#### CODEBOOK Robin Hood 2019-2020 48m Follow-up Survey

Updated May 18, 2023

#### public\_id **Public ID number** Year of survey administration q16surveyyear q16surveymonth Month of survey administration 1. January 2. February 3. March 4. April 5. May 6. June 7. July 8. August 9. September 10. October 11. November 12. December q16newyork Are you still a resident of New York City? 1. Yes 2. No 97. No answer 98. Don't know 99. Refused q16a1 What is your current housing situation? Select the option that best describes your situation. 1. Rent your own apt/home 2. Own your own apt/home 3. Live w/ friends/family, contribute rent 4. Live w/ friend/family, don't contribute rent 5. Rent a room, not from family/friends 6. Live in apt/home owned by family member 7. Live in temp housing or group shelter 8. Live on the street or in a park, car, abandoned building 9. Have some other housing arrangement 97. No answer 98. Don't know 99. Refused q16a3\_age\_tc What is your current age? Capped at 85 970. No answer q16a3\_gender What is your gender? 1. Male 2. Female 97. No answer

	98. Don't know 99. Refused
q16a4	Is there anyone else who lives with you in your household?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16a4_age1_tc	We would like to make a list of the people who currently live in your household. Who else lives with you? Please enter their age. If the person is less than one year old, enter "0." Capped at 85 [Entered numeric response] 970. No answer
q16a4_age2_tc	We would like to make a list of the people who currently live in your household. Who else lives with you? Please enter their age. If the person is less than one year old, enter "0." Capped at 85 [Entered numeric response] 970. No answer
q16a4_age3_tc	We would like to make a list of the people who currently live in your household. Who else lives with you? Please enter their age. If the person is less than one year old, enter "0." Capped at 85 [Entered numeric response] 970. No answer
q16a4_age4_tc	We would like to make a list of the people who currently live in your household. Who else lives with you? Please enter their age. If the person is less than one year old, enter "0." Capped at 85 [Entered numeric response] 970. No answer
q16a4_age5_tc	We would like to make a list of the people who currently live in your household. Who else lives with you? Please enter their age. If the person is less than one year old, enter "0." Capped at 85 [Entered numeric response] 970. No answer

q16a4\_age6\_tc

We would like to make a list of the people who

currently live in your household. Who else lives

with you? Please enter their age. If the person is less than one year old, enter "0." Capped at 85 [Entered numeric response] 970. No answer

q16a4\_age7\_tc

We would like to make a list of the people who currently live in your household. Who else lives with you? Please enter their age. If the person is less than one year old, enter "0." Capped at 85 [Entered numeric response] 970. No answer

q16a4\_age8\_tc

We would like to make a list of the people who currently live in your household. Who else lives with you? Please enter their age. If the person is less than one year old, enter "0." Capped at 85 [Entered numeric response]

970. No answer

q16a4\_age9\_tc

We would like to make a list of the people who currently live in your household. Who else lives with you? Please enter their age. If the person is less than one year old, enter "0." Capped at 85 [Entered numeric response]

970. No answer

q16a4\_gender1

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select whether they are male or female.

- 1. Male
- 2. Female

97. No answer

q16a4\_gender2

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select whether they are male or female.

- 1. Male
- 2. Female

97. No answer

q16a4\_gender3

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select whether they are male or female.

- 1. Male
- 2. Female

#### 97. No answer

q16a4\_gender4

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select whether they are male or female.

- 1. Male
- 2. Female

97. No answer

q16a4\_gender5

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select whether they are male or female.

- 1. Male
- 2. Female

97. No answer

q16a4\_gender6

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select whether they are male or female.

- 1. Male
- 2. Female

97. No answer

q16a4\_gender7

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select whether they are male or female.

- 1. Male
- 2. Female

97. No answer

q16a4\_gender8

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select whether they are male or female.

- 1. Male
- 2. Female

97. No answer

q16a4\_gender9

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select whether they are male or female.

- 1. Male
- 2. Female

#### 97. No answer

q16a4\_rel1

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select how they are related to you - for example, spouse, domestic partner (which is a live-in romantic partner), children, other family members, or roommate.

- 1. Spouse
- 2. Domestic partner
- 3. Biological/adopted child
- 4. Stepchild/child of domestic partner
- 5. Grandchild
- 6. Sibling
- 7. Foster child
- 8. Parent
- 9. Other relative
- 10. Roommate/housemate
- 11. Roomer/boarder
- 12. Other nonrelative
- 97. No answer
- 98. Don't know
- 99. Refused

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- 1. Spouse
- 2. Domestic partner
- 3. Biological/adopted child
- 4. Stepchild/child of domestic partner
- 5. Grandchild
- 6. Sibling
- 7. Foster child
- 8. Parent
- 9. Other relative
- 10. Roommate/housemate
- 11. Roomer/boarder
- 12. Other nonrelative
- 97. No answer
- 98. Don't know
- 99. Refused

q16a4\_rel3

q16a4\_rel2

We would like to make a list of the people who currently live in your household. Who else lives

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with you? Please select how they are related to you - for example, spouse, domestic partner (which is a live-in romantic partner), children, other family members, or roommate.

- 1. Spouse
- 2. Domestic partner
- 3. Biological/adopted child
- 4. Stepchild/child of domestic partner
- 5. Grandchild
- 6. Sibling
- 7. Foster child
- 8. Parent
- 9. Other relative
- 10. Roommate/housemate
- 11. Roomer/boarder
- 12. Other nonrelative
- 97. No answer
- 98. Don't know
- 99. Refused

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- 2. Domestic partner
- 3. Biological/adopted child
- 4. Stepchild/child of domestic partner
- 5. Grandchild
- 6. Sibling
- 7. Foster child
- 8. Parent
- 9. Other relative
- 10. Roommate/housemate
- 11. Roomer/boarder
- 12. Other nonrelative
- 97. No answer
- 98. Don't know
- 99. Refused

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select how they are related to you - for example, spouse, domestic partner (which is a live-in romantic partner), children, other family members, or roommate.

q16a4\_rel4

q16a4\_rel5

- 1. Spouse
- 2. Domestic partner
- 3. Biological/adopted child
- 4. Stepchild/child of domestic partner
- 5. Grandchild
- 6. Sibling
- 7. Foster child
- 8. Parent
- 9. Other relative
- 10. Roommate/housemate
- 11. Roomer/boarder
- 12. Other nonrelative
- 97. No answer
- 98. Don't know
- 99. Refused

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select how they are related to you - for example, spouse, domestic partner (which is a live-in romantic partner), children, other family members, or roommate.

- 1. Spouse
- 2. Domestic partner
- 3. Biological/adopted child
- 4. Stepchild/child of domestic partner
- 5. Grandchild
- 6. Sibling
- 7. Foster child
- 8. Parent
- 9. Other relative
- 10. Roommate/housemate
- 11. Roomer/boarder
- 12. Other nonrelative
- 97. No answer
- 98. Don't know
- 99. Refused

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select how they are related to you - for example, spouse, domestic partner (which is a live-in romantic partner), children, other family members, or roommate.

- 1. Spouse
- 2. Domestic partner
- 3. Biological/adopted child
- 4. Stepchild/child of domestic partner

q16a4\_rel6

q16a4\_rel7

- 5. Grandchild
- 6. Sibling
- 7. Foster child
- 8. Parent
- 9. Other relative
- 10. Roommate/housemate
- 11. Roomer/boarder
- 12. Other nonrelative
- 97. No answer
- 98. Don't know
- 99. Refused

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select how they are related to you - for example, spouse, domestic partner (which is a live-in romantic partner), children, other family members, or roommate.

- 1. Spouse
- 2. Domestic partner
- 3. Biological/adopted child
- 4. Stepchild/child of domestic partner
- 5. Grandchild
- 6. Sibling
- 7. Foster child
- 8. Parent
- 9. Other relative
- 10. Roommate/housemate
- 11. Roomer/boarder
- 12. Other nonrelative
- 97. No answer
- 98. Don't know
- 99. Refused

We would like to make a list of the people who currently live in your household. Who else lives with you? Please select how they are related to you - for example, spouse, domestic partner (which is a live-in romantic partner), children, other family members, or roommate.

- 1. Spouse
- 2. Domestic partner
- 3. Biological/adopted child
- 4. Stepchild/child of domestic partner
- 5. Grandchild
- 6. Sibling
- 7. Foster child
- 8. Parent

q16a4\_rel8

q16a4\_rel9

- 9. Other relative
- 10. Roommate/housemate
- 11. Roomer/boarder
- 12. Other nonrelative
- 97. No answer
- 98. Don't know
- 99. Refused

q16a8

What is the total monthly rent for the place where you live? This is the total monthly rent for the house or apartment where you live, not just the amount you contribute to rent. Your best estimate is fine.

- 1. Entered response
- 97. No answer
- 98. Don't know
- 99. Refused

q16a8x\_tc

What is the total monthly rent for the place where you live? This is the total monthly rent for the house or apartment where you live, not just the amount you contribute to rent. Your best estimate is fine. Top-coded at 90%.

[Entered numeric response] 97000000. No answer

q16a9

How much do you and all other relatives living with you currently pay in monthly rent?

- 1. Entered response
- 97. No answer
- 98. Don't know
- 99. Refused

q16a9x\_tc

How much do you and all other relatives living with you currently pay in monthly rent? Top-coded at 90%.

[Entered numeric response] 97000000. No answer

q16a9a

Is the place where you live under rent control or rent stabilization?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16a10

How much is the regular monthly mortgage

#### payment on your apartment or house?

- 1. Entered response
- 2. No mortgage or debt is owed
- 97. No answer
- 98. Don't know
- 99. Refused

#### q16a10x\_tc

## How much is the regular monthly mortgage payment on your apartment or house? Top-coded at 90%.

[Entered numeric response] 97000000. No answer

#### q16a11

### How many bedrooms are in your apartment or house?

[Entered response 0-9]

- 10.10+
- 97. No answer
- 98. Don't know
- 99. Refused

#### q16a12

#### Is the place where you live in ...

- 1. NYCHA or public housing
- 2. Mitchell Lama housing
- 3. Another kind of affordable/subsidized apt
- 4. None of the above
- 97. No answer
- 98. Don't know
- 99. Refused

#### q16a13

#### Does your household currently receive ...

- 1. A Section 8 or Housing Choice voucher
- 2. A senior citizen or disability rent increase exemption (SCRIE or DRIE)
- 3. Rent assistance from CityFHEPS
- 4. Free or subsidized rent from the leaseholder's employer
- 5. Another kind of rent assistance or subsidy
- 6. None of the above
- 97. No answer
- 98. Don't know
- 99. Refused

#### q16e1

#### Have you moved in the past 12 months?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know

#### 99. Refused

q16e2a	Before your most recent move, did you rent or own your house or apartment, or have some other housing status?  1. Rent 2. Own 3. Other 97. No answer 98. Don't know 99. Refused
q16e3_1	People move for many reasons. Did any of the following contribute to your most recent move? The landlord raised the rent 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16e3_2	People move for many reasons. Did any of the following contribute to your most recent move? You wanted to be closer to work/easier commute  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16e3_3	People move for many reasons. Did any of the following contribute to your most recent move? You found a more affordable house or apartment  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16e3_4	People move for many reasons. Did any of the following contribute to your most recent move? The neighborhood was too dangerous 1. Yes 2. No 97. No answer 98. Don't know

#### 99. Refused

q16e3_5	People move for many reasons. Did any of the following contribute to your most recent move? The landlord wouldn't fix anything and your place was getting run down  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16e3_6	People move for many reasons. Did any of the following contribute to your most recent move? The landlord was harassing you 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16e3_7	People move for many reasons. Did any of the following contribute to your most recent move? The house or apartment went into foreclosure 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16e3_8	People move for many reasons. Did any of the following contribute to your most recent move? The city condemned the building 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16e3_9	People move for many reasons. Did any of the following contribute to your most recent move? You or the person you were staying with missed a rent payment and you thought you might be evicted  1. Yes  2. No  97. No answer

98. Don't know

#### 99. Refused

q16e3_10	People move for many reasons. Did any of the following contribute to your most recent move? The landlord told you or the person you were staying with to leave  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16e3_11	People move for many reasons. Did any of the following contribute to your most recent move? You received an eviction notice 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16e3_12	People move for many reasons. Did any of the following contribute to your most recent move?  Other reason 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16e3_13	People move for many reasons. Did any of the following contribute to your most recent move?  None of the above  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16e4	Did you call 311 or the Eviction Hotline after being forced to leave your home?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16e5	Did your children have to change schools

	1. Yes
	2. No
	97. No answer
	98. Don't know
	99. Refused
q16e7	Does your home or apartment have open cracks or holes in the interior walls, ceiling, or floors?  Do NOT include very small hairline cracks.  1. Yes  2. No  3. Not applicable
	97. No answer
	98. Don't know
	99. Refused
q16e8_1	In the past 90 days, have you seen any of the following in your residence? Cockroaches  1. Yes
	2. No
	97. No answer
	98. Don't Know
	99. Refused
q16e8_2	In the past 90 days, have you seen any of the following in your residence? Mice  1. Yes  2. No  97. No answer  98. Don't Know
	99. Refused
q16e8_3	In the past 90 days, have you seen any of the following in your residence? Rats  1. Yes  2. No  97. No answer  98. Don't Know  99. Refused
q16e8_4	In the past 90 days, have you seen any of the following in your residence? Bedbugs  1. Yes  2. No  97. No answer
q16e8_4	following in your residence? Bedbugs 1. Yes 2. No

because of this move?

99. Refused

q16e8_5	In the past 90 days, have you seen any of the
	following in your residence? None of the above 1. Yes
	2. No
	97. No answer
	98. Don't Know
	99. Refused
q16e9	During this past winter, did you at any time have
	to use additional sources of heat because your
	residence did not have enough heat? Additional
	sources could include the kitchen stove, a
	fireplace or a portable or space heater.
	1. Yes 2. No
	3. Not applicable
	97. No answer
	98. Don't know
	99. Refused
q16e10	How safe from crime are the public spaces in
	your current residence, for example, the lobby,
	elevators, and stairwells?
	1. Very safe
	2. Somewhat safe
	3. Not safe
	4. Not applicable
	97. No answer 98. Don't know
	99. Refused
	55. Neraseu
q16b1	In general, how would you rate your
•	neighborhood as a place to live?
	1. Excellent
	2. Very good
	3. Good
	4. Only fair
	5. Poor
	97. No answer
	98. Don't know
	99. Refused
q16b1a	How safe from crime do you consider your
<b>4</b>	neighborhood to be?
	1. Very safe
	2. Somewhat safe
	3. Not very safe

	99. Refused
q16b2	In general, how would you rate New York City as a place to live?  1. Excellent 2. Very good 3. Good 4. Only fair 5. Poor 97. No answer 98. Don't know 99. Refused
q16b5_1	Now we want to ask you about child care. Do you or your partner use prekindergarten for your child?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16b5_2	Now we want to ask you about child care. Do you use Head Start for you child?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16b5_3	Now we want to ask you about child care. Do you use Preschool, nursery school or daycare center for your child?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16b5_4	Now we want to ask you about child care. Do you use Family daycare or paid care provided in someone's home for your child?  1. Yes  2. No  97. No answer

4. Not at all safe 97. No answer 98. Don't know

	99. Refused
q16b5_5	Now we want to ask you about child care. Do you use free care with a relative for your child?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16b5_6	Now we want to ask you about child care. Do you use any other type of childcare for your child?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16b5_7	Now we want to ask you about child care. Don't use any childcare?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16b5_8	Now we want to ask you about child care. Do you use paid care with a relative for your child?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16b5_9	Now we want to ask you about child care. Do you use a babysitter or nanny in your home for your child?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16b5_10	Now we want to ask you about child care. Do you use a babysitter or nanny in someone else's home for your child?

98. Don't know

	<ol> <li>Yes</li> <li>No</li> <li>No answer</li> <li>Don't know</li> <li>Refused</li> </ol>
q16b5_11	Now we want to ask you about child care. Do you use a summer camp or summer child care program for your child?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16b5_1x	In a typical week, how many hours does your children spend in prekindergarten? [Entered numeric response] 970. No answer
q16b5_2x	In a typical week, how many hours does your children spend in Head Start? [Entered numeric response] 970. No answer
q16b5_3x	In a typical week, how many hours does your children spend in preschool, nursery school, or daycare center? [Entered numeric response] 970. No answer
q16b5_4x	In a typical week, how many hours does your children spend in family daycare or paid care provided in someone's home? [Entered numeric response] 970. No answer
q16b5_5x	In a typical week, how many hours does your children spend in free care with your relative? [Entered numeric response] 970. No answer
q16b5_6x	In a typical week, how many hours does your children spend in any other type of childcare? [Entered numeric response] 970. No answer
q16b5_8x	In a typical week, how many hours does your

children spend in paid care with your relative?

[Entered numeric response]

970. No answer

q16b5\_9x In a typical week, how many hours does your

children spend with a babysitter or nanny in

your home?

[Entered numeric response]

970. No answer

q16b5\_10x In a typical week, how many hours does your

children spend with a babysitter or nanny in

someone else's home?

[Entered numeric response]

970. No answer

q16b5\_11x In a typical week, how many hours does your

children spend in a summer camp or summer

child care program?

[Entered numeric response]

970. No answer

q16b6 Do any of your or partner's children attend any

after-school programs at school or at a

community organization?

1. Yes

2. No

97. No answer

98. Don't know

99. Refused

q16b7 Do any of your or your partner's children participate in any activities outside of school,

such as sports, music lessons, or arts classes?

1. Yes

2. No

97. No answer

98. Don't know

99. Refused

q16b8\_tc In the past 12 months, how many of your or your partner's children received free or reduced

priced lunches because they qualified for the Federal School Lunch Program? Capped at 9.

[Entered numeric response]

97. No answer

98. Don't know

99. Refused

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q16c1	In the past 12 months, did you or any other household member get free food from a church, food pantry, or food bank?  1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16c2	In the past 12 months, which best describes how often you or another household member received free food from any of those places?  1. Weekly 2. Several times a month 3. About once a month 4. Several times a year 5. Once or twice a year 97. No answer 98. Don't know 99. Refused
q16c3	In the past 12 months, have you or anyone else in your household stayed at a homeless facility, such as a shelter, church, mission, or any other temporary housing provided by a community agency?  1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16c4x	How many nights have you or another member of your household spent at a homeless facility, such as a shelter, church, mission, or any other temporary housing in the past 12 months?  1. Less than 10 days 2. 10 – 30 days 3. 30 – 100 days 4. 100+ days 97. No answer 98. Don't know 99. Refused
q16d1	Would you say your health in general is

Excellent
 Very good

3. Good 4. Fair 5. Poor 97. No answer 98. Don't know 99. Refused q16d2 Do you have a health problem or disability which prevents you from working or which limits the kind or amount of work you can do? 1. Yes 2. No 97. No answer 98. Don't know 99. Refused Would you say your partner's health in general q16d1\_sp is excellent, very good, good, fair, or poor? 1. Excellent 2. Very Good 3. Good 4. Fair 5. Poor 97. No answer 98. Don't know 99. Refused q16d2\_sp Does your partner have a health problem or disability which prevents them from working or which limits the kind or amount of work they can do? 1. Yes 2. No 97. No answer 98. Don't know 99. Refused q16d5 In a typical week, how often do you worry about money? 1. Often 2. Sometimes 3. Rarely 4. Never 97. No answer 98. Don't know 99. Refused

q16d6

On a scale from 0 to 10, where 10 means "the

## best possible life overall" and 0 means "the worst possible life overall," how would you rate your life in the past 12 months?

[Entered response 0-10]

- 97. No answer
- 98. Don't know
- 99. Refused

#### q16d7\_1

## During the past 30 days, about how often ... Did you feel so depressed that nothing could cheer you up?

- 1. All of the time
- 2. Most of the time
- 3. Some of the time
- 4. A little of the time
- 5. None of the time
- 97. No answer
- 98. Don't know
- 99. Refused

#### q16d7\_2

### During the past 30 days, about how often ... Did you feel nervous?

- 1. All of the time
- 2. Most of the time
- 3. Some of the time
- 4. A little of the time
- 5. None of the time
- 97. No answer
- 98. Don't know
- 99. Refused

#### q16d7\_3

### During the past 30 days, about how often ... Did you feel restless or fidgety?

- 1. All of the time
- 2. Most of the time
- 3. Some of the time
- 4. A little of the time
- 5. None of the time
- 97. No answer
- 98. Don't know
- 99. Refused

#### q16d7\_4

### During the past 30 days, about how often ... Did you feel hopeless?

- 1. All of the time
- 2. Most of the time
- 3. Some of the time
- 4. A little of the time

	33. Netused
q16d7_5	During the past 30 days, about how often Did you feel that everything was an effort?  1. All of the time 2. Most of the time 3. Some of the time 4. A little of the time 5. None of the time 97. No answer 98. Don't know 99. Refused
q16d7_6	During the past 30 days, about how often Did you feel worthless?  1. All of the time 2. Most of the time 3. Some of the time 4. A little of the time 5. None of the time 97. No answer 98. Don't know 99. Refused
q16f1	Which of these statements best describes the food eaten in your household?  1. Enough of the kinds of food we want to eat 2. Enough, but not always the kinds of food we
	want to eat 3. Sometimes not enough to eat 4. Often not enough to eat 97. No answer 98. Don't know 99. Refused

5. None of the time 97. No answer 98. Don't know 99. Refused q16f3 "The food we bought just didn't last and we didn't have money to get more." During the past 12 months would you say this was true... 1. Often 2. Sometimes 3. Never 97. No answer 98. Don't know 99. Refused q16f4 In the past 12 months... Did you not pay the full amount of rent or mortgage because there wasn't enough money? 1. Yes 2. No 3. Did not have rent/mortgage payments 97. No answer 98. Don't know 99. Refused In the past 12 months... Did you move in with q16f5 other people, even for a little while, because of financial problems? 1. Yes 2. No 97. No answer 98. Don't know 99. Refused q16f6 In the past 12 months, did you stay at a shelter, in an abandoned building, an automobile, or any other place not meant for regular housing, even for one night? 1. Yes 2. No 97. No answer 98. Don't know 99. Refused q16f6x How many nights have you spent in temporary housing or a group shelter, in an abandoned building, an automobile, or any other place not meant for regular housing, in the past 12 months? 1. Less than 10 days 2. 10 – 30 days

3. 30 – 100 days4. 100+ days

98. Don't know 99. Refused q16f7 In the past 12 months, did you not pay the full amount of your phone, gas, oil or electricity bill because there wasn't enough money? 1. Yes 2. No 3. Did not have to pay any of these bills 97. No answer 98. Don't know 99. Refused q16f8 In the past 12 months, was your phone, gas, or electricity service ever cut off because there wasn't enough money to pay the bills? 1. Yes 2. No 3. Did not have to pay any of these bills 4. Cable was cut 97. No answer 98. Don't know 99. Refused q16f9 In the past 12 months, was there a time when you or any member of your household needed to see a doctor, a dentist, or go to the hospital but couldn't go because of the cost? 1. Yes 2. No 97. No answer 98. Don't know 99. Refused q16f10 During the past 12 months, how often did you run out of money between paychecks or before the end of the month? Would you say that happened ... 1. Often 2. Sometimes 3. Never 97. No answer 98. Don't know 99. Refused

97. No answer

In the past 12 months, was there any time when you did NOT fill a prescription for medicine

q16f11

	1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16g1_1	What is your current employment status? Working Full-Time  1. Yes  2. No  97. No answer
	98. Don't know 99. Refused
q16g1_2	What is your current employment status? Working Part-Time  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16g1_3	What is your current employment status? Freelance or self-employed  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16g1_4	What is your current employment status? On leave or furlough from a job  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16g1_5	What is your current employment status? Temporarily laid off 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16g1_6	What is your current employment status?

because of the cost?

	1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16g1_7	What is your current employment status? Unable to work  1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16g1_8	What is your current employment status? Keeping house 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16g1_9	What is your current employment status? Going to school  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16g1_10	What is your current employment status? In a job training program  1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16g1_11	What is your current employment status? Retired 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16g1_12	What is your current employment status? Other

Looking for work

1. Yes 2. No 97. No answer 98. Don't know 99. Refused q16g1a Now please think about your main job. Including overtime, about how many hours per week do you usually work on your main job? 1. Entered number of hours 97. No answer 98. Don't know 99. Refused q16g1ax\_tc Now please think about your main job. Including overtime, about how many hours per week do you usually work on your main job? Top-coded at 90%. [Entered numeric response] 970. No answer Do you receive tips as part of your pay (for your q16g1b main job)? 1. Yes 2. No 97. No answer

# q16g1c Are you currently paid an hourly wage for your main job? (IF SELF-EMPLOYED OR FREELANCE: Do you charge a usual hourly rate?)

1. Yes

2. No

3. Self-employed/freelance with usual hourly rate

97. No answer

98. Don't know 99. Refused

98. Don't know

99. Refused

Including ALL jobs and any freelance work, do you usually work at least 35 hours a week or less than 35 hours a week?

1. 35 hours a week or more

2. Less than 35 hours a week

97. No answer

98. Don't know

99. Refused

q16g1g

29

q16g1h_2	With the recent increase in the minimum wage, some people are losing public benefits like SNAP or housing vouchers because they got a raise and earn too much money. Is this something that has happened to you?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16g1h_3	We have heard that some people choose to turn down a raise or reduce their hours so they can keep their benefits. Is this something that has happened to you?  1. Yes  2. No  97. No answer  98. Don't know  99. Refused
q16g4_1	What is your partner's or spouse's current employment status? Working Full-Time 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16g4_2	What is your partner's or spouse's current employment status? Working Part-Time 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16g4_3	What is your partner's or spouse's current employment status? Freelance or self-employed 1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16g4_4	What is your partner's or spouse's current employment status? On leave or furlough from a job

	1. 165
	2. No
	97. No answer
	98. Don't know
	99. Refused
q16g4_5	What is your partner's or spouse's current
	employment status? Temporarily laid off
	1. Yes
	2. No
	97. No answer
	98. Don't know
	99. Refused
q16g4_6	What is your partner's or spouse's current
	employment status? Looking for work
	1. Yes
	2. No
	97. No answer
	98. Don't know
	99. Refused
q16g4_7	What is your partner's or spouse's current
	employment status? Unable to work
	1. Yes
	2. No
	97. No answer
	98. Don't know
	99. Refused
q16g4_8	What is your partner's or spouse's current
	employment status? Keeping house
	1. Yes
	2. No
	97. No answer
	98. Don't know
	99. Refused
q16g4_9	What is your partner's or spouse's current
	employment status? Going to school
	1. Yes
	2. No
	97. No answer
	98. Don't know
	99. Refused
q16g4_10	What is your partner's or spouse's current
	employment status? In a job training program

1. Yes

1. Yes 2. No 97. No answer 98. Don't know 99. Refused What is your partner's or spouse's current q16g4\_11 employment status? Retired 1. Yes 2. No 97. No answer 98. Don't know 99. Refused q16g4\_12 What is your partner's or spouse's current employment status? Other 1. Yes 2. No 97. No answer 98. Don't know 99. Refused q16g4a Including overtime, about how many hours per week does your partner or spouse usually work on their main job? By main job we mean the job they spend the most time at. 1. Entered number of hours 97. No answer 98. Don't know 99. Refused Including overtime, about how many hours per q16g4ax\_tc week does your partner or spouse usually work on their main job? By main job we mean the job they spend the most time at. Top-coded at 90%. [Entered numeric response] 970. No answer q16g4b Does your partner or spouse receive tips as part of their pay (for their main job)? 1. Yes 2. No.

q16g4c

Is your partner or spouse currently paid an hourly wage for their main job? (IF SELF-

97. No answer 98. Don't know 99. Refused

### EMPLOYED OR FREELANCE: Does your partner or spouse charge a usual hourly rate?

- 1. Yes
- 2. No
- 3. Self-employed/ Freelance with usual hourly rate
- 97. No answer
- 98. Don't know
- 99. Refused

q16g4g

Including ALL jobs and any freelance work, does your partner or spouse usually work at least 35 hours a week or less than 35 hours a week?

- 1. 35 hours a week or more
- 2. Less than 35 hours a week
- 97. No answer
- 98. Don't know
- 99. Refused

q16h5\_6

In the past 12 months, did you or your spouse or partner receive ... WIC (Women, Infants and Children Nutrition Program)?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h5\_7

In the past 12 months, did you or your spouse or partner receive ... Public housing or government rental assistance such as Section 8?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h5\_8

In the past 12 months, did you or your spouse or partner receive ... Medicaid, S-CHIP (State Children's Health Insurance Program), Child or Family Health Plus, or another government program that pays for medical care?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h5_11	
q16h10	
q16h11	

In the past 12 months, did you or your spouse or partner receive ... Government assistance to help pay for childcare?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

Do you CURRENTLY have any kind of health insurance coverage, including private health insurance, prepaid plans such as H-M-Os, or government plans such as Medicare or Medicaid?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

What type of health insurance do you use to pay for your doctor or hospital bills? Is it insurance

through...

- 1. Your employer
- 2. Someone else's employer
- 3. A plan that you or someone else buys on your own
- 4. Medicare
- 5. Medicaid (inc. Medicaid Managed Care or Family Health Plus)
- 6. The military, CHAMPUS, TriCare, or the VA
- 7. COBRA
- 8. Some other source
- 97. No answer
- 98. Don't know
- 99. Refused

q16h12

As a result of "Obamacare" or the Affordable Care Act, did you get insurance through the New York State health plan marketplace, also called New York State of Health?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h13

In the past 12 months, was there any time when

you did NO1	have health	insurance	coverage?
-------------	-------------	-----------	-----------

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h14

In the past 12 months, was there any time when you DID have health insurance coverage?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h17\_1

Suppose that you have an emergency expense that costs \$400. Based on your current financial situation, how would you pay for this expense? Put it on my credit card and pay it off in full at the next statement

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h17\_2

Suppose that you have an emergency expense that costs \$400. Based on your current financial situation, how would you pay for this expense? Put it on my credit card and pay it off over time

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h17\_3

Suppose that you have an emergency expense that costs \$400. Based on your current financial situation, how would you pay for this expense? With the money currently in my

- checking/savings account or with cash
- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h17\_4

Suppose that you have an emergency expense

that costs \$400. Based on your current financial situation, how would you pay for this expense? Using money from a bank loan or line of credit

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h17\_5

Suppose that you have an emergency expense that costs \$400. Based on your current financial situation, how would you pay for this expense? By borrowing from a friend or family member

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h17\_6

Suppose that you have an emergency expense that costs \$400. Based on your current financial situation, how would you pay for this expense? Using a payday loan, deposit advance, or overdraft

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h17\_7

Suppose that you have an emergency expense that costs \$400. Based on your current financial situation, how would you pay for this expense? By selling something

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h17\_8

Suppose that you have an emergency expense that costs \$400. Based on your current financial situation, how would you pay for this expense? I wouldn't be able to pay for the expense right now

- 1. Yes
- 2. No
- 97. No answer

98. Don't know 99. Refused

q16h17\_9

Suppose that you have an emergency expense that costs \$400. Based on your current financial situation, how would you pay for this expense? Other

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h18

Next, we want to ask you about help you could get during this next year if you needed it. If you needed help during the next year, could you count on someone to loan you \$400?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16h19

If you needed help next year, could you count on someone to loan you \$1000?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16j1\_1

Below is a list of things that sometimes happen to people. Please select which of the following, if any, have happened to YOU in the past 3 months. Have you moved in the past 3 months?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16j1\_2

Below is a list of things that sometimes happen to people. Please select which of the following, if any, have happened to YOU in the past 3 months. Have you had child in the past 3 months?

- 1. Yes
- 2. No

97. No answer

98. Don't know

99. Refused

q16j1\_3

Below is a list of things that sometimes happen to people. Please select which of the following, if any, have happened to YOU in the past 3 months. Have someone moved into your household in the past 3 months?

1. Yes

2. No

97. No answer

98. Don't know

99. Refused

q16j1\_4

Below is a list of things that sometimes happen to people. Please select which of the following, if any, have happened to YOU in the past 3 months. Have someone moved out of your household in the past 3 months?

1. Yes

2. No

97. No answer

98. Don't know

99. Refused

q16j1\_5

Below is a list of things that sometimes happen to people. Please select which of the following, if any, have happened to YOU in the past 3 months. Have you started a romantic relationship in the past 3 months?

1. Yes

2. No

97. No answer

98. Don't know

99. Refused

q16j1\_6

Below is a list of things that sometimes happen to people. Please select which of the following, if any, have happened to YOU in the past 3 months. Have you ended a romantic relationship in the past 3 months?

1. Yes

2. No

97. No answer

98. Don't know

99. Refused

q16j1_7	Below is a list of things that sometimes happen to people. Please select which of the following, if any, have happened to YOU in the past 3 months. There was a change in your childcare arrangement in the past 3 months?  1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16j1_8	Below is a list of things that sometimes happen to people. Please select which of the following, if any, have happened to YOU in the past 3 months. Have you had to take time off work to talk to your child's teacher or guidance counselor in the past 3 months?  1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16j2_1	Please select which of the following, if any, have happened to YOU OR ANYONE in your household in the past 3 months. Has someone started a new job in the past 3 months?  1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16j2_2	Please select which of the following, if any, have happened to YOU OR ANYONE in your household in the past 3 months. Has someone lost a job in the past 3 months?  1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16j2_3	Please select which of the following, if any, have happened to YOU OR ANYONE in your household in the past 3 months. Has someone

months?

started to receive public benefits in the past 3

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16j2\_4

Please select which of the following, if any, have happened to YOU OR ANYONE in your household in the past 3 months. Have someone's public benefits been cut off in the past 3 months?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16j2\_5

Please select which of the following, if any, have happened to YOU OR ANYONE in your household in the past 3 months. Has someone had an unanticipated major expense in the past 3 months?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16j2\_6

Please select which of the following, if any, have happened to YOU OR ANYONE in your household in the past 3 months. Has someone had a major increase in income in the past 3 months?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know
- 99. Refused

q16j2\_7

Please select which of the following, if any, have happened to YOU OR ANYONE in your household in the past 3 months. Has someone had a major decrease in income in the past 3 months?

- 1. Yes
- 2. No
- 97. No answer
- 98. Don't know

## 99. Refused

q16j2_8	Please select which of the following, if any, have happened to YOU OR ANYONE in your household in the past 3 months. Has someone had an accident, injury or illness that interfered with work or life in the past 3 months?  1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16j2_9	Please select which of the following, if any, have happened to YOU OR ANYONE in your household in the past 3 months. Has someone been the victim of a crime in the past 3 months?  1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16j2_11	Please select which of the following, if any, have happened to YOU OR ANYONE in your household in the past 3 months. Has someone lost or broke expensive belongings in the past 3 months?  1. Yes 2. No 97. No answer 98. Don't know 99. Refused
q16sppart	Does respondent have a spouse or partner in the household?  1. Yes  2. No
q16childx_tc	Number of children under age 18 in family. Capped at 9.
q16adultx_tc	Number of adults age 18+ in family (including respondent). Capped at 9.
q16personx_tc	Total number of people in family (including respondent) - Calculated as childx + adultx. Capped at 9.

imp\_q16moswork During the past 12 months, in how many months did you work? Imputed During the past 12 months, in how many months imp\_q16smoswork did your spouse/partner work? Imputed In the past 12 months, did you not pay the full imp\_q16hous1 amount of rent or mortgage because there wasn't enough money? - Imputed 1. Yes 2. No imp\_q16hous2 In the past 12 months, did you move in with other people even for a little while because of financial problems? - Imputed 1. Yes 2. No imp\_q16hous3 In the past 12 months did you stay at a shelter, in an abandoned building, an automobile, or any other place not meant for regular housing, even for one night? - Imputed 1. Yes 2. No In the past 12 months, did you not pay the full imp\_q16bill1 amount of your phone, gas, oil or electricity bill because there wasn't enough money? - Imputed 1. Yes 2. No imp\_q16bill2 In the past 12 months, was your phone, gas or electricity service ever cut off because there wasn't enough money to pay the bills? -**Imputed** 1. Yes 2. No imp\_q16medic1 In the past 12 months, was there a time when you [or anyone else in your household] needed to see a doctor, a dentist or go to the hospital but couldn't go because of the cost? - Imputed

imp\_q16financ1

Yes
 No

In the past 12 months, how often did you run out of money between paychecks or before the

# end of the month? Would you say that happened...? – Imputed

- 1. Often
- 2. Sometimes
- 3. Never

## imp\_q16food1

# Which of these statements best describes the food eaten in your household? – Imputed

- 1. Enough of the kinds of food we want to eat
- 2. Enough, but not always the kinds of food we want to eat
- 3. Sometimes not enough to eat
- 4. Often not enough to eat

#### imp\_q16food2

# In the past 12 months, how often did you worry food would run out between checks? – Imputed

- 1. Often
- 2. Sometimes
- 3. Never

#### imp\_q16food3

# In the past 12 months, how often did you run out of food because you couldn't afford more? — Imputed

- impate
- 1. Often
- 2. Sometimes
- 3. Never

#### imp\_q16snapyes

How much did you and your spouse/partner receive in food stamps or SNAP? Past 12 mo. – Imputed

- 1. Yes
- 2. No

#### imp\_q16retyes

## Did you and your spouse/partner receive retirement income? Past 12 mo. – Imputed

- 1. Yes
- 2. No

## imp\_q16disyes

# Did you and your spouse/partner receive disability income? Past 12 mo. – Imputed

- 1. Yes
- 2. No

#### imp\_q16welfyes

Did you and your spouse/partner receive public assistance/welfare income? Past 12 mo. – Imputed

- 1. Yes
- 2. No

imp\_q16uiyes Did you and your spouse/partner receive unemployment or worker's comp? Past 12 mo. - Imputed 1. Yes 2. No Did you and your spouse/partner receive imp\_q16regyes outside financial assistance? Past 12 mo. -Imputed 1. Yes 2. No imp\_q16othyes Did you and your spouse/partner receive any other income/benefits? Past 12 mo. - Imputed 1. Yes 2. No imp\_q16moop\_tc Imputed medical out of pocket expenses. Topcoded at 90%. Imputed child care and work expenses. Topimp\_q16chwoop\_tc coded at 90%. imp\_q16earnhd\_tc Imputed head of household earnings. Top-coded Imputed spouse/partner earnings. Top-coded at imp\_q16earnsp\_tc 90%. imp\_q16incothhh\_adult\_tc Imputed income from other household members age 18 or older. Top-coded at 90%. imp\_q16incothhh\_child\_tc Imputed income from other household members younger than age 18. Top-coded at 90%. imp\_q16incothhh\_tc Imputed income from other household members. Top-coded at 90%. [NOTE: This aggregate imputed income variable was constructed by summing imp\_pincothhh\_adult and imp\_pincothhh\_child. Some earlier Poverty Tracker surveys included only this variable, asking respondents about income from all household relatives regardless of age.1

imp q16incsnap tc

Imputed income from food stamps or SNAP

benefits. Top-coded at 90%.

imp\_q16incret\_tc Imputed retirement income, including Social

Security or survivor's benefits. Top-coded at

90%.

90%.

imp\_q16incwelf\_tc Imputed income from welfare benefits. Top-

coded at 90%.

imp\_q16incui\_tc Imputed income from unemployment benefits

and/or worker's compensation. Top-coded at

90%.

imp\_q16increg\_tc Imputed regular financial assistance from

someone outside the household. Top-coded at

90%.

imp\_q16incoth\_tc Imputed income from other sources not listed.

Top-coded at 90%.

q16opmres OPM Resources - Calculated by taking

summation of imp\_pearnd, imp\_pearnsp, imp\_pincret, imp\_pincdis, imp\_pincwelf, imp\_pincwelf, imp\_pincreg,

imp\_pincoth, imp\_pincothhh

q16opmthresh OPM Threshold - Calculated using U.S. Census

Bureau guidelines.

q16opm incneeds OPM Income to Needs Ratio - Calculated by

dividing opmres by opmthresh

q16opmpov Is respondent below official poverty line?

1. Yes

2. No

q16fiitax\_tc Federal Income Tax Calculated using NBER

TAXSIM - <a href="http://users.nber.org/">http://users.nber.org/"taxsim/</a>. Top-

coded at 90%.

q16siitax\_tc State Income Tax Calculated using NBER TAXSIM

- <a href="http://users.nber.org/~taxsim/">http://users.nber.org/~taxsim/</a>. Top-coded at

90%.

q16fica\_tc FICA (Federal Insurance Contributions Act) Tax

Calculated using NBER TAXSIM – <a href="http://users.nber.org/~taxsim/">http://users.nber.org/~taxsim/</a>. Top-coded at 90%.

q16subsidyvalue\_tc

Housing Subsidy - Calculated by subtracting annual rent from subsidy cap (according to the Supplemental Poverty Measure) for those receiving rent control, in government housing, or renters who pay no rent. Top-coded at 90%.

q16frsl

Calculated by multiplying the average value of full and reduced school lunch values by the number of school-aged children in the household by the number of school days in the year. The number of school days in the year is the same as is assumed by the U.S. Census Bureau (Short Personal Communication 2013).

q16wicval

WIC Value - Calculated by multiplying the number of children under 6 by the estimated average WIC value. (for those who said they receive WIC)

q16spmres

SPM Resources - Calculated by taking summation of imp\_pearnhd, imp\_pearnsp, imp\_pincret, imp\_pincdis, imp\_pincwelf, imp\_pincui, imp\_pincsnap, imp\_pincreg, imp\_pincoth, imp\_pincothhh, subsidy, frsl, wicval, and subtracting imp\_pmoop, imp\_pchwoop, federal taxes, state taxes, and payroll taxes (calculated using taxsim)

q16spmthresh

SPM Threshold - Calculated using U.S. Census Bureau guidelines.

q16spm\_incneeds

SPM Income to Needs Ratio - Calculated by dividing spmres by spmthresh

q16spmpov

Is respondent below supplemental poverty line?

1. Yes

2. No

imp\_q16foodindmod

Moderate food hardship – (imp\_pfood1=3 or imp\_pfood2=2 or imp\_pfood3=2) and (imp\_pfood1 ≠ 4 & imp\_pfood2 ≠ 1 and imp\_pfood3 ≠ 1)

1. Yes

2. No

imp\_q16houseindmod Moderate housing hardship – (imp\_phous1 =1) and (imp phous2≠1 and imp phous3≠1) 1. Yes 2. No imp\_q16billindmod Moderate billing hardship - (imp\_pbill1=1 and imp\_pbill2≠1) 1. Yes 2. No imp q16financindmod Moderate financial hardship – (imp pfinanc1=2) 1. Yes 2. No imp\_q16foodindsev Severe food hardship - (imp\_pfood1=4 or imp\_pfood2=1 or imp\_pfood3=1) 1. Yes 2. No imp\_q16houseindsev Severe housing hardship – (imp phous2=1 or imp\_phous3=1 or a1=7 or a1=8) 1. Yes 2. No imp\_q16billindsev Severe billing hardship – (imp\_pbill2=1) 1. Yes 2. No Severe financial hardship - (imp\_pfinanc1=1) imp\_q16financindsev 1. Yes 2. No q16sevhard Severe material hardship – (imp\_billindsev=1 or imp\_financindsev=1 or imp\_foodindsev=1 or imp\_houseindsev=1 or imp\_medichard=1) 1. Yes 2. No Severe health problem - (imp\_health=5 or q16sevhealthd imp\_healthlim=1) 1. Yes 2. No Medical hardship (imp\_pmedic1=1) imp\_q16medichard 1. Yes 2. No

q16weight\_p Person level longitudinal weight q16weight\_pu Family level longitudinal weight

## Appendix A

#### Overview

This memo details our approach to survey weighting, by which we ensure that the Robin Hood Poverty Tracker sample is representative of New Yorkers age 18 and over. The primary Poverty Tracker is selected from an option survey at the conclusion of the Community Healthy Survey. The CHS is a Random Digit Dial (RDD) phone sample conducted by the survey research organization SRBI. The first panel generated a sample of approximately 3,403 RDD sample members. We included both landline and cell phone numbers in our sample frame. In addition, a second pool of 505 participants were recruited from agencies that provide assistance to low-income individuals. The purpose of this second pool was to oversample those using social service agencies in New York City. Oversample specific populations characteristics (social service agencies in this case) is a statistically and efficient way to increase the sample sizes of populations of interest in surveys.

Survey weights are then used to adjust statistical parameters (estimates) so that inferences made from the data apply to the overall population from which the sample was drawn (in this case, NYC). Data are weighted to a three-year American Community Survey (ACS) dataset provided by the United States Census Bureau.

The weighting approach that we employ, which adjusts for oversampling and for random over- or underrepresentation, for non-response, and for attrition, is used in all nationally and locally representative studies.

In the remainder of this memo, we provide more technical details on the construction of Poverty Tracker survey weights. The target population is adults (18+) who are New York City residents.

#### Structure of baseline sample

#### **SRBI**

The SRBI phone sample consists of 3,403 New York City residents contacted by random digit dialing (RDD). Of the 3,403 respondents, 1,774 were contacted by cellphone and 1,629 by landline.

#### **Agency**

The face-to-face sample includes 505 respondents from 26 agencies.

SRBI cell phone 1,774

**SRBI landline** 1,629

Agency 505

**Total 3,908** 

**Subsequent waves** 

For subsequent survey waves, we adjust for panel attrition using the stratification response propensity score method. Non-coverage is addressed by post-stratification. For each survey wave we compute individual weights for each respondent as well as family (poverty unit) weights.

#### **Poverty units**

The definition of the poverty unit differs from the traditional family in that unmarried partners are included as family members. Poverty unit weights are obtained from the personal weights by dividing by the number of adults in the poverty unit.

#### Post-stratification

The data used for post-stratification comes from 2014-2016 American Community Survey (ACS) NYC sample. We approximate the population distributions of the post-stratification variables using weighted ACS numbers.<sup>1</sup>

For agency sample, one variable, social service use, is not collected by the ACS. While, it is important to post-stratify on this variable because the agency sample oversamples individuals who use these services. We use the SRBI sample to estimate social service use in the population, which we used to post-stratify to.

## Baseline weights

Constructing the baseline weights consists of three steps:

- 1. Weight the SRBI phone sample to match the NYC adult population (see the SRBI weights section).
- 2. Use the weighted SRBI sample to estimate the population distribution of social service use (see the Agency weights section).
- 3. Combine the SRBI and agency samples and weight to match social service use and demographics (see the Combined weights section).

#### **SRBI** weights

Using the SRBI phone sample only, we adjust for selection bias and nonresponse to match the ACS data:

1. Adjustment for the number of adults in the household and family. The larger household, the smaller the selection probability is for each individual. However, the larger the family, the larger the response probability from the family. We therefore need to weight up larger households while weighting down larger families. Gelman and Little (1988) recommend square roots for this weighting adjustment because inverse probability weights for household sizes tend to overcorrect in telephone surveys. For each respondent we use the square root of the ratio of the number of adults in the household to the number of adults in the family.

<sup>&</sup>lt;sup>1</sup> That is, we use the weights provided with the ACS and obtain a weighted frequency for each post-stratification variable.

2. Adjustment for phone availability. Respondents with multiple phones in the household are more likely to be selected into the sample, while those who experience interrupted phone service are less likely to be selected. In this stage of the weighting process, we assign respondents in these two categories weights of ½ and 2, respectively.

Because the landline and cellular RDD frames overlap there are cases of dual-service, that is, respondents from the landline sample who also have a cell phone in the household or respondents from the cell phone sample who also have landline service. We use frame integration weights (Lohr, 2009) to combine the landline and cellular components of the sample, with the dual-service respondents from the two frames integrated in proportion to their effective sample sizes. We can make this adjustment by assuming that the dual-service households from each of the two groups are random samples from the population of dual-service households.

To compute the effective sample sizes, we first calculate a design effects for both landline and cellular groups. For the cellular sample we take the weights for the respondents who also have landline and compute the coefficient of variation  $cv_C$ . For the landline sample the calculation of  $cv_L$  is analogous. We take the design effects to be  $1+cv_C^2$  and  $1+cv_L^2$ , respectively. The effective sample sizes (ESS) for the dual-service cases are then computed as the raw sample sizes divided by the design effects.

Finally, the frame integration weights for the dual-service cell phone cases (cell phone respondents who have a landline) are  $\mathrm{fiw}_{\mathrm{C}} = \frac{ESS_C}{ESS_C + ESS_L}$ , which is the ratio of the effective number of dual-service cases among the cell phone respondents to the total effective number of dual-service cases in the landline and cell phone respondents combined. For the dual-service landline respondents, the frame integration weights are computed analogous as  $\mathrm{fiw}_{\mathrm{L}} = \frac{ESS_L}{ESS_C + ESS_L}$ . Single-service cases (in this case landline-only or cellphone-only) are given a frame integration weight of 1.

3. Adjustment for deviation on SES information from corresponding ACS-NYC 2014-2016 weighted totals. Before making this adjustment, we obtain individual weights by multiplying the household weights obtained in the previous step by the number of adults in the household.<sup>2</sup> We then match the marginal distributions of post-stratification factors via raking procedure. Although the joint distribution by cross tabulation is available, we use raking under an independence assumption to control the variability due to small post-stratification cell sizes. The information used for post-stratification includes gender, age, education, immigration status, tenure (own or rent home), race, the number of children in the household, the number of seniors in the household, the number of working aged adults in the household, a poverty gap measure for the household<sup>3</sup>, and interactions between many of the demographics and the

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<sup>&</sup>lt;sup>2</sup> For this calculation, the number of adults in the household is capped at 4 due to spareness at larger values.

<sup>&</sup>lt;sup>3</sup> From the World Bank: Poverty gap is the mean shortfall from the poverty line (counting the non-poor as having zero shortfall), expressed as a percentage of the poverty line. This measure reflects the depth of poverty as well as its incidence.

poverty measure to account for dependencies between these factors. After the raking procedure, we trim the resulting weights at the 97.5% percentile.

Because the weights adjust for the unequal selection, under-coverage and nonresponse, there is no simple formula for estimating the variance. We use the bootstrapping method implemented in the R *survey* package to obtain 50 sets of replicate weights for each set of sampling weights, from which we can obtain variance estimates.

#### Agency weights

For the Agency sample, we adjust weights by the (self-reported) frequency of agency services usage. To avoid over-representation, the more frequently an individual uses a service, the smaller the assigned weight.

#### **Combined weights**

The SRBI and Agency samples are then combined.<sup>4</sup> Again we correct for differences due to oversampling from poor households by post-stratifying the household weights to the ACS household information. This is essentially the same adjustment that is made in the SRBI weights (see Step 3 in the SRBI weights section) but here we perform the adjustment on the combined SRBI and Agency samples. We then multiply the household weights by the number of adults in the household to obtain the person (individual) weights. Similarly, to Step 4 in the SRBI weights section, using these person weights we then post-stratify to adjust for deviations of the two samples from the corresponding ACS-NYC 2014 weighted totals. We also again adjust for frequency of social service use by including it as a post-stratification variable.<sup>5</sup>

## Subsequent wave weights

Subsequent waves in the Poverty Tracker study have longitudinal weights calculated. These weights use the baseline weights as a basis but make two adjustments. The first adjustment corrects for nonresponse between the baseline and the wave of interest. The second adjustment is a raking adjustment back to the baseline population demographics.

The method for the first adjustment is inverse propensity scoring. This method uses a logistic regression to predict nonresponse given baseline characteristics, including race, education, immigration status, source – how many respondents were originally contacted, use of service frequency, the number of working adults in the household, the number of seniors in the household, spouse or partner in the

household, material hardship, severe health disadvantage, OPM income to needs ratio, OPM poverty status, receipt of government housing, and mental health status. From this model, the probability of

<sup>&</sup>lt;sup>4</sup> The weights for each sample are also separately normalized to each have a mean of 1.

<sup>&</sup>lt;sup>5</sup> There will be unbalanced coverage of agency service visitors because frequent service users will be over-represented in the Agency sample. For the purpose of representing the general population of NYC adults, it is necessary to down-weight individuals in the sample who frequently use social service agencies. In order to post-stratify on frequency of service use, we need a measure of the distribution of social service use in the population. Unfortunately, we do not have any gold standard for the distribution

responding was predicted for each respondent, which we then broken into 20 quantiles to reduce noise. For each quantile, the inverse of the probability of responding is calculated, which we then use to adjust the baseline weights for nonresponse. After that, we do the second adjustment, raking procedure. We then trimmed and created replicate weights with the same technique as described for the baseline.

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