

#### **Wireless Communications Principles**

**Digital Baseband Transmission** 

Spectral Characteristics



#### **Relevant Properties**

- Symbol/Bit Rate
- Symbol/Bit Duration
- Energy Conveyed per Symbol
- Energy Conveyed per Bit
- Spectral Characteristics
- Bandwidth Usage



- We have a finite bandwidth available for modulation
- Different pulse shapes will have different spectral shapes
  - Think of Fourier transforms...
- Some signaling schemes (with temporal correlations) may also change the spectral shape
- Some pulse shapes and signaling schemes will be more bandwidth-efficient than others
- The spectral occupation is described by the Wiener– Khinchin theorem

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#### 2.7-1 Wide-Sense Stationary Random Processes

Random process X(t) is wide-sense stationary (WSS) if its mean is constant and  $R_X(t_1, t_2) = R_X(\tau)$  where  $\tau = t_1 - t_2$ . For WSS processes  $R_X(-\tau) = R_X^*(\tau)$ . Two processes X(t) and Y(t) are jointly wide-sense stationary if both X(t) and Y(t) are WSS and  $R_{XY}(t_1, t_2) = R_{XY}(\tau)$ . For jointly WSS processes  $R_{YX}(-\tau) = R_{XY}^*(\tau)$ . A complex process is WSS if its real and imaginary parts are jointly WSS.

The power spectral density (PSD) or power spectrum of a WSS random process X(t) is a function  $S_X(f)$  describing the distribution of power as a function of frequency. The unit for power spectral density is watts per hertz. The Wiener-Khinchin theorem states that for a WSS process, the power spectrum is the Fourier transform of the autocorrelation function  $R_X(\tau)$ , i.e.,

$$S_X(f) = \mathscr{F}[R_X(\tau)] \tag{2.7-4}$$

Similarly, the *cross spectral density* (CSD) of two jointly WSS processes is defined as the Fourier transform of their cross-correlation function.

#### Fifth Edition

# Digital Communications



### **Ergodic and Wide Sense Stationary** processes

- Our data sequence might not be described as ergodic
  - That would mean that any sizeable sequence could be equally representative of the whole infinite sequence
- Instead, we describe it as wide sense stationary (or stationary, for simplicity)
  - Its mean is constant (independent of time)
  - And the autocorrelation  $R(t_1, t_2)$  depends only on the time difference  $\tau$  between  $t_1$  and  $t_2$ , not on  $t_1$  and  $t_2$  individually
- Even symmetry means
  - $-R(\tau) = R^*(-\tau)$  or  $R(\tau) = R(-\tau)$  for real-valued processes
  - Maximum at  $\tau$  = 0, where R(0) is equal to the mean-square value



- The power spectral density has information about the bandwidth and bandwidth efficiency of the signaling scheme.
- It depicts the distribution of signal power over frequency.
- The question is how to determine the power spectral density of the digital signaling scheme:

$$x(t) = \sum_{k=-\infty}^{\infty} X_k \cdot p(t - kT_s)$$

• Note that this is a cyclo-stationary random process because both the mean and the auto-correlation function are periodic with period  $T_s$ .



The auto-correlation function is given by:

$$R_{X}(t+\tau,t) = E\left\{x(t+\tau)x^{*}(t)\right\} = \sum_{k_{1}=-\infty}^{\infty} \sum_{k_{2}=-\infty}^{\infty} R_{X}(k_{1}-k_{2})p(t+\tau-k_{1}T)p^{*}(t-k_{2}T)$$

and the average auto-correlation function is given by:

$$\overline{R}_{x}(\tau) = \frac{1}{T_{s}} \int_{0}^{T_{s}} E\left\{x(t+\tau)x^{*}(t)\right\} dt = \frac{1}{T_{s}} \sum_{k=-\infty}^{\infty} \int_{-\infty}^{\infty} R_{x}(k) p(t+\tau-kT) p^{*}(t) dt$$

where

$$R_X(k) = R_X(k_1 - k_2) = E\{X_{k_1} X_{k_2}^*\}$$

• represents the auto-correlation function of the stationary sequence of symbols  $\{X_k\}$ .



 The (average) power spectral density corresponds to the Fourier transform of the (average) auto-correlation function:

$$S_{x}(f) = \int_{-\infty}^{\infty} \overline{R}_{x}(\tau) e^{-j2\pi f\tau} d\tau = \frac{1}{T_{s}} \sum_{k=-\infty}^{\infty} R_{x}(k) |P(f)|^{2} e^{-j2\pi k f T_{s}} = \frac{1}{T_{s}} S_{x}(f) |P(f)|^{2}$$

• where P(f) is the Fourier transform of p(t) and  $S_{\times}(f)$  represents the power spectral density of the discrete-time stationary random process  $\{X_k\}$  i.e.

$$P(f) = \int_{-\infty}^{\infty} p(t)e^{-j2\pi ft} dt$$
$$S_X(f) = \sum_{-\infty}^{\infty} R_X(k)e^{-j2\pi kfT_s}$$

$$S_X(f) = \sum_{k=-\infty}^{\infty} R_X(k) e^{-j2\pi k f T_s}$$



- How to obtain  $S_X(f)$  the power spectral density of the discrete time random process?
- Need to sum the auto-/cross-correlation for all pulse intervals,  $R_X(k) = \mathbb{E} \{X_{i+k} X_i^*\}$
- So, for k = 0,  $R_X(0) = E\{X_i X_i^*\}$
- For k = 1 etc.,  $R_X(1) = E\{X_{i+1} X_i^*\}$
- Which gives:

$$S_X(f) = E\{X_i X_i^*\} + E\{X_{i+1} X_i^*\} e^{-j2\pi f T_S} + E\{X_{i-1} X_i^*\} e^{j2\pi f T_S} + \dots$$
  
showing only  $k = 0, k = \pm 1$ 

 If adjacent symbols are uncorrelated and there is no DC content, only k = 0 needs to be considered



### Spectral Characteristic and Bandwidth: Example I

• Consider a basic pulse shape  $p(t)=\pi(t/T_s)$ . Assume that

$$x(t) = \sum_{k=-\infty}^{\infty} X_k p(t - kT_s)$$

- where  $\{X_k\}$  is a sequence of independent symbols that take the values  $\pm 1$  with equal probability.
- We know that for such a unit pulse

$$|P(f)|^2 = T_S \operatorname{sinc}^2(fT_S)$$

- Then, for k = 0 $R_X(0) = E(X_i X_i^*) = \Pr(X_i = 1) (1.1) + \Pr(X_i = -1) (-1.-1) = 1$
- $R_x(k) = 0$  for all other k, due to pulses being uncorrelated, and no DC content
- Which results in  $S_x(f) = T_s \operatorname{sinc}^2(fT_s)$



### Spectral Characteristic and Bandwidth: Example II

Assume now that

$$x(t) = \sum_{k=-\infty}^{\infty} X_k p(t - kT_s)$$

- where  $\{X_k\}$  is a sequence of independent symbols that take the values -3, -1, 1, 3 with equal probability.
- We assume the same unit pulse.
- Then, for k = 0  $R_X(0) = E(X_i X_i^*)$   $= \Pr(X_i = 3) (3.3) + \Pr(X_i = 1) (1.1) + \Pr(X_i = -1) (-1.-1)$  $+ \Pr(X_i = -3) (-3.-3) = 5$
- $R_X(k) = 0$  for all other k, due to the pulses being uncorrelated
- Which results in

$$S_x(f) = 5T_s \operatorname{sinc}^2(fT_s)$$



## Spectral Characteristic and Bandwidth: Example II

