A) Effect of editor gender on the difference in decisions following review. (B) Difference in

853 percentage points for review recommendations and (C) how that is affected by reviewer gender.

854 (A-C) All journals combined.

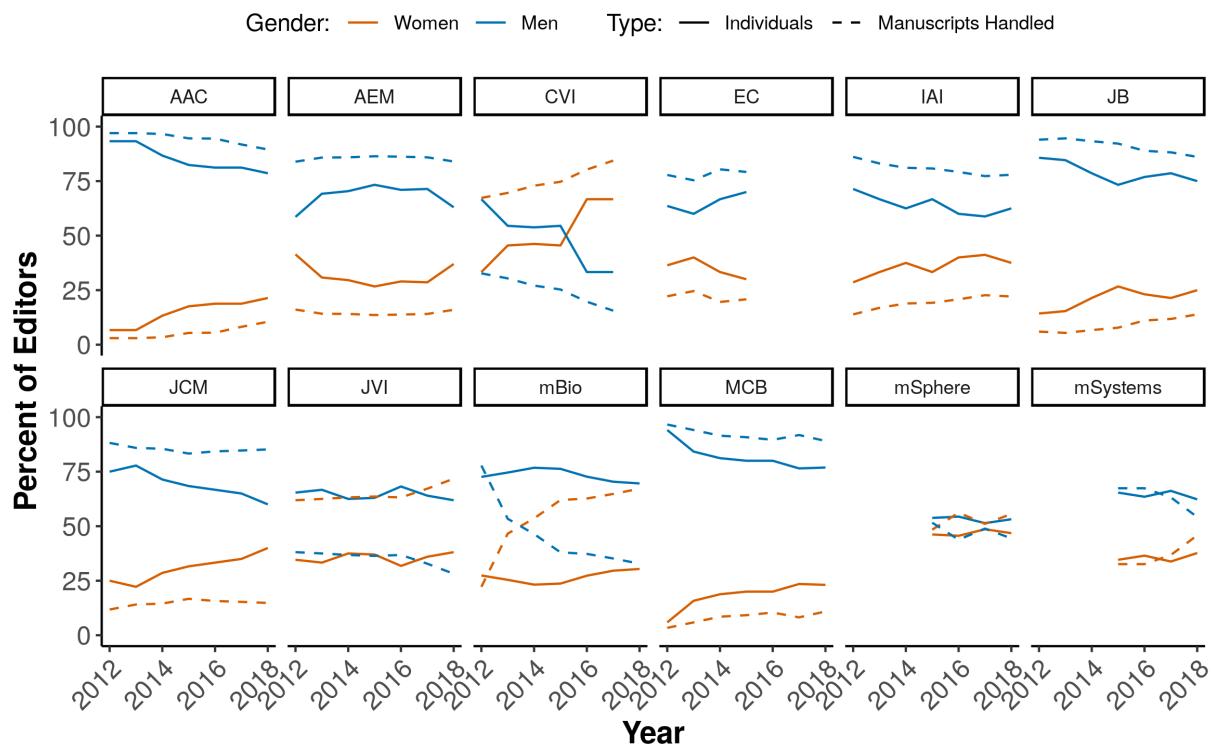


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Figure 7. Impact of origin and U.S. institution type on manuscript decisions by gender.

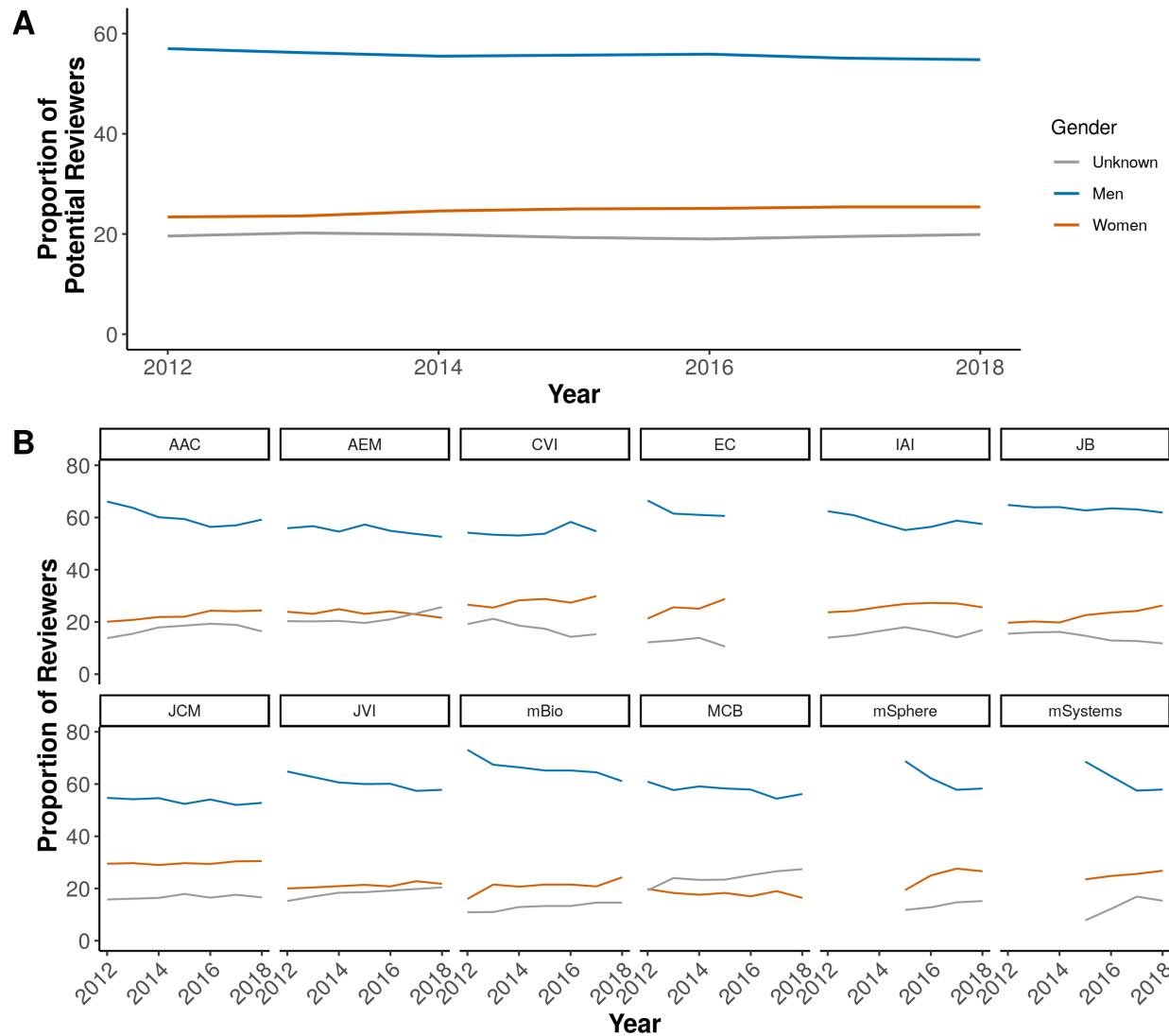
856 Difference in percentage points for (A) editorial rejections and (B) following first review of
 857 manuscripts submitted by US-based corresponding authors. Vertical line indicates value for
 858

859 all ASM journals combined. (C) Difference in percentage points for acceptance and editorial
860 rejections according to institution types and (D) acceptance decisions by editor gender and
861 institution type.



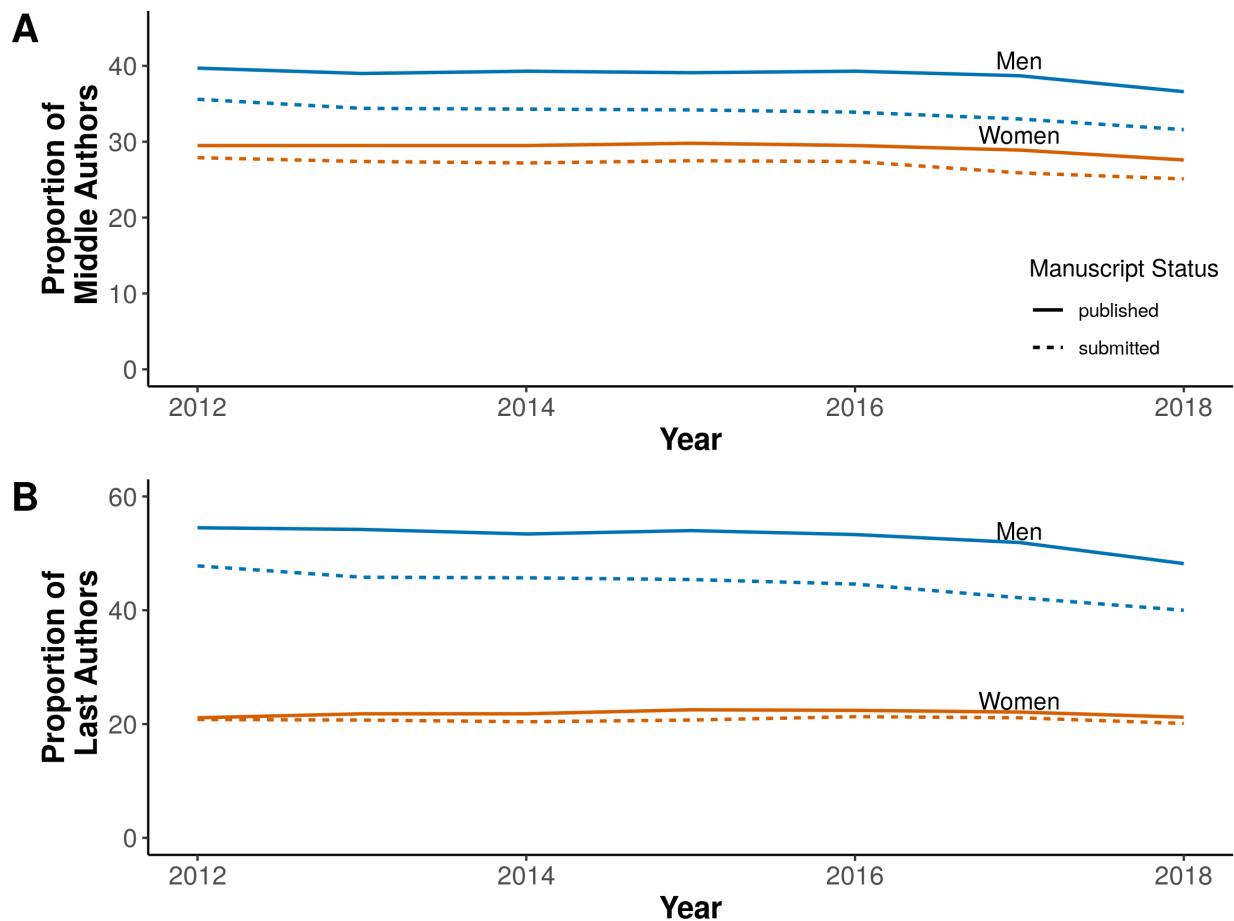
862

863 Figure S1. The proportion of editors (solid line) and their workloads (dashed line) at each ASM
 864 journal from 2012 to 2018.



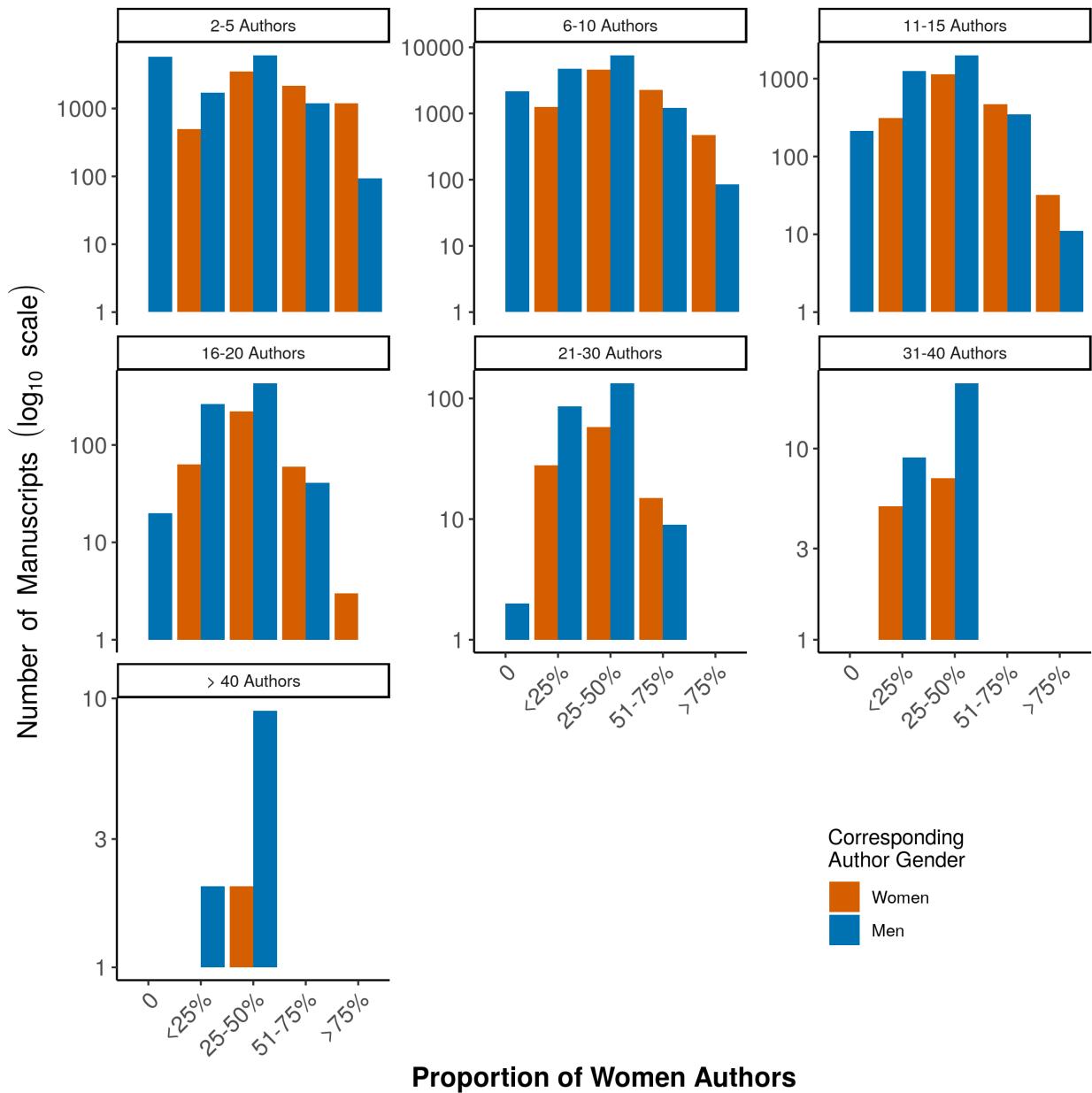
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866 Figure S2. The proportion of (A) potential reviewers at all ASM journals combined, (B) reviewers
 867 at each ASM journal.



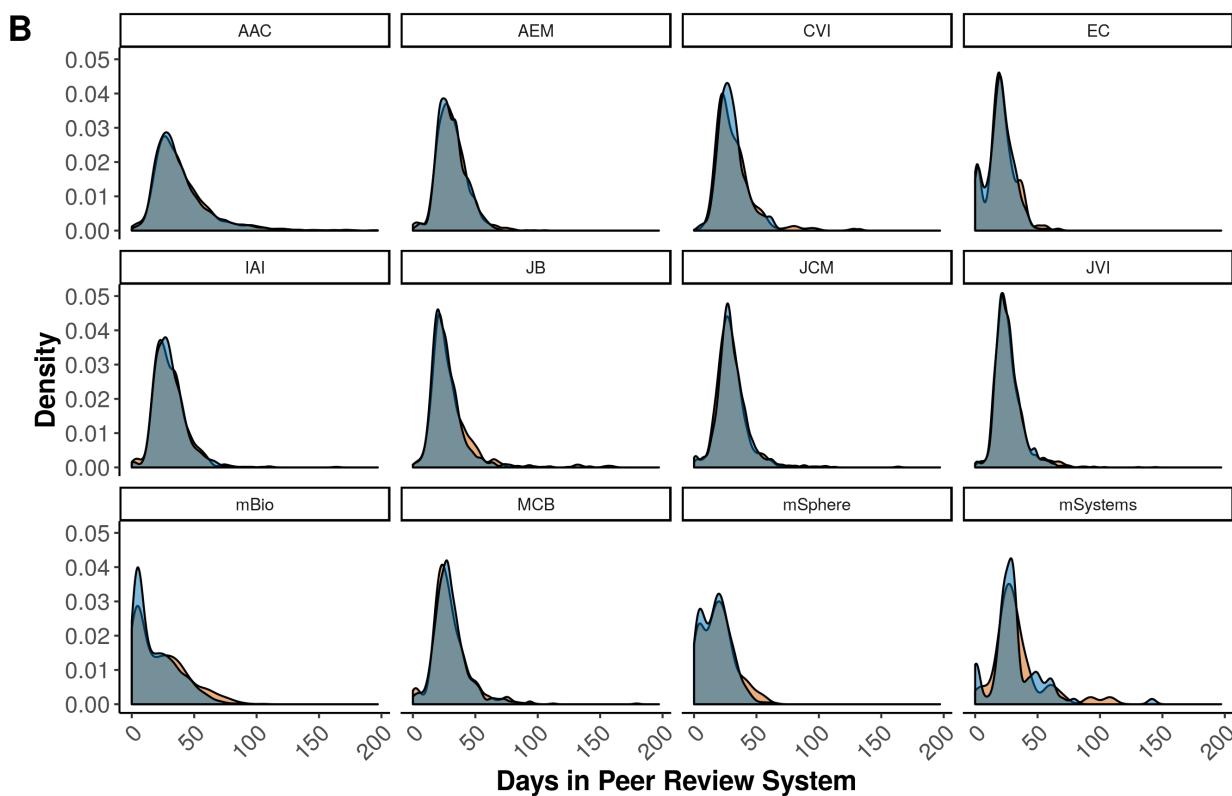
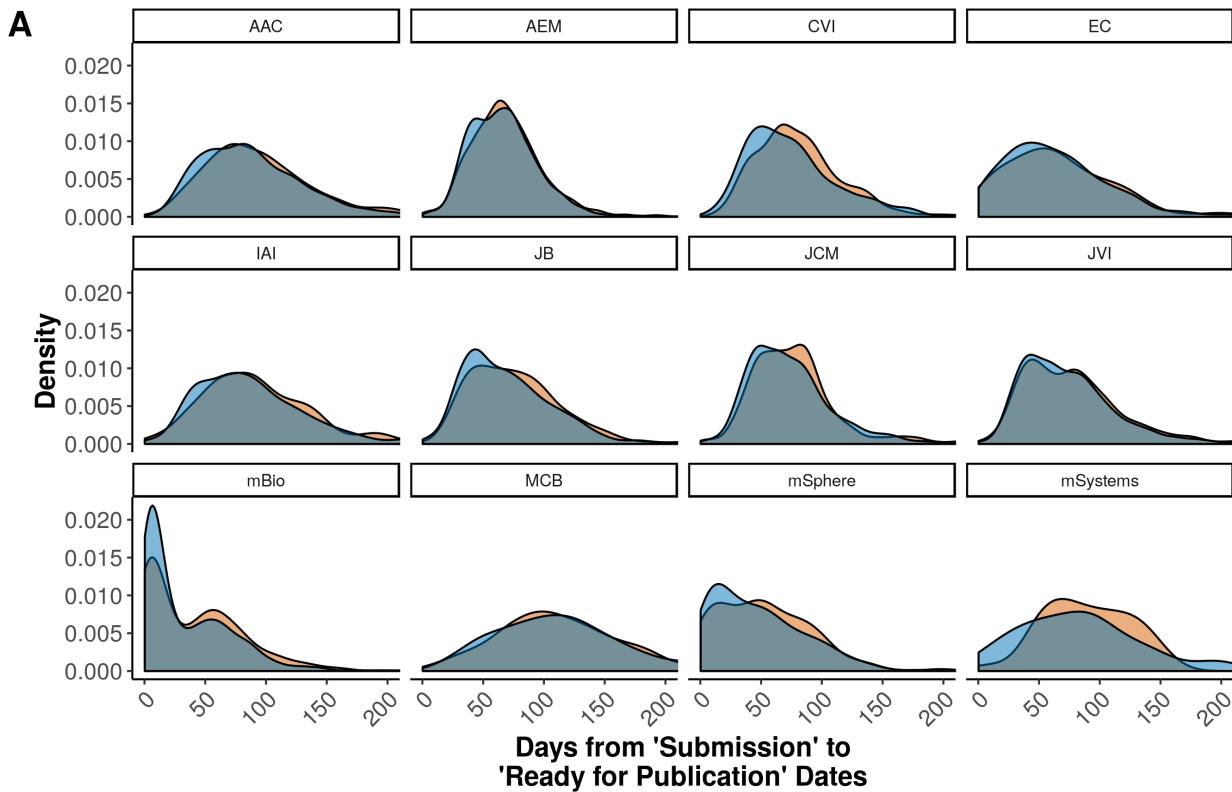
868

869 Figure S3. The proportion of all submitted (dashed line) and published (solid line) (A) middle and
 870 (B) last authors by gender at each ASM journal.



871

872 Figure S4. The proportion of women authors on submitted manuscripts according to the number
 873 of authors and the gender of the corresponding author. Y axis indicates the total number of
 874 manuscripts on a \log_{10} scale.

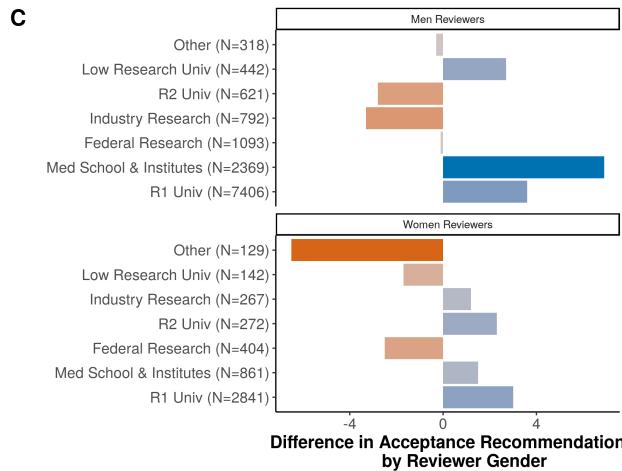
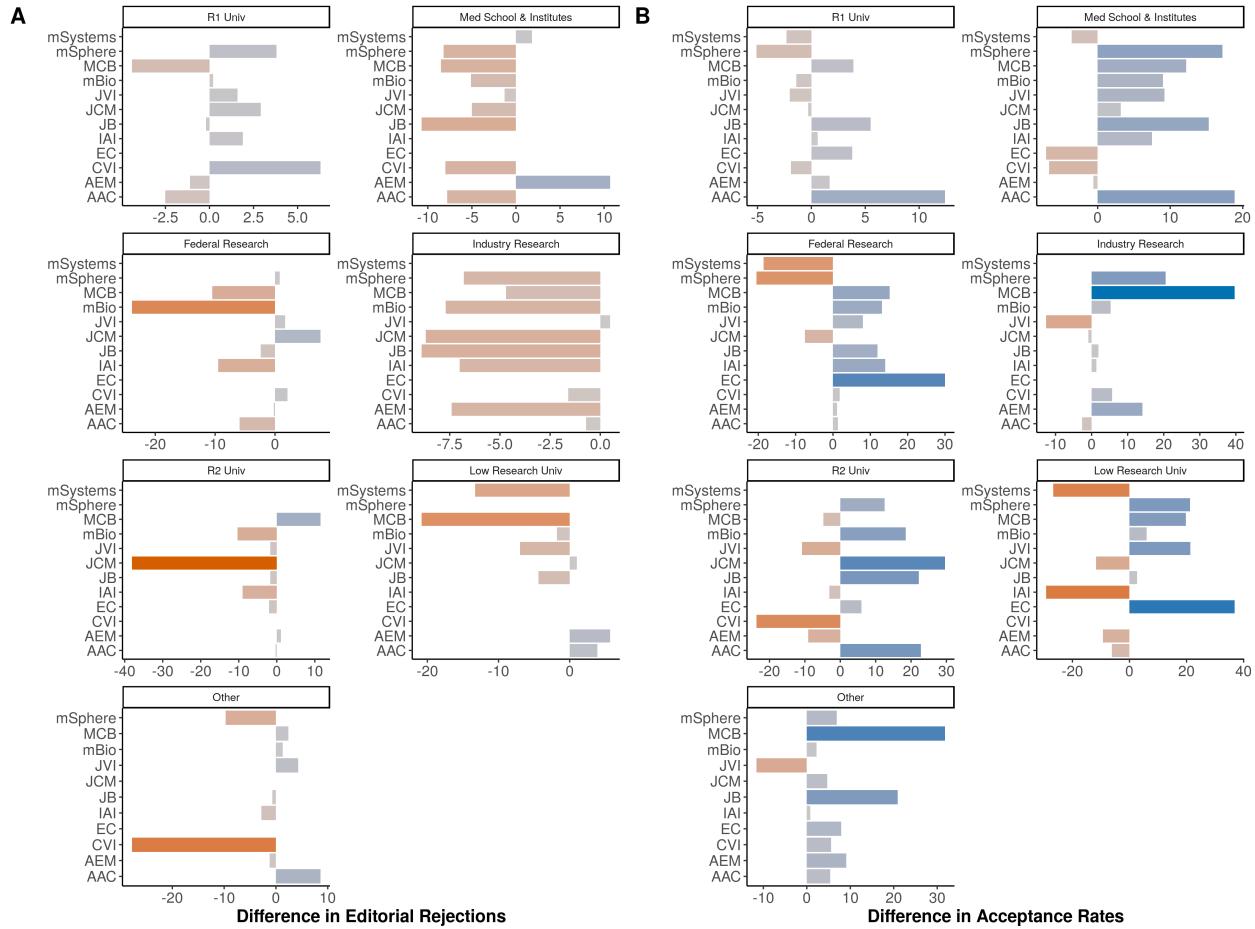


875

876 Figure S5. Comparison of time to final decision and impact by gender. The number of days (A)

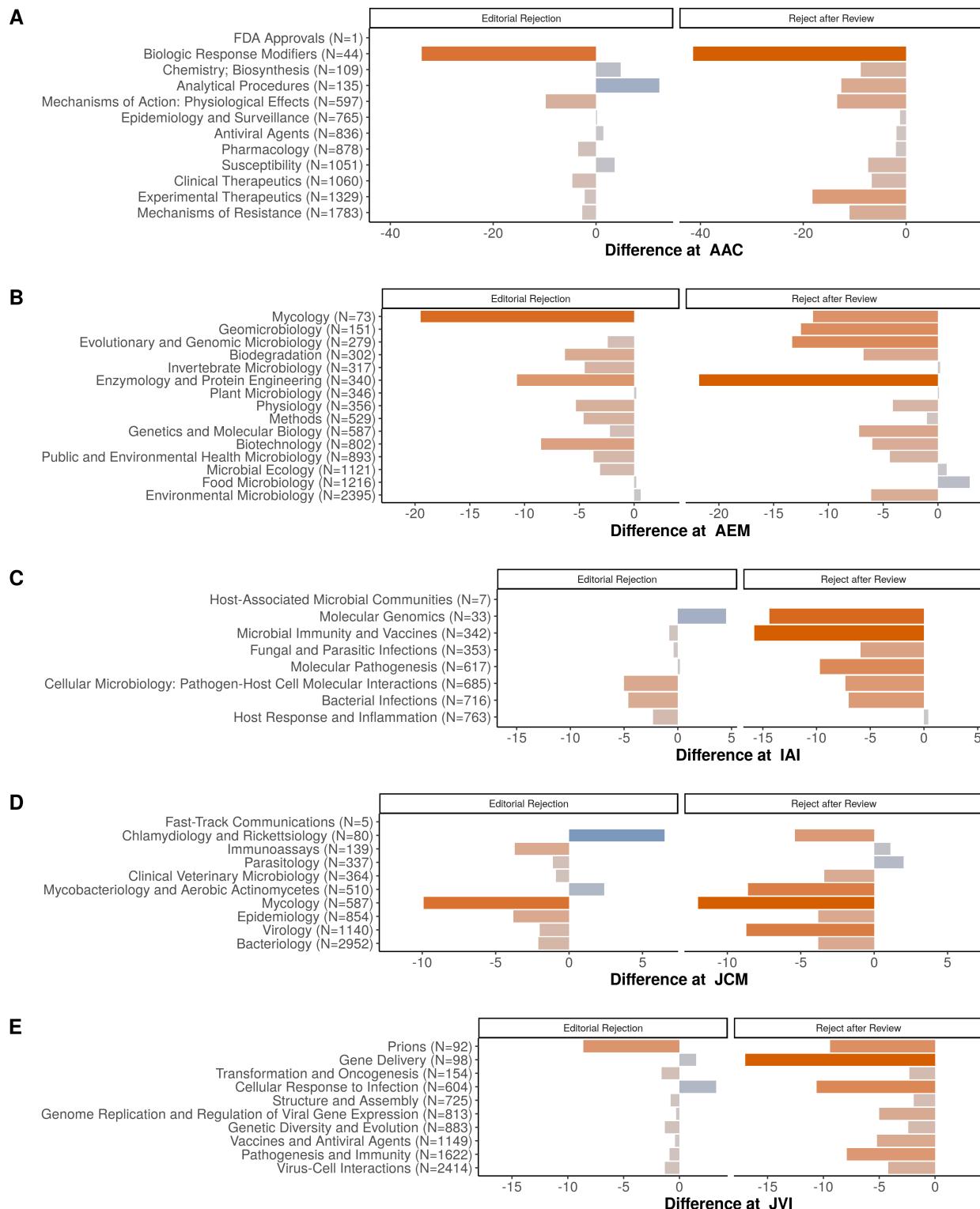
⁸⁷⁷ between when a manuscript is initially submitted and finally published or (B) that a manuscript

⁸⁷⁸ spends in the ASM peer review system.



879

880 Figure S6. Difference in A) editorial rejection and B) acceptance rates by journal and institution
 881 type. C) Difference in review recommendations by reviewer gender and author institution type. D)
 882 Median importance (black dot) of features affecting editorial rejections, and their range. Color of
 883 smaller dots (N=25) indicate the direction of the impact.



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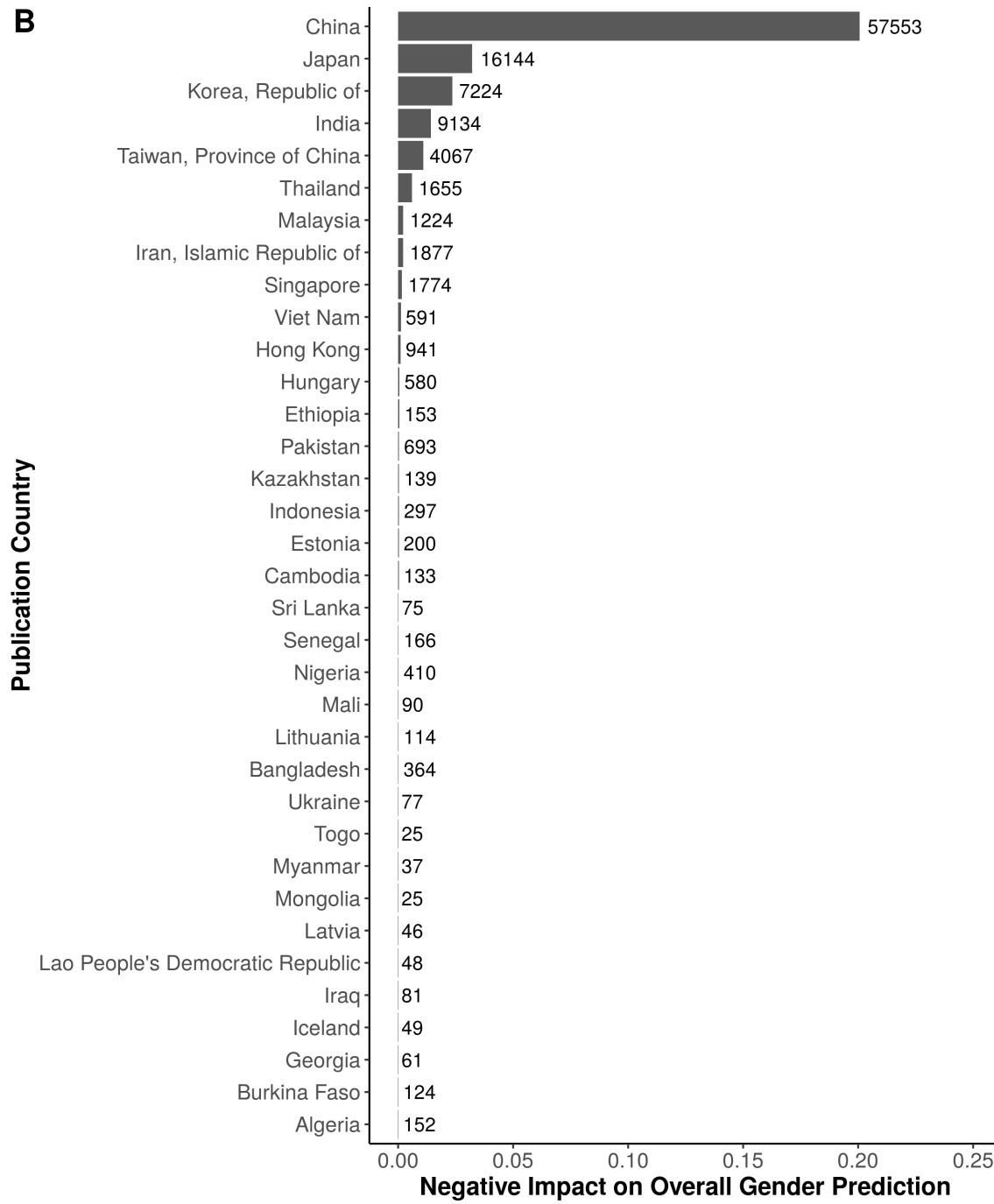
885 Figure S7. Difference in editorial rejections and rejections after review by corresponding author
886 gender and manuscript category at (A) AAC, (B) AEM, (C) IAI, (D) JCM, and (E) JVI. In

⁸⁸⁷ parentheses: N = the number of manuscripts submitted.

A

$$Impact_c = \left| \frac{(\% Unpredicted_c - \% Unpredicted_{Total}) \times \left(\frac{Observations_c}{Observations_{Total}} \right)}{\% Unpredicted_{Total}} \right|$$

B



889 S8. (A) Equation for calculating negative bias by genderize algorithm. C indicates a country. (B)
890 The negative impact of each country on the overall gender inference of the full data-set. Number
891 to the right of each column is the total number of names associated with that country.