

# **Women are underrepresented and receive differential outcomes at ASM journals: A six-year retrospective analysis**

Running title: A six-year retrospective analysis of ASM journal outcomes

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## **1 Abstract**

2 Despite 50% of biology Ph.D. graduates being women, the number of women that advance in  
3 academia decreases at each level (e.g. from graduate to post-doctorate to tenure-track). Recently,  
4 scientific societies and publishers have begun examining internal submissions data to evaluate  
5 representation and evaluation of women in their peer review processes; however, representation  
6 and attitudes differ by scientific field and no studies to-date have investigated academic publishing  
7 in the field of microbiology. Using manuscripts submitted between January 2012 and August 2018  
8 to the 15 journals published by the American Society for Microbiology (ASM), we describe the  
9 representation of women at ASM journals and the outcomes of their manuscripts. Senior women  
10 authors at ASM journals were underrepresented compared to global and society estimates of  
11 microbiology researchers. Additionally, manuscripts submitted by corresponding authors that were  
12 women received more negative outcomes than those submitted by men. These negative outcomes  
13 were somewhat mediated by whether or not the corresponding author was based in the US, and  
14 by the type of institution for US-based authors. Nonetheless, the pattern for women corresponding  
15 authors to receive more negative outcomes on their submitted manuscripts held. We conclude with  
16 suggestions to improve the representation of and decrease structural penalties against women.

## **17 Importance**

18 Barriers in science and academia have prevented women from becoming researchers and experts  
19 that are viewed as equivalent to their colleagues who are men. We evaluated the participation and  
20 success of women researchers at ASM journals to better understand their success in the field of  
21 microbiology. We found that women are underrepresented as expert scientists at ASM journals.  
22 This is, in part, due to a combination of both low submissions from senior women authors and  
23 more negative outcomes on submitted manuscripts for women compared to men.

**24 Introduction**

25 Evidence has accumulated over the decades that academic research has a representation  
26 problem. While at least 50% of biology Ph.D. graduates are women, the number of women  
27 in postdoctoral positions and tenure-track positions are less than 40 and 30%, respectively  
28 (1). There have been many proposed reasons for these disparities, which include biases in  
29 training and hiring, the impact of children on career trajectories, a lack of support for primary  
30 caregivers, a lack of recognition, lower perceived competency, and less productivity as measured  
31 by research publications (1–8). These issues do not act independent of one another, instead they  
32 accumulate for both individuals and the community, much as advantages do (9–11). Accordingly,  
33 addressing these issues necessitates multi-level approaches from all institutions and members of  
34 the scientific community.

35 Scientific societies play an integral role in the formation and maintenance of scientific  
36 communities—they host conferences that provide forums for knowledge exchange, networking,  
37 and opportunities for increased visibility as a researcher. Scientific societies also frequently  
38 publish the most reputable journals in their field, facilitating the peer review process to vet new  
39 research submissions (12). Recently, scientific societies and publishers have begun examining  
40 internal submissions data to evaluate representation of and bias against women in their peer  
41 review processes. The American Geological Union found that while the acceptance rate of  
42 women-authored publications was greater than publications authored by men, women submitted  
43 fewer manuscripts than men and were used as reviewers only 20% of the time (13), a factor  
44 reported to be influenced by the gender of the editor (14). Several studies have concluded  
45 that there is no significant bias against papers authored by women (14–19). Recent reports of  
46 manuscript outcomes at publishers for ecology and evolution, physics, and chemistry journals  
47 have found that women-authored papers are less likely to have positive peer reviews and  
48 outcomes (20–23).

49 The representation of women scientists and gender attitudes differ by scientific field and no studies  
50 to-date have investigated academic publishing in the field of microbiology. The American Society  
51 for Microbiology (ASM) is one of the largest life science societies, with an average membership of

52 41,000 since 1990. In its mission statement, the ASM notes that it is “an inclusive organization,  
53 engaging with and responding to the needs of its diverse constituencies” and pledges to “address  
54 all members’ needs through development and assessment of programs and services.” One of  
55 these services is the publication of microbiology research through a suite of research and review  
56 journals. Between January 2012 and August 2018, ASM published 25,818 original research  
57 papers across 15 different journals: *Antimicrobial Agents and Chemotherapy* (AAC), *Applied and*  
58 *Environmental Microbiology* (AEM), *Clinical and Vaccine Immunology* (CVI), *Clinical Microbiology*  
59 *Reviews* (CMR), *Eukaryotic Cell* (EC), *Infection and Immunity* (IAI), *Journal of Bacteriology*  
60 (JB), *Journal of Clinical Microbiology* (JCM), *Journal of Virology* (JVI), *mBio*, *Microbiology and*  
61 *Molecular Biology Reviews* (MMBR), *Genome Announcements* (GA, now *Microbiology Resource*  
62 *Annoucements*), *Molecular and Cellular Biology* (MCB), *mSphere*, and *mSystems*. Two journals,  
63 EC and CVI, were retired during the period under study and three journals, GA/MRA, MMBR, and  
64 CMR, were excluded from the analysis due to their relatively low number of submissions. The goal  
65 of our research study was to describe the population of ASM journals through the gender-based  
66 representation of authors, reviewers, and editors and the associated peer review outcomes.

## 67 Results

68 Over 100,000 manuscript records were obtained for the period between January 2012 and August  
69 2018 (Fig. 1). Each of these were evaluated by editors and/or reviewers, leading to multiple  
70 possible outcomes. At ASM journals, manuscripts may be immediately rejected by editors instead  
71 of being sent to peer review, often due to issues of scope or quality. These were defined as  
72 editorial rejections and identified as manuscripts rejected without review. Alternately, editors  
73 send a majority of manuscripts out for review by two or more experts in the field from a list  
74 of potential reviewers suggested by the authors and/or editors. Reviewers give feedback to the  
75 authors and editor, who decides whether the manuscript in question should be accepted, rejected,  
76 or sent back for revision. Manuscripts with suggested revisions that are expected to take more  
77 than 30 days to address are rejected, but generally encouraged to resubmit. If resubmitted,  
78 the authors are asked to note the previous manuscript and the re-submission is assigned a

79 new manuscript number. Multiple related manuscripts were tracked together by generating a  
80 unique grouped manuscript number based on the recorded related manuscript numbers. This  
81 grouped manuscript number served dual purposes of tracking a single manuscript through multiple  
82 rejections and avoiding duplicate counts of authors for a single manuscript. After eliminating  
83 non-primary research manuscripts and linking records for resubmitted manuscripts, this identified  
84 79,189 unique manuscripts (Fig. 1).

85 We inferred genders of both the peer review participants (e.g., editor-in-chief, editors, reviewers)  
86 and authors on the manuscripts evaluated during this time period using a social media-informed  
87 classification algorithm with stringent criteria and validation process (Supp Text). We recognize  
88 that biological sex (male/female) is not always equivalent to the gender that an individual presents  
89 as (man/woman), which is also distinct from the gender(s) that an individual may self-identify as.  
90 For the purposes of this manuscript, we choose to focus on the presenting gender based on  
91 first names (and appearance for editors), as this information is what reviewers and editors also  
92 have available. The sensitivity, specificity, and accuracy of our method were 0.97 (maximum  
93 of 1.0) when validated against a curated set of authors (Table S1). The accuracy was 0.99  
94 when applied to the list of editors, whose inferred genders were validated by hand using Google  
95 (Supp Text). In addition to identifying journal participants as men or women, this method of  
96 gender inference resulted in a category of individuals whose gender could not be reliably inferred  
97 (i.e., unknown). We included those individuals whose names did not allow a high degree of  
98 confidence for gender inference in the “unknown” category of our analysis, which is shown in many  
99 of the plots depicting representation of the population. These individuals were not included in the  
100 comparison of manuscript outcomes. Finally, we refer to editors and peer reviewers collectively  
101 as gatekeepers, which describes and recognizes their essential role in maintaining the scientific  
102 quality of manuscripts accepted (or rejected) at peer reviewed journals (24, 25).

103 **Men dominated as gatekeepers and senior authors.** We first evaluated the representation  
104 of men and women who were gatekeepers during the study period. Each journal is led by an  
105 editor-in-chief (EIC) who manages journal scope and quality standards through a board of editors  
106 with field expertise that, in turn, handle the peer review process. There were 17 EICs, 17.6% of  
107 which were women. Four years before retirement, the EIC of CVI transferred from a man to a

108 woman, while JVI has had a woman as EIC since 2012. The total number of editors at all ASM  
109 journals combined over the duration of our study (senior editors and editors pooled) was 1015,  
110 28.8% of which were women.

111 Over 40% of both men and women editors were from US-based R1 institutions, defined as  
112 doctoral-granting universities with very high research activity. Non-US institutions and U.S.  
113 medical schools or research institutions supplied the next largest proportions of editors (Fig.  
114 2A)(26). Since 2012, there was a slow trend toward equivalent gender representation among  
115 editors (Fig. 2B). The trends for each journal varied considerably, though most had slow trends  
116 toward parity (Fig. S1). CVI and *mSphere* were the only ASM journals to have accomplished  
117 equivalent representation of both genders, with CVI having a greater proportion of women editors  
118 than men before it was retired. EC was the only journal with an increasing parity gap.

119 Altogether, 30439 reviewers submitted reviews and 24.6% were inferred to be women (using  
120 our algorithm with 0.97-0.99 accuracy). The greatest proportion of reviewers (over 50% of all  
121 groups) came from non-US institutions, while R1 institutions supplied the next largest cohort of  
122 reviewers (Fig. 2C). The proportions of each gender were consistent over time among reviewers  
123 at ASM journals (Fig. 2D) and were representative of both the suggested reviewers at all journals  
124 combined, and the actual reviewer proportions at most journals (Fig. S2).

125 **Editorial workloads were not proportionate.** Across all journals combined, men handled  
126 a slightly greater proportion of manuscripts than women, relative to their respective editorial  
127 representations (Fig. 3A). This trend was present at most individual journals with varying degrees  
128 of difference between workload and representation (Fig. S1). For instance, at *mSphere*, both  
129 workload and proportions were identical; however, CVI, *mBio*, and JVI each had periods at which  
130 the workload for women editors was much higher than their representation, with corresponding  
131 decreases in the workload of men. In the years preceding its retirement, the representation of  
132 women at CVI increased, decreasing the gap in editorial workload. However, representation and  
133 relative workloads for men and women editors at JVI held steady over time, while the proportional  
134 workload for women at *mBio* has increased.

135 The median number of manuscripts reviewed by men, women, and unknown gendered individuals

136 was 2, for each group. Half of those in the men, women, or unknown gender groups reviewed  
137 between one and 5, 4, or 3 manuscripts each, respectively (Fig. 3B). Conversely, 44.6% of men,  
138 40.1% of women, and 48.6% of unknown gendered reviewers reviewed only one manuscript,  
139 suggesting that women were more likely than other groups to review multiple manuscripts.  
140 Reviewers of all genders accepted fewer requests to review from women editors (average of  
141 47.8%) than from men (average of 53.3%; Fig. 3C). Reviewers were also less likely to respond to  
142 women editors than men (no response rate averages of 25.1 and 19.9%, respectively). Editors  
143 of both genders contacted reviewers from all three gender groups in similar proportions, with  
144 women editors contacting 76.4% of suggested reviewers and men contacting 74.1% (median of  
145 the percent contacted from each gender group).

146 **Women were underrepresented as authors.** Globally, microbiology researchers are 60% men  
147 and 40% women (27). In September 2018, 38.4% of ASM members who reported their gender  
148 were women. We wanted to determine if these proportions were similar for authors at ASM  
149 journals and to understand the distribution of each gender among submitted manuscripts and  
150 published papers. We began by describing author institutions by gender. Over 60% of submitting  
151 senior authors (last or corresponding) were from non-US institutions, followed by about 20% from  
152 R1 institutions. The proportion of manuscripts submitted from US institutions by women was 31%  
153 versus 36% from women at non-US institutions. Women were more highly represented at low  
154 research universities and federal research institutions than at any other US-based institution (Fig.  
155 4A). The proportions of all men and women authors at ASM decreased over time at equivalent  
156 rates, as the proportion of unknown gendered authors increased; the ratio of men to women  
157 authors was 4 to 3 (i.e., 57% men; Fig. 4B).

158 In the field of microbiology, order of authorship on manuscripts signals the type and magnitude  
159 of contributions to the finished product. First and last authorships are the most prestigious. First  
160 authors are generally trainees (e.g., students or post-docs) or early career researchers responsible  
161 for performing the bulk of the project, while last authors are generally lead investigators that  
162 supply conceptual guidance and resources to complete the project. Middle authors are generally  
163 responsible for technical analyses and methods. Any author can also be a corresponding author,  
164 which we identified as the individual responsible for communicating with publishing staff during

<sup>165</sup> peer review (as opposed to an author to whom readers direct questions).

<sup>166</sup> The proportion of manuscripts submitted with men and women as first authors remained constant  
<sup>167</sup> at 29.1 and 30.7%, respectively (Fig. 4C, dashed). The proportions of their published papers were  
<sup>168</sup> nearly identical at 33.1% for men and 33.8% for women. The proportion of submitted manuscripts  
<sup>169</sup> with men corresponding authors remained steady at an average of 41.6% and the proportion  
<sup>170</sup> with women corresponding authors was at 23.4% (Fig. 4D, dashed); the proportion for unknown  
<sup>171</sup> gender authors declined. Both men and women corresponding authors had a greater proportion  
<sup>172</sup> of papers published than manuscripts submitted. Accordingly, manuscripts with corresponding  
<sup>173</sup> authors of unknown gender were rejected at a higher rate than their submission. The difference  
<sup>174</sup> between submitted manuscripts and published papers was 8.2% when men were corresponding  
<sup>175</sup> authors, but only 0.9% when women were corresponding authors. This trend was similar for middle  
<sup>176</sup> and last authors (Fig. S3).

<sup>177</sup> Of 38594 multi-author manuscripts submitted by men corresponding authors, 23.5% had zero  
<sup>178</sup> women authors. In contrast, 7253 (36.3%) of manuscripts submitted by women corresponding  
<sup>179</sup> authors had a majority of the authors as women, exceeding those submitted by men corresponding  
<sup>180</sup> authors in both the number (3247) and percent (8.4) of submissions. Additionally, the proportion  
<sup>181</sup> of women authors decreased as the number of authors increased (Fig. S4). Men submitted 225  
<sup>182</sup> single-authored manuscripts while women submitted 69 single-authored manuscripts.

<sup>183</sup> We hypothesized that we would be able to predict the inferred gender of the corresponding author  
<sup>184</sup> using a logistic regression model trained on the following variables: whether the corresponding  
<sup>185</sup> author's institution was in the U.S., the total number of authors, the proportion of authors that were  
<sup>186</sup> women, whether the paper was published, the gender of senior editors and editors, the number  
<sup>187</sup> of revisions, and whether the manuscript was editorially rejected at any point. We measured the  
<sup>188</sup> model's performance using the area under the receiver operating characteristic curve (AUROC).  
<sup>189</sup> The AUROC value is a predictive performance metric that ranges from 0.0, where the model's  
<sup>190</sup> predictions are completely wrong, to 1.0, where the model perfectly distinguishes between  
<sup>191</sup> outcomes. A value of 0.5 indicates the model did not perform better than a random assignment.  
<sup>192</sup> The median AUROC value of our model to predict the corresponding author's inferred gender

193 was 0.7. The variable with the largest weight (i.e., the most predictive value), in our model was  
194 the proportion of women authors. These results indicate that manuscript submission data was  
195 capable of predicting the inferred gender of the corresponding author, but that prediction was  
196 primarily driven by the percentage of authors that were inferred to be women.

197 As described above, first authors were slightly more likely to be women (30.7%W vs 29.1%M), but  
198 corresponding authors were significantly more likely to be men (23.44%W vs 41.59%M). A concern  
199 is that if authors are not retained to transition from junior to senior status, they will be left out of  
200 the gatekeeping roles. Since authorship conventions indicate that last and corresponding authors  
201 are typically senior authors, we combined both first and middle authors into the “junior” author role  
202 and tracked individuals through the possible roles at ASM journals. There were 75451 women  
203 who participated as junior authors (first/middle) at ASM journals. Of those junior authors who  
204 were women, 8.2% also participated as senior authors (last/responding), 8.9% were potential  
205 reviewers and 5.4% participated as reviewers. 0.2% of women junior authors were also editors at  
206 ASM journals. For men, there were a total of 83727 junior authors, where 13.6% also participated  
207 as senior authors, 16.7% were potential reviewers, and 11.1% actually reviewed. 0.7% of men  
208 junior authors were also editors at ASM journals. Overall, women were half as likely to move to  
209 senior author or reviewer roles, and 30% as likely to be an editor than men.

210 **Manuscripts submitted by women have more negative outcomes than those submitted by**  
211 **men.** To better understand the differences between published and submitted proportions for men  
212 and women authors (Fig. 4CD, Fig. S3), we compared the rejection rates of men and women  
213 at each author stage (first, middle, corresponding, and last). For the following analyses, only  
214 manuscripts authored by a man or woman were included. In addition, these analyses were  
215 conducted on all available manuscripts, not a statistical sampling. As a result, statistical tests  
216 are only required for correlative analyses.

217 Middle authors were rejected at equivalent rates for men and women (a 0.23 percentage point  
218 difference across all journals). However, manuscripts with senior women authors were rejected  
219 more frequently than those authored by men with -6.7 and -6.0 percentage point differences  
220 for corresponding and last authors, respectively (Fig. 5A, vertical line). The overall trend of

221 overperformance by men was most pronounced at MCB, JB, IAI and AAC. The greatest differences  
222 were observed when comparing the outcome of corresponding authors by gender, so we used  
223 this sub-population to further examine the difference in manuscript acceptance and rejection rates  
224 between men and women.

225 We next compared the rejection rates for men and women corresponding authors after two review  
226 points, initial review by the editor and the first round of peer review. Manuscripts authored by  
227 women were editorially rejected by as much as 12 percentage points more often than those  
228 authored by men (Fig. 5B). The difference at all ASM journals combined was -3.8 percentage  
229 points (vertical line). MCB and *mBio* had the most extreme percentage point differences.  
230 Manuscripts authored by men and women were equally likely to be accepted after the first round  
231 of review (Fig. 5C, right panel). However, women-authored papers were rejected (left panel) more  
232 often while men-authored papers were more often given revision (center panel) decisions. The  
233 differences for rejection and revision decisions after review were -5.6 and 5.6 percentage points,  
234 respectively (Fig. 5C, vertical lines). JB, AAC, and MCB had the most extreme differences for  
235 rejection and revision decisions. Percentage point differences were not correlated with journal  
236 prestige as measured by 2018 impact factors ( $R^2 = -0.022$ ,  $P = 0.787$ ).

237 In addition to manuscript decisions, other disparate outcomes may occur during the peer review  
238 process (28). To determine whether accepted women-authored manuscripts spent more time  
239 between being submitted and being ready for publication, we compared the number of revisions,  
240 days spent in the ASM peer review system, and the number of days from submission to being  
241 ready for publication to those authored by men. Manuscripts authored by women took slightly  
242 longer (from submission to ready for publication) than those by men at some journals (*mSphere*,  
243 *mBio*, *mSystems*, CVI, JB, JCM, AEM) despite spending similar amounts of time in the ASM  
244 journal peer review system (Fig. S5), and having the same median number of revisions prior to  
245 acceptance (Median = 2, IQR = 0).

246 To understand how a gatekeeper's (editor/reviewer) gender interacted with decision types (e.g.,  
247 Fig. 5C), we grouped editor decisions and reviewer suggestions according to the gatekeeper's  
248 gender. Both men and women editors rejected proportionally more women-authored papers,

249 however the difference in decisions were slightly larger for men-edited manuscripts (Fig. 6A).  
250 Reviewers were more likely to suggest rejection for women-authored manuscripts as compared  
251 to men, although a minimal difference in revise recommendations was observed (Fig. 6B). Both  
252 men and women reviewers recommended rejection more often for women-authored manuscripts  
253 although men recommended acceptance and revision more often for men-authored manuscripts  
254 than women did (Fig. 6C).

255 To evaluate if gender played a role in manuscript editorial decisions, we trained a logistic  
256 regression model to predict whether a manuscript was reviewed (i.e. editorially rejected or not).  
257 We used the inferred genders of the senior editor, editor, and corresponding author, as well as  
258 the proportion of authors that were women as variables to train the model. The median AUROC  
259 value was 0.61, which indicated that editorial decisions were not random, however, the AUROC  
260 value was relatively low indicating that there are factors other than those included in our model  
261 that influence editorial decisions.

262 **Multiple factors contribute to the overperformance of men.** The association between gender  
263 and manuscript decision could be attributed to implicit gender bias by journal gatekeepers,  
264 however, there are other types of bias that may contribute to, or obscure, gender bias; for  
265 instance, a recent evaluation of peer-review outcomes at *eLife* found evidence of preference for  
266 research submitted by authors from a gatekeeper's own country or region (20). Other studies  
267 have documented prestige bias, where men are over-represented in more prestigious (i.e.,  
268 more respected and selective) programs (29). It is therefore possible, that what seems to be  
269 gender bias could be geographic or prestige bias interacting with the increased proportion of  
270 women submitting from outside the US or at lower prestige institutions (e.g., the highest rate of  
271 submissions from women were at low research institutions, 37%; Fig. 4A).

272 To quantify how these factors affected manuscript decisions, we next looked at the outcome of  
273 manuscripts submitted only by corresponding authors at US institutions, because these institutions  
274 represented the majority of manuscripts and could be classified by the Carnegie Foundation (26).  
275 We used the same strategy as described above. When only considering US-based authors, the  
276 difference for editorial rejections increased from -3.8 to -1.4 percentage points (Fig. 7A). The

277 difference in decisions after review for US-based authors mirrored those seen for all corresponding  
278 authors at the journal level (Fig. 7B). The over-representation of women in rejection decisions  
279 increased from -5.6 to -4.4 percentage points, and the over-representation of men in revise only  
280 decisions decreased from 5.6 to 4.2 (Fig. 7B). The difference in the rate of accept decisions  
281 changed from -1.4 to 0.2 percentage points after restricting the analysis to US-based authors.  
282 These results suggest that the country of origin (i.e., US versus not) accounted for some of the  
283 differences in outcomes by gender, particularly for editorial rejections.

284 To address institution-based prestige bias, we split the US-based corresponding authors  
285 according to the type of institution they were affiliated with (based on Carnegie classification)  
286 and re-evaluated the differences for men and women (26). Editorial rejections occurred most  
287 often for women from medical schools or institutes, followed by those from R2 institutions: 32%  
288 and 28% of manuscripts from each institution were submitted by women, respectively (Fig. 7C,  
289 Fig. S6A). This difference in the editorial rejections of corresponding authors from medical  
290 schools or institutes was spread across most ASM journals, while the editorial rejection of papers  
291 submitted from women at R2 institutions was driven primarily by submissions to JCM. Evaluating  
292 the difference in acceptance rates by institution and gender mirrored that of editorial rejections  
293 for some journals, where submissions from men outperformed submissions from women. For  
294 instance, manuscripts submitted by men from medical schools or institutes were accepted up to  
295 20 percentage points more often than those submitted by women (Fig. S6B).

296 To evaluate if these factors affect manuscript decisions, we trained a logistic regression model  
297 to predict whether a manuscript was editorially rejected, using the variables: origin (US vs non),  
298 institution (US institution type), number of authors, proportion of authors that were women, and  
299 the inferred genders of both gatekeepers and corresponding authors. The model had a median  
300 AUROC value of 0.67, which indicated a non-random interaction between these factors and  
301 editorial decisions. Manuscripts from authors at U.S. “other” institutions, men EICs, men that  
302 were corresponding authors from “other” U.S. institutions, and women from medical schools and  
303 institutes were more associated with editorial rejections (Fig. S6C). Conversely, manuscripts from  
304 R1 institutions, authors from the U.S., EICs that were women, and the number of authors were  
305 more likely to be associated with review (Fig. S6C). These results confirm that the country of

306 origin and class of institutions impact decisions in a non-random manner, though not as much as  
307 gender.

308 A final factor we considered was whether the type of research pursued by men as opposed  
309 to women may impact manuscript outcomes. Black women philosophers and physicists have  
310 described the devaluation of non-traditional sub-disciplines in their fields (30–32). This originally  
311 described the bias against Black women—the intersection of two historically marginalized  
312 identities. However, the concept that researchers in an established core field might be skeptical  
313 of less established, or non-traditional, sub-field research likely applies elsewhere. The disparate  
314 outcomes of sub-fields in a gendered context has recently been observed in the biomedical  
315 sciences, where NIH proposals focusing on womens' reproductive health were the least likely to  
316 be funded (33). To explore the phenomenon in ASM journals, we looked at the editorial rejection  
317 rates of manuscripts (regardless of origin or institution) for each research category at the five  
318 largest ASM journals: AAC, AEM, IAI, JVI, and JCM. Together, these journals account for 47% of  
319 the manuscripts analyzed in this study across 55 categories.

320 The number of submissions in each category ranged from 1 ("FDA Approval" at AAC) to 2952  
321 ("Bacteriology" at JCM) while the acceptance rates varied from 29.4% ("Chemistry:Biosynthesis"  
322 at AAC) to 71.3% ("Structure and Assembly" at JVI) (Table 1). We argued that the number of  
323 submissions to each category could help indicate core versus periphery subfields, (i.e., core  
324 subfields would have more submissions than periphery subfields) and based on the literature  
325 to-date, we expected that periphery subfields might have a higher participation of women. Women  
326 submitted on average 35.3% of the manuscripts to each category, ranging from 20% to 86%  
327 (Table 1). There was not a correlation between the proportion of women authors and the number  
328 of submissions ( $R^2 = -0.0177$ ,  $P = 0.779$ ) to each category. Nor was there a correlation between  
329 the proportion of women authors and the category acceptance rate ( $R^2 = 0.041$ ,  $P = 0.078$ ). These  
330 data suggest that there was not a relationship between the participation of women and either the  
331 number of submissions or the acceptance rate of categories in our dataset.

332 We next looked at the differences of performance for men and women in each category at two  
333 decision points: editorial rejection and rejection after the first review. Each journal focuses on

334 a different facet of microbiology or immunology, making the results difficult to compare directly.  
335 However, the pattern of increased rejection rates for women over men was maintained across  
336 most categories with some categories displaying major differences in gendered performance (Fig.  
337 S7). For instance, the “Biologic Response Modifier” (e.g., immunotherapy) sub-category at AAC,  
338 had extreme differences for both editorial rejections and rejections after review, about -30 and -40  
339 percentage points, respectively. While that category had a relatively low number of submissions  
340 ( $N = 44$ ), 43% were from women (Fig. S7A). One category, “Mycology”, was represented at two  
341 journals, AEM and JCM. At both journals, men overperformed relative to women in this category.  
342 At AEM, there were 73 “Mycology” submissions, 44% from women authors that had a difference  
343 of almost -20 for editorial rejection outcomes and -10 for rejections after review (Fig. S7B). JCM  
344 had 587 “Mycology” submissions with a submission rate of 39% from women authors (Fig. S7D).  
345 Differences between outcomes were almost -10 for editorial rejections and -12 for rejections after  
346 review at JCM.

347 Because of these extreme percentage point differences in categories with high women  
348 authorship, we next asked if the number of women participating in a particular category was  
349 related to manuscript outcomes. There was no correlation between the difference in editorial  
350 rejection by category and the percent of women that were either authors ( $R^2 = -0.003$ ,  $P = 0.363$ )  
351 or editors ( $R^2 = -0.018$ ,  $P = 0.765$ ). The percent of women authors and percent of women editors  
352 in journal categories did not correlate either ( $R^2 = -0.007$ ,  $P = 0.682$ ), which is likely related  
353 to the underrepresentation of women editors in categories dominated by women authors (e.g.,  
354 “Epidemiology”). These data suggest the possibility of persistent negative outcomes against  
355 women in particular fields (e.g., “Mycology”), though it does not seem to relate to either the  
356 number of submissions or participation of women in those subfields.

## 357 Discussion

358 We described the representation of men and women participating in the submission and peer  
359 review process at ASM journals between January 2012 and August 2018 and compared editorial  
360 outcomes according to the authors’ gender. Women were consistently under-represented (30%

361 or less in all levels of the peer review process) excluding first authors, where women represented  
362 about 50% of authors where we could infer a gender (Figs. 2 and 4). Women and men editors  
363 had proportionate workloads across all ASM journals combined, but those workloads were  
364 disproportionate at the journal level and the overburdened gender varied according to the journal  
365 (Figs. 3 and S1). Additionally, manuscripts submitted by women corresponding authors received  
366 more negative outcomes (e.g., editorial rejections) than those submitted by men (Figs. 5 and  
367 6). These negative outcomes were somewhat mediated by whether the corresponding author  
368 was based in the US, the type of institution for US-based authors, and the research category  
369 (Figs. 7 and S7). However, the trend for women corresponding authors to receive more negative  
370 outcomes held across all analyses, indicating a pattern of gender-influenced editorial decisions  
371 regardless of journal prestige (as determined by impact factor). Together, these data indicate a  
372 persistent penalty for senior women microbiologists who participate in ASM journals.

373 The proportion of women as first authors is higher than data obtained globally and from  
374 self-reported ASM membership data, which was higher than the proportion of senior women  
375 authors at ASM journals. Only half as many women who were junior authors at ASM journals  
376 were also senior authors when compared to men. The representation of women decreased as  
377 the prestige (e.g., reviewer, editor) increased. These trends are consistent with representation of  
378 senior women in academic biological sciences and the observation that women are more likely  
379 to leave academia during the transition from postdoc (junior) to investigator (senior) (34). These  
380 data indicate that microbiology (as represented by ASM journals) is not exempt from the issues  
381 that limit the retention of women through academic ranks.

382 How to define representation and determine what the leadership should look like are recurring  
383 questions in STEM. Ideally, the representation for men and women corresponding authors,  
384 reviewers, and editors would reflect the number of Ph.D.s awarded (about 50% each, when  
385 considered on a binary spectrum). We argue that the goal should depend on the workload and  
386 visibility of the position. Since high visibility positions (e.g., editor, EIC) are filled by a smaller  
387 number of individuals that are responsible for recruiting more individuals into leadership, filling  
388 these positions should be done aspirationally (i.e., 50% should be women if the goal were an  
389 aspirational leadership). This allows greater visibility for women as experts, expansion of the

390 potential reviewer network, and recruitment into those positions (35–37). Conversely, lower  
391 visibility positions (e.g., reviewers) require effort from a greater number of individuals and should  
392 thus be representational of the field to avoid overburdening the minority population (i.e., since  
393 23.5% of corresponding authors to ASM journals are women, then 20–25% of reviewers should  
394 be women). Balancing the workload is particularly important given the literature indicating that  
395 women faculty have higher institutional service loads than their counterparts who are men (38).

396 In contrast to institutional service, the editing workload at ASM journals seems to be predominantly  
397 borne by men. A possible explanation for the difference in gatekeeper representation and editor  
398 workloads is that women are more likely to study non-traditional sub-disciplines (30–32). Their  
399 separation from the traditional center of a field decreases their perceived competency, which  
400 could result in research typecasting and lower manuscript handling responsibilities. However,  
401 our data could not confirm this phenomenon at ASM journals. Another possibility is the increased  
402 proportion of potential reviewers that either did not accept, or did not respond to, requests to review  
403 from women editors. This increases the proportion of reviewers that women editors must contact,  
404 adding additional time and work to their editorial burdens, thus making them seem less efficient  
405 (i.e., less capable) than men editors. Three journals, *mBio*, CVI, and JVI were exceptions with  
406 regards to editorial workloads. At these journals, the editorial workloads of women exceeds their  
407 representation. A possible explanation for CVI and JVI is that both of these journals have been  
408 led by women EICs. The tendency for reviewers to reject requests to review from editors that are  
409 women, may also extend to editors that are men; this could result in men editors being more likely  
410 to reject requests to handle manuscripts from EICs that are women. Our data differ from those  
411 of Fox, Burns, and Meyer who found that the gender of the editor influenced the gender of the  
412 contacted reviewers (14), but supports findings that women editors contact more reviewers than  
413 men (39).

414 Our data also revealed some disturbing patterns in gendered authorship that have implications for  
415 the retention of women microbiologists. Previous research suggests that women who collaborate  
416 with other women receive less credit for these publications than when they collaborate with men  
417 (40), and that women are more likely to yield corresponding authorship to colleagues that are  
418 men (21). In our linear regression models, the number of authors on a manuscript was the

419 largest contributor to avoiding editorial rejections, suggesting that highly collaborative research  
420 is preferred by editors (41). This observation was supported by the positive correlation between  
421 citations and author count (Fig. S6). It was concerning that when the number of authors exceeded  
422 30 on a manuscript (N=59), the proportion of individuals inferred to be women was always below  
423 51%, despite equivalent numbers of trainees in the biological sciences (Fig. S4). Additionally,  
424 while women corresponding authors submitted fewer manuscripts, more of them (both numerically  
425 and proportionally), had a majority of women co-authors, compared to those submitted by men  
426 corresponding authors, which supports previous findings that women are more likely to collaborate  
427 with other women (23, 42–44). This gender-based segregation of collaborations at ASM journals  
428 likely has had consequences in pay and promotion for women and could be a factor in the  
429 decreased retention of senior women. It would likely be aggravated by the under representation of  
430 women as corresponding authors, which may also have negative consequences for their careers  
431 and microbiology, since senior authorships impact status in the field. Buckley et al., suggested  
432 that being selected as a reviewer increases the visibility of a researcher, which has a direct  
433 and significant impact on salary (18). Therefore, the under representation of women as senior  
434 authors and reviewers likely hampers their career progression and even their desire to progress,  
435 since status in the peer review process also signals adoption of the researcher into the scientific  
436 community (18, 45). The retention of women is important to the progress of microbiology since less  
437 diversity in science limits the diversity of perspectives and approaches, thus stunting the search  
438 for knowledge.

439 Whether academic research journals support women has been the topic of many papers, which  
440 note the lack of women authors publishing relative to men in high impact journals (46–52).  
441 However, submissions data are required to determine if the lack of representation is due to  
442 low submissions or bias during peer review. Using such data, we have shown that there is a  
443 disparity in submissions from senior women in microbiology compared to men, but this does  
444 not fully account for the difference in publications by men and women corresponding authors  
445 at ASM journals (Fig. 4). When examining manuscript outcomes, we found a consistent trend  
446 favoring positive outcomes for manuscripts submitted by corresponding authors that were men  
447 (Fig. 5). Manuscripts submitted by corresponding authors who were women were editorially

448 rejected at greater rates and gatekeepers of both genders favored rejection for manuscripts  
449 authored by women. Neither geographic (i.e., US or not), institution type, nor sub-discipline could  
450 fully account for the observed gender-based outcomes (Fig. 6, 7, S6, and S7). Instead, the  
451 presence of outcomes that favor men over women from U.S. R1 institutions and medical schools  
452 and institutes suggests that the penalty for women persists, even in environments with generally  
453 excellent resources and infrastructure for research. Science and the peer review system select for  
454 decisions that are often based on the assumption that scientists are objective, impartial experts.  
455 As a result, scientists who believe themselves immune to bias are making decisions that inherently  
456 rely on cognitive biases to speed the process (53). The types of implicit biases and penalties at  
457 play, and their potential roles in peer review, are well documented (54, 55). For instance, previous  
458 studies show that a greater burden of proof is required for women to achieve similar competency  
459 as men and that women are less likely to self-promote (and are penalized if they do) (6, 56, 57).  
460 These and similar implicit biases might train women to be more conservative in their manuscript  
461 submissions, making our observed difference in outcomes even more concerning.

462 Even if a gatekeeper does not know the corresponding author or their gender, there remain ample  
463 avenues for implicit bias during peer review. The stricter standard of competency has led women  
464 to adopt different writing styles from men, resulting in manuscripts with increased explanations,  
465 detail, and readability than those authored by men (28, 58). These differences in writing can act  
466 as subtle cues to the author's gender. Additionally, significant time, funds, and staff are required to  
467 be competitive in highly active fields, but women are often at a disadvantage for these resources  
468 due to the cumulative affects of implicit bias and their structural penalties (9–11). As a result,  
469 corresponding authors that are women may be spending their resources in research fields where  
470 competition impacts are mitigated and/or on topics that are historically understudied. This has the  
471 disadvantage of further decreasing perceived competency of these women scientists compared  
472 to those studying core research field(s) (30–32). Alternatively, non-traditional research may be  
473 seen as less impactful, leading to poorer peer review outcomes (33). These possibilities are  
474 reflected in our data, since while the number of revisions before publication is identical for both men  
475 and women, manuscripts authored by women have increased rejection rates and time spent on  
476 revision. This suggests that manuscripts submitted by women receive more involved critiques (i.e.,

477 work) from reviewers and/or their competency to complete revisions within the prescribed 30 days  
478 is doubted, compared to men. Women may also feel that they need to do more to meet reviewer  
479 expectations, thus leading to longer periods between a decision and resubmission. Finally, our  
480 data show a penalty for women researching mycology (Fig. S7). Despite being among the most  
481 deadly infectious diseases in 2016 (along with tuberculosis and diarrheal diseases), mycology  
482 is an underserved, and underfunded, field in microbiology that has historically been considered  
483 unimportant (59–62). Microbiology would benefit from a more nuanced evaluation of sub-fields to  
484 better understand how they interact with gender and peer review outcomes.

485 A limitation to our methodology is the use of an algorithm to infer gender from first names. While  
486 we report a high accuracy (0.97-0.99) where gender was inferred, this method left us with a  
487 category of unknown gendered individuals. Additionally, the gender of an individual may be  
488 interpreted differently according to the reader (e.g., Kim is predominately a woman's name in  
489 the U.S., but likely a man's name in other cultures). The increase in unknown gendered authors  
490 corresponds to an increase in submissions to ASM journals from Asian countries, particularly  
491 China. Anecdotally, most editorial rejections are poor quality papers from Asia. Our method had  
492 low performance on non-gendered languages from this region (Supp Text, Fig. S8) resulting  
493 in exclusion of many Asia-submitted manuscripts from the decision outcome analyses, which  
494 increased our confidence that the trends observed were gender-based. For corresponding  
495 authors, manuscript submissions are the end product of several other prior decisions such  
496 as a mentor's implicit bias(es), postdoctoral fellowships, faculty applications, start-up funding  
497 negotiations, and grant proposals. These prior factors, which cannot be accounted for in our  
498 analysis, along with a small effect size observed in some analyses, limit quantifying the degree to  
499 which gatekeeper decisions account for the disparate gender-influenced outcomes. However, the  
500 consistency of decisions to benefit men corresponding authors over women across all journals  
501 included in this study, in addition to accumulated literature to-date, confirms that this descriptive  
502 study is highly relevant for the ASM as a society. Our findings offer opportunities to address  
503 gendered representation in microbiology and systemic barriers in peer review at our journals.

504 All parties have an opportunity and obligation to advance underrepresented groups in science  
505 (63). We suggest that journals develop a visible mission, vision, or other statement that commits

506 to equity and inclusion and includes a non-discrimination clause regarding decisions made by  
507 editors and editors-in-chief. This non-discrimination clause would be backed by a specific protocol  
508 for the reporting of, and response to, instances of discrimination and harassment. Second,  
509 society journals should begin collecting additional data from authors and gatekeepers such as  
510 race, ethnicity, gender identity, and disabilities. This data should not be readily available to journal  
511 gatekeepers, but instead kept in a dis-aggregated manner that allows for public presentation and  
512 tracking the success of inclusive measures to maintain accountability. Third, society journals  
513 can implement mechanisms to explicitly provide support for women and other minority groups,  
514 reward inclusive behavior by gatekeepers, nominate more women to leadership positions, and  
515 recruit manuscripts from sub-fields that are more likely to attract women and other minorities  
516 (33). We can all help advance women (and other marginalized groups) within the peer review  
517 system by changing how we select experts in our field. For instance, authors can suggest  
518 more women as reviewers using “Diversify” resources (64), while reviewers can agree to review  
519 for women editors more often. Editors can rely more on manuscript reference lists and data  
520 base searches than personal knowledge to recruit reviewers (65), and journals can improve the  
521 interactivity and functionality of the reviewer selection software. Given the propensity for journals  
522 to recruit editors and EiCs from within their already skewed reviewer pools, opening searches  
523 to include more scientists in their reviewer pool and/or editors from outside the journal while  
524 enacting more transparent processes could be a major component of improving representation.  
525 Growing evidence suggests that representation problems in STEM are due to retention rather  
526 than recruitment. We need to align journal practices to foster the retention of women and other  
527 minority groups.

528 Most approaches to disparate outcomes focus on choices made by individuals, such as  
529 double-blinded reviews and implicit bias training. These cannot fully remedy the effects of implicit  
530 bias and may even worsen outcomes (66, 67). Since disparate outcomes (by gender, geographic,  
531 prestige, or otherwise) are partially the result of accumulated disadvantages and actions resulting  
532 from implicit biases, a structural, system-wide approach is required. Broadly, peer review is a  
533 nebulous process with expectations and outcomes that vary considerably, even within a single  
534 journal. Academic writing courses suffer similar issues and have sought to remedy them with

535 rubrics. When implemented correctly, rubrics can reduce implicit bias during evaluation and  
536 enhance the evaluation process for both the evaluator and the evaluatee (68–71). We argue  
537 that rubrics could be implemented in the peer review process to focus reviewer comments,  
538 clarify editorial decisions, and improve the author experience. Such rubrics should increase the  
539 emphasis on solid research, as opposed to novel or “impactful” research, the latter of which  
540 is a highly subjective measure (72, 73). This might also change the overall negative attitude  
541 toward replicative research and negative results, thus bolstering the field through reproduciblity.  
542 We also argue that reconsidering journal scope and the membership of their honorary editorial  
543 boards might help address structural penalties resulting from implicit bias against women (and  
544 other minorities) in peer review. Expanding journal scope and adding more handling editors  
545 would improve the breadth of research published, thus providing a home for more non-traditional  
546 and underserved research fields (the case at *mSphere* with an increased pool of editors).  
547 Implementing these steps to decrease implicit bias and structural penalties—review rubrics,  
548 increased focus on solid research, expansion of journal scopes and editorial boards—will also  
549 standardize competency principles for researchers at ASM journals and improve microbiology as  
550 a whole.

551 Although the level of bias at many ASM journals is small, it is present. Peer review at ASM journals  
552 is not immune to the accumulated disadvantages against women in microbiology. However, the  
553 adaptation of women and other marginalized groups to implicit bias (e.g., area of research and  
554 communication styles), make it impossible to address at the individual level. Instead, we must  
555 commit to changing the fundamental structure and goals of peer review to minimize the impact  
556 of such bias. We encourage ASM journals, as well as other societies, to institute more fair and  
557 transparent procedures and approaches of peer review. The self-correcting nature of science is  
558 a badge that scientists wear proudly, but no single report or action can correct the inertia of a  
559 centuries-old institution. Instead, it requires the long-standing and steady actions of many. Our  
560 findings reflect many similar reports, and suggest concrete actions to correct the inertia of peer  
561 review at all levels. The next step is commitment and implementation.

562 **Data and Methods**

563 **Data.** All manuscripts handled by ASM journals (e.g., *mBio*, *Journal of Virology*) that received  
564 an editorial decision between January 1, 2012 and August 31, 2018 were supplied as XML files  
565 by ASM's publishing platform, eJP. Data were extracted from the XML documents provided,  
566 manipulated, and visualized using R statistical software (version 3.4.4) and relevant packages.  
567 Variables of interest included: the manuscript number assigned to each submission, manuscript  
568 type (e.g., full length research, erratum, editorial), category (e.g., microbial ecology), related  
569 (i.e., previously submitted) manuscripts, number of versions submitted, dates (e.g., submission,  
570 decision), author data (e.g., first, last, and corresponding authorship, total number of authors),  
571 reviewer data (e.g., recommendation, editor decision), and personal data (names, institutions,  
572 country) of the editors, authors, and reviewers. For this analysis, only original, research-based  
573 manuscripts were included, e.g., long- and short-form research articles, New-Data Letters,  
574 Observations, Opinion/Hypothesis articles, and Fast-Track Communications. To help protect the  
575 confidentiality of peer review, names were removed from all records, and identifying data (e.g.,  
576 manuscript numbers, days of date), were replaced with randomized values.

577 **Institution classification.** To identify the communities represented, we used Carnegie  
578 classifications to group US-based academic institutions into R1 research (very high research  
579 activity), R2 research (high research activity), four-year medical schools, or low research (i.e.,  
580 not R1, R2, or medical school) (26). Research institutes (e.g., Mayo Clinic, Cold Springs  
581 Harbor), industry (e.g., pharmaceutical), and federal (e.g., NIH, CDC) research groups were  
582 identified using the internet. Four-year medical schools and research institutions were grouped  
583 together since these typically share research prestige and have considerable resources to  
584 support research. Industry and federal research were grouped separately. The "Other" category  
585 represents uncategorized US institutions. Non-US institutions were a category on their own.

586 **Gender inference.** The genderize.io API was used to infer an individual's gender based on their  
587 given name and country where possible. The genderize.io platform uses data gathered from social  
588 media to infer gender based on given names with the option to include an associated language or  
589 country to enhance the probability of successful inference. Since all manuscripts were submitted

590 in English, which precludes language association for names with special characters, names were  
591 standardized to ASCII coding (e.g., “José” to “Jose”). We next matched each individuals’ country  
592 against the list of 242 country names accepted by genderize.io. Using the GenderGuesser  
593 package for R, all unique given names associated with an accepted country were submitted to  
594 the genderize.io API and any names returned without an inferred value of either male or female  
595 were resubmitted without an associated country. The data returned include the name, inferred  
596 gender (as “male”, “female”, “unknown”), the probability of correct gender inference (ranging  
597 from 0.5 to 1.0), and the number of instances the name and gender were associated together  
598 (1 or greater). The inferred genders of all given names (with and without an associated country)  
599 whose probabilities were greater or equal to a modified probability (pmod) of 0.85 were used to  
600 infer genders (man/woman) of the individuals in our data set (Supp Text). The presenting gender  
601 (man/woman) of editors and senior editors in our data set was inferred by hand using Google  
602 where possible, and the algorithm was validated using both editor and published data (Supp  
603 Text)(5).

604 **Manuscript outcome analysis.** To better visualize and understand the differences in outcomes  
605 according to author gender, we calculated the difference in percentage points between the  
606 proportion of that outcome for men and women. To correct for the disparity in the participation  
607 of women relative to men at ASM journals, all percentage point comparisons were made relative  
608 to the gender and population in question. For instance, the percentage point difference in  
609 acceptance rates was the acceptance rate for men minus the acceptance rate for women. A  
610 positive value indicated that men received the outcome more often than women, whereas a  
611 negative value indicated that women outperformed men in the given metric.

612 **Logistic regression models.** For the L2-regularized logistic regression models, we established  
613 modeling pipelines for a binary prediction task (74). First, we randomly split the data into training  
614 and test sets so that the training set consisted of 80% of the full data set while the test set  
615 was composed of the remaining 20% of the data. To maintain the distribution of the two model  
616 outcomes found with the full data set, we performed stratified splits. The training data was  
617 used to build the models and the test set was used for evaluating predictive performance. To  
618 build the models, we performed an internal five-fold cross-validation where we tuned the cost

619 hyper-parameter, which determines the regularization strength where smaller values specify  
620 stronger regularization. This internal cross-validation was repeated 100 times. Then, we trained  
621 the full training data set with the selected hyper-parameter values and applied the model to  
622 the held-out data to evaluate the testing predictive performance of each model. The data-split,  
623 hyper-parameter selection, training and testing steps were repeated 25 times to get a reliable and  
624 robust reading of model performance. Models were trained using the machine learning wrapper  
625 caret package (v.6.0.81) in R (v.3.5.0).

626 **Code and data availability** Anonymized data and code for all analysis steps, logistic  
627 regression pipeline, and an Rmarkdown version of this manuscript, is available at [https://github.com/SchlossLab/Hagan\\_Gender\\_mBio\\_2019/](https://github.com/SchlossLab/Hagan_Gender_mBio_2019/)

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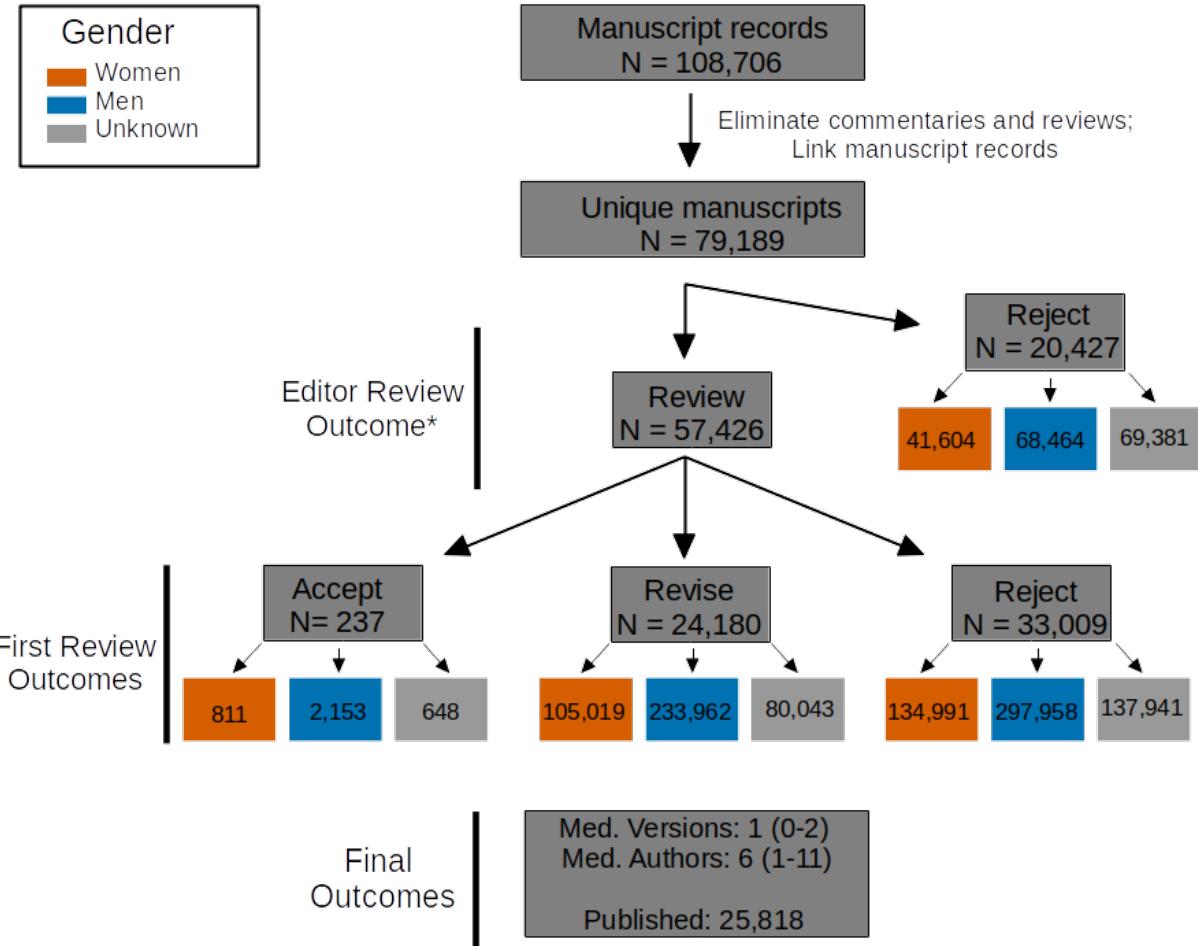
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819 Table 1. Analysis of sub-discipline participation by women corresponding authors at five ASM  
 820 journals.

| Journal Category |   | N    | % Accepted | % Editors | % Women Authors |
|------------------|---|------|------------|-----------|-----------------|
| AAC              | Analytical Procedures                       | 135  | 43.0       | 14        | 29              |
| AAC              | Antiviral Agents                            | 836  | 56.5       | 6         | 33              |
| AAC              | Biologic Response Modifiers                 | 44   | 40.9       | 12        | 43              |
| AAC              | Chemistry; Biosynthesis                     | 109  | 29.4       | 10        | 32              |
| AAC              | Clinical Therapeutics                       | 1060 | 48.9       | 13        | 31              |
| AAC              | Epidemiology and Surveillance               | 765  | 52.3       | 14        | 40              |
| AAC              | Experimental Therapeutics                   | 1329 | 57.4       | 13        | 28              |
| AAC              | FDA Approvals                               | 1    | NA         | NA        | NA              |
| AAC              | Mechanisms of Action: Physiological Effects | 597  | 51.8       | 14        | 30              |
| AAC              | Mechanisms of Resistance                    | 1783 | 60.0       | 14        | 36              |
| AAC              | Pharmacology                                | 878  | 66.6       | 13        | 29              |
| AAC              | Susceptibility                              | 1051 | 46.8       | 12        | 39              |
| AEM              | Biodegradation                              | 302  | 38.4       | 35        | 26              |
| AEM              | Biotechnology                               | 802  | 37.9       | 30        | 27              |
| AEM              | Environmental Microbiology                  | 2395 | 30.3       | 35        | 42              |
| AEM              | Enzymology and Protein Engineering          | 340  | 46.5       | 28        | 24              |
| AEM              | Evolutionary and Genomic Microbiology       | 279  | 48.4       | 32        | 30              |
| AEM              | Food Microbiology                           | 1216 | 38.2       | 33        | 39              |
| AEM              | Genetics and Molecular Biology              | 587  | 51.8       | 32        | 36              |
| AEM              | Geomicrobiology                             | 151  | 44.4       | 34        | 37              |
| AEM              | Invertebrate Microbiology                   | 317  | 45.7       | 29        | 37              |
| AEM              | Methods                                     | 529  | 39.7       | 30        | 29              |
| AEM              | Microbial Ecology                           | 1121 | 35.8       | 29        | 37              |
| AEM              | Mycology                                    | 73   | 47.9       | 33        | 44              |

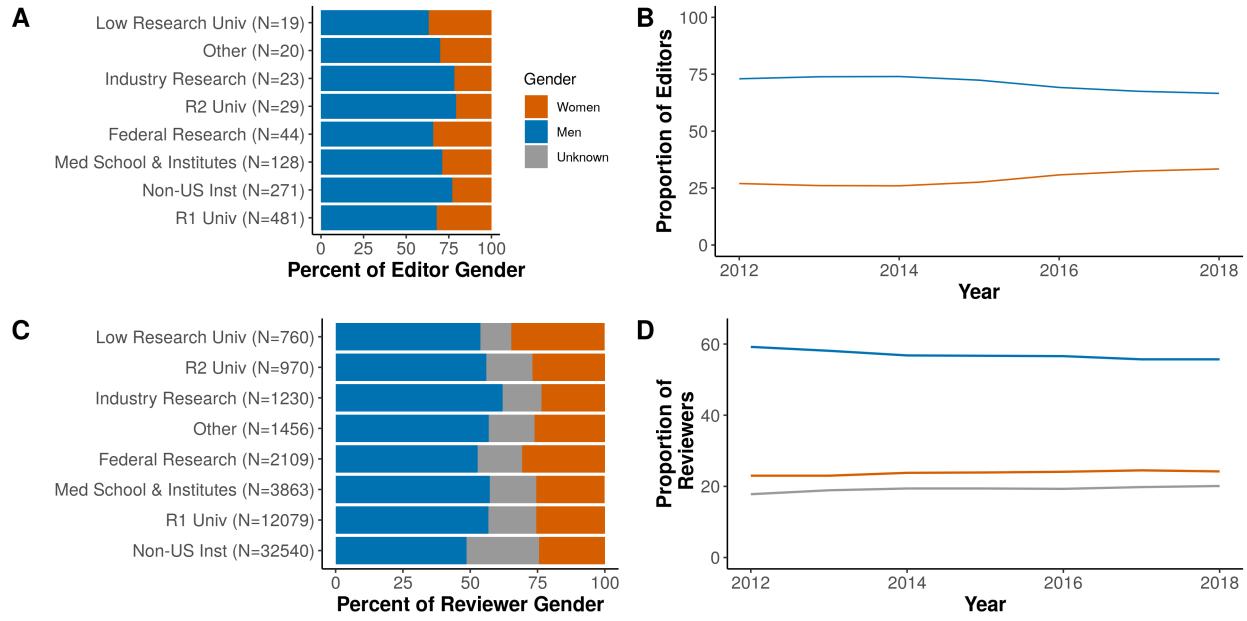
| Journal Category |                                       | N    | % Accepted | Editors | % Women Authors |
|------------------|---------------------------------------|------|------------|---------|-----------------|
| AEM              | Physiology                            | 356  | 50.3       | 32      | 31              |
| AEM              | Plant Microbiology                    | 346  | 36.4       | 29      | 39              |
| AEM              | Public and Environmental Health       | 893  | 34.0       | 32      | 45              |
|                  | Microbiology                          |      |            |         |                 |
| IAI              | Bacterial Infections                  | 716  | 58.4       | 35      | 36              |
| IAI              | Cellular Microbiology: Pathogen-Host  | 685  | 55.2       | 35      | 37              |
|                  | Cell Molecular Interactions           |      |            |         |                 |
| IAI              | Fungal and Parasitic Infections       | 353  | 59.5       | 33      | 33              |
| IAI              | Host Response and Inflammation        | 763  | 50.2       | 35      | 40              |
| IAI              | Host-Associated Microbial Communities | 7    | 57.1       | 43      | 86              |
| IAI              | Microbial Immunity and Vaccines       | 342  | 56.4       | 35      | 32              |
| IAI              | Molecular Genomics                    | 33   | 60.6       | 37      | 33              |
| IAI              | Molecular Pathogenesis                | 617  | 68.4       | 35      | 31              |
| JCM              | Bacteriology                          | 2952 | 33.2       | 27      | 41              |
| JCM              | Chlamydiology and Rickettsiology      | 80   | 32.5       | 25      | 41              |
| JCM              | Clinical Veterinary Microbiology      | 364  | 32.7       | 29      | 40              |
| JCM              | Epidemiology                          | 854  | 29.7       | 30      | 45              |
| JCM              | Fast-Track Communications             | 5    | 40.0       | 33      | 40              |
| JCM              | Immunoassays                          | 139  | 36.0       | 31      | 41              |
| JCM              | Mycobacteriology and Aerobic          | 510  | 42.9       | 32      | 41              |
|                  | Actinomycetes                         |      |            |         |                 |
| JCM              | Mycology                              | 587  | 37.3       | 19      | 39              |
| JCM              | Parasitology                          | 337  | 33.2       | 27      | 34              |
| JCM              | Virology                              | 1140 | 37.5       | 29      | 41              |
| JVI              | Cellular Response to Infection        | 604  | 51.2       | 36      | 32              |
| JVI              | Gene Delivery                         | 98   | 41.8       | 32      | 20              |
| JVI              | Genetic Diversity and Evolution       | 883  | 51.1       | 39      | 27              |

| Journal Category |   | N    | Accepted % | % Women |         |
|------------------|---|------|------------|---------|---------|
|                  |   |      |            | Editors | Authors |
| JVI              | Genome Replication and Regulation of<br>Viral Gene Expression | 813  | 64.6       | 39      | 23      |
|                  |   |      |            |         |         |
| JVI              | Pathogenesis and Immunity                                     | 1622 | 60.4       | 35      | 33      |
| JVI              | Prions  | 92   | 69.6       | 56      | 22      |
| JVI              | Structure and Assembly  | 725  | 71.3       | 39      | 29      |
| JVI              | Transformation and Oncogenesis                                | 154  | 59.1       | 39      | 36      |
| JVI              | Vaccines and Antiviral Agents                                 | 1149 | 59.2       | 36      | 28      |
| JVI              | Virus-Cell Interactions                                       | 2414 | 63.6       | 40      | 30      |



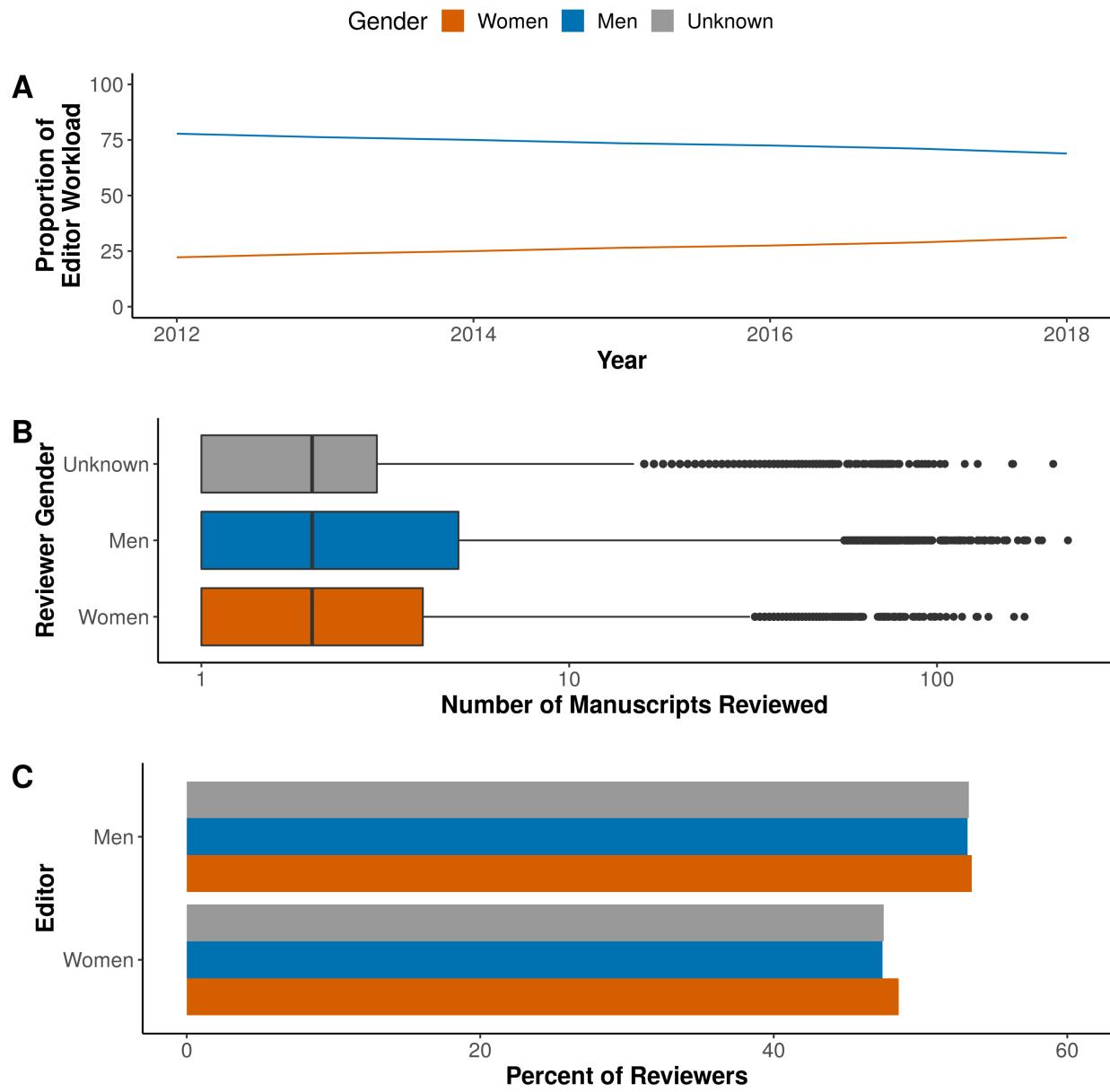
821

822 **Figure 1. Overview of manuscript outcomes.** 108,706 manuscript records were obtained  
 823 for the period between January 2012 and August 2018. After eliminating non-primary research  
 824 manuscripts and linking records for resubmitted manuscripts, we processed 79,189 unique  
 825 manuscripts. The median number of versions was 1 (IQR=0-2) with a median of 6 (IQR=1-11)  
 826 authors per manuscript. As of August 2018, 34,196 of these were published at ASM journals.  
 827 Revisions were requested for 24,016 manuscripts and 53,436 manuscripts were rejected at  
 828 their first submission. The number of individuals (e.g., author, editor, reviewer) involved in each  
 829 category of manuscript decision are indicated in the colored boxes: women (orange), men (blue),  
 830 and unknown (gray). \*A small number were given revise (242) or acceptance (1094) decisions  
 831 without review.



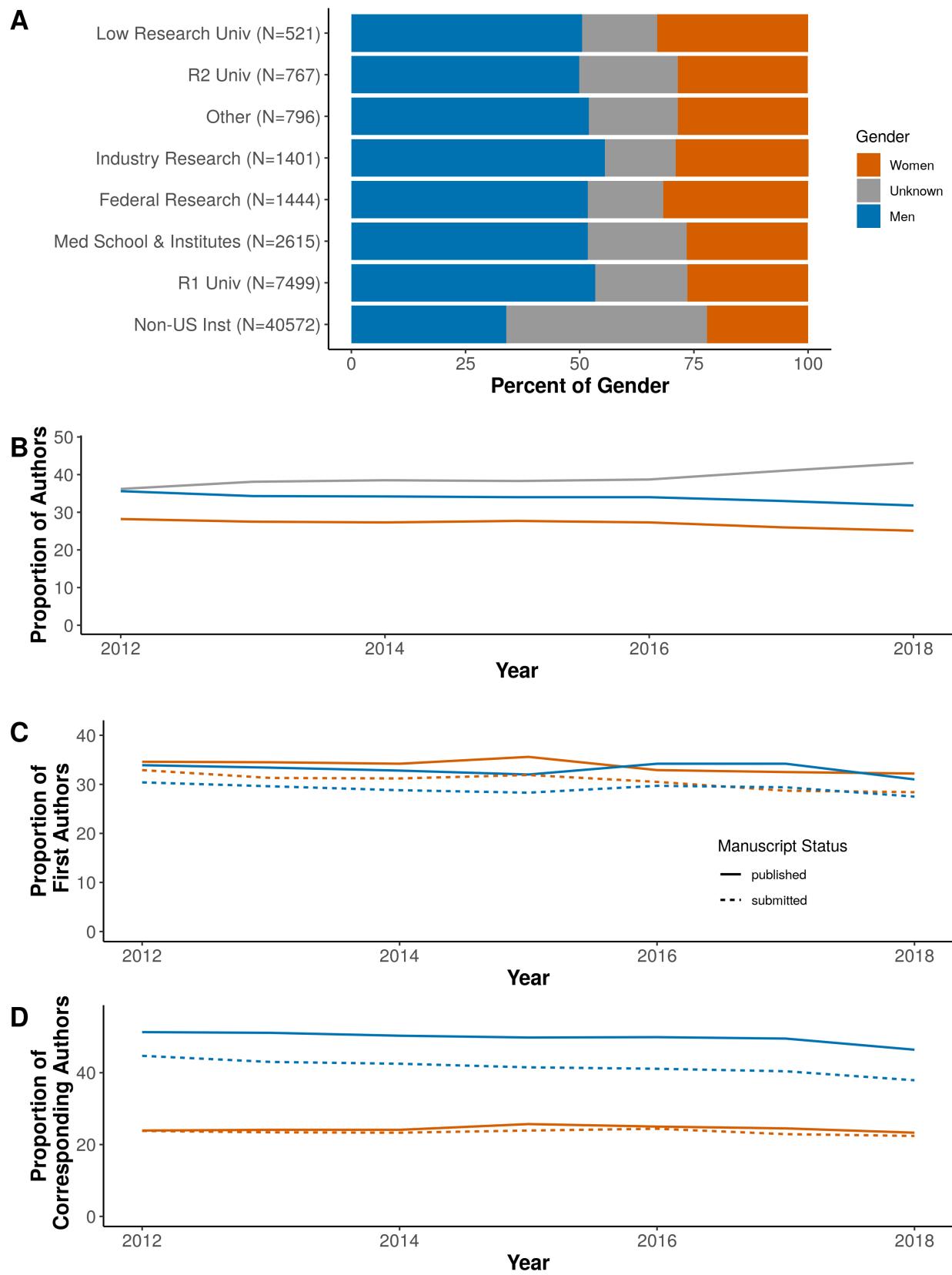
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833 **Figure 2. Gendered representation among gatekeepers.** Proportion of editors from (A)  
 834 institution types and (B) over time. Editors and senior editors are pooled together. Proportion of  
 835 reviewers from (C) institution types and (D) over time. (A,C) Each gender equals 100% when all  
 836 institutions are summed.(B,D) Each individual was counted once per calendar year, proportions  
 837 of each gender add to 100% per year.



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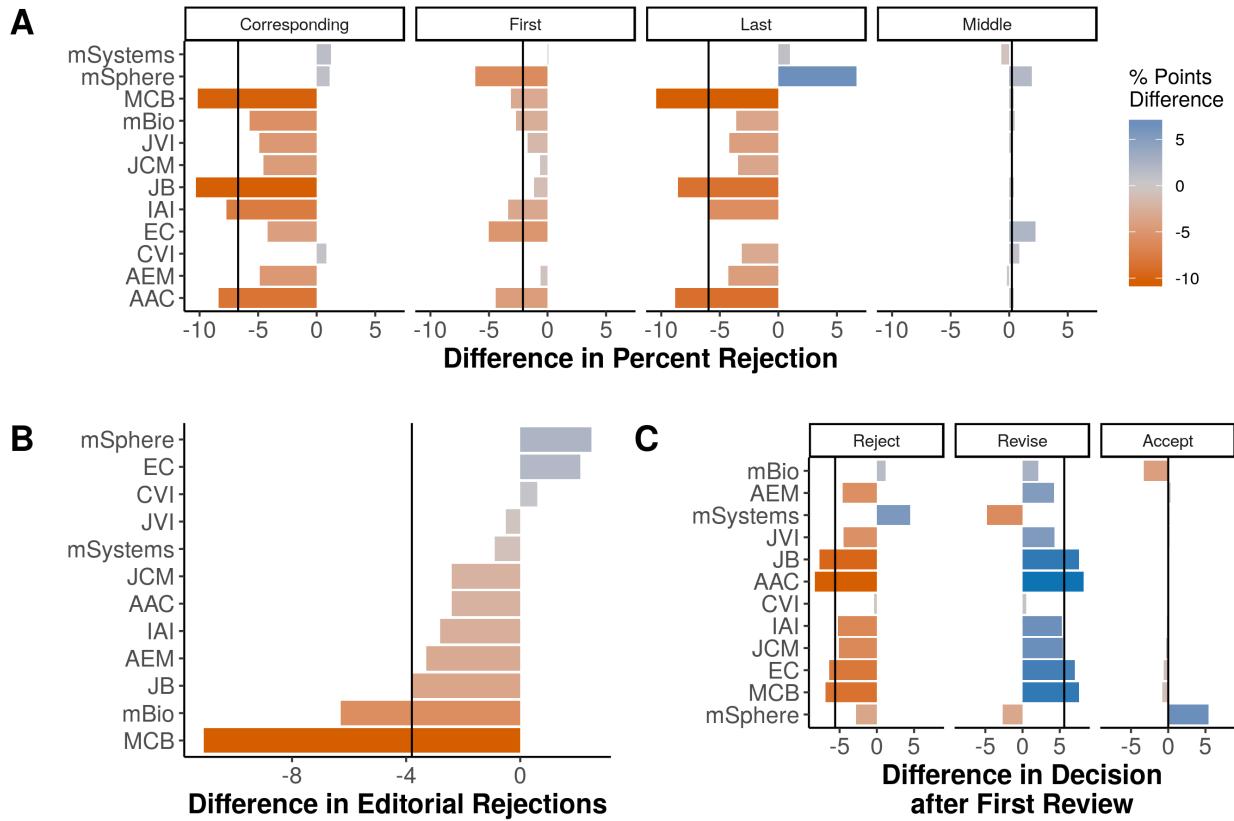
839 **Figure 3. Gatekeeper workload and response to requests to review.** (A) Proportion of  
 840 manuscript workloads by men and women editors, editorial rejections excluded. (B) Box plot  
 841 comparison of all manuscripts, by reviewer gender. (C) The percent of reviewers by gender that  
 842 accepted the opportunity to review, split according to the editor's gender.



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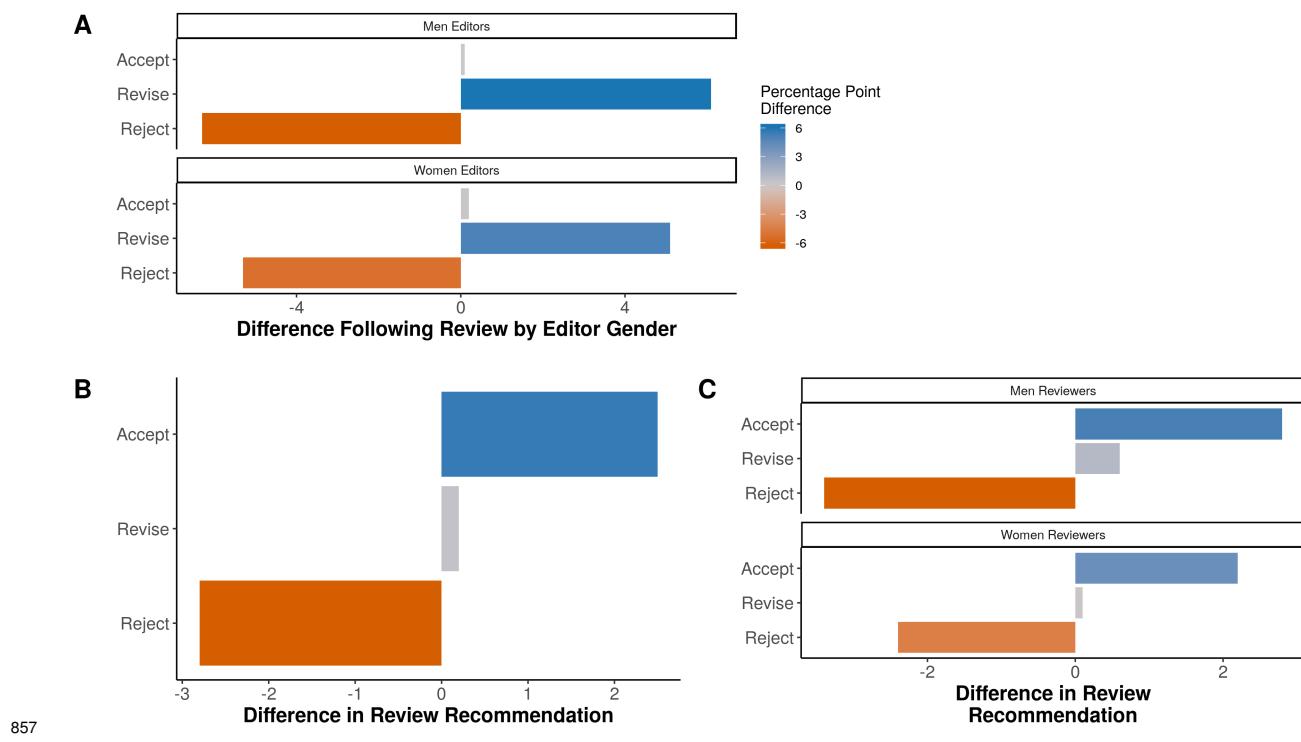
844 **Figure 4. Author representation by gender.** The proportion of (A) men and women senior

845 authors from each institution type, (B) men, women, and unknown authors from 2012 - 2018.  
846 Each individual was counted once per calendar year. The proportion of (C) first authors and (D)  
847 corresponding authors from 2012 - 2018 on submitted manuscripts (dashed line) and published  
848 papers (solid line).



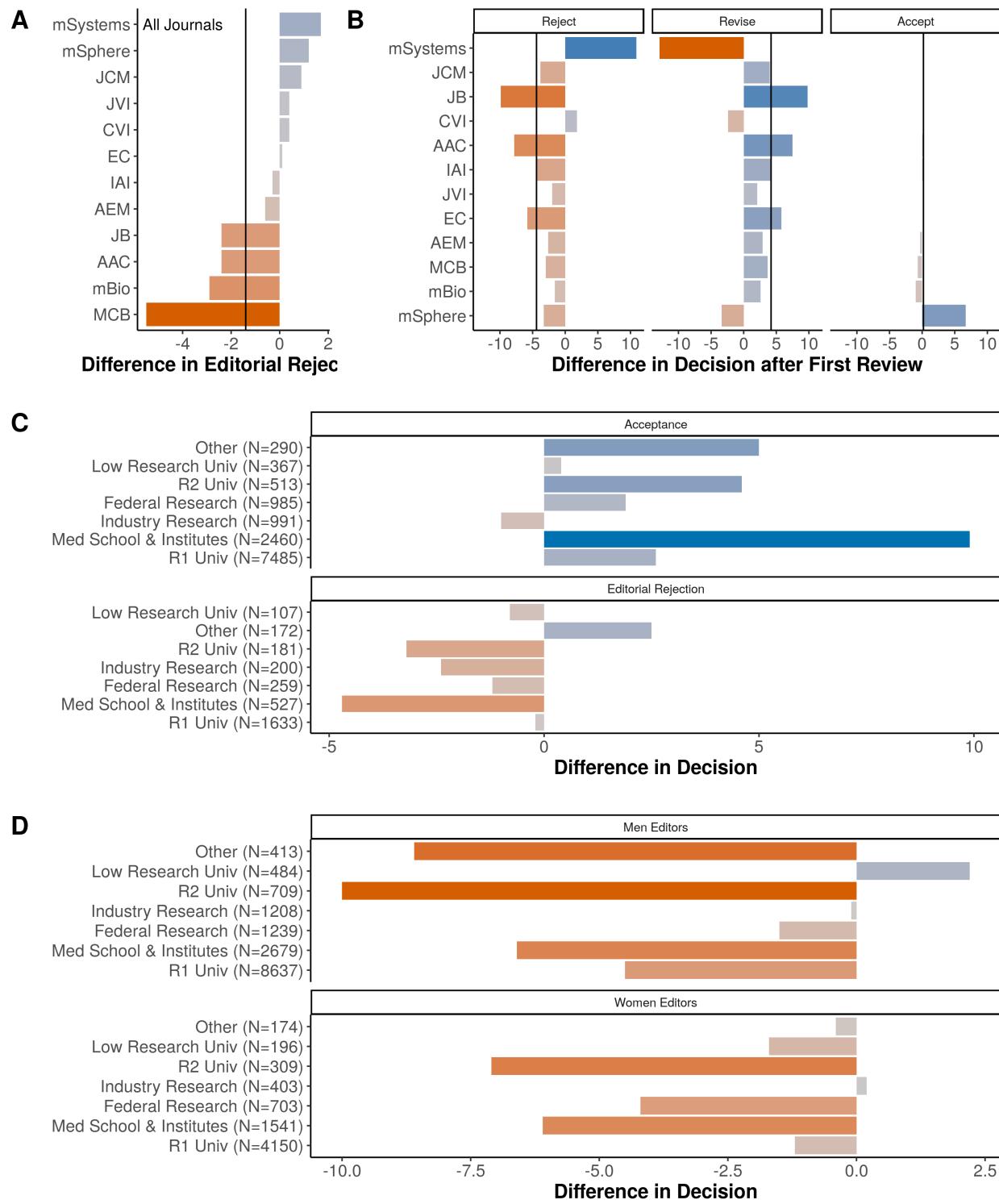
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850 **Figure 5. Difference in manuscript outcomes by author gender.** (A) The percent of  
 851 manuscripts rejected by author gender and type (e.g., corresponding, first, last, middle) at  
 852 any stage across all journals where 0 indicates equal rates of rejection. (B) The difference in  
 853 percent editorial rejection rates for corresponding authors at each journal. (C) The difference in  
 854 percentage points between each decision type for corresponding authors following the first peer  
 855 review. Vertical lines indicate the difference value for all journals combined. Absence of a bar  
 856 indicates no difference, or parity.



857 **Figure 6. Difference in decisions or recommendations according to the gatekeeper gender.**

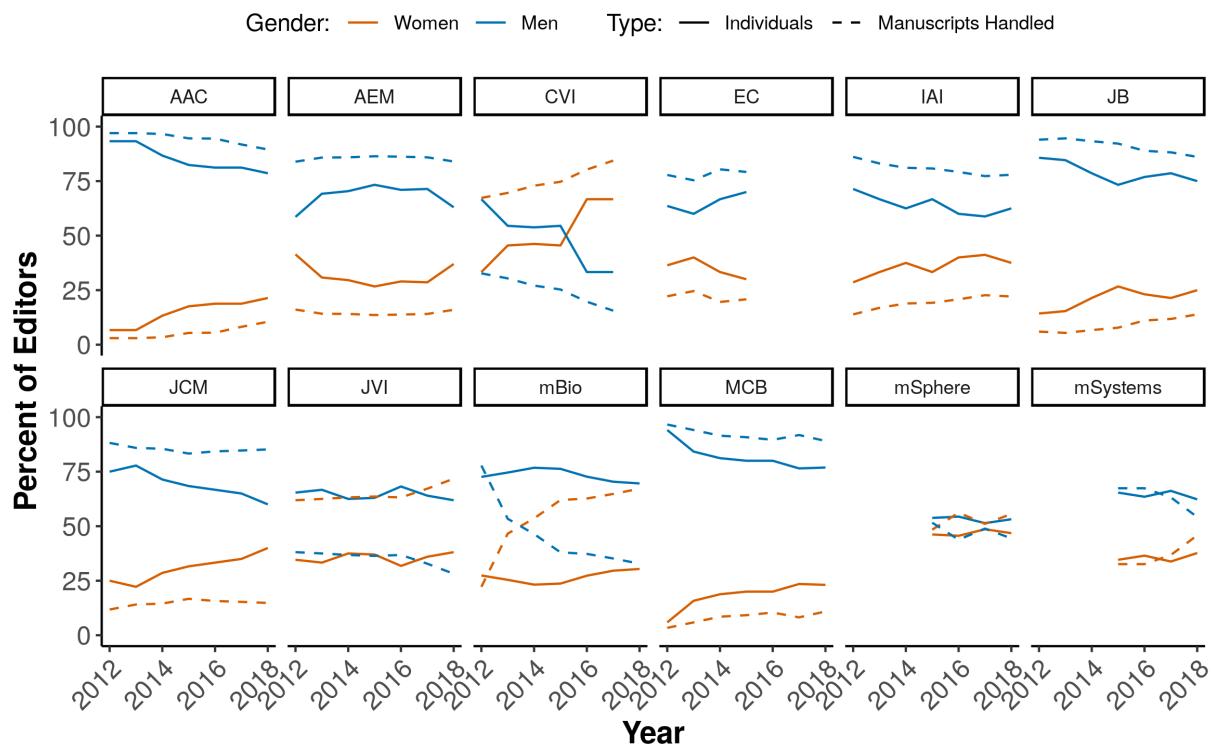
858 (A) Effect of editor gender on the difference in decisions following review. (B) Difference in  
 859 percentage points for review recommendations and (C) how that is affected by reviewer gender.  
 860 (A-C) All journals combined.



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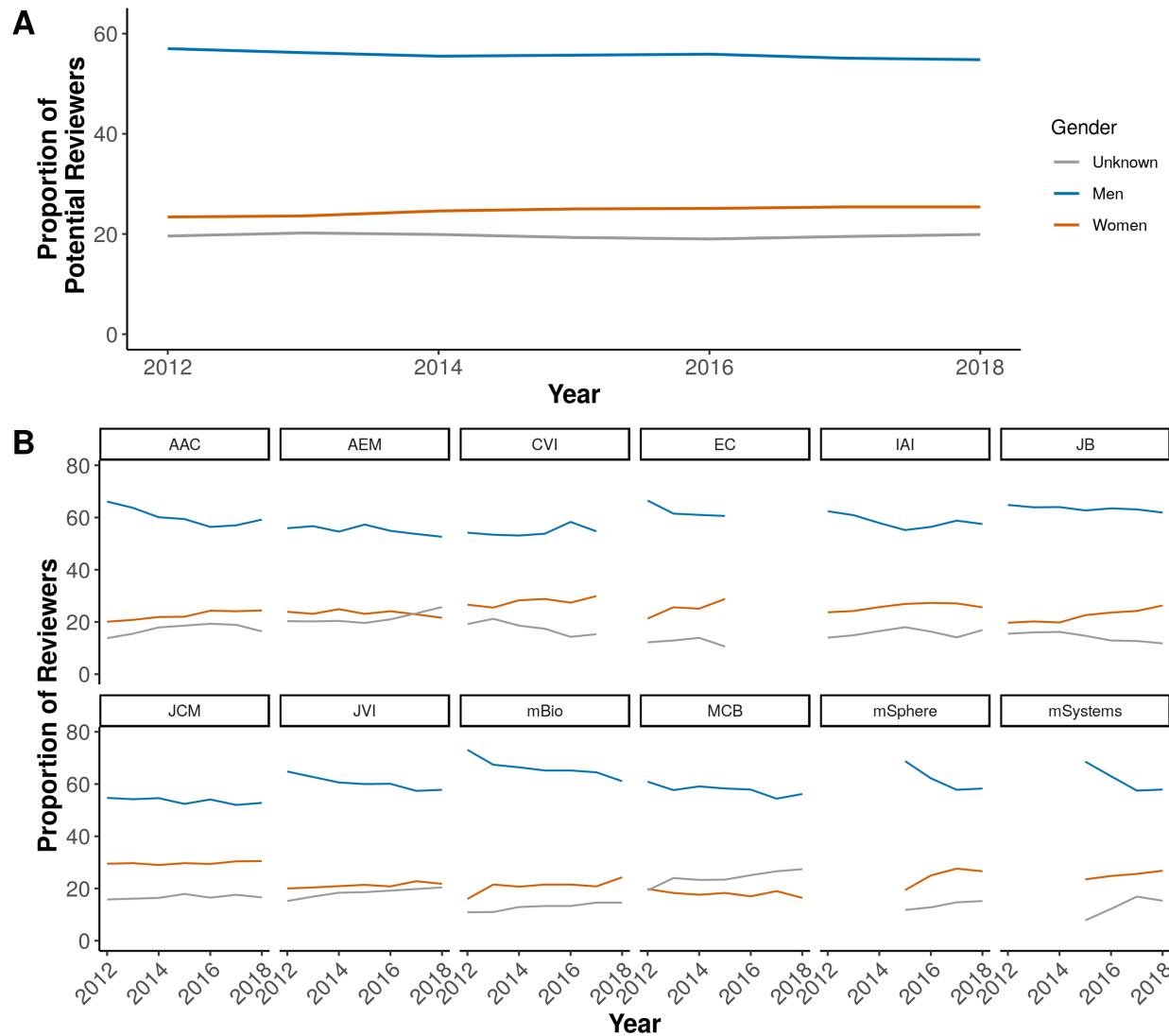
863 **Figure 7. Impact of origin and U.S. institution type on manuscript decisions by gender.**864 Difference in percentage points for (A) editorial rejections and (B) following first review of  
865 manuscripts submitted by US-based corresponding authors. Vertical line indicates value for

866 all ASM journals combined. (C) Difference in percentage points for acceptance and editorial  
867 rejections according to institution types and (D) acceptance decisions by editor gender and  
868 institution type.



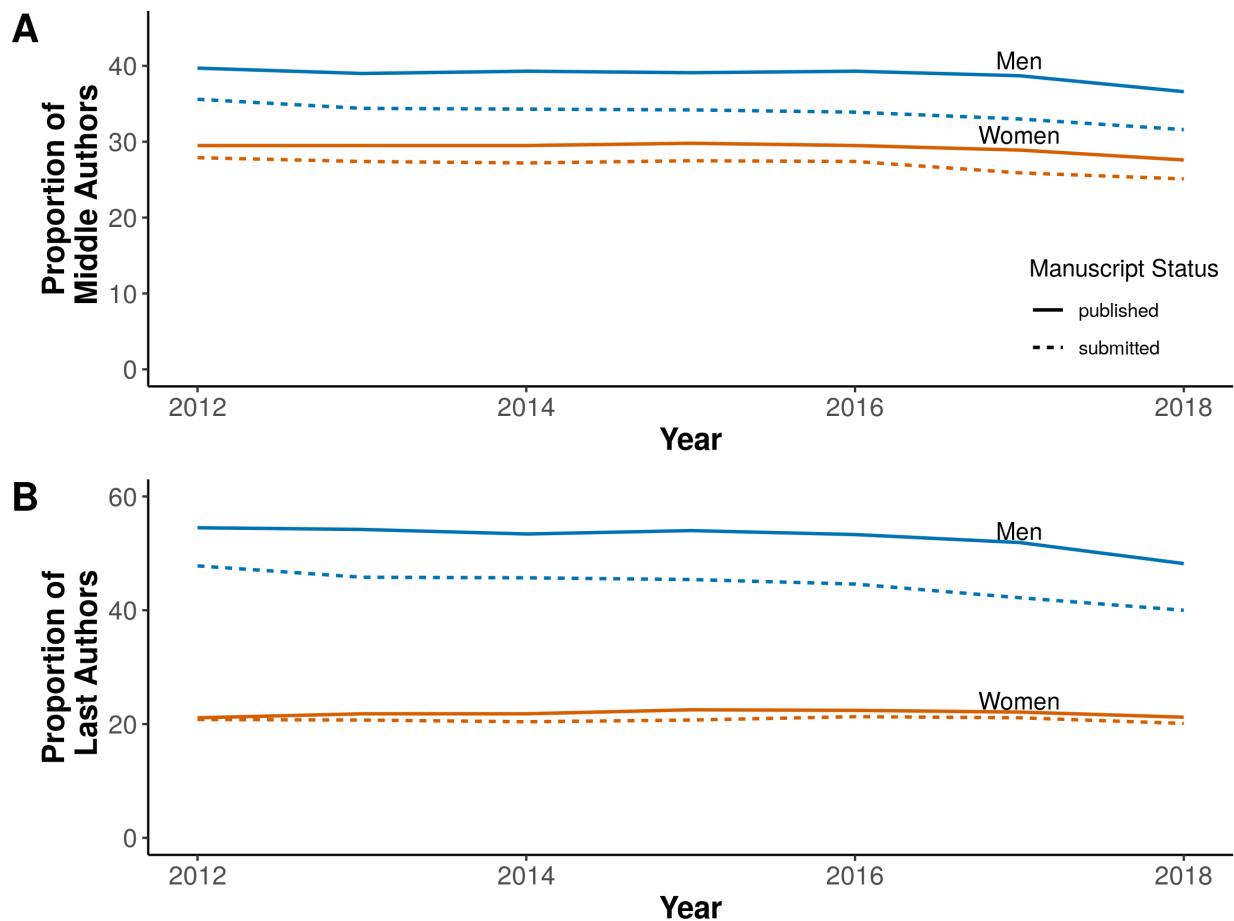
869

870 Figure S1. The proportion of editors (solid line) and their workloads (dashed line) at each ASM  
 871 journal from 2012 to 2018.



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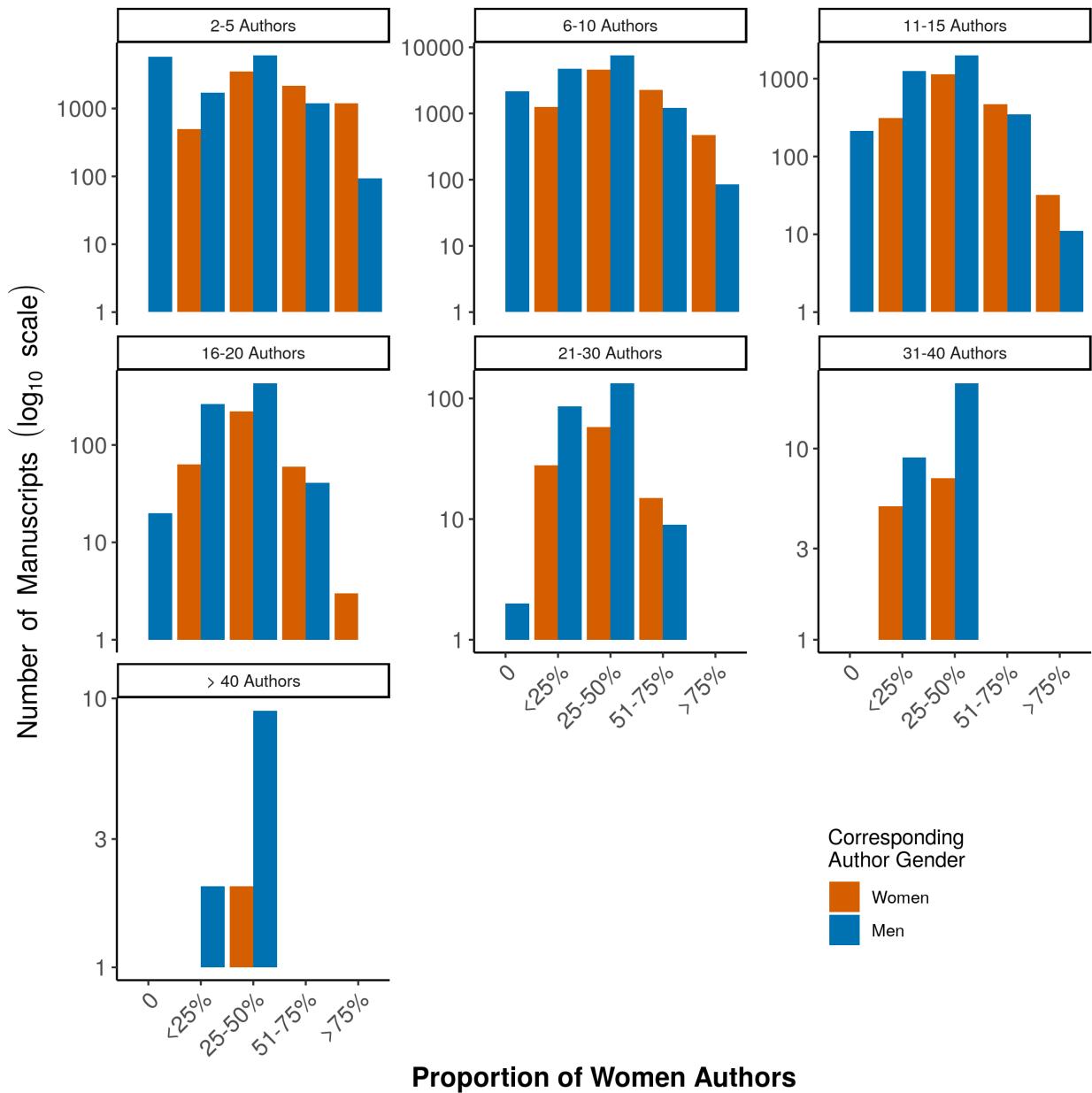
873 Figure S2. The proportion of (A) potential reviewers at all ASM journals combined, (B) reviewers  
 874 at each ASM journal.



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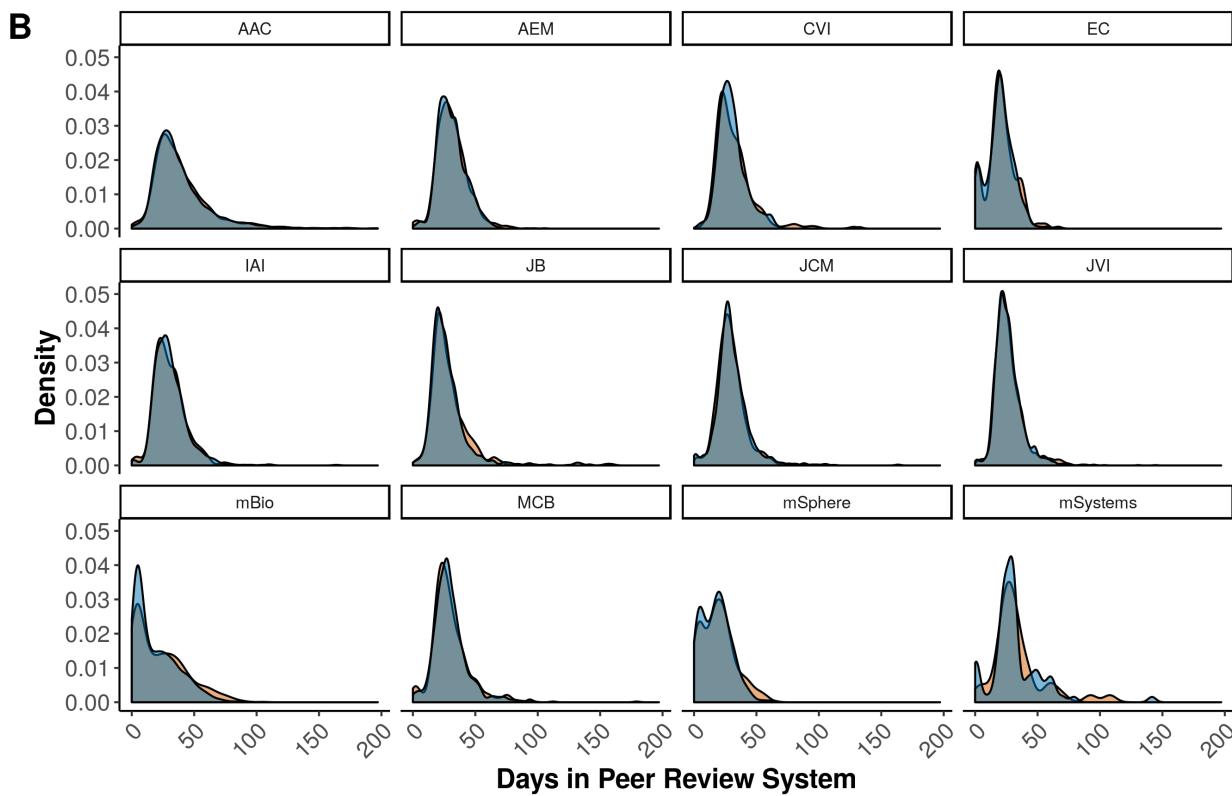
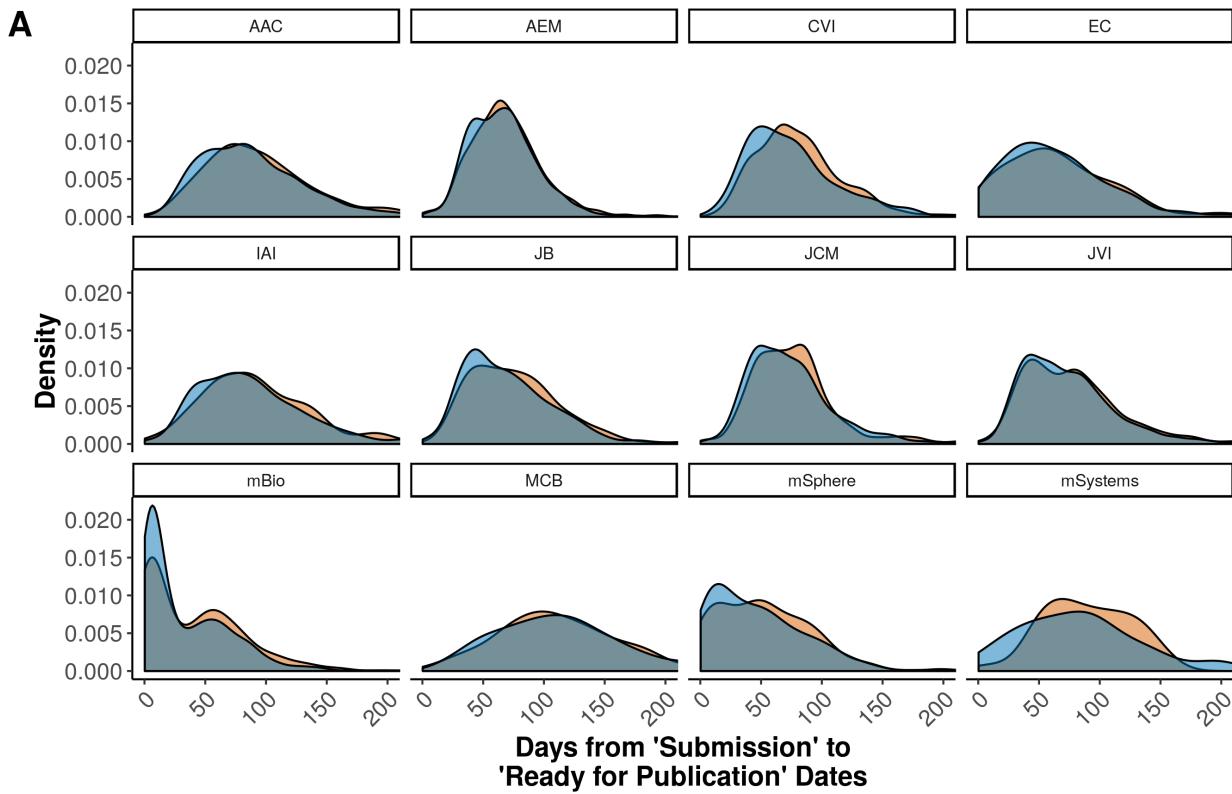
876 Figure S3. The proportion of all submitted (dashed line) and published (solid line) (A) middle and

877 (B) last authors by gender at each ASM journal.



878

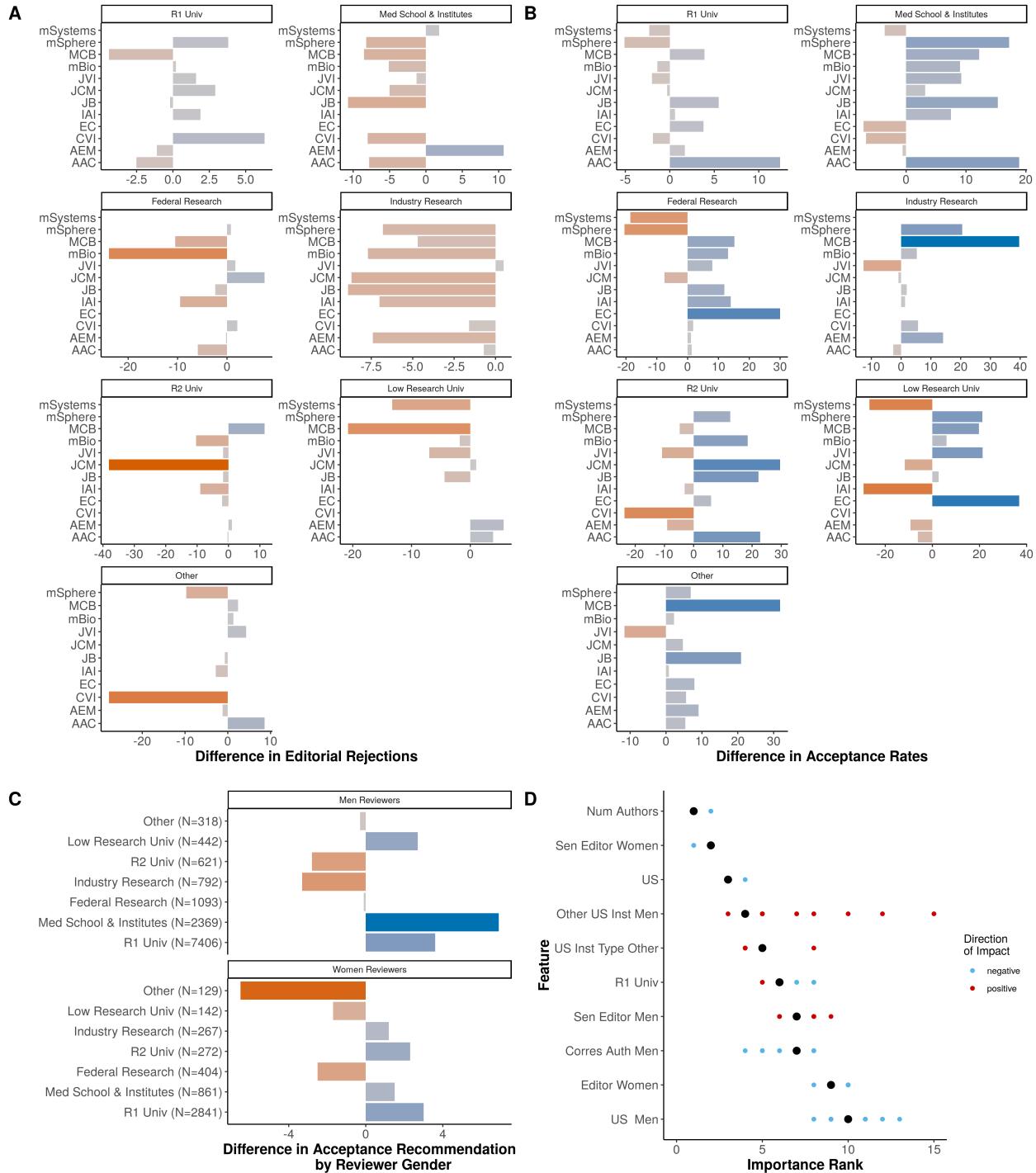
879 Figure S4. The proportion of women authors on submitted manuscripts according to the number  
 880 of authors and the gender of the corresponding author. Y axis indicates the total number of  
 881 manuscripts on a  $\log_{10}$  scale.



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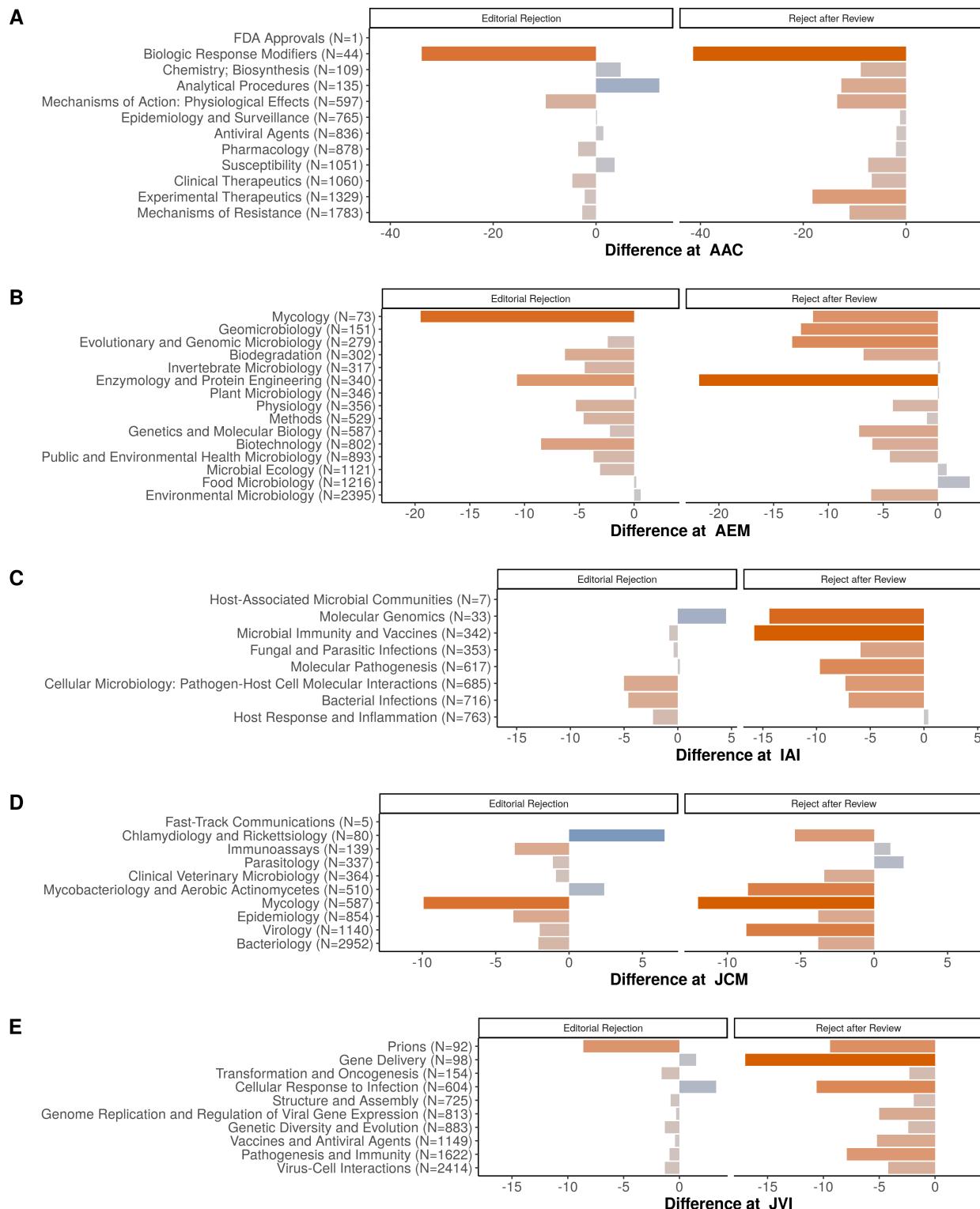
883 Figure S5. Comparison of time to final decision and impact by gender. The number of days (A)

884 between when a manuscript is initially submitted and finally published or (B) that a manuscript  
885 spends in the ASM peer review system.



886

887 Figure S6. Difference in A) editorial rejection and B) acceptance rates by journal and institution  
 888 type. C) Difference in review recommendations by reviewer gender and author institution type. D)  
 889 Median importance (black dot) of features affecting editorial rejections, and their range. Color of  
 890 smaller dots (N=25) indicate the direction of the impact.



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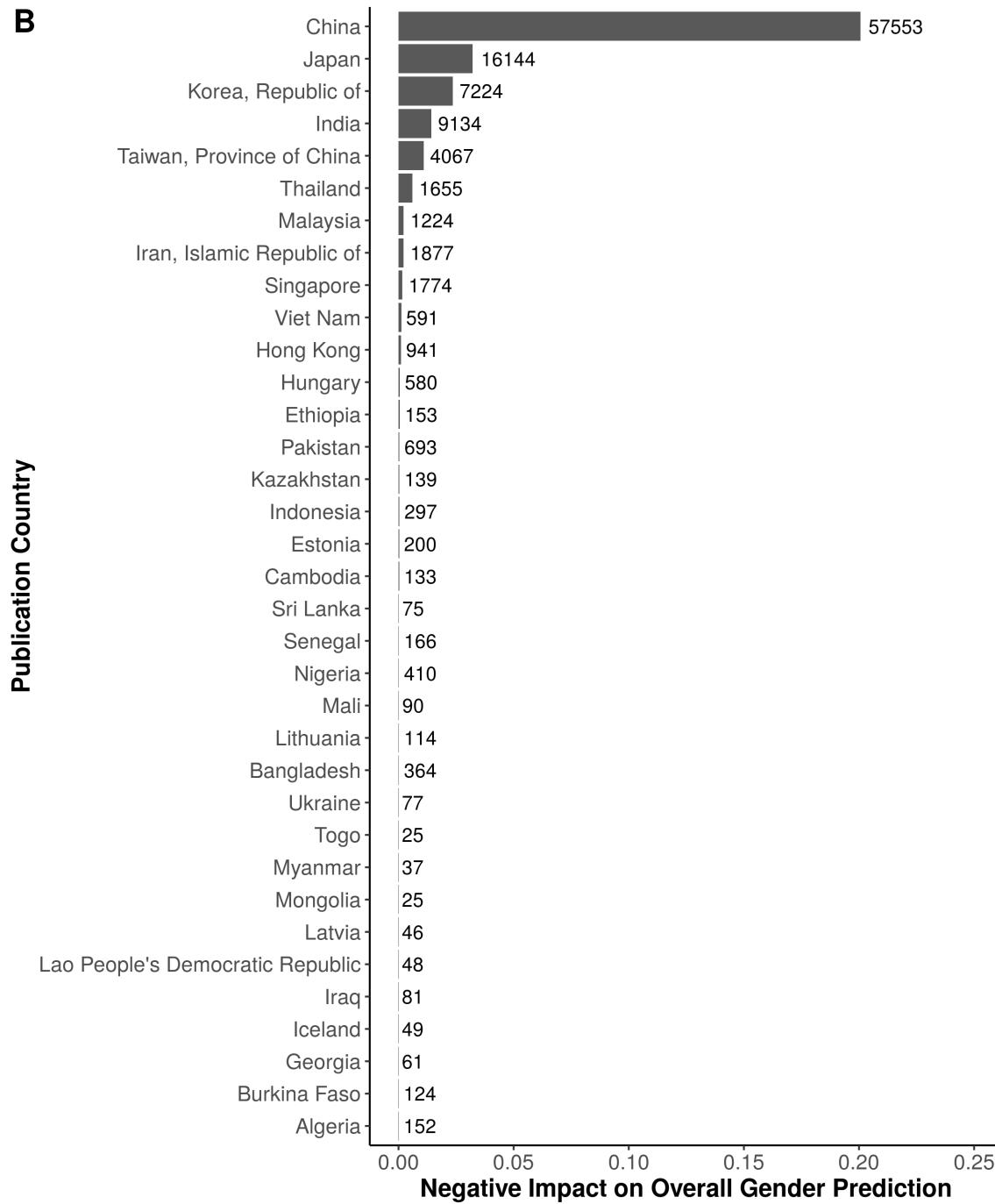
892 **Figure S7.** Difference in editorial rejections and rejections after review by corresponding author  
893 gender and manuscript category at (A) AAC, (B) AEM, (C) IAI, (D) JCM, and (E) JVI. In

<sup>894</sup> parentheses: N = the number of manuscripts submitted.

A

$$Impact_C = \left| \frac{(\% Unpredicted_C - \% Unpredicted_{Total}) \times \left( \frac{Observations_C}{Observations_{Total}} \right)}{\% Unpredicted_{Total}} \right|$$

B



896 S8. (A) Equation for calculating negative bias by genderize algorithm. C indicates a country. (B)  
897 The negative impact of each country on the overall gender inference of the full data-set. Number  
898 to the right of each column is the total number of names associated with that country.