15-150 Fall 2013 Homework 05

Out: Wednesday, 25 September 2013 Due: Tuesday, 1 October 2013 at 23:59 EST

1 Introduction

This homework will focus on applications of higher order functions, polymorphism, and user-defined datatypes.

1.1 Getting The Homework Assignment

The starter files for the homework assignment have been distributed through our git repository, as usual.

1.2 Submitting The Homework Assignment

Submissions will be handled through Autolab, at

https://autolab.cs.cmu.edu

In preparation for submission, your hw/05 directory should contain a file named exactly hw05.pdf containing your written solutions to the homework.

To submit your solutions, run make from the hw/05 directory (that contains a code folder and a file hw05.pdf). This should produce a file hw05.tar, containing the files that should be handed in for this homework assignment. Open the Autolab web site, find the page for this assignment, and submit your hw05.tar file via the "Handin your work" link.

The Autolab handin script does some basic checks on your submission: making sure that the file names are correct; making sure that no files are missing; making sure that your code compiles cleanly. Note that the handin script is *not* a grading script—a timely submission that passes the handin script will be graded, but will not necessarily receive full credit. You can view the results of the handin script by clicking the number (usually either 0.0 or 1.0) corresponding to the "check" section of your latest handin on the "Handin History" page. If this number is 0.0, your submission failed the check script; if it is 1.0, it passed.

Remember that your written solutions must be submitted in PDF format—we do not accept MS Word files or other formats.

Your hw05.sml file must contain all the code that you want to have graded for this assignment, and must compile cleanly. If you have a function that happens to be named the same as one of the required functions but does not have the required type, it will not be graded.

1.3 Due Date

This assignment is due on Tuesday, 1 October 2013 at 23:59 EST. Remember that you may use a maximum of one late day per assignment, and that you are allowed a total of three late days for the semester.

1.4 Methodology

You must use the five step methodology discussed in class for writing functions, for **every** function you write in this assignment. Recall the five step methodology:

- 1. In the first line of comments, write the name and type of the function.
- 2. In the second line of comments, specify via a REQUIRES clause any assumptions about the arguments passed to the function.
- 3. In the third line of comments, specify via an ENSURES clause what the function computes (what it returns).
- 4. Implement the function.
- 5. Provide testcases, generally in the format val <return value> = <function> <argument value>.

For example, for the factorial function presented in lecture:

```
(* fact : int -> int
  * REQUIRES: n >= 0
  * ENSURES: fact(n) ==> n!
*)

fun fact (0 : int) : int = 1
  | fact (n : int) : int = n * fact(n-1)

(* Tests: *)

val 1 = fact 0
val 720 = fact 6
```

2 Types and Polymorphism

In class we discussed typing rules. In particular:

- A function expression fn x => e has type t -> t' if and only if, by assuming that x has type t, we can show that e has type t'.
- An application e_1 e_2 has type t' if and only if there is a type t such that e_1 has type $t \rightarrow t$ ' and e_2 has type t.
- An expression can be used at any instance of its most general type.

Task 2.1 (4%). Consider the following ML function declaration:

What is the most general type of all?

Solution 2.1 The most general type of all is int * string list -> string list. We perform integer checks on your so your must be of type int. base must be a list of some sort as we cons an element on to it, and it must be a string list because we're consing a string.

Task 2.2 (2%). Consider a different ML function:

```
fun funny (f, []) = 0
  | funny (f, x::xs) = f(x, funny(f, xs))
```

What is the most general type of funny?

Solution 2.2 The most general type of funny is ('a * int -> int) * 'a list -> int.

Most generally, we know f: 'a * 'b -> 'c and x::xs: 'd list. As we see in the first case, funny returns an int, so the overall return type of funny must be int. Then, we narrow down the type of f. It accepts as its first argument an x, so it must accept the same type as the type of elements in the list which we'll now call 'a, so x::xs: 'a list and f: 'a * 'b -> 'c. Now, we know f must have the same return type as funny, so f: 'a * 'b -> int. Lastly, the second argument to f is the return value of funny, so that too much be an int. Hence, funny: ('a * int -> int) * 'a list -> int.

Task 2.3 (2%). Now consider the following function expression:

$$fn x \Rightarrow (fn y \Rightarrow x)$$

What is its most general type?

Solution 2.3 The most general type of $fn x \Rightarrow (fn y \Rightarrow x)$ is 'a -> 'b -> 'a.

We will start with the most general type that this function can have. x has type 'a and y has type 'b. There is nothing in the function that forces x or y to have a nonpolymorphic type. Thus, fn x => (fn y => x) has type 'a -> 'b -> 'a, since the function takes an input of 'a and gives back a function that takes an input of 'b and evaluates to x (defined to be of type 'a).

Task 2.4 (2%). Now look at this slightly different expression:

$$(fn x \Rightarrow (fn y \Rightarrow x))$$
 "Hello, World!"

What is the most general type of *this* expression?

Solution 2.4 The most general type is 'a -> string. We know from the previous problem that the type of the two anonymous functions is 'a -> 'b -> 'a. We then apply a value of type string to that function, fixing 'a to be string, so now we have a new expression of type 'a -> string.

3 Bases

The digits we use to represent a number depend on the base we use. We usually write numbers in base ten, or using digits from 0 to 9. More generally, numbers in a particular base b can use digits between 0 and b-1, inclusive. We can notate this base with a subscript, so the number fifty-four is 54_{10} . In computer science we also commonly see base 2, or binary numbers, which count with 1s and 0s. For example, $10_2 = 2_{10}$, $11_2 = 3_{10}$, and so on.

We'll be representing numbers in different bases as an int list of digits with the least significant digit as the first element of the list, so 1100_2 is [0, 0, 1, 1].

3.1 Converting to an int

Formally, given a base b and a string of n digits $d_n d_{n-1} \dots d_1$, the numerical value of the string is

$$\sum_{i=1}^{n} b^{i-1} d_i$$

For example, 34_{10} is $3*10^1 + 4*10^0 = 3*10 + 4*1 = 34$. We look at the same example in base 2: $100010_2 = 1*2^5 + 1*2^1 = 1*32 + 1*2 = 34$. Given this formula, we can take any string of digits in a base and convert the digits to an int.

Task 3.1 (5%). Define the higher-order function

```
toInt : int -> int list -> int
```

such that for all b > 1 and all L: int list, if L is a list of base b digits, then to Int b L is the corresponding integer value n. Note that to Int is higher-order, so to Int b should return a function from int list to int. For example,

```
val base2ToInt = toInt 2
val 2 = base2ToInt [0, 1]
```

| Solution 3.1 | See solution in hw05.sml.

3.2 Converting from an int

For any natural number n and a base b, we can convert n into base b with the following algorithm:

- 1. If n = 0, then stop. You're done.
- 2. Find the remainder of n divided by b. Prepend this to our current list of digits.
- 3. Do an integer division of n by b.
- 4. Go back to step 1 using n = n div b.

For example, this is the process of converting 42_{10} to base 5.

$$42 \mod 5 = 2$$
 [42 div 5 = 8]
 $8 \mod 5 = 3$ [8 div 5 = 1]
 $1 \mod 5 = 1$ [1 div 5 = 0]

Reading from the bottom up, we get $42_{10} = 132_5$, which we can confirm using the formula for converting to base 10. $1 * 5^2 + 3 * 5 + 2 = 25 + 15 + 2 = 42$.

Task 3.2 (5%). Define the higher order function

```
toBase : int -> int -> int list
```

such that for all b > 1, $n \ge 0$, toBase b n returns the representation of n in base b. Again, toBase is higher-order, so toBase b should return a function. For example,

```
val toBase3 = toBase 3
val [2, 1] = toBase3 5
```

Solution 3.2 See solution in hw05.sml.

Task 3.3 (5%). Define the higher order function

```
convert : int * int -> int list -> int list
```

such that for all b1, b2 > 1 and for all L : int list such that L is a list of base b1 digits, convert (b1, b2) L changes the representation of the input number from base b1 to base b2. We should have toInt b2 (convert(b1, b2) L) = toInt b1 L hold for convert. You may use toInt and toBase in your solution.

Solution 3.3 See solution in hw05.sml.

4 Higher-Order Functions

Recently we introduced several new language features: polymorphism, option types, and higher-order functions. In the next problems, you will write some simple functions using these new tools.

You'll start by writing functions on vectors. Vectors of length n are essentially lists of numbers which indicate a direction and a magnitude in \mathbb{R}^n . For example, vectors in \mathbb{R}^2 are line segments from the origin to the (x, y) point they contain. We will represent vectors in SML as real lists. Mathematically, then, a vector $\langle a_1, a_2, ..., a_n \rangle$ translates to $[a_1, a_2, ..., a_n]$.

4.1 Dot product

Recall: To calculate the dot product of vectors \vec{a} and \vec{b}

$$\vec{a} \cdot \vec{b} = \langle a_1, a_2, ..., a_n \rangle \cdot \langle b_1, b_2, ..., b_n \rangle = (a_1 * b_1) + (a_2 * b_2) + ... + (a_n * b_n)$$

Task 4.1 (4%). Write the function

```
dotProduct : real list * real list -> real
```

that calculates the dot product of two vectors of the **same length**. You solution should be **non-recursive** and it should instead use higher order functions to accomplish its goal. **Hint:** You can use

zip: 'a list * 'b list -> ('a * 'b) list

Solution 4.1 See solution in hw05.sml.

4.2 Magnitude

Recall: The magnitude of a vector \vec{a} , denoted by $||\vec{a}||$, is

$$||\vec{a}|| = ||\langle a_1, a_2, ..., a_n \rangle|| = \sqrt{a_1^2 + a_2^2 + ... + a_n^2}$$

Task 4.2 (4%). Write the function

```
magnitudeOfVector : real list -> real
```

that calculates the magnitude of a given vector. You solution should be non-recursive and should again use higher order functions. Note that you can use

Math.sqrt : real -> real

to calculate the square root of a real number.

| Solution 4.2 | See solution in hw05.sml.

4.3 Angle

Now that we can calculate the dot product of two vectors and their magnitudes, we can also calculate the angle between them.

Recall: The angle between two vectors \vec{a} and \vec{b} is

$$\theta = \cos^{-1}\left(\frac{\vec{a} \cdot \vec{b}}{||\vec{a}|| * ||\vec{b}||}\right)$$

Task 4.3 (5%). Write the function

```
angleBetweenVectors : real list * real list -> real
```

that calculates the angle between given vectors. Please note that you can use

```
Math.acos : real -> real
```

to calculate the inverse cosine of a real number.

Solution 4.3 See solution in hw05.sml.

4.4 Extract

Task 4.4 (7%). Write a function

```
fun extract (p : 'a \rightarrow bool, l : 'a list) : ('a * 'a list) option = such that
```

- 1. If there is some element x of 1 for which p x = true, then extract(p,1) evaluates to SOME(x,1'), where 1' is 1 without that particular x but unchanged otherwise.
- 2. If for every element x of 1, p x = false then extract(p,1) evaluates to NONE.

If there is more than one element satisfying the predicate in a particular argument list, it is your choice which to return.

For example:

extract should be recursive. You should use this function when you implement Blocks World below.

Solution 4.4 See solution in hw05.sml.

5 Blocks World

In artificial intelligence, *planning* is the task of figuring what an agent (a robot, that paperclip in Microsoft Word, your roommate, etc.) should do. One way to solve planning problems is to simulate the circumstances of the agent, so that you can simulate plans, and then search through potential plans for good ones.

A simple planning problem, which is often used to illustrate this idea, is *blocks world*. The idea is that there are a bunch of blocks on a table:

```
|A| |B| |C|
|--- ---
```

and a robotic hand. You can pick one block up with the hand:

```
/|\
---
|C|
---
|A| |B|
--- ---
```

and place it back on the table or on another block:

```
---
|C|
---
|A| |B|
--- ---
```

Of course, you can't put a block on one that already has something on it, so in the next two moves we can't pick up B and then put it on A. A planning problem would be something like "starting with the blocks on the table, make the tower BCA".

In this problem, you will represent blocks world in ML, so that you can simulate plans (we won't ask you to search for plans that achieve specific goals).

At the end of the problem, you'll be able to interact with Blocks World as in the figure above. We've written all the input/output code for you, so you just need to do the interesting bits.

```
- playBlocks ();
Possible moves:
 pickup <block> from table
 put <block> on table
 pickup <block> from <block>
 put <block> on <block>
 quit
|A| |B| |C|
_____
Next move: pickup C from table
/|\
|C|
     |A| |B|
Next move: put C on A
|C|
|A| |B|
```

Figure 1: Sample Blocks World Interaction

5.1 Rules

We will model Blocks World as follows:

- There are three blocks, A, B, C.
- We will represent the state of the world as a list of facts. There are five kinds of facts:
 - Block b is free (available to be picked up)
 - Block a is on block b
 - Block a is on the table
 - The hand is empty
 - The hand is holding block b
- At each step, there are four possible moves:

```
pickup <b> from table
put <b> on table
pickup <a> from <b>
put <a> on <b>
```

These moves act as follows:

- pickup <a> from table

Before: a is free, and a is on the table, and the hand is empty.

After: the hand holds a.

- put on table

Before: the hand holds b.

After: the hand is empty, and b is on the table, and b is free.

- pickup <a> from

Before: a is free, and a is on b, and the hand is empty.

After: b is free, and the hand is holding a.

- put <a> on

Before: the hand holds a, and and b free.

After: a is free, the hand is empty, and a is on b.

In these descriptions, the "before" facts must hold about the world for the move to be executed; after executing the move, the "before" facts no longer hold (e.g. after picking up a block, the hand is no longer empty), and the "after" facts holds.

5.2 Tasks

Task 5.1 (5%). First, we will need a function to extract many elements from a list. Write a function

```
extractMany : ('a * 'a -> bool * 'a list * 'a list) -> ('a list) option
```

extractMany is polymorphic in the list's element type, but it needs to test whether two list elements are equal. For this reason, extractMany takes an argument function eq:'a * 'a -> bool that can be used to test whether two values of type 'a are equal.

extractMany (eq,toExtract,from) "subtracts" the elements of toExtract from from, checking that all the elements of toExtract are present in from. More formally, if toExtract is a sub-multi-set (according to the definition given in the subset-sum problem on HW 3, but using eq to determine when an element "appears") of from, then extractMany(eq,toExtract,from) returns SOME xs, where xs is from with every element of toExtract removed. If toExtract is not a sub-multi-set of from, then extractMany(eq,toExtract,from) returns NONE.

This means that the number of times an element occurs matters, but order does not:

```
extractMany(inteq, [2,1,2], [1,2,3,3,2,4,2]) = SOME [3,3,4,2] extractMany(inteq, [2,2], [2]) = NONE
```

You may define this recursively, and should use extract.

```
Solution 5.1 See solution in hw05.sml.
```

Task 5.2 (8%). Define datatypes representing blocks, moves, and facts, according to the above rules:

```
datatype block = ...
datatype move = ...
datatype fact = ...
```

Observe the convention that datatype constructors start with an upper-case letter (e.g. Node and Empty).

```
Solution 5.2 See solution in hw05.sml.
```

Task 5.3 (2%). Define a state of the world to be a list of facts:

```
type state = fact list
Fill in
val initial : state = ...
```

to represent the following state: the hand is empty, each of A,B,C is on the table, and each of A,B,C is free.

```
Solution 5.3 See solution in hw05.sml.
```

Task 5.4 (3%). Define a short helper function

```
consumeAndAdd : (state * fact list * fact list) -> state option
```

consumeAndAdd(s,before,after) subtracts before from s and adds after to the result, checking that every fact in before occurs. More formally, if before is a sub-multi-set of s, then consumeAndAdd(s, before, after) returns SOME s', where s' is s with before removed and after added. If before is not a sub-multi-set, consumeAndAdd(s, before, after) returns NONE.

You will need to use the provided function extractManyFacts, which instantiates your extractMany with an equality operation derived from the fact datatype.

consumeAndAdd should not be recursive.

```
Solution 5.4 See solution in hw05.sml.
```

Task 5.5 (7%). Implement a function

```
step : (move * state) -> state option
```

If the "before" facts of m hold in s, then step(m,s) must return SOME s', where s' is the collection of facts resulting from performing the move m. It should return NONE if the move cannot be applied in that state. This function should not be recursive.

```
Solution 5.5 See solution in hw05.sml.
```

Task 5.6 Optional: In the file blocks_world.sml, fill in your datatype constructors at the spots indicated. You will then be able to play Blocks World interactively as follows:

```
- use "hw05.sml";
- use "blocks_world.sml";
- playBlocks();
```

This task is optional; do not hand in blocks_world.sml.

Task 5.7 EXTREMELY OPTIONAL CHALLENGE TASK:

If you're really really bored, here's something fun you can try.

The text-based interface we made for blocks world works but is kind of bland. Download a graphics library for SML and use it to implement a fancier interface for blocks world. You'll almost certainly have to make a custom .cm file, so don't modify the one for this assignment. Make a new one and when you're done submit it along with the rest of the homework.

If you want to do 2D graphics you can learn about SDL::ML at http://www.hardcoreprocessing.com/Freeware/SDLML.html and if you want to do 3D graphics you can learn about SML3D at http://sml3d.cs.uchicago.edu/.

6 Conflatten

In this question you will prove the correctness for some simple functions on lists. First, consider the declaration for the size function.

```
fun size [] = 0
  | size ([]::R) = 1 + size R
  | size ((x::L)::R) = 1 + size (L::R)
```

Task 6.1 (2%). Describe in a sentence or two what size does. Give the most general type for size.

```
Solution 6.1 Given a list of lists L, size returns the number of lists in L plus the sum of the size of each list in L. The type of size is size: 'a list list -> int.
```

Now, consider the following functions:

```
fun flatten [] = []
  | flatten (L::R) = L @ flatten R

fun concat [] = []
  | concat ([]::R) = concat R
  | concat ((x::L)::R) = x :: concat(L::R)
```

Both of these functions achieve the same end. They take a list of lists and put all the values from each sub-list into a single main list. For example,

```
val [1, 2, 3] = flatten [[1], [], [2, 3]]
val [1, 2, 3] = concat [[1], [], [2, 3]]
val ["a", "b", "c"] = flatten [["a", "b"], [], [], ["c"], []]
```

Task 6.2 (12%). Prove Theorem 1 by induction. Think carefully about what variable you induct over, as now you're inducting over lists of lists instead of just lists. Be sure to cite any lemmas you use in your proof.¹

Theorem 1. For all types t and for all L: t list list,

$$flatten L = concat L$$

Lemma 1. size is a total function. (ie. for all types t, for all values L of type t list list then size L evaluates to a value.)

Lemma 2. You may assume that

$$size [] = 0$$

Lemma 3. For all correctly-typed values R,

Lemma 4. For all correctly-typed values x and R,

Lemma 5. For all types t and all values L: t list, either L = [] or L = x :: L, for some x : t and L, : t list.

Lemma 6. For all types t and all values L: t list,

$$[]$$
@L = L

Lemma 7. For all types t and all values L: t list, R: t list, and x: t

$$x :: (L@R) = (x :: L)@R$$

Solution 6.2

Proof. WTS: for values L: 'a list list that concat L = flatten L. Proceed by induction on size 1.

Base Case: size L = 0Claim that size $L = 0 \iff 1 = []$.

$$flatten = concat$$

which is a direct transcription of the intuition of the problem into a formal statement. The statement given is an immediate expansion of this, so we don't lose anything by being a little bit more verbose.

¹It's interesting to note that we could have stated Theorem 1 a more concisely as

For the base case L = [], then size L = 0 by first case.

Assume that for L = x::L' that size $L \ge 0$.

By lemma 5, either x = [] or x = x'::L''.

In the first case, size ([]::L') = 1 + size L' \geq 1.

In the second case, size $((x'::L'')::L') = 1 + size(L''::L') \ge 1$.

Hence, for all $L \neq []$, we have size $L \geq 1$, so size $L = 0 \iff L = []$.

Hence, for the BC of the main proof, we have L = []. WTS: concat [] = flatten []

By clause 1 of concat, concat [] = [].

By clause 1 of flatten, concat [] = [].

Hence, concat L =flatten L.

Inductive Case: Let L be a value such that for n = size L, n > 0.

Assume for all $0 \le k < \text{size L}$ that the theorem holds for all values L such that size L = k.

By lemma 1, size is total so size L will always evaluate to a value we can use.

WTS: concat L = flatten L. As shown, size $L > 0 \implies$ size $L \neq []$, so

by lemma 5, L = y :: R for some values y : 'a list and R : 'a list list.

Also by lemma 5, y is either [] or x :: L'. We case on y:

Subcase 1: L matches [] :: R.

Note that by lemma 3, size ([] :: R) > size R, so by the IH: concat R = flatten R.

Hence, concat L = flatten L.

Subcase 2: L matches (x::L')::R.

Note that by lemma 4, size((x::L')::R) > size(L'::R), so by the IH we know

```
concat (L'::R) = flatten (L'::R). Hence, concat L = flatten L.
```

In both possible cases, then, concat L = flatten L. Thus, by induction over size L, the theorem holds.

7 Higher-Order Shrubs

For the next few problems, we're going to introduce a different tree-like data structure called a shrub. Instead of containing data at the nodes, shrubs only have data at the leaves. You'll be writing and analyzing higher order functions on polymorphic shrubs. Here's the type definition of shrub:

Task 7.1 (5%). Define a higher order function

```
shrubMap : ('a -> 'b) -> 'a shrub -> 'b shrub
```

such that for any shrub s: 'a shrub and any total function f: 'a -> 'b, shrubMap f s returns a shrub with f applied to every leaf. For example, to multiply all the leaves by 3 for someShrub: int shrub, we could use shrubMap:

```
val multThree = shrubMap (fn(n) => n * 3) val newShrub = multThree someShrub
```

Solution 7.1 See solution in hw05.sml.

Task 7.2 (2%). Write a recurrence for $W_{shrubMap}(n)$ where n is the size of the input shrub. For this and the following problems, assume that the function f given to shrubMap has O(1) work and span, and assume that the input shrub is balanced.

Solution 7.2

$$W_{
m shrubMap}(0) = k_1$$

$$W_{
m shrubMap}(n) = k_2 + 2W_{
m shrubMap}(n \ {
m div} \ 2)$$

Task 7.3 (1%). Derive an estimate for the big-O of $W_{shrubMap}(n)$.

Solution 7.3 Intuitively, shrubMap applies a constant time function to every element in the shrub, so it has O(n) work as the shrub has n elements.

More formally, we know the work tree for W(n) = 2W(n/2) + k has $\log_2 n$ levels and at the *i*-th level has 2^i nodes doing k work each, so the total work is

$$k \sum_{i=1}^{\log_2 n} 2^i = k(2^{\log_2 n + 1} - 1)$$
$$= 2kn - k$$
$$\in O(n)$$

Task 7.4 (2%). Write a recurrence for $S_{shrubMap}(n)$.

Solution 7.4

$$S_{\text{shrubMap}}(0) = k_1$$

 $S_{\text{shrubMap}}(n) = k_2 + S_{\text{shrubMap}}(n \text{ div } 2)$

Task 7.5 (1%). Derive an estimate for the big-O of $S_{shrubMap}(n)$.

Solution 7.5 shrubMap does k work for $\log n$ levels, so its span is $O(\log n)$.

Task 7.6 (5%). Define a higher order function

```
shrubCombine : ('a * 'a -> 'a) -> 'a -> 'a shrub -> 'a
```

such that for any shrub s: 'a shrub and any total, associative function f: 'a * 'a -> 'a and its corresponding identity i: 'a, shrubCombine f is returns the result of recursively combining the shrub with f. You can think of shrubCombine like foldl for shrubs. For example, to sum all the leaves in a shrub, we could use shrubCombine:

```
val sumShrub = shrubCombine (op +) 0
val someSum = sumShrub someShrub
```

At a leaf, shrubCombine should combine the identity with the value at the leaf (in that order). At a branch, shrubCombine should combine sub-branches in left-to-right order.

For f to be associative, for all well-typed values a, b, c we have: f(a, f(b, c)) = f(f(a, b), c). For example, addition is associative as (1+2)+3 = 1+(2+3), but subtraction is not associative as $(1-2)-3 \neq 1-(2-3)$. The identity i of f is a value such that f(i, a) = f(a, i) = a for all well-typed values a.

And now that you've defined the **shrub**bery, you must cut down the mightiest **tree** in the forest with... a herring!

Solution 7.6 See solution in hw05.sml.