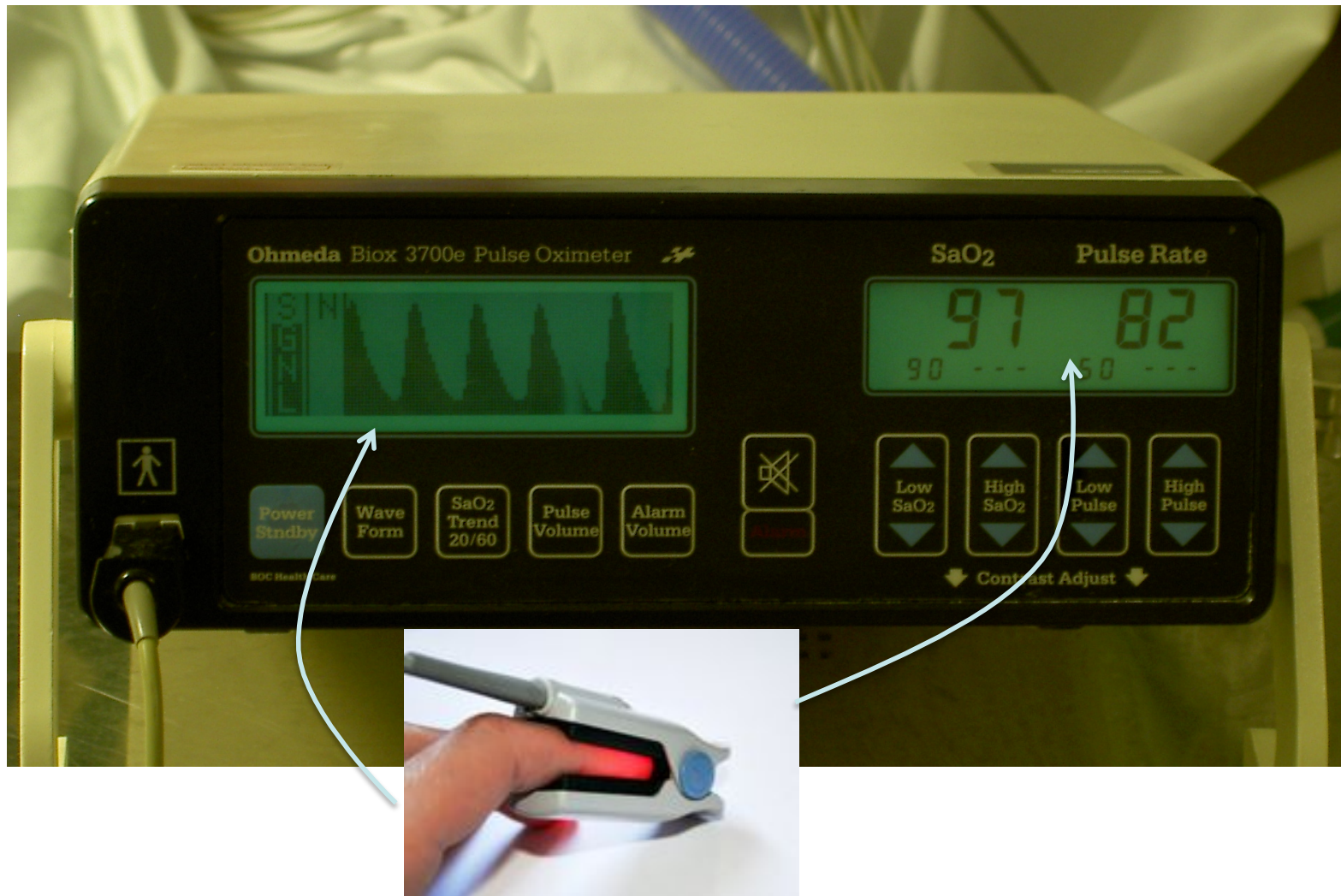


# Pulse Oximetry

Preparing for FRCA  
preparing4frca.com



# Pulse oximetry

Combination of two technologies:

1. Photospectrometry, whereby the saturation of haemoglobin with oxygen is estimated
2. Optical plethysmography, which focuses the measurement on pulsatile arterial blood

# 5 things we need to know

1. Absorption spectrum for different Hbs
2. Beer-Lambert's law about absorption of light
3. A/C and D/C components
4. Absorption ratio and the saturation algorithm
5. Limitations and errors

# Pulse Oximeter

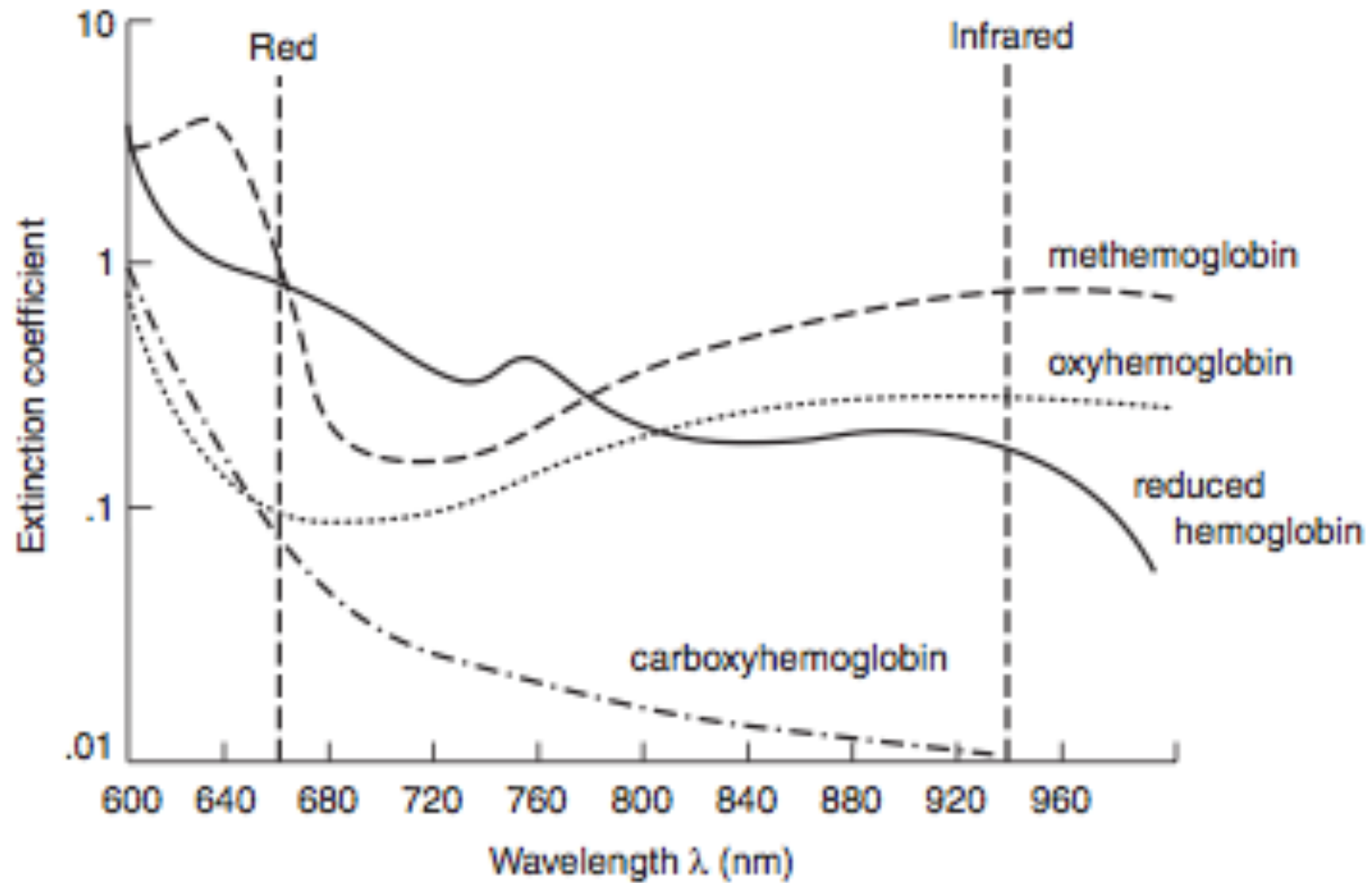
- Estimates  $\text{SpO}_2$  from the differential absorption of red (660nm) and infrared (940nm) light in tissue
- Two wavelengths allow differentiation of reduced hemoglobin and oxyhemoglobin
- Reduced hemoglobin absorbs more light in the red band (660nm) than oxyhemoglobin

# Pulse Oximeter

- Oxyhemoglobin absorbs more light in the infrared band (940nm)
- Computes the ratio between these two signals and relates this ratio to the arterial oxygen saturation, using an empirical algorithm

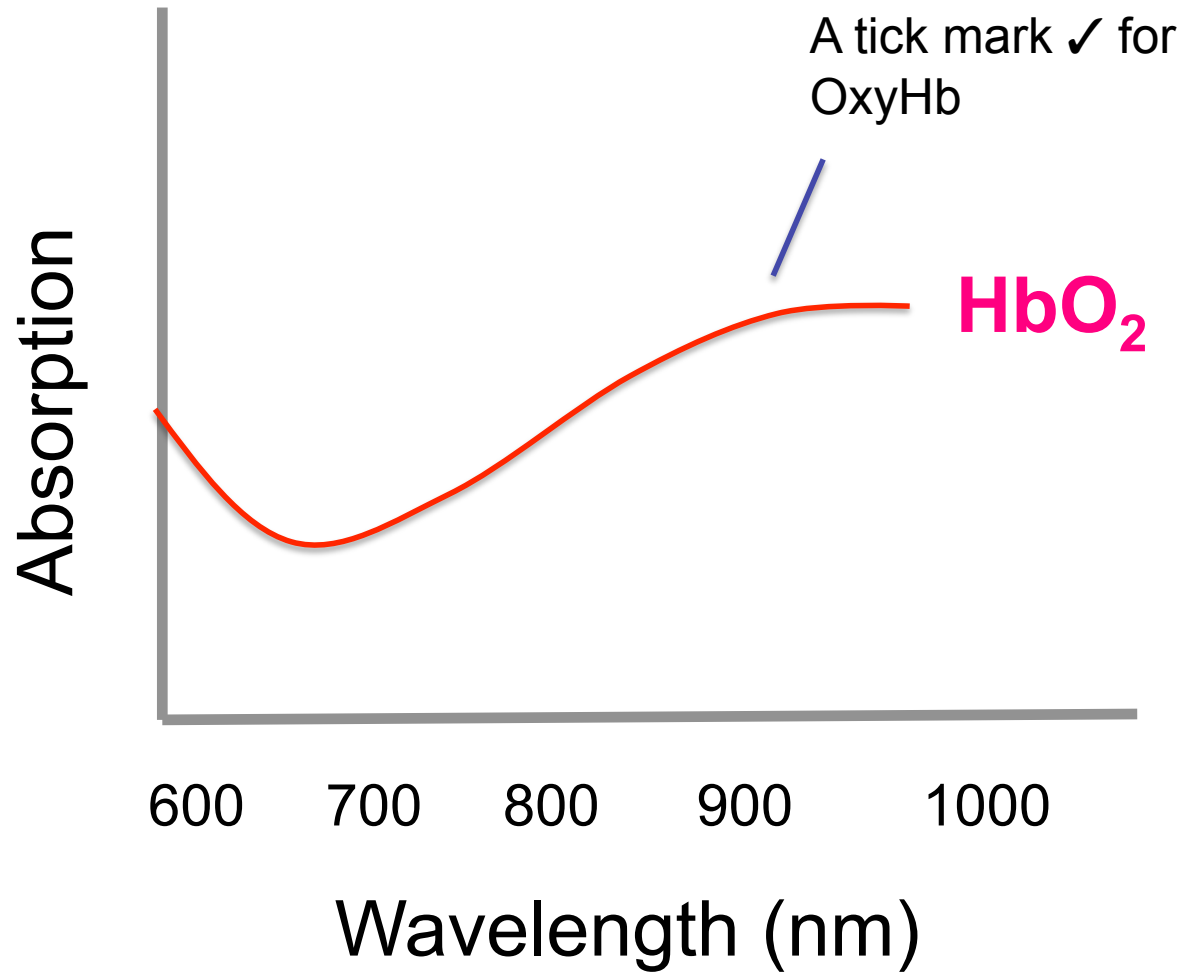
# Absorption Spectrum

# Absorption spectrum



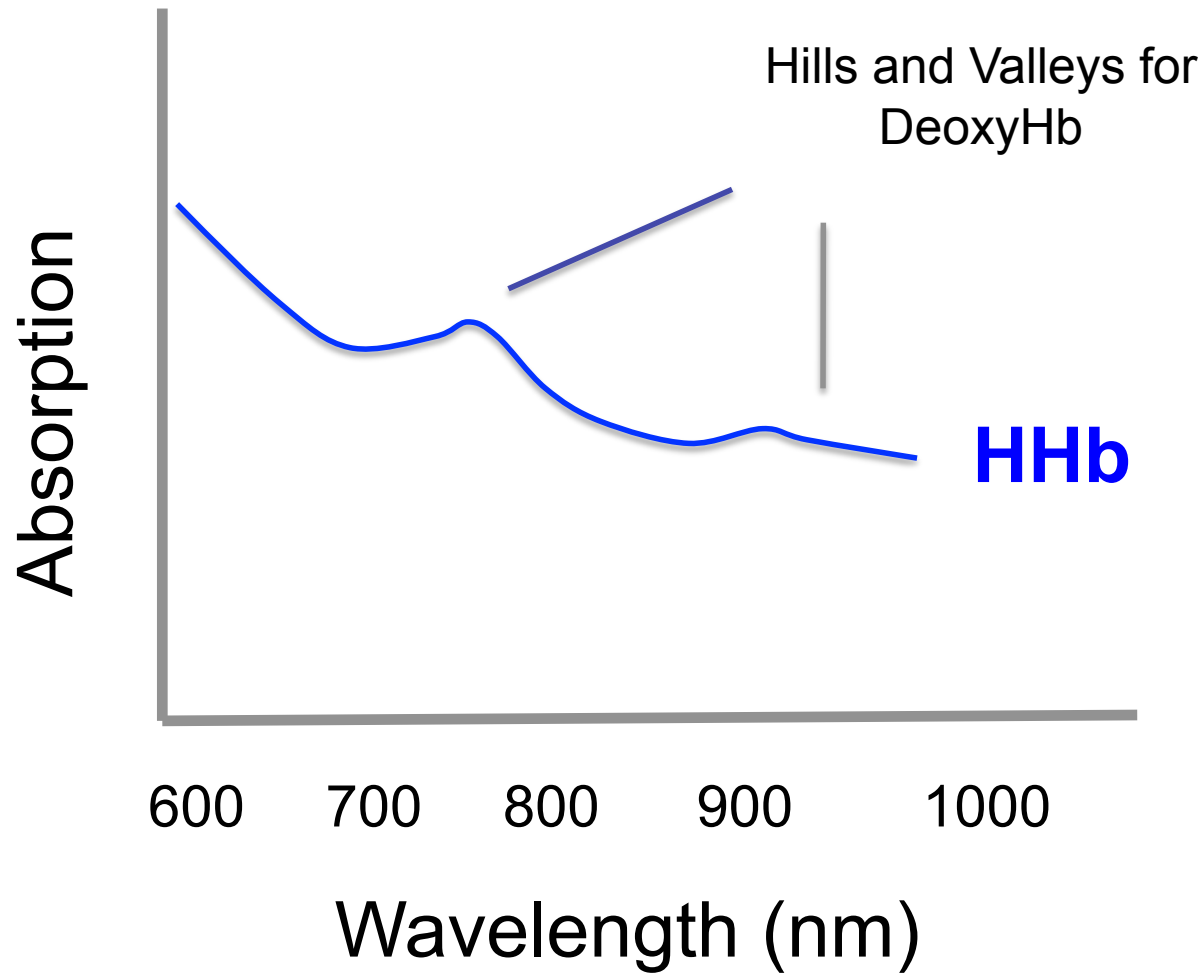


# Absorption Spectrum HbO<sub>2</sub>



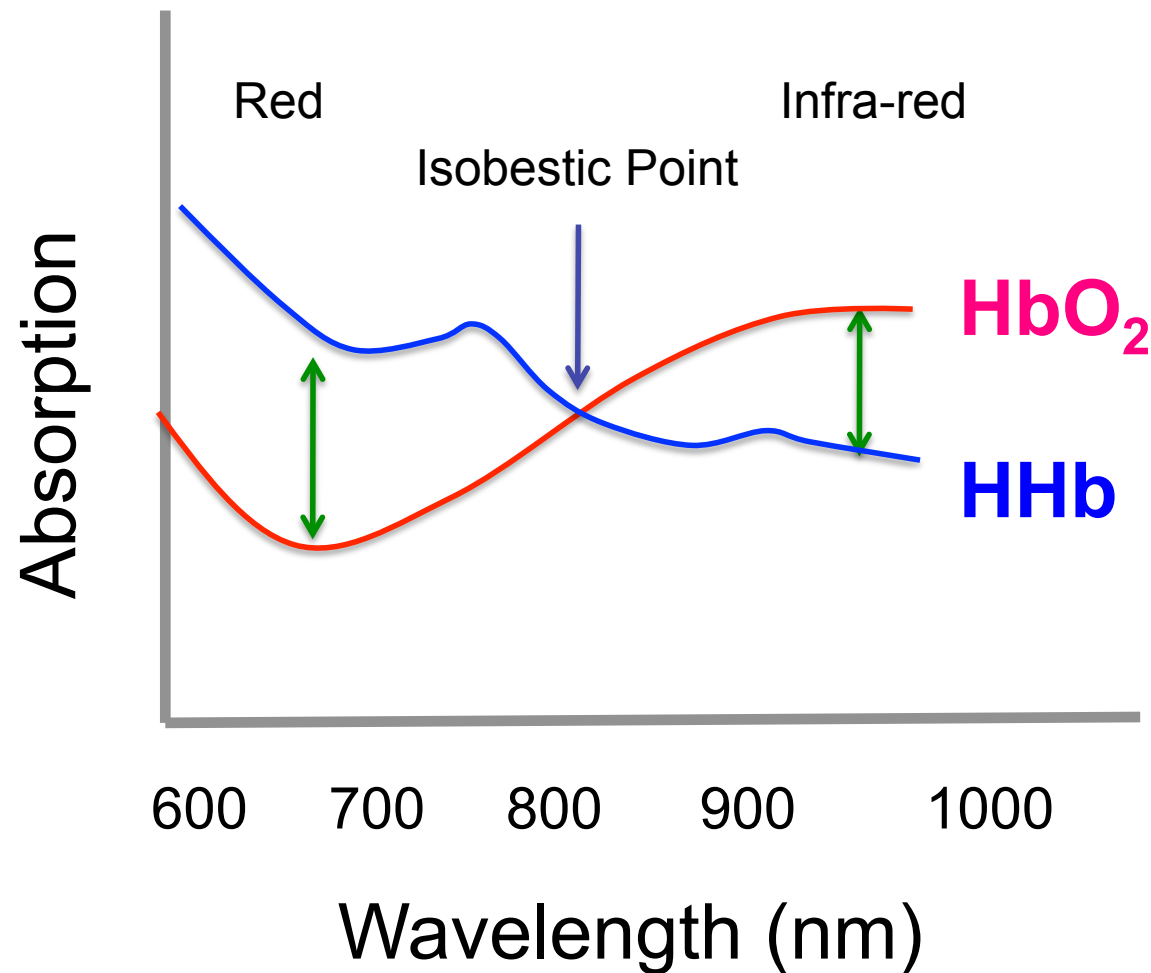
Preparing for FRCA  
preparing4frca.com

# Absorption Spectrum Deoxy Hb

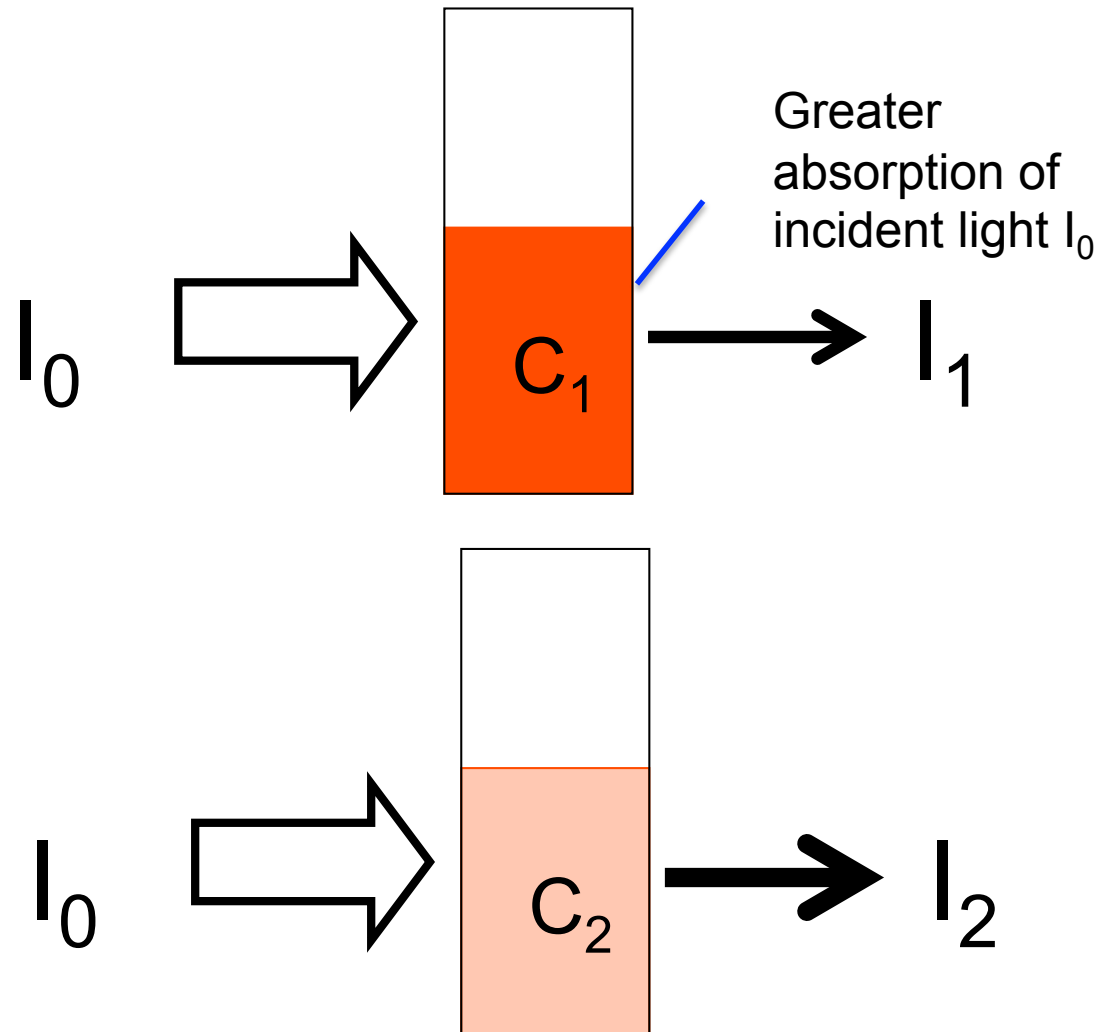


# Absorption Spectrum Oxy and Deoxy Hb\*

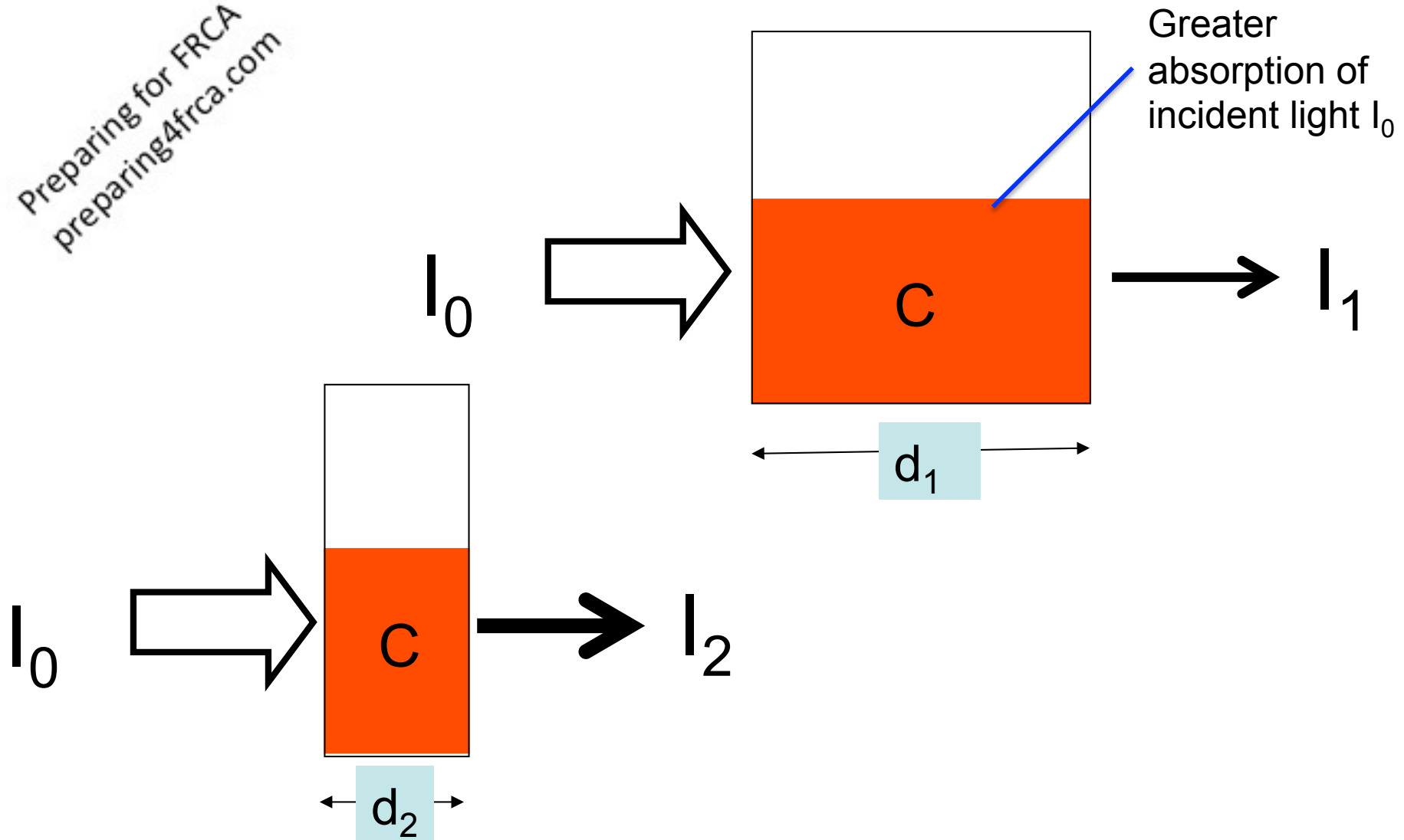
Preparing for FRCA  
preparing4frca.com



# Beer Lambert's Law

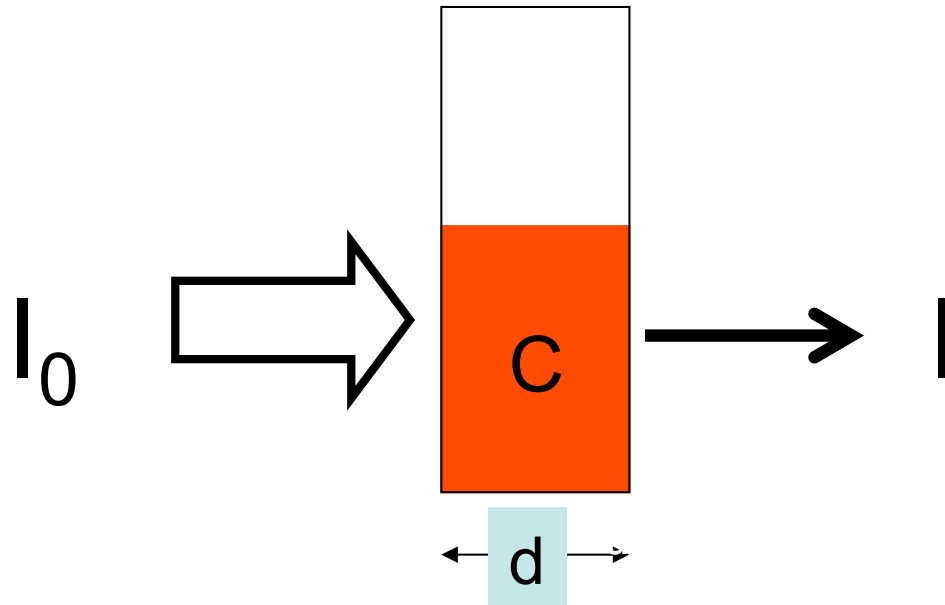


$I_2 > I_1$ , Absorption proportional to concentration; Beer's law



$I_2 > I_1$ , absorption is proportional to the distance light travels; Lambert's law

# Combining the two laws



$$I_0 / I = e^{-EdC}$$

E: Extinction coefficient, a constant  
e: natural log

# In simple terms

Absorption of light passing through a medium increases with

1. Concentration: greater the number of molecules, greater the absorption
2. The distance through which the light travels: greater the distance, more the number of molecules it encounters on the way, greater the absorption



# AC/DC Components

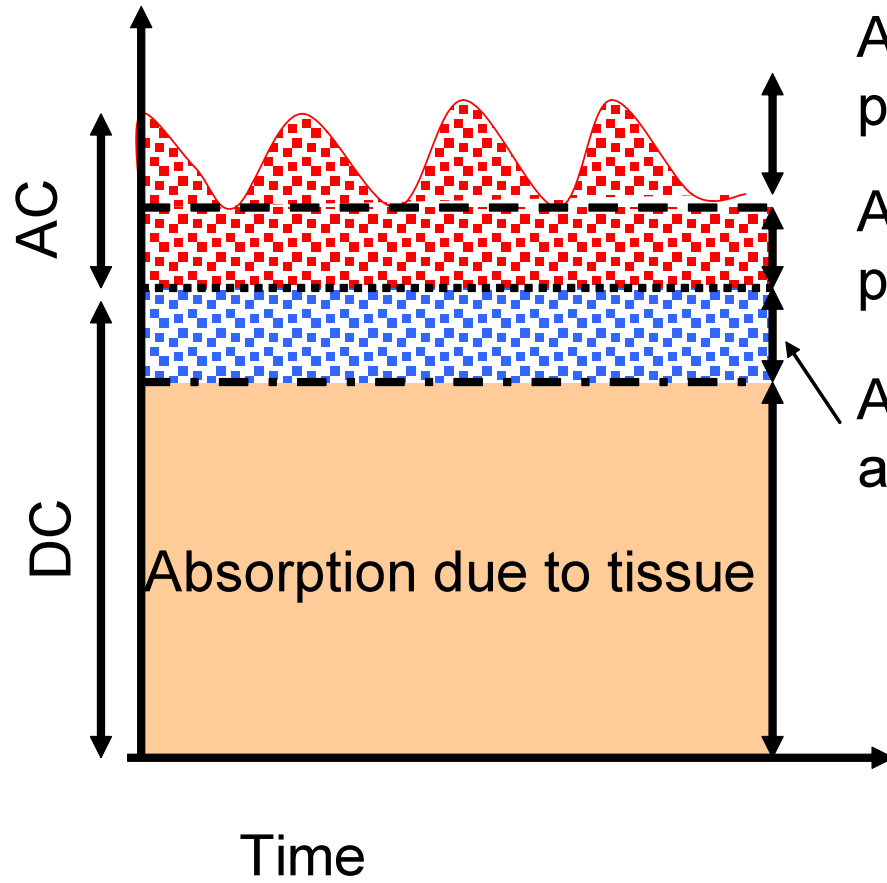
Pulsatile and Non Pulsatile  
components

Preparing for FRCA  
preparing4frca.com



Skin and Subcutaneous Tissues  
Muscle and Bones  
Blood Vessels

Light  
Absorption



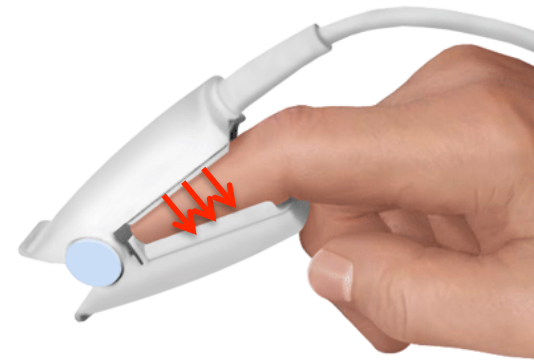
Absorption due to  
pulsatile arterial blood

Absorption due to non-  
pulsatile arterial blood

Absorption due to venous  
and capillary blood

Absorption due to tissue

Time



# Pulse oximeters

- Discriminates between arterial blood and other components by determining the change in transmitted light caused by the flow of arterial blood
- The two LEDs cycle ON and OFF between 2000 and 3000 times / sec, with only one ON at a time, and a third point in the cycle when both are OFF, so the photodetector can adjust for ambient light

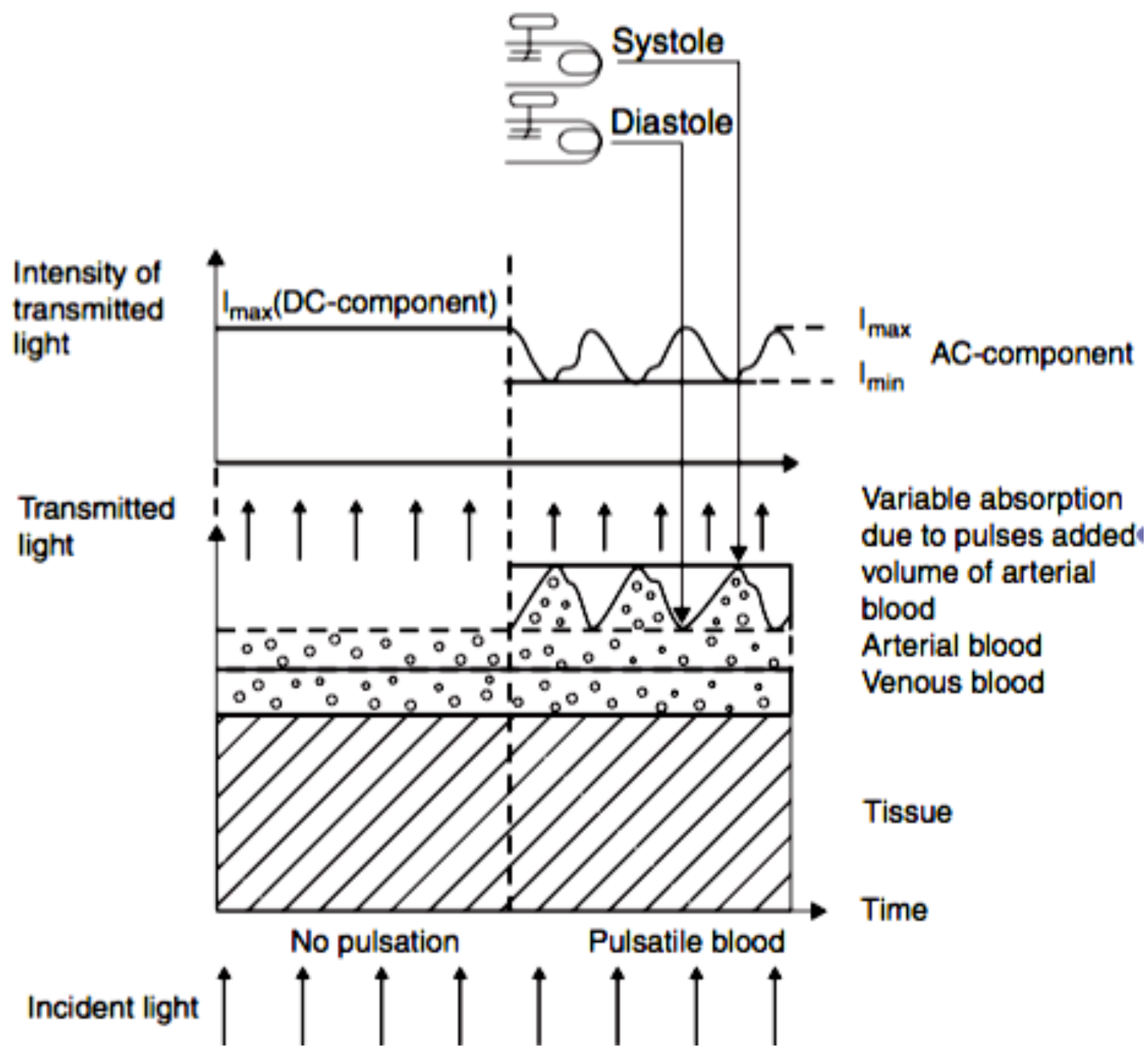
# Pulse oximeters

- At the trough, the light is transmitted through a vascular bed that contains mainly capillary and venous blood as well as intervening tissue
- At the peak, it shines through all this plus arterial blood
- The rapid sampling rate allows recognition of the peak and trough of each pulse wave

# Pulse oximeters

- A photodiode collects the transmitted light and converts it into electrical signals
- The emitted signals are then amplified, processed, and displayed on the monitor

Preparing for  
preparing



# Plethysmography

- During each arterial pulsation the site expands in volume as arterial blood enters during systole, and then contracts as the blood leaves during diastole
- The path length of light through the finger- tip increases and decreases cyclically with each pulsation, and is “seen” by the photodetector as pulsatile changes in absorbance at the two wavelengths of light, 660 nm and 940 nm



# Light absorbance in tissue

1. Nonpulsatile component: nonpulsatile blood and tissue (i.e., bone, skin, muscle) pigmentation that produces a (non-pulsatile) direct current (DC)
2. Pulsatile component: pulsation of the artery, which produces an alternating current (AC)

# Light absorption

- During diastole, only by deoxygenated (venous blood, DC components)
- During systole, is increased at both wavelengths, and these pulse-added absorbances are therefore caused by hemoglobin in the arterial blood (in addition to DC components).

# Absorption ratio

- The ratio of pulse-added absorbances  $AC_{660}/AC_{940}$  nm is made independent of the intensity of the incident light by calculating the absorption ratio, R, where

$$R = \frac{(AC_{660}/DC_{660})}{(AC_{940}/DC_{940})}$$

# The Value

The algorithm

# Oxygen saturation

- Fractional oxygen saturation ( $\% \text{HbO}_2$ ) is the ratio of oxyhemoglobin to the sum of all hemoglobin species present, whether available for reversible binding to oxygen or not
- Functional oxygen saturation ( $\text{SaO}_2$ ) is defined as the ratio of oxyhemoglobin to all functional hemoglobins

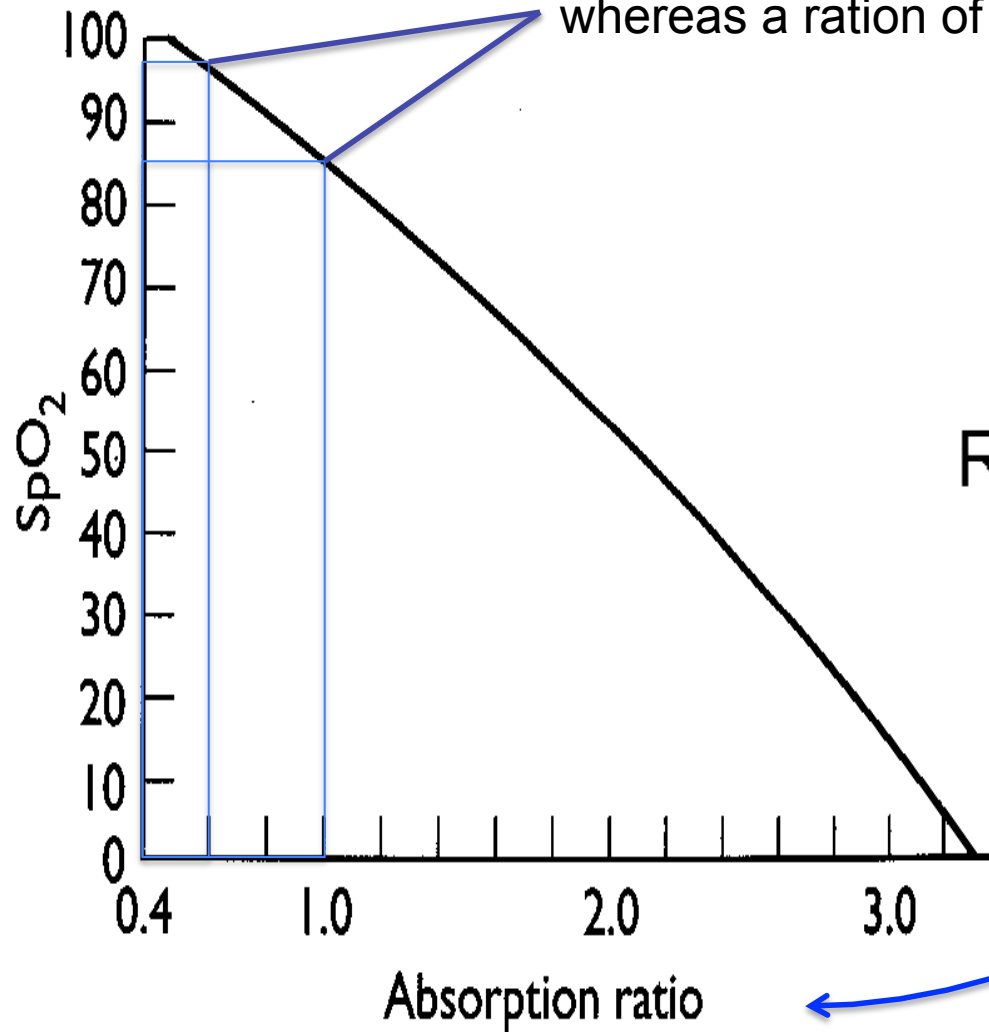
# Functional vs fractional

HHb = 0, HbO<sub>2</sub> = 96%, COHb = 2%, metHb = 2%

- Functional saturation ( $\text{SaO}_2$ ) =  $96/(96 + 0) = 100\%$
- Fractional saturation ( $\text{HbO}_2 \%$ ) =  $96/(96 + 2 + 2) = 96\%$
- Most manufacturers chose to use functional saturation in creating their algorithms

# The algorithm

Absorption ratio of 1.0 corresponds to 85%  
whereas a ratio of 0.6 corresponds to 97%



$$R = \frac{AC_{660} / DC_{660}}{AC_{940} / DC_{940}}$$

# Limitations



# Safe Limitations

## **Technical**

- Mechanical/Motion artefacts
- Electromagnetic - interference
- M.R.I

## **Physiological**

- Pulse dependence
- Pulse Volume
- Pulse Rhythm

# Dangerous Limitations

## Technical

- Accuracy
- Calibration
- Flooding
- Penumbra

## Physiological

- Abnormal Hb
- Other absorbents and pigmentations
- Dyes
- Delay
- Pulsatile veins

# Artifacts in pulse oximetry

# Toxic Alterations in Hemoglobin

Carboxyhemoglobin (COHb)	Slight reduction of the assessment of oxygen saturation ( $\text{SaO}_2$ ) by pulse oximetry ( $\text{SpO}_2$ ) (i.e., overestimates the fraction of hemoglobin available for $\text{O}_2$ transport)
Cyanmethemoglobin	Not reported
Methemoglobin (MetHb)	At high levels of MetHb, $\text{SpO}_2$ approaches 85%, independent of actual $\text{SaO}_2$
Sulfhemoglobin	Not reported (affects CO oximetry by producing a falsely high reading of MetHb)

# Structural Hemoglobinopathies

Hemoglobin F	No significant effect
Hemoglobin H	No significant effect (i.e., overestimates the fraction of hemoglobin available for O <sub>2</sub> transport)
Hemoglobin Köln	Artifactual reduction in SpO <sub>2</sub> of 8% to 10%
Hemoglobin S	No significant effect

# Hb substitutes

Diaspirin cross-linked hemoglobin	No significant effect
Bovine polymerized hemoglobin (oxygen carrier-201)	No significant effect

# Dyes

Fluorescein	No significant effect
Indigo carmine	Transient decrease
Indocyanine green	Transient decrease
Isosulfan blue (patent blue V)	No significant effect at low dose; prolonged reduction in SpO <sub>2</sub> at high dose
Methylene blue	Transient, marked decrease in SpO <sub>2</sub> lasting up to several minutes; possible secondary effects as a result of effects on hemodynamics

# Hb Concentration

Anemia	If SaO <sub>2</sub> is normal, no effect; during hypoxemia with Hb values less than 14.5 g/dL, progressive underestimation of actual SaO <sub>2</sub>
Polycythemia	No significant effect



# Others

Acrylic fingernails	No significant effect
Ambient light interference	Bright light, particularly if flicker frequency is close to a harmonic of the light-emitting diode switching frequency, can falsely elevate the SpO <sub>2</sub> reading
Arterial O <sub>2</sub> saturation	Depends on manufacturer; during hypoxemia, SpO <sub>2</sub> tends to be artifactually
low Blood flow	Reduced amplitude of pulsations can hinder obtaining a reading or cause a falsely low reading
Henna	Red henna, no effect; black henna, may block light sufficiently to preclude measurement

# Others

Jaundice	No effect; multiwavelength laboratory oximeters may register a falsely low $\text{SaO}_2$ and falsely high COHb and MetHb
Motion	Movement, especially shivering, may depress the $\text{SpO}_2$ reading
Nail polish	Slight decrease in $\text{SpO}_2$ reading, with greatest effect using blue nail polish, or no change
Sensor contact	“Optical shunting” of light from source to detector directly or by reflection from skin results in falsely low $\text{SpO}_2$ reading

# Others

Skin pigmentation	Small errors or no significant effect reported; deep pigmentation can result in reduced signal
Tape	Transparent tape between sensor and skin has little effect; falsely low SpO <sub>2</sub> has been reported when smeared adhesive is in the optical path
Vasodilatation	Slight decrease
Venous pulsation (e.g., tricuspid insufficiency)	Artifactual decrease in SpO <sub>2</sub>

Preparing for FRCA  
preparing4frca.com

# Preparing4frca.com

Dr S Singh

Consultant in Anaesthesia

Royal Liverpool University Hospitals