

CODEBOOK
Robin Hood 2017-2018 21m-Follow up Survey
Updated May 18, 2023

[VAR: q7newyork]

PUNCH

1
2
97
98
99

Are you still a resident of New York City?

RESPONSE

Yes
No
No answer
Don't know
Refused to answer

[VAR: q7a1]

PUNCH

1
2
3
97
98
99

Do you currently live with a spouse or domestic partner (a live-in romantic partner)?

RESPONSE

Spouse
Domestic partner
Neither
No answer
Don't know
Refused

[VAR: q7a2]

PUNCH

1
2
97
98
99

Do you have at least one biological or adopted child under the age of 18 who lives with you?

RESPONSE

Yes
No
No response
Don't know
Refused to answer

*****NOTE: J and F Module questions only asked of individuals who did not complete this survey late (q7late)*****

[VAR: q7j1_1]

PUNCH

1
2
97
98
99

Which of the following, if any, have happened to YOU in the past 3 months...

...you moved?

RESPONSE

Yes
No
No answer
Don't know
Refused

[VAR: q7j1_2]

PUNCH

Which of the following, if any, have happened to YOU in the past 3 months...

...you had a child?

RESPONSE

1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7j1_3]

**Which of the following, if any, have happened to YOU in the past 3 months...
...someone moved into HH?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7j1_4]

**Which of the following, if any, have happened to YOU in the past 3 months...
...someone moved out HH?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7j1_5]

**Which of the following, if any, have happened to YOU in the past 3 months...
...you started a relationship?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7j1_6]

**Which of the following, if any, have happened to YOU in the past 3 months...
...you ended a relationship?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7j1_7]

Which of the following, if any, have happened to YOU in the past 3 months...

	...you had a change in childcare?
<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7j1_8]

**Which of the following, if any, have happened to you or anyone in the HH in the past 3 months...
...time taken off work?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't Know
99	Refused to answer

[VAR: q7j2_1]

**Which of the following, if any, have happened to you or anyone in the HH in the past 3 months...
...someone in HH started a new job?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7j2_2]

**Which of the following, if any, have happened to you or anyone in the HH in the past 3 months...
...someone in HH lost a job?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7j2_3]

**Which of the following, if any, have happened to you or anyone in the HH in the past 3 months...
...someone in the HH started receiving benefits?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7j2_4]

**Which of the following, if any, have happened to you or anyone in the HH in the past 3 months...
...someone in HH benefits cut off?**

PUNCH

RESPONSE

1

Yes

2

No

97

No answer

98

Don't know

99

Refused to answer

[VAR: q7j2_5]

**Which of the following, if any, have happened to you or anyone in the HH in the past 3 months...
...someone in HH had a major expense?**

PUNCH

RESPONSE

1

Yes

2

No

97

No answer

98

Don't know

99

Refused to answer

[VAR: q7j2_6]

**Which of the following, if any, have happened to you or anyone in the HH in the past 3 months...
...someone in HH had an increase in income?**

PUNCH

RESPONSE

1

Yes

2

No

97

No answer

98

Don't know

99

Refused to answer

[VAR: q7j2_7]

**Which of the following, if any, have happened to you or anyone in the HH in the past 3 months...
...someone in HH had a decrease in income?**

PUNCH

RESPONSE

1

Yes

2

No

97

No answer

98

Don't know

99

Refused to answer

[VAR: q7j2_8]

**Which of the following, if any, have happened to you or anyone in the HH in the past 3 months...
...someone in HH had accident, injury, or illness?**

PUNCH

RESPONSE

1

Yes

2

No

97

No answer

98	Don't know
99	Refused

[VAR: q7j2_9]

**Which of the following, if any, have happened to you or anyone in the HH in the past 3 months...
...someone in HH was the victim of a crime?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7j2_11]

**Which of the following, if any, have happened to you or anyone in the HH in the past 3 months...
...someone in HH lost or broke belongings?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7f2]

In the past 3 months how often did you worry that your food would run out before you had money to buy more?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Often
2	Sometimes
3	Never
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7f6]

In the past 3 months did you stay at a shelter, in an abandoned building, an automobile, or any other place not meant for regular housing, even for one night?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7f8]

In the past three months was your phone, gas, or electricity turned off?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7f9]

In the past 3 months, could you not go to the hospital because of the cost?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7f10]

In the past 3 months, how often did you not have enough money at the end of the month or before you got your next paycheck.

<u>PUNCH</u>	<u>RESPONSE</u>
1	Often
2	Sometimes
3	Never
97	No answer
98	Don't know
99	Refused to answer

[VAR: q7b1]

Would you say your health in general is..

<u>PUNCH</u>	<u>RESPONSE</u>
1	Excellent
2	Very Good
3	Good
4	Fair
5	Poor
97	No answer
98	Don't know
99	Refused

[VAR: q7b2]

In general, would you say your quality of life is...

<u>PUNCH</u>	<u>RESPONSE</u>
1	Excellent
2	Very Good
3	Good
4	Fair
5	Poor
97	No answer
98	Don't know
99	Refused

[VAR: q7b3]

<u>PUNCH</u>	<u>RESPONSE</u>
1	Excellent
2	Very Good
3	Good
4	Fair
5	Poor
97	No answer
98	Don't know
99	Refused

[VAR: q7b4]

<u>PUNCH</u>	<u>RESPONSE</u>
1	Excellent
2	Very Good
3	Good
4	Fair
5	Poor
97	No answer
98	Don't know
99	Refused

[VAR: q7b5]

<u>PUNCH</u>	<u>RESPONSE</u>
1	Excellent
2	Very Good
3	Good
4	Fair
5	Poor
97	No answer
98	Don't know
99	Refused

[VAR: q7b6]

<u>PUNCH</u>	<u>RESPONSE</u>
1	Excellent
2	Very Good
3	Good
4	Fair
5	Poor
97	No answer

98 Don't know
99 Refused

[VAR: q7b7]

To what extent are you able to carry out your everyday physical activities such as walking, climbing stairs, carrying groceries, or moving a chair?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Completely
2	Mostly
3	Moderately
4	A little
5	Not at all
97	No answer
98	Don't know
99	Refused

[VAR: q7b8]

How often have you been bothered by emotional problems such as feeling anxious, depressed, or irritable?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Never
2	Rarely
3	Sometimes
4	Often
5	Always
97	No answer
98	Don't know
99	Refused

[VAR: q7b9]

How would you rate your fatigue on average?

<u>PUNCH</u>	<u>RESPONSE</u>
1	None
2	Mild
3	Moderate
4	Severe
5	Very Severe
97	No answer
98	Don't know
99	Refused

[VAR: q7b10]

How would you rate your pain on average? You can use a scale from 0 to 10 where 0 equals no pain and 10 equals the worst imaginable pain.

<u>PUNCH</u>	<u>RESPONSE</u>
0	0
1	1
2	2
3	3

4	4
5	5
6	6
7	7
8	8
9	9
10	10
97	No answer
98	Don't know
99	Refused

[VAR: q7b11]

In the past 12 months have you stayed overnight in a hospital because of your own health?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7b12_1]

Has a doctor or other health professional ever told you that you have or had...

...Asthma?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7b12_2]

Has a doctor or other health professional ever told you that you have or had...

...Diabetes?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7b12_3]

Has a doctor or other health professional ever told you that you have or had...

...Hypertension?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know

99 Refused

[VAR: q7b12_4]

**Has a doctor or other health professional ever told you that you have or had...
...Heart disease?**

PUNCH

RESPONSE

1 Yes
2 No
97 No answer
98 Don't know
99 Refused

[VAR: q7b12_5]

**Has a doctor or other health professional ever told you that you have or had...
...Cancer?**

PUNCH

RESPONSE

1 Yes
2 No
97 No answer
98 Don't know
99 Refused

[VAR: q7b12_6]

**Has a doctor or other health professional ever told you that you have or had...
Arthritis?**

PUNCH

RESPONSE

1 Yes
2 No
97 No answer
98 Don't know
99 Refused

[VAR: q7b12_7]

**Has a doctor or other health professional ever told you that you have or had...
Migranes?**

PUNCH

RESPONSE

1 Yes
2 No
97 No answer
98 Don't know
99 Refused

[VAR: q7b12_8]

**Has a doctor or other health professional ever told you that you have or had...
Sleep disorder?**

PUNCH

RESPONSE

1 Yes
2 No

97	No answer
98	Don't know
99	Refused

[VAR: q7b12_9]

Has a doctor or other health professional ever told you that you have or had...

...Other chronic condition

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7b23]

During the past 30 days, other than your regular job, did you participate in any physical activities or exercises such as running, calisthenics, golf, gardening, or walking for exercise?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7b24]

By yourself, and without using any special equipment, how difficult is it for you to walk a quarter of a mile – about 3 city blocks? Would you say...

<u>PUNCH</u>	<u>RESPONSE</u>
1	Not at all difficult
2	Only a little difficult
3	Somewhat difficult
4	Very difficult
5	Can't do this activity
6	Don't do this activity
97	No answer
98	Don't know
99	Refused

[VAR: q7c2]

Sex of focal child?

<u>PUNCH</u>	<u>RESPONSE</u>
0	Female
1	Male
97	No answer
98	Don't know
99	Refused

[VAR: q7c4]

<u>PUNCH</u>	<u>RESPONSE</u>
1	Excellent
2	Very good
3	Good
4	Fair
5	Poor
97	No answer
98	Don't know
99	Refused

[VAR: q7c6]

During the past 12 months has focal child stayed overnight in the hospital for any reason?	
<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7c7_1]

Has a doctor or health professional told you that focal child has... Asthma?	
<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7c7_2]

Has a doctor or health professional told you that focal child has... Food Allergy?	
<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7c7_3]

Has a doctor or health professional told you that focal child has... Other Allergy?	
<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	Answer

98	Don't know
99	Refused

[VAR: q7c7_5]

**Has a doctor or health professional told you that focal child has...
Developmental problems?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7c7_6]

**Has a doctor or health professional told you that focal child has...
Other chronic condition?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7c16]

Do you provide regular care to a family member who either lives with you or lives nearby, and who has a disability or chronic illness?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7c17_1]

**Even if it can be rewarding, helping a family member who is ill or disabled can sometimes be difficult. On a scale from 1 to 5, where 1 is not very difficult and 5 is very difficult.
How difficult is it financially for you?**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Not very difficult
2	Only a little difficult
3	Somewhat difficult
4	Significantly difficult
5	Very difficult
97	No answer
98	Don't know
99	Refused

[VAR: q7c17_2]

Even if it can be rewarding, helping a family member who is ill or disabled can sometimes be difficult. On a scale from 1 to 5, where 1 is not very difficult and 5 is very difficult.

How difficult is it for you emotionally?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Not very difficult
2	Only a little difficult
3	Somewhat difficult
4	Significantly difficult
5	Very difficult
97	No answer
98	Don't know
99	Refused

[VAR: q7c17_3]

Even if it can be rewarding, helping a family member who is ill or disabled can sometimes be difficult. On a scale from 1 to 5, where 1 is not very difficult and 5 is very difficult.

How difficult is it for you physically?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Not very difficult
2	Only a little difficult
3	Somewhat difficult
4	Significantly difficult
5	Very difficult
97	No answer
98	Don't know
99	Refused

[VAR: q7c18]

In the last year, have you gone to a support group for people who provide care for others?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7c19]

In the past year, have you received help from a home health aide, respite care service, or any other service that helps people take care of their family members?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d1]

PUNCH

1
2
97
98
99

Have you moved in the past 12 months?

RESPONSE

Yes
No
No answer
Don't know
Refused

[VAR: q7d2a]

PUNCH

1
2
3
97
98
99

Before your most recent move, did you rent or own your house or apartment, or have some other housing status?

RESPONSE

Rent
Own
Other
No answer
Don't know
Refused

[VAR: q7d3_1]

PUNCH

1
2
97
98
99

**People move for many reasons. Did any of the following contribute to your most recent move?
The landlord raised the rent**

RESPONSE

Yes
No
No answer
Don't know
Refused

[VAR: q7d3_2]

PUNCH

1
2
97
98
99

**People move for many reasons. Did any of the following contribute to your most recent move?
You wanted to be closer to work/easier commute**

RESPONSE

Yes
No
No answer
Don't know
Refused

[VAR: q7d3_3]

PUNCH

1
2
97
98

**People move for many reasons. Did any of the following contribute to your most recent move?
You found a more affordable house or apartment**

RESPONSE

Yes
No
No answer
Don't know

99 Refused

[VAR: q7d3_4]

People move for many reasons. Did any of the following contribute to your most recent move?
The neighborhood was dangerous

PUNCH

RESPONSE

1 Yes
2 No
97 No answer
98 Don't know
99 Refused

[VAR: q7d3_5]

People move for many reasons. Did any of the following contribute to your most recent move?
The landlord wouldn't fix anything and your place was getting run down

PUNCH

RESPONSE

1 Yes
2 No
97 No answer
98 Don't know
99 Refused

[VAR: q7d3_6]

People move for many reasons. Did any of the following contribute to your most recent move?
The landlord was harassing you

PUNCH

RESPONSE

1 Yes
2 No
97 No answer
98 Don't know
99 Refused

[VAR: q7d3_7]

People move for many reasons. Did any of the following contribute to your most recent move?
The house or apartment went into foreclosure

PUNCH

RESPONSE

1 Yes
2 No
97 No answer
98 Don't know
99 Refused

[VAR: q7d3_8]

People move for many reasons. Did any of the following contribute to your most recent move?
The City condemned the building

PUNCH

RESPONSE

1 Yes

2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d3_9]

People move for many reasons. Did any of the following contribute to your most recent move? You or the person you were staying with missed a rent payment and you thought you might be evicted

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d3_10]

People move for many reasons. Did any of the following contribute to your most recent move? The landlord told you or the person you were staying with to leave

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d3_11]

People move for many reasons. Did any of the following contribute to your most recent move? You received an eviction notice

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d3_12]

People move for many reasons. Did any of the following contribute to your most recent move? Other

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d4]

Did you call 311 or the Eviction Hotline?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d5]

Did your children have to change schools because of this move?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d6]

What is your current housing situation?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Rent your own apartment or house
2	Own your own apartment or house
3	Live with family or friends who rent and you contribute part of the rent
4	Live with family or friends who rent but you do not pay rent
5	Rent a room, but not from family or friends
6	Live in an apartment or house owned by another family member
7	Live in temporary housing or a group shelter
8	Live on the street or in a park, abandoned building, automobile or similar place
9	Have some other housing arrangement
97	No answer
98	Don't know
99	Refused

[VAR: q7d7]

Does your home or apartment have open cracks or holes in the interior walls, ceiling, or floors? Do NOT include very small hairline cracks.

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d8_1]

**In the past 90 days, have you seen any of the following in your residence?
Cockroaches**

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d8_2]

In the past 90 days, have you seen any of the following in your residence?

Mice or signs of mice

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d8_3]

In the past 90 days, have you seen any of the following in your residence?

Rats

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d8_4]

In the past 90 days, have you seen any of the following in your residence?

Bedbugs

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d9]

During this past winter, did you at any time have to use additional sources of heat because your residence did not have enough heat? Additional sources could include the kitchen stove, a fireplace or a portable or space heater.

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No
97	No answer
98	Don't know
99	Refused

[VAR: q7d10]

How safe from crime are the public spaces in your current residence, for example, the lobby, elevators, and stairwells?

PUNCH

RESPONSE

- | | |
|----|----------------|
| 1 | Very safe |
| 2 | Somewhat safe |
| 3 | Not safe |
| 4 | Not applicable |
| 97 | No answer |
| 98 | Don't know |
| 99 | Refused |

[VAR: q7g3]

What is your current employment status?

PUNCH

RESPONSE

- | | |
|----|---|
| 1 | Working full-time |
| 2 | Working part-time |
| 3 | On leave from a job or temporarily laid off |
| 4 | Looking for work |
| 5 | Unable to work |
| 6 | Keeping house |
| 7 | Going to school |
| 8 | Retired |
| 9 | Other |
| 97 | No answer |
| 98 | Don't know |
| 99 | Refused |

[VAR: q7g6]

During the past 12 months, about how many days did you miss work at a job or business because you or someone you care for was ill or injured?

PUNCH

RESPONSE

- | | |
|----|--------------------------------|
| 1 | None |
| 2 | Entered number |
| 3 | Self-employed / not applicable |
| 97 | No answer |
| 98 | Don't know |
| 99 | Refused |

[VAR: q7g6x]

During the past 12 months, about how many days did you miss work at a job or business because you or someone you care for was ill or injured?

PUNCH

RESPONSE

Entered response

[VAR: q7g7]

Were you paid for the days you missed because you were sick?

<u>PUNCH</u>	<u>RESPONSE</u>
1	Paid for some
2	Paid for all
3	Not paid
4	Self-employed/not applicable
97	No answer
98	Don't know
99	Refused

[VAR: q7g8]

How many of the days you missed were used to care for your own health, rather than care for someone else?

<u>PUNCH</u>	<u>RESPONSE</u>
1	None
2	Entered number
97	No answer
98	Don't know
99	Refused

[VAR: q7g8x]

How many of the days you missed were used to care for your own health, rather than care for someone else?

<u>PUNCH</u>	<u>RESPONSE</u>
	Entered number

[VAR: q7surveyyear]

Survey Year

[VAR: q7surveymonth]

Survey Month

[VAR: q7weight_p]
[See Appendix A]

Person level weight

[VAR: q7weight_pu]
[See Appendix A]

Family level weight

[VAR: q7late]

Flag indicating whether subject took the 21m survey late.

<u>PUNCH</u>	<u>RESPONSE</u>
1	Yes
2	No

Appendix A

Overview

This memo details our approach to survey weighting, by which we ensure that the Robin Hood Poverty Tracker sample is representative of New Yorkers age 18 and over. The primary Poverty Tracker is selected from an option survey at the conclusion of the Community Healthy Survey. The CHS is a Random Digit Dial (RDD) phone sample conducted by the survey research organization SRBI. The first panel generated a sample of approximately 3,403 RDD sample members. We included both landline and cell phone numbers in our sample frame. In addition, a second pool of 505 participants were recruited from agencies that provide assistance to low-income individuals. The purpose of this second pool was to oversample those using social service agencies in New York City. Oversample specific populations characteristics (social service agencies in this case) is a statistically and efficient way to increase the sample sizes of populations of interest in surveys.

Survey weights are then used to adjust statistical parameters (estimates) so that inferences made from the data apply to the overall population from which the sample was drawn (in this case, NYC). Data are weighted to a three-year American Community Survey (ACS) dataset provided by the United States Census Bureau.

The weighting approach that we employ, which adjusts for oversampling and for random over- or under-representation, for non-response, and for attrition, is used in all nationally and locally representative studies.

In the remainder of this memo, we provide more technical details on the construction of Poverty Tracker survey weights. The target population is adults (18+) who are New York City residents.

Structure of baseline sample

SRBI

The SRBI phone sample consists of 3,403 New York City residents contacted by random digit dialing (RDD). Of the 3,403 respondents, 1,774 were contacted by cellphone and 1,629 by landline.

Agency

The face-to-face sample includes 505 respondents from 26 agencies.

SRBI cell phone 1,774

SRBI landline 1,629

Agency 505

Total 3,908

Subsequent waves

For subsequent survey waves, we adjust for panel attrition using the stratification response propensity score method. Non-coverage is addressed by post-stratification. For each survey wave we compute individual weights for each respondent as well as family (poverty unit) weights.

Poverty units

The definition of the poverty unit differs from the traditional family in that unmarried partners are included as family members. Poverty unit weights are obtained from the personal weights by dividing by the number of adults in the poverty unit.

Post-stratification

The data used for post-stratification comes from 2014-2016 American Community Survey (ACS) NYC sample. We approximate the population distributions of the post-stratification variables using weighted ACS numbers.¹

For agency sample, one variable, social service use, is not collected by the ACS. While, it is important to post-stratify on this variable because the agency sample oversamples individuals who use these services. We use the SRBI sample to estimate social service use in the population, which we used to post-stratify to.

Baseline weights

Constructing the baseline weights consists of three steps:

1. Weight the SRBI phone sample to match the NYC adult population (see the SRBI weights section).
2. Use the weighted SRBI sample to estimate the population distribution of social service use (see the Agency weights section).
3. Combine the SRBI and agency samples and weight to match social service use and demographics (see the Combined weights section).

SRBI weights

Using the SRBI phone sample only, we adjust for selection bias and nonresponse to match the ACS data:

1. ***Adjustment for the number of adults in the household and family.*** The larger household, the smaller the selection probability is for each individual. However, the larger the family, the larger the response probability from the family. We therefore need to weight up larger households while weighting down larger families. Gelman and Little (1988) recommend square roots for this weighting adjustment because inverse probability weights for household sizes tend to

¹ That is, we use the weights provided with the ACS and obtain a weighted frequency for each post-stratification variable.

overcorrect in telephone surveys. For each respondent we use the square root of the ratio of the number of adults in the household to the number of adults in the family.

2. **Adjustment for phone availability.** Respondents with multiple phones in the household are more likely to be selected into the sample, while those who experience interrupted phone service are less likely to be selected. In this stage of the weighting process, we assign respondents in these two categories weights of $\frac{1}{2}$ and 2, respectively.

Because the landline and cellular RDD frames overlap there are cases of dual-service, that is, respondents from the landline sample who also have a cell phone in the household or respondents from the cell phone sample who also have landline service. We use frame integration weights (Lohr, 2009) to combine the landline and cellular components of the sample, with the dual-service respondents from the two frames integrated in proportion to their effective sample sizes. We can make this adjustment by assuming that the dual-service households from each of the two groups are random samples from the population of dual-service households.

To compute the effective sample sizes, we first calculate a design effects for both landline and cellular groups. For the cellular sample we take the weights for the respondents who also have landline and compute the coefficient of variation cv_C . For the landline sample the calculation of cv_L is analogous. We take the design effects to be $1 + cv_C^2$ and $1 + cv_L^2$, respectively. The effective sample sizes (ESS) for the dual-service cases are then computed as the raw sample sizes divided by the design effects.

Finally, the frame integration weights for the dual-service cell phone cases (cell phone respondents who have a landline) are $fiw_C = \frac{ESS_C}{ESS_C + ESS_L}$, which is the ratio of the effective number of dual-service cases among the cell phone respondents to the total effective number of dual-service cases in the landline and cell phone respondents combined. For the dual-service landline respondents, the frame integration weights are computed analogous as $fiw_L = \frac{ESS_L}{ESS_C + ESS_L}$. Single-service cases (in this case landline-only or cellphone-only) are given a frame integration weight of 1.

3. **Adjustment for deviation on SES information from corresponding ACS-NYC 2014-2016 weighted totals.** Before making this adjustment, we obtain individual weights by multiplying the household weights obtained in the previous step by the number of adults in the household.² We then match the marginal distributions of post-stratification factors via raking procedure. Although the joint distribution by cross tabulation is available, we use raking under an independence assumption to control the variability due to small post-stratification cell sizes. The information used for post-stratification includes gender, age, education, immigration status,

² For this calculation, the number of adults in the household is capped at 4 due to sparseness at larger values.

tenure (own or rent home), race, the number of children in the household, the number of seniors in the household, the number of working aged adults in the household, a poverty gap measure for the household³, and interactions between many of the demographics and the poverty measure to account for dependencies between these factors. After the raking procedure, we trim the resulting weights at the 97.5% percentile.

Because the weights adjust for the unequal selection, under-coverage and nonresponse, there is no simple formula for estimating the variance. We use the bootstrapping method implemented in the R **survey** package to obtain 50 sets of replicate weights for each set of sampling weights, from which we can obtain variance estimates.

Agency weights

For the Agency sample, we adjust weights by the (self-reported) frequency of agency services usage. To avoid over-representation, the more frequently an individual uses a service, the smaller the assigned weight.

Combined weights

The SRBI and Agency samples are then combined.⁴ Again we correct for differences due to over-sampling from poor households by post-stratifying the household weights to the ACS household information. This is essentially the same adjustment that is made in the SRBI weights (see Step 3 in the SRBI weights section) but here we perform the adjustment on the combined SRBI and Agency samples. We then multiply the household weights by the number of adults in the household to obtain the person (individual) weights. Similarly, to Step 4 in the SRBI weights section, using these person weights we then post-stratify to adjust for deviations of the two samples from the corresponding ACS-NYC 2014 weighted totals. We also again adjust for frequency of social service use by including it as a post-stratification variable.⁵

Subsequent wave weights

Subsequent waves in the Poverty Tracker study have longitudinal weights calculated. These weights use the baseline weights as a basis but make two adjustments. The first adjustment corrects for nonresponse between the baseline and the wave of interest. The second adjustment is a raking adjustment back to the baseline population demographics.

The method for the first adjustment is inverse propensity scoring. This method uses a logistic regression to predict nonresponse given baseline characteristics, including race, education, immigration status,

³ From the World Bank: Poverty gap is the mean shortfall from the poverty line (counting the non-poor as having zero shortfall), expressed as a percentage of the poverty line. This measure reflects the depth of poverty as well as its incidence.

⁴ The weights for each sample are also separately normalized to each have a mean of 1.

⁵ There will be unbalanced coverage of agency service visitors because frequent service users will be over-represented in the Agency sample. For the purpose of representing the general population of NYC adults, it is necessary to down-weight individuals in the sample who frequently use social service agencies. In order to post-stratify on frequency of service use, we need a measure of the distribution of social service use in the population. Unfortunately, we do not have any gold standard for the distribution

source – how many respondents were originally contacted, use of service frequency, the number of working adults in the household, the number of seniors in the household, spouse or partner in the household, material hardship, severe health disadvantage, OPM income to needs ratio, OPM poverty status, receipt of government housing, and mental health status. From this model, the probability of responding was predicted for each respondent, which we then broken into 20 quantiles to reduce noise. For each quantile, the inverse of the probability of responding is calculated, which we then use to adjust the baseline weights for nonresponse. After that, we do the second adjustment, raking procedure. We then trimmed and created replicate weights with the same technique as described for the baseline.

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