



85 Willow Rd, Menlo Park, CA 94025  
help@robinhood.com

01/01/2023 to 01/31/2023  
**SCOTT PERRY** Account #:865574800  
Cash Management ACH Account #:289440077396  
1087 Rio Vista Dr, Pacifica, CA 94044

■ Options   ■ Equities   ■ Cash and Cash Equivalents

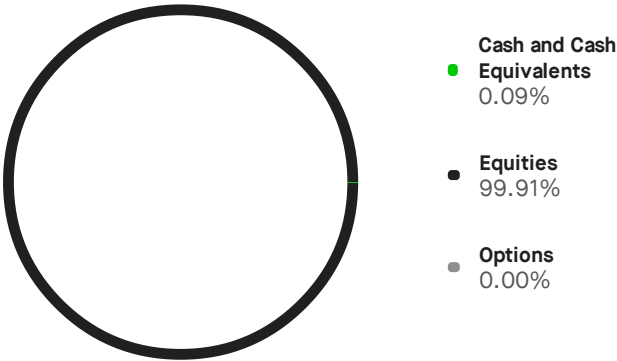
Account Summary

	Opening Balance	Closing Balance
Brokerage Cash Balance	\$0.39	\$0.00
Deposit Sweep Balance	\$2.22	\$1.43
Total Securities	\$1,458.44	\$1,625.68
<b>Portfolio Value</b>	<b>\$1,461.05</b>	<b>\$1,627.11</b>

Income and Expense Summary

	This Period	Year to Date
Dividends	\$1.89	\$1.89
Capital Gains Distributions	\$0.00	\$0.00
Interest Earned	\$0.00	\$0.00

Portfolio Allocation



As of the closing date of this statement, the annual percentage yield (APY) for customers enrolled in the brokerage cash sweep program is 1.50% for customers who are not subscribed to Robinhood Gold, or 4.00% for Robinhood Gold subscribers. Program banks pay interest on your swept cash, minus any fees paid to Robinhood. Neither Robinhood Financial LLC nor any of its affiliates are banks. Investment products are not FDIC-insured, not bank guaranteed, and may lose value. Uninvested cash swept to the program banks is eligible for FDIC insurance up to applicable limits, subject to FDIC rules. The Securities Investor Protection Corporation has taken the position that uninvested cash swept to the program banks is not covered by the Securities Investor Protection Act.

This statement shall be conclusive if not objected to in writing within ten days (except with respect to debit card transactions). Errors and omissions exempted. Please address all communications to the firm and not to the individuals. Address changes or other material changes in your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Apple Estimated Yield: 0.63%	AAPL	Margin	0.73547	\$144.29	\$106.12	\$0.67	6.52%
Adobe Estimated Yield: 0.00%	ADBE	Margin	0.224938	\$370.34	\$83.30	\$0.00	5.12%
Amazon Estimated Yield: 0.00%	AMZN	Margin	0.65458	\$103.13	\$67.51	\$0.00	4.15%
Salesforce Estimated Yield: 0.00%	CRM	Margin	0.506329	\$167.97	\$85.05	\$0.00	5.23%
Cisco Systems Estimated Yield: 3.13%	CSCO	Margin	1.744591	\$48.67	\$84.91	\$2.66	5.22%
Chevron Estimated Yield: 3.26%	CVX	Margin	0.068985	\$174.02	\$12.00	\$0.39	0.74%
California Water Estimated Yield: 1.67%	CWT	Margin	0.174426	\$61.17	\$10.67	\$0.18	0.66%
Dimensional US Real Estate ETF Estimated Yield: 0.00%	DFAR	Margin	3.924646	\$23.28	\$91.37	\$0.00	5.62%
Disney Estimated Yield: 0.00%	DIS	Margin	0.068254	\$108.49	\$7.40	\$0.00	0.45%
Digital Realty Trust Estimated Yield: 4.40%	DLR	Margin	0.289776	\$114.62	\$33.21	\$1.46	2.04%
Alphabet Class C Estimated Yield: 0.00%	GOOG	Margin	1.218799	\$99.87	\$121.72	\$0.00	7.48%
Alphabet Class A Estimated Yield: 0.00%	GOOGL	Margin	0.76554	\$98.84	\$75.67	\$0.00	4.65%
IBM Estimated Yield: 4.87%	IBM	Margin	0.815195	\$134.73	\$109.83	\$5.35	6.75%
Pacer Industrial Real Estate ETF Estimated Yield: 2.96%	INDS	Margin	2.101723	\$41.14	\$86.46	\$2.56	5.31%
Intel Estimated Yield: 5.22%	INTC	Margin	2.217415	\$28.26	\$62.66	\$3.27	3.85%
Meta Platforms Estimated Yield: 0.00%	META	Margin	0.48513	\$148.97	\$72.27	\$0.00	4.44%

Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Microsoft Estimated Yield: 1.05%	MSFT	Margin	0.347252	\$247.81	\$86.05	\$0.90	5.29%
Netflix Estimated Yield: 0.00%	NFLX	Margin	0.25536	\$353.86	\$90.36	\$0.00	5.55%
NXP Semiconductors Estimated Yield: 1.83%	NXPI	Margin	0.527398	\$184.31	\$97.20	\$1.78	5.97%
Oracle Estimated Yield: 1.45%	ORCL	Margin	1.336772	\$88.46	\$118.25	\$1.71	7.27%
Shell Estimated Yield: 3.37%	SHEL	Margin	0.19157	\$58.81	\$11.27	\$0.38	0.69%
Pacer Data & Infrastructure Real Estate ETF Estimated Yield: 3.65%	SRVR	Margin	2.748796	\$31.93	\$87.77	\$3.20	5.39%
Tesla Estimated Yield: 0.00%	TSLA	Margin	0.056811	\$173.22	\$9.84	\$0.00	0.60%
Exxon Mobil Estimated Yield: 3.13%	XOM	Margin	0.127522	\$116.01	\$14.79	\$0.46	0.91%
Total Securities					\$1,625.68	\$24.97	99.91%
Brokerage Cash Balance					\$0.00		0.00%
Deposit Sweep Balance					\$1.43		0.09%
Total Priced Portfolio					\$1,627.11		

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Alphabet Class C CUSIP: 02079K107 order batcher	GOOG	Margin	Buy	12/30/2022	0.011415	\$87.60	\$1.00	
Apple CUSIP: 037833100 order batcher	AAPL	Margin	Buy	01/03/2023	0.008022	\$124.65	\$1.00	
ACH Deposit		Margin	ACH	01/06/2023				\$1.00
Cash Div: R/D 2022-12-15 P/D 2023-01-06 - 0.527398 shares at 0.845	NXPI	Margin	CDIV	01/06/2023				\$0.45
Foreign Tax Withholding at \$0.07	NXPI	Margin	DTAX	01/06/2023			\$0.07	
Alphabet Class C CUSIP: 02079K107 order batcher	GOOG	Margin	Buy	01/06/2023	0.011487	\$87.05	\$1.00	
ACH Deposit		Margin	ACH	01/13/2023				\$1.00
Cash Div: R/D 2022-12-15 P/D 2023-01-13 - 0.289776 shares at 1.22	DLR	Margin	CDIV	01/13/2023				\$0.35
Alphabet Class C CUSIP: 02079K107 order batcher	GOOG	Margin	Buy	01/13/2023	0.01086	\$92.08	\$1.00	
Apple CUSIP: 037833100 order batcher	AAPL	Margin	Buy	01/17/2023	0.00735	\$136.05	\$1.00	
ACH Deposit		Margin	ACH	01/20/2023				\$1.00
Alphabet Class C CUSIP: 02079K107 order batcher	GOOG	Margin	Buy	01/20/2023	0.01022	\$97.84	\$1.00	
Cash Div: R/D 2023-01-10 P/D 2023-01-24 - 1.336772 shares at 0.32	ORCL	Margin	CDIV	01/24/2023				\$0.43
Cash Div: R/D 2023-01-05 P/D 2023-01-25 - 1.744591 shares at 0.38	CSCO	Margin	CDIV	01/25/2023				\$0.66
ACH Deposit		Margin	ACH	01/30/2023				\$1.00

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Alphabet Class C CUSIP: 02079K107 order batcher	GOOG	Margin	Buy	01/27/2023	0.009905	\$100.95	\$1.00	
<b>Total Funds Paid and Received</b>							<b>\$7.07</b>	<b>\$5.89</b>

## Executed Trades Pending Settlement

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
Apple CUSIP: 037833100 order batcher	Margin	Buy	01/30/2023	02/01/2023	0.006974	\$143.38	\$1.00	
<b>Total Executed Trades Pending Settlement</b>							<b>\$1.00</b>	<b>\$0.00</b>

Deposit Sweep Program Banks

These are the program banks where your uninvested cash is held as of 01/31/2023. Balances are insured by FDIC, not SIPC.

Bank	Balance
US Bank	\$1.43

As of the closing date of this statement, the annual percentage yield (APY) for customers enrolled in the brokerage cash sweep program is 1.50% for customers who are not subscribed to Robinhood Gold, or 4.00% for Robinhood Gold subscribers. Program banks pay interest on your swept cash, minus any fees paid to Robinhood. Neither Robinhood Financial LLC nor any of its affiliates are banks. Investment products are not FDIC-insured, not bank guaranteed, and may lose value. Uninvested cash swept to the program banks is eligible for FDIC insurance up to applicable limits, subject to FDIC rules. The Securities Investor Protection Corporation has taken the position that uninvested cash swept to the program banks is not covered by the Securities Investor Protection Act.

**Deposit Sweep Activity**

These transactions reflect movements of uninvested cash to and from program banks.

Description	Date	Debit	Credit	Balance
Opening Sweep Balance	01/01/2023			\$2.22
FDIC Sweep	01/03/2023		\$0.39	\$2.61
FDIC Sweep	01/04/2023	\$1.00		\$1.61
FDIC Sweep	01/05/2023	\$1.00		\$0.61
FDIC Sweep	01/09/2023		\$1.38	\$1.99
FDIC Sweep	01/10/2023	\$1.00		\$0.99
FDIC Sweep	01/17/2023		\$1.35	\$2.34
FDIC Sweep	01/18/2023	\$1.00		\$1.34
FDIC Sweep	01/19/2023	\$1.00		\$0.34
FDIC Sweep	01/23/2023		\$1.00	\$1.34
FDIC Sweep	01/24/2023	\$1.00		\$0.34
FDIC Sweep	01/25/2023		\$0.43	\$0.77
FDIC Sweep	01/26/2023		\$0.66	\$1.43
Closing Sweep Balance	01/31/2023			\$1.43
<b>Total Swept Funds</b>		<b>\$6.00</b>	<b>\$5.21</b>	

## Important Information

Robinhood Securities, LLC ("RHS") carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC ("RHF"). If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to fees and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting help@robinhood.com.

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [www.sipc.org](http://www.sipc.org).

Any free credit balances represent funds payable upon demand which, although properly accounted for on our books and records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

### Notice to Customers

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a "fully disclosed" basis at RHS. You remain a customer of RHF.

As required, under SEC rules, both the RHF and RHS Order Routing Reports as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, RHS provides securities clearance and may provide order execution based on RHF instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashing services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision with appropriate notice. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

We also offer Robinhood Cash Management as an additional feature of your account. Robinhood Cash Management includes debit card access to your account and automatic sweep of uninvested cash to bank deposits. If you participate in Robinhood Cash Management, your use of the debit card, and your rights with respect to debit card transactions, will be governed by the Robinhood Debit Card Agreement, which has been provided to you and is available at <https://rbnhd.co/debit-card-agreement>.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email Robinhood at help@robinhood.com. Robinhood must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

- A. Tell RHF your name and account number.
- B. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- C. Tell RHF the dollar amount of the suspected error.

RHF will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, RHF will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes RHF to complete our investigation.

If you participate in Robinhood Cash Management, your uninvested cash is swept into accounts at FDIC-insured program banks, where your uninvested cash will earn interest from the program banks and be eligible for FDIC insurance up to applicable limits, subject to FDIC rules. Please see the Robinhood Securities, LLC & Robinhood Financial LLC Insured Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of this sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, Inc. ("FINRA"), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: help@robinhood.com.

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venues. In addition, the Rule (SEC Rule 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

All trade confirmations are transmitted on or about the transaction date. If you participate in the Dividend Reinvestment Plan program details concerning the reinvestment of dividends will be included on your monthly statements. RHS will act as agent in having your DRP purchases executed.

**Statement of Financial Condition**  
Robinhood Securities, LLC. Unaudited Statement of Financial Condition as of June 30, 2022 is available on the Company's website at [www.robinhood.com/legal](http://www.robinhood.com/legal). A paper copy may be requested at no cost by calling 1-(800)-282-1327. On June 30, 2022, Robinhood Securities, LLC. had a net capital of \$2,922,541,147, which was \$2,837,217,515 in excess of its required net capital of \$85,323,632.

Please retain this statement as it will be helpful in preparing your income tax returns and may be needed along with subsequent statements to verify interest charges in your account. This statement shall be deemed conclusive unless objected to in writing within ten (10) days.