

# genomesizeR: An R package for genome size prediction

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## Summary

The genome size of organisms present in an environment can provide many insights into evolutionary and ecological processes at play in that environment. The genomic revolution has enabled a rapid expansion of our knowledge of genomes in many living organisms, and most of that knowledge is classified and readily available in the databases of the National Center for Biotechnology Information (NCBI). The **genomesizeR** tool leverages the wealth of taxonomic and genomic information present in NCBI databases to infer the genome size of Archaea, Bacteria, or Eukaryote organisms identified at any taxonomic level. This R package uses statistical modelling on data from the most up-to-date NCBI databases and provides three statistical methods for genome size prediction at the species level. A straightforward weighted mean method identifies the closest taxa with available genome size information in the taxonomic tree and averages their genome sizes using weights based on taxonomic distance. A frequentist random effect model uses nested genus and family information to output genome size estimates. Finally a third option provides predictions from a distributional Bayesian multilevel model which uses taxonomic information from genus all the way to superkingdom, therefore providing estimates and uncertainty bounds even for under-represented taxa.

All three methods use:

- A list of queries; a query being a taxon or a list of several taxa.
- A reference database containing all the known genome sizes, built from the NCBI databases, with associated taxa.
- A taxonomic tree structure as built by the NCBI

**genomesizeR** retrieves the taxonomic classification of input queries, estimates the genome size of each query, and provides 95% confidence intervals for each estimate.

## Statement of need

The size of microbial genomes and its evolution can provide important insights into evolutionary and ecological processes influencing both microbial species and the environments in which they inhabit. The shedding of unnecessary genetic elements and their associated biosynthetic pathways, for example, is a common phenomenon observed in organisms with a high degree of host symbiosis [moran2002microbial; brader2014metabolic; vandenkoornhuyse2007active]. Genome size reduction has also been observed in organisms experiencing arid environments [liu2023warmer], or a narrow range of substrates or metabolic options [tyson2004community]. Among many others, these findings demonstrate the opportunities associated with including genome size as a key trait in microbial communities to provide insights spanning niche size, co-evolution, adaption, and metabolic flexibility of the microbiomes present, but also stability, and ecophysiological and functional complexity of abiotic and biotic environments.

However, characterizing genome size for all organisms in a microbiome remains challenging. Methods in the past have included the use of DNA staining through to cell enumeration, flow cytometry, and culturing, gel electrophoresis, and DNA renaturation kinetics. All have merits and limitations [raes2007prediction]. The widespread availability and use of microbiome related tag-amplicon DNA sequencing has tremendously increased our scientific knowledge of microbial genomics. There is also an opportunity to explore the rapidly expanding archives of short read DNA libraries (i.e. extant 16S and ITS amplicon sequences).

Alternatively, when well documented and archived in user-friendly and publicly available databases, the exponentially growing genomic knowledge of micro-organisms is an inexpensive resource unlocking a myriad of research opportunities in all fields of environmental sciences, from human and agricultural microbiomes through to aquatic, soil, and atmospheric ecosystems. Combining available genome size information to data and metadata on community structure from existing projects can add further scientific value to investment already made in these projects, at no added cost.

However, Genome size estimates for many taxa found in environmental samples are missing from public databases or fully unknown. The evolutionary rule that phylogenetically related organisms share genetic similarities can be exploited and genome size for taxa with unknown genome size can be statistically inferred from related taxa with known genome size, using taxonomy as a proxy for phylogeny. Another challenge is the precision of identification: some taxa can only be identified at high taxonomic levels. Statistical methods can also be used to infer their genome size range from databases. To our knowledge, there is no convenient and fast way to obtain genome size estimates with uncertainty bounds for all organisms identified or partially identified in an environmental sample.

Using the increased prevalence of whole-genome information for all organisms,

we have therefore developed **genomesizeR**, allowing the inference of genome size of many queries at once, based on taxonomic information and available genome data from the National Center for Biotechnology Information (NCBI).

## Methods

### NCBI database filtering and processing

[Celine for the filtering]

For model-based methods (frequentist and Bayesian), the filtered database of genome sizes was gathered to the species level by iteratively averaging all entries below the species level, starting from the smallest level, until one value was obtained per species. Hence model-based methods can only provide estimates at the level of species and above.

### Bayesian method

The NCBI database of species with known genome sizes was split by superkingdom (Bacteria, Archeae, Eukaryotes). A distributional Bayesian linear hierarchical model using the **brm** function from the **brms** package was fitted to each superkingdom dataset. The general model structure is outlined below.

$$\log(G_i) \sim \mathcal{N}(\mu_i, \sigma_i^2)$$

where  $G_i$  is the genome size of species  $i$  in the units of 10 Mbp. The model uses predictors for both mean and standard deviation. The mean is modelled as follows:

$$\begin{aligned} \mu_i &= \alpha_0 + \alpha_{genus_g[i]} + \alpha_{family_f[i]} + \alpha_{order_o[i]} + \alpha_{class_c[i]} + \alpha_{phylum_p[i]} \\ \alpha_{genus_g[i]} &\sim \mathcal{N}(0, \sigma_{genus}^2) \\ \alpha_{family_f[i]} &\sim \mathcal{N}(0, \sigma_{family}^2) \\ \alpha_{order_o[i]} &\sim \mathcal{N}(0, \sigma_{order}^2) \\ \alpha_{class_c[i]} &\sim \mathcal{N}(0, \sigma_{class}^2) \\ \alpha_{phylum_p[i]} &\sim \mathcal{N}(0, \sigma_{phylum}^2) \end{aligned}$$

The following prior distributions are used:

$$\alpha_0 \sim \mathcal{N}(0, 5)$$

$$(\sigma_{genus}, \sigma_{family}, \sigma_{order}, \sigma_{class}, \sigma_{phylum}, s_{class}, s_{phylum}) \sim \mathcal{N}^+(0, 1)$$

Differences in genome size variability was observed among taxa, therefore the model also adds predictors to the standard deviation of the response. The standard deviation is modelled as follows:

$$\log(\sigma_i) = \lambda_0 + \lambda_{class_{c[i]}} + \lambda_{phylum_{p[i]}}$$

$$\lambda_{class_{c[i]}} \sim \mathcal{N}(0, s_{class}^2)$$

$$\lambda_{phylum_{p[i]}} \sim \mathcal{N}(0, s_{phylum}^2)$$

with priors

$$\lambda_0 \sim \mathcal{N}(0, 1)$$

$$(s_{class}, s_{phylum}) \sim \mathcal{N}^+(0, 1)$$

$\mathcal{N}^+$  is the normal distribution truncated to positive values.  $g[i], f[i], o[i], c[i]$  and  $p[i]$  are respectively the index for the genus, family, order, class, and phylum of entry  $i$  in the species-level database. Note that taxonomic groups are naturally nested within each other and the indices are designed to be unique to the particular taxonomic group it represents.

The estimation process uses Stan's Hamiltonian Monte Carlo algorithm with the U-turn sampler.

Posterior predictions are obtained using the `predict` function from the `brms` package, and 95% credible intervals are obtained using 2.5% and 97.5% quantiles from the posterior distribution.

Queries corresponding to identified species with an available genome size estimate in the NCBI database get allocated the genome size value of the database (averaged at the species level) and 95% confidence intervals are calculated as XXX

## Frequentist method

A frequentist linear mixed-effects model using the `lmer` function from the `lme4` package was fitted to the NCBI database of species with known genome sizes. The model is as follows:

$$\log(G_i) = \alpha_0 + \alpha_{genus_{g[i]}} + \alpha_{family_{f[i]}} + e_i$$

where  $\alpha_0$  is the overall mean,  $\alpha_{genus_{g[i]}}$  and  $\alpha_{family_{f[i]}}$  are random effect of genus and family for genus  $g[i]$  and family  $f[i]$  and  $e_i$  is the residual error of observation  $i$ .

The estimation process using the restricted maximum likelihood method (REML). A prediction interval is computed using the `predictInterval` function from the `merTools` package. As higher nested levels (order, class) are not taken into account in the model, predictions cannot be produced for queries with no available genome size information at the genus or family level in the NCBI database.

## Weighted mean method

The weighted mean method computes the genome size of a query by averaging the known genome sizes of surrounding taxa in the taxonomic tree, with a weighted system where further neighbours have less weight in the computed mean.

The confidence interval is calculated as:

$$CI = 1.96 \times \sqrt{\hat{\mu}} \quad (1)$$

where  $\hat{\mu}$  is the computed weighted mean.

Note that this method theoretically allows for estimation below the species level. For queries relating to well-characterised species where many genetic studies have been performed, such as model organisms, this might lead to more precise predictions than the two other methods. Otherwise, we suggest using one of the other methods.

## Implementation

The main steps of all methods are multithreaded on POSIX systems (not Windows) using the `###` package(s) (ref(s)).

The R package accepts the common ‘taxonomy table’ format used by popular packages such as phyloseq (ref) and mothur (ref), and any file or dataframe with a column containing either NCBI taxids or taxon names as input formats. The output format is a data frame with the same columns as the input, with some added columns providing information about the estimation and the quality of the estimation. The user can also choose a simple output format only containing the estimation information.

Several plotting functions using the ggplot2 package (ref) are also provided to visualise the results.

## Comparison of methods

The applicability of each method varies. The Bayesian method outputs results for any taxon that is recognised in the NCBI taxonomy. The frequentist random effects model method only outputs results for queries that have a match at the species, genus, or family level. The weighted means method only performs an estimation for queries that have at least two matches at the species, genus, family, or order level. Below is a comparison of estimates for an example set of bacteria and fungi queries where the highest level of match with the database is the family level. Note that there are fewer successful estimations with the weighted means method than with the two model-based methods.

Figures below show that estimates and the width of confidence intervals differ between methods (figures Figure 1, Figure 2 and Figure 3).

## Example

This example data is a subset of the dataset from (ref).

First, the genome sizes are predicted from the taxa:

```
results = estimate_genome_size(example_input_file, format='csv', sep='\t', match_column='TA
```

```
#####
# Genome size estimation summary:
#
# 8.888889 % estimations achieving required precision
#
#           Min.      1st Qu.      Median      Mean      3rd Qu.      Max.
# 2893119    5344026   17768591   24126621   42194240  128877284
#
# Estimation status:
# Confidence interval to estimated size ratio > ci_threshold
```

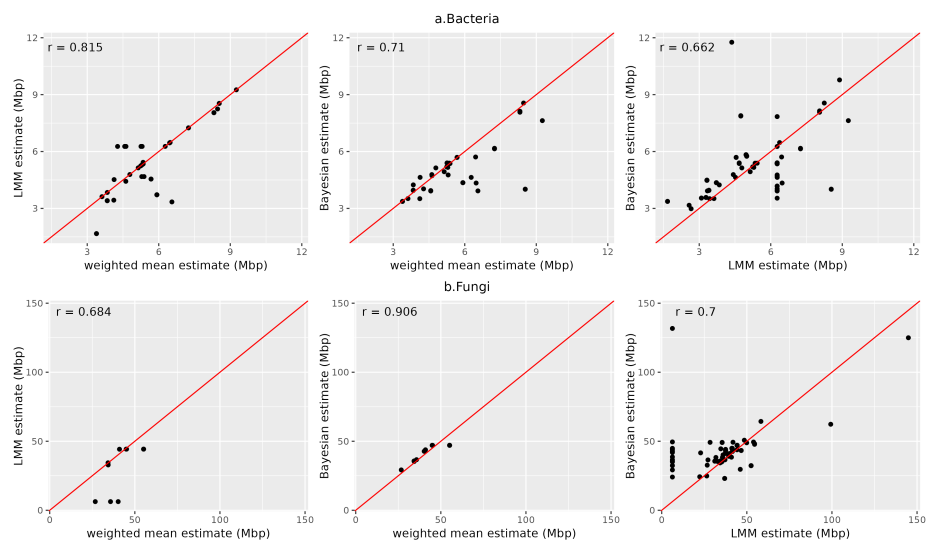


Figure 1: Pairwise comparison of estimates from different methods for a. bacteria and b. fungi. Pearson's correlation coefficient is displayed at the top left.

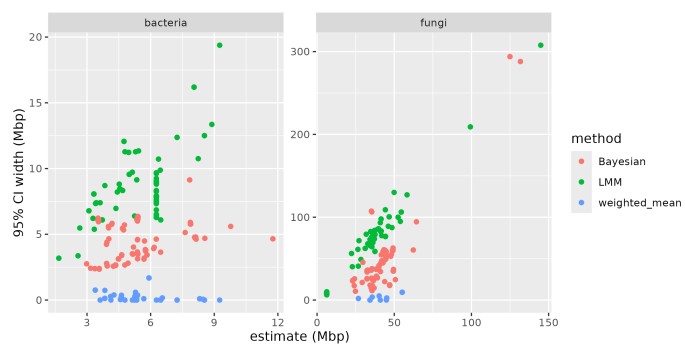


Figure 2: Pairwise comparison of 95% confidence intervals from different methods for a. bacteria and b. fungi.

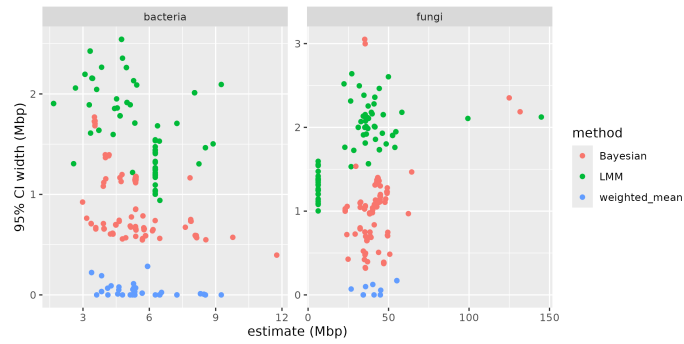


Figure 3: Pairwise comparison of relative 95% confidence intervals (scaled by estimated size) from different methods for a.bacteria and b.fungi.

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Then, the results can be visualized using the plotting functions provided:

```
plotted_df = plot_genome_size_histogram(results)
```

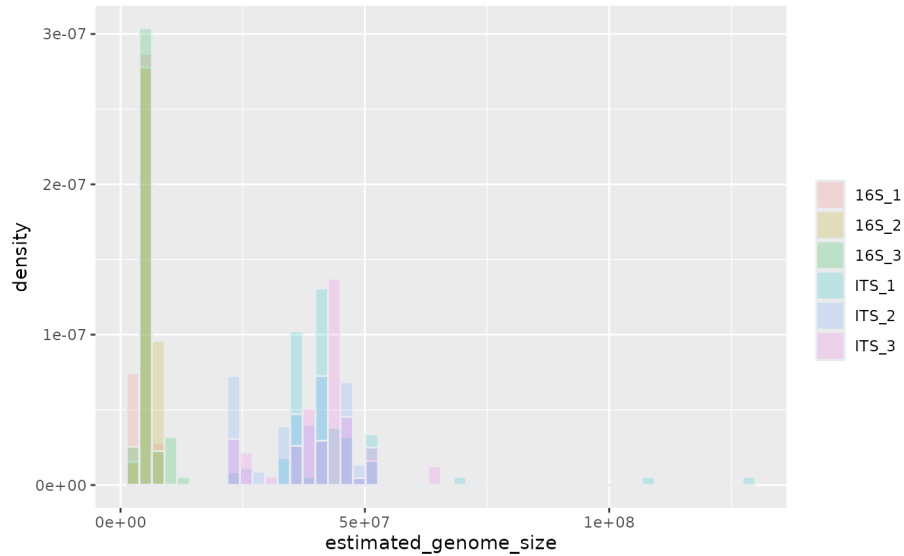


Figure 4: Histogram of estimated genome sizes for each sample

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## References