WHITE PAPER



New Database Engineering and Archive Construction Technology to Accelerate Covid-19 Research

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Executive Summary

LTS is building SARS-CoV-2/Covid-19 repositories to accelerate scientific discovery and therapeutic intervention. To do so, LTS is developing a pair of data and code repositories to advance both Covid-19 research and new methodologies for data-integration and AI research in general. The first of these repositories, the "Cross-Disciplinary Repository for Covid-19 Research" (CR2), is empirically-focused — aggregating published data sets into a common research platform so as to promote Covid-19-related data mining. The second of these repositories, "Al Methodology and Conceptual Space Theory" (AIM-Concepts), is methodology-focused — providing a collection of code libraries implementing techniques applicable both to data integration and to Artificial Intellegence research. AIM-Concepts prioritizes analytic methods and data representations such as hypergraphs, fuzzy sets, and conceptual spaces — which have broad applications in software engineering as well as Al. Both CR2 and AIM-Concepts are companion resources to the forthcoming Elsevier volume (authored by the LTS team) titled Cross-Disciplinary Data Integration and Conceptual Space Models for Covid-19. In this volume, we will provide concrete examples of Covid-19 data integration/analytics by examining data sets included in the CR2 repository; we will likewise demonstrate analytic techniques by examining computer code included in the AIM-Concepts repository.

AIM-Concepts, with respect to methodology, will focus on Conceptual Space Theory, which is a valuable unifying framework connecting to both fuzzy sets as an Al analytic strategy and to hypergraph models as a data representation strategy. In addition to AIM-Concepts providing Al code libraries based on Conceptual Spaces, CR2 will likewise feature conceptual space models, applying this paradigm as a framework for describing research data. To this end, we will be introducing an updated version of Conceptual Space Markup Language (CSML) — expanded in order to serve as a general-purpose dataset-description language. CR2 and AIM-Concepts will be connected by a new hypergraph database protocol, which we are calling "Transparent Hypergraph Query Language" (THQL). The CR2 archive will include an implementation of this protocol used to aggregate CR2 data into a common format. Likewise, the methodology presented in the AIM-Concepts archive will be examined via demonstrations of how techniques and algorithms of the AIM-Concepts libraries can be applied to data hosted in a THQL database. The THQL technology has many concrete applications outside the context of Covid-19, penetrating vertical markets such as pharmaceuticals, manufacturing, fintech, healthcare, educational software, and bioinformatics.

The principle goal shared by both CR2 and AIM-Concepts is to provide a *common* programming infrastructure which facilitates the implementation of algorithms and GUI components that synthesize data across different scientific fields and methodologies. This common infrastructure is based primarily on QT — a C++ application-development framework — and secondarily on established scientific/medical code projects such as CBICA (Center for Biomedical Image Computing

& Analytics) and ReproZip (a tool for packaging scientific software dependencies). In addition to utilities and build tools, the programming core for CR2 and AIM-Concepts will include C++ libraries to read and manipulate data in formats commonly used for clinical, diagnostic, and medical-outcome reporting, such as OMOP (from the Observational Medical Outcomes Partnership), PCORNET (defined by the Patient-Centered Clinical Research Network), FHIR (Fast Healthcare Interoperability Resources), RADLEX (Radiology Lexicon), and LOINC (Logical Observation Identifiers Names and Codes). In general, CR2 and AIM-Concepts are structured in such a way that these repositories provide a development environment for custom application design, with an emphasis on GUI implementations using the QT framework. Appendix 1 to this paper presents more information about the integration of clinical data with GUI applications, with specific focus on integrating radiological and Comparative Effectiveness data.

In the specific field of radiological medical and diagnostic imaging, recent research has focused on how to effectively connect radiological data with medical outcomes and Comparative Effectiveness Research (CER). Traditionally, medical imaging formats such as PACS (Picture Archiving and Communication System) and DICOM (Digital Imaging and Communications in Medicine) have been largely separated from clinical records. As a result, it has been difficult for clinical outcome researchers to cross-reference radiological data with clinical reports (clinical narratives, treatment protocols, etc.), indicating patient/subjects' subsequent course of treatment and corresponding outcomes. Attempts have been made to remedy this information gap by the semantic annotation of radiological findings, leading to the development of imaging-related ontologies such as RADLEX and SEDI (Semantic DICOM Ontology). One goal of CR2 is, accordingly, to generalize these semantic-imaging frameworks to the more flexible paradigms of *hypergraph* ontologies — schema defined on hypergraph instances, similar to how conventional ontologies define constraints on directed, labeled, RDF (Resource Description Framework) style graphs.

Another domain which has gained significant public attention in the Covid-19 environment is vaccine engineering. In the field of vaccine development and infectious-disease research, conducting vaccine trials and vaccination campaigns generates a diverse spectrum of data profiles. This information ranges from clinical observational reports of the effectiveness of candidate vaccines during their development, to reports on the extent and effectiveness of immunization projects (which often depends on socioeconomic/geographic/political information in addition to the biomedical data). Moreover, scientific understanding of the effectiveness of vaccines depends on assessments of the immunological response of vaccinated individuals (which may incoporate data from blood tests, molecular imaging, cytometry, serological profiling, and so forth) — generating fundamentally different forms of data than encountered in the context of vaccine trials and vaccination compaigns. Comprehensive support for vaccine-related data sets, therefore, requires softwaredevelopment technologies that would be able to integrate and represent information from a wide range of laboratory and in-the-field methods. As with radiology/outcomes integration, this heterogeneous data profile has led to the design of RDF ontologies, notably the "VIOLIN" Vaccine Ontology. Here again, CR2, in order to accelerate research and documentation related to vaccine development, will innovatively expand the Vaccine Ontology to comport with hypergraph ontologies. More information on hypergraph ontologies, with specific reference to radiological and vaccine-related lexicons, is provided in the appendices.

Introduction

In an effort to accelerate scientific discovery and therapeutic intervention, LTS is engaged in the curation of the CR2 ("Cross-Disciplinary Repository for Covid-19 Research") and AIM-Concepts

¹Whereas these formats are normally used in the context of relational databases, the CR2 and AIM-Concepts programming tools are oriented toward custom data mining solutions as well as toward the implementation of specialized desktop-style software applications employing special-purpose GUI classes.



("Al Methodology and Conceptual Space Theory") repositories. The purpose of such repositories is to centralize *disparate* Covid-19-related data and code into a common research platform. This code and data, as much as possible, will be marshaled into a common, hypergraph-based representation format, and a common C++-based programming environment. In building the CR2 repository, LTS will develop and demonstrate new database engineering and dataset/repository construction technologies. Part I of this paper will outline the CR2 and AIM-Concepts repositories; Part II will discuss the new technologies in greater detail. These technologies have deep market penetration-potential in many areas, including, but not limited to, pharmaceuticals, manufacturing, fintech, healthcare, educational software, and bioinformatics.

Some of the CR2 code/data will be hosted on GitHub at Mosaic-DigammaDB/CRCR (for data aggregation) and Mosaic-DigammaDB/LingTechSys (for code previews). The latter repository includes preview code sampling database engine features, such as the logic for constructing a database in shared memory, encoding data types for persistence, and so forth. The data management tools developed for the CR2 repository have a broad range of use-cases, and can be customized for different projects. Companies or research groups interested in a more substantial code preview are invited to contact LTS to discuss their projects and requirements in greater detail.

The main challenge when curating a data repository such as CR2 is reconciling heterogeneous data formats. In response to this challenge, LTS has focused on hypergraph-based data models which can unify many different information structures into one common structure. In particular, CR2 will introduce a special "Hypergraph Exchange Format" (HGXF) which can take the place of disparate tabular or graph file formats (comma-separated values, numeric python, spreadsheets, graph-network serializations, etc.), so as to merge data sets into a common *machine-readable* archive. In addition, CR2 will introduce a new protocol for engineering hypergraph databases, called "Transparent Hypergraph Query Language" (THQL). Databases conformant to the THQL model will be able to export data in hypergraph-based formats, thereby generating data sets which can be used as published, citable Research Objects. CR2 will demonstrate THQL via a new database engine called "DigammaDB" (or \(\mathcal{G} \mathcal{D} \mathbb{B} \)) which serves as a "Reference Implementation" for THQL. In CR2, \(\mathcal{G} \mathcal{D} \mathbb{B} \) functions as a prototype and reference example for THQL, used to curate data sets before their final form is exported into the main data repository. LTS can also customize commercial versions of a THQL engine tailored to the requirements of individual projects.

THQL is designed with a priority on application development. In particular, any instantiation of THQL should provide data persistence capabilities through (as much as possible) self-contained code libraries that can be included in source-code form within an overall application. THQL is designed to integrate seamlessly with native, desktop-style standalone applications. In short, THQL represents an unprecedented combination of native desktop-style software development and hypergraph database engineering.

Complementing THQL's application-development focus, CR2 will also introduce "Dataset Creator," (d_s C), a new tool for curating research data sets. The main feature of Dataset Creator is its use of native software components (called "Dataset Applications"), allowing researchers to view, manipulate, and reuse research data. In sum, the typical Research Object built with d_s C will include self-contained source code implementing a customized desktop application providing access to the accompanying data set. In addition to GUI code, each Dataset Application will supply "data-access" code libraries for parsing the raw data-set files, so as to obtain the information visualized within the GUI classes of the Dataset Application. These data-access libraries offer machine-readable access to the raw data, permitting subsequent researchers to reuse the data-access software libraries so as to transform, filter, or analyze the published data in the context of replication studies and/or novel research projects.



Development of THQL and d_sC is concomitant with CR2; the CR2 repository will provide a practical test-bed for validating this new technology. Accordingly, the following sections will describe CR2 in greater detail, followed afterward by sections offering more information about THQL and d_sC .

Part I: The Covid-19 Repository

The Cross-Disciplinary Repository for Covid-19 Research

The sudden emergence of Covid-19 as a global crisis has cast a spotlight on computational and technological challenges which, in the absence of a catastrophic pandemic, would rarely rise to public attention. In particular, an effective response to the dangers of SARS-CoV-2 requires coordinated policy making integrating diverse modes of scientific inquiry. Genomic, biomolecular, epidemiological, socio-demographic, clinical, and radiological information are all pertinent to Covid-19. In this environment, it is important that the empirical foundations for expert recommendations — which in turn drive public policies of enormous social and economic consequence — be transparently documented and critically examined. The proper synergy between government and science depends on data centralization: given the gaps in our current Covid-19 knowledge, it is understandable that different jurisdictions will craft responses to the pandemic in different ways. There is no central authority with sufficient epistemic force to legitimize homogeneous mandates across the entire country. However, such policy differences should be a consequence of alternative interpretations of scientific knowledge or the diverse needs of local communities — rather than being a haphazard consequence of governments working with divergent, competing, and poorly integrated data.

The current administration, along with numerous corporate and academic entities, has clearly recognized the need for a more centralized paradigm for sharing Covid-19 data. For example, the White House spearheaded a scientific initiative to develop CORD-19, an open-access corpus of over 46,000 peer-reviewed publications related to Covid-19, which were transformed into a common machine-readable representation so as to promote text and data mining. Similarly, large institutions such as Google, Johns Hopkins, and Springer Nature have all implemented some form of coronavirus data-sharing platform targeted to both scientists and policy makers. However, these two aspects of the corporate/academic contributions to Covid-19 data sharing (exemplified by the CORD-19 White House initiative and by institution-generated portals, respectively) have been incomplete, for opposite but complementary reasons. Specifically, CORD-19 is highly structured and tightly integrated, but it focuses primarily on text mining and scientific documents, not research data. While it is possible to find data sets about Covid-19 through CORD-19, the techniques to do so are both cumbersome and non-scalable. On the other hand, projects such as the Johns Hopkins coronavirus "dashboard" provide accessible data sets, yet these projects are isolated and do not offer the level of structure and integration evinced by CORD-19. In short, an optimal Covid-19 research platform would merge the structural text-mining rigor of CORD-19 with the data-centric focus of isolated projects that share Covid-19 data with the scientific community, policy makers, and the general public.

The benefit of CR2 is that it can accelerate Covid-19 research by (1) pooling a diverse collection of data sets into a single resource which scientists can utilize; (2) serving as the prototype for larger research portals that can aggregate new Covid-19 data that will emerge from hospitals, labs, and academic institutions in the future; (3) formalizing a framework for aggregating patient narratives to accurately capture first-hand subjective symptomatology of the patient suffering from Covid-19; and (4) accelerating the implementation of novel data-integration and software-development technologies which can contribute to scientific progress vis-à-vis Covid-19 in particular, and biomedical/scientific computing methodology in general. These principles, in turn, will shape



the design of CR2. An ideal data-sharing ecosystem should merge data from multiple sources, but should do so in a fashion which yields a machine-readable totality, analogous to CORD-19's structuration with respect to text mining. The merit of CR2 therefore lies not only in the data which it will encompass but also in novel technology that it will concretize for constructing data repositories adhering to these goals — aggregating data, but also instantiating novel data-integration and database engineering strategies.

Given these varying goals, CR2 can provide value at different scales of realization. Relatively small data sets serve several scientific and computational purposes: (1) they can provide researchers with a mental picture of how data in different disciplines, projects, and experiments is structured; (2) they can serve as a prototype and testing kernel for technologies implemented to manipulate data in relevant formats and encodings; and (3) they can lay the foundation for data-integration strategies. For example, when designing a representation format and/or implementing code to merge different data formats into a single structure (or meta-structure), it is useful to work with small, representative examples of the data structures involved, so as not to complicate the integration logic with computational details solely oriented to scaling up the data-management logistics. As a result, CR2 can provide a testbed for implementing data-integration technologies which can scale up as needed. To fulfill this mission, CR2 can aggregate relatively small data sets which have previously been published on academic and research portals, such as Springer Nature, Dryad, and DataVerse. At the same time, a more substantial (and not necessarily fully openaccess) Covid-19 data-set collection would also be beneficial to the scientific and policy-making community. Ideally, then, CR2 will be paired with a larger technology which shares a similar implementational strategy but with different accession paradigms, allowing for an open-ended collection of Covid-19 data which users may selectively access (instead of a single package that users may acquire as an integrated resource). The common denominator in both cases (whether the focus is on relatively smaller or larger data sets) is the importance of deploying novel and contemporary data-integration techniques to centralize Covid-19 research as much as possible. Accordingly, this summary will briefly explain how CR2 can accelerate Covid-19 data integration on both a practical and technological level.

Methodology for Covid-19 Data Integration

As indicated above, pertinent Covid-19 data is drawn from multiple scientific disciplines. On a technological level, Covid-19 data is documented via a wide array of file types and data formats. This diversity presents technological challenges: if a Covid-19 information space encompasses files representing 25 different incompatible formats, users would need 25 different technologies to fully benefit from this data. In many cases, however, data incompatibilities are merely superficial — an important subset of Covid-19 data, for example, has a common tabular meta-model, even if the data is realized in discordant technologies (spreadsheets, relational databases, commaseparated-value or Numeric Python files, and so forth). Applying CR2's technology, one level of data integration can thus be achieved simply by encoding tabular structure into a common representation: any field in a table can be accessed via a record number and a column name and/or index. In some cases, more rigorous integration is also possible — for example, by identifying situations where columns in one table correspond semantically or conceptually to those in another table. In either case, it is reasonable to assume that a single abstract data format lies behind surface data-expression in patterns such as spreadsheets and comma-separated values (CSV), so that all files in an archive encoding spreadsheet-like data can be migrated to a common model.

Other forms of clinical and epidemiological inputs are often more amenable to graph-like representations. For instance, trajectories of viral transmission through person-to-person contact is obviously an instance of social network analysis. Similarly, models of clinical treatments and



outcomes can take graph-like form insofar as there are causal or institutional relations between discrete medical events: a certain clinical observation *causes* a care team to request a laboratory analysis, which *yields* results that *factor* into the team's decision to *administer* some treatment (e.g., a drug *from* a particular provider *with* a specific chemical structure), which observationally *results* in the patient improving and eventually *being* discharged. In short, patient-care information often takes the form — at least conceptually — of a network comprised of different "events," each event involving some observation, action, intervention, or decision made by care providers, and where the important data lies in how the events are interconnected: both their logical relationships (e.g., cause/effect) and their temporal dynamics (how long before a drug leads to a patient's improvement; how much time elapses between admission to a hospital and discharge). These graph-like representations are a natural formalization of "patient-centered" data models.

Using CR2's associated software (for example, importing Covid-19 data sets into a THQL database), a higher level of data integration can then be achieved by merging tabular and graph-like models into a single *hypergraph* format. A significant subset of Covid-19 data (or, more generally, any clinical/biomedical information) conforms to either tabular or graph structures; thus it is feasible to unify all of this information into a common framework. A graph-plus-table architecture is generally considered some form of Hypergraph model, and indeed CR2 adopts a hypergraph paradigm to merge many different sorts of information into a common structure. In particular, CR2 introduces a new "Hypergraph Exchange Format" (HGXF) which can provide a text encoding of many files that, when originally published, embodied a diverse array of file-types requiring a corresponding array of different technologies. CR2 will include specialized computer code that would enable machine-readability of the HGXF files, and use them to create hypergraph-database instances. In short, CR2 will promote Covid-19 data integration by translating a wide range of files into a common HGXF format.²

Hypergraph Data Models and Multi-Application Networks

As has been outlined thus far, via the CR2 technology most Covid-19 data can be wholly or partially integrated into a single hypergraph framework, which accordingly simplifies the process of designing software applications and algorithms to analyze and manipulate this data. Specifically, software components can employ a single code library to obtain, read, consume, and store data, rather than needing to re-implement this logic for a large number of different file formats and/or database models.

Quality software (especially in the clinical and biomedical context) demands a balance between applications which are either too broad or too narrow in scope. On the one hand, doctors often complain that homogeneous Electronic Health Record systems (where every digital record or observation is managed by a single all-encompassing application) are unwieldy and hard to work with. This is understandable, because the clinical tasks of health care workers with different specializations can be very different. On the other hand, doctors also complain about software and information systems which are so balkanized that they must repeatedly switch between different, non-interoperable applications. In short, clinical, diagnostic, and research software should be neither too homogeneous nor too isolated; finding the proper balance between these extremes is, no doubt, a major challenge to the usability of electronic health systems going forward.

Against this background CR2 demonstrates novel solutions to this problem: it focuses on the di-

²CR2 data sets are not required to compile all files to a hypergraph format; in particular, sciences requiring substantial quantitative analysis — e.g., biomechanics or genomics — express data via encodings optimized for relevant mathematical operations, and have parser libraries optimized for these specific formats. For these files CR2 will generally provide an HGXF encoding supplying data *about* the original file, with information concerning the file type, preferred software components for viewing/manipulating its data, etc., so that the contents of non-HGXF files can be indirectly included into the CR2 hypergraph-based ecosystem.



mensions of data acquisition and management that are specific to individual scientific or medical specializations, while also identifying requirements that are consistent across domains. Scientific software generally needs to hone in on the data visualization and analytic requirements of particular disciplines; for example, biochemists use different programs than astrophysicists. However, much of the code underlying scientific applications has nothing to do with these high-level models or theories, but is simply a fulfillment of basic data-management functionality — data storage, accession, provenance, searching, user validation, and so forth. In effect, the computational requirements of scientific and biomedical software can be partitioned into two classes: (1) domain-specific logic which reflects the quantitative or theoretical models of narrow scientific fields; and (2) data-management logistics which can be realized within a central access hub, rather than being re-implemented by each application in isolation.

In short, CR2 architecture conceives of a central hub responsible for storing data and serving as a common access point — providing the "gateway" where authorized users can gain access to heterogeneous information spaces utilized by an array of domain-specific software applications. Since peer applications would not be directly responsible for data persistence or user identity management, they can focus on their specific data analysis and visualization capabilities. The central hub, serving multiple peer applications, is then a heterogeneous data space managing information from multiple applications while also tracking information about the applications themselves: helping users to identify and launch the software which is most directly relevant to their clinical or research needs at the moment. Meanwhile, because peer applications are jointly connected to a central hub, it is possible to implement scientific workflows where one application may send and receive data from its peers, allowing applications to complement each others' capabilities.

This multi-application networking architecture has precedents in some of the current database and engineering technologies. For example, many hospitals and medical institutions employ some version of a "Data Lake," pooling disparate data sources into a heterogeneous aggregate which is then accessed by multiple client applications. Similarly, Machine Learning and Artificial Intelligence often adopts "software agents" or analytic modules in contexts such as Online Analytic Processing, which again represent semi-autonomous software components sharing an originary data hub. Web applications, too, often act as domain-specific subsidiaries deferring operational requirements, such as user authentication or transaction processing, to a central web service. The limitation of multi-application networks in these existing contexts are that the software agents involved are generally "lightweight," with relatively primitive user-interface design. By contrast, the hypergraph technology introduced with CR2 will support multi-application networking in the context of more substantial desktop-style scientific applications. In sum, the novel hypergraph technology developed by LTS offers a hybrid of the development methodologies employed for desktop scientific software and those applicable to multi-agent heterogeneous data stores, like a Semantic Data Lake. To accomplish these goals, CR2 will utilize a new hypergraph database engine, coded in the C++ programming language, which has a unique focus on supporting native GUI applications from the ground up, including persisting application state and storing application documentation within the database itself.

A New Paradigm for Data Sharing and Data Transparency

One exceptional feature of Covid-19 research is the extent of public attention focused on scientific discoveries about the disease. Academic and commercial research teams find themselves in an unprecedented situation where there is unusual pressure to accelerate the Research and Development process, and a concomitant demand for a novel level of transparency and openness. For example, vaccine development protocols are being fast-forwarded to take months instead of years, and information about the development process (such as trial results and scheduling) will likely



be shared with the public much more than is standard practice. This new reality, in turn, calls for a commensurate evolution in the technology for public data-sharing.

In conventional biomedical R&D, much of the research data is proprietary, and revealed only in restricted contexts to select parties (such as the Food and Drug Administration). Data which *is* then publicly shared tends to be tied to published research papers in peer-reviewed literature, primarily read by a relatively small, specialist audience. All of this is changing with SARS-CoV-2: companies pursuing Covid-19 R&D (in the context of vaccine trials, for example) are facing pressure to publicly share their results as soon, and as transparently, as possible; and policy makers, scientists, and journalists are no less looking for quick access to research data directly, rather than circuitously through academic publications.

CR2 will introduce the new Dataset Creator technology targeted toward this new environment of direct, transparent data-access (dsC will be discussed in greater detail below). Data sets created via this technology therefore implement the "Research Object Protocol," which mandates that research data be bundled with code allowing scientists to analyze and manipulate the information in the corresponding data set. The Research Object framework was designed by a consortium of academic and governmental entities, such as the National Institutes of Health, to promote a paradigm for data publishing which prioritizes multi-faceted research tools over "raw" data that can be difficult to reuse in the absence of supporting code. In particular, Research Objects should be (as much as possible) self-contained, which means that scientists do not need external software dependencies to access and study the data — any special code which is a prerequisite to using this data should be included, alongside the raw data, as part of the Research Object itself.

Dataset Creator enables standalone, self-contained, and full-featured native/desktop applications to be uniquely implemented for each data set, distributed in source-code fashion along with raw research data (d_s C Dataset Applications use Q_T by default to provide native GUI classes, tailored to the relevant Research Object). Adopting such a data-curation method makes data sets easier to use across a wide range of scientific disciplines, because the data sets are freed from having to rely on domain-specific software (software which may be commonly used in one scientific field but is unfamiliar outside that field). In addition, Research Objects composed with d_s C can be integrated into Multi-Application Networks (which are described in the previous section) because the dataset applications are autonomous native GUI applications that can easily interoperate via Q_T messaging protocols.

Of course, most of the CR2 data sets are previously-published work composed via older technology. Many of these resources, created with a wide range of software products, predate (or fail to apply) contemporary specifications such as the Research Object Protocol; not every CR2 data set will have the full set of features described in this section. However, CR2 will try to maximize the value of each data set by translating them into a QT-based format — in particular, CR2 will provide QT code for reading HGXF files, as well as a QT-based hypergraph representation library. Following the data integration methods outlined earlier, much of the CR2 data can be merged into a QT-based framework, which can facilitate the implementation of new, more sophisticated Dataset Applications as the information in CR2 gets reused for subsequent research. CR2 will also include QT-based software, such as a customized PDF viewer, which will help researchers utilize the corpus in its entirety. For example, CR2's PDF viewer will include special code to connect PDF files with data sets via "micro-citations," as discussed in the next section.

Supporting Data Micro-Citations to Improve Machine Readability

The CR2 database engine supports annotating individual components of a database — a technology sometimes referred to as "micro-citation." Data micro-citations are references to integral parts of a data set, such as an individual table, or a single row/record or column in a table.



Micro-citations allow these integral parts within the data set to be cited by and linked to publications, for purposes of machine readability and attribution. As an example, preliminary vaccine trials often target a patient cohort selected for demographic or medical criteria matching the population who would most benefit from the vaccine. These criteria for selecting the cohort for the vaccine study are usually described in the texts of the articles. However, these criteria are also identified within the data set by socio-demographic data which is part of the information generated by the trial. By making these connections between criteria discussed in the article and those represented in the corresponding data set explicit, text and data mining can be *merged* as analytic tools targeting a data repository, so that machine reading is able to mine not just article text but the corresponding data.

One reason why micro-citations are important is that they clarify the scientific meaning attributed to data set elements by connecting these elements to scientific concepts and "controlled vocabularies" (such as a list of drug names, diseases, proteins, etc.). For instance, micro-citations allow table columns to be mapped to statistical parameters, enabling their empirical properties (such as min/max values and distribution) to be queried by text and data mining software. Likewise, CR2 enables dimensional and measurement annotations to describe the empirical and experimental significance of the measured or calculated quantities which are stored in a database. Such quantity dimensions model the conceptual roles which particular parameters perform: e.g., the axiation "mJ/cm²" (millijoule per square centimeter) indicates the intensity of ultraviolet light any table (or other data aggregate) having a column or field with this dimension is intrinsically associated with observations or experiments pertaining to UV light. Consequently, to locate data sets relevant for research about the clinical uses of antiviral UV radiation, one method is to search for data fields dimensionalized in terms of joule or millijoule per square centimeter. As this example illustrates, data micro-citation — via annotations on data fields, statistical parameters, and table columns — is an important data-mining tool. In short, constructing micro-citations within a database serves two distinct benefits: (1) to aid data mining; and (2) to enable granular links (joining specific parts of articles to corresponding parts of the data set in the data set repository — analogous to hyperlinks between web pages) to be established between publications and data sets, making it easier for researchers to find the specific information most relevant to their own research.

Code Libraries and Data Sets for Analytic Methodology

As a companion to CR2, whose essential purpose is to present *empirical* observations concerning Covid-19, we are curating the AIM-Concepts archive, which is focused on code that supports Artificial Intellegence and concrete data-integration methodology. At the core of AIM-Concepts is a collection of code libraries implementing analytic techniques and representations used by AI researchers as well as by software engineers — in particular, different varieties of hypergraphs; fuzzy sets/logic; and conceptual spaces. In order to integrate this code into a common programming framework, the AIM-Concepts libraries are ported or modified when necessary, with C or C++ as the primary development language and QT/qmake as the primary development framework and build system. CR2 and AIM-Concepts will be interconnected by employing CR2 data sets as concrete examples for demonstrating how AIM-Concepts libraries may be used within software applications. Here are some of the methodological approaches (based in part on how Covid-19 research can provide concrete use-cases) which will be important components of AIM-Concepts:

Computational Epidemiology Methods in this category generally concern the simulation of disease transmission given a set of initial parameters (such as an infectious agent's reproduction rate and an average degree of person-to-person contact), often concomitant with empirical data, tracking how a disease has spread within some observable subpopulation. The empirical findings, therefore, suggest *a posteriori* which statistical model best fits the actual nature of the



disease in question. The accuracy of this analysis depends, in part, on how well the observed subpopulation mirrors the susceptible population as a whole; but it also depends on the accuracy of the mathematical formulae translating initial parameters into projected epidemiological simulations to be compared against *a posteriori* data. As such, concrete expositions of these mathematical frameworks — in particular, computer code implementing the calculations which drive a simulated model — constitute a computational asset in their own right. **AIM-Concepts** will include several code libraries that have been published as tools investigating different quantitative epidemiological models. Although some epidemiological simulations rely primarily on mathematical equations, most epidemiology libraries internally use graph-based models, often employing weighted graphs where edges denote, for example, the probability of viral transmission between people in close contact. As a result, distinct epidemiological models can sometimes be merged into a common analytic framework, using custom quantitative algorithms that are composed within a common graph-traversal framework.

Event Modeling Event modeling, sometimes called "Entity-Event Modeling," is an emerging data-analytic trend which focuses on events, rather than objects, as the most fundamental form of observation within a data space. Conceptually, the rationale for this paradigm is that every property which is attributed to some object can be tied to a specific event wherein the given property was measured, observed, discovered, or inferred. For instance, asserting that a patient is infected with SARS-Cov-2 implies that a test for SARS-Cov-2 was positive. Focusing attention on the event (viz., the test and its result) allows the data model to accrue information in a more detailed manner: in the case of SARS-Cov-2 tests, the relevant testing method/kit used; false positive/negative rates in the population; the time ellapsed between the onset of symptoms (if any) and the test being ordered and the interval until the results are provided; the observations or factors (such as the subject's exposure to an infected family member) which caused health care providers to order the test; and so on. Many of these details might be included in a conventional database as well; however, focusing on events allows the relevant information to be obtained more easily in that the event model supplies a temporal and operational organization for the underlying information. Event models are especially useful when temporal sequencing and duration are important considerations for uncovering scientific facts about the phenomenon being studied. In the context of infectious diseases, intervals such as the length of time between exposure and contagiousness, length of time between exposure and the appearance of symptoms, or the duration of hospital stays, are essential to our understanding of the disease's biology.

Fuzzy Sets and Fuzzy Logic Mathematically, fuzzy logic is often conceived in terms of replacing a simple binary logic ("true"/"false") with a more complex multi-valued logic, wherein properties may be true or false to varying degrees. Thus, on the surface, this introduces quantitative models (such as the calculation of the conjunction or disjunction of fuzzy predicates) as a substitute for purely logical reasoning. In practice, however, fuzzy sets often have the opposite effect: this theory gives rise to methods which can simplify empirical analyses by eliminating quantitative formulae — in particular, parameters of a continuous variable. Fuzzy set models tend to simplify data spaces by collapsing continuous quantities into discrete cases (e.g., low, medium, high), or grouping objects into similarity clusters. Via these operations, data models that depend on computationally intensive mathematical variables can be replaced by qualitative models, often representable in graph form (where graph edges may designate membership in a prototype-class, or the evolution of some observable according to several property classes, each of which collapses a spectrum of granular cases into a single prototype). Fuzzy methods can be shown to be effective simplications of complex data models by demonstrating that analyses conducted via qualitative reconstructions of a data space, for a given set a observations, are comparable to results obtained from more quantitative methods and/or are a good fit to empirical data. Research data sets which emerge from fuzzy methods can be qualitative models derived from quantitative data spaces, as well as code libraries which perform the relevant transformations.

Conceptual Space Theory Conceptual spaces, which have some similarities to Fuzzy Sets, have likewise been proposed as a paradigm for modeling both scientific knowledge and Artificial Intelligence. Conceptual Space Theory is rooted in cognitive and linguistic investigations of how humans formulate and understand concepts (extending to scientific theories, and in particular how we "process" scientific information to infer facts about the world). Conceptual spaces have, therefore, been proposed as a language for representations or descriptions of scientific knowledge, with an emphasis on how scientific models are built up from individual conceptual parameters (such as points in space/time or notions of length, heat, speed/acceleration, electric charge, etc.). As scientific ideas become formalized, our intuitive conceptualization of spatial or observational quantities gets translated into physical, mathematical, or statistical details: scales and units of measurement, observational value ranges, statistical levels (Nominal, Ordinal, Interval, Ratio), and so forth. Formal implementations of conceptual spaces, therefore, focus attention on the dimensional and measurement properties of data parameters (e.g. scales/units of measurement) — information which is usually not made explicit in the publishing of data sets — and on how these parameters aggregate into conceptual units. Such aggregates include things like how two geographical coordinates that define a geospatial location, in Geographical Information Systems; or how shape and color, in combination, characterize visible objects, such as in image segmentation. One conceptual-space representation is Conceptual Space Markup Language (CSML), which CR2 will update in order to document scientific parameters within data sets. Other conceptual-space models and analytic libraries have been developed in the context of Artificial Intelligence, where the goal is to simulate the patterns of human conceptualization within Al engines; this work can then be incorporated into the AIM-Concepts repository.

Cognitive Discourse Analysis and Conceptual Role Semantics Cognitive discourse theory is similar to Conceptual Space Theory in investigating the overlap between conceptualization and scientific knowledge — or, more generally, all of our observed facts or empirical beliefs as they are encoded in language. Although it is obvious that most of our language is based in concrete beliefs about the world around us, this basic linguistic principle is not usually captured with full rigor within formal reconstructions of linguistic expressions. The essential paradigm in cognitive linguistics is the notion of cognitive grounding — that is, how every object and event included in a sentence connects to the speaker's fundamental understanding of the situation around them, and its relevant facts and observables. While all linguistic theories acknowledge grounding, cognitive analysis develops a detailed theory of how grounding works in all of its aspects: there are multiple dimensions of grounding, because we connect objects/events to situations in many different ways. For example, objects relevant to a given event play distinct empirical roles (the agent, which causes something — some change — to happen; the patient, which is thereby changed/affected; and potentially secondary participants such as the instrument by which the change is effected; the "benefactor," i.e., the object for whose benefit the change is caused, etc.). These conceptual differences are rigorously treated within Conceptual Role Semantics, which shares a similar philosophical orientation to Cognitive Discourse Analysis. As a practical tool for analyzing language, these two methodologies provide a matrix of classifications for objects and events (and their corresponding linguistic units) in terms of the situational background where every object and event (in a given discursive context) is perceived. Formally, these classifications then provide a layer of annotation which capture linguistic details at a cognitive level more rigorous than usually found in Natural Language Processing. Al methods in this field focus on automatically identifying cognitive-discursive patterns in language, although data sets can also be formed by manually annotating language samples according to cognitive-discourse and conceptual-role vocabularies. In either case (whether via manual or Al-driven annotations), Cognitive Discourse Analysis represents one emerging technique for



the representation of natural-language assets — such as Patient Narratives — for the purposes of applying advanced text/data mining strategies (in the case of Patient Narratives, to extract critical patient symptomology often buried in circumlocutory discourse).

Adding Patient Narratives to Covid-19 Data

In addition to aggregating published data sets, **CR2** may be used as a repository for collecting new Covid-19 information. With that in mind, we are prioritizing the design of a standard for storing and accessing natural-language text representing patients' subjective symptom descriptions, which is quite useful for diagnostic/prognostic assessments of patients infected by Covid-19.

Just as CR2 envisions a curation of published data sets for data mining to improve machine-readability of Covid-19 research, LTS also sees the benefit of a repository of patient narratives prepared for text mining, to improve machine readability of the open-ended symptom descriptions offered by patients. While CR2 does not need to specify how these narratives should be collected, it will implement a common representational format so that patient narratives can be pooled, similar to to how CORD-19 research texts are merged and encoded with a system that permits annotation.

In modeling patient narratives, this technology will be oriented toward the scientific-computing ecosystem outlined in the previous section. In particular, we assume that GUI-based desktop applications will be the primary instruments for data collection and analysis; this means that the encoding of patient narratives may, at times, need to be paired with GUI or multi-media content. For example, the software for patients to submit medical history information could also allow them to pair (text-form) narratives with graphics indicating the location of their pain or discomfort. Furthermore, the software could allow narratives to be accompanied by an audio file where patients could cough/speak into a microphone. Given this range of possible inputs, patient-narrative encodings must be flexible enough to include diverse multi-media content.

As described earlier, an information space adapted for multiple peer applications should encompass capabilities for saving application state (the current visual appearance of the program), which includes features for modeling instances of **GUI** classes. This technology provides the necessary infrastructure for managing patient narratives. For example, consider a multi-media intake form where patients may describe symptoms by placing icons (representing pain or discomfort) against anatomic silhouettes (head/body, back/front, extremities, and so forth). As patients use such a multi-media form, **GUI** application state corresponds to the patient's subjective symptomology; in this way the graphics-based representation of symptoms could then be incorporated into the overall patient narrative. This is an example of how application-persistence logic can be marshaled to the related project of curating patient narratives.

Part II: Novel Database Engineering and Data-Set Construction Technology

Native/Desktop Application Development with THQL

As described earlier, **THQL** is a database engineering protocol which prioritizes data-persistence components that can be included in source code fashion within application-development projects. **THQL** is "transparent" in that all layers of data persistence and query processing logic are provided via self-contained source-code libraries. The complete database functionality can then be statically examined via the source-code files, and dynamically examined by running the client application through a debugger. Moreover, because all **THQL** source code is bundled with appli-



cation code, **THQL** can be configured to integrate seamlessly into its environing client-application logic. For example, **THQL** can be extended to natively recognize client-specific datatypes as data fields, or to execute client algorithms as query parameters.

As a query *language*, **THQL** can be instantiated either by special languages with their own syntax and semantics (analogous to **SQL** or **SPARQL**), or as an interface and pattern specified for a conventional language, such as **C++**. In the latter guise, **THQL** provides a common protocol for essential database tasks, such as constructing, updating, querying, and backing up database instances. Each procedure comprising the **THQL** protocol is assigned a specific role, so that the protocol can be abstractly modeled as a set of data-management roles mapped to corresponding procedure implementations. On this basis, custom query languages can be constructed by exposing each role-procedure to a scripting interface. For example, **THQL**'s Reference Implementation (DigammaDB) exposes the protocol-specific procedures via a set of pointers to **C++** functions. Consequently, parsing a query language is then rendered a straightforward process of mapping query expressions to the requisite procedures, whose corresponding handle can then be obtained via **C++** interop.

As suggested by its name, THQL is centered around the operations to define and store hypergraph-form data: information which has several levels or scales of structuration. This means that the THQL protocol includes procedures for registering individual data fields (representing, in general, primitive types such as integers and decimals) in a database; aggregating fields into "hypernodes," or groups of interrelated information; connecting pairs of hypernodes by identifying a specific connection which they have; adding contextual details or annotations (via so-called *frames* and *channels*) which refine assertions of hypernode connections; and constructing "proxies" to database elements (e.g. hypernodes, frames, and subgraphs) which can be referenced (via unique identifiers) as individual data fields. Since proxies can then be aggregated into hypernodes in turn, THQL graphs can have, if desired, arbitrarily deep nested structures.

THQL also recognizes additional structures corresponding to conceptual details described earlier — for example, fields within hypernodes can be linked with dimensional attributes (e.g. scales and units of measurement) and identified as micro-citation targets. THQL likewise supports a genre of controlled vocabularies applying to hypernode-types and/or connection labels (called "dominions," for "Domain-Specific Mini-Ontologies"). Consequently, a graph can then be, if desired, configured to only accept hypernodes which conform to one of the dominion-defined types; and/or to only allow connections to be asserted between hypernodes when these connections can be labeled from a dominion-specific list of connectors. Less restrictively, graphs can be defined in a more free-form style but use dominions to filter or query nodes and edges.

Another feature of THQL, relevant to application integration, is the notion of configuring each database to support different "modes" of data persistence. It is possible to use THQL for completely in-memory data management, with no direct data persistence at all. This would be an appropriate solution when data can be read all at once from a static source, such as a data set. In this guise, THQL would be used to build a structural model of the data set, which can then be queried by application code. Conversely, it is possible to employ THQL as a continuously-updated data store, where changes to an underlying THQL graph are persisted to disk as soon as they are registered. Between these extremes, THQL graphs can hold dynamically changing representations of a persistent database, which are only incorporated into the underlying database when instructed by the client application. To support these different operational modes, THQL engines need the capability to represent each data type in several different formats, tailored to different stages of processing through which values are routed before they can be stored persistently.

In DigammaDB (the THQL Reference Implementation), persistent data storage is implemented via the WhiteDB database engine. WhiteDB is a hybrid graph/record database which allocates a persistent data store in shared memory (allowing each database to be accessed from multiple



applications). SDB encodes hypernodes in WhiteDB records (although programmers can interface with the underlying WhiteDB instances if desired). SDB can then use WhiteDB's index and query mechanism as the foundation for its own higher-level query system. SDB provides a convenient interface for binary-encoding user-defined C++ types, so that arbitrary application-level data can be stored via THQL (we can supply more documentation describing hypergraph-encoding with WhiteDB if needed). In addition — as an alternative to writing serializers for bonafide C++ types — it is possible to construct "ghost" types, which are QT data structures built via the "QVariant" class, so that all (or most) hypernodes in the corresponding database have a single C++ type — this technique is appropriate when, for example, the purpose of a THQL instance is to read and then update HGXF files with new information. To support different THQL operational modes, QDB organizes a stage-structure based on encoding WhiteDB values: at the ground level, values are simply pointers to in-memory C++ objects. At an intermediate level, values are encoded (via the QDataStream class in QT) into structures which recognize the WhiteDB encoding scheme but do not themselves interact with WhiteDB. Finally, values may be recorded as WhiteDB fields and records ready for persistent storage.

Hypergraph Ontologies

The notion of *hypergraph* ontologies extends the idea of *ontology* as this appears in the context of the Resource Description Framework (RDF). In the Semantic Web, an "ontology" is essentially a graph schema, defining metadata for any information that can be serialized or represented in graph-like fashion, as well as criteria which graphs must satisfy when they are used to encode a specific sort of data. To encode information about a *clinical trial*, for instance, one may require that graphs include one node (representing the trial itself) which is linked to other nodes representing patients enrolled in the trial, as well as one or two nodes representing the trial's start and end dates. These requirements constitute both a structural mandate on the graphs — specifying how the nodes should be connected — and a *semantic* requirement on the nodes, each of which must be tagged with metadata clarifying that the corresponding node embodies a trial, person, or date. An ontology then supplies a fixed vocabulary with which to rigorously declare this necessary metadata and the relevant graph-construction rules. Insofar as graphs are employed to encode data, ontologies are analogous to Document Type Declarations (DTDs) in the context of XML.

In a conventional RDF ontology, metadata is primarily associated with graph nodes and edges. In particular, nodes are referenced to Uniform Reference Identifiers (URIs), such as web addresses, and edges are labeled with concepts formally defined in one or more ontologies. Concepts which are used to annotate graph-edges, and which are given a fixed meaning in some controled vocabulary, are often called "properties." One special "is-a" property is often used to connect nodes with concepts that classify entities into one of many categories defined in an ontology, often called "classes." As such, most RDF ontologies are primarily composed of *classes* and *properties*, each assigned a unique label. The purpose of metadata for a given graph is then to link nodes to classes (for example, specifying that one node represents a clinical trial and the second represents a patient), and furthermore link edges to properties (for example, specifying that a patient-node



is connected to a trial-node in that the patient is *enrolled in* the trial).

Hypergraph ontologies are similar to conventional RDF ontologies in that they likewise provide constraints and metadata for graphs. However, hypergraph ontologies are more complex because hypergraphs are likewise more complex than ordinary graphs. In particular, hypergraphs have different layers of structure: whereas RDF nodes are intended to represent a single concept or value (such as a number, date, personal name, or URL), a *hypernode*, within a graph, typically encompasses multiple pieces of information inside it (often called *hyponodes*, *projections*, *inner elements*, *roles*, or just *nodes*). In general, when analyzing hypergraphs it is necessary to distinguish at least two "tiers" of nodes, *hypernodes* and *hyponodes*, such that hyponodes are contained within hypernodes. As a result, hypergraph ontologies need a corresponding distinction for node and edge annotations: insofar as nodes are categorized via classes, and edges via properties, it is necessary to stipulate whether these classifications apply to hypernodes, hyponodes, or some combination of the two.

A further complication (contributing to the complexity of hypergraph ontologies compared to RDF ontologies) arises because, even though hypergraphs represent nested or hierarchical structures, these hierarchies are often partial or overlapping. For example, a patient is *part of* a clinical trial, but a patient is also included in other collections as well; for instance, a patient may be enrolled in a specific health plan (for insurance coverage). One technique for modeling overlapping hierarchical data via hypergraphs is to employ "proxies," which are digital identifiers encoding a multi-faceted concept into a single value that can be part of a hypernode (proxies are similar to "foreign keys" in SQL). Therefore, each patient, represented by its own hypernode, has an identifier which can be a proxy-value for the patient; for example, a value assigned to a hyponode becomes included in the hypernode encoding the list of patients enrolled in a clinical trial, or in the hypernode encoding the list of patients enrolled in a specific health plan. Hypernodes can then be linked to other hypernodes by virtue of proxies (e.g., the trial-to-patient connection), and also by virtue of overlap (e.g., the set of all patients both enrolled in a given clinical trial *and* enrolled in a given health plan).

In sum, compared to RDF — where there is one single sort of node-to-node relationship, based on whether or not an edge exists betweel nodes and how this edge is labeled — hypergraphs are more flexible/expressive because they have multiple genres of node-to-node relationships: the relation between hypernodes and their inner hypnodes; between hypernodes and one another; between hyponodes in different hypernodes; and variations on each of these relation-types wherein relations are defined indirectly through proxies. Moreover, in addition to hypernodes and hyponodes, hypergraphs afford additional levels of detail, such as *frames*, *channels*, and *axiations*. All of these details provide different "sites" where hypergraph annotations and metadata may be defined.⁴

An additional distinction within the Semantic Web is the contrast between *reference ontologies* and *application ontologies*. In general, *reference ontologies* are general-purpose schema intended to establish conventions shared by many different applications, to ensure that a large collection of data-producing software in a given domain is interoperable. By contrast, *application ontologies* are narrower in scope because they are more tightly integrated into applications that directly send and receive data. Ontologies of either variety are used by software to interoperate with other software: so long as two applications are using the same ontologies, it is possible to ensure that

⁴This means that formats for describing hypergraph ontologies have to be more expressive than RDF ontologies, because RDF ontologies need only to classify metadata as node-annotations or edge-annotations; by contrast, hypergraph ontologies need to distribute annotations among multiple sites of graph structure.



The term "projections" is used by HyperGraphDB (see http://www.hypergraphdb.org/?project=hypergraphdb& page=RefCustomTypes); "roles" is used by Grakn.ai (see https://dev.grakn.ai/docs/schema/concepts); "inner entity" is used by the biointelligence project (see https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3555311/), where the corresponding notion of "external entity" refers to what in other contexts might be called other hypernodes linked to a given hypernode via hyperedges.

one application can understand the data produced by a second, and vice-versa. However, such inter-operability is only a potential; it is the responsibility of programmers to actually implement code which produces and/or consumes data that conforms to the relevant ontology specifications. In general, application ontologies are structured in such a way that these concrete implementations are more straightforward to produce, compared with reference ontologies. Reference ontologies offer little guidance to developers vis-à-vis how to directly support the ontology within application code. Conversely, application ontologies more rigorously describe the data structures which applications must implement in order to properly manipulate data that is structured according to the specifications of the ontology.

Although it is theoretically possible to encode data directly via RDF graphs, it is far more common for applications to employ tabular and/or hierarchical formats such as spreadsheets, Protocol Buffers, XML, or JSON. As a result, the role of ontologies for constraining data structures (so that they adhere to common standards) is indirect. It is useful to remember that ontologies are, at their most basic level, Controled Vocabularies; as such, ontology constraints often amount to stipulating a set of acceptable terms for a data value, column header, or annotation. For a trivial example, our calendar recognizes 12 month names and 7 day names, which constrain the set of values permissible for "month" and "day" within a calendar date. These terms are so commonplace that a "date ontology" is unnecessary, but in scientific or technical domains it becomes necessary to define vocabularies of allowable names or labels for specific data fields that representing some scientific value or measurement. For instance, the Ontology of Vaccine Adverse Events (see https://jbiomedsem.biomedcentral.com/articles/10.1186/2041-1480-4-40) provides a nomenclature for use in Adverse Events Reporting, so that researchers or clinicians can describe symptoms via canonically recognized terms rather than through informal text descriptions. In general, ontologies constrain data sets by stipulating that particular individual values within the overall data collection have names or descriptions whose associated set of possible values is prescribed a priori by the applicable ontology. However, the relationship between ontologies and concrete data sets must itself be documented, which is where application ontologies can become relevant — application ontologies provide a bridge between reference ontologies and the applications which use them (along with the data generated and shared by those applications).

Most of the commonly used ontologies published by large-scale Semantic Web projects, such as the OBO (Open Biomedical Ontology) Foundry, are reference ontologies (see for example the OBO tutorial at https://github.com/jamesaoverton/obo-tutorial/blob/master/docs/obo.md). By contrast, hypergraph schema are closer in spirit to application ontologies.⁵ in particular, there is often a direct correlation between hypernode types and the data types understood by code libraries implemented in a given programming language, and these type-level correlations need to be modeled by hypergraph ontologies (the ontology makes explicit how types in the ontology and in the application are interconnected). As a result, hypergraph ontologies require capabilities to model the type systems of programming languages. 6. Moreover, because programming language types cover a range of use-cases — including functional and GUI types — hypergraph ontologies need to directly model details about how applications interact with human users, including the visual objects (windows and their components) which users see on-screen. They also model the "operational semantics," (viz., patterns of human-software interaction) which govern how one uses the application to achieve their desired goals. For example, if a software tool exists, in part, to help researchers define a patient cohort from an aggregate of clinical data, a relevant application ontology can include a description of the concrete steps used to achieve this end.

Because hypergraph ontologies are more directly structured around software operations and

⁵although sometimes different terminology is used to express this idea (see the HyperGraphDB tutorial at http://www.hypergraphdb.org/?project=hypergraphdb&page=IntroStoreData).

⁶By contrast, conventional **RDF** ontologies do not intrinsically interact with type systems outside a limited group of **rdf:type**s

programming-language type systems, these ontologies function as a more rigorous guide to those implementing novel software solutions than reference ontologies intended primarily for Semantic Web data-sharing. Hypergraph ontologies can, therefore, be especially important in the context of multi-application networks, where collections of distinct software applications share a common technological and information infrastructure. In general, hypergraph ontologies are more appropriate for modeling data in contexts where custom software implementation is an important operational requirement of the overall data space — that is, a particular data ecosystem is engineered with a priority for enabling developers to implement semi-autonomous software "agents" that provide specialized views or analyses of a data space shared by many such applications.

Dataset Creator

Dataset Creator (dsC) is a framework for constructing data sets which include computer code based on the QT application-development platform. Dataset Creator takes advantage of the QT platform to construct Research Objects with exceptional GUI and data-mining capabilities. QT, the leading native cross-platform development toolkit, is a comprehensive framework encompassing a thorough inventory of programming features — networking, GUI implementation, file management, data visualization, 3D graphics, and so forth. Data sets based on QT require users to obtain a copy of the QT platform, but QT is free for non-commercial use and easy to install — importantly, QT is wholly contained in its own folder and does not affect any other files on the user's computers (in this manner QT is different than most software packages, which usually demand a "system install").

By leveraging the \mbox{QT} platform, $\mbox{ds}\mbox{C}$ enables standalone, self-contained, and full-featured native/desktop applications to be uniquely implemented for each data set, distributed in source-code fashion along with raw research data. Adopting such a data-curation method makes data sets easier to use across a wide range of scientific disciplines, because the data sets are freed from having to rely on domain-specific software (software which may be commonly used in one scientific field but is unfamiliar outside that field). In addition, Research Objects composed with dsC can be integrated into Multi-Application Networks (which are described above) because the dataset applications are autonomous native GUI applications that can easily interoperate via \mbox{QT} messaging protocols.

Because every data set is unique, each Dataset Application will necessarily include some code specific to that one Research Object. However, $d_s C$ will provide a core code base and file layout which is shared by all $d_s C$ data sets by default. This common core is structured in part by the goal of developing Dataset Applications in a QT context; for instance, $d_s C$ projects are structured to use QT's "qmake" build system as the primary tool for compiling data-set code. The common $d_s C$ code therefore includes qmake project files which support compiling application with several build configurations. In this framework, data-set users are classified into several different roles — in addition to ordinary users (specifically, researchers who want to work with and draw information from data sets but have no development connection to these data sets themselves), $d_s C$ recognizes roles for authors, editors, testers, and other users who are responsible for bringing data sets into publication-ready form to begin with. Depending on the administrative role, data set code can be compiled with additional features (e.g., unit testing features).

Another core component of d_SC is LateX code that authors may use when preparing documents accompanying their data set. These LateX files encompass special functionality for defining code annotations and semantically significant points in article text, such as sentence and paragraph boundaries. This LateX code can be used in conjunction with a pre-processor that generates LateX files from a special input language. The goal of these text-processing technologies is to improve the interoperability between research papers and data sets. In particular, the LateX pre-processors



(and subsequent LAT_EX-to-PDF converters) generate HGXF files which store information about textual and PDF viewport coordinates specifying the location of semantically meaningful elements such as sentences and annotations. These files are then zipped and embedded in PDF files. With dsC, the resulting PDF files can then be loaded into a customized PDF viewer capable of reading the embedded HGXF data. This allows the PDF application to utilize the embedded information so as to provide a more interactive reading experience — for instance, viewing annotations or copying sentences via context menus, where viewport data maps cursor position to textual elements visible on the PDF page. These features provide an application-level interface between the PDF viewers (considered as GUI components) and the corresponding GUI components in Dataset Applications.

With proper customization, both the PDF viewers and the $d_{\rm SC}$ Dataset Applications can interoperate, with PDF context menus calling up windows in the Dataset Application's GUI implementation, and vice-versa. For example, researchers reading the PDF version of a scientific article can launch the Dataset Application to explore some detail mentioned in the text. This is an example of where micro-citations are practically useful: any microcitable element in a document (such as a table, column, row/record, or analytic procedure formalized as a procedural asset associated with a data set) can be linked to a corresponding GUI element in the Dataset Application. For example, a statistical parameter — mentioned by name in the text, and perhaps represented in serialization within raw data — can be mapped to a GUI table column, and specifically the column header; this is then an annotation target, in the sense that for readers to gain more information it is proper to link mentions of the relevant scientific concept in article text to the column header as a graphical element that can be made visible. The link is operationalized by implementing a procedure to show the GUI window where the table is located, and ensure that the column header lies in the visible portion of the screen, as a response to readers on the PDF side signaling a desire for information on the annotated text element. In the opposite direction, database elements can be annotated with links that can used by the Dataset Application to launch a PDF window opened to the page and location where the corresponding concept is discussed in the article.

In order to properly model this semantic, viewport, and data set data integration, d_sC uses a new document-representation format called HTXN (Hypergraph Text Encoding Protocol). With d_sC , HTXN files are not only associated with data set assets; they are also machine-readable document encodings that can be introduced into publication repositories and other corpora oriented toward machine-readability. Authors can host HTXN files within data sets and link to them via services such as CrossRef, thereby ensuring that a highly structured, machine-readable version of their papers is available for text and data mining. The HTXN protocol is also useful for encoding natural-language content which becomes part of a data set as data assets in themselves; for example, patient narratives.

Further documentation of text-encoding methodology (applicable to both patient narratives and publications associated with CR2 research data) is available on the CR2 web site: such as here (this is a downloadable PDF link; visit the repository to see the larger archive structure). The document referenced in this hyperlink contains more information on dsC, HGXF, HTXN, and the other technologies discussed here.

Appendix 1: A Hypergraph Ontology for Radiological Outcomes - An Extension to CaPTk

Diagnostic imaging and radiological data sets are an important aspect of Covid-19 data because radiological analyses of the chest, particularly Computed Tomography scans, can prove the suspicion that a patient is suffering from Covid-19. The Radiological Society of North America (RSNA) has announced an initiative to create an "Al Imaging Data Repository," which will "support research on using medical imaging to screen for, diagnose and treat COVID-19" (see



https://www.rsna.org/covid-19). In accordance with previously curated RSNA data sets, this repository will include image series paired with annotations encoding analytic results indicating image features that suggest a Covid-19 diagnosis.

To demonstrate the need for radiology-oriented ontologies designed with an emphasis on application integration — that is, for an Application Ontology dedicated to the diagnostic-imaging domain — one should note that curating radiological data sets presents challenges different than those addressed by conventional diagnostic-imaging software. In the conventional workflow, radiographic images are requested by some medical institution for diagnostic purposes. Relevant information is therefore shared between two end-points: the institution which prescribes a diagostic evaluation and the radiologist or laboratory which analyzes the resulting images. Building radiographic data repositories complicates this workflow because a third entity becomes involved: the organization responsible for aggregating images and analyses is generally distinct from both the prescribing institution and the radiologists themselves. Large-scale repositories (such as the RSNA's Al Imaging Data Repository) are designed to pool patient data from multiple hospitals and multiple radiographic laboratories. As a result, both radiologists and prescribing institutions, upon participation in the formation of the target repository, must identify when a given patient is a proper candidate for the repository. For example, images become relevant for the Covid-19 Al Imaging Data Repository when such images consist of CT scans that are prescribed for the purpose of confirming a diagnosis of SARS-CoV-2 infection.

In the diagnostic context, radiographic data may, in general, include the following: (1) the findings reported by radiologists; (2) image-annotations made by radiologists which point to image features providing evidence for their findings; and (3) data generated by algorithmic imageanalysis tools. As Al tools and Computer Vision have become more powerful, data generated by quantitative image-analysis has become an important element of radiographic data. Moreover, the software used to perform image analysis may be distinct from the PACS systems employed by radiologists themselves in the laboratory setting. For example, the CAPTK (Cancer Imaging Phenomics Toolkit) software, developed by CBICA (the Center for Biomedical Image Computing and Analytics at the University of Pennsylvania), is designed as a central PACS workstation from which — after loading an image — researchers can initiate a number of analytic operations, yielding a modified image and/or a quantitative data aggregate (e.g., a feature vector) encoding information about the image. Although developed in the context of cancer research, CAPTK architecture is compatible with image-processing for any radiological area of study (see https: //www.med.upenn.edu/cbica/captk/). The CAPTK architecture is extensible: developers may add new image-analysis software which is registered with the central CAPTK application, allowing users to launch the software extensions while running the main application. For this system to work properly, CAPTK and its peer applications implement a specific data-sharing protocol, which in turn is based on the Common Workflow Language (CWL) and on technical QT coding patterns related to reactive programming.

In the context of radiological data curation — that is, where image-analysis data is not only used for a single diagnosis, but is also registered with a central repository which tracks radiographic data specific to a given disease, imaging modality, or course of treatment — the native data-sharing protocol between CAPTK and its peer applications can then be extended so that image-analysis data can be exported outside the overall CAPTK system, allowing this data to be shared with external repositories. Furthermore, a similar workflow can be implemented in the context of conventional radiological software — that is, software oriented to manual diagnosis and annotation rather than AI tools. For example, CAPTK pairs conveniently with MEDINRIA (a general-purpose radiology platform) and with 3DIMVIEWER (a tool which generates 3D tissue models from 2D image series). All three of these applications share a common programming foundation (based on C++, QT, and

⁷Collectively, then, a **CAPTK** instance enhanced with such software add-ons form a multi-application network similar to those outlined above in the White Paper.



the Insight Toolkit and Visualization Toolkit for image segmentation and 2D/3D image analysis). It is therefore possible to implement a data-sharing protocol which integrates each of these applications; for instance, a researcher could use MEDINRIA for manual examination of an image series and then switch to CAPTK to perform AI-driven analyses. This data integration applies not only to radiologists conducting a prescribed diagnosis, but also — perhaps more significantly — to researchers conducting analyses on images published within a radiographic repository. In this case, researchers are trying to retroactively analyze collections of images, drawn from different patients, so as to find correlations between observable features in a diagnostic image and post-diagnostic treatment outcomes.

Integrating CAPTK (along with its software extensions, which are themselves semi-autonomous native applications) with additional radiological software, such as MEDINRIA and 3DIMVIEWER, yields a multi-faceted Application Network capable of integrating different forms of radiographic data. Such a framework, however, requires a data-sharing protocol which operates effectively at the application level — in effect, an Application Ontology. Although the Common Workflow Language (CWL) provides a basic layer of inter-application communication, a fully-developed radiological application ontology has not yet been formalized. In curating the CR2 and repositories, we will address these lacunae by defining a diagnostic-imaging Hypergraph Ontology and implementing support for the corresponding schema as extensions to CAPTK, MEDINRIA, 3DIMVIEWER, and other image-processing and research tools. We will also expand the scope of this ontology to incoporate clinical and outcomes data.

As illustrated by CAPTK, multi-application workflows are characterized both by the data which is transferred between applications and by the operations which connect the two applications — that is, which procedures are enacted by each application when they become operationally linked. As a preliminary model, we can identify two stages of operational connection between an already-running application (which may be called the *primary* component) and a second application launched by the primary (which may be called the *peer* component). The first stage occurs when the primary component launches the peer component, and is characterized by two operational sequences: procedures enacted by the primary prior to this launch, and procedures enacted by the peer subsequent to the launch. A second stage occurs when the peer component has completed its actions, and sends data back to the primary component, which again involves two operational sequences: procedures enacted by the peer prior to the transfer, and procedures enacted by the primary subsequent to the transfer. Fully describing the procedural workflow therefore entails specifying four operational sequences: primary, then peer, during the launch stage; and peer, then primary, during the transfer stage. A schema describing the operations performed during these four sequences can be called a *procedural ontology*.

Consequently, rigorous models of multi-application networks should *synthsize* information about data structures (the type of information shared between application-points) with information about procedural workflows (describing operational sequences prior to the launch and transfer stages of a multi-application linkage). The synthesis of this structural and procedural information can be called a *procedural application ontology*. In the case of **CAPTK**, such a procedural model is implicitly recognized in the protocol for integrating new applications with the **CAPTK** software, but this implicit protocol has not been explicitly defined in ontological terms. As such, we propose to standardize a Procedural Application Ontology model which can clarify the inner workings of multi-application protocols such as those of **CAPTK**.

Aside from enhancing CAPTK itself, this form of ontology can serve the more general purpose of prototyping the kinds of inter-application networking which is prerequisite for generalizing medical-imaging software in the direction of data-repository curation and Comparative Effectiveness research. That is, projects which seek to aggregate radiographic data into third-party archives — such as the RSNA AI repository for Covid-19 — and/or projects which perform analyses cross-

referencing radiographic data with clinical outcomes, need to pull data from radiological software systems so that this information can be absorbed into a larger clinical-evaluative ecosystem. In effect, radiological application networks need to be expanded to accommodate patient-centered, epidemiological, treatment plan, and outcomes data. We propose to formalize this extension phenomenon by treating it as a generalization of multi-application protocols already evidenced by tools such as CAPTK and analyzable in terms of Procedural Application Ontologies (it should be noted that other software platforms — such as Paraview, in the context of data visualization, and CERN ROOT, in the context of nuclear physics, have related extension mechanisms that can also be analyzed as further details for a general-purpose model of multi-native-application networking).

Radiological data repositories may be developed in part to improve image-analysis in general — certain image sets can provide a "standard corpus" with which to compare different analytic methods — but a key goal of these repositories is also to cross-reference image analysis with clinical data and medical outcomes. For example, researchers may wish to devise algorithms for analyzing diagnostic images with which to guide subsequent clinical interventions: the ultimate goal is to automatically extract image data, given an image series (together with clinical information pertinent to the clinicians' decision to request radiological evaluation), which suggests that one or another treatment plan is more likely to produce positive outcomes for the patient.

The manner in which both radiological and clinical software are structured, however, often makes it difficult to cross-reference radiological data with corresponding details describing patients' treatment protocols and medical outcomes once a radiological diagnosis has been performed. For a concrete example (see https://www.ncbi.nlm.nih.gov/pmc/articles/PMC5119276/pdf/nihms-822829.pdf), "to retrieve the radiation treatment plan" associated with a CT scan, one "has to search for the RTSTRUCT object based on the specific CT scan, and from there search for the RTPLAN object based on the RTSTRUCT object [which] is an inefficient operation because all RTSTRUCT [and] RTPLAN files for the patient need to be processed to find the correct treatment plan."

Even within the context of analyzing radiological data sets for radiology-specific data, established PACS software is not designed for large-scale query evaluation linked to clinical data. As pointed out by the Semantic DICOM documentation (see https://semantic-dicom.com/starting-page/), queries like "display all patients with a bronchial carcinoma bigger than 50 cm³" cannot be processed by PACS systems: "although there are various powerful clinical applications to process image data and image data series to create significant clinical analyses, none of these analytic results can be merged with the clinical data of a single patient. However, retrieving this data is an essential prerequisite to perform such search queries." These inefficiencies limit researchers' ability to perform data mining which incorporates diagnostic images, and also to prepare well-integrated data sets for advancing diagnostic and Comparative Effectiveness research.

In a well-integrated research framework, treatment plans should be linked both to the diagnostic data which form the basis of the plan (including radiological images) and to reports of treatment outcomes. As a concrete example, consider the choice to use IORT (Intraoperative Radiation Therapy) as an alternative to conventional cancer treatments (see https://www.cuimc.columbia.edu/news/new-cancer-treatment-personalized-radiation-therapy-during-cancer-surgery). Researchers can ask two different questions related to the effectiveness of IORT: (1) which diagnostic cues indicate that a given patient may benefit from IORT in lieu of longer-term therapies; and (2) which treatment is more effective at promoting better survival rates. These two lines of inquiry both require an integrated data-querying mechanism that recognizes data from image analysis, clinical outcomes, and descriptions of medical treatment; each of these kinds of data can be potentially included as a source of parameters with which to define patient cohorts or to search for statistical correlations. The relatively new IORT treatment is an example of why integrated research is im-



portant — oncologists still have limited understanding of which diagnostic clues are statistically associated with positive outcomes from **IORT** as compared with conventional therapy.

In the context of Covid-19, which is an even newer research priority, initiatives such as the RSNA repository are just beginning to aggregate radiological data in a manner which permits broad-scale analysis to be performed. Although radiological ontologies are primarily designed for use in laboratory software itself — i.e., the programs radiologists use directly to derive and present their findings — radiological research in general introduces further application domains, including software for image analysis, for integrating radiological and clinical data, and for examining radiological data sets. This new data presents opportunities for the use of semantic annotation technology, including ontologies such as SEDI or VISION (see https://epos.myesr.org/esr/viewing/index.php?module=viewing_poster&task=&pi=155548).

Insofar as PACS and DICOM systems need to be paired with applications in the other categories (such as image and data-set analysis), it is important to develop radiological Application Ontologies, alongside the canonical Reference Ontologies associated with diagnostic imaging. As such, starting with the Covid-19 context, but designed for more general applications as well, one component of CR2 is a novel radiology-focused *application* ontology, specifically what LTS calls the "Hypergraph Ontology for Diagnostic Imaging, Clinical Outcomes, and Data Mining" (h-DICOM). The goal of h-DICOM is to connect RDF-based radiological ontologies with software applications designed to manipulate radiological (and corresponding treatment and outcomes) data. In addition, h-DICOM will formalize the data-sharing protocols implicitly adopted by software aggregates such as CAPTK.

Within data mining and image analysis, hypergraph models are used in different ways for different algorithms. In the context of Covid-19 radiology, https://arxiv.org/pdf/2005.04043.pdf describes an algorithm for assessing the probability of SARS-CoV-2 infection from chest CT scans, where hypernodes represent high-dimensional vectors (191 dimensions overall) and hyperedges represent k-nearest-neighbors; here each hypernode represents an entire image, mapped to a 191-dimensional feature-vector. In contrast, other image-analysis methods use hypernodes to designate smaller segments within the image, where hyperedges designate geometric adjacency and/or feature-space similarities. Whatever the algorithm, hypergraph analyses would employ a hypergraph library to store preliminary data for analysis and/or for serialization within a data set. One benefit of a Hypergraph Application Ontology is therefore that these data structures used internally to implement analytic methods can be directly expressed within the ontology, whereas RDF ontologies can only model hypergraphs indirectly.

Appendix 2: A Hypergraph Vaccine and Immunization Ontology

As with radiological outcomes and Clinical Effectiveness research, vaccine ontologies are multimodal because the scope of vaccine research spans numerous scientific disciplines, including fields where quantitative or image-based analysis is methodologically significant. As such, data generated during clinical trials is only one part of our overall understanding of the immunological properties of different vaccines. Vaccine data can be partitioned into information generated *before* a vaccine is proven effective (that is, data generated during clinical trials, including challenge trials) and information generated once a vaccine is adopted for immunization campaigns. Data in the former (clinical trial) phase tends to fit the structure of patient cohorts, demographics, and outcomes, but data in the vaccine "deployment" phase can be more epidemiological and sociological. In particular, modeling immunization drives often depends on gathering geographical and political information — researchers need to identify localities where vaccinations are performed, and to model the governmental or organizational initiatives which support immunization. Insofar as vaccines attempt to prevent the spread of specific diseases, data models also need to represent

epidemiological details notating how well a vaccine has limited disease outbreaks among a target population.

Aside from these geographic, epidemiological, and clinical data profiles, vaccine research also addresses the immunological mechanisms which determine how a particular vaccine works biologically, as well as the level of immunity which the vaccine provides for individual patients. Understanding these phenomena requires observing the immunological response of patients upon their receiving the vaccine, which generally involves blood and serum analysis using a variety of modalities, including chemical, genomic, and molecular imaging. Consequently, a general-purpose vaccine ontology needs the capacity to represent a broad spectrum of data which may be presented as evidence of a vaccine's immunological effectiveness.

As with the RADLEX and SEDI ontologies for radiology, most vaccine-ontology work appears focused on reference ontologies, notably the "VIOLIN" (Vaccine Investigation and Online Information Network) Vaccine Ontology (see http://www.violinet.org/vaccineontology). Our strategy for developing a hypergraph application ontology based on VIOLIN is analogous to that of progressing from RADLEX and SEDI to a hypergraph diagnostic-imaging ontology — specifically, identifying how RDF ontology classes and properties can map to annotations on different hypergraph sites.

Biomedical imaging at the cellular and molecular level has become an increasingly important part of vaccine research, insofar as new imaging techniques allow scientists to directly observe immunological responses at the scale of antigens, adjuvants, and immune cells: "monitoring vaccine components, such as antigen or adjuvants, and immune cell dynamics at the site of vaccination or draining lymph nodes can provide important information to understand more about the vaccine response. ... A variety of imaging modalities including bioluminescence imaging, nuclear medicine imaging (such as positron emission tomography [PET], single photon emission computed tomography [SPECT]), and magnetic resonance imaging (MRI) can provide in vivo non-invasive imaging for visualizing immune cell kinetics" (see https://pubmed.ncbi. nlm.nih.gov/31406689/). The evolution of vaccine data in effect has inverted that of diagnostic imaging: in the context of radiology, image-based technology became established in previous decades and has only recently been augmented with data-integration models that allow images to be cross-referenced with clinical and outcomes data. In the vaccine context, the clinical, epidemiological, and sociodemographic dimensions of vaccine effectiveness have been modeled for decades, but only recently has sophisticated medical imaging become part of the vaccine engineering arsenal. Despite these inverted paths, however, one can observe that contemporary radiological and vaccine reseach have a similar technological infrastructure, characterized by a digital synthesis of graphical, quantitative, and clinical information.

Given the interdisciplinary nature of vaccine research, software engineers developing tools for curating data sets involving vaccine research should anticipate a similar diversity in the information present within repositories which include vaccine-related research. That is to say, data-set software applicable to the vaccinology context should be able to read data in diverse formats representing the scope of vaccine investigations (clinical trials, cytometry, blood/serum analysis, bioimaging, etc.). Similarly, developers should provide a suite of software tools providing interactive visualization of this data spectrum, including imaging tools. Overall, then, a comprehensive software ecosystem for vaccine-related data sets will have an architecture similar to that for radiology, suggesting that the Procedural Application Ontology model described in Appenndix 1 can be used also to describe vaccinological research. As a result, the "Procedural Hypergraph Application Ontology for Vaccines and Immunization" (Phaon-VI) developed for CR2 can be associated with software tools similar to those which will be implemented for h-DICOM.

In short, **Phaon-VI** and **h-DICOM** will functional as sibling ontologies, providing analogous models of application data as well as inter-application networking protocols.

