

**CERTIFICATION OF MICRO ENTITY STATUS
(GROSS INCOME BASIS)**

Application Number: To be assigned

Filing Date: January 22, 2025

First Named Inventor: Brian James Rutherford

Title: ADAPTIVE ARTIFICIAL INTELLIGENCE SYSTEM FOR DYNAMIC
CRYPTOGRAPHIC PROTOCOL ORCHESTRATION IN MULTI-PARTY FINANCIAL
TRANSACTIONS

Docket Number: RUTHERFORD-020-PROV

I hereby certify that:

- ☐ (1) I qualify as a small entity as defined in 37 CFR 1.27, and
- ☐ (2) Neither I nor any party holding rights in this application has been named on more than four previously filed U.S. patent applications (excluding provisional applications and international applications under the PCT for which the basic national fee under 37 CFR 1.492(a) was not paid), and
- ☐ (3) Neither I nor any party holding rights in this application had a gross income (as defined by section 61(a) of the Internal Revenue Code of 1986) exceeding the "Maximum Qualifying Gross Income" reported on the USPTO website for the calendar year preceding the calendar year in which the examination fee is paid. The current "Maximum Qualifying Gross Income" is \$223,740, and
- ☐ (4) Neither I nor any party holding rights in this application has assigned, granted, or conveyed (and is not under obligation to assign, grant, or convey) a license or other ownership interest in the application to an entity that had a gross income exceeding the "Maximum Qualifying Gross Income" for the calendar year preceding the calendar year in which the applicable fee is paid.

I understand that:

- The micro entity discount is available only for certain fees as set forth in 37 CFR 1.29.

- I must notify the USPTO of any change in my status as a micro entity before paying any fee at other than the micro entity amount.
- False statements on this certification may jeopardize the validity of the application or any patent issuing thereon, and may result in criminal penalties under 18 U.S.C. 1001.

WARNING:

Any false statement made in this certification is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. Additionally, any false statement may jeopardize the validity of the application or document, or the validity or enforceability of any patent, trademark, or service mark registration issuing or resulting therefrom.

Signature:

Date:

Brian James Rutherford

January 22, 2025

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