# Contents

# 1 Anderson Model URG

$$\mathcal{H} = \sum_{k\sigma} \epsilon_k \hat{n}_{k\sigma} + \sum_{k\sigma} \left(V_k c_{k\sigma}^\dagger c_{d\sigma} + h.c.\right) + \epsilon_d \sum_\sigma \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} \tag{1.1}$$

## One electron on shell

At first order, the rotated Hamiltonian is

$$\mathcal{H}_{j-1} = 2^{-n_j} \text{Tr}_{1,2,\dots,n_j} \mathcal{H}_j + \sum_{q\beta} \tau_{q\beta} \left\{ c_{q\beta}^\dagger \text{Tr}_{q\beta}\left(\mathcal{H}c_{q\beta}\right), \eta_{q\beta} \right\} \tag{1.2}$$

$n_j$ is the number of states on the shell $\Lambda_j$. We take the full Hamiltonian as our $\mathcal{H}_j$. Since this is the first step of the RG, the shell being decoupled is the highest one, which we call $\Lambda_N$.

**Calculation of first term**  The first term, the initial trace, is a sequential trace over all the states on the shell being disentangled. At each trace, we consider only electrons on the current degree of freedom and on shells below the current shell:

$$\frac{1}{2}\text{Tr}_{q\uparrow}\mathcal{H}_j = \sum_{k<\Lambda_N,\sigma} \epsilon_k \hat{n}_{k\sigma} + \epsilon_d \sum_\sigma \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} + \frac{1}{2}\text{Tr}_{q\uparrow}\left\{\epsilon_k\hat{n}_{q\uparrow}\right\}$$

$$= \sum_{k<\Lambda_N,\sigma} \epsilon_k \hat{n}_{k\sigma} + \epsilon_d \sum_\sigma \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} + \frac{1}{2}\epsilon_q \tag{1.3}$$

$$\frac{1}{2}\text{Tr}_{q\downarrow}\frac{1}{2}\text{Tr}_{q\uparrow}\mathcal{H}_j = \sum_{k<\Lambda_N,\sigma} \epsilon_k \hat{n}_{k\sigma} + \epsilon_d \sum_\sigma \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} + \epsilon_q$$

$$\implies 2^{-n_j}\text{Tr}_{1,2,\dots,n_j}\mathcal{H}_j = \sum_{k<\Lambda_N,\sigma} \epsilon_k \hat{n}_{k\sigma} + \epsilon_d \sum_\sigma \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} + \sum_{|q|=\Lambda_N} \epsilon_q \tag{1.4}$$

**Calculation of second term**  The second term involves some other traces:

$$\text{Tr}_{q\beta}\left(\mathcal{H}c_{q\beta}\right) = \sum_{k\sigma} V_k \text{Tr}_{q\beta}\left(c_{k\sigma}^\dagger c_{d\sigma} c_{q\beta}\right)$$

$$= \sum_{k\sigma} V_k c_{d\sigma}\delta_{\sigma\beta}\delta_{kq} \tag{1.5}$$

$$= V_q c_{d\beta}$$

$$\text{Tr}_{q\beta}\left(c_{q\beta}^\dagger \mathcal{H}\right) = V_q^* c_{d\beta}^\dagger$$

$$\mathcal{H}^D = \sum_{k\sigma} \epsilon_k \hat{n}_{k\sigma} + \epsilon_d \sum_{\sigma} \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow}$$

$$\mathrm{Tr}_{q\beta}\left(\mathcal{H}^D \hat{n}_{q\beta}\right) = \sum_{k<\Lambda_N,\sigma} \epsilon_k \hat{n}_{k\sigma} + \epsilon_d \sum_{\sigma} \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} + \epsilon_q$$

$$\eta_{q\beta} = \mathrm{Tr}_{q\beta}\left(c_{q\beta}^\dagger \mathcal{H}\right) c_{q\beta} \frac{1}{\hat{\omega} - \mathrm{Tr}_{q\beta}\left(\mathcal{H}^D \hat{n}_{q\beta}\right)\hat{n}_{q\beta}} \qquad (1.6)$$

$$= V_q^* c_{d\beta}^\dagger c_{q\beta} \frac{1}{\hat{\omega} - \left(\sum_{k<\Lambda_N,\sigma} \epsilon_k \hat{n}_{k\sigma} + \epsilon_d \sum_{\sigma} \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} - \epsilon_q\right)\hat{n}_{q\beta}}$$

$$= V_q^* c_{d\beta}^\dagger c_{q\beta} \frac{1}{\omega\tau_{q\beta} - \left(\epsilon_d \sum_{\sigma} \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} + \epsilon_q\right)\tau_{q\beta}}$$

At the last step, **I replaced $\hat{\omega} - \sum_{k<\Lambda_N,\sigma} \epsilon_k \hat{n}_{k\sigma}\hat{n}_{q\beta} - \frac{1}{2}\left(\epsilon_d \sum_{\sigma} \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} + \epsilon_q\right)$ with $\omega\tau_{q\beta}$**. Note that since this term has a $c_{d\beta}^\dagger$, it will not vanish only when acting on a state with $\hat{n}_{d\beta} = 0$. Hence we can drop the terms $\hat{n}_{d\uparrow}\hat{n}_{d\downarrow}$ and $\epsilon_{d\beta}\hat{n}_{d\beta}$ in the denominator. Also, since it has a $c_{q\beta}$, we can set the $\tau_{q\beta}$ in the denominator to $\frac{1}{2}$. Putting together the individual pieces, we can now write the second term:

$$\sum_{q\beta} \tau_{q\beta}\left\{c_{q\beta}^\dagger \mathrm{Tr}_{q\beta}\left(\mathcal{H}c_{q\beta}\right), \eta_{q\beta}\right\} = \sum_{q\beta} \tau_{q\beta}\left\{V_q c_{q\beta}^\dagger c_{d\beta}, V_q^* c_{d\beta}^\dagger c_{q\beta} \frac{1}{\frac{1}{2}\left(\omega - \epsilon_q - \epsilon_d \hat{n}_{d\overline{\beta}}\right)}\right\} \qquad (1.7)$$

$$= \sum_{q\beta} 2\tau_{q\beta}\left\{V_q c_{q\beta}^\dagger c_{d\beta}, V_q^* c_{d\beta}^\dagger c_{q\beta} \frac{1}{\omega - \epsilon_q - \epsilon_d \hat{n}_{d\overline{\beta}}}\right\}$$

We now note that the factor with $\omega$ can be written as follows:

$$\frac{1}{\omega - \epsilon_q - \epsilon_d \hat{n}_{d\overline{\beta}}} = \frac{\hat{n}_{d\overline{\beta}}}{\omega - \epsilon_q - \epsilon_d} + \frac{1 - \hat{n}_{d\overline{\beta}}}{\omega - \epsilon_q}$$

$$= \hat{n}_{d\overline{\beta}} \frac{\epsilon_d}{\left(\omega - \epsilon_q - \epsilon_d\right)\left(\omega - \epsilon_q\right)} + \frac{1}{\omega - \epsilon_q} \qquad (1.8)$$

Since these terms commute with the other terms, they can be taken out of the anticommutator; what's left is

$$\left\{V_q c_{q\beta}^\dagger c_{d\beta}, V_q^* c_{d\beta}^\dagger c_{q\beta}\right\} = |V_q|^2 \left[\hat{n}_{q\beta}\left(1 - \hat{n}_{d\beta}\right) + \hat{n}_{d\beta}\left(1 - \hat{n}_{q\beta}\right)\right] \qquad (1.9)$$

The $\tau$ and the $\hat{n}$ can be multiplied:

$$2\tau_{q\beta}\left(1 - \hat{n}_{q\beta}\right) = \left(\hat{n}_{q\beta} - 1\right) \qquad (1.10)$$

$$2\tau_{q\beta}\hat{n}_{q\beta} = \hat{n}_{q\beta} \qquad (1.11)$$

The total thing becomes

$$\sum_{q\beta} |V_q|^2 \left[\hat{n}_{d\beta}(\hat{n}_{q\beta} - 1) + \hat{n}_{q\beta}(1 - \hat{n}_{d\beta})\right] \left[\hat{n}_{d\bar{\beta}}\frac{\epsilon_d}{(\omega - \epsilon_q - \epsilon_d)(\omega - \epsilon_q)} + \frac{1}{\omega - \epsilon_q}\right]$$

$$= \sum_{q\beta} |V_q|^2 \left[\hat{n}_{q\beta} - \hat{n}_{d\beta}\right] \left[\hat{n}_{d\bar{\beta}}\frac{\epsilon_d}{(\omega - \epsilon_q - \epsilon_d)(\omega - \epsilon_q)} + \frac{1}{\omega - \epsilon_q}\right] \tag{1.12}$$

**Putting** $\hat{n}_{q\beta} = 1$, and dropping the non-operator terms, we get

$$\sum_{\beta} \hat{n}_{d\beta} \sum_q |V_q|^2 \frac{\epsilon_q - \omega + 2\epsilon_d}{(\omega - \epsilon_q)(\omega - \epsilon_q - \epsilon_d)} - \hat{n}_{d\uparrow}\hat{n}_{d\downarrow} \sum_{q\beta} |V_q|^2 \frac{\epsilon_d}{(\omega - \epsilon_q)(\omega - \epsilon_q - \epsilon_d)} \tag{1.13}$$

The first term is the renormalization in on-site energy, $\sum_{\beta} \hat{n}_{d\beta}\Delta\epsilon_{d\beta}$, and the second term is the renormalization in the onsite repulsion, $\hat{n}_{d\uparrow}\hat{n}_{d\downarrow}\Delta U$.

**Renormalized Hamiltonian**  Combining eqs. 1.4 and 1.13, we get

$$\mathcal{H}_{N-1} = \sum_{k<\Lambda_N,\sigma} \epsilon_k\hat{n}_{k\sigma} + \sum_{|q|=\Lambda_N} \epsilon_q + \sum_{\sigma} (\epsilon_{d\sigma} + \Delta\epsilon_{d\sigma})\hat{n}_{d\sigma} + (U + \Delta U)\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} \tag{1.14}$$

*The second term is the renormalization in the kinetic energy of the disentangled electrons, the third term is the renormalized impurity site energy and the fourth term is the renormalized onsite repulsion.*

$$\Delta\epsilon_d^N \equiv \epsilon_d|_{N-1} - \epsilon_d|_N = \sum_q |V_q|^2 \frac{\epsilon_q - \omega + 2\epsilon_d}{(\omega - \epsilon_q)(\omega - \epsilon_q - \epsilon_d)} \tag{1.15}$$

According to Hewson eq. 3.62 (page 68),

$$\frac{d\epsilon_d}{d\ln D} = -\frac{\Delta}{\pi} + O(V^3) = -\rho_0|V|^2 + O(V^3) \tag{1.16}$$

in the limit of $U + \epsilon_d \gg D$ and $|\epsilon_d| \ll D$, under the assumptions that $V_k$ is independent of $k$ and the conduction band is flat ($\rho(\epsilon) = \rho_0$ for $\epsilon \in [-D, D]$).

**Assuming that we integrate out a ring at energy $D$ and of thickness $-|\delta D|$, such that $\epsilon_q = D$ everywhere on the ring**, the number of available states is

$$\delta n = \frac{dn}{dE} \times \delta E = \rho(D) \times |\delta D| \tag{1.17}$$

We can then replace the summation in eq. 1.15 by $\delta n$:

$$\delta\epsilon_d(D) = |V|^2\rho(D)|\delta D|\frac{D - \omega + 2\epsilon_d}{(\omega - D)(\omega - D - \epsilon_d)} \tag{1.18}$$

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where $\rho(D)$ is the number of single-spin states on the shell D. This can be compared to eq. 1.16. In two dimensions, the energy density of states is independent of energy. **Setting $\omega = 0$**, we get

$$\delta\epsilon_d(D) = |V|^2\rho(D)|\delta D|\frac{D + 2\epsilon_d}{D\left(D + \epsilon_d\right)}$$

$$= |V|^2\rho(D)\frac{|\delta D|}{D}\frac{D + 2\epsilon_d}{D + \epsilon_d} \tag{1.19}$$

I used $\delta D = -|\delta D|$. Changing to continuum equation,

$$\frac{\mathrm{d}\epsilon_d}{\mathrm{d}\ln D} = -\frac{\Delta}{\pi}\frac{D + 2\epsilon_d}{D + \epsilon_d} \tag{1.20}$$

In the regime where the single-occupied impurity level is comfortably inside the conduction band $(D \gg |\epsilon_d|)$, we can approximate both the numerator and denominator as simply $D$. Then,

$$\frac{\mathrm{d}\epsilon_d}{\mathrm{d}\ln D} = -\frac{\Delta}{\pi} \tag{1.21}$$

$$\implies \epsilon_d + \frac{\Delta}{\pi}\log D = \text{constant} \tag{1.22}$$

Turning to the general equation 1.15, under the assumption of momentum-independent scattering, the continuum equation is

$$\frac{\mathrm{d}\epsilon_d}{\mathrm{d}\ln D} = |V|^2 n(D)\frac{\omega - D - 2\epsilon_d}{(\omega - D)\left(\omega - D - \epsilon_d\right)}$$

$$= |V|^2 n(D)\left(\frac{2}{\omega - D} - \frac{1}{\omega - D - \epsilon_d}\right) \tag{1.23}$$

$n(D)$ is not the density of states, but the total number of states on the shell at energy $D$. Similarly, the renormalization in $U$ is

$$\delta U = -\sum_{q\beta}|V_q|^2\frac{\epsilon_d}{(\omega - \epsilon_q)\left(\omega - \epsilon_q - \epsilon_d\right)}$$

$$= -|V|^2 n(D)\sum_{\beta}\frac{\epsilon_d}{(\omega - D)\left(\omega - D - \epsilon_d\right)}$$

$$= -2|V|^2 n(D)\frac{\epsilon_d}{(\omega - D)\left(\omega - D - \epsilon_d\right)} \tag{1.24}$$

$$\implies \frac{\mathrm{d}U}{\mathrm{d}\ln D} = 2|V|^2 n(D)\frac{\epsilon_d}{(\omega - D)\left(\omega - D - \epsilon_d\right)}$$

$$= 2|V|^2 n(D)\left(\frac{1}{\omega - D - \epsilon_d} - \frac{1}{\omega - D}\right)$$

In the penultimate step, I used the fact that since the onsite energy for either spin is same, the summation just returns a factor of 2.

$$\frac{d\epsilon_d}{d\ln D} = |V|^2 n(D) \left( \frac{1}{D + \epsilon_d} - \frac{2}{D} \right)$$
$$\frac{dU}{d\ln D} = 2|V|^2 n(D) \left( \frac{1}{D} - \frac{1}{D + \epsilon_d} \right)$$

$$(1.25)$$

## With higher order scattering

$$\mathcal{H} = \sum_{k\sigma} \epsilon_k \hat{n}_{k\sigma} + \sum_{k\sigma} \left( V_k c_{k\sigma}^\dagger c_{d\sigma} + h.c. \right) + \epsilon_d \sum_\sigma \hat{n}_{d\sigma} + U \hat{n}_{d\uparrow} \hat{n}_{d\downarrow} + \sum_{\substack{kk' \\ \sigma\sigma'}} V_2 c_{d\sigma'}^\dagger c_{k\sigma}^\dagger c_{d\sigma} c_{k'\sigma'}$$

$$(1.26)$$

Such an interaction allows both spin-flip $(d\sigma \to d\overline{\sigma})$ as well as spin-preserving $(d\sigma \to d\sigma)$ scattering.

## One electron on shell:

$$\mathcal{H}_N = H_0 + H_{\text{imp}} + \epsilon_q \hat{n}_{q\beta} + V_q c_{q\beta}^\dagger c_{d\beta} + V_q^* c_{d\beta}^\dagger c_{q\beta} - \sum_{k\sigma} V_2 \left( c_{q\beta}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\beta} + c_{d\beta}^\dagger c_{d\sigma} c_{k\sigma}^\dagger c_{q\beta} \right)$$
$$+ V_2 \hat{n}_{q\beta} \hat{n}_{d\beta}$$

$$(1.27)$$

## For $\hat{n}_{q\beta} = 1$:

$$\Delta \mathcal{H}_N = \sum_{q\beta} \tau_{q\beta} c_{q\beta}^\dagger \left[ V_q - \sum_{k\sigma} V_2 c_{k\sigma} c_{d\sigma}^\dagger \right] c_{d\beta} \times c_{d\beta}^\dagger \left[ V_q^* - \sum_{k'\sigma'} V_2 c_{d\sigma'} c_{k'\sigma'}^\dagger \right] c_{q\beta}$$
$$\times \frac{1}{\hat{\omega} - (H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q) \hat{n}_{q\beta}}$$
$$= \sum_{q\beta} \left[ V_q - \sum_{k\sigma} V_2 c_{k\sigma} c_{d\sigma}^\dagger \right] (1 - \hat{n}_{d\beta}) \left[ V_q^* - \sum_{k'\sigma'} V_2 c_{d\sigma'} c_{k'\sigma'}^\dagger \right] \frac{1}{\omega - (H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q)}$$
$$= \sum_{q\beta} \left[ |V_q|^2 (1 - \hat{n}_{d\beta}) - \sum_{k'\sigma'} V_q V_2 (1 - \hat{n}_{d\beta}) c_{d\sigma'} c_{k'\sigma'}^\dagger - \sum_{k\sigma} V_q^* V_2 c_{k\sigma} c_{d\sigma}^\dagger (1 - \hat{n}_{d\beta}) \right.$$
$$\left. + \sum_{kk'\sigma\sigma'} V_2^2 c_{k\sigma} c_{d\sigma}^\dagger (1 - \hat{n}_{d\beta}) c_{d\sigma'} c_{k'\sigma'}^\dagger \right] \frac{1}{\omega - (H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q)}$$

$$(1.28)$$

The first term in $\Delta\mathcal{H}_N$ is (calculated in the previous section)

$$\sum_{q\beta} |V_q|^2 \left(1 - \hat{n}_{d\beta}\right) \frac{1}{\omega - (H_{\text{imp}} + V_2\hat{n}_{d\beta} + \epsilon_q)} = \sum_{q\beta} |V_q|^2 \frac{\hat{n}_{d\beta}\left(\epsilon_q - \omega + 2\epsilon_d\right) - \hat{n}_{d\uparrow}\hat{n}_{d\downarrow}\epsilon_d}{\left(\omega - \epsilon_q\right)\left(\omega - \epsilon_q - \epsilon_d\right)}$$

(1.29)

Just as in the previous section, they renormalize the onsite energy $\epsilon_d$ and double-occupation penalty $U$. The third term in $\Delta\mathcal{H}_N$ gives

$$
\begin{aligned}
&-\sum_{k\sigma q\beta} c_{k\sigma} c_{d\sigma}^\dagger V_q^* V_2 \left(1 - \hat{n}_{d\beta}\right) \frac{1}{\omega - (H_{\text{imp}} + V_2\hat{n}_{d\beta} + \epsilon_q)} \\
&= -\sum_{k\sigma q} c_{k\sigma} c_{d\sigma}^\dagger V_q^* V_2 \left[\frac{2}{\omega - \epsilon_q} + \frac{\sum_\beta \hat{n}_{d\beta}\left(\epsilon_q - \omega + 2\epsilon_d\right)}{\left(\omega - \epsilon_q\right)\left(\omega - \epsilon_q - \epsilon_d\right)} - \frac{2\hat{n}_{d\uparrow}\hat{n}_{d\downarrow}\epsilon_d}{\left(\omega - \epsilon_q\right)\left(\omega - \epsilon_q - \epsilon_d\right)}\right] \\
&= -\sum_{k\sigma q} c_{k\sigma} c_{d\sigma}^\dagger V_q^* V_2 \left[\frac{2}{\omega - \epsilon_q} + \frac{\hat{n}_{d\bar{\sigma}}\left(\epsilon_q - \omega + 2\epsilon_d\right)}{\left(\omega - \epsilon_q\right)\left(\omega - \epsilon_q - \epsilon_d\right)}\right] \\
&= \sum_{k\sigma q} c_{d\sigma}^\dagger c_{k\sigma} V_q^* V_2 \left[\frac{2}{\omega - \epsilon_q} + \frac{\hat{n}_{d\bar{\sigma}}\left(\epsilon_q - \omega + 2\epsilon_d\right)}{\left(\omega - \epsilon_q\right)\left(\omega - \epsilon_q - \epsilon_d\right)}\right]
\end{aligned}
$$

(1.30)

In the penultimate step, I used

$$c_{d\sigma}^\dagger \times \sum_\beta \hat{n}_{d\beta} = c_{d\sigma}^\dagger \times \left(\hat{n}_{d\sigma} + \hat{n}_{d\bar{\sigma}}\right) = c_{d\sigma}^\dagger \hat{n}_{d\bar{\sigma}}$$

(1.31)

and

$$c_{d\sigma}^\dagger \times \hat{n}_{d\uparrow}\hat{n}_{d\downarrow} = c_{d\sigma}^\dagger \times \hat{n}_{d\sigma}\hat{n}_{d\bar{\sigma}} = 0$$

(1.32)

The first of these terms renormalizes the coupling $V_{k'}^*$. The second term in $\Delta\mathcal{H}_N$ gives

$$
\begin{aligned}
&-\sum_{q\beta k\sigma} V_q V_2 \left(1 - \hat{n}_{d\beta}\right) c_{d\sigma} c_{k\sigma}^\dagger \frac{1}{\omega - (H_{\text{imp}} + V_2\hat{n}_{d\beta} + \epsilon_q)} \\
&= -\sum_{qk\sigma} V_q V_2 c_{d\sigma} c_{k\sigma}^\dagger \left[\frac{1}{\omega - (H_{\text{imp}} + V_2\hat{n}_{d\sigma} + \epsilon_q)} + \left(1 - \hat{n}_{d\sigma}\right) \frac{1}{\omega - (H_{\text{imp}} + \epsilon_q)}\right] \\
&= -\sum_{qk\sigma} V_q V_2 c_{d\sigma} c_{k\sigma}^\dagger \left[\frac{2\left(\omega - \epsilon_q - \epsilon_d\right) - V_2}{\left(\omega - \epsilon_d - \epsilon_q\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)}\right. \\
&\quad + \left.\hat{n}_{d\bar{\sigma}} \left\{\frac{\epsilon_d + U}{\left(\omega - 2\epsilon_d - U - \epsilon_q - V_2\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} - \frac{1}{\omega - \epsilon_q - \epsilon_d}\right\}\right] \\
&= \sum_{qk\sigma} V_q V_2 c_{k\sigma}^\dagger c_{d\sigma} \left[\frac{2\left(\omega - \epsilon_q - \epsilon_d\right) - V_2}{\left(\omega - \epsilon_d - \epsilon_q\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)}\right. \\
&\quad + \left.\hat{n}_{d\bar{\sigma}} \left\{\frac{\epsilon_d + U}{\left(\omega - 2\epsilon_d - U - \epsilon_q - V_2\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} - \frac{1}{\omega - \epsilon_q - \epsilon_d}\right\}\right]
\end{aligned}
$$

(1.33)

The first of these terms renormalizes the coupling $V_{k'}$. The fourth term gives

$$\sum_{kk'q\beta\sigma\sigma'} V_2^2 c_{k\sigma} c_{d\sigma}^\dagger (1 - \hat{n}_{d\beta}) c_{d\sigma'} c_{k'\sigma'}^\dagger \frac{1}{\omega - (H_{\text{imp}} + \epsilon_q)}$$

$$= \sum_{kk'q\sigma\sigma'} V_2^2 c_{k\sigma} c_{d\sigma}^\dagger c_{d\sigma'} c_{k'\sigma'}^\dagger \left[ \frac{2(\omega - \epsilon_q - \epsilon_d) - V_2}{(\omega - \epsilon_d - \epsilon_q)(\omega - \epsilon_d - \epsilon_q - V_2)} \right.$$

$$\left. + \hat{n}_{d\bar\sigma} \left\{ \frac{\epsilon_d + U}{(\omega - 2\epsilon_d - U - \epsilon_q - V_2)(\omega - \epsilon_d - \epsilon_q - V_2)} - \frac{1}{\omega - \epsilon_q - \epsilon_d} \right\} \right]$$

$$= \sum_{kk'q\sigma\sigma'} V_2^2 \hat{n}_{d\sigma} \left[ \frac{2(\omega - \epsilon_q - \epsilon_d) - V_2}{(\omega - \epsilon_d - \epsilon_q)(\omega - \epsilon_d - \epsilon_q - V_2)} \right. \tag{1.34}$$

$$\left. + \hat{n}_{d\bar\sigma} \left\{ \frac{\epsilon_d + U}{(\omega - 2\epsilon_d - U - \epsilon_q - V_2)(\omega - \epsilon_d - \epsilon_q - V_2)} - \frac{1}{\omega - \epsilon_q - \epsilon_d} \right\} \right]$$

$$+ \sum_{kk'q\sigma\sigma'} V_2^2 c_{d\sigma}^\dagger c_{k'\sigma'}^\dagger c_{d\sigma'} c_{k\sigma} \left[ \frac{2(\omega - \epsilon_q - \epsilon_d) - V_2}{(\omega - \epsilon_d - \epsilon_q)(\omega - \epsilon_d - \epsilon_q - V_2)} \right.$$

$$\left. + \hat{n}_{d\bar\sigma} \left\{ \frac{\epsilon_d + U}{(\omega - 2\epsilon_d - U - \epsilon_q - V_2)(\omega - \epsilon_d - \epsilon_q - V_2)} - \frac{1}{\omega - \epsilon_q - \epsilon_d} \right\} \right]$$

The first line in the final equation describes the renormalization of $\epsilon_d$ and $U$ at order $V_2^2$. The first term in the second line of the last equation describes the renormalization of the two-particle interaction coupling, $V_2$.

The changes in the couplings are

$$\Delta\epsilon_d = \sum_q \left[ |V_q|^2 \frac{\epsilon_q - \omega + 2\epsilon_d}{(\omega - \epsilon_q)(\omega - \epsilon_q - \epsilon_d)} + V_2^2 \frac{2(\omega - \epsilon_q - \epsilon_d) - V_2}{(\omega - \epsilon_d - \epsilon_q)(\omega - \epsilon_d - \epsilon_q - V_2)} \right]$$

$$\Delta U = \sum_q \left[ -\frac{2|V_q|^2 \epsilon_d}{(\omega - \epsilon_q)(\omega - \epsilon_q - \epsilon_d)} + \frac{V_2^2(\epsilon_d + U)}{(\omega - 2\epsilon_d - U - \epsilon_q - V_2)(\omega - \epsilon_d - \epsilon_q - V_2)} \right.$$

$$\left. - \frac{V_2^2}{\omega - \epsilon_q - \epsilon_d} \right]$$

$$\Delta V_k = 2 \sum_q V_q V_2 \frac{(\omega - \epsilon_q - \epsilon_d) - \frac{V_2}{2}}{(\omega - \epsilon_d - \epsilon_q)(\omega - \epsilon_d - \epsilon_q - V_2)}$$

$$\Delta V_k^* = 2 \sum_q \frac{V_q^* V_2}{\omega - \epsilon_q}$$

$$\Delta V_2 = 2 \sum_q V_2^2 \frac{(\omega - \epsilon_q - \epsilon_d) - \frac{V_2}{2}}{(\omega - \epsilon_d - \epsilon_q)(\omega - \epsilon_d - \epsilon_q - V_2)}$$

$$\tag{1.35}$$

The renormalized Hamiltonian is

$$\mathcal{H}_{N-1} = \sum_{k\sigma} \left[ \epsilon_k^{N-1} \hat{n}_{k\sigma} + V_k^{N-1} c_{k\sigma}^\dagger c_{d\sigma} + h.c. \right] + \epsilon_d^{N-1} \sum_\sigma \hat{n}_{d\sigma} + U^{N-1} \hat{n}_{d\uparrow} \hat{n}_{d\downarrow}$$

$$+ \sum_{\substack{kk' \\ \sigma\sigma'}} V_2^{N-1} c_{d\sigma'}^\dagger c_{k\sigma}^\dagger c_{d\sigma} c_{k'\sigma'} + \frac{1}{2} \sum_{q\beta} \left( \epsilon_q \tau_{q\beta} - V_2 \hat{n}_{d\beta} \tau_{q\beta} \right)$$

$$+ \sum_{k\sigma q} c_{d\sigma}^\dagger c_{k\sigma} \hat{n}_{d\bar\sigma} V_q^* V_2 C_1 - \sum_{qk\sigma} V_q V_2 c_{k\sigma}^\dagger c_{d\sigma} \hat{n}_{d\bar\sigma} C_2 - \sum_{kk'q\sigma\sigma'} V_2^2 c_{k'\sigma'}^\dagger c_{d\sigma}^\dagger c_{d\sigma'} c_{k\sigma} \hat{n}_{d\bar\sigma'} C_3$$

$$\tag{1.36}$$

**Sanity Checks** $(\omega = 0)$

**1. of $\epsilon_d$**

$$\delta\epsilon_d = \sum_q |V_q|^2 \frac{\epsilon_q - \omega + 2\epsilon_d}{(\omega - \epsilon_q)(\omega - \epsilon_q - \epsilon_d)}$$

$$= |V|^2 n(D) \frac{D}{D^2} \qquad\qquad \text{[D very large]}$$

$$= -|V|^2 \rho \delta D \frac{1}{D} \qquad\qquad\qquad\qquad\qquad\qquad \tag{1.37}$$

$$= -\frac{\Delta}{\pi} \delta \ln D$$

$$\implies \frac{d\epsilon_d}{d \ln D} = -\frac{\Delta}{\pi} \qquad\qquad \text{[matches with Hewson]}$$

**2. of $U$**

$$\delta U = -\sum_q |V_q|^2 \frac{2\epsilon_d}{(\omega - \epsilon_q)(\omega - \epsilon_q - \epsilon_d)}$$

$$\tag{1.38}$$

$$= |V|^2 \rho \delta D \frac{2\epsilon_d}{D^2} \qquad\qquad \text{[very small, matches with Hewson]}$$

**3. of $V_1$**

$$\delta V_1 = \sum_q V_q V_2 \frac{2(\omega - \epsilon_q - \epsilon_d) - V_2}{(\omega - \epsilon_d - \epsilon_q)(\omega - \epsilon_d - \epsilon_q - V_2)}$$

$$= V_1 V_2 \rho \delta D \frac{2(D + \epsilon_d) + V_2}{(\epsilon_d + D)(\epsilon_d + D + V_2)}$$

$$= V_1 V_2 \frac{\delta D}{2D_0} \frac{1}{D}$$

$$\implies \frac{dV_1}{dD} = \frac{V_1 V_2}{D_0 D}$$

[matches with Jefferson up to a D, should come from the definition of $V_2$] (1.39)

**For $\hat{n}_{q\beta} = 0$:**

$$\Delta\mathcal{H}_N$$

$$= \sum_{q\beta} \tau_{q\beta} \frac{1}{\hat{\omega} - H_{imp}(1 - \hat{n}_{q\beta})} c_{d\beta}^\dagger \left[ V_q^* - \sum_{k'\sigma'} V_2 c_{d\sigma'} c_{k'\sigma'}^\dagger \right] c_{q\beta} c_{q\beta}^\dagger \left[ V_q - \sum_{k\sigma} V_2 c_{k\sigma} c_{d\sigma}^\dagger \right] c_{d\beta}$$

$$= \sum_{q\beta} \frac{1}{\omega + H_{imp} + \epsilon_q + V_2 \hat{n}_{d\beta}} c_{d\beta}^\dagger \left[ V_q^* - \sum_{k'\sigma'} V_2 c_{d\sigma'} c_{k'\sigma'}^\dagger \right] \left[ V_q - \sum_{k\sigma} V_2 c_{k\sigma} c_{d\sigma}^\dagger \right] c_{d\beta}$$

(1.40)

Here I replaced $\hat{\omega} - \frac{\epsilon_q}{2} - \frac{H_{imp} + V_2 \hat{n}_{d\beta}}{2}$ with $\hat{\omega}$, just as in the previous section, and then set $\hat{n}_{q\beta} = 0, \tau_{q\beta} = -\frac{1}{2}$. Now,

$$\frac{1}{\omega + H_{imp} + V_2 \hat{n}_{d\beta} + \epsilon_q} c_{d\beta}^\dagger = \frac{1}{\omega + \epsilon_d + V_2 + (\epsilon_d + U)\hat{n}_{d\bar{\beta}} + \epsilon_q} c_{d\beta}^\dagger$$

$$= \left[ \frac{1}{\omega + \epsilon_d + V_2 + \epsilon_q} - \frac{\hat{n}_{d\bar{\beta}}(\epsilon_d + U)}{(\omega + 2\epsilon_d + U + V_2 + \epsilon_q)(\omega + \epsilon_d + V_2 + \epsilon_q)} \right] c_d^\dagger$$

(1.41)

The first term in $\Delta\mathcal{H}_N$ gives

$$\sum_{q\beta} \frac{1}{\omega + H_{imp} + V_2 + \epsilon_q} |V_q|^2 \hat{n}_{d\beta}$$

$$= \sum_{q\beta} \left[ \frac{|V_q|^2}{\omega + \epsilon_d + V_2 + \epsilon_q} - \frac{|V_q|^2 \hat{n}_{d\bar{\beta}}(\epsilon_d + U)}{(\omega + 2\epsilon_d + U + V_2 + \epsilon_q)(\omega + \epsilon_d + V_2 + \epsilon_q)} \right] \hat{n}_{d\beta}$$

$$= \sum_{q\beta} \frac{\hat{n}_{d\beta} |V_q|^2}{\omega + \epsilon_d + V_2 + \epsilon_q} - \hat{n}_{d\uparrow} \hat{n}_{d\downarrow} \sum_q \frac{2|V_q|^2(\epsilon_d + U)}{(\omega + 2\epsilon_d + U + V_2 + \epsilon_q)(\omega + \epsilon_d + V_2 + \epsilon_q)}$$

(1.42)

The second term gives

$$\sum_{k\sigma q\beta} \frac{-V_q^* V_2}{\omega + H_{imp} + V_2 + \epsilon_q} c_{d\beta}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\beta} = -\sum_{k\sigma q} \frac{V_q^* V_2}{\omega + H_{imp} + V_2 + \epsilon_q} \left( c_{d\sigma}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\sigma} + c_{d\bar\sigma}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\bar\sigma} \right)$$

(1.43)

The first term on the RHS is zero, because it has two consecutive $c_{d\sigma}^\dagger$.

$$-\sum_{k\sigma q\beta} \frac{V_q^* V_2}{\omega + H_{imp} + V_2 + \epsilon_q} c_{d\beta}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\beta} = -\sum_{k\sigma q} \frac{V_q^* V_2}{\omega + H_{imp} + V_2 + \epsilon_q} c_{d\bar\sigma}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\bar\sigma}$$

$$= -\sum_{k\sigma q} \frac{V_q^* V_2}{\omega + H_{imp} + V_2 + \epsilon_q} \hat{n}_{d\bar\sigma} c_{k\sigma} c_{d\sigma}^\dagger$$

$$= -\sum_{k\sigma q} \frac{V_q^* V_2}{\omega + \epsilon_d + (\epsilon_d + U)\, \hat{n}_{d\sigma} + V_2 + \epsilon_q} \hat{n}_{d\bar\sigma} c_{k\sigma} c_{d\sigma}^\dagger$$

$$= -\sum_{k\sigma q} \frac{V_q^* V_2}{\omega + 2\epsilon_d + U + V_2 + \epsilon_q} \hat{n}_{d\bar\sigma} c_{k\sigma} c_{d\sigma}^\dagger$$

(1.44)

The third term gives

$$-\sum_{k\sigma q\beta} \frac{V_q V_2}{\omega + H_{imp} + V_2 + \epsilon_q} c_{d\beta}^\dagger c_{d\sigma} c_{k\sigma}^\dagger c_{d\beta} = -\sum_{k\sigma q} \frac{V_q V_2}{\omega + H_{imp} + V_2 + \epsilon_q} c_{d\bar\sigma}^\dagger c_{d\sigma} c_{k\sigma}^\dagger c_{d\bar\sigma}$$

$$= -\sum_{k\sigma q} \frac{V_q V_2}{\omega + \epsilon_d + (\epsilon_d + U)\, \hat{n}_{d\sigma} + V_2 + \epsilon_q} \hat{n}_{d\bar\sigma} c_{d\sigma} c_{k\sigma}^\dagger$$

$$= -\sum_{k\sigma q} \frac{V_q V_2}{\omega + \epsilon_d + V_2 + \epsilon_q} \hat{n}_{d\bar\sigma} c_{d\sigma} c_{k\sigma}^\dagger$$

(1.45)

The fourth term gives

$$
\sum_{\substack{kk'q \\ \sigma\sigma'\beta}} \frac{V_2^2}{\omega + H_{imp} + V_2 + \epsilon_q} c_{d\beta}^\dagger c_{d\sigma'} c_{k'\sigma'}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\beta}
$$

$$
= \sum_{\substack{kk'q \\ \sigma\sigma'\beta}} \frac{V_2^2}{\omega + H_{imp} + V_2 + \epsilon_q} c_{d\beta}^\dagger c_{d\sigma'} c_{k'\sigma'}^\dagger c_{k\sigma} \left( \delta_{\beta\sigma} - c_{d\beta}^\dagger c_{d\sigma} \right)
$$

$$
= - \sum_{qkk'\sigma\sigma'} \frac{V_2^2}{\omega + \epsilon_d + V_2 + \epsilon_q} c_{d\sigma}^\dagger c_{k'\sigma'}^\dagger c_{d\sigma'} c_{k\sigma}
$$

$$
+ \sum_{qkk'\sigma\sigma'} \frac{V_2^2 \hat{n}_{d\overline{\sigma}} \left( \epsilon_d + U \right)}{\left( \omega + 2\epsilon_d + U + V_2 + \epsilon_q \right) \left( \omega + \epsilon_d + V_2 + \epsilon_q \right)} c_{d\sigma}^\dagger c_{k'\sigma'}^\dagger c_{d\sigma'} c_{k\sigma}
$$

$$
+ \sum_{\substack{qkk'\sigma \\ q\beta}} \left[ \frac{V_2^2 \hat{n}_{d\beta}}{\omega + \epsilon_d + V_2 + \epsilon_q} - \frac{V_2^2 \left( \epsilon_d + U \right) \hat{n}_{d\uparrow} \hat{n}_{d\downarrow}}{\left( \omega + 2\epsilon_d + U + V_2 + \epsilon_q \right) \left( \omega + \epsilon_d + V_2 + \epsilon_q \right)} \right] c_{k'\sigma'}^\dagger c_{k\sigma}
$$

$$
+ \sum_{\substack{qkk'\sigma \\ \sigma'q\beta}} \left[ \frac{V_2^2 \hat{n}_{d\beta}}{\omega + \epsilon_d + \epsilon_q} - \frac{V_2^2 \left( \epsilon_d + U \right) \hat{n}_{d\uparrow} \hat{n}_{d\downarrow}}{\left( \omega + 2\epsilon_d + U + \epsilon_q \right) \left( \omega + \epsilon_d + \epsilon_q \right)} \right] c_{d\sigma}^\dagger c_{k'\sigma'}^\dagger c_{d\sigma'} c_{k\sigma}
$$

(1.46)

The changes in the couplings are

$$
\Delta\epsilon_d = \sum_q \frac{|V_q|^2}{\omega + \epsilon_d + V_2 + \epsilon_q}
$$

$$
\Delta U = \sum_q \frac{2|V_q|^2 \left( \epsilon_d + U \right)}{\left( \omega + 2\epsilon_d + U + V_2 + \epsilon_q \right) \left( \omega + \epsilon_d + V_2 + \epsilon_q \right)}
$$

$$
\Delta V_k = \Delta V_k^* = 0
$$

$$
\Delta V_2 = - \sum_q \frac{V_2^2}{\omega + \epsilon_d + V_2 + \epsilon_q}
$$

(1.47)

## With higher order scattering

$$
\mathcal{H} = \sum_{k\sigma} \epsilon_k \hat{n}_{k\sigma} + \sum_{k\sigma} \left( V_k c_{k\sigma}^\dagger c_{d\sigma} + h.c. \right) + \epsilon_d \sum_\sigma \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} + \sum_{\substack{kk' \\ \sigma\sigma'}} V_2 c_{d\sigma'}^\dagger c_{k\sigma}^\dagger c_{d\sigma} c_{k'\sigma'}
$$

(1.48)

Such an interaction allows both spin-flip $(d\sigma \to d\overline{\sigma})$ as well as spin-preserving $(d\sigma \to d\sigma)$ scattering.

**One electron on shell:**

$$\mathcal{H}_N = H_0 + H_{\text{imp}} + \epsilon_q \hat{n}_{q\beta} + V_q c^\dagger_{q\beta} c_{d\beta} + V_q^* c^\dagger_{d\beta} c_{q\beta} + \sum_{k\sigma} V_2 \left( c^\dagger_{q\beta} c^\dagger_{d\sigma} c_{k\sigma} c_{d\beta} - c^\dagger_{d\beta} c_{d\sigma} c^\dagger_{k\sigma} c_{q\beta} \right)$$

$$+ V_2 \hat{n}_{q\beta} \hat{n}_{d\beta}$$
$$(1.49)$$

**For $\hat{n}_{q\beta} = 1$:**

$$\Delta \mathcal{H}_N = \sum_{q\beta} \tau_{q\beta} c^\dagger_{q\beta} \left[ V_q + \sum_{k\sigma} V_2 c^\dagger_{d\sigma} c_{k\sigma} \right] c_{d\beta} \times c^\dagger_{d\beta} \left[ V_q^* - \sum_{k'\sigma'} V_2 c_{d\sigma'} c^\dagger_{k'\sigma'} \right] c_{q\beta}$$

$$\times \frac{1}{\hat{\omega} - (H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q) \hat{n}_{q\beta}}$$
$$(1.50)$$

The only operators acting on the shell electron can be pushed to the end, and we will get $\tau_{q\beta} \hat{n}_{q\beta} = \frac{1}{2} \hat{n}_{q\beta}$. We can also simplify the last factor as

$$\frac{1}{2} \hat{n}_{q\beta} \frac{1}{\hat{\omega} - (H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q) \hat{n}_{q\beta}} = \frac{1}{2} \hat{n}_{q\beta} \frac{1}{2\omega \tau_{q\beta} - (H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q) \hat{n}_{q\beta}}$$

$$= \frac{1/2}{\omega - (H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q)} \qquad [\hat{n}_{q\beta} = 1]$$
$$(1.51)$$

$$= \sum_{q\beta} \left[ V_q - \sum_{k\sigma} V_2 c_{k\sigma} c^\dagger_{d\sigma} \right] (1 - \hat{n}_{d\beta}) \left[ V_q^* - \sum_{k'\sigma'} V_2 c_{d\sigma'} c^\dagger_{k'\sigma'} \right] \frac{1/2}{\omega - (H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q)}$$

$$= \sum_{q\beta} \left[ |V_q|^2 (1 - \hat{n}_{d\beta}) - \sum_{k'\sigma'} V_q V_2 (1 - \hat{n}_{d\beta}) c_{d\sigma'} c^\dagger_{k'\sigma'} - \sum_{k\sigma} V_q^* V_2 c_{k\sigma} c^\dagger_{d\sigma} (1 - \hat{n}_{d\beta}) \right.$$

$$\left. + \sum_{kk'\sigma\sigma'} V_2^2 c_{k\sigma} c^\dagger_{d\sigma} (1 - \hat{n}_{d\beta}) c_{d\sigma'} c^\dagger_{k'\sigma'} \right] \frac{1/2}{\omega - (H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q)}$$
$$(1.52)$$

The first term in $\Delta \mathcal{H}_N$ is (calculated in the previous section)

$$\sum_{q\beta} |V_q|^2 (1 - \hat{n}_{d\beta}) \frac{1/2}{\omega - (H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q)} = \frac{1}{2} \sum_{q\beta} |V_q|^2 \frac{\hat{n}_{d\beta} (\epsilon_q - \omega + 2\epsilon_d) - \hat{n}_{d\uparrow} \hat{n}_{d\downarrow} \epsilon_d}{(\omega - \epsilon_q)(\omega - \epsilon_q - \epsilon_d)}$$
$$(1.53)$$

Just as in the previous section, they renormalize the onsite energy $\epsilon_d$ and double-occupation penalty $U$. The third term in $\Delta\mathcal{H}_N$ gives

$$
-\sum_{k\sigma q\beta} c_{k\sigma} c_{d\sigma}^\dagger V_q^* V_2 \left(1 - \hat{n}_{d\beta}\right) \frac{1/2}{\omega - \left(H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q\right)}
$$

$$
= -\frac{1}{2} \sum_{k\sigma q} c_{k\sigma} c_{d\sigma}^\dagger V_q^* V_2 \left[ \frac{2}{\omega - \epsilon_q} + \frac{\sum_\beta \hat{n}_{d\beta} \left(\epsilon_q - \omega + 2\epsilon_d\right)}{\left(\omega - \epsilon_q\right)\left(\omega - \epsilon_q - \epsilon_d\right)} - \frac{2\hat{n}_{d\uparrow}\hat{n}_{d\downarrow}\epsilon_d}{\left(\omega - \epsilon_q\right)\left(\omega - \epsilon_q - \epsilon_d\right)} \right]
$$

$$
= -\frac{1}{2} \sum_{k\sigma q} c_{k\sigma} c_{d\sigma}^\dagger V_q^* V_2 \left[ \frac{2}{\omega - \epsilon_q} + \frac{\hat{n}_{d\bar\sigma} \left(\epsilon_q - \omega + 2\epsilon_d\right)}{\left(\omega - \epsilon_q\right)\left(\omega - \epsilon_q - \epsilon_d\right)} \right]
$$

$$
= \sum_{k\sigma q} c_{d\sigma}^\dagger c_{k\sigma} V_q^* V_2 \left[ \frac{1}{\omega - \epsilon_q} + \frac{1}{2}\frac{\hat{n}_{d\bar\sigma} \left(\epsilon_q - \omega + 2\epsilon_d\right)}{\left(\omega - \epsilon_q\right)\left(\omega - \epsilon_q - \epsilon_d\right)} \right]
\tag{1.54}
$$

In the penultimate step, I used

$$
c_{d\sigma}^\dagger \times \sum_\beta \hat{n}_{d\beta} = c_{d\sigma}^\dagger \times \left(\hat{n}_{d\sigma} + \hat{n}_{d\bar\sigma}\right) = c_{d\sigma}^\dagger \hat{n}_{d\bar\sigma}
\tag{1.55}
$$

and

$$
c_{d\sigma}^\dagger \times \hat{n}_{d\uparrow}\hat{n}_{d\downarrow} = c_{d\sigma}^\dagger \times \hat{n}_{d\sigma}\hat{n}_{d\bar\sigma} = 0
\tag{1.56}
$$

The first of these terms renormalizes the coupling $V_{k'}^*$. The second term in $\Delta\mathcal{H}_N$ gives

$$
-\sum_{q\beta k\sigma} V_q V_2 \left(1 - \hat{n}_{d\beta}\right) c_{d\sigma} c_{k\sigma}^\dagger \frac{1/2}{\omega - \left(H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q\right)}
$$

$$
= -\frac{1}{2} \sum_{qk\sigma} V_q V_2 c_{d\sigma} c_{k\sigma}^\dagger \left[ \frac{1}{\omega - \left(H_{\text{imp}} + V_2 \hat{n}_{d\sigma} + \epsilon_q\right)} + \left(1 - \hat{n}_{d\bar\sigma}\right)\frac{1}{\omega - \left(H_{\text{imp}} + \epsilon_q\right)} \right]
$$

$$
= -\frac{1}{2} \sum_{qk\sigma} V_q V_2 c_{d\sigma} c_{k\sigma}^\dagger \left[ \frac{2\left(\omega - \epsilon_q - \epsilon_d\right) - V_2}{\left(\omega - \epsilon_d - \epsilon_q\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} \right.
$$

$$
\left. + \hat{n}_{d\bar\sigma} \left\{ \frac{\epsilon_d + U}{\left(\omega - 2\epsilon_d - U - \epsilon_q - V_2\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} - \frac{1}{\omega - \epsilon_q - \epsilon_d} \right\} \right]
\tag{1.57}
$$

$$
= \sum_{qk\sigma} V_q V_2 c_{k\sigma}^\dagger c_{d\sigma} \left[ \frac{\left(\omega - \epsilon_q - \epsilon_d\right) - V_2/2}{\left(\omega - \epsilon_d - \epsilon_q\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} \right.
$$

$$
\left. + \frac{1}{2}\hat{n}_{d\bar\sigma} \left\{ \frac{\epsilon_d + U}{\left(\omega - 2\epsilon_d - U - \epsilon_q - V_2\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} - \frac{1}{\omega - \epsilon_q - \epsilon_d} \right\} \right]
$$

The first of these terms renormalizes the coupling $V_{k'}$. The fourth term gives

$$\sum_{kk'q\beta\sigma\sigma'} V_2^2 c_{k\sigma} c_{d\sigma}^\dagger \left(1 - \hat{n}_{d\beta}\right) c_{d\sigma'} c_{k'\sigma'}^\dagger \frac{1/2}{\omega - (H_{\text{imp}} + \epsilon_q)}$$

$$= \frac{1}{2} \sum_{kk'q\sigma\sigma'} V_2^2 c_{k\sigma} c_{d\sigma}^\dagger c_{d\sigma'} c_{k'\sigma'}^\dagger \left[ \frac{2\left(\omega - \epsilon_q - \epsilon_d\right) - V_2}{\left(\omega - \epsilon_d - \epsilon_q\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} \right.$$

$$+ \left. \hat{n}_{d\sigma} \left\{ \frac{\epsilon_d + U}{\left(\omega - 2\epsilon_d - U - \epsilon_q - V_2\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} - \frac{1}{\omega - \epsilon_q - \epsilon_d} \right\} \right]$$

$$= \sum_{kk'q\sigma\sigma'} V_2^2 \hat{n}_{d\sigma} \left[ \frac{\left(\omega - \epsilon_q - \epsilon_d\right) - V_2/2}{\left(\omega - \epsilon_d - \epsilon_q\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} \right. \tag{1.58}$$

$$+ \frac{1}{2}\hat{n}_{d\sigma} \left\{ \frac{\epsilon_d + U}{\left(\omega - 2\epsilon_d - U - \epsilon_q - V_2\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} - \frac{1}{\omega - \epsilon_q - \epsilon_d} \right\} \right]$$

$$+ \sum_{kk'q\sigma\sigma'} V_2^2 c_{d\sigma}^\dagger c_{k'\sigma'}^\dagger c_{d\sigma'} c_{k\sigma} \left[ \frac{\left(\omega - \epsilon_q - \epsilon_d\right) - V_2/2}{\left(\omega - \epsilon_d - \epsilon_q\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} \right.$$

$$+ \frac{1}{2}\hat{n}_{d\sigma} \left\{ \frac{\epsilon_d + U}{\left(\omega - 2\epsilon_d - U - \epsilon_q - V_2\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} - \frac{1}{\omega - \epsilon_q - \epsilon_d} \right\} \right]$$

The first line in the final equation describes the renormalization of $\epsilon_d$ and $U$ at order $V_2^2$. The first term in the second line of the last equation describes the renormalization of the two-particle interaction coupling, $V_2$.

The changes in the couplings are

$$\Delta\epsilon_d = \sum_q \left[ |V_q|^2 \frac{\epsilon_q - \omega + 2\epsilon_d}{2\left(\omega - \epsilon_q\right)\left(\omega - \epsilon_q - \epsilon_d\right)} + V_2^2 \frac{\left(\omega - \epsilon_q - \epsilon_d\right) - V_2/2}{\left(\omega - \epsilon_d - \epsilon_q\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} \right]$$

$$\Delta U = \sum_q \left[ -\frac{|V_q|^2 \epsilon_d}{\left(\omega - \epsilon_q\right)\left(\omega - \epsilon_q - \epsilon_d\right)} + \frac{1}{2} \frac{V_2^2 \left(\epsilon_d + U\right)}{\left(\omega - 2\epsilon_d - U - \epsilon_q - V_2\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)} \right.$$

$$\left. - \frac{1}{2}\frac{V_2^2}{\omega - \epsilon_q - \epsilon_d} \right]$$

$$\Delta V_k = \sum_q V_q V_2 \frac{\left(\omega - \epsilon_q - \epsilon_d\right) - \frac{V_2}{2}}{\left(\omega - \epsilon_d - \epsilon_q\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)}$$

$$\Delta V_k^* = \sum_q \frac{V_q^* V_2}{\omega - \epsilon_q}$$

$$\Delta V_2 = \sum_q V_2^2 \frac{\left(\omega - \epsilon_q - \epsilon_d\right) - \frac{V_2}{2}}{\left(\omega - \epsilon_d - \epsilon_q\right)\left(\omega - \epsilon_d - \epsilon_q - V_2\right)}$$

$$\tag{1.59}$$

The renormalized Hamiltonian is

$$\mathcal{H}_{N-1} = \sum_{k\sigma} \left[ \epsilon_k^{N-1} \hat{n}_{k\sigma} + V_k^{N-1} c_{k\sigma}^\dagger c_{d\sigma} + h.c. \right] + \epsilon_d^{N-1} \sum_\sigma \hat{n}_{d\sigma} + U^{N-1} \hat{n}_{d\uparrow} \hat{n}_{d\downarrow}$$

$$+ \sum_{\substack{kk' \\ \sigma\sigma'}} V_2^{N-1} c_{d\sigma'}^\dagger c_{k\sigma}^\dagger c_{d\sigma} c_{k'\sigma'} + \frac{1}{2} \sum_{q\beta} \left( \epsilon_q \tau_{q\beta} - V_2 \hat{n}_{d\beta} \tau_{q\beta} \right)$$

$$+ \sum_{k\sigma q} c_{d\sigma}^\dagger c_{k\sigma} \hat{n}_{d\overline{\sigma}} V_q^* V_2 C_1 - \sum_{qk\sigma} V_q V_2 c_{k\sigma}^\dagger c_{d\sigma} \hat{n}_{d\overline{\sigma}} C_2 - \sum_{kk'q\sigma\sigma'} V_2^2 c_{k'\sigma'}^\dagger c_{d\sigma}^\dagger c_{d\sigma'} c_{k\sigma} \hat{n}_{d\overline{\sigma'}} C_3$$

$$(1.60)$$

**For $\hat{n}_{q\beta} = 0$:** To get the hole kinetic energy, we write the kinetic energy part as

$$\epsilon_q \hat{n}_{q\beta} = -\epsilon_q \left( 1 - \hat{n}_{q\beta} \right) + \epsilon_q \tag{1.61}$$

and drop the extra constant term.

$$\Delta\mathcal{H}_N = \sum_{q\beta} \tau_{q\beta} \frac{1}{\hat{\omega} - \text{Tr}_{q\beta} \left( \mathcal{H}_N^D \left( 1 - \hat{n}_{q\beta} \right) \right) \left( 1 - \hat{n}_{q\beta} \right)} \text{Tr}_{q\beta} \left( c_{q\beta}^\dagger \mathcal{H}_N \right) c_{q\beta} c_{q\beta}^\dagger \text{Tr}_{q\beta} \left( \mathcal{H}_N c_{q\beta} \right)$$

$$= \sum_{q\beta} \tau_{q\beta} \frac{1}{2\omega \tau_{q\beta} - (H_{imp} - \epsilon_q) \left( 1 - \hat{n}_{q\beta} \right)} c_{d\beta}^\dagger \left[ V_q^* - \sum_{k'\sigma'} V_2 c_{d\sigma'} c_{k'\sigma'}^\dagger \right] c_{q\beta} c_{q\beta}^\dagger \left[ V_q - \sum_{k\sigma} V_2 c_{k\sigma} c_{d\sigma}^\dagger \right] c_{d\beta}$$

$$= \sum_{q\beta} \frac{-1/2}{-\omega - H_{imp} + \epsilon_q} c_{d\beta}^\dagger \left[ V_q^* - \sum_{k'\sigma'} V_2 c_{d\sigma'} c_{k'\sigma'}^\dagger \right] \left[ V_q - \sum_{k\sigma} V_2 c_{k\sigma} c_{d\sigma}^\dagger \right] c_{d\beta}$$

$$= \sum_{q\beta} \frac{1/2}{\omega + H_{imp} - \epsilon_q} c_{d\beta}^\dagger \left[ V_q^* - \sum_{k'\sigma'} V_2 c_{d\sigma'} c_{k'\sigma'}^\dagger \right] \left[ V_q - \sum_{k\sigma} V_2 c_{k\sigma} c_{d\sigma}^\dagger \right] c_{d\beta}$$

$$(1.62)$$

Here I set $\hat{n}_{q\beta} = 0, \tau_{q\beta} = -\frac{1}{2}$. Now,

$$\frac{1/2}{\omega + H_{imp} - \epsilon_q} c_{d\beta}^\dagger = \frac{1/2}{\omega + \epsilon_d + (\epsilon_d + U) \hat{n}_{d\overline{\beta}} - \epsilon_q} c_{d\beta}^\dagger$$

$$= \frac{1}{2} \left[ \frac{1}{\omega + \epsilon_d - \epsilon_q} - \frac{\hat{n}_{d\overline{\beta}} (\epsilon_d + U)}{(\omega + (2\epsilon_d + U) - \epsilon_q)(\omega + \epsilon_d - \epsilon_q)} \right] c_{d\beta}^\dagger \tag{1.63}$$

The first term in $\Delta\mathcal{H}_N$ gives

$$\frac{1}{2} \sum_{q\beta} \frac{1}{\omega + H_{imp} - \epsilon_q} |V_q|^2 \hat{n}_{d\beta}$$

$$= \frac{1}{2} \sum_{q\beta} \left[ \frac{|V_q|^2}{\omega + \epsilon_d - \epsilon_q} + \frac{|V_q|^2 \hat{n}_{d\overline{\beta}} (\epsilon_d + U)}{(\omega + (2\epsilon_d + U) - \epsilon_q)(\omega + \epsilon_d - \epsilon_q)} \right] \hat{n}_{d\beta} \tag{1.64}$$

$$= \frac{1}{2} \sum_{q\beta} \frac{\hat{n}_{d\beta} |V_q|^2}{\omega + \epsilon_d - \epsilon_q} + \hat{n}_{d\uparrow} \hat{n}_{d\downarrow} \sum_q \frac{|V_q|^2 (\epsilon_d + U)}{(\omega + (2\epsilon_d + U) - \epsilon_q)(\omega + \epsilon_d - \epsilon_q)}$$

The second term gives

$$\sum_{k\sigma q\beta} \frac{-V_q^* V_2}{\omega + H_{imp} + \epsilon_q} c_{d\beta}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\beta} = -\sum_{k\sigma q} \frac{V_q^* V_2}{\omega + H_{imp} + \epsilon_q} \left( c_{d\sigma}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\sigma} + c_{d\bar\sigma}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\bar\sigma} \right)$$

(1.65)

The first term on the RHS is zero, because it has two consecutive $c_{d\sigma}^\dagger$.

$$
\begin{aligned}
-\sum_{k\sigma q\beta} \frac{V_q^* V_2}{\omega + H_{imp} + \epsilon_q} c_{d\beta}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\beta} &= -\sum_{k\sigma q} \frac{V_q^* V_2}{\omega + H_{imp} + \epsilon_q} c_{d\bar\sigma}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\bar\sigma} \\
&= -\sum_{k\sigma q} \frac{V_q^* V_2}{\omega + H_{imp} + \epsilon_q} \hat{n}_{d\bar\sigma} c_{k\sigma} c_{d\sigma}^\dagger \\
&= -\sum_{k\sigma q} \frac{V_q^* V_2}{\omega + \epsilon_d + (\epsilon_d + U)\,\hat{n}_{d\sigma} + \epsilon_q} \hat{n}_{d\bar\sigma} c_{k\sigma} c_{d\sigma}^\dagger \\
&= -\sum_{k\sigma q} \frac{V_q^* V_2}{\omega + 2\epsilon_d + U + \epsilon_q} \hat{n}_{d\bar\sigma} c_{k\sigma} c_{d\sigma}^\dagger
\end{aligned}
$$

(1.66)

The third term gives

$$
\begin{aligned}
-\sum_{k\sigma q\beta} \frac{V_q V_2}{\omega + H_{imp} + V_2 + \epsilon_q} c_{d\beta}^\dagger c_{d\sigma} c_{k\sigma}^\dagger c_{d\beta} &= -\sum_{k\sigma q} \frac{V_q V_2}{\omega + H_{imp} + V_2 + \epsilon_q} c_{d\bar\sigma}^\dagger c_{d\sigma} c_{k\sigma}^\dagger c_{d\bar\sigma} \\
&= -\sum_{k\sigma q} \frac{V_q V_2}{\omega + \epsilon_d + (\epsilon_d + U)\,\hat{n}_{d\sigma} + V_2 + \epsilon_q} \hat{n}_{d\bar\sigma} c_{d\sigma} c_{k\sigma}^\dagger \\
&= -\sum_{k\sigma q} \frac{V_q V_2}{\omega + \epsilon_d + V_2 + \epsilon_q} \hat{n}_{d\bar\sigma} c_{d\sigma} c_{k\sigma}^\dagger
\end{aligned}
$$

(1.67)

The fourth term gives

$$\sum_{\substack{kk'q \\ \sigma\sigma'\beta}} \frac{V_2^2}{\omega + H_{imp} + V_2 + \epsilon_q} c_{d\beta}^\dagger c_{d\sigma'} c_{k'\sigma'}^\dagger c_{k\sigma} c_{d\sigma}^\dagger c_{d\beta}$$

$$= \sum_{\substack{kk'q \\ \sigma\sigma'\beta}} \frac{V_2^2}{\omega + H_{imp} + V_2 + \epsilon_q} c_{d\beta}^\dagger c_{d\sigma'} c_{k'\sigma'}^\dagger c_{k\sigma} \left( \delta_{\beta\sigma} - c_{d\beta}^\dagger c_{d\sigma} \right)$$

$$= - \sum_{qkk'\sigma\sigma'} \frac{V_2^2}{\omega + \epsilon_d + V_2 + \epsilon_q} c_{d\sigma}^\dagger c_{k'\sigma'}^\dagger c_{d\sigma'} c_{k\sigma}$$

$$+ \sum_{qkk'\sigma\sigma'} \frac{V_2^2 \hat{n}_{d\bar{\sigma}} (\epsilon_d + U)}{(\omega + 2\epsilon_d + U + V_2 + \epsilon_q)(\omega + \epsilon_d + V_2 + \epsilon_q)} c_{d\sigma}^\dagger c_{k'\sigma'}^\dagger c_{d\sigma'} c_{k\sigma}$$

$$+ \sum_{\substack{qkk'\sigma \\ q\beta}} \left[ \frac{V_2^2 \hat{n}_{d\beta}}{\omega + \epsilon_d + V_2 + \epsilon_q} - \frac{V_2^2 (\epsilon_d + U) \hat{n}_{d\uparrow}\hat{n}_{d\downarrow}}{(\omega + 2\epsilon_d + U + V_2 + \epsilon_q)(\omega + \epsilon_d + V_2 + \epsilon_q)} \right] c_{k'\sigma'}^\dagger c_{k\sigma}$$

$$+ \sum_{\substack{qkk'\sigma \\ \sigma'q\beta}} \left[ \frac{V_2^2 \hat{n}_{d\beta}}{\omega + \epsilon_d + \epsilon_q} - \frac{V_2^2 (\epsilon_d + U) \hat{n}_{d\uparrow}\hat{n}_{d\downarrow}}{(\omega + 2\epsilon_d + U + \epsilon_q)(\omega + \epsilon_d + \epsilon_q)} \right] c_{d\sigma}^\dagger c_{k'\sigma'}^\dagger c_{d\sigma'} c_{k\sigma}$$

$$\tag{1.68}$$

The changes in the couplings are

$$\Delta\epsilon_d = \frac{1}{2} \sum_q \frac{|V_q|^2}{\omega + \epsilon_d - \epsilon_q}$$

$$\Delta U = \sum_q \frac{2|V_q|^2 (\epsilon_d + U)}{(\omega + 2\epsilon_d + U + V_2 + \epsilon_q)(\omega + \epsilon_d + V_2 + \epsilon_q)}$$

$$\Delta V_k = \Delta V_k^* = 0 \tag{1.69}$$

$$\Delta V_2 = - \sum_q \frac{V_2^2}{\omega + \epsilon_d + V_2 + \epsilon_q}$$

**Sanity Checks ($\omega = 0$)**

**1. of $\epsilon_d$**

$$\delta\epsilon_d = \sum_q |V_q|^2 \frac{\epsilon_q - \omega + 2\epsilon_d}{(\omega - \epsilon_q)(\omega - \epsilon_q - \epsilon_d)}$$

$$= |V|^2 n(D) \frac{D}{D^2} \qquad \text{[D very large]}$$

$$= -|V|^2 \rho \delta D \frac{1}{D} \qquad\qquad (1.70)$$

$$= -\frac{\Delta}{\pi} \delta \ln D$$

$$\implies \frac{\mathrm{d}\epsilon_d}{\mathrm{d}\ln D} = -\frac{\Delta}{\pi} \qquad \text{[matches with Hewson]}$$

**2. of $U$**

$$\delta U = -\sum_q |V_q|^2 \frac{2\epsilon_d}{(\omega - \epsilon_q)(\omega - \epsilon_q - \epsilon_d)}$$

$$\qquad\qquad\qquad (1.71)$$

$$= |V|^2 \rho \delta D \frac{2\epsilon_d}{D^2} \qquad \text{[very small, matches with Hewson]}$$

**3. of $V_1$**

$$\delta V_1 = \sum_q V_q V_2 \frac{2(\omega - \epsilon_q - \epsilon_d) - V_2}{(\omega - \epsilon_d - \epsilon_q)(\omega - \epsilon_d - \epsilon_q - V_2)}$$

$$= V_1 V_2 \rho \delta D \frac{2(D + \epsilon_d) + V_2}{(\epsilon_d + D)(\epsilon_d + D + V_2)}$$

$$= V_1 V_2 \frac{\delta D}{2D_0} \frac{1}{D}$$

$$\implies \frac{\mathrm{d}V_1}{\mathrm{d}D} = \frac{V_1 V_2}{D_0 D}$$

[matches with Jefferson up to a D, should come from the definition of $V_2$]

$$\qquad\qquad\qquad (1.72)$$

## With higher order scattering

$$\mathcal{H} = \sum_{k\sigma} \epsilon_k \hat{n}_{k\sigma} + \sum_{k\sigma} \left( V_k c_{k\sigma}^\dagger c_{d\sigma} + h.c. \right) + \epsilon_d \sum_\sigma \hat{n}_{d\sigma} + U\hat{n}_{d\uparrow}\hat{n}_{d\downarrow} + \sum_{\substack{kk' \\ \sigma\sigma'}} V_2 c_{d\sigma'}^\dagger c_{k\sigma}^\dagger c_{d\sigma} c_{k'\sigma'}$$

$$\qquad\qquad\qquad (1.73)$$

Such an interaction allows both spin-flip $(d\sigma \to d\overline{\sigma})$ as well as spin-preserving $(d\sigma \to d\sigma)$ scattering.

**One electron on shell:**

$$\mathcal{H}_N = H_0 + H_{\text{imp}} + \epsilon_q \hat{n}_{q\beta} + V_q c_{q\beta}^\dagger c_{d\beta} + V_q^* c_{d\beta}^\dagger c_{q\beta} + \sum_{k\sigma} V_2 \left( c_{q\beta}^\dagger c_{d\sigma}^\dagger c_{k\sigma} c_{d\beta} + c_{d\beta}^\dagger c_{k\sigma}^\dagger c_{d\sigma} c_{q\beta} \right)$$
$$+ V_2 \hat{n}_{q\beta} \hat{n}_{d\beta} \tag{1.74}$$

**Particle sector:**   The intermediate state consists of particle states, obtained by exciting electrons to the upper bandwidth edge $(+D)$.

$$\Delta \mathcal{H}_N = \sum_{q\beta} \tau_{q\beta} c_{d\beta}^\dagger \left[ V_q^* + \sum_{k'\sigma'} V_2 c_{k'\sigma'}^\dagger c_{d\sigma'} \right] c_{q\beta} \times \frac{1}{\hat{\omega} - \left( H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q \right) \hat{n}_{q\beta}}$$
$$\times c_{q\beta}^\dagger \left[ V_q + \sum_{k\sigma} V_2 c_{d\sigma}^\dagger c_{k\sigma} \right] c_{d\beta} \tag{1.75}$$

Since the Greens function is preceded by $c_{q\beta}$, we can substitute $\hat{n}_{q\beta} = 1$ in the denominator.

$$c_{q\beta} \times \frac{1}{\hat{\omega} - \left( H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q \right) \hat{n}_{q\beta}} = c_{q\beta} \times \frac{1}{\hat{\omega} - \left( H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \epsilon_q \right)}$$
$$= c_{q\beta} \times \frac{1}{\hat{\omega} - \frac{\epsilon_q}{2} - \left( H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \frac{\epsilon_q}{2} \right)} \tag{1.76}$$

Set $\hat{\omega} - \frac{\epsilon_q}{2} = 2\omega \tau_{q\beta} = \omega$.

$$c_{q\beta} \times \frac{1}{\omega - \left( H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \frac{\epsilon_q}{2} \right)} \tag{1.77}$$

Since $\tau_{q\beta} c_{q\beta} = -\frac{1}{2} c_{q\beta}$, we get

$$\Delta \mathcal{H}_N = \sum_{q\beta} c_{q\beta} c_{d\beta}^\dagger \left[ V_q^* + \sum_{k'\sigma'} V_2 c_{k'\sigma'}^\dagger c_{d\sigma'} \right] \frac{-1/2}{\omega - H_{\text{imp}} - V_2 \hat{n}_{d\beta} - \frac{\epsilon_q}{2}} \left[ V_q + \sum_{k\sigma} V_2 c_{d\sigma}^\dagger c_{k\sigma} \right] c_{d\beta} c_{q\beta}^\dagger \tag{1.78}$$

There are four scattering processes.

**1.**

$$\frac{-1}{2} \sum_{q\beta} |V_q|^2 c_{d\beta}^\dagger \frac{1}{\omega - \left(H_{\text{imp}} + V_2 \hat{n}_{d\beta} + \frac{\epsilon_q}{2}\right)} c_{d\beta}$$

$$= \frac{-1}{2} \sum_{q\beta} |V_q|^2 c_{d\beta}^\dagger c_{d\beta} \frac{1}{\omega - \frac{1}{2}\epsilon_q - \epsilon_d \hat{n}_{d\overline{\beta}}} \qquad [\hat{n}_{d\beta} = 0]$$

$$= \frac{-1}{2} \sum_{q\beta} |V_q|^2 \hat{n}_{d\beta} \left[ \frac{\hat{n}_{d\overline{\beta}}}{\omega - \frac{1}{2}\epsilon_q - \epsilon_d} + \frac{1 - \hat{n}_{d\overline{\beta}}}{\omega - \frac{1}{2}\epsilon_q} \right] \qquad (1.79)$$

$$= \frac{-1}{2} \sum_{q\beta} |V_q|^2 \hat{n}_{d\beta} \left[ \frac{\hat{n}_{d\overline{\beta}}\epsilon_d}{\left(\omega - \frac{1}{2}\epsilon_q - \epsilon_d\right)\left(\omega - \frac{1}{2}\epsilon_q\right)} + \frac{1}{\omega - \frac{1}{2}\epsilon_q} \right]$$

$$= -\frac{1}{2} \sum_{\beta} \hat{n}_{d\beta} \sum_{q} \frac{|V_q|^2}{\omega - \frac{1}{2}\epsilon_q} - \hat{n}_{d\uparrow}\hat{n}_{d\downarrow} \sum_{q} \frac{|V_q|^2 \epsilon_d}{\left(\omega - \frac{1}{2}\epsilon_q - \epsilon_d\right)\left(\omega - \frac{1}{2}\epsilon_q\right)}$$

**2.**

$$-\frac{1}{2} \sum_{q\beta k\sigma} V_q^* V_2 c_{q\beta} c_{d\beta}^\dagger \frac{1}{\omega - H_{\text{imp}} - V_2 \hat{n}_{d\beta} - \frac{\epsilon_q}{2}} c_{d\sigma}^\dagger c_{k\sigma} c_{d\beta} c_{q\beta}^\dagger$$

$$= -\frac{1}{2} \sum_{q\beta k} V_q^* V_2 c_{q\beta} c_{d\beta}^\dagger \frac{1}{\omega - H_{\text{imp}} - V_2 \hat{n}_{d\beta} - \frac{\epsilon_q}{2}} c_{d\overline{\beta}}^\dagger c_{k\overline{\beta}} c_{d\beta} c_{q\beta}^\dagger \qquad \left[ c_\beta^\dagger c_\sigma^\dagger = \delta_{\beta\sigma} c_\beta^\dagger \right]$$

$$= -\frac{1}{2} \sum_{q\beta k} V_q^* V_2 c_{q\beta} c_{d\beta}^\dagger \left[ \frac{\hat{n}_{d\overline{\beta}}\epsilon_d}{\left(\omega - \frac{1}{2}\epsilon_q - \epsilon_d\right)\left(\omega - \frac{1}{2}\epsilon_q\right)} + \frac{1}{\omega - \frac{1}{2}\epsilon_q} \right] c_{d\overline{\beta}}^\dagger c_{k\overline{\beta}} c_{d\beta} c_{q\beta}^\dagger$$

$$= -\frac{1}{2} \sum_{q\beta k} V_q^* V_2 c_{q\beta} c_{d\beta}^\dagger \left[ \frac{\epsilon_d}{\left(\omega - \frac{1}{2}\epsilon_q - \epsilon_d\right)\left(\omega - \frac{1}{2}\epsilon_q\right)} + \frac{1}{\omega - \frac{1}{2}\epsilon_q} \right] c_{d\overline{\beta}}^\dagger c_{k\overline{\beta}} c_{d\beta} c_{q\beta}^\dagger \quad [\hat{n} c^\dagger = c^\dagger]$$

$$= -\frac{1}{2} \sum_{q\beta k} \frac{V_q^* V_2}{\omega - \frac{1}{2}\epsilon_q - \epsilon_d} \hat{n}_{d\beta} c_{d\overline{\beta}}^\dagger c_{k\overline{\beta}}$$

$$= -\frac{1}{2} \sum_{q\beta k} \frac{V_q^* V_2}{\omega - \frac{1}{2}\epsilon_q - \epsilon_d} \hat{n}_{d\overline{\beta}} c_{d\beta}^\dagger c_{k\beta}$$

$$(1.80)$$

**3.**

$$-\frac{1}{2} \sum_{q\beta k\sigma} V_q V_2 c_{q\beta} c_{d\beta}^\dagger c_{k\sigma}^\dagger c_{d\sigma} \frac{1}{\omega - H_{\text{imp}} - V_2 \hat{n}_{d\beta} - \frac{\epsilon_q}{2}} c_{d\beta} c_{q\beta}^\dagger = \text{h.c. of } 2$$

$$= -\frac{1}{2} \sum_{\beta k q} \frac{V_q V_2}{\omega - \frac{1}{2}\epsilon_q - \epsilon_d} \hat{n}_{d\overline{\beta}} c_{k\beta}^\dagger c_{d\beta}$$

$$(1.81)$$

**4.** $O(V_2^2)$, ignore for now.

**Hole sector** The intermediate state consists of hole states, obtained by exciting electrons from the lower bandwidth edge $(-D)$.

$$\Delta\mathcal{H}_N = \sum_{q\beta} \tau_{q\beta} c_{q\beta}^\dagger \left[ V_q + \sum_{k\sigma} V_2 c_{d\sigma}^\dagger c_{k\sigma} \right] c_{d\beta} \times \frac{1}{\hat\omega - H_{\text{imp}}(1-\hat{n}_{q\beta})}$$

$$\times \, c_{d\beta}^\dagger \left[ V_q^* + \sum_{k'\sigma'} V_2 c_{k'\sigma'}^\dagger c_{d\sigma'} \right] c_{q\beta} \tag{1.82}$$

$$= \frac{1}{2} \sum_{q\beta} c_{q\beta}^\dagger \left[ V_q + \sum_{k\sigma} V_2 c_{d\sigma}^\dagger c_{k\sigma} \right] c_{d\beta} \frac{1}{\hat\omega - H_{\text{imp}}} c_{d\beta}^\dagger \left[ V_q^* + \sum_{k'\sigma'} V_2 c_{k'\sigma'}^\dagger c_{d\sigma'} \right] c_{q\beta}$$

The Green's function simplifies as

$$c_{d\beta} \frac{1}{\hat\omega - H_{\text{imp}}(1-\hat{n}_{q\beta})} c_{d\beta}^\dagger = c_{d\beta} \frac{1}{\hat\omega - H_{\text{imp}}} c_{d\beta}^\dagger \qquad [\hat{n}_{q\beta} = 0]$$

$$= c_{d\beta} \frac{1}{\hat\omega - \frac{1}{2}\epsilon_q + \frac{1}{2}\epsilon_q - H_{\text{imp}}} c_{d\beta}^\dagger \tag{1.83}$$

Again set $\hat\omega - \frac{1}{2}\epsilon_q = 2\omega\tau_{q\beta} = -\omega$.

$$c_{d\beta} \frac{1}{-\omega + \frac{1}{2}\epsilon_q - H_{\text{imp}}} c_{d\beta}^\dagger = -c_{d\beta} \frac{1}{\omega - \frac{1}{2}\epsilon_q + H_{\text{imp}}} c_{d\beta}^\dagger$$

$$= -c_{d\beta} \frac{1}{\omega - \frac{1}{2}\epsilon_q + \epsilon_d + (\epsilon_d + U)\hat{n}_{d\bar\beta}} c_{d\beta}^\dagger$$

$$= -(1-\hat{n}_{d\beta}) \left[ \frac{\hat{n}_{d\bar\beta}}{\omega - \frac{1}{2}\epsilon_q + 2\epsilon_d + U} + \frac{1-\hat{n}_{d\bar\beta}}{\omega - \frac{1}{2}\epsilon_q + \epsilon_d} \right]$$

$$= (\hat{n}_{d\beta} - 1) \left[ \frac{-(\epsilon_d + U)\hat{n}_{d\bar\beta}}{\left(\omega - \frac{1}{2}\epsilon_q + 2\epsilon_d + U\right)\left(\omega - \frac{1}{2}\epsilon_q + \epsilon_d\right)} + \frac{1}{\omega - \frac{1}{2}\epsilon_q + \epsilon_d} \right]$$

$$= -\frac{1}{\omega - \frac{1}{2}\epsilon_q + \epsilon_d} + \frac{\hat{n}_{d\beta}}{\omega - \frac{1}{2}\epsilon_q + \epsilon_d}$$

$$+ \frac{(\epsilon_d + U)\hat{n}_{d\bar\beta}}{\left(\omega - \frac{1}{2}\epsilon_q + 2\epsilon_d + U\right)\left(\omega - \frac{1}{2}\epsilon_q + \epsilon_d\right)}$$

$$- \frac{(\epsilon_d + U)\hat{n}_{d\beta}\hat{n}_{d\bar\beta}}{\left(\omega - \frac{1}{2}\epsilon_q + 2\epsilon_d + U\right)\left(\omega - \frac{1}{2}\epsilon_q + \epsilon_d\right)} \tag{1.84}$$

There are again four possible scattering processes.

**1.**

$$\frac{1}{2}\sum_{q\beta}|V_q|^2 c_{q\beta}^\dagger c_{d\beta}\frac{1}{\hat{\omega}-H_{\text{imp}}}c_{d\beta}^\dagger c_{q\beta}$$

$$=\frac{1}{2}\sum_{q\beta}|V_q|^2\left[\frac{\hat{n}_{d\beta}}{\omega-\frac{1}{2}\epsilon_q+\epsilon_d}+\frac{(\epsilon_d+U)\,\hat{n}_{d\bar\beta}}{\left(\omega-\frac{1}{2}\epsilon_q+2\epsilon_d+U\right)\left(\omega-\frac{1}{2}\epsilon_q+\epsilon_d\right)}\right.$$

$$\left.-\frac{(\epsilon_d+U)\,\hat{n}_{d\beta}\hat{n}_{d\bar\beta}}{\left(\omega-\frac{1}{2}\epsilon_q+2\epsilon_d+U\right)\left(\omega-\frac{1}{2}\epsilon_q+\epsilon_d\right)}\right]$$

$$=\frac{1}{2}\sum_{q\beta}\frac{|V_q|^2\hat{n}_{d\beta}\left(\omega-\frac{1}{2}\epsilon_q+3\epsilon_d+2U\right)}{\left(\omega-\frac{1}{2}\epsilon_q+2\epsilon_d+U\right)\left(\omega-\frac{1}{2}\epsilon_q+\epsilon_d\right)}-\sum_q\frac{(\epsilon_d+U)\,\hat{n}_{d\uparrow}\hat{n}_{d\downarrow}}{\left(\omega-\frac{1}{2}\epsilon_q+2\epsilon_d+U\right)\left(\omega-\frac{1}{2}\epsilon_q+\epsilon_d\right)}$$

$$\tag{1.85}$$

**2.**

$$\frac{1}{2}\sum_{k\sigma q\beta}V_2 V_q c_{q\beta}^\dagger c_{d\beta}\frac{1}{\hat{\omega}-H_{\text{imp}}}c_{d\beta}^\dagger c_{k\sigma}^\dagger c_{d\sigma}c_{q\beta}$$

$$=\frac{1}{2}\sum_{kq\beta}V_2 V_q c_{q\beta}^\dagger c_{d\beta}\frac{1}{\hat{\omega}-H_{\text{imp}}}c_{d\beta}^\dagger\left(c_{k\beta}^\dagger c_{d\beta}+c_{k\bar\beta}^\dagger c_{d\bar\beta}\right)c_{q\beta}$$

$$=\frac{1}{2}\sum_{kq\beta}V_2 V_q\left[\frac{\hat{n}_{d\beta}-1}{\omega-\frac{1}{2}\epsilon_q+\epsilon_d}c_{k\bar\beta}^\dagger c_{d\bar\beta}+\frac{(\epsilon_d+U)\,\hat{n}_{d\bar\beta}-\left(\omega-\frac{\epsilon_q}{2}+2\epsilon_d+U\right)}{\left(\omega-\frac{1}{2}\epsilon_q+2\epsilon_d+U\right)\left(\omega-\frac{1}{2}\epsilon_q+\epsilon_d\right)}c_{k\beta}^\dagger c_{d\beta}\right]\tag{1.86}$$

$$=\frac{1}{2}\sum_{kq\beta}V_2 V_q\left[\frac{\hat{n}_{d\bar\beta}\left(\omega-\frac{1}{2}\epsilon_q+3\epsilon_d+2U\right)}{\left(\omega-\frac{1}{2}\epsilon_q+2\epsilon_d+U\right)\left(\omega-\frac{1}{2}\epsilon_q+\epsilon_d\right)}-\frac{2}{\omega-\frac{1}{2}\epsilon_q+\epsilon_d}\right]c_{k\beta}^\dagger c_{d\beta}$$

$$=-\frac{1}{2}\sum_{kq\beta}V_2 V_q\left[\frac{\hat{n}_{d\bar\beta}}{\omega-\frac{1}{2}\epsilon_q+2\epsilon_d+U}+\frac{2\left(1-\hat{n}_{d\bar\beta}\right)}{\omega-\frac{1}{2}\epsilon_q+\epsilon_d}\right]c_{k\beta}^\dagger c_{d\beta}$$

**3.**

$$\frac{1}{2}\sum_{q\beta k\sigma}V_2 V_q^* c_{q\beta}^\dagger c_{d\sigma}^\dagger c_{k\sigma}c_{d\beta}\frac{1}{\hat{\omega}-H_{\text{imp}}}c_{d\beta}^\dagger c_{d\sigma'}c_{q\beta}$$

$$=\text{h.c. of 2}\tag{1.87}$$

$$=-\frac{1}{2}\sum_{kq\beta}V_2 V_q\left[\frac{\hat{n}_{d\bar\beta}}{\omega-\frac{1}{2}\epsilon_q+2\epsilon_d+U}+\frac{2\left(1-\hat{n}_{d\bar\beta}\right)}{\omega-\frac{1}{2}\epsilon_q+\epsilon_d}\right]c_{d\beta}^\dagger c_{k\beta}$$

**4.** $O(V_2^2)$

**Scaling equations**

$$\Delta\epsilon_d = -\frac{1}{2}\sum_q \frac{|V_q|^2}{\omega - \frac{1}{2}\epsilon_q} + \frac{1}{2}\sum_q \frac{|V_q|^2\left(\omega - \frac{1}{2}\epsilon_q + 3\epsilon_d + 2U\right)}{\left(\omega - \frac{1}{2}\epsilon_q + 2\epsilon_d + U\right)\left(\omega - \frac{1}{2}\epsilon_q + \epsilon_d\right)} \tag{1.88}$$

$$\Delta U = -\sum_q \frac{|V_q|^2\epsilon_d}{\left(\omega - \frac{1}{2}\epsilon_q - \epsilon_d\right)\left(\omega - \frac{1}{2}\epsilon_q\right)} - \sum_q \frac{|V_q|^2\left(\epsilon_d + U\right)}{\left(\omega - \frac{1}{2}\epsilon_q + 2\epsilon_d + U\right)\left(\omega - \frac{1}{2}\epsilon_q + \epsilon_d\right)} \tag{1.89}$$

$$\Delta V_k^+ = -\frac{1}{2}\sum_q \frac{V_q V_2\left(2\omega - \epsilon_q + \epsilon_d + U\right)}{\left(\omega - \frac{1}{2}\epsilon_q + 2\epsilon_d + U\right)\left(\omega - \frac{1}{2}\epsilon_q - \epsilon_d\right)} \tag{1.90}$$

$$\Delta V_k^- = -\sum_q V_2 V_q \frac{1}{\omega - \frac{1}{2}\epsilon_q + \epsilon_d} \tag{1.91}$$

They can be made more compact by defining $\mathcal{W} = \omega - \frac{1}{2}\epsilon_q$:

$$\begin{aligned}
\Delta\epsilon_d &= -\frac{1}{2}\sum_q \frac{|V_q|^2}{\mathcal{W}} + \frac{1}{2}\sum_q \frac{|V_q|^2\left(\mathcal{W} + 3\epsilon_d + 2U\right)}{\left(\mathcal{W} + 2\epsilon_d + U\right)\left(\mathcal{W} + \epsilon_d\right)} \\
&= \frac{1}{2}\sum_q \frac{|V_q|^2\left(U\mathcal{W} - U\epsilon_d - 2\epsilon_d^2\right)}{\mathcal{W}\left(\mathcal{W} + 2\epsilon_d + U\right)\left(\mathcal{W} + \epsilon_d\right)} \\
\Delta U &= -\sum_q\left[\frac{|V_q|^2\epsilon_d}{\left(\mathcal{W} - \epsilon_d\right)\mathcal{W}} + \frac{|V_q|^2\left(\epsilon_d + U\right)}{\left(\mathcal{W} + 2\epsilon_d + U\right)\left(\mathcal{W} + \epsilon_d\right)}\right] \\
&= -\sum_q |V_q|^2 \frac{\left(2\epsilon_d + U\right)\left(\mathcal{W}^2 + \epsilon_d^2\right) + 2\mathcal{W}\epsilon_d^2}{\mathcal{W}\left(\mathcal{W} + 2\epsilon_d + U\right)\left(\mathcal{W}^2 - \epsilon_d^2\right)} \\
\Delta V_k^+ &= -\frac{1}{2}\sum_q \frac{V_q V_2\left(2\mathcal{W} + \epsilon_d + U\right)}{\left(\mathcal{W} + 2\epsilon_d + U\right)\left(\mathcal{W} - \epsilon_d\right)} \\
\Delta V_k^- &= -\sum_q \frac{V_2 V_q}{\mathcal{W} + \epsilon_d}
\end{aligned} \tag{1.92}$$

**Sanity Checks:** $\omega = D$ $(\mathcal{W} = \frac{1}{2}D)$

**1.** $\epsilon_d, U + \epsilon_d \ll D$

$$\begin{aligned}
\Delta\epsilon_d &= -\frac{1}{2}n(D)|V_1|^2\frac{1}{\mathcal{W}} + \frac{1}{2}n(D)|V_1|^2\left[\frac{2}{\mathcal{W} + \epsilon_d} - \frac{1}{\mathcal{W} + 2\epsilon_d + U}\right] \\
&\approx -\frac{1}{2}n(D)|V_1|^2\frac{1}{\mathcal{W}} + \frac{1}{2}n(D)|V_1|^2\left[\frac{2\left(\mathcal{W} - \epsilon_d\right)}{\mathcal{W}^2} - \frac{\mathcal{W} - 2\epsilon_d - U}{\mathcal{W}^2}\right] \\
&= \frac{1}{2}n(D)|V_1|^2\frac{U}{\mathcal{W}^2}
\end{aligned} \tag{1.93}$$

$$\Delta U = -n(D)|V_1|^2 \left[ \frac{1}{\mathcal{W} - \epsilon_d} - \frac{1}{\mathcal{W}} + \frac{1}{\mathcal{W} + \epsilon_d} - \frac{1}{\mathcal{W} + 2\epsilon_d + U} \right]$$

$$\approx -n(D)|V_1|^2 \left[ \frac{\mathcal{W} + \epsilon_d}{\mathcal{W}^2} - \frac{1}{\mathcal{W}} + \frac{\mathcal{W} - \epsilon_d}{\mathcal{W}^2} - \frac{\mathcal{W} - 2\epsilon_d - U}{\mathcal{W}^2} \right] \tag{1.94}$$

$$= -n(D)|V_1|^2 \frac{2\epsilon_d + U}{\mathcal{W}^2}$$

**2.** $U + \epsilon_d \gg D \gg \epsilon_d$

$$\Delta\epsilon_d = -\frac{1}{2}n(D)|V_1|^2 \frac{1}{\mathcal{W}} + \frac{1}{2}n(D)|V_1|^2 \left[ \frac{2}{\mathcal{W} + \epsilon_d} - \frac{1}{\mathcal{W} + 2\epsilon_d + U} \right]$$

$$\approx -\frac{1}{2}n(D)|V_1|^2 \frac{1}{\mathcal{W}} + \frac{1}{2}n(D)|V_1|^2 \left[ \frac{2(\mathcal{W} - \epsilon_d)}{\mathcal{W}^2} - \frac{2\epsilon_d + U - \mathcal{W}}{(2\epsilon_d + U)^2} \right] \tag{1.95}$$

$$= \frac{1}{2}n(D)|V_1|^2 \frac{1}{\mathcal{W}} - \frac{1}{2}n(D)|V_1|^2 \left[ \frac{2\epsilon_d}{\mathcal{W}^2} + \frac{1}{2\epsilon_d + U} - \frac{\mathcal{W}}{(2\epsilon_d + U)^2} \right]$$

Following Hewson, the last three terms are very small and can be dropped.

$$\Delta\epsilon_d \approx \frac{1}{2}n(D)|V_1|^2 \frac{1}{\mathcal{W}} = -\rho(D)\Delta D|V_1|^2 \frac{1}{D}$$

$$\implies \quad \frac{d\epsilon_d}{d\ln D} = -\frac{\Delta}{\pi} \qquad\qquad \left[ \Delta \equiv \pi\rho|V_1|^2 \right] \tag{1.96}$$

$$\Delta U = -n(D)|V_1|^2 \left[ \frac{1}{\mathcal{W} - \epsilon_d} - \frac{1}{\mathcal{W}} + \frac{1}{\mathcal{W} + \epsilon_d} - \frac{1}{\mathcal{W} + 2\epsilon_d + U} \right]$$

$$\approx -n(D)|V_1|^2 \left[ \frac{\mathcal{W} + \epsilon_d}{\mathcal{W}^2} - \frac{1}{\mathcal{W}} + \frac{\mathcal{W} - \epsilon_d}{\mathcal{W}^2} - \frac{2\epsilon_d + U - \mathcal{W}}{(2\epsilon_d + U)^2} \right] \tag{1.97}$$

$$= -n(D)|V_1|^2 \left[ \frac{1}{\mathcal{W}} - \frac{1}{2\epsilon_d + U} + \frac{\mathcal{W}}{(2\epsilon_d + U)^2} \right]$$

$$\Delta V_k^+ = -\frac{1}{2}n(D)V_1V_2 \left[ \frac{1}{\mathcal{W} - \epsilon_d} + \frac{1}{\mathcal{W} + 2\epsilon_d + U} \right]$$

$$= -\frac{1}{2}n(D)V_1V_2 \left[ \frac{\mathcal{W} + \epsilon_d}{\mathcal{W}^2} + \frac{2\epsilon_d + U - \mathcal{W}}{(2\epsilon_d + U)^2} \right] \tag{1.98}$$

Following Jefferson, $\mathcal{W} + \epsilon_d \approx \mathcal{W}$ and we can drop the second term:

$$\Delta V_k^+ \approx -\frac{1}{2}n(D)V_1V_2 \frac{1}{\mathcal{W}}$$

$$= \frac{V_1V_2}{2D_0D}\Delta D \qquad\qquad \left[ \rho = \frac{1}{2D_0} \right] \tag{1.99}$$

$$\Delta V_k^- = -n(D)V_1V_2 \frac{1}{\mathcal{W} + \epsilon_d} \approx -n(D)V_1V_2 \frac{1}{\mathcal{W}}$$

$$= \frac{V_1V_2}{D_0D}\Delta D \tag{1.100}$$