

COS210 - Theoretical Computer Science
Finite Automata and Regular Languages (Part 6)

Regular Expressions: Motivation

Regular languages:

$$L = \{w : \text{start with 0 or 1, second symbol is 0, end with zero or more 1's}\}$$

Operations on languages:

- union: \cup
- concatenation: ww'
- star: $*$

Regular Expressions: Motivation

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$$L = \{w : \underbrace{\text{start with 0 or 1}}_{\text{union}}, \text{second symbol is 0}, \underbrace{\text{end with zero or more 1's}}_{\text{star}}\}$$

concatenation

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$$L = \{w : \underbrace{\text{start with 0 or 1}}_{0 \cup 1}, \underbrace{\text{second symbol is 0}}_0, \underbrace{\text{end with zero or more 1's}}_{1^*}\}$$
$$\underbrace{\hspace{15em}}_{(0 \cup 1)01^*}$$

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Regular expressions:

$$(0 \cup 1)01^*$$

allow to describe regular languages formally

Regular Expressions

Operations on languages:

- union: \cup (used as an OR)
- concatenation: ww'
- star: $*$ (zero or more occurrences of a pattern)

Which regular expressions that accept the following languages?

- $L_1 = \{w \in \{0, 1\}^* : w \text{ contains exactly two 0s}\}$
- $L_2 = \{w \in \{0, 1\}^* : w \text{ the first and last symbols of } w \text{ are equal}\}$

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Regular Expressions: Formal Definition

Definition (Regular Expression)

Let Σ be an alphabet, then

- ① ϵ is a regular expression,
- ② \emptyset is a regular expression,
- ③ each $a \in \Sigma$ is a regular expression,
- ④ if R_1 and R_2 are regular expressions, then $R_1 \cup R_2$ is a regular expression,
- ⑤ if R_1 and R_2 are regular expressions, then $R_1 R_2$ is a regular expression,
- ⑥ if R is a regular expression, then R^* is a regular expression.

brackets $()$ can be used to give explicit precedence of construction

implicit order of precedence is: *brackets, star, concatenation, union*

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Regular Expressions: Construction using Formal Definition

Consider:

$$0(0 \cup 1)^*0 \cup 1(0 \cup 1)^*1 \cup 0 \cup 1 \quad \text{over} \quad \Sigma = \{0, 1\}$$

- 0 is regular
- 1 is regular
- $0 \cup 1$ is regular
- $(0 \cup 1)^*$ is regular
- $0(0 \cup 1)^*$ is regular
- ...
- $0(0 \cup 1)^*0 \cup 1(0 \cup 1)^*1 \cup 0 \cup 1$ is regular

Language of a Regular Expression

Definition (Language described by a Regular expression)

let Σ be an alphabet, then

- ① the regular expression ϵ describes the language $\{\epsilon\}$,
- ② the regular expression \emptyset describes the language \emptyset ,
- ③ for each $a \in \Sigma$, the regular expression a describes the language $\{a\}$,
- ④ let R_1 and R_2 be the regular expressions that describe the languages L_1 and L_2 respectively, then $R_1 \cup R_2$ describes the language $L_1 \cup L_2$,
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Examples:

- $(0 \cup \epsilon)(1 \cup \epsilon)$ describes the language

$\{01, 0, 1, \epsilon\}$

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- $(0 \cup \epsilon)1^*$ describes the language

$$\{0, 01, 011, 0111, \dots, \epsilon, 1, 11, 111, \dots\}$$

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- $1^*\emptyset$ describes the language

$$\emptyset$$

$A = \{\epsilon, 1, 11, \dots\}$ (language of 1^*)

$B = \emptyset$ (language of \emptyset)

$AB = \{ww' : w \in A \text{ and } w' \in B\} = \emptyset$
because there is no w' to build any ww'

Language of a Regular Expression

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- $1^*\emptyset$ describes the language

$$\emptyset$$

- \emptyset^* describes the language

$$\{\epsilon\}$$

For any regular language A,
The empty symbol ϵ is contained in A^*

Regular Expressions: Equivalence

Definition (Equivalence of Regular Expressions)

Let R_1 and R_2 be regular expressions and let L_1 and L_2 be the languages described by them, respectively.

If $L_1 = L_2$, then $R_1 = R_2$.

Example:

- $(0 \cup \epsilon)1^*$ and $01^* \cup 1^*$ are equivalent expressions
- as both describe the language
 $L = \{0, 01, 011, 0111, \dots, \epsilon, 1, 11, 111, \dots\}$

Regular Expressions: Standard Equivalences

Theorem (Regular Expression Standard Equivalences)

Let R_1 , R_2 , and R_3 be regular expressions. The following equivalences hold:

$$\textcircled{1} \quad R_1 \emptyset = \emptyset R_1 = \emptyset$$

$$\textcircled{2} \quad R_1 \epsilon = \epsilon R_1 = R_1$$

$$\textcircled{3} \quad R_1 \cup \emptyset = \emptyset \cup R_1 = R_1$$

$$\textcircled{4} \quad R_1 \cup R_1 = R_1$$

$$\textcircled{5} \quad R_1 \cup R_2 = R_2 \cup R_1$$

$$\textcircled{6} \quad R_1(R_2 \cup R_3) = R_1 R_2 \cup R_1 R_3$$

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$$\textcircled{8} \quad R_1(R_2 R_3) = (R_1 R_2)R_3$$

$$\textcircled{9} \quad \emptyset^* = \epsilon$$

$$\textcircled{10} \quad \epsilon^* = \epsilon$$

$$\textcircled{11} \quad (\epsilon \cup R_1)^* = R_1^*$$

$$\textcircled{12} \quad (\epsilon \cup R_1)(\epsilon \cup R_1)^* = R_1^*$$

$$\textcircled{13} \quad R_1^*(\epsilon \cup R_1) = (\epsilon \cup R_1)R_1^*$$

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$(0 \cup \epsilon)1^*$ and $01^* \cup 1^*$ are equivalent expressions

Equivalence of Regular Expressions and Regular Languages

The following theorem holds:

Theorem (1)

Let L be a language, then:

L is regular

\Leftrightarrow

there exists a regular expression R that describes L

\Leftarrow :

Theorem (1A)

Every regular expression R describes a language $L(M)$ where M is a finite automaton.

\Rightarrow :

Theorem (1B)

For every finite automaton M , the language $L(M)$ can be described by a regular expression R .

Equivalence of Regular Expressions and Regular Languages

Theorem (1A)

Every regular expression R describes a language $L(M)$ where M is a finite automaton.

Proof by induction and construction:

Base cases:

- $R = \epsilon$
- $R = \emptyset$
- $R = a$ where $a \in \Sigma$

for each R , construct M that accepts language described by R

Inductive steps:

Assume R_1, R_2 describe regular languages L_1, L_2

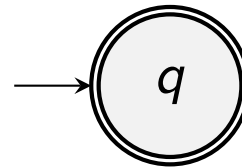
- $R = R_1 \cup R_2$
- $R = R_1 R_2$
- $R = R_1^*$

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Equivalence of Regular Expressions and Regular Languages

First base case:

- $R = \epsilon$
- language described by R is $L = \{\epsilon\}$
- construction of M that accepts L :

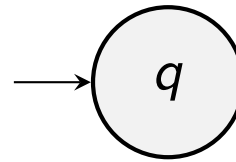


\Rightarrow the language $L = \{\epsilon\}$ is regular

Equivalence of Regular Expressions and Regular Languages

Second base case:

- $R = \emptyset$
- language described by R is $L = \emptyset$
- construction of M that accepts L :

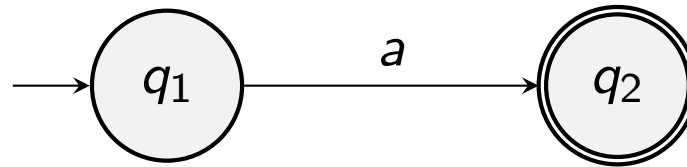


\Rightarrow the language $L = \emptyset$ is regular

Equivalence of Regular Expressions and Regular Languages

Third base case:

- $R = a$ where $a \in \Sigma$
- language described by R is $L = \{a\}$
- construction of M that accepts L :



\Rightarrow the language $L = \{a\}$ is regular

Equivalence of Regular Expressions and Regular Languages

First inductive step (union):

- Assume R_1, R_2 describe regular languages L_1, L_2 (hypothesis)
 - Let $R = R_1 \cup R_2$
 - L_1, L_2 regular $\Rightarrow L_1 \cup L_2$ regular (closure of union)
- $\Rightarrow R$ describes a regular language

Equivalence of Regular Expressions and Regular Languages

Second inductive step (concatenation):

- Assume R_1, R_2 describe regular languages L_1, L_2 (hypothesis)
 - Let $R = R_1 R_2$
 - L_1, L_2 regular $\Rightarrow L_1 L_2$ regular (closure of concatenation)
- $\Rightarrow R$ describes a regular language

Equivalence of Regular Expressions and Regular Languages

Third inductive step (star):

- Assume R_1 describes regular language A (hypothesis)
- Let $R = R_1^*$
- A regular $\Rightarrow A^*$ regular (closure of star)

$\Rightarrow R$ describes a regular language

We can conclude that every regular expression constructed by arbitrary combinations of union, concatenation and star describes a regular language. □

(proof of Theorem 1B in the next lecture)

Equivalence of Regular Expressions and Regular Languages

The following theorem holds:

Theorem (1)

Let L be a language, then:

L is regular

\Leftrightarrow

there exists a regular expression R that describes L

\Leftarrow :

Theorem (1A)

Every regular expression R describes a language $L(M)$ where M is a finite automaton.

\Rightarrow :

Theorem (1B)

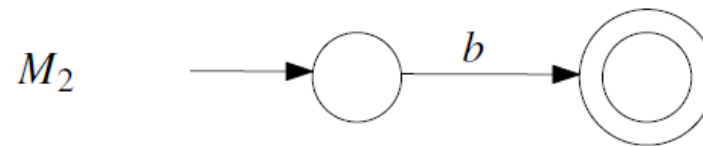
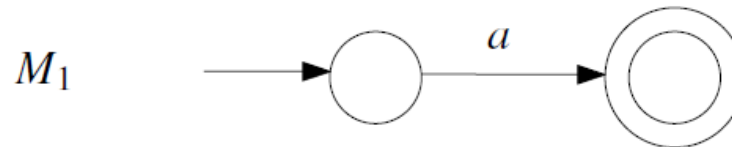
For every finite automaton M , the language $L(M)$ can be described by a regular expression R .

Construction of NFA from Regular Expression: Example

$$R = (ab \cup a)^* \text{ over } \Sigma = \{a, b\}$$

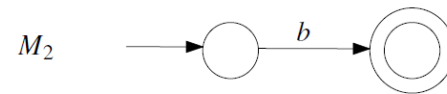
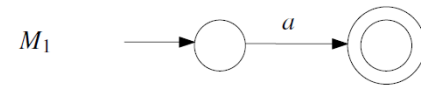
Step 1:

- consider the *atomic sub-expressions* a, b
- build an NFA for each sub-expressions:



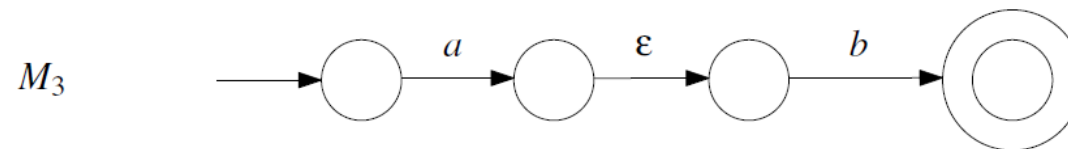
Construction of NFA from Regular Expression: Example

$$R = (ab \cup a)^* \text{ over } \Sigma = \{a, b\}$$



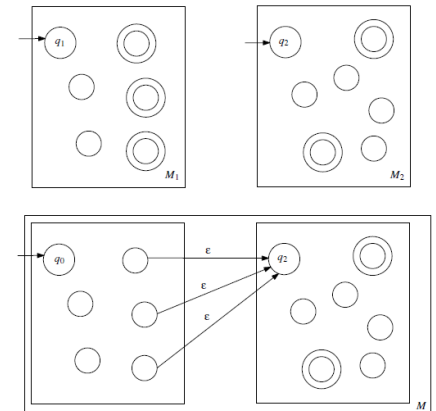
Step 2:

- consider the concatenation ab
- use the construction from proof of closure of concatenation to build NFA for ab :



Closure of the Concatenation Operation

Idea for proof by construction:



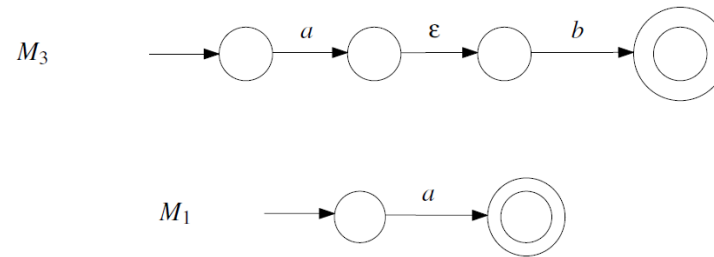
The NFA M accepts $L(M_1)L(M_2)$.

Finite Automata and Regular Languages

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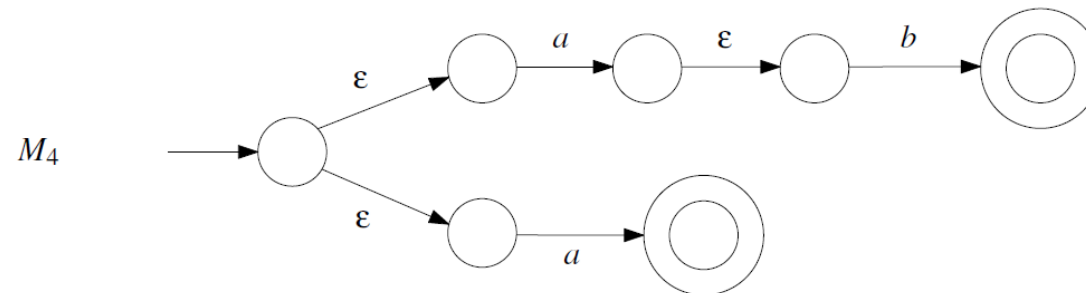
Construction of NFA from Regular Expression: Example

$$R = (ab \cup a)^* \text{ over } \Sigma = \{a, b\}$$



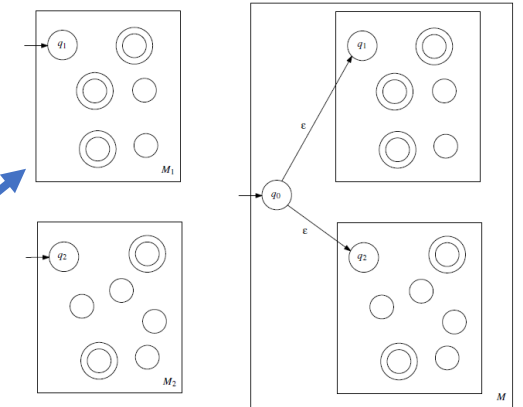
Step 3:

- consider the union $ab \cup a$
- use the construction from proof of closure of union to build NFA for $ab \cup a$:



Closure of the Union Operation

General construction for union:

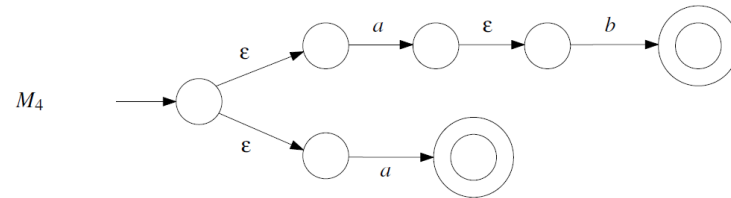


Finite Automata and Regular Languages

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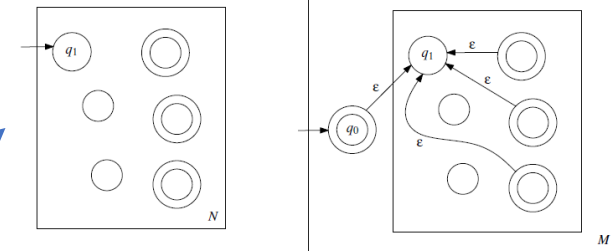
Construction of NFA from Regular Expression: Example

$$R = (ab \cup a)^* \text{ over } \Sigma = \{a, b\}$$



Closure of the Star Operation

Construction of M :



The NFA M accepts $(L(N))^*$.

Step 4:

- consider the star $(ab \cup a)^*$
- use the construction from proof of closure of star to build NFA for $(ab \cup a)^*$.

