Fecal short chain fatty acids are not predictive of colorectal cancer status and cannot be predicted based on bacterial community structure

 $\hbox{Marc A. Sze1, Beg\"{u}m Topçuo\'{g}lu1, Nicholas A. Lesniak1, Mack T. Ruffin IV2, Patrick D. Schloss1^\dagger$}$

- † To whom correspondence should be addressed: pschloss@umich.edu
- 1 Department of Microbiology and Immunology, University of Michigan, Ann Arbor, MI 48109
- 2 Department of Family Medicine and Community Medicine, Penn State Hershey Medical Center, Hershey, PA

Observation format

Abstract

The gut microbiome is thought to have a role in the development of colorectal cancer by protecting against and exacerbating inflammation. Short chain fatty acids (SCFAs), including butyrate, have been shown to have anti-inflammatory properties and are produced in large quantities by colonic bacteria. We assessed whether there was an association between fecal SCFA concentrations and the presence of colonic adenomas or carcinomas in a cohort of individuals that was previously subjected to 16S rRNA gene and metagenomic shotgun sequencing. We measured the fecal concentrations of acetate, propionate, isobutyrate, and butyrate within the cohort and found that there were no meaningful differences in their concentration and disease status. When we incorporated these concentrations into Random Forest classification models trained to differentiate between people with normal colons and those with adenomas or carcinomas, we found that they did not significantly improve the ability of 16S rRNA gene or metagenomic gene sequence-based models to classify individuals. Finally, we generated Random Forest regression models trained to 13 predict the concentration of each SCFA based on 16S rRNA gene or metagenomic gene sequence data from the same samples. These models performed poorly and were only able to explain less than 10% of the observed variation in the SCFA concentrations. These results support the broader epidemiological data that questions the value of fiber consumption for reducing the risks of 17 colorectal cancer. Although it is likely that bacterial metabolites may serve as biomarkers to detect adenomas or carcinomas, fecal SCFA concentrations have limited value.

20 Importance

Considering colorectal cancer is the third leading cancer-related cause of death within the United
States, there is a great need to detect colorectal tumors early without invasive colonoscopy
procedures and to prevent the formation of tumors. Short chain fatty acids (SCFAs) are often
used as a surrogate for measuring gut health and for being anti-carcinogenic because of their
anti-inflammatory properties. We evaluated the fecal SCFA concentration of a cohort of individuals
with varying colonic tumor burden who were previously analyzed to identify microbiome-based
biomarkers of tumors. We were unable to find an association between SCFA concentration and
tumor burden or use SCFAs to improve our microbiome-based models of classifying people based
on their tumor status. Furthermore, we were unable to find an association between the fecal
community structure and SCFA concentrations. These data indicate that there is not a meaningful
link between the gut microbiome, SCFAs, and tumor burden.

Colorectal cancer is the third leading cancer-related cause of death within the United States (1). Less than 10% of cases can be attributed to genetic risk factors (2). This leaves a significant role for environmental, behavioral, and other factors such as smoking and diet (3, 4). Colorectal cancer is thought to be initiated by a series of mutations that accumulate as mutated cells proliferate leading to adenomatous lesions, which are succeeded by carcinomas (2). Throughout this progression, there are ample opportunities for bacterial populations to create mutations, induce inflammation, 37 and accelerate tumorigenesis. Numerous studies in murine models have supported this model (5–7). Additional cross sectional studies in humans have identified microbiome-based biomarkers of disease (8). These studies suggest that in some cases, it is the loss of bacterial populations that produce short-chain fatty acids (SCFAs) that results in increased inflammation and tumorigenesis. SCFAs have have anti-inflammatory and anti-proliferative activities (9). Manipulation of SCFAs in mouse models of colorectal cancer by direct supplementation or feeding of fiber caused an overall reduction in tumor burden (10). These results suggest that supplementation with substrates that bacteria can ferment to produce SCFAs may confer beneficial effects against colorectal cancer. Regardless, there is a lack of evidence that increasing SCFA concentrations can protect against colorectal cancer in humans. Based on similar observations, many microbiome studies use the 47 concentrations of SCFAs and the presence of 16S rRNA gene sequences from organisms and the genes involved in producing them as a biomarker of a healthy microbiota (11, 12). Case-control studies that have investigated SCFA concentrations in colorectal cancer found that patients with carcinomas had lower concentrations of SCFAs versus patients with adenomas or individuals 51 without colon tumors (13). Although this would argue that increasing SCFA concentrations could be protective against tumorigenesis, in randomized controlled trials fiber supplementation has been inconsistently associated with protection against tumor formation and recurrence (14, 15). These 54 findings temper enthusiasm for treatments that aim to use SCFAs as biomarkers or protection

SCFA concentrations do not meaningfully vary with diagnosis or treatment. To quantify the associations between colorectal cancer, the microbiome, and SCFAs, we quantified the concentration of acetate, propionate, isobutyrate, and butyrate in feces of previously characterized individuals with normal colons (N=172) and those with colonic adenomas (N=198) or carcinomas

against tumorigenesis.

(N=120) (16). The only SCFA that had a significantly different concentration across the diagnoses 61 was isobutyrate (P=0.0091; Figure 1A). Interestingly, the median concentration of isobutyrate was 3.30 mmol/kg in people with normal colons and it was 3.00 and 3.84 mmol/kg in people 63 with colonic adenomas or carcinomas, respectively. The difference in isobutyrate concentration between people with adenomas and carcinomas was significantly different (P=0.0065); however, the differences between people with normal colons and those with adenomas or carcinomas was not significant (P=0.19 and P=0.11). Among the subjects with adenomas and carcinomas, a 67 subset (N_{adenoma}=41, N_{carcinoma}=26) were treated and sampled a year later (17). The only SCFA that changed following treatment was isobutyrate, which decreased by 0.99 mmol/kg (P=0.002; Figure 1B). For both the pre-treatment cross-sectional data and the pre/post treatment data, we pooled the SCFA concentrations on a per molecule of carbon basis and again failed to see any 71 significant differences (P>0.15). The low concentration of isobutyrate relative to the other SCFAs, inconsistent concentrations, and unexpected decrease in concentration with treatment makes it 73 difficult to ascribe much biological relevance to this observation.

Combining SCFA and microbiome data does not improve the ability to diagnose individual as having adenomas or carcinomas. We previously found that binning 16S rRNA gene sequence 76 data into operational taxonomic units based on 97% similarity or into genera enabled us to classify individuals as having adenomas or carcinomas using Random Forest machine learning models (8, 16). We repeated that analysis but added the concentration of the SCFAs as possible features to train the models (Figure S1). Models trained using SCFAs to classify individuals as having adenomas or carcinomas rather than normal colons had median areas under the receiver operator characteristic curve (AUROC) that were significantly greater than 0.5 (Padenoma < 0.001 and P_{carcinoma}<0.001); however, the AUROC values to detect the presence of adenomas or 83 carcinomas were only 0.54 and 0.55, respectively (Figure 2A). When we trained the models with the SCFAs concentrations and operational taxonomic unit (OTU) or genus-level relative abundances the AUROC values were not significantly different from the models trained without the SCFA 86 concentrations (P>0.21; Figure 2A). These data demonstrate that knowledge of the SCFA profile 87 from a patient's fecal sample does not improve the ability to diagnose a colonic lesion.

89 Knowledge of microbial community structure does not predict SCFA concentrations. We

next asked whether the fecal community structure was predictive of fecal SCFA concentrations, regardless of a person's diagnosis. We trained Random Forest regression models using 16S rRNA gene sequence data binned into OTUs and genera to predict the concentration of the SCFAs (Figure S2). The largest R² between the observed SCFA concentrations and the modeled concentrations was 0.14 observed when using genus data to predict butyrate concentrations (Figure 2B). We also used a smaller dataset of shotgun metagenomic sequencing data generated from a subset of our cohort (N_{normal}=27, N_{adenoma}=25, and N_{cancer}=26) (18). We binned genes extracted from the assembled metagenomes into operational protein families (OPFs) or KEGG categories and trained 97 Random Forest regression models using metagenomic to predict the concentration of the SCFAs (Figure S2). Similar to the analysis using 16S rRNA gene sequence data, the metagenomic data 99 was not predictive of SCFA concentration; the largest amount of variation that the models could 100 explain was 0.055, which was observed when using KEGG data to predict propionate concentrations (Figure 2B). Because of the limited number of samples that we were able to generate metagenomic 102 sequence data from, we used our 16S rRNA gene sequence data to impute metagenomes that 103 were binned into metabolic pathways or KEGG categories using PICRUSt (Figure S2). SCFA 104 concentrations could not be predicted based on the imputed metagenomic data. The largest 105 amount of variation that the models could explain was 0.097 observed when using KEGG data 106 to predict butyrate concentrations (Figure 2B). The inability to model SCFA concentrations from 107 microbiome data indicates that the knowledge of the abundance of organisms and their genes was 108 insufficient to predict SCFA concentrations. 109

Conclusion. Our data indicate that fecal SCFA concentrations are not associated with the presence of adenomas or carcinomas and that they provide weak predictive power to improve the ability to diagnose someone with one of these lesions. Furthermore, knowledge of the taxonomic and genetic structure of gut microbiota was not predictive SCFA concentrations. These results complement existing literature that suggest that fiber consumption and the production of SCFAs are unable to prevent the risk of developing colonic tumors. It is important to note that our analysis concerned fecal SCFA concentrations and microbiome characterization and that observations along the mucosa near the site of lesions may provide a stronger association. Regardless, given the growing literature in this area, it is unlikely that SCFAs are the primary mechanism that limits tumorigenesis. This may

110

111

112

113

115

116

118

- be a cautionary result to temper enthusiasm for SCFAs as a biomarker of gut health more generally.
- Going forward it is critical to develop additional hypotheses for how the microbiome and host interact
- to drive tumorigenesis to better understand the disease process and identify biomarkers that will
- allow early detection of tumors.

Materials and Methods

133

134

135

136

137

138

Study design and sampling. The overall study design and the resulting sequence data have 124 been previously described (16, 17). In brief, fecal samples were obtained from 172 individuals 125 with normal colons, 198 individuals with colonic adenomas, and 120 individuals with carcinomas. 126 Of the individuals diagnosed as having adenomas or carcinomas, a subset (Nadenoma=41 127 and N_{carcinoma}=26) were sampled after treatment of the lesion (median=255 days between 128 sampling, IQR=233 to 334 days). Tumor diagnosis was made by colonoscopic examination and histopathological review of the biopsies (16). The University of Michigan Institutional Review Board 130 approved the studies that generated the samples and informed consent was obtained from all 131 participants in accordance to the guidelines set out by the Helsinki Declaration.

Measuring specific SCFAs. The measurement of acetate, propionate, isobutyrate, and butyrate used a previously published protocol that used High-Performance Liquid Chromatography (HPLC) (19). Two changes were made to the protocol. First, instead of using fecal samples suspended in DNA Genotek OmniGut tubes, we suspended frozen fecal samples in 1 mL of PBS. Second, instead of using the average weight of fecal sample aliquots to normalize SCFA concentrations, we used the actual weight of the fecal samples. These methodological changes did not affect the range of concentrations of these SCFAs between the two studies.

16S rRNA gene sequence data analysis. Sequence data from Baxter et al. (16) and Sze et 140 al. (17) were obtained from the Sequence Read Archive (studies SRP062005 and SRP096978) 141 and reprocessed using using mothur v.1.42 (20). The original studies generated sequence data from V4 region of the 16S rRNA gene using paired 250 nt reads on an Illumina MiSeq sequencer. 143 The resulting seguence data were assembled into contigs, screened to remove low quality contigs 144 and chimeras. The curated sequences were then clustered into OTUs at a 97% similarity threshold and assigned to the closest possible genus with an 80% confidence threshold trained on the 146 reference collection from the Ribosomal Database Project (v.16). We used PICRUSt (v.2.1.0-b) 147 with the recommended standard operating protocol to generate imputed metagenomes based on the expected metabolic pathways, KEGG categories, and enzyme commission numbers (21).

Metagenomic DNA sequence analysis. A subset of the samples from the samples described by 150 Baxter et al. (16) were used to generate metagenomic sequence data (N_{normal}=27, N_{adenoma}=25, and N_{cancer}=26). These data were generated by Hannigan et al. (18) and deposited into the 152 Sequence Read Archive (study SRP108915). Fecal DNA was subjected to shotgun sequencing on 153 an Illumina HiSeq using 125 bp paired end reads. The archived sequences were already quality filtered and aligned to the human genome to remove contaminating seguence data. We downloaded 155 the sequences and assembled them into contigs using MEGAHIT (22), which were used to identify 156 open reading frames (ORFs) using Prodigal (23). We determined the abundance of each ORF 157 by mapping the raw reads back to the ORFs using Diamond (24). We clustered the ORFs into 158 operational protein families (OPFs) in which the clustered ORFs were more than 40% identical to 159 each other using mmseq2 (25). We also used mmseq2 to map the ORFs to the KEGG database 160 and clustered the ORFs according to which category the ORFs mapped.

162 Random Forest models.

Statistical analysis workflow. Data summaries, statistical analysis, and data visualizations were 163 performed using R (v.3.5.1) with the tidyverse package (v.1.2.1). To assess differences in SCFA 164 concentrations between individuals normal colons and those with adenomas or carcinomas, we 165 used the Kruskal-Wallis rank sum test. If a test had a P-value below 0.05, we then applied a 166 pairwise Wilcoxon rank sum test with a Benjamini-Hochberg correction for multiple comparisons. To 167 assess differences in SCFA concentrations between individuals samples before and after treatment 168 we used paired Wilcoxon rank sum tests to test for significance. To compare the median AUCROC 169 for the held out data for the model generated using only the SCFAs, we compared the distribution of 170 the data to the expected median of 0.5 using the Wilcoxon rank sum test to test whether the model 171 performed better than would be achieved by randomly assigning the data to each diagnosis. When 172 we compared the Random Forest models generated without and with SCFA data included, we used 173 Wilcoxon rank sum tests to determine whether the models with the SCFA data included did better. 174

Code availability. The code for all sequence curation and analysis steps including an Rmarkdown version of this manuscript is available at https://github.com/SchlossLab/Sze_SCFACRC_XXXX_ 2019/.

78 Acknowledgements

The authors thank the Great Lakes-New England Early Detection Research Network for providing
the fecal samples that were used in this study. We would thank the University of Michigan Center
for Microbial Systems for enabling our short-chain fatty acid analysis. Support for MAS came from
the Canadian Institute of Health Research and the National Institutes of Health (UL1TR002240).
Support for PDS came from the National Institutes of Health (P30DK034933 and R01CA215574).

184 References

- 1. Siegel RL, Miller KD, Jemal A. 2016. Cancer statistics, 2016. CA: A Cancer Journal for Clinicians 66:7–30. doi:10.3322/caac.21332.
- Fearon ER, Vogelstein B. 1990. A genetic model for colorectal tumorigenesis. Cell 61:759–767.
 doi:10.1016/0092-8674(90)90186-i.
- 3. Fliss-Isakov N, Zelber-Sagi S, Webb M, Halpern Z, Kariv R. 2017. Smoking habits are strongly associated with colorectal polyps in a population-based case-control study. Journal of Clinical Gastroenterology 1. doi:10.1097/mcg.0000000000000035.
- Lee J, Jeon JY, Meyerhardt JA. 2015. Diet and lifestyle in survivors of colorectal cancer.
 Hematology/Oncology Clinics of North America 29:1–27. doi:10.1016/j.hoc.2014.09.005.
- 5. Zackular JP, Baxter NT, Iverson KD, Sadler WD, Petrosino JF, Chen GY, Schloss PD.

 2013. The gut microbiome modulates colon tumorigenesis. mBio 4:e00692–13–e00692–13.

 doi:10.1128/mbio.00692-13.
- 6. Shields CED, Meerbeke SWV, Housseau F, Wang H, Huso DL, Casero RA, O'Hagan HM, Sears CL. 2016. Reduction of murine colon tumorigenesis driven by Enterotoxigenic Bacteroides fragilis using cefoxitin treatment. Journal of Infectious Diseases 214:122–129. doi:10.1093/infdis/jiw069.
- 7. Tomkovich S, Yang Y, Winglee K, Gauthier J, Mühlbauer M, Sun X, Mohamadzadeh M, Liu X, Martin P, Wang GP, Oswald E, Fodor AA, Jobin C. 2017. Locoregional effects of microbiota in a preclinical model of colon carcinogenesis. Cancer Research 77:2620–2632. doi:10.1158/0008-5472.can-16-3472.
- 8. **Sze MA**, **Schloss PD**. 2018. Leveraging existing 16S rRNA gene surveys to identify reproducible biomarkers in individuals with colorectal tumors. doi:10.1101/285486.
- 9. **O'Keefe SJD**. 2016. Diet, microorganisms and their metabolites and colon cancer. Nature
 Reviews Gastroenterology & Hepatology **13**:691–706. doi:10.1038/nrgastro.2016.165.

- 10. Bishehsari F, Engen P, Preite N, Tuncil Y, Naqib A, Shaikh M, Rossi M, Wilber S, Green S, Hamaker B, Khazaie K, Voigt R, Forsyth C, Keshavarzian A. 2018. Dietary fiber treatment corrects the composition of gut microbiota, promotes SCFA production, and suppresses colon carcinogenesis. Genes 9:102. doi:10.3390/genes9020102.
- 11. Sanna S, Zuydam NR van, Mahajan A, Kurilshikov A, Vila AV, Võsa U, Mujagic Z, Masclee
 AAM, Jonkers DMAE, Oosting M, Joosten LAB, Netea MG, Franke L, Zhernakova A, Fu J,
 Wijmenga C, McCarthy MI. 2019. Causal relationships among the gut microbiome, short-chain
 fatty acids and metabolic diseases. Nature Genetics. doi:10.1038/s41588-019-0350-x.
- 12. Meisel M, Mayassi T, Fehlner-Peach H, Koval JC, O'Brien SL, Hinterleitner R, Lesko K, Kim S, Bouziat R, Chen L, Weber CR, Mazmanian SK, Jabri B, Antonopoulos DA. 2016.

 Interleukin-15 promotes intestinal dysbiosis with butyrate deficiency associated with increased susceptibility to colitis. The ISME Journal 11:15–30. doi:10.1038/ismej.2016.114.
- 13. Ohigashi S, Sudo K, Kobayashi D, Takahashi O, Takahashi T, Asahara T, Nomoto K, Onodera H. 2013. Changes of the intestinal microbiota, short chain fatty acids, and fecal pH in patients with colorectal cancer. Digestive Diseases and Sciences 58:1717–1726. doi:10.1007/s10620-012-2526-4.
- 14. Yao Y, Suo T, Andersson R, Cao Y, Wang C, Lu J, Chui E. 2017. Dietary fibre for the prevention of recurrent colorectal adenomas and carcinomas. Cochrane Database of Systematic Reviews. doi:10.1002/14651858.cd003430.pub2.
- 15. **Gianfredi V, Salvatori T, Villarini M, Moretti M, Nucci D, Realdon S**. 2018. Is dietary fibre truly protective against colon cancer? A systematic review and meta-analysis. International Journal of Food Sciences and Nutrition **69**:904–915. doi:10.1080/09637486.2018.1446917.
- 16. **Baxter NT**, **Ruffin MT**, **Rogers MAM**, **Schloss PD**. 2016. Microbiota-based model improves the sensitivity of fecal immunochemical test for detecting colonic lesions. Genome Medicine **8**. doi:10.1186/s13073-016-0290-3.
- 17. Sze MA, Baxter NT, Ruffin MT, Rogers MAM, Schloss PD. 2017. Normalization of the

- microbiota in patients after treatment for colonic lesions. Microbiome 5. doi:10.1186/s40168-017-0366-3.
- 18. Hannigan GD, Duhaime MB, Ruffin MT, Koumpouras CC, Schloss PD. 2017. Diagnostic potential & the interactive dynamics of the colorectal cancer virome. doi:10.1101/152868.
- 238 19. Venkataraman A, Sieber JR, Schmidt AW, Waldron C, Theis KR, Schmidt TM. 2016.
- ²³⁹ Variable responses of human microbiomes to dietary supplementation with resistant starch.
- ²⁴⁰ Microbiome **4**. doi:10.1186/s40168-016-0178-x.
- 20. Schloss PD, Westcott SL, Ryabin T, Hall JR, Hartmann M, Hollister EB, Lesniewski RA,
- Oakley BB, Parks DH, Robinson CJ, Sahl JW, Stres B, Thallinger GG, Horn DJV, Weber CF.
- 2009. Introducing mothur: Open-source, platform-independent, community-supported software
- for describing and comparing microbial communities. Applied and Environmental Microbiology
- 245 **75**:7537–7541. doi:10.1128/aem.01541-09.
- 21. Langille MGI, Zaneveld J, Caporaso JG, McDonald D, Knights D, Reyes JA, Clemente JC,
- ²⁴⁷ Burkepile DE, Thurber RLV, Knight R, Beiko RG, Huttenhower C. 2013. Predictive functional
- profiling of microbial communities using 16S rRNA marker gene sequences. Nature Biotechnology
- 249 **31**:814–821. doi:10.1038/nbt.2676.
- 22. Li D, Liu C-M, Luo R, Sadakane K, Lam T-W. 2015. MEGAHIT: An ultra-fast single-node
- solution for large and complex metagenomics assembly via succinct de bruijn graph. Bioinformatics
- 252 **31**:1674–1676. doi:10.1093/bioinformatics/btv033.
- 253 23. Hyatt D, Chen G-L, LoCascio PF, Land ML, Larimer FW, Hauser LJ. 2010. Prodigal:
- ²⁵⁴ Prokaryotic gene recognition and translation initiation site identification. BMC Bioinformatics **11**:119.
- 255 doi:10.1186/1471-2105-11-119.
- 24. Buchfink B, Xie C, Huson DH. 2014. Fast and sensitive protein alignment using DIAMOND.
- 257 Nature Methods **12**:59–60. doi:10.1038/nmeth.3176.
- 25. Steinegger M, Söding J. 2017. MMseqs2 enables sensitive protein sequence searching for
- the analysis of massive data sets. Nature Biotechnology. doi:10.1038/nbt.3988.

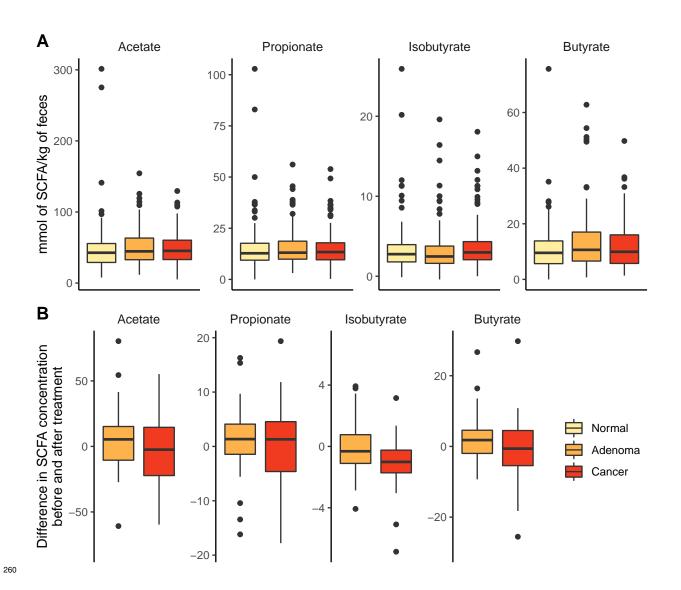


Figure 1. SCFA concentrations did not vary meaningfully with diagnosis of colonic lesions or with treatment for adenomas or carcinomas. (A) We measured the concentration of fecal SCFAs from individuals with normal colons (N=172) or those with adenoma (N=198) or carcinomas (N=120) was for isobutyrate. (B) A subset of individuals diagnosed with adenomas (N=41) or carcinomas (N=26) who underwent treatment were resampled a year after the initial sampling; one extreme propionate value (124.4 mmol/kg) was included in the adenoma analysis but censored from the visualization for clarity.

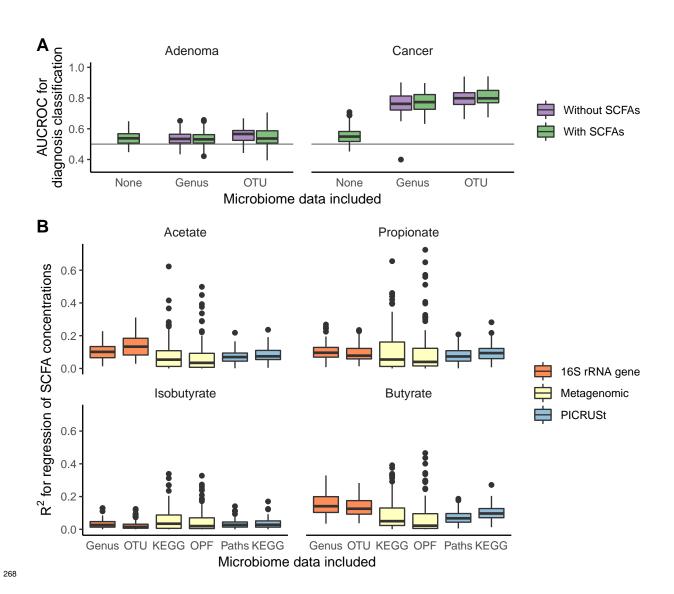


Figure 2. SCFA concentrations do not improve models for diagnosing the presence of adenomas, carcinomas, or all lesions. 16S rRNA gene and metagenomic sequence data do not predict SCFAs concentrations.

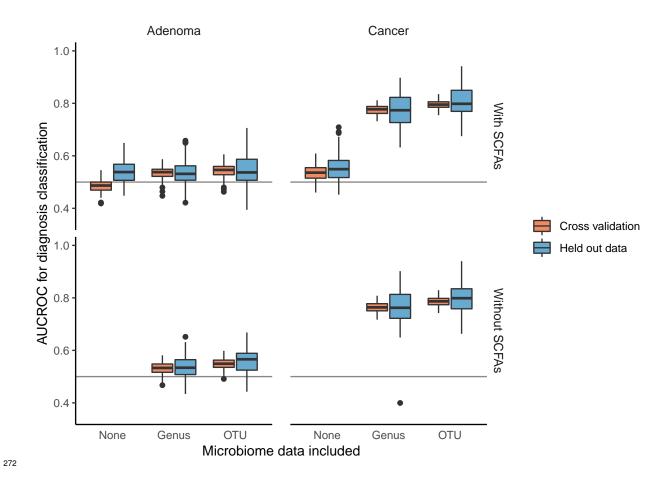


Figure S1. Comparison of training and testing results.

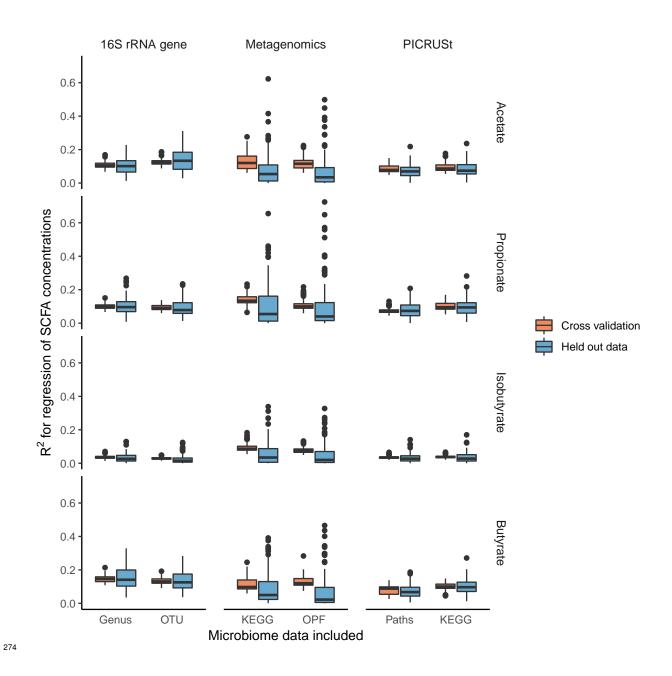


Figure S1. Comparison of training and testing results.