▶ In the Kondo URG, are you considering two electrons on the shell  $\Lambda_N$ , one that we are decoupling  $(q\beta)$  and another with the same momentum but opposite spin  $(q\overline{\beta})$ ?

▶ If so, why does that kinetic energy piece  $(\epsilon_q \tau_{q\overline{\beta}})$  not come down in the denominator?

▶ Is that what gives rise to the second RG equation and hence the S<sup>z</sup>s<sup>z</sup> term in the effective Hamiltonian?

In the Kondo URG, you simplify the  $\hat{\omega}$  as

$$\hat{\omega} = 2\omega\tau$$

What is the formal way of doing this? Shouldn't it be

$$\hat{\omega} = \omega_1 \hat{n} + \omega_0 (1 - \hat{n})$$

Is this just an assumption?

In the RG equation for BCS instability (eq. 8.130 of thesis), you use

$$G^{-1} = \omega - \epsilon_1 \tau_1 - \epsilon_2 \tau_2$$

How is this choice of  $\hat{\omega}$  consistent with what was done in Kondo URG?

While calculating the impurity susceptibility of the Kondo model, you took the following Hamiltonian and definition of susceptibility:

$$H = J ec{\mathcal{S}_d} \cdot ec{\mathcal{S}}$$
  $H(B,J) = J ec{\mathcal{S}_d} \cdot ec{\mathcal{S}} + B \mathcal{S}_d^z,$   $\chi_{\mathsf{imp}} = \lim_{B o 0} rac{\partial \mathsf{ln} \, \mathcal{Z}(B)}{\partial B}$ 

Wilson's definition was

$$\chi_{\mathsf{imp}} = \chi(J) - \chi(J=0) + rac{1}{4} = \lim_{B o 0} rac{\partial}{\partial B} \ln rac{Z(B,J)}{Z(B,J=0)} + rac{1}{4}$$

which would require

$$H(B) = \sum_{i} \epsilon_{k} \hat{n}_{k\sigma} + J \vec{S_{d}} \cdot \vec{s} + \tilde{J} S_{d}^{z} s^{z} + B S_{d}^{z} + B \mu_{B} \left( \hat{n}_{d\uparrow} - \hat{n}_{d\downarrow} 
ight)$$

Section 2.2, Equation 2.18 of thesis

$$egin{aligned} rac{1}{H'-H_e\hat{n}_N}c_N^\dagger T &= c_N^\dagger T rac{1}{H'-H_h(1-\hat{n}_N)} \ &\Longrightarrow H_e\hat{n}_N c_N^\dagger T &= c_N^\dagger T H_h(1-\hat{n}_N) \end{aligned}$$

This seems to **require** H' **commuting with** T, because

$$oldsymbol{c}_{N}^{\dagger} T H' - oldsymbol{c}_{N}^{\dagger} T H_{h} (1-\hat{n}_{N}) = H' oldsymbol{c}_{N}^{\dagger} T - H_{e} \hat{n}_{N} oldsymbol{c}_{N}^{\dagger} T$$

Why should H' commute with T?

(where 
$$H_e = Tr(H\hat{n}_N)$$
,  $H_h = Tr[H(1 - \hat{n}_N)]$  and  $T = Tr(Hc_N)$ )

Section 2.2, Equation 2.19 of thesis

$$\eta_N H \eta_N^{\dagger} = H_h (1 - n_N)$$

If I try to derive this using the result on the previous slide:

$$egin{aligned} \eta H \eta^\dagger &= \eta H_{ extsf{e}} \sigma^\dagger T G = \eta \sigma^\dagger T H_{ extsf{h}} G \ &= \eta \sigma^\dagger T G H_{ extsf{h}} = \eta \eta^\dagger H_{ extsf{h}} = H_{ extsf{h}} (1-\hat{ extsf{h}}) \end{aligned}$$

That required  $[G, H_h] = 0$ . How does that work out?

(where 
$$H_e = Tr(H\hat{n}_N)$$
,  $H_h = Tr[H(1 - \hat{n}_N)]$  and  $T = Tr(Hc_N)$ )

In eq. 2.21 of thesis,

$$UHU^{\dagger} = \frac{1}{2}Tr(H) + \tau Tr(H\tau) + \tau \{c^{\dagger}T, \eta\}$$

so the renormalization is

$$au\{c^{\dagger}T,\eta\} = rac{1}{2} \left[ \overbrace{c^{\dagger}T\eta}^{ ext{particle sector}} - \underbrace{\eta c^{\dagger}T}_{ ext{hole sector}} 
ight] = ext{difference of the 2 sectors}$$

Yet in most RG equations ( $\triangle H_F$  of 2d Hubbard,  $\triangle H_j$  of Kondo), you have added the two sectors. How/Why?

Say we have a Hamiltonian (with circular isoenergetic shells)

$$H = \sum_{k} \epsilon_{k} S_{k}^{z} - V \sum_{k \neq k'} \left[ S_{k}^{+} S_{k'}^{-} + \text{h.c.} \right] + U \sum_{k \neq k'} S_{k}^{z} S_{k'}^{z}$$

This is a simplified form of reduced BCS (courtesy of Siddhartha da). We are decoupling  $q \in \Lambda_N$ . To include U in the denominator, we also consider another spin  $q' \in \Lambda_N$ . Then,

$$\Delta H \sim \sum_{kk'} S_k^+ S_q^- rac{V^2}{\omega - \epsilon_q S_q^z - U S_q^z S_{q'}^z} S_q^+ S_{k'}^-$$

- ▶ Should we or should we not include  $\epsilon_{a'}$  in the denominator?
- Suppose we take the initial configuration  $S_q^z = S_{q'}^z = -\frac{1}{2}$ . Should the intermediate configuration be  $S_{q'}^z = -\frac{1}{2}$  or  $S_{q'}^z = +\frac{1}{2}$ ?

$$\begin{split} &\Delta \hat{H}_{(j)} = \sum_{\substack{m=1,\\\beta=\uparrow/\downarrow}}^{n_j} \frac{(J^{(j)})^2}{2} \frac{\tau_{j,\hat{\mathbf{s}}_m,\beta}}{(2\omega\tau_{j,\hat{\mathbf{s}}_m,\beta}-\epsilon_{j,l}\tau_{j,\hat{\mathbf{s}}_m,\beta}-J^{(j)}S^zs_{j,\hat{\mathbf{s}}_m}^z)} \\ &\times \left[ S^a S^b \sigma^a_{\alpha\beta} \sigma^b_{\beta\gamma} \sum_{\substack{(j_1,j_2 < j),\\n,o}} c^\dagger_{j_1,\hat{\mathbf{s}}_n,\alpha} c_{j_2,\hat{\mathbf{s}}_o,\gamma} (1-\hat{n}_{j,\hat{\mathbf{s}}_m,\beta}) + ... \right. \\ &+ \sum_{\substack{m=1,\\\beta=\uparrow/\downarrow}}^{n_j} \frac{(J^{(j)})^2}{2(2\omega\tau_{j,\hat{\mathbf{s}}_m,\beta}-\epsilon_{j,l}\tau_{j,\hat{\mathbf{s}}_m,\beta}-J^{(j)}S^zs_{j,\hat{\mathbf{s}}_m}^z)} \left[ S^x S^y \sigma^x_{\alpha\beta} \sigma^y_{\beta\alpha} c^\dagger_{j,\hat{\mathbf{s}}_m,\alpha} c_{j,\hat{\mathbf{s}}_m,\beta} c_{j,\hat{\mathbf{s}}_m,\alpha} + ... \right. \end{split}$$

▶ The  $\tau$  should not be there in numerator i presume?

 $\beta = \uparrow / \downarrow$ 

$$\begin{split} &\Delta \hat{H}_{(j)} = \sum_{\substack{m=1,\\\beta=\uparrow/\downarrow}}^{n_j} \frac{(J^{(j)})^2}{2} \frac{T_{j,\hat{\mathbf{s}}_m,\beta}}{(2\omega\tau_{j,\hat{\mathbf{s}}_m,\beta} - \epsilon_{j,l}\tau_{j,\hat{\mathbf{s}}_m,\beta} - J^{(j)}S^z s_{j,\hat{\mathbf{s}}_m}^z)} \\ &\times \left[ S^a S^b \sigma^a_{\alpha\beta} \sigma^b_{\beta\gamma} \sum_{\substack{(j_1,j_2 < j),\\n,o}} c^\dagger_{j_1,\hat{\mathbf{s}}_n,\alpha} c_{j_2,\hat{\mathbf{s}}_o,\gamma} (1 - \hat{n}_{j,\hat{\mathbf{s}}_m,\beta}) + \dots \right. \\ &+ \sum_{\substack{m=1,\\m=1,\\j}}^{n_j} \frac{(J^{(j)})^2}{2(2\omega\tau_{j,\hat{\mathbf{s}}_m,\beta} - \epsilon_{j,l}\tau_{j,\hat{\mathbf{s}}_m,\beta} - J^{(j)}S^z s_{j,\hat{\mathbf{s}}_m}^z)} \left[ S^x S^y \sigma^x_{\alpha\beta} \sigma^y_{\beta\alpha} c^\dagger_{j,\hat{\mathbf{s}}_m,\alpha} c_{j,\hat{\mathbf{s}}_m,\beta} c_{j,\hat{\mathbf{s}}_m,\beta} c_{j,\hat{\mathbf{s}}_m,\alpha} + \dots \right] \end{split}$$

Since coupling is  $\frac{J}{2}$ , shouldn't the thing be  $\frac{J^2}{4}$  instead of  $\frac{J^2}{2}$ ?

$$\begin{split} &\Delta \hat{H}_{(j)} = \sum_{\substack{m=1,\\\beta=\uparrow/\downarrow}}^{n_j} \frac{(J^{(j)})^2}{2} \frac{\tau_{j,\hat{\mathbf{s}}_m,\beta}}{(2\omega\tau_{j,\hat{\mathbf{s}}_m,\beta}-\epsilon_{j,l}\tau_{j,\hat{\mathbf{s}}_m,\beta}-J^{(j)}S^zs_{j,\hat{\mathbf{s}}_m}^z)} \\ &\times \left[ S^a S^b \sigma^a_{\alpha\beta} \sigma^b_{\beta\gamma} \sum_{\substack{(j_1,j_2< j),\\n,o}} c^\dagger_{j_1,\hat{\mathbf{s}}_n,\alpha} c_{j_2,\hat{\mathbf{s}}_o,\gamma} (1-\hat{n}_{j,\hat{\mathbf{s}}_m,\beta}) + ... \right. \\ &+ \sum_{\substack{m=1,\\\alpha=\uparrow,\\\beta=\uparrow,\downarrow}} \frac{(J^{(j)})^2}{2(2\omega\tau_{j,\hat{\mathbf{s}}_m,\beta}-\epsilon_{j,l}\tau_{j,\hat{\mathbf{s}}_m,\beta}-J^{(j)}S^zs_{j,\hat{\mathbf{s}}_m}^z)} \left[ S^x S^y \sigma^x_{\alpha\beta} \sigma^y_{\beta\alpha} c^\dagger_{j,\hat{\mathbf{s}}_m,\alpha} c_{j,\hat{\mathbf{s}}_m,\beta} c_{j,\hat{\mathbf{s}}_m,\alpha} + ... \right. \end{split}$$

➤ You mentioned the following in the google document- "interchange sigma\_a and sigma\_b (you get -1 sign)". But these are matrix elements (numbers). So why the minus sign?

$$\Delta \hat{H}_{(j)} = \sum_{\substack{m=1,\\\beta=\uparrow/\downarrow}}^{n_j} \frac{(J^{(j)})^2}{2} \frac{\tau_{j,\hat{\mathbf{s}}_m,\beta}}{(2\omega\tau_{j,\hat{\mathbf{s}}_m,\beta} - \epsilon_{j,l}\tau_{j,\hat{\mathbf{s}}_m,\beta} - J^{(j)}S^z s_{j,\hat{\mathbf{s}}_m}^z)}$$

$$imes \left[S^aS^b\sigma^a_{lphaeta}\sigma^b_{eta\gamma}\sum_{\substack{(j_1,j_2< j),\ n,o}}c^\dagger_{j_1,\hat{\mathbf{s}}_n,lpha}c_{j_2,\hat{\mathbf{s}}_o,\gamma}(\mathsf{1}-\hat{\pmb{n}}_{j,\hat{\mathbf{s}}_m,eta})+...
ight.$$

$$+\sum_{\substack{m=1,\\\beta=\uparrow/\downarrow}}^{n_j}\frac{(J^{(j)})^2}{2(2\omega\tau_{j,\hat{\mathbf{s}}_m,\beta}-\epsilon_{j,l}\tau_{j,\hat{\mathbf{s}}_m,\beta}-J^{(j)}S^zs_{j,\hat{\mathbf{s}}_m}^z)}\bigg[S^xS^y\sigma_{\alpha\beta}^x\sigma_{\beta\alpha}^yc_{j,\hat{\mathbf{s}}_m,\alpha}^tc_{j,\hat{\mathbf{s}}_m,\beta}c_{j,\hat{\mathbf{s}}_m,\beta$$

▶ How do you combine the product of two sigmas ( $\sigma^a_{\alpha\beta}\sigma^b_{\beta\gamma}$ ) into a single  $\sigma^c_{\alpha\gamma}$ ?

Kondo URG coupling equation for J (equation 9.65):

$$\Delta J^{(j)} = n_j (J^{(j)})^2 \left[ \omega - \frac{\epsilon_{j,l}}{2} \right] \left[ \left( \frac{\epsilon_{j,l}}{2} - \omega \right)^2 - \frac{\left( J^{(j)} \right)^2}{16} \right]^{-1}$$

One-loop form (after setting  $\omega = \epsilon_{i,l}$ ):

$$\Delta J^{(j)} = \frac{n_j(J^{(j)})^2}{\omega - \frac{\epsilon_{j,l}}{2}} = 2\frac{n_j(J^{(j)})^2}{\epsilon_{j,l}} \rightarrow \frac{2\rho|\Delta D|J^2}{D} \quad [n_j, \rho \rightarrow \mathsf{DOS} \; \mathsf{per} \; \mathsf{spin}]$$

One-loop form in Coleman (Introduction to Many-Body Physics) ( $\tilde{J} = J/2$ ):

$$\Delta ilde{J} = rac{2
ho |\Delta D| ilde{J}^2}{D} \implies \Delta J = rac{
ho |\Delta D| J^2}{D}$$

Is there any reason for this difference?

$$egin{aligned} \Delta \mathcal{H}_{(j)}^2 &= \sum_{\substack{m=1,\ eta=\uparrow/\downarrow}}^{n_j} rac{(J^{(j)})^2}{(2\omega au_{j,\hat{\mathbf{s}}_m,eta} - \epsilon_{j,l} au_{j,\hat{\mathbf{s}}_m,eta} - J^{(j)}S^zs_{j,\hat{\mathbf{s}}_m}^z)} igg[ S^x S^y \sigma_{lphaeta}^x \sigma_{etalpha}^y c_{j,\hat{\mathbf{s}}_m,lpha}^\dagger c_{j,\hat{\mathbf{s}}_m,eta} c_{j,\hat{\mathbf{s}}_m,eta} c_{j,\hat{\mathbf{s}}_m,lpha} c_{$$

$$=\sum_{\substack{m=1,\\\beta}}^{n_j}\frac{(J^{(j)})^2}{(2\omega\tau_{j,\hat{\mathbf{s}}_m,\beta}-\epsilon_{j,l}\tau_{j,\hat{\mathbf{s}}_m,\beta}-J^{(j)}S^zs_{j,\hat{\mathbf{s}}_m}^z)}S^z\frac{\sigma_{\alpha\alpha}^z}{2}\bigg[\hat{\mathbf{n}}_{j,\hat{\mathbf{s}}_m,\alpha}(1-\hat{\mathbf{n}}_{j,\hat{\mathbf{s}}_m,\beta})-...$$
 What you used:

## $\mathcal{S}^{x}\mathcal{S}^{y}\sigma_{lphaeta}^{x}\sigma_{etalpha}^{y}c_{j,\hat{\mathbf{s}}_{m},lpha}^{\dagger}c_{j,\hat{\mathbf{s}}_{m},eta}c_{j,\hat{\mathbf{s}}_{m},eta}^{\dagger}c_{j,\hat{\mathbf{s}}_{m},lpha}=\mathcal{S}^{z}rac{\sigma_{lphalpha}^{z}}{2}\hat{n}_{j,\hat{\mathbf{s}}_{m},lpha}\left(1-\hat{n}_{j,\hat{\mathbf{s}}_{m},eta} ight)$

## $2^{j,\sigma_{m,\alpha}}$

## What I got:

$$S^x S^y \sigma^x_{lphaeta} \sigma^y_{etalpha} c^\dagger_{j,\hat{\mathbf{s}}_m,lpha} c_{j,\hat{\mathbf{s}}_m,eta} c^\dagger_{j,\hat{\mathbf{s}}_m,eta} c_{j,\hat{\mathbf{s}}_m,lpha} = i^2 S^z rac{\sigma^z_{lphalpha}}{2} \hat{n}_{j,\hat{\mathbf{s}}_m,lpha} \left(1-\hat{n}_{j,\hat{\mathbf{s}}_m,eta}
ight)$$