

# Ideal MHD Equilibria

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- steady state ( $\partial t = 0$ ) smoothly varying solutions to MHD equations
  - $\Rightarrow$  solutions without discontinuities
  - $\Rightarrow$  conservative or non-conservative formulation equivalent
- stationary MHD equations governed by

$$\left\{ \begin{array}{ll} \nabla \cdot (\rho \mathbf{v}) & = 0 \\ \rho (\mathbf{v} \cdot \nabla) \mathbf{v} & = -\nabla p + (\nabla \times \mathbf{B}) \times \mathbf{B} + \rho \mathbf{g} \\ (\mathbf{v} \cdot \nabla) p & = -\gamma p \nabla \cdot \mathbf{v} \\ \nabla \times (\mathbf{v} \times \mathbf{B}) & = 0 \end{array} \right. \quad \text{and} \quad \nabla \cdot \mathbf{B} = 0$$

$\Rightarrow$  given  $\mathbf{g}$  and parameter  $\gamma$ , solve for  $\rho$ ,  $\mathbf{v}$ ,  $p$ ,  $\mathbf{B}$



- dramatic simplification when considering **STATIC** equilibria

$\Rightarrow \mathbf{v} = 0$  leaves only

$$\left\{ \begin{array}{l} -\nabla p + (\nabla \times \mathbf{B}) \times \mathbf{B} + \rho \mathbf{g} = 0 \\ \text{and } \nabla \cdot \mathbf{B} = 0 \end{array} \right.$$

$\Rightarrow$  governing equations for magnetostatic equilibria

- without external gravity  $\mathbf{g} = 0$

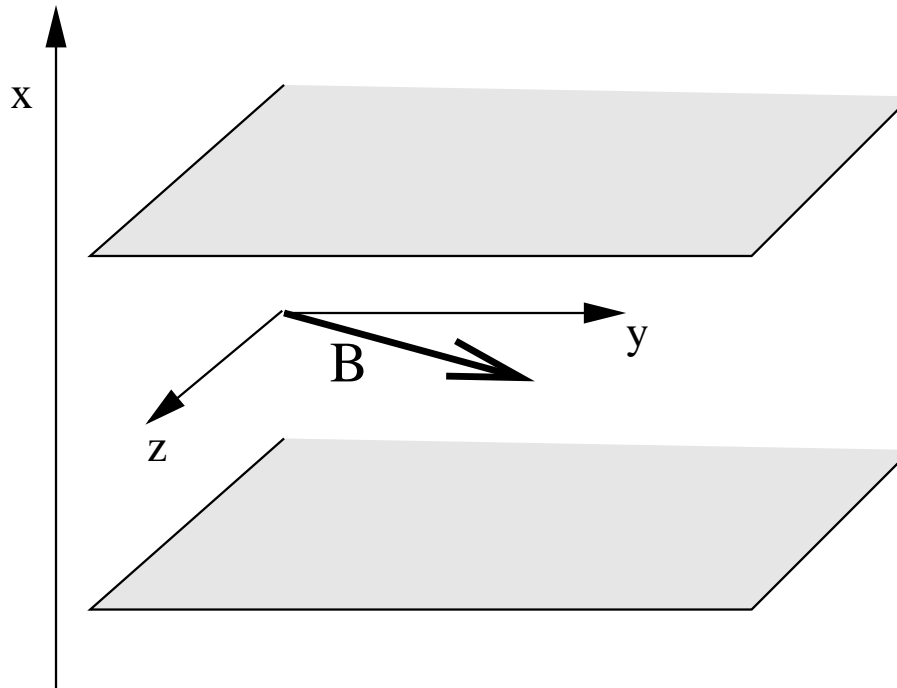
$$\left\{ \begin{array}{l} \underbrace{\nabla p}_{\text{pressure gradient}} = \underbrace{(\nabla \times \mathbf{B}) \times \mathbf{B}}_{\text{Lorentz force}} \\ \nabla \cdot \mathbf{B} = 0 \end{array} \right.$$



- magnetostatic case without gravity: choose dimensionality and geometry
- 1D cartesian geometry  $p(x)$ ,  $B_x(x)$ ,  $B_y(x)$ ,  $B_z(x)$

$\Rightarrow$  constant (or zero)  $B_x$  from  $\nabla \cdot \mathbf{B} = 0$

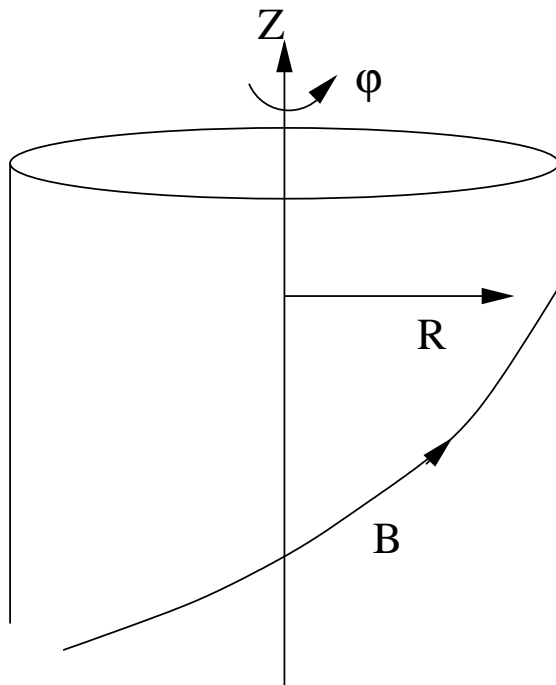
$$\frac{d}{dx} \underbrace{\left( p + \frac{B_y^2 + B_z^2}{2} \right)}_{\text{total pressure}} = 0$$



- 1D cylindrical geometry  $p(R)$ ,  $B_R(R)$ ,  $B_\varphi(R)$ ,  $B_Z(R)$

$\Rightarrow$  (constant  $RB_R$  hence) zero  $B_R$  from  $\nabla \cdot \mathbf{B} = 0$

$$\underbrace{\frac{d}{dR} \left( p + \frac{B_\varphi^2 + B_Z^2}{2} \right)}_{\text{total pressure}} = \underbrace{-\frac{B_\varphi^2}{R}}_{\text{radially inward tension}}$$



- ODE governs 1D static equilibria: 4 functions  $\rho$ ,  $p$ ,  $B_2$ ,  $B_3$   
 $\Rightarrow$  3 free profiles, namely  $\rho$  and 2 from  $p$ ,  $B_2$ ,  $B_3$



- 1D cylindrical case: dimensions from

⇒ cylinder radius  $a$ , axial field strength  $B_0$ , axial density  $\rho_0$

⇒ 2 essential dimensionless parameters

$$\beta_0 = \frac{2p_0}{B_0^2} \text{ and } \mu_0 = \frac{aB_\varphi}{RB_Z} \Big|_{R=0} = \frac{aJ_{Z0}}{2B_0}$$

⇒ axial plasma beta  $\beta_0$

⇒ inverse pitch  $\mu = aB_\varphi/RB_Z$

- Latter uses axial current

$$J_{Z0} = J_Z(R=0) = \frac{1}{R} \frac{d}{dR} (RB_\varphi) \Big|_{R=0}$$



$\Rightarrow$  introduce dimensionless quantities  $\tilde{f}$  and unit profiles  $\bar{f}$

$$\tilde{R} \equiv \frac{R}{a} \equiv \bar{R}$$

$$\tilde{\rho} \equiv \frac{\rho}{\rho_0} \equiv \bar{\rho}$$

$$\tilde{p} \equiv \frac{p}{B_0^2} = \frac{\beta_0}{2} \frac{p}{p_0} \equiv \frac{\beta_0}{2} \bar{p}$$

$$\tilde{B}_Z \equiv \frac{B_Z}{B_0} \equiv \bar{B}_Z$$

$$\tilde{B}_\varphi \equiv \frac{B_\varphi}{B_0} \equiv \mu_0 \bar{\mu} \bar{R} \bar{B}_Z$$

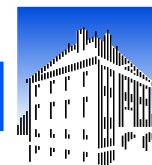


- 1D cylindrical equilibrium fully specified by  $\beta_0$ ,  $\mu_0$  and
  - $\Rightarrow$  two (three with  $\bar{\rho}$ ) unit profiles  $\bar{p}(\bar{R})$  and  $\bar{\mu} = \mu/\mu_0$
  - $\Rightarrow$  determine  $\bar{B}_Z$  from equilibrium relation

$$\frac{d}{d\bar{R}} \left[ \frac{\beta_0}{2} \bar{p} + \frac{\bar{B}_Z^2}{2} (\bar{R}^2 \mu_0^2 \bar{\mu}^2 + 1) \right] = -\bar{R} \mu_0^2 \bar{\mu}^2 \bar{B}_Z^2$$

$$\Rightarrow \text{find } \bar{B}_\varphi \equiv \bar{R} \bar{\mu} \bar{B}_Z \text{ and } \tilde{B}_\varphi = \mu_0 \bar{B}_\varphi$$

- core problem, freedom in parameter values and unit profile variations
- for cylinder of finite length  $L \equiv 2\pi R_0$ : alternatively
  - $\Rightarrow$  introduce  $\epsilon = a/R_0$  and work with  $q_0 = \epsilon/\mu_0$  instead
  - $\Rightarrow$   $q$ -profile from  $q = RB_Z/R_0 B_\varphi$



## Grad-Shafranov equation

- consider axisymmetric ( $\partial\varphi = 0$ ), 2D static equilibria

⇒ assume right-handed coordinate system  $(R, Z, \varphi)$

⇒ to solve for 5 two-dimensional functions

$$\rho(R, Z), \quad p(R, Z), \quad B_R(R, Z), \quad B_Z(R, Z), \quad B_\varphi(R, Z)$$

⇒ density profile arbitrary, not in equilibrium  $\nabla p = (\nabla \times \mathbf{B}) \times \mathbf{B}$

- from  $\nabla \cdot \mathbf{B} = 0$  or  $\frac{1}{R} \frac{\partial}{\partial R} (R B_R) + \frac{\partial B_Z}{\partial Z} = 0$

⇒ flux function  $\psi(R, Z)$  with  $\mathbf{B} = \frac{1}{R} \hat{e}_\varphi \times \nabla \psi + B_\varphi \hat{e}_\varphi$  such that

$$B_R = -\frac{1}{R} \frac{\partial \psi}{\partial Z} \quad \text{and} \quad B_Z = \frac{1}{R} \frac{\partial \psi}{\partial R}$$



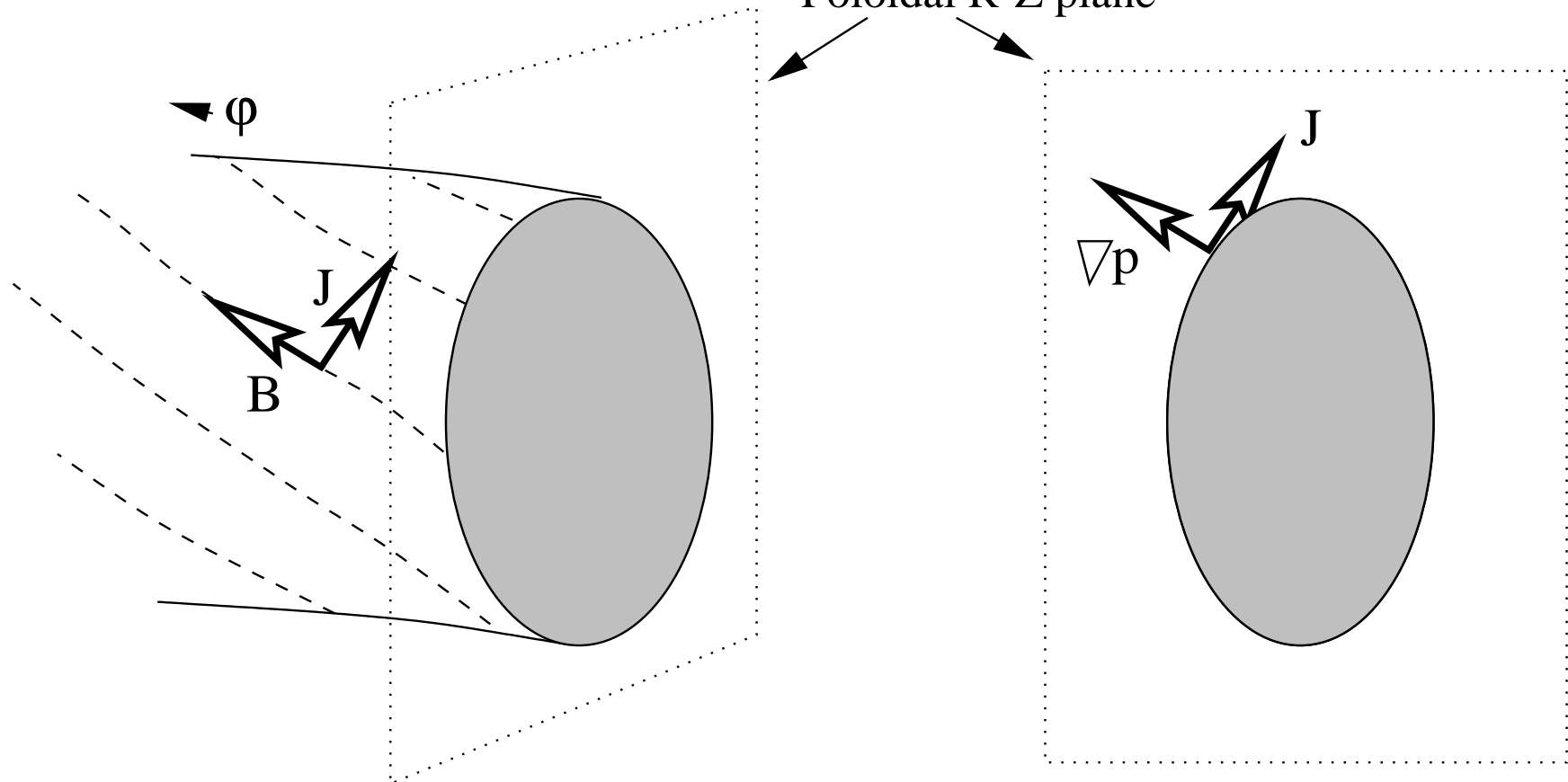


- note that  $\mathbf{B} \cdot \nabla\psi = 0$

$\Rightarrow$  constant  $\psi$  surfaces (or contours in  $(R, Z)$ -plane) are 'flux surfaces'

$\Rightarrow$  field lines lie on these flux surfaces

$\Rightarrow$  3 orthogonal directions from  $\nabla p = (\nabla \times \mathbf{B}) \times \mathbf{B}$



- since  $\mathbf{J} = \nabla \times \mathbf{B}$  we have  $\nabla \cdot \mathbf{J} = 0$

$\Rightarrow$  analogously define current stream function  $I(R, Z)$

$$\mathbf{J} = -\frac{1}{R}\hat{e}_\varphi \times \nabla I + J_\varphi \hat{e}_\varphi$$

$\Rightarrow$  this yields

$$J_R = \frac{1}{R} \frac{\partial I}{\partial Z} \quad \text{and} \quad J_Z = -\frac{1}{R} \frac{\partial I}{\partial R}$$

$\Rightarrow$  from  $\mathbf{J} = \nabla \times \mathbf{B}$  we have as well

$$J_R = \frac{\partial B_\varphi}{\partial Z} \Rightarrow I = R B_\varphi$$

- $\varphi$  component of  $\nabla p = (\nabla \times \mathbf{B}) \times \mathbf{B}$

$$0 = J_R B_Z - J_Z B_R = \frac{1}{R^2} \left( \frac{\partial I}{\partial Z} \frac{\partial \psi}{\partial R} - \frac{\partial I}{\partial R} \frac{\partial \psi}{\partial Z} \right)$$

$\Rightarrow$  since commutator vanishes, find  $I(\psi)$



- $R$  and  $Z$  component of force balance yield

$$\frac{\partial p}{\partial R} = J_Z B_\varphi - J_\varphi B_Z = \left( -\frac{II'}{R^2} - \frac{J_\varphi}{R} \right) \frac{\partial \psi}{\partial R}$$

$$\frac{\partial p}{\partial Z} = J_\varphi B_R - J_R B_\varphi = \left( -\frac{II'}{R^2} - \frac{J_\varphi}{R} \right) \frac{\partial \psi}{\partial Z}$$

$\Rightarrow$  again find  $p(\psi)$  and conclude

$$p' = -\frac{II'}{R^2} - \frac{J_\varphi}{R}$$



- use  $\mathbf{J} = \nabla \times \mathbf{B}$  to find

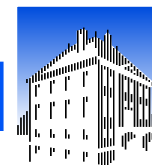
$$J_\varphi = \frac{\partial B_Z}{\partial R} - \frac{\partial B_R}{\partial Z}$$

$\Rightarrow$  in terms of  $\psi$  we get  $RJ_\varphi = R \frac{\partial}{\partial R} \left( \frac{1}{R} \frac{\partial \psi}{\partial R} \right) + \frac{\partial^2 \psi}{\partial Z^2}$

- Grad-Shafranov equation thus reads

$$R \frac{\partial}{\partial R} \left( \frac{1}{R} \frac{\partial \psi}{\partial R} \right) + \frac{\partial^2 \psi}{\partial Z^2} = -II' - p'R^2$$

$\Rightarrow$  to solve for  $\psi(R, Z)$  under given profiles  $p(\psi)$  and  $I(\psi)$



- GS: 2nd order PDE

⇒ character from  $a\psi_{RR} + 2b\psi_{RZ} + c\psi_{ZZ} + \dots$

⇒ find  $b^2 - ac = -1 < 0$ : elliptic

⇒ Boundary value problem well-posed

- for elliptic Laplace equation: solution reaches extremum on boundary

⇒ here we have (different from Laplace  $\nabla \cdot \nabla \psi = 0$ ):

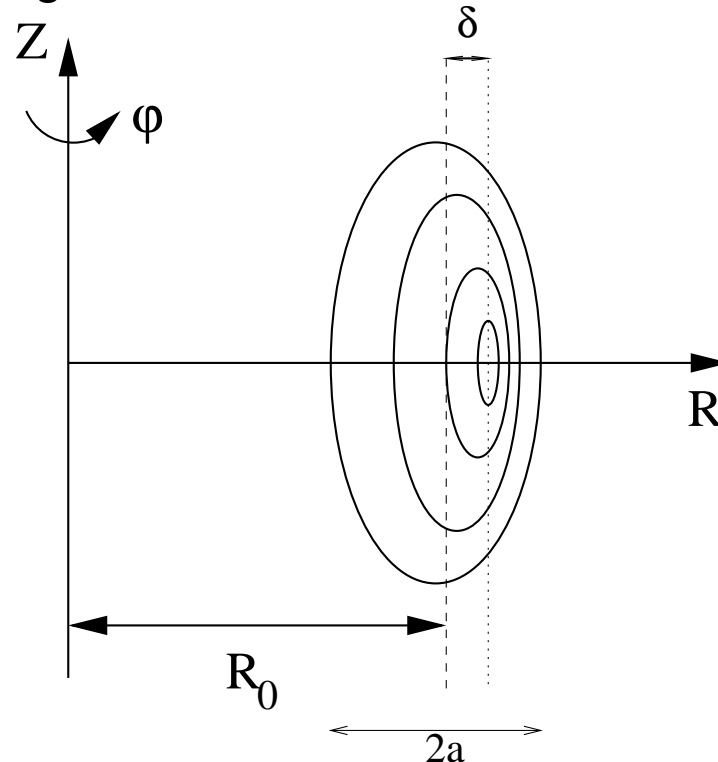
$$R^2 \nabla \cdot \frac{1}{R^2} \nabla \psi = -II' - p'R^2$$

⇒ normally have  $\psi \in [0, \psi_1]$ : zero at magnetic axis, fixed BV  $\psi_1$



## Grad-Shafranov for tokamak equilibria

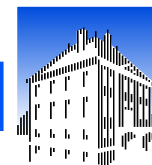
- tokamak = toroidal vessel for achieving controlled nuclear fusion
  - ⇒ task to achieve steady state magnetically confined plasma
  - ⇒ within poloidal cross-section of vessel: ideal MHD equilibrium
  - ⇒ static plasma and axisymmetry: solve GS within given boundary
- overall configuration: 'donut' with nested flux surfaces



## Scaling for Grad-Shafranov

- natural to set units by  $a$  (length) and  $B_0$  (magnetic and pressure) from
  - $\Rightarrow 2a$  horizontal diameter of vessel
  - $\Rightarrow$  geometric center of vessel at  $R_0 \rightarrow \epsilon \equiv a/R_0$  inverse aspect ratio
  - $\Rightarrow$  use strength of vacuum field at  $R_0$  for  $B_0$
- value of flux on outer boundary  $\psi_1$ 
  - $\Rightarrow$  use to define a unit flux label  $\bar{\psi} = \psi/\psi_1$
  - $\Rightarrow$  define dimensionless 'inverse flux'  $\alpha \equiv a^2 B_0/\psi_1$
- change to dimensionless poloidal coordinates  $(x, y)$

$$\begin{cases} R \\ Z \end{cases} \Rightarrow \begin{cases} x = \frac{R-R_0}{a} = \tilde{R} - \frac{1}{\epsilon} \\ y = \frac{Z}{a} = \tilde{Z} \end{cases}$$



- LHS of GS changes to

$$R \frac{\partial}{\partial R} \left( \frac{1}{R} \frac{\partial \psi}{\partial R} \right) + \frac{\partial^2 \psi}{\partial Z^2} \Rightarrow \frac{\psi_1}{a^2} \left[ \frac{\partial^2 \bar{\psi}}{\partial x^2} - \frac{\epsilon}{1 + \epsilon x} \frac{\partial \bar{\psi}}{\partial x} + \frac{\partial^2 \bar{\psi}}{\partial y^2} \right]$$

- RHS of GS becomes

$$-I \frac{dI}{d\psi} - R^2 \frac{dp}{d\psi} \Rightarrow -\frac{a^2 B_0^2}{\psi_1} \left[ \tilde{I} \frac{d\tilde{I}}{d\bar{\psi}} + \tilde{R}^2 \frac{d\tilde{p}}{d\bar{\psi}} \right]$$

$$\Rightarrow \text{using } \tilde{I} \equiv RB_\varphi/aB_0 \text{ and } \tilde{p} \equiv p/B_0^2$$





- introduce dimensionless profiles

$$\Rightarrow \text{scaled pressure } P = P(\bar{\psi}) \equiv \frac{\alpha^2}{\epsilon} \tilde{p}$$

$$\Rightarrow Q = Q(\bar{\psi}) \equiv -\frac{\epsilon \alpha^2}{2a^2 B_0^2} [I^2(\psi) - R_0^2 B_0^2]$$

$$\Rightarrow G = G(\bar{\psi}) \equiv -\frac{1}{\epsilon} [Q(\bar{\psi}) - P(\bar{\psi})]$$

- under these definitions, GS becomes

$$\frac{\partial^2 \bar{\psi}}{\partial x^2} - \frac{\epsilon}{1 + \epsilon x} \frac{\partial \bar{\psi}}{\partial x} + \frac{\partial^2 \bar{\psi}}{\partial y^2} = -\frac{dG}{d\bar{\psi}} - \frac{dP}{d\bar{\psi}} x(2 + \epsilon x)$$

$$\Rightarrow \text{two arbitrary profiles } G' \equiv dG/d\bar{\psi} \text{ and } P' \equiv dP/d\bar{\psi}$$



- core problem now identified, separate freedom in  $G'$  and  $P'$

⇒ magnitude and shape: amplitude and unit profile

$$G' \equiv -A\Gamma(\bar{\psi}) \quad \text{with } \Gamma(0) = 1$$

$$P' \equiv -\frac{1}{2}AB\Pi(\bar{\psi}) \quad \text{with } \Pi(0) = 1$$

⇒ roughly related to current profile and pressure gradient profile

⇒ Final form of GS is then

$$\frac{\partial^2 \bar{\psi}}{\partial x^2} - \frac{\epsilon}{1 + \epsilon x} \frac{\partial \bar{\psi}}{\partial x} + \frac{\partial^2 \bar{\psi}}{\partial y^2} = A \left[ \Gamma(\bar{\psi}) + Bx \left( 1 + \frac{\epsilon x}{2} \right) \Pi(\bar{\psi}) \right]$$

- boundary conditions

⇒  $\bar{\psi} = 1$  on boundary

⇒  $\bar{\psi} = \bar{\psi}_x = \bar{\psi}_y = 0$  at magnetic axis  $(\delta, 0)$

⇒ 2nd order PDE with 4 BCs: overdetermined!!!



- $A$  (and  $B$  if input  $\delta$ ) to be determined with solution ('eigenvalues')
- once solution  $\bar{\psi}$ ,  $A$ , and  $B$  identified

$\Rightarrow$  reconstruct pressure from

$$\tilde{p} = \frac{\epsilon}{\alpha^2} \left[ \int^{\bar{\psi}} \left( -\frac{1}{2} AB \Pi \right) d\bar{\psi} + P(0) \right]$$

$\Rightarrow$  magnetic field components from

$$\tilde{B}_R = -\frac{1}{\alpha} \frac{\epsilon}{1 + \epsilon x} \frac{\partial \bar{\psi}}{\partial y}$$

$$\tilde{B}_Z = \frac{1}{\alpha} \frac{\epsilon}{1 + \epsilon x} \frac{\partial \bar{\psi}}{\partial x}$$

$$\tilde{B}_\varphi = \frac{1}{1 + \epsilon x} \left[ 1 - 2 \frac{\epsilon}{\alpha^2} \int^{\bar{\psi}} A(\epsilon \Gamma - \frac{1}{2} B \Pi) d\bar{\psi} \right]^{1/2}$$

$\Rightarrow$  different realization of same core problem solution for different  $\alpha$ !



## The Soloviev solution

- analytic solution to Grad-Shafranov

$$R \frac{\partial}{\partial R} \left( \frac{1}{R} \frac{\partial \psi}{\partial R} \right) + \frac{\partial^2 \psi}{\partial Z^2} = -II' - p'R^2$$

$\Rightarrow$  for linear profiles  $I^2/2 = I_0^2/2 - E\psi$  and  $p = p_0 - F\psi$

$\Rightarrow E, F$  free profile parameters

- polynomial solution derived by Soloviev

$$\psi = (C + DR^2)^2 + \frac{1}{2} [E + (F - 8D^2) R^2] Z^2$$

$\Rightarrow$  two additional parameters  $C, D$

$\Rightarrow$  verify by insertion



- use Soloviev for creating tokamak equilibria
- instructive to change to  $\bar{\psi}$  and  $(x, y)$  coordinates

⇒ Soloviev solution rewritten as

$$\bar{\psi} = \left[ x - \frac{\epsilon}{2}(1 - x^2) \right]^2 + \left( 1 - \frac{\epsilon^2}{4} \right) [1 + \tau \epsilon x(2 + \epsilon x)] \frac{y^2}{\sigma^2}$$

⇒ unit contour through 4 points  $(x = \pm 1, y = 0)$   $(0, y = \pm \sigma)$

⇒  $\epsilon = a/R_0$  inverse aspect ratio wrt geometric center

⇒  $\tau$  measures triangularity (ellips-like for  $\tau = 0$ )

- $\bar{\psi} = 0$  at magnetic axis  $(\delta, 0)$  with

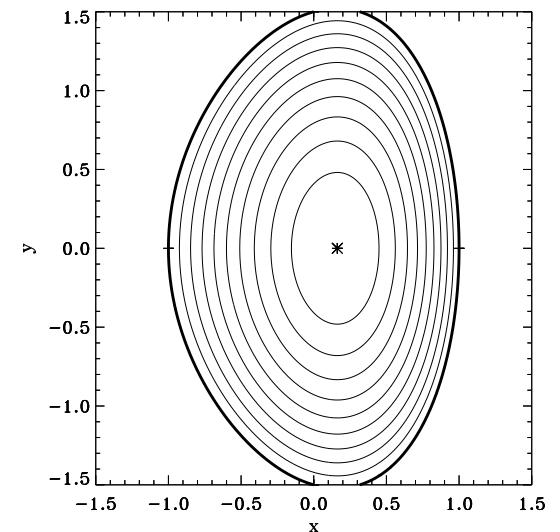
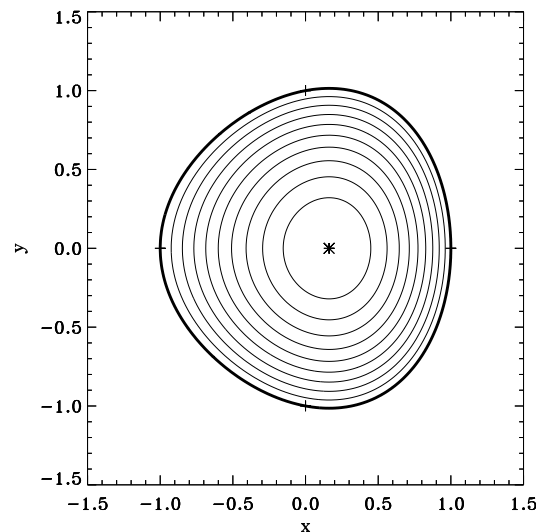
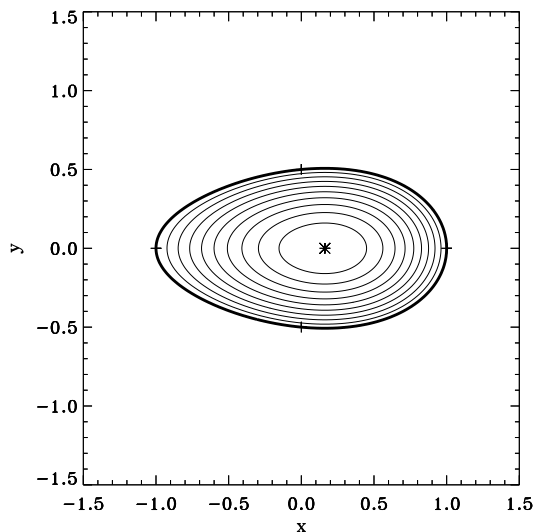
$$\delta = \frac{1}{\epsilon} \left[ \sqrt{1 + \epsilon^2} - 1 \right]$$

⇒ outward Shafranov shift of magnetic axis

⇒ geometric effect required for equilibrium in torus



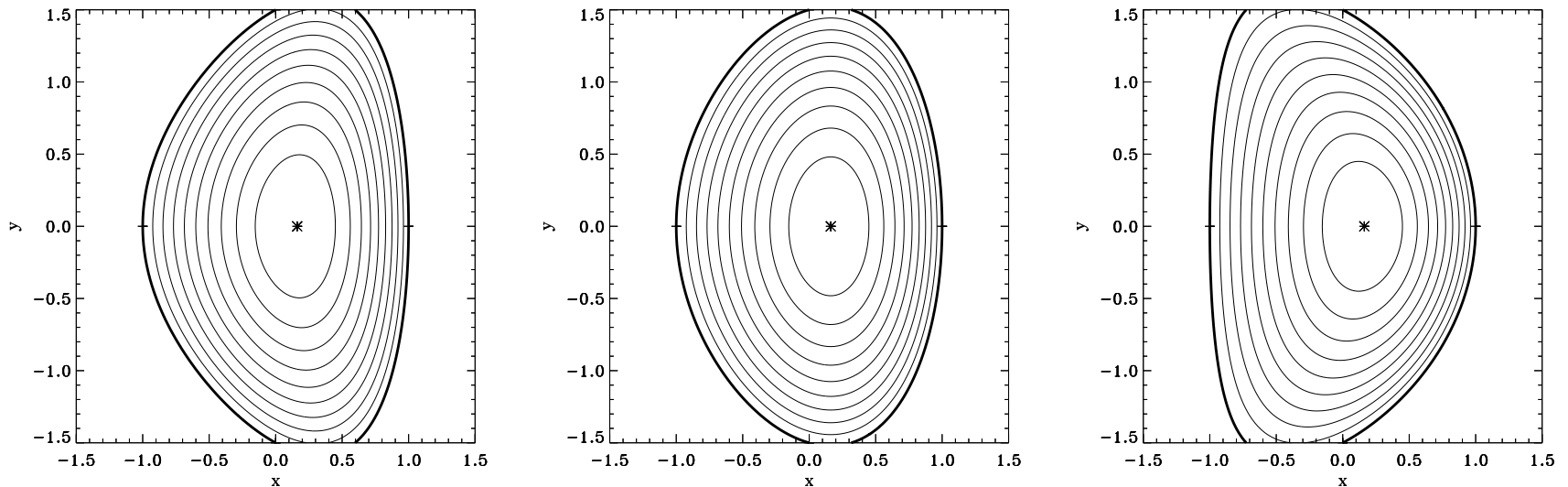
- did not consider 'boundary' as given here  
⇒ determined as unit contour from solution
- cases  $\epsilon = 0.333$ ,  $\tau = 0$  and  $\sigma = (0.5, 1, 1.5)$



⇒ 'elliptic' flux contours

- varying the triangularity parameter  $\tau$

⇒ case  $\tau = -0.5$  versus  $\tau = 0$  and  $\tau = 1.5$



⇒ ‘triangular’ flux contours, sign of  $\tau$  for direction

## Force-Free equilibria

- So far: 1D and 2D static ideal MHD equilibria (no gravity, no flow)  
⇒ pressure gradient balancing Lorentz force

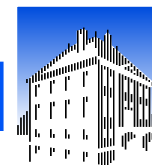
- in low beta plasma  $\beta \ll 1$  only solve

$$(\nabla \times \mathbf{B}) \times \mathbf{B} = 0$$

⇒ plus solenoidal constraint

- current  $\mathbf{J} = \nabla \times \mathbf{B}$  must be  $\parallel \mathbf{B}$

⇒ force-free magnetic configuration, can be 1D, 2D, or 3D





- simplest case: vanishing current  $\mathbf{J} = 0$  or 'potential fields'

⇒ vacuum magnetic field solution

⇒ since  $\nabla \times \mathbf{B} = 0$  define  $\phi$  from  $\mathbf{B} = -\nabla\phi$

⇒ since  $\nabla \cdot \mathbf{B} = 0$  solve

$$\nabla^2\phi = 0$$

⇒ elliptic Laplace equation, BVP well-posed

- frequently used for reconstructing 'coronal' magnetic field

⇒ normal  $\mathbf{B}$  component from magnetograms of solar photosphere

⇒  $B_n|_{\text{photosphere}} = -\frac{\partial\phi}{\partial n}|_{\text{photosphere}}$  given



- more general: field-aligned currents  $\mathbf{J} = \alpha \mathbf{B}$

$\Rightarrow$  from  $\nabla \cdot (\nabla \times \mathbf{B}) = 0$  find

$$\mathbf{B} \cdot \nabla \alpha = 0$$

$\Rightarrow \alpha$  is constant along field line

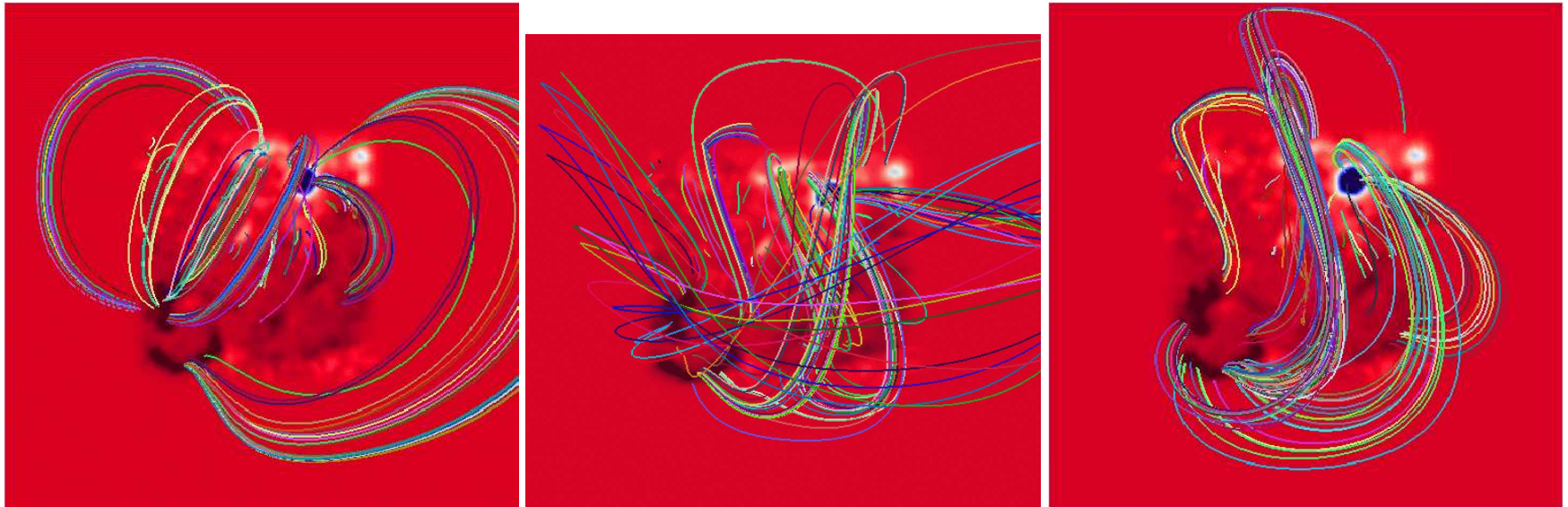
- 1D examples are

$\Rightarrow$  slab:  $B_y(x) = \sin(\alpha x)$  and  $B_z(x) = \cos(\alpha x)$

$\Rightarrow$  cylinder:  $B_z(R) = J_0(\alpha R)$  and  $B_\varphi(R) = J_1(\alpha R)$



- example taken from Régnier & Amari



⇒ three reconstructions: potential, constant  $\alpha$ , varying  $\alpha$

⇒ best agreement with observations for nonlinear force-free case

## Stationary equilibria

- static ( $\mathbf{v} = 0$ ) case only left momentum balance
  - $\Rightarrow$  stationary solutions  $\mathbf{v} \neq 0$  involve all equations
- 1D case still fairly trivial
  - $\Rightarrow$  slab: when  $v_x = 0$  same as before
  - $\Rightarrow$  1D slab with arbitrary background flow  $v_y(x)$  and  $v_z(x)$  obeys

$$\frac{d}{dx} \underbrace{\left( p + \frac{B_y^2 + B_z^2}{2} \right)}_{\text{total pressure}} = -\rho g$$

- $\Rightarrow$  with gravity  $\mathbf{g} = -g\hat{e}_x \Rightarrow$  density enters
- $\Rightarrow$  example solution: force-free  $(B_y, B_z)$  and  $\rho = 1 - Dx$  on  $x \in [0, 1]$
- $\Rightarrow$  density contrast  $D$  and pressure  $p = p_0 - g(x - \frac{Dx^2}{2})$



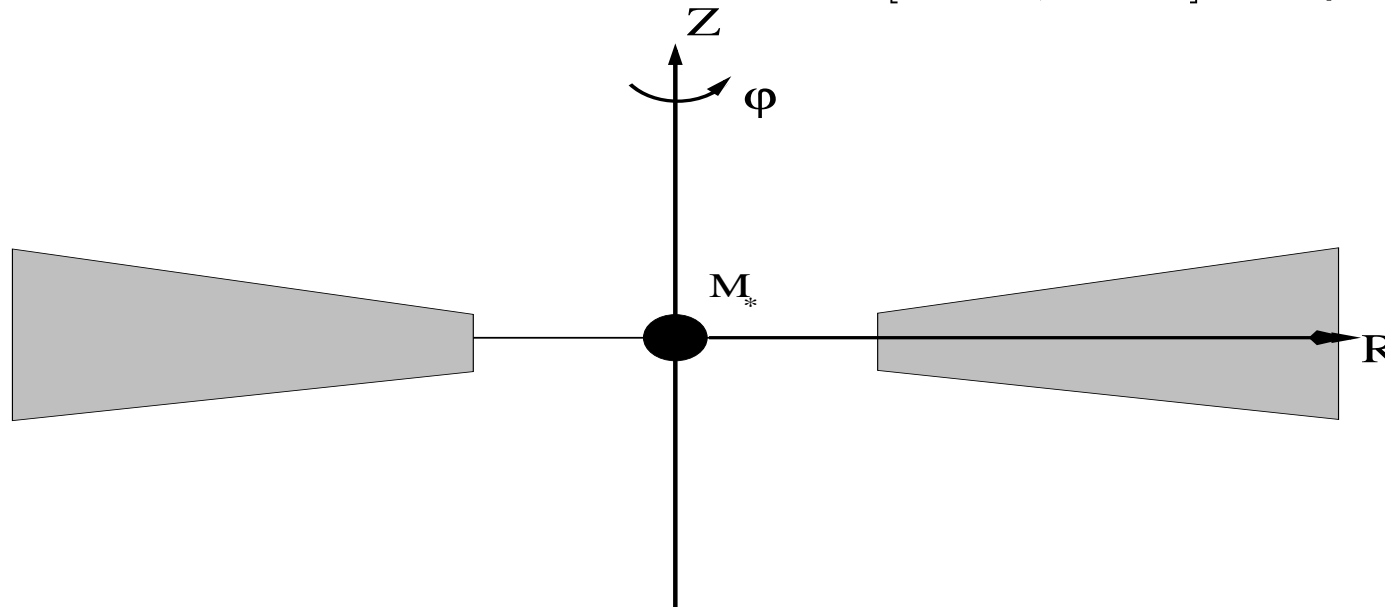
- 1D cylindrical equilibria

⇒ take  $v_R = 0$  then again only force balance remains

⇒ assume gravitational 'line' source  $\mathbf{g} = -\frac{GM_*}{R^2}\hat{e}_R$

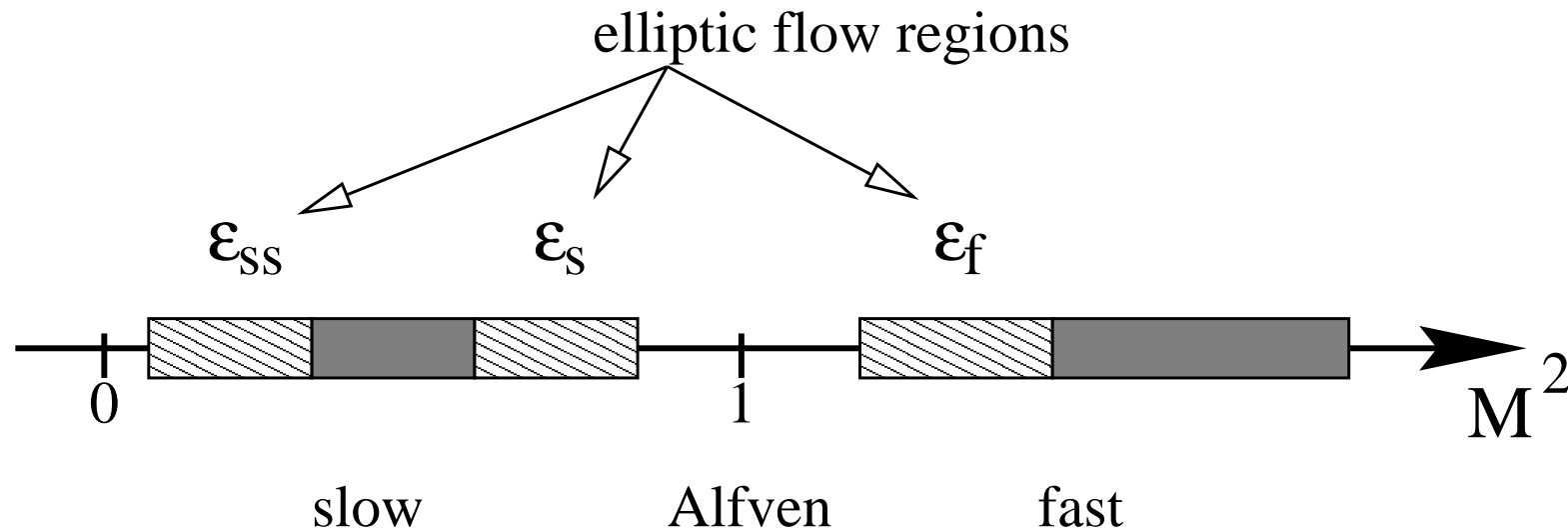
$$\frac{d}{dR} \underbrace{\left( p + \frac{B_\varphi^2 + B_Z^2}{2} \right)}_{\text{total pressure}} = \underbrace{-\frac{B_\varphi^2}{R}}_{\text{radially inward tension}} + \underbrace{\frac{\rho v_\varphi^2}{R}}_{\text{centrifugal force}} - \underbrace{\rho \frac{GM_*}{R^2}}_{\text{gravity}}$$

⇒ suitable for accretion disks  $R \in [R_{inner}, R_{outer}]$ : midplane



⇒ for jets: neglect gravity and take  $R \in [0, R_{jet}]$

- 2D (axisymmetric) stationary ideal MHD equilibria
  - $\Rightarrow$  allow for both toroidal and poloidal flow in tokamak steady-state
- core problem is again 2nd order PDE for flux  $\psi(R, Z)$ 
  - $\Rightarrow$  **BUT** additional algebraic equation for  $M^2(R, Z) \equiv \rho v_p^2 / B_p^2$
  - $\Rightarrow$  latter expresses energy conservation along fieldline
- much more complex problem:
  - $\Rightarrow$  character of governing PDE can change from location to location
  - $\Rightarrow$  elliptic or hyperbolic nature depends on  $M^2$
  - $\Rightarrow$  turns out: 3 elliptic regimes: subslow, slow, fast



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