

CERTIFICATION OF MICRO ENTITY STATUS (GROSS INCOME BASIS)

Form PTO/SB/15A

Application Number: To Be Assigned

Filing Date: _____

First Named Inventor: Brian James Rutherford

Title: Quantum Side-Channel Defense System for Post-Quantum Cryptographic Implementation
Protection within MWRASP Total Defensive Cybersecurity Platform

Docket Number: RUTHERFORD-015-PROV

CERTIFICATION OF MICRO ENTITY STATUS

I hereby certify that:

(1) SMALL ENTITY QUALIFICATION

☒ The applicant qualifies as a small entity as defined in 37 CFR 1.27, and

(2) APPLICATION FILING LIMIT

☒ Neither the applicant nor the inventor nor a joint inventor has been named as the inventor or a joint inventor on more than four previously filed U.S. patent applications, excluding:

- Provisional applications under 35 U.S.C. 111(b)
- International applications under the PCT for which the basic national fee under 35 U.S.C. 41(a) was not paid
- Applications resulting from prior employment where the applicant was obligated to assign rights to a previous employer

(3) GROSS INCOME LIMIT

☒ Neither the applicant nor the inventor nor a joint inventor had a gross income (as defined by the IRS) exceeding the "Maximum Qualifying Gross Income" reported on the USPTO website (currently \$223,740 for 2024) in the calendar year preceding the calendar year in which this certification is filed

(4) ASSIGNMENT LIMITATION

☒ Neither the applicant nor the inventor nor a joint inventor has assigned, granted, or conveyed (nor is obligated to assign, grant, or convey) a license or other ownership interest in the application to an entity

that, in the calendar year preceding the calendar year in which this certification is filed, had a gross income exceeding the "Maximum Qualifying Gross Income" threshold

APPLICANT INFORMATION

Name: Brian James Rutherford

Status: ☒ Inventor ☐ Assignee

SIGNATURE AND ACKNOWLEDGMENT

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in the loss of entitlement to micro entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the occurrence of the event that caused the loss of entitlement to micro entity status (37 CFR 1.29(i)).

I certify that the information provided in this certification is accurate and that I am authorized to make this certification on behalf of the applicant.

False statements are punishable by fine or imprisonment, or both (18 U.S.C. 1001).

Signature: /Brian James Rutherford/

Name: Brian James Rutherford

Date: _____

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Note: This certification establishes micro entity status which provides a 75% reduction in most USPTO patent fees. The applicant must notify the USPTO of any change in status that would result in loss of micro entity status.