

# Learning and Transfer of Modulated Locomotor Controllers

# Agenda

Motivation and Problem

Method

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Positive takeaways

Critiques

Discussion

# Problem Overview

# Motivation

Meaningful Reinforcement Learning is difficult and often not readily solvable by many pure RL approaches

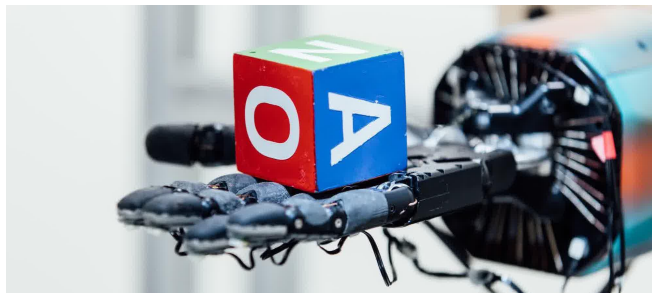
- Long horizons
- Large state and action spaces
- Sparse rewards
- Lengthy training

# State of RL

< 1 day exploration  
8 dof



100 years of exploration  
20 dof



???? of exploration  
> 30 dof



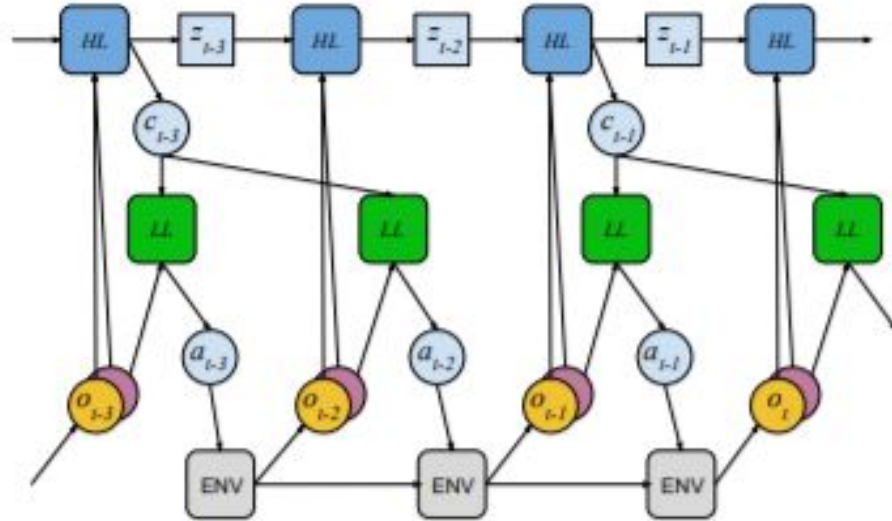
# Problem

Enable efficient policy learning on difficult sparse reward tasks where pure reinforcement learning fails.

- Efficient exploration of large state and action spaces.
- Meaningful policy pre-training for transfer to new tasks.
- Learn useful action primitives for complex tasks to be leveraged by a higher-level decision-making module

# Method

# Architecture

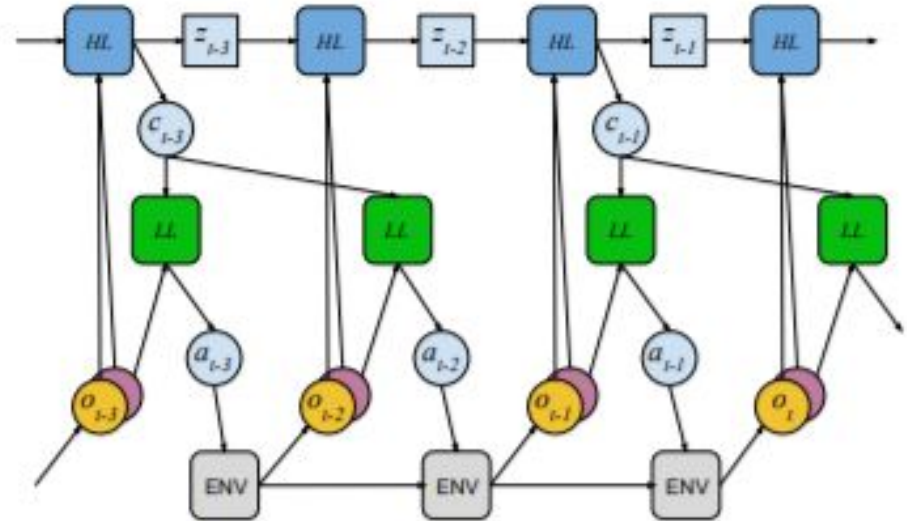


Agent consists of **high level** and **low level** controllers



# Architecture

- High level controller modulates the low level controllers via  $c_t$
- High level controllers are relearned for every task (pretraining and all transfer tasks)
- The High level controller operates on a different time scale
  - Updates to  $c_t$  do not occur every step
- Both controllers have access to proprioceptive information (eg. joint angles)
- High level controller has access to task-specific information (eg. location of goal)
  - Available to low level controllers via  $c_t$   
information bottleneck
    - Encourages domain invariance

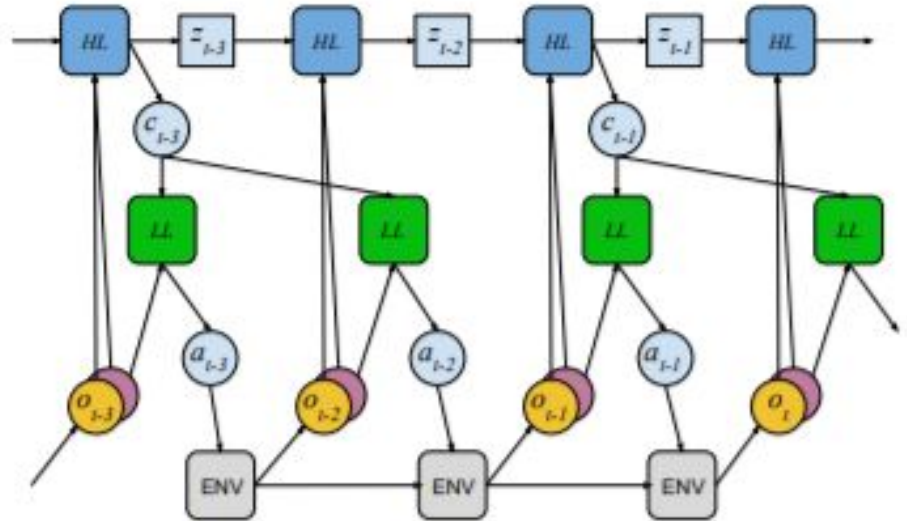


# Architecture

- Low level controllers parameterize actions as samples from a gaussian distribution
- **Proprioceptive** information ( $o^P$ ) and high level **controller states**  $c_t$  are used to compute mean and variance

$$(\mu, \sigma) = F_L(o^P, c)$$

$$a \sim \mathcal{N}(\cdot | \mu, \sigma^2).$$



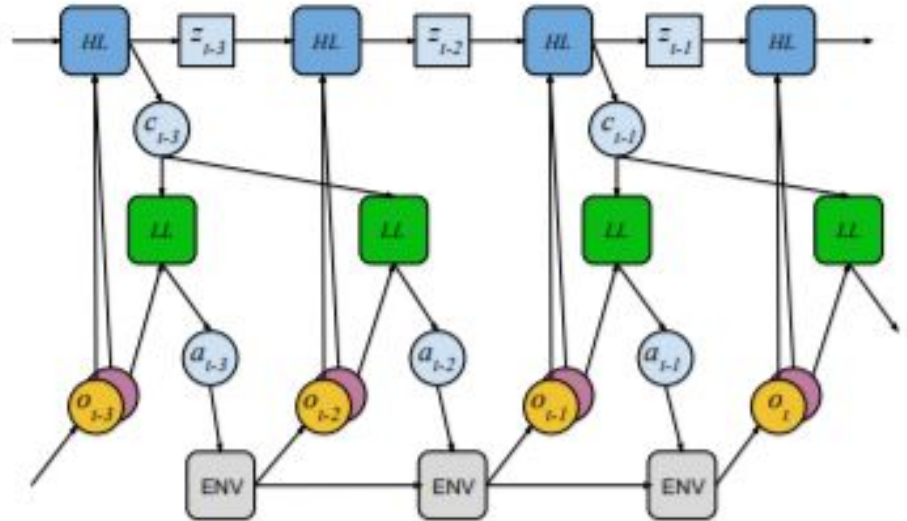
# Architecture

- High level controllers use the full state  $o^F$  (proprioceptive and task-specific features) to compute an LSTM hidden state  $z_t$
- $c_t$  is only updated every  $K$  timesteps as a function of the current  $z_t$

$$z_t = f_H(o_t^F, z_{t-1})$$

$$c_t = g_H(z_{\tau(t)})$$

$$\tau(t) = \lfloor (t-1)/K \rfloor K + 1$$



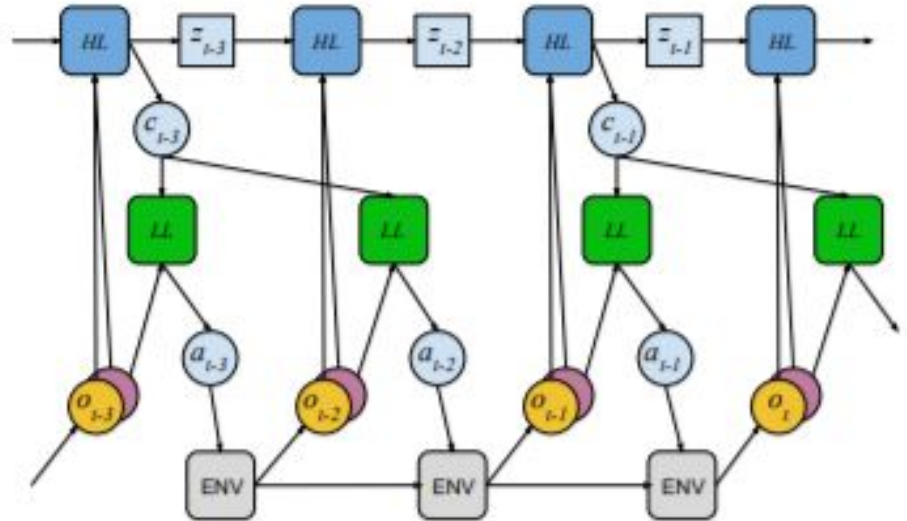
# Architecture

- $g_H$  can be a deterministic function or it can also be a gaussian as well
- It is unclear however if  $c_t$  is sampled once the first time it's updated, or is sampled at every timestep  $t$

$$z_t = f_H(o_t^F, z_{t-1})$$

$$c_t = g_H(z_{\tau(t)})$$

$$\tau(t) = \lfloor (t-1)/K \rfloor K + 1$$



# Architecture -- How to Train Your Model

- Reparameterization Trick
  - Allows backprop through random sampling.
  - Randomness at 2 levels!
- Advantages for policy gradient
  - Reduce variance
  - How much better/worse than average is this transition
- Generalized Advantage Estimation
  - Balance tradeoff between bias and variance

$$x \sim \mathcal{N}(\mu, \sigma)$$

$$x = \mu + \sigma y, \quad y \sim \mathcal{N}(0, 1)$$

$$A(s, a) = Q(s, a) - V(s)$$

# Experiments

# General Experiment Setup

- 1) Pretrain on some simple task with dense reward
  - a) Analyze low level controller behavior by sampling random noise for  $c_t$
- 2) Replace high level controller and provide new task-specific features
- 3) Train on a task with sparse reward
- 4) Compare results of pretrained agents wrt learning from scratch
- 5) Profit \$\$\$

Snake



# Setup

- The first experiment is run on a 16-dimensional swimming snake.
  - Low-level controllers: joint angles, angular velocities, and velocities of 6 segments in local coordinate frames
  - Tasks revolve around reaching a target point



# Pre-training task

- Swim towards a fixed **target** over 300 timesteps
  - Provisional (temporary) high-level controller is also exposed to an egocentric position of the target
- Reward function is dense: negative distance to target
- Modulation: low-level controller is updated every  $K = 10$  time steps



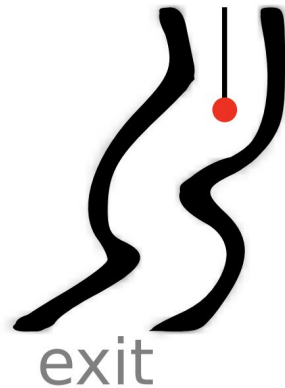
# Transfer task 1: Target-seeking

- Reward function is sparse: snake is rewarded if its head reaches the **target**
- Snake only sees target if within 120 deg. field of vision
- Needs to learn to turn around and swim toward target--snake and target are both randomly initialized
- Episode lasts 800 timesteps

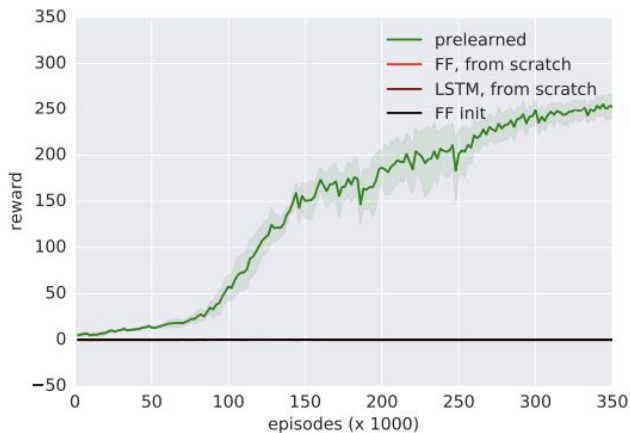


# Transfer task 2: Canyon-traversal

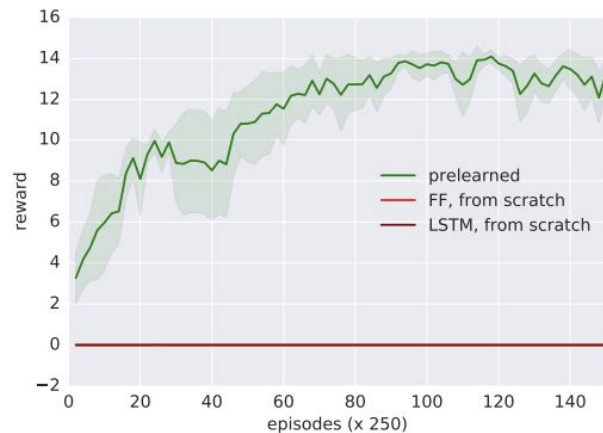
- Reward function is sparse: snake is rewarded if its head goes through the end of the canyon
  - 3000-timestep limit
- Canyon walls provide constraints, as well as possibly impair vision



# Snake results

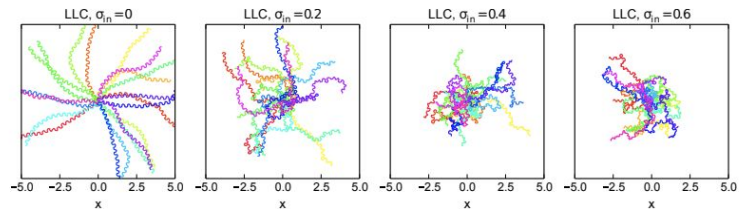
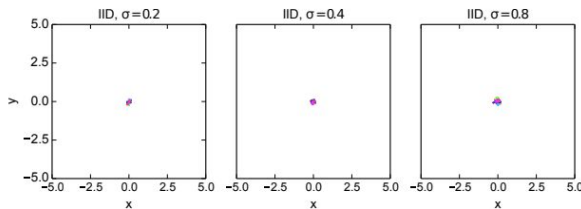


(a) target-seek



(b) canyon traversal

Choosing the action distribution to be a diagonal, zero-mean Gaussian can lead to poor results!



Quadruped

# Quadruped Pre-training: Fixed Target Seeking

## Fixed target Seeking

- **Task-specific** features: relative x,y position of **goal target**
- Dense reward: negative distance to target



# Quadruped Task 1: Fixed Target Seeking

## Fixed target Seeking

- **Task-specific** features: relative x,y position of **goal target**
- Sparse reward: torso is within the green area
- Task difficulty modulated by start distance from target





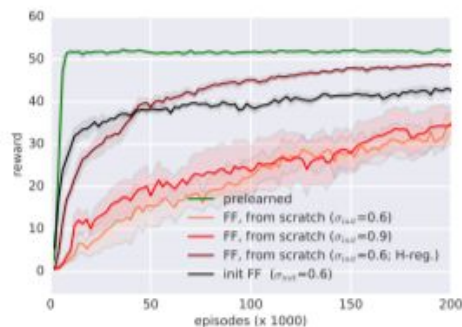
# Quadruped Task 2: Soccer

## Fixed target Seeking

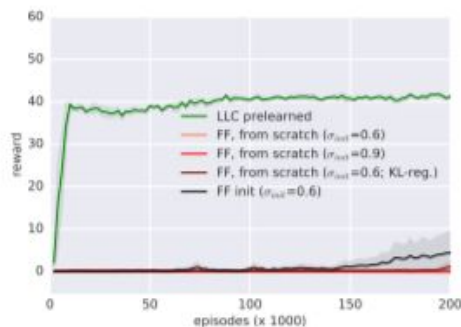
- **Task-specific** features: Velocity of ball, and relative distance from ball and goal
- Sparse reward: ball crosses goal zone
- Task difficulty
  - V1: ball starts between quadruped and goal
  - V2: ball starts behind quadruped



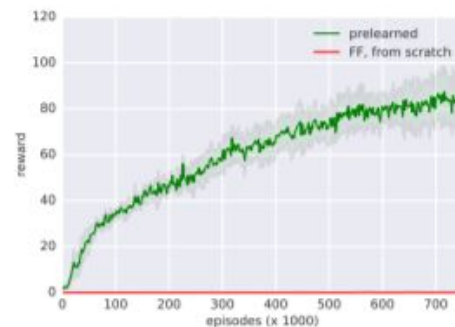
# Quadruped results



(a) target-seek (easy)



(b) target-seek (hard)



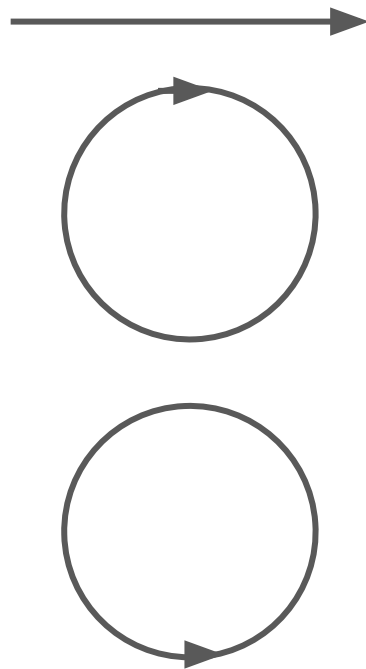
(c) soccer

Humanoid

# Humanoid Pretraining: Path Following

## Fixed target Seeking

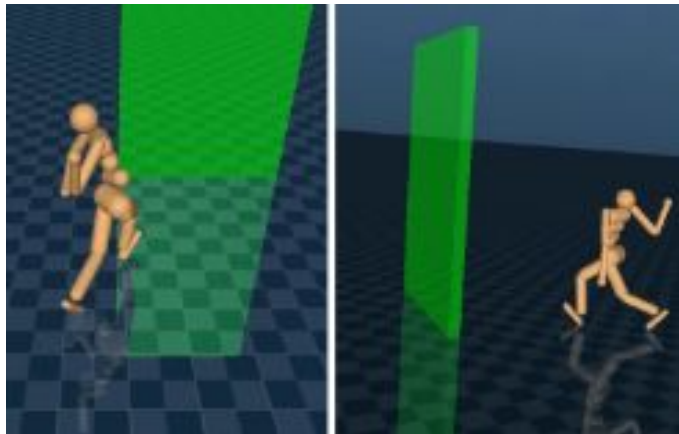
- **Task-specific** features: relative x,y position of **goal target**
- Dense reward: quadratic penalty for being off the path



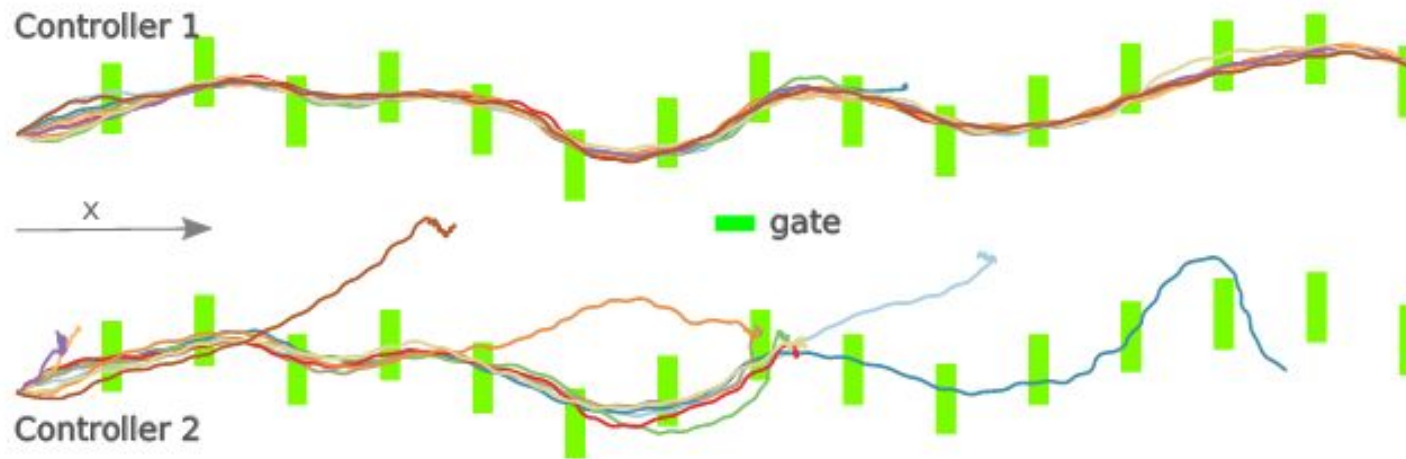
# Humanoid Task: Slalom

Path following with waypoints

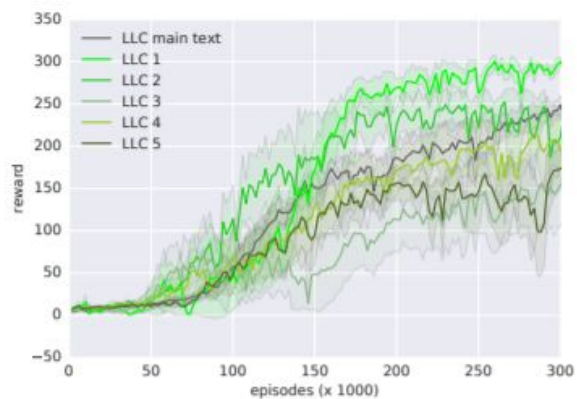
- **Task-specific** features: relative position and orientation of **next waypoint**
- Sparse reward: +5 when agent passes a waypoint
- Terminal state if a waypoint is missed



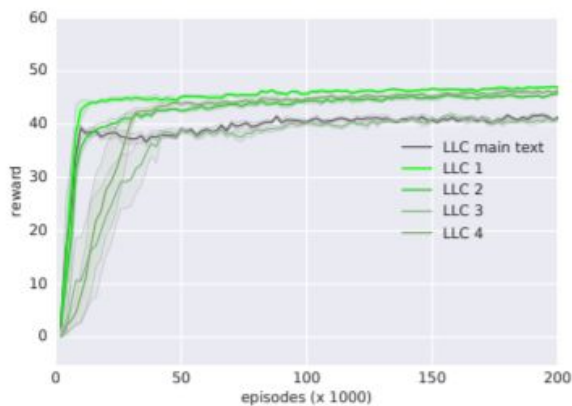
# Humanoid: Results



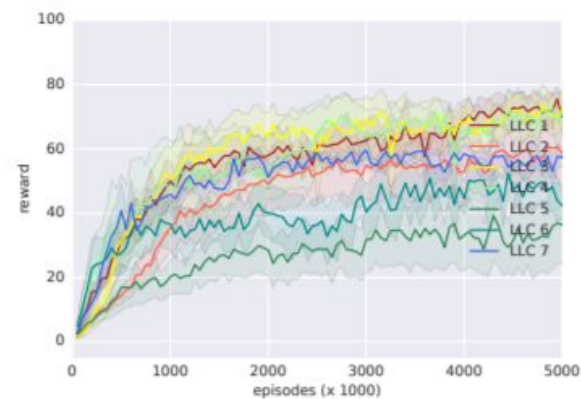
# Low Level Controller Variability



(a) Snake



(b) Quadruiped



(c) Humanoid

Run to Run variability for different seeds

# Positive Takeaways



# Takeaways

Novel network architecture demonstrating use of latent model for compositional policies.

- Many subsequent works use similar ideas (eg. Multiplicative Compositional Policies)
- Can transfer from tasks with dense rewards to tasks with sparse rewards
- Show convergence on complicated and high DOF tasks
- Exploration in a hierarchical model might have better properties

Paper is concise, straight-to-the-point, and well-organized. The performance results demonstrate clearly the efficacy of the approach.

# Critiques

# Room For Improvement

- Not entirely clear on environment / reward design (e.g., snake)
- No training information for experiment replication
- Why not more ablation studies?
  - Frequency of modulation, instead of just  $K=1$ ,  $K=10$
  - Size of networks
  - Different distributions for exploration

# Discussion

- Does the information bottleneck really help domain invariance? Can useful additional signal be utilized effectively by the LLCs without it?
- How does one extend this pretraining idea to more complex regimes and settings where it is not obvious how to create a simple/solvable pretraining task that is nearby in task space?
  - One component of this being: how can we create dense reward functions for “trivial” tasks in real-world settings that can be used for more desirable tasks?
- Why is the Gaussian distribution chosen for exploration, rather than Zipfian or other distribution?

# Future Work

- Curriculum learning to pretrain locomotors that get progressively better
- Unsupervised Meta Learning to construct pretraining tasks that lead to better downstream transfer
- $N$  hierarchical layers instead of two for more complex tasks
  - Greater modularity, extensibility

