### The Constrained-Monad Problem

### Neil Sculthorpe

(joint work with Jan Bracker, George Giorgidze and Andy Gill)

Functional Programming Group
Information and Telecommunication Technology Center
University of Kansas
neil@ittc.ku.edu

Portland, Oregon 21st & 25th June 2013

# Monads in Haskell

Monads

{-# LANGUAGE KindSignatures #-}

### The Monad Type Class

```
class Monad (m :: * \rightarrow *) where
return :: a \rightarrow m a
(\gg) :: m a \rightarrow (a \rightarrow m b) \rightarrow m b
```

#### The Monad Laws

- return a ≫ g ≡ g a
- m > return ≡ m
- $(m \gg g) \gg h \equiv m \gg (\lambda x \rightarrow g x \gg h)$

(left-identity law)

(right-identity law)

(associativity law)

#### import Data.Set

Monads

# Selected functions from the Data. Set library

singleton ::  $a \rightarrow Set a$ toList :: Set  $a \rightarrow [a]$ 

from List :: Ord  $a \Rightarrow [a] \rightarrow Set a$ 

unions :: Ord  $a \Rightarrow [Set a] \rightarrow Set a$ 

### Sets in Haskell

### import Data.Set

Monads

### Selected functions from the Data.Set library

```
singleton :: a \rightarrow Set \ a

toList :: Set a \rightarrow [a]

fromList :: Ord a \Rightarrow [a] \rightarrow Set \ a

unions :: Ord a \Rightarrow [Set \ a] \rightarrow Set \ a
```

#### Monadic Set Operations

```
returnSet :: a \rightarrow Set \ a

returnSet = singleton

bindSet :: Ord b \Rightarrow Set \ a \rightarrow (a \rightarrow Set \ b) \rightarrow Set \ b

bindSet s k = unions (map k (toList s))
```

Monads

#### import Data.Set

### Selected functions from the Data. Set library

```
singleton :: a \rightarrow Set a
toList :: Set a \rightarrow [a]
from List :: Ord a \Rightarrow [a] \rightarrow Set a
unions :: Ord a \Rightarrow [Set a] \rightarrow Set a
```

#### Monadic Set Operations

```
returnSet :: a \rightarrow Set a
returnSet = singleton
bindSet :: Ord b \Rightarrow Set a \rightarrow (a \rightarrow Set b) \rightarrow Set b
bindSet s k = unions (map k (toList s))
instance Monad Set where
  return = returnSet
  (\gg) = bindSet -- does not type check
```

### **Vectors**

Monads

### A Vector Representation

 $\textbf{type} \; \mathsf{Vec} \; (\mathsf{a} :: *) = (\mathsf{a} \to \mathsf{Double})$ 

### Vectors

#### A Vector Representation

type Vec (a :: \*) = (a  $\rightarrow$  Double)

#### Monadic Vector Operations

class Finite (a :: \*) where enumerate :: [a]

returnVec :: Eq  $a \Rightarrow a \rightarrow Vec a$ 

return Vec  $a = \lambda b \rightarrow if a == b then 1 else 0$ 

bindVec :: Finite  $a \Rightarrow \text{Vec } a \rightarrow \text{(a} \rightarrow \text{Vec b)} \rightarrow \text{Vec b}$ 

bindVec v k =  $\lambda$  b  $\rightarrow$  sum [v a  $\times$  (k a) b | a  $\leftarrow$  enumerate]

### **Vectors**

Monads

#### A Vector Representation

```
type Vec (a :: *) = (a \rightarrow Double)
```

#### Monadic Vector Operations

```
class Finite (a :: *) where enumerate :: [a]  \text{returnVec} :: \text{Eq a} \Rightarrow \text{a} \rightarrow \text{Vec a} \\ \text{returnVec a} = \lambda \text{ b} \rightarrow \text{if a} == \text{b then 1 else 0} \\ \text{bindVec :: Finite a} \Rightarrow \text{Vec a} \rightarrow (\text{a} \rightarrow \text{Vec b}) \rightarrow \text{Vec b} \\ \text{bindVec v k} = \lambda \text{ b} \rightarrow \text{sum [v a} \times (\text{k a}) \text{ b} \mid \text{a} \leftarrow \text{enumerate]} \\ \text{instance Monad Vector where} \\ \text{return} = \text{returnVec} \qquad \text{-- does not type check} \\ (\gg) = \text{bindVec} \qquad \text{-- does not type check}
```

```
{-# LANGUAGE GADTs #-}
```

# **Embedding Monadic Operations**

```
data EDSL :: * \rightarrow * where

IfThenElse :: EDSL Bool \rightarrow EDSL a \rightarrow EDSL a \rightarrow EDSL a

Return :: a \rightarrow EDSL a

Bind :: EDSL \times \times (\times \times EDSL a) \rightarrow EDSL a
```

# Embedded Domain Specific Languages

```
{-# LANGUAGE GADTs #-}
```

# Embedding Monadic Operations

```
data EDSL :: * \rightarrow * where

IfThenElse :: EDSL Bool \rightarrow EDSL a \rightarrow EDSL a

Return :: a \rightarrow EDSL a

Bind :: EDSL \times \rightarrow (\times \rightarrow \text{EDSL a}) \rightarrow EDSL a

...

instance Monad EDSL where

return = Return

(\gg) = Bind
```

# Embedded Domain Specific Languages

```
{-# LANGUAGE GADTs #-}
```

# **Embedding Monadic Operations**

```
data EDSL :: * \rightarrow * where
   If Then Else :: EDSL Bool \rightarrow EDSL a \rightarrow EDSL a \rightarrow EDSL a
                                                               \rightarrow EDSL a
   Return
   Bind :: EDSL x \rightarrow (x \rightarrow EDSL a)
                                                               \rightarrow EDSL a
instance Monad EDSL where
  return = Return
```

 $(\gg)$  = Bind

compile :: Reifiable  $a \Rightarrow EDSL \ a \rightarrow Code$ 

# Embedded Domain Specific Languages

```
{-# LANGUAGE GADTs #-}
```

Monads

# **Embedding Monadic Operations**

```
data EDSL :: * \rightarrow * where
   If Then Flse :: EDSL Bool \rightarrow EDSL a \rightarrow EDSL a
                                                                    \rightarrow EDSL a
                                                                     \rightarrow EDSL a
   Return
   Bind :: Reifiable x \Rightarrow EDSL x \rightarrow (x \rightarrow EDSL a) \rightarrow EDSL a
instance Monad EDSL where
  return = Return
  (\gg) = Bind -- does not type check
compile :: Reifiable a \Rightarrow EDSL \ a \rightarrow Code
```

# Why is this a Problem?

Monads

- A Monad instance is useful because the Haskell language and libraries provide a significant amount of infrastructure to support arbitrary monads.
- The problem generalises from monads to any type class with polymorphic class methods.
- This talk will mostly be about monads, but will conclude with some other examples.
- Our solution generalises to some, but not all, type classes.
- Future work:
  - characterising the type classes for which it works;
  - extending/adapting the solution to other type classes.



### Constraint Kinds

Monads

```
{-# LANGUAGE ConstraintKinds #-}
```

import GHC.Exts (Constraint)

#### Constraint Kinds in GHC

The kind of a fully applied type class is the literal kind Constraint. For example:

```
Ord :: * \rightarrow Constraint
```

Monad ::  $(* \rightarrow *) \rightarrow Constraint$ 

# A Partial Solution: Restricted Type Classes

{-# LANGUAGE MultiParamTypeClasses, InstanceSigs #-}

#### Restricted Monad Class

```
class RMonad (c :: * \rightarrow Constraint) (m :: * \rightarrow *) where return :: c a \Rightarrow a \rightarrow m a (\Rightarrow=) :: (c a, c b) \Rightarrow m a \rightarrow (a \rightarrow m b) \rightarrow m b
```

# A Partial Solution: Restricted Type Classes

 $\{-\# LANGUAGE MultiParamTypeClasses, InstanceSigs #-\}$ 

#### Restricted Monad Class

Monads

```
class RMonad (c :: * \rightarrow Constraint) (m :: * \rightarrow *) where return :: c a \Rightarrow a \rightarrow m a (\gg) :: (c a, c b) \Rightarrow m a \rightarrow (a \rightarrow m b) \rightarrow m b
```

#### Example: Set and Ord

instance RMonad Ord Set where

```
return :: Ord a \Rightarrow a \rightarrow Set a
return = returnSet
```

$$(\gg) :: (\mathsf{Ord}\ \mathsf{a},\,\mathsf{Ord}\ \mathsf{b}) \Rightarrow \mathsf{Set}\ \mathsf{a} \to (\mathsf{a} \to \mathsf{Set}\ \mathsf{b}) \to \mathsf{Set}\ \mathsf{b}$$

 $(\gg)$  = bindSet

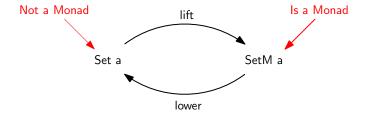


Monads

 An alternative is to embed the type in another data type that does form a monad.

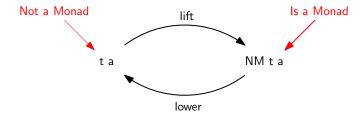
Monads

 An alternative is to embed the type in another data type that does form a monad.

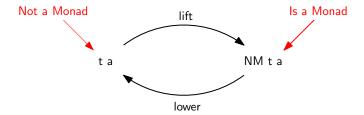


Monads

 An alternative is to embed the type in another data type that does form a monad.



 An alternative is to embed the type in another data type that does form a monad.

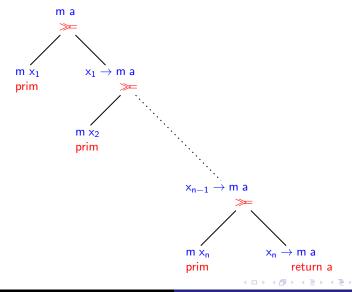


• The key ideas are:

- NM represents a monadic computation in a normal form;
- the lift and lower functions enforce the constraint.



# A Normal Form for Monadic Computations



```
{-# LANGUAGE GADTs #-}
```

#### Normalised Monads as a GADT

```
data NM :: (* \rightarrow *) \rightarrow * \rightarrow * where

Return :: a \rightarrow NM t a

Bind :: t x \rightarrow (x \rightarrow NM t a) \rightarrow NM t a
```

```
{-# LANGUAGE GADTs #-}
```

#### Constrained Normalised Monads as a GADT

```
data NM :: (* \rightarrow Constraint) \rightarrow (* \rightarrow *) \rightarrow * \rightarrow * where
                                                                    \rightarrow NM c t a
    Return :: a
    Bind :: c x \Rightarrow t x \rightarrow (x \rightarrow NM c t a) \rightarrow NM c t a
```

```
{-# LANGUAGE GADTs #-}
```

#### Constrained Normalised Monads as a GADT

```
data NM :: (* \rightarrow Constraint) \rightarrow (* \rightarrow *) \rightarrow * \rightarrow * where
    Return :: a
                                                                    \rightarrow NM c t a
    Bind :: c x \Rightarrow t x \rightarrow (x \rightarrow NM c t a) \rightarrow NM c t a
```

### Constrained Normalised Monads are (standard) Monads!

```
instance Monad (NM c t) where
  return :: a \rightarrow NM c t a
  return = Return
  (\gg) :: NM cta \rightarrow (a \rightarrow NM ctb) \rightarrow NM ctb
  (Return a) \gg k = k a
                                                             -- left-identity law
  (Bind tx h) \gg k = Bind tx (\lambda x \rightarrow h x \gg k)
                                                             -- associativity law
```

```
{-# LANGUAGE GADTs #-}
```

Monads

#### Constrained Normalised Monads as a GADT

```
data NM :: (* \rightarrow Constraint) \rightarrow (* \rightarrow *) \rightarrow * \rightarrow * where
    Return :: a
                                                                       \rightarrow NM c t a
    Bind :: c \times \Rightarrow t \times \rightarrow (x \rightarrow NM c t a) \rightarrow NM c t a
```

#### Lifting Primitive Operations

```
lift :: c a \Rightarrow t a \rightarrow NM c t a
lift ta = Bind ta Return -- right-identity law
```

{-# LANGUAGE GADTs, RankNTypes, ScopedTypeVariables #-}

#### Constrained Normalised Monads as a GADT

```
data NM :: (* \rightarrow \mathsf{Constraint}) \rightarrow (* \rightarrow *) \rightarrow * \rightarrow * \mathsf{where}
Return :: a \rightarrow \mathsf{NM} \mathsf{cta}
Bind :: \mathsf{cx} \Rightarrow \mathsf{tx} \rightarrow (\mathsf{x} \rightarrow \mathsf{NM} \mathsf{cta}) \rightarrow \mathsf{NM} \mathsf{cta}
```

### Lowering Monadic Computations

Monads

```
lower :: \forall a c t. (a \rightarrow t \ a) \rightarrow (\forall \ x. \ c \ x \Rightarrow t \ x \rightarrow (x \rightarrow t \ a) \rightarrow t \ a) \rightarrow NM \ c t \ a \rightarrow t \ a lower ret bind = lower'

where
lower' :: NM c t a \rightarrow t a
lower' (Return a) = ret a
lower' (Bind tx k) = bind tx (lower' \circ k)
```

{-# LANGUAGE GADTs, RankNTypes, ScopedTypeVariables #-}

#### Constrained Normalised Monads as a GADT

```
data NM :: (* \rightarrow \mathsf{Constraint}) \rightarrow (* \rightarrow *) \rightarrow * \rightarrow * \mathsf{where}
Return :: a \rightarrow \mathsf{NM} \mathsf{cta}
Bind :: \mathsf{cx} \Rightarrow \mathsf{tx} \rightarrow (\mathsf{x} \rightarrow \mathsf{NM} \mathsf{cta}) \rightarrow \mathsf{NM} \mathsf{cta}
```

### Example: Set and Ord

**type** SetM = NM Ord Set a

liftSet :: Ord  $a \Rightarrow Set a \rightarrow SetM a$ 

liftSet = lift

Monads

 $lowerSet :: Ord a \Rightarrow SetM a \rightarrow Set a$ 

lowerSet = lower returnSet bindSet

{-# LANGUAGE GADTs, RankNTypes, ScopedTypeVariables #-}

#### Constrained Normalised Monads as a GADT

```
data NM :: (* \rightarrow \mathsf{Constraint}) \rightarrow (* \rightarrow *) \rightarrow * \rightarrow * \mathsf{where}
Return :: a \rightarrow \mathsf{NM} \mathsf{cta}
Bind :: \mathsf{cx} \Rightarrow \mathsf{tx} \rightarrow (\mathsf{x} \rightarrow \mathsf{NM} \mathsf{cta}) \rightarrow \mathsf{NM} \mathsf{cta}
```

### Folding Monadic Computations

Monads

```
fold :: \forall a c r t. (a \rightarrow r) \rightarrow (\forall x. c x \Rightarrow t x \rightarrow (x \rightarrow r) \rightarrow r) \rightarrow NM c t a \rightarrow r fold ret bind = fold'

where

fold' :: NM c t a \rightarrow r

fold' (Return a) = ret a

fold' (Bind tx k) = bind tx (fold' \circ k)
```

Monads

# **Embedding Constrained Functor Computations**

#### Constrained Normalised Functors as a GADT

data NF ::  $(* \rightarrow Constraint) \rightarrow (* \rightarrow *) \rightarrow * \rightarrow *$  where FMap ::  $c x \Rightarrow (x \rightarrow a) \rightarrow t x \rightarrow NF c t a$ 

#### Constrained Normalised Functors as a GADT

data NF :: 
$$(* \rightarrow Constraint) \rightarrow (* \rightarrow *) \rightarrow * \rightarrow *$$
 where FMap ::  $c \times \Rightarrow (x \rightarrow a) \rightarrow t \times \rightarrow NF c t a$ 

### Constrained Normalised Functors are (standard) Functors

```
instance Functor (NF c t) where fmap :: (a \rightarrow b) \rightarrow NF c t a \rightarrow NF c t b fmap g (FMap h tx) = FMap (g \circ h) tx -- composition law
```

# **Embedding Constrained Functor Computations**

#### Constrained Normalised Functors as a GADT

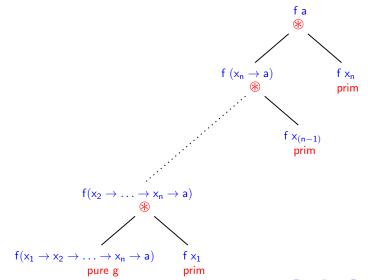
data NF :: 
$$(* \rightarrow \mathsf{Constraint}) \rightarrow (* \rightarrow *) \rightarrow * \rightarrow *$$
 where FMap ::  $\mathsf{c} \, \mathsf{x} \Rightarrow (\mathsf{x} \rightarrow \mathsf{a}) \rightarrow \mathsf{t} \, \mathsf{x} \rightarrow \mathsf{NF} \, \mathsf{c} \, \mathsf{t} \, \mathsf{a}$ 

### Lifting and Lowering

```
liftNF :: c a \Rightarrow t a \rightarrow NF c t a
liftNF ta = FMap id ta -- identity law
lowerNF :: (\forall x. c x \Rightarrow (x \rightarrow a) \rightarrow t x \rightarrow t a) \rightarrow NF c t a \rightarrow t a
lowerNF fmp (FMap g tx) = fmp g tx
```

Monads

# A Normal Form for Applicative Computations



- The normalisation solution requires a normal form where all existential types are parameters on primitive operations. E.g.
  - this is true of Category
  - but not Arrow



- The normalisation solution requires a normal form where all existential types are parameters on primitive operations. E.g.
  - this is true of Category
  - but not Arrow
- The monadic normalisation is the same as used by Unimo [Lin06], MonadPrompt [IF08], and Operational [Apf10], and brings the same benefits:
  - enforces the monad laws
  - separates structure from interpretation
  - allows multiple interpretations

- The normalisation solution requires a normal form where all existential types are parameters on primitive operations. E.g.
  - this is true of Category
  - but not Arrow
- The monadic normalisation is the same as used by Unimo [Lin06], MonadPrompt [IF08], and Operational [Apf10], and brings the same benefits:
  - enforces the monad laws
  - separates structure from interpretation
  - allows multiple interpretations
- The first use of normalisation to overcome the constrained-monad problem was by the RMonad library [SG08].

- The normalisation solution requires a normal form where all existential types are parameters on primitive operations. E.g.
  - this is true of Category
  - but not Arrow
- The monadic normalisation is the same as used by Unimo [Lin06], MonadPrompt [IF08], and Operational [Apf10], and brings the same benefits:
  - enforces the monad laws
  - separates structure from interpretation
  - allows multiple interpretations
- The first use of normalisation to overcome the constrained-monad problem was by the RMonad library [SG08].
- An alternative means of normalising is to use a continuation transformer [PAS12].



The Problem Constraint Kinds Restricted Type Classes Embedding and Normalisation Remarks

### Remarks

Monads

 The normalisation solution requires a normal form where all existential types are parameters on primitive operations. E.g.

- this is true of Category
- but not Arrow
- The monadic normalisation is the same as used by Unimo [Lin06], MonadPrompt [IF08], and Operational [Apf10], and brings the same benefits:
  - enforces the monad laws
  - separates structure from interpretation
  - allows multiple interpretations
- The first use of normalisation to overcome the constrained-monad problem was by the RMonad library [SG08].
- An alternative means of normalising is to use a continuation transformer [PAS12].
- Normalisation preserves semantics, but can change the operational behaviour of the monad.

# Further Reading

Monads

See our paper for more details:

Neil Sculthorpe, Jan Bracker, George Giorgidze and Andy Gill. The Constrained-Monad Problem.

In International Conference on Functional Programming. ACM, 2013.

http://www.ittc.ku.edu/~neil/publications.html.

#### **Embedding and Normalisation**

### References



Heinrich Apfelmus.

The Operational monad tutorial.

The Monad. Reader, 15:37-55, 2010.



Ryan Ingram and Bertram Felgenhauer, 2008.

http://hackage.haskell.org/package/MonadPrompt.



Chuan-kai Lin.

Programming monads operationally with Unimo.

In International Conference on Functional Programming, pages 274–285. ACM, 2006



Anders Persson, Emil Axelsson, and Josef Svenningsson.

Generic monadic constructs for embedded languages.

In Implementation and Application of Functional Languages 2011, volume 7257 of LNCS, pages 85-99. Springer, 2012.



Ganesh Sittampalam and Peter Gavin, 2008.

http://hackage.haskell.org/package/rmonad.