

# Algorithm for 2d Hubbard model analysis on square lattice

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## The model

$$\begin{aligned}\hat{H} &= \sum_{\mathbf{k}\sigma} (\epsilon_{\mathbf{k}\sigma} - \mu) \hat{n}_{\mathbf{k}\sigma} + U_0 \sum_{\mathbf{i}} \hat{n}_{\mathbf{i}\sigma} \hat{n}_{\mathbf{i}-\sigma} \\ &= \sum_{\mathbf{k}\sigma} (\epsilon_{\mathbf{k}\sigma} - \mu_{eff}) \hat{n}_{\mathbf{k}\sigma} + U_0 \sum_{\mathbf{i}} \left( \hat{n}_{\mathbf{i}\sigma} - \frac{1}{2} \right) \left( \hat{n}_{\mathbf{i}-\sigma} - \frac{1}{2} \right) + \frac{U}{4} \text{Vol}\end{aligned}\quad (1)$$

**The half filled Hubbard model** ( $\mu = \frac{U_0}{2} \equiv \mu_{eff} = 0$ ) There are  $4N^2$  electrons and  $4N^2$  sites (i.e.  $8N^2$  states) therefore filling is  $\nu = \frac{4N^2}{8N^2} = \frac{1}{2}$ ,

## Step-1 Focussing on the Fermi surface Geometry

Capture the square Fermi surface (F) defined as a collection of wave-vectors  $\mathbf{k}_F(\hat{s})$  (where  $\hat{s}$  parametrizes the Fermi surface) such that  $\epsilon_{\mathbf{k}_F(\hat{s})} = E_F = \mu_{eff}$  where  $\mu_{eff} = -\frac{U_0}{2}$  and define all the wave-vectors as ,

$$\mathbf{k}_{\Lambda\hat{s}} = \mathbf{k}_{F\hat{s}} + \Lambda\hat{s} , \quad \hat{s} \in \left\{ \hat{s}_i = \frac{\nabla\epsilon_{\mathbf{k}}}{|\nabla\epsilon_{\mathbf{k}}|} \Big|_{\mathbf{k} \rightarrow \mathbf{k}_{Fi}}, \epsilon_{\mathbf{k}_{Fi}} = 0 , \quad i = [1, N_F] \right\} , \quad (2)$$

with  $N_F$  being the number of points in F. This way we use the Fermi surface as a reference to characterize all wave-vector directions on the Fermi surface, where  $\hat{s}$  provides the orientation of wave-vectors and  $\Lambda$  provides the distance from the Fermi surface. The creation/annihilation operator in terms of this indexes is given by  $c_{\mathbf{k}_{\Lambda\hat{s}}\sigma}^\dagger = c_{\Lambda\hat{s}\sigma}^\dagger$ .

## Step-2 Forming most relevant(*problem dependent*) pseudospin subspaces

We now define (1/2) doublon-holon or two spinon pseudospin vectors using e-e ( $f_{\Lambda\hat{s}}^{c\dagger}$ ) or e-h ( $f_{\Lambda\hat{s}}^{s\dagger}$ ) pseudospinors as,

$$\begin{aligned}f_{\Lambda,\hat{s}}^{c\dagger} &= (c_{\Lambda\hat{s}\uparrow}^\dagger \quad c_{-\Lambda T\hat{s}\downarrow}) , \quad f_{\Lambda,\hat{s}}^{s\dagger} = (c_{\Lambda\hat{s}\uparrow}^\dagger \quad c_{-\Lambda T\hat{s}\downarrow}^\dagger) \\ \mathbf{C}_{\Lambda,\hat{s}} &= f_{\Lambda\hat{s}}^{c\dagger} \frac{\sigma}{2} f_{\Lambda\hat{s}}^{c\dagger} , \quad \mathbf{S}_{\Lambda,\hat{s}} = f_{\Lambda\hat{s}}^{s\dagger} \frac{\sigma}{2} f_{\Lambda\hat{s}}^{s\dagger} , \quad \text{where } T(s_x, s_y) = (s_y, s_x)\end{aligned}\quad (3)$$

The total momentum of the doublon-holon pseudospin states are given by,

$$\begin{aligned} \mathbf{k}_{\Lambda\hat{s}} + \mathbf{k}_{-\Lambda T\hat{s}} &= (k_{F\hat{s},x} + k_{F\hat{s},y})\hat{x} + (k_{F\hat{s},x} + k_{F\hat{s},y})\hat{y} \\ &+ \Lambda(s_x - s_y)\hat{x} + \Lambda(s_y - s_x)\hat{y} \end{aligned} \quad (4)$$

therefore in the  $\mathbf{Q}_1 = (\pi, \pi)$  direction the total momentum is ,

$$(\mathbf{k}_{\Lambda\hat{s}} + \mathbf{k}_{-\Lambda T\hat{s}}) \cdot \hat{\mathbf{Q}}_1 = \mathbf{Q}_1 . \quad (5)$$

Using the  $C_4$  symmetry of the square lattice all the other pairs about the reciprocal lattice vectors  $-\mathbf{Q}_1$  and  $\pm\mathbf{Q}_2$  can be also generated.

*Justification behind defining such pairs*

The  $f_{\Lambda,\hat{s}}^\dagger$  pairs with momenta  $\mathbf{k}_{\Lambda_0}(\hat{s}), \mathbf{k}_{-\Lambda_0}(T\hat{s})$  are maximally prone to nesting via the **Umklapp scattering event**. To observe that we sum over the 2nd order transition matrix element connecting the nested surfaces  $\hat{s} \rightarrow -\hat{s}$  via all possible pairs with total momenta along nodal direction given by  $(\mathbf{k}_{\Lambda+\delta\Lambda,\hat{s}} + \mathbf{k}_{-\Lambda,T\hat{s}}) \cdot \hat{\mathbf{Q}}_1 = 2\pi + \delta\Lambda$  ,

$$\begin{aligned} \lim_{\Omega \rightarrow 0} T_{\hat{s} \rightarrow -\hat{s}}^{(2),\delta\Lambda_0}(\Omega) &= \lim_{\Omega \rightarrow 0} \sum_{\Delta\epsilon_{\Lambda,\delta\Lambda}^{pair}(\hat{s}) = \Delta\epsilon_{\Lambda,\delta\Lambda_0}^{pair}(\hat{s})}^W \frac{U^2}{\Omega - \Delta\epsilon_{\Lambda,\delta\Lambda}^{pair}(\hat{s})} , \text{ bandwidth } W \\ &= \frac{U^2}{W} \ln \frac{W}{\Delta\epsilon_{\Lambda,\delta\Lambda}^{pair}(\hat{s})} , \\ \Delta\epsilon_{\Lambda,\delta\Lambda}^{pair}(\hat{s}) &= (\epsilon_{\Lambda\hat{s}} + \epsilon_{-\Lambda+\delta\Lambda T\hat{s}}) - (\epsilon_{-\Lambda-\hat{s}} + \epsilon_{\Lambda-\delta\Lambda T\hat{s}}) . \end{aligned} \quad (6)$$

The transition matrix has a leading order logarithmic divergence with the branch cut located along the line  $\delta\Lambda = 0$  indicating the **resonant pairs** ( $\delta\Lambda = 0$ ) as against the off-resonant pairs ( $\delta\Lambda \neq 0$ ) most susceptible to the umklapp instability. A similar instability can be shown due to **spin backscattering** across the Fermi surface for the pairs  $f_{\Lambda,\hat{s}}^{s\dagger}$ . This completes our justification in choosing the resonant pairs for the basis formation.

**resonant pairs = doublon-holon or two spinon pseudospins**

*Completeness relation in the pseudospin basis*

The pair of single electronic Hilbert spaces  $|n_{\Lambda,\hat{s};\sigma}\rangle$  belongs to  $(2 \times 2 = 4)$  possible configurations i.e.  $\{n_{\Lambda,\hat{s};\sigma}, n_{-\Lambda,\hat{s};-\sigma}\} = [(0,0), (1,0), (0,1), (1,1)]$ . These can be recasted as eigenstates of a pair of ( $S = \frac{1}{2}$ ) pseudospin operators  $\mathbf{S}_{\Lambda,\hat{s}}, \mathbf{C}_{\Lambda,\hat{s}}$  in the following way,

$$\{n_{\Lambda,\hat{s};\sigma}, n_{-\Lambda,\hat{s};-\sigma}\} = \{\{|S_{\Lambda,\hat{s}}^z = \pm \frac{1}{2}\rangle\}, \{|C_{\Lambda,\hat{s}}^z = \pm \frac{1}{2}\rangle\}\} . \quad (7)$$

The commutation relations satisfied by this pseudospins are as follows,

$$[S_{\Lambda,\hat{s}}^i, S_{\Lambda',\hat{s}'}^j] = i\delta_{\Lambda\Lambda'}\delta_{\sigma\sigma'}\epsilon^{ijk}S_{\Lambda,\hat{s}}^k, [C_{\Lambda,\hat{s}}^i, C_{\Lambda',\hat{s}'}^j] = i\delta_{\Lambda\Lambda'}\delta_{\hat{s}\hat{s}'}\epsilon^{ijk}C_{\Lambda,\hat{s}}^k, [S_{\Lambda,\hat{s}}^i, C_{\Lambda,\hat{s}}^j] = 0 \quad (8)$$

For this pair of states the completeness relation can be recasted in terms of the spin pseudospin operators,

$$\begin{aligned} I_{\Lambda,\hat{s}} &= \sum_{n_{\Lambda,\hat{s};\sigma}, n_{-\Lambda,\hat{s};-\sigma}} |n_{\Lambda,\hat{s};\sigma}, n_{-\Lambda,\hat{s};-\sigma}\rangle \langle n_{\Lambda,\hat{s};\sigma}, n_{-\Lambda,\hat{s};-\sigma}| \\ &= (\hat{n}_{\Lambda,\hat{s};\sigma} + \hat{n}_{-\Lambda,\hat{s};-\sigma} - 1)^2 + (\hat{n}_{\Lambda,\hat{s};\sigma} - \hat{n}_{-\Lambda,\hat{s};-\sigma})^2 \\ &= \frac{4}{3}(\mathbf{S}_{\Lambda,\hat{s}}^2 + \mathbf{C}_{\Lambda,\hat{s}}^2) \end{aligned} \quad (9)$$

Therefore the complete set of states can be recomposed in terms of a direct product of the two electronic state subspaces in the following fashion,

$$I_{tot} = \prod_{\substack{\mathbf{k}_{\Lambda\hat{s}} \in BZ \\ \hat{s} \in F}} I_{\Lambda,\hat{s}} = \left(\frac{4}{3}\right)^{4N^2} \prod_{\substack{\mathbf{k}_{\Lambda\hat{s}} \in BZ \\ \hat{s} \in F}} (\mathbf{S}_{\Lambda\hat{s}}^2 + \mathbf{C}_{\Lambda,\hat{s}}^2). \quad (10)$$

Total number operator is connected to the net pseudospin angular momentum operator in the following way

$$\hat{N}_{tot} = \sum_k \hat{n}_{k\sigma} = \frac{1}{2} \sum_{\substack{\mathbf{k}_{\Lambda\hat{s}} \in BZ, \\ \hat{s}}} (C_{\Lambda,\hat{s}}^z + S_{\Lambda,\hat{s}}^z + 1), \quad (11)$$

from here one can confirm that the eigenvalue of the total number operator  $\hat{N}$  is indeed  $\hat{N}_{tot}|\mathbf{P}_{cm} = 0, N_e, \text{NFS}\rangle = 4N^2|\mathbf{P}_{cm} = 0, N_e, \text{NFS}\rangle$  such that it reproduces the value  $4N^2$  and hence the half filling condition  $\nu = \frac{4N^2}{8N^2} = \frac{1}{2}$ .

**All statements below are problem independent.**

### Step-3 Recasting the Hamiltonian in the projected subspace of resonant pairs

*Recasting the Kinetic energy term in the pseudospin basis*

The kinetic energy Hamiltonian in terms of the pseudospin operators is rewritten as ,

$$\sum_{\Lambda\hat{s}\sigma} \epsilon_{\Lambda\hat{s}\sigma} \hat{n}_{\Lambda,\hat{s},\sigma} = \sum_{\Lambda\hat{s}} \epsilon_{\Lambda\hat{s}}^c C_{\Lambda\hat{s}}^z + \epsilon_{\Lambda\hat{s}}^s S_{\Lambda\hat{s}}^z \quad (12)$$

here  $\epsilon_{\Lambda,\hat{s}}^{c,s} = \epsilon_{\Lambda\hat{s}} \pm \epsilon_{-\Lambda T\hat{s}}$ .

*Manybody eigenbasis constituting  $4N$  electrons in the pseudospin language*

The complete basis set of all possible manybody wavefunctions constituting  $N_e = 4N$  occupied

electronic states among  $8N$  total single fermion states with total spin angular momentum

$\mathbf{S} = \sum_{\mathbf{r}} \mathbf{S}_{\mathbf{r}} = 0$  ,  $\mathbf{S}_{\mathbf{r}} = (c_{\mathbf{r}\sigma}^\dagger \ c_{\mathbf{r}-\sigma}^\dagger) \boldsymbol{\sigma} (c_{\mathbf{r}\sigma} \ c_{\mathbf{r}-\sigma})^T$  in the pseudospin language is given by,

$$\mathcal{B}_{4N, \mathbf{S}=0} = \left\{ \prod_{\Lambda, o} \delta_{(\hat{N}_{tot}-4N) | C_{\Lambda, \hat{s}}, C_{\Lambda, \hat{s}}^z; S_{\Lambda, \hat{s}}, S_{\Lambda, \hat{s}}^z \rangle = 0} | C_{\Lambda, \hat{s}}, C_{\Lambda, \hat{s}}^z; S_{\Lambda, \hat{s}}, S_{\Lambda, \hat{s}}^z \rangle \right\} , \quad \mathbf{C}_{\Lambda, \hat{s}}^2 + \mathbf{S}_{\Lambda, \hat{s}}^2 = \frac{3}{4} \quad (13)$$

*Projecting Hamiltonian onto resonant pairs scattering subspace*

We will now project onto a reduced set of basis states in which the charge and spin pseudospin are only globally entangled leading to dynamics of the pairs  $C_{\Lambda, \hat{s}}, S_{\Lambda, \hat{s}}$  that are connected to there partner pairs around the Fermi surface  $C_{\Lambda, \hat{s}}, S_{\Lambda, \hat{s}}$  ,

$$\begin{aligned} \hat{P}^{(2)} &= \sum_{\{C_{\Lambda, \hat{s}}^z\}} \prod_{\Lambda, \hat{s}} | C_{\Lambda, \hat{s}} = \frac{1}{2}, C_{\Lambda, \hat{s}}^z; S_{\Lambda, \hat{s}} = 0 \rangle \prod_{\Lambda, \hat{s}} \langle C_{\Lambda, \hat{s}} = \frac{1}{2}, C_{\Lambda, \hat{s}}^z; S_{\Lambda, \hat{s}} = 0 | \\ &+ \sum_{\{S_{\Lambda, \hat{s}}^z\}} \prod_{\Lambda, \hat{s}} | C_{\Lambda, \hat{s}} = 0; S_{\Lambda, \hat{s}} = \frac{1}{2}, S_{\Lambda, \hat{s}}^z \rangle \prod_{\Lambda, \hat{s}} \langle C_{\Lambda, o} = 0; S_{\Lambda, \hat{s}} = \frac{1}{2}, S_{\Lambda, \hat{s}}^z | , \end{aligned} \quad (14)$$

obeying momentum conservation.

*Hubbard interaction in the pseudospin basis  $\hat{P}^{(2)}$*

The Hubbard interaction in the two particle projected pseudospin basis is given as,

$$\begin{aligned} \hat{P}_{C, S}^{(2)} \left[ U_0 \sum_{i=1}^{2N} \left( n_{i\uparrow} - \frac{1}{2} \right) \left( n_{i\downarrow} - \frac{1}{2} \right) \right] \hat{P}^{(2)} &= \frac{U_0}{4N^2} \left( \sum_{\Lambda \Lambda', \hat{s} \hat{s}'} \mathbf{C}_{\Lambda, \hat{s}} \cdot \mathbf{C}_{\Lambda', \hat{s}'} + \mathbf{S}_{\Lambda, \hat{s}} \cdot \mathbf{S}_{\Lambda', \hat{s}'} \right) , \\ &= V_0 (\mathbf{C}^2 + \mathbf{S}^2) , \quad V_0 = \frac{U_0}{4N^2} \end{aligned} \quad (15)$$

where  $\mathbf{C} = \sum_{\Lambda, \hat{s}} \mathbf{C}_{\Lambda, \hat{s}}, \mathbf{S} = \sum_{\Lambda, o} \mathbf{S}_{\Lambda, \hat{s}'}$  are the total (charge/spin) pseudospin angular momentum operators.

*Reduced Hamiltonian in the resonant pairs basis is therefore given by*

The complete Hamiltonian in the two particle projected subspace can be written in the following way,

$$\begin{aligned} \hat{H}_{C, S}^{(2)} &= \sum_{\Lambda \hat{s} \neq T \hat{s}} \epsilon_{\Lambda, \hat{s}}^c (C_{\Lambda, \hat{s}}^z + C_{\Lambda, T \hat{s}}^z + C_{\Lambda, -\hat{s}}^z + C_{\Lambda, -T \hat{s}}^z) + \epsilon_{\Lambda, \hat{s}}^s (S_{\Lambda, \hat{s}}^z + S_{\Lambda, T \hat{s}}^z + S_{\Lambda, -\hat{s}}^z + S_{\Lambda, -T \hat{s}}^z) \\ &+ \frac{V_0}{4} \left[ \sum_{\Lambda \hat{s} \neq T \hat{s}} (\mathbf{C}_{\Lambda \hat{s}} + \mathbf{C}_{\Lambda T \hat{s}}) \right]^2 + \frac{V_0}{4} \left[ \sum_{\Lambda \hat{s} \neq T \hat{s}} (\mathbf{S}_{\Lambda \hat{s}} + \mathbf{S}_{\Lambda T \hat{s}}) \right]^2 \end{aligned} \quad (16)$$

**Step-4 Exact ‘0’ energy wavefunctions for the resonant pair reduced Hamiltonian** The reduced Hamiltonian  $\hat{H}_{C, S}^{(2)}$  has a family of eigenstates in order to tabulate them we define the

following momentum space singlets formed with doublon-holon or two spinon pseudospins ,

$$\begin{aligned} |\pm, \Lambda_{\hat{s}, T\hat{s}}\rangle &= \frac{1}{\sqrt{2}} [|\mathbf{C}_{\Lambda\hat{s}} + \mathbf{C}_{\Lambda T\hat{s}} = 0\rangle \pm |\mathbf{S}_{\Lambda\hat{s}} + \mathbf{S}_{\Lambda T\hat{s}} = 0\rangle] \\ |\pm, \Lambda_{\hat{s}, -T\hat{s}}\rangle &= \frac{1}{\sqrt{2}} [|\mathbf{C}_{\Lambda\hat{s}} + \mathbf{C}_{\Lambda -T\hat{s}} = 0\rangle \pm |\mathbf{S}_{\Lambda\hat{s}} + \mathbf{S}_{\Lambda -T\hat{s}} = 0\rangle] \end{aligned} \quad (17)$$

The many body eigenstates can be written down in terms of this singlets as,

$$|\{\{\Lambda_{\hat{s}, T\hat{s}, o}\}_{p_{\hat{s}, o}}\}_{N_F} ; \{\{\Lambda_{\hat{s}, -T\hat{s}, o}\}_{q_{\hat{s}, o}}\}_{N_F}\rangle = \prod_{\substack{j=1 \\ \hat{s} \in \{\hat{s}_i; i=[1, N_F]\}}}^{p_{\hat{s}, o}} |o, \Lambda_j, \hat{s}, T\hat{s}\rangle \prod_{\substack{j=1 \\ \hat{s} \in \{\hat{s}_i; i=[1, N_F]\}}}^{q_{\hat{s}, o}} |o, \Lambda_j, \hat{s}, -T\hat{s}\rangle, \quad (18)$$

## Step-5 Renormalization Group procedure

*Choosing the form for the many-body wave functions*

The many body wave-function is formed in such a way that the longitudinal (i.e. forward and backward), tangential scattering can be independently handled.

*step-1*

To focus on forward and backward scattering events along a given direction along FS i.e.  $\hat{s}$  , freeze all tangential scattering dynamics in the other directions i.e.  $\hat{s} \rightarrow \hat{s}'$  this can be done by writing the part of the many body wave-function susceptible towards longitudinal scattering in the following way,

### Forward Scattering

$$\begin{aligned} |\Psi_{long}^{fwd}(E), \{m\}_{N_F}\rangle &= \frac{1}{\sqrt{N_F}} \sum_{\hat{s}} \left[ \underbrace{\prod_{\substack{j=0, \\ \hat{s}' \neq \hat{s}}}^N |\mathbf{C}_{\Lambda_j \hat{s}'} + \mathbf{C}_{\Lambda_j T\hat{s}'} = 0\rangle + \prod_{\substack{j=0, \\ \hat{s}' \neq \hat{s}}}^N |\mathbf{S}_{\Lambda_j \hat{s}'} + \mathbf{S}_{\Lambda_j T\hat{s}'} = 0\rangle}_{\text{Freeze backward/tangential dynamics from } \hat{s} \rightarrow \hat{s}' \text{ in doublon-holon or two spinon channel}} \right] \\ &\quad \otimes \left( a_{m_{\hat{s}}+1} |\Psi_{N-1, \hat{s}}, m_{\hat{s}} + 1\rangle |p, \downarrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle + a_{m_{\hat{s}}} |\Psi_{N-1, \hat{s}}, m_{\hat{s}}\rangle |p, \uparrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle \right), \\ |p, \downarrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle &= \sqrt{p} |\downarrow_{\Lambda_N \hat{s}}^c \downarrow_{\Lambda_N T\hat{s}}^c\rangle + \sqrt{1-p} |\downarrow_{\Lambda_N \hat{s}}^s \downarrow_{\Lambda_N T\hat{s}}^s\rangle \\ |p, \uparrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle &= \sqrt{p} |\uparrow_{\Lambda_N \hat{s}}^c \downarrow_{\Lambda_N T\hat{s}}^c\rangle + \sqrt{1-p} |\uparrow_{\Lambda_N \hat{s}}^s \downarrow_{\Lambda_N T\hat{s}}^s\rangle \end{aligned} \quad (19)$$

The  $H_{C,S}^{(2)}$  in this basis simplifies to a Hamiltonian  $H_{C,S,fwd}^{(2)}$  for forward scattering in every direction,

$$\begin{aligned} H_{C,S,fwd}^{(2)} &= \sum_{\hat{s}} H_{C,S,fwd,\hat{s}}^{(2)}, \quad H_{C,S,\hat{s},fwd}^{(2)} = \sum_{\Lambda} \epsilon_{\Lambda\hat{s}}^c (C_{\Lambda\hat{s}}^z + C_{\Lambda T\hat{s}}^z) + \epsilon_{\Lambda\hat{s}}^s (S_{\Lambda\hat{s}}^z + S_{\Lambda T\hat{s}}^z) \\ &\quad + \frac{V_0}{4} \left[ \sum_{\Lambda} \mathbf{C}_{\Lambda\hat{s}} + \mathbf{C}_{\Lambda T\hat{s}} \right]^2 + \frac{V_0}{4} \left[ \sum_{\Lambda} \mathbf{S}_{\Lambda\hat{s}} + \mathbf{S}_{\Lambda T\hat{s}} \right]^2. \end{aligned} \quad (20)$$

The Hamiltonian for the forward scattering along direction  $\hat{s}$  i.e.  $H_{C,S,\hat{s},fwd}^{(2)}$  can be block diagonalized recursively in the following way ( $H_{C,S,\hat{s},fwd}^{(2)} = H_{C,S,\hat{s},fwd}^{(2),\leq N}$ ) firstly by setting up the blocks ,

$$H_{C,S,\hat{s},fwd}^{(2),\leq N} |\Psi_{\leq N}, m_{\hat{s}}, \{\lambda\}\rangle = E_{m_{\hat{s}},\leq N} |\Psi_{\leq N}, m_{\hat{s}}, \{\lambda\}\rangle \text{ eigenvalue eqn for } N \text{ pseudospins}$$

$$|\Psi_{\leq N}, m_{\hat{s}}, \{\lambda\}\rangle = a_{m_{\hat{s}}+1} |\Psi_{\leq N-1,\hat{s}}, m_{\hat{s}} + 1, \{\lambda\}\rangle |p, \downarrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle + a_{m_{\hat{s}}} |\Psi_{\leq N-1,\hat{s}}, m_{\hat{s}}, \{\lambda\}\rangle |p, \uparrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle \quad (21)$$

The Hamiltonian for 0 to N-1 coupled blocks (i.e. the low energy sector) is given by,

$$H_{C,S,\hat{s},fwd}^{(2),\leq N-1} |\Psi_{\leq N-1}, m_{\hat{s}}, \{\lambda\}\rangle = E_{m_{\hat{s}},\leq N-1} |\Psi_{\leq N-1}, m_{\hat{s}}, \{\lambda\}\rangle \text{ eigenvalue eqn for } N-1 \text{ pseudospins}$$

$$H_{C,S,\hat{s},fwd}^{(2),\leq N-1} = \sum_{j=1}^{N-1} \epsilon_{\Lambda_j \hat{s}}^c (C_{\Lambda_j \hat{s}}^z + C_{\Lambda_j T\hat{s}}^z) + \epsilon_{\Lambda_j \hat{s}}^s (S_{\Lambda_j \hat{s}}^z + S_{\Lambda_j T\hat{s}}^z) + \frac{V_0}{4} \left[ \sum_{j=1}^{N-1} (\mathbf{C}_{\Lambda_j \hat{s}} + \mathbf{C}_{\Lambda_j T\hat{s}}) \right]^2 + \frac{V_0}{4} \left[ \sum_{j=1}^{N-1} (\mathbf{S}_{\Lambda_j \hat{s}} + \mathbf{S}_{\Lambda_j T\hat{s}}) \right]^2 \quad (22)$$

The Hamiltonian for the Nth block (i.e. the high energy pivot) is given by,

$$H_{C,S,\hat{s},fwd}^{(2),N} = \epsilon_{\Lambda_N \hat{s}}^c (C_{\Lambda_N \hat{s}}^z + C_{\Lambda_N T\hat{s}}^z) + \epsilon_{\Lambda_N \hat{s}}^s (S_{\Lambda_N \hat{s}}^z + S_{\Lambda_N T\hat{s}}^z) + \frac{V_0}{4} [(\mathbf{C}_{\Lambda_N \hat{s}} + \mathbf{C}_{\Lambda_N T\hat{s}})]^2 + \frac{V_0}{4} [(\mathbf{S}_{\Lambda_N \hat{s}} + \mathbf{S}_{\Lambda_N T\hat{s}})]^2 \quad (23)$$

The Hamiltonian coupling the N-1 blocks with the Nth block is given by ,

$$\begin{aligned} H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} &= \frac{V_0}{4} \sum_{j=1}^{N-1} (\mathbf{C}_{\Lambda_j \hat{s}} + \mathbf{C}_{\Lambda_j T\hat{s}}) \cdot (\mathbf{C}_{\Lambda_N \hat{s}} + \mathbf{C}_{\Lambda_N T\hat{s}}) + \frac{V_0}{4} \sum_{j=1}^{N-1} (\mathbf{S}_{\Lambda_j \hat{s}} + \mathbf{S}_{\Lambda_j T\hat{s}}) \cdot (\mathbf{S}_{\Lambda_N \hat{s}} + \mathbf{S}_{\Lambda_N T\hat{s}}) , \\ &= \sum_{m_{\hat{s}}} \left( \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}} |\Psi_{N-1}, m_{\hat{s}}\rangle \langle \Psi_{N-1}, m_{\hat{s}}| \otimes (|p, \uparrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle \langle p, \uparrow_{\hat{s}} \downarrow_{T\hat{s}}|_N + |p, \uparrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle \langle p, \uparrow_{\hat{s}} \downarrow_{T\hat{s}}|_N) \right. \\ &\quad + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}-1} |\Psi_{N-1}, m_{\hat{s}} - 1\rangle \langle \Psi_{N-1}, m_{\hat{s}} - 1| \otimes |p, \uparrow_{\hat{s}} \uparrow_{T\hat{s}}\rangle \langle p, \uparrow_{\hat{s}} \uparrow_{T\hat{s}}|_N \\ &\quad + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1} |\Psi_{N-1}, m_{\hat{s}} + 1\rangle \langle \Psi_{N-1}, m_{\hat{s}} + 1| \otimes |p, \downarrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle \langle p, \downarrow_{\hat{s}} \downarrow_{T\hat{s}}|_N \\ &\quad + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \leftarrow m_{\hat{s}}} |\Psi_{N-1}, m_{\hat{s}} + 1\rangle \langle \Psi_{N-1}, m_{\hat{s}}| \otimes |p, \downarrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle \langle p, \uparrow_{\hat{s}} \downarrow_{T\hat{s}}|_N + h.c. \\ &\quad \left. + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}} \leftarrow m_{\hat{s}}-1} |\Psi_{N-1}, m_{\hat{s}}\rangle \langle \Psi_{N-1}, m_{\hat{s}} - 1| \otimes |p, \downarrow_{\hat{s}} \uparrow_{T\hat{s}}\rangle \langle p, \uparrow_{\hat{s}} \uparrow_{T\hat{s}}|_N + h.c. \right) \quad (24) \end{aligned}$$

The Hamiltonian in this basis of  $N-1$  blocks and the  $N$ th block is given by ( $p_c = p = 1 - p_s$ ) ,

$$\begin{aligned} H_{C,S,\hat{s},fwd}^{(2),N} &= \sum_{m_{\hat{s}}} \left[ \left( \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1} \right]_{m_{\hat{s}}+1} + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p, \downarrow_{\hat{s}} \downarrow_{T\hat{s}}} \right. \right. \\ &\quad \left. \left. + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1} \right) |\Psi_{N-1}, m_{\hat{s}} + 1\rangle \langle \Psi_{N-1}, m_{\hat{s}} + 1| \otimes |p, \downarrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle \langle p, \downarrow_{\hat{s}} \downarrow_{T\hat{s}}|_N \right. \\ &\quad + \left( \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1} \right]_{m_{\hat{s}}} + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p, \uparrow_{\hat{s}} \downarrow_{T\hat{s}}} \right. \\ &\quad \left. \left. + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}} \right) |\Psi_{N-1}, m_{\hat{s}}\rangle \langle \Psi_{N-1}, m_{\hat{s}}| \otimes |p, \uparrow_{\hat{s}} \downarrow_{T\hat{s}}\rangle \langle p, \uparrow_{\hat{s}} \downarrow_{T\hat{s}}|_N \right. \\ &\quad + \sqrt{p_c} \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \rightarrow m_{\hat{s}}} |\Psi_{N-1}, m_{\hat{s}}\rangle \langle \Psi_{N-1}, m_{\hat{s}} + 1| \otimes | \uparrow_{\Lambda_N \hat{s}}^c \downarrow_{\Lambda_N T\hat{s}}^c \rangle \langle p, \downarrow_{\hat{s}} \downarrow_{T\hat{s}}|_N \\ &\quad \left. + \sqrt{p_s} \left[ H_{S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \rightarrow m_{\hat{s}}} |\Psi_{N-1}, m_{\hat{s}}\rangle \langle \Psi_{N-1}, m_{\hat{s}} + 1| \otimes | \uparrow_{\Lambda_N \hat{s}}^s \downarrow_{\Lambda_N T\hat{s}}^s \rangle \langle p, \downarrow_{\hat{s}} \downarrow_{T\hat{s}}|_N + h.c. \right] \quad (25) \end{aligned}$$

here,

$$\begin{aligned}
\left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1} \right]_{m_{\hat{s}}+1} + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1} &= E_{m_{\hat{s}}+1,\leq N-1} , \quad \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}} = \frac{1}{4}(p_c V_{N\hat{s}}^c + p_s V_{N\hat{s}}^s) - p_c \epsilon_{\Lambda_{N\hat{s}}}^c - p_s \epsilon_{\Lambda_{N\hat{s}}}^s \\
\left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1} \right]_{m_{\hat{s}}} + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}} &= E_{m_{\hat{s}},\leq N-1} , \quad \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\uparrow_{\hat{s}}\downarrow_{T\hat{s}}} = -\frac{1}{4}(p_c V_{N\hat{s}}^c + p_s V_{N\hat{s}}^s) , \\
\left[ H_{C,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \rightarrow m_{\hat{s}}} &= V_{N\hat{s}}^c \langle \Psi_{N-1}, m_{\hat{s}} | C_{<\Lambda_{N,\hat{s}}}^- | \Psi_{N-1}, m_{\hat{s}} + 1 \rangle , \\
\left[ H_{S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \rightarrow m_{\hat{s}}} &= V_{N\hat{s}}^s \langle \Psi_{N-1}, m_{\hat{s}} | S_{<\Lambda_{N,\hat{s}}}^- | \Psi_{N-1}, m_{\hat{s}} + 1 \rangle
\end{aligned} \tag{26}$$

Using the eigenvalue equation eq(21) and eq(25) we have the following matrix equation ,

$$\begin{pmatrix} \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1} \right]_{m_{\hat{s}}+1} + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}} & \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \leftarrow m_{\hat{s}}} \\ + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1} & \\ \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \rightarrow m_{\hat{s}}} & \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1} \right]_{m_{\hat{s}}} + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}} \\ & + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}} \end{pmatrix} \begin{pmatrix} a_{m_{\hat{s}}+1} \\ \\ a_{m_{\hat{s}}} \end{pmatrix} = E_{m_{\hat{s}},\leq N} \begin{pmatrix} a_{m_{\hat{s}}+1} \\ \\ a_{m_{\hat{s}}} \end{pmatrix} \tag{27}$$

where the column vector is represented in the ket basis ,

$$\begin{pmatrix} a_{m_{\hat{s}}+1} \\ a_{m_{\hat{s}}} \end{pmatrix} = a_{m_{\hat{s}}+1} | \Psi_{\leq N-1,\hat{s}}, m_{\hat{s}} + 1 \rangle | p, \downarrow_{\hat{s}}\downarrow_{T\hat{s}} \rangle + a_{m_{\hat{s}}} | \Psi_{\leq N-1,\hat{s}}, m_{\hat{s}} \rangle | p, \uparrow_{\hat{s}}\downarrow_{T\hat{s}} \rangle . \tag{28}$$

The level spacing between a eigenvalue of 2N pseudospin system and a 2N-2 pseudospin system is given by,

$$\begin{aligned}
E_{m_{\hat{s}},\leq N} - E_{m_{\hat{s}},\leq N-1} &= \frac{V_0}{4} + \frac{W}{2} + \omega , \quad E_{m_{\hat{s}},\leq N} - E_{m_{\hat{s}}+1,\leq N-1} = \frac{V_0}{4} - \omega \\
E_{m_{\hat{s}},\leq N} &= \frac{V_0}{4} + \frac{W}{4} + \frac{1}{2}(E_{m_{\hat{s}},\leq N-1} + E_{m_{\hat{s}}+1,\leq N-1}) , \\
E_{m_{\hat{s}}+1,\leq N-1} - E_{m_{\hat{s}},\leq N-1} &= 2\omega - \frac{W}{2}
\end{aligned} \tag{29}$$

where  $\omega$  is a quantum fluctuation scale and  $V_0$  is the bare coupling strength. The characteristic polynomial from the above eq(37) ,

$$\begin{aligned}
&\left( \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1} \right]_{m_{\hat{s}}+1} + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}} + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1} - E_{m_{\hat{s}},\leq N} \right) \\
&\times \left( \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1} \right]_{m_{\hat{s}}} + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\uparrow_{\hat{s}}\downarrow_{T\hat{s}}} + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}} - E_{m_{\hat{s}},\leq N} \right) = \left| \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \leftarrow m_{\hat{s}}} \right|^2
\end{aligned} \tag{30}$$

Using the energy level differences in eq(29) the characteristic polynomial can be written as ,

$$\left( \omega - \frac{V_0}{4} + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}} \right) \left( \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\uparrow_{\hat{s}}\downarrow_{T\hat{s}}} - \frac{V_0}{4} - \frac{W}{2} - \omega \right) = \left| \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \leftarrow m_{\hat{s}}} \right|^2 . \tag{31}$$

The characteristic polynomial leads to the following constraint ,

$$\left( \omega - \frac{V_0}{4} + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}} \right) \left( \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\uparrow_{\hat{s}}\downarrow_{T\hat{s}}} - \frac{V_0}{4} - \frac{W}{2} - \omega \right) > 0 . \quad (32)$$

Using eq(37) and eq(29) we have the following relation between the coefficients,

$$\frac{a_{m_{\hat{s}}+1}}{a_{m_{\hat{s}}}} = \frac{\left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \leftarrow m_{\hat{s}}}}{\frac{V_0}{4} - \omega - \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}}}, \quad \frac{a_{m_{\hat{s}}}}{a_{m_{\hat{s}}+1}} = \frac{\left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}} \rightarrow m_{\hat{s}}+1}}{\omega + \frac{V_0}{4} + \frac{W}{2} - \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\uparrow_{\hat{s}}\downarrow_{T\hat{s}}}} \quad (33)$$

The way the energy differences have been defined allows the condition eq(32) to be fulfilled for  $-\frac{W}{2} < \omega < \frac{W}{2}$ , that is the variation of kinetic energy for a single particle in the tight binding spectrum. From the above relation the normalized coefficients are determined to be,

$$a_{m_{\hat{s}}} = \sqrt{\frac{\frac{V_0}{4} - \omega - \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}}}{\frac{V_0}{2} + \frac{W}{2} - Tr_{p,\downarrow_{T\hat{s}}} \left( H_{C,S,\hat{s},fwd}^{(2),N} \right)}}, \quad a_{m_{\hat{s}}+1} = \sqrt{\frac{\omega + \frac{V_0}{4} + \frac{W}{2} - Tr_{p,\downarrow_{T\hat{s}}} \left( H_{C,S,\hat{s},fwd}^{(2),N} \right) + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}}}{\frac{V_0}{2} + \frac{W}{2} - Tr_{p,\downarrow_{T\hat{s}}} \left( H_{C,S,\hat{s},fwd}^{(2),N} \right)}}. \quad (34)$$

The trace of the  $2 \times 2$  equals the sum of its eigenvalues therefore using eq(29) we can determine the other eigenvalue.

$$\begin{aligned} E_{m_{\hat{s}},\leq N} &= \frac{V_0}{4} + \frac{W}{4} + \frac{1}{2}(E_{m_{\hat{s}},\leq N-1} + E_{m_{\hat{s}}+1,\leq N-1}) , \\ E'_{m_{\hat{s}},\leq N} &= \text{sum of diagonal elements in eq(37)} - E'_{m_{\hat{s}},\leq N} \\ &= \frac{1}{2}(E_{m_{\hat{s}},\leq N-1} + E_{m_{\hat{s}}+1,\leq N-1}) - p_c \epsilon_{\Lambda_N \hat{s}}^c - p_s \epsilon_{\Lambda_N \hat{s}}^s - \frac{V_0}{4} - \frac{W}{2} . \end{aligned} \quad (35)$$

The coefficients for the other eigenstate is ,

$$a'_{m_{\hat{s}}} = -a_{m_{\hat{s}}+1}^* , \quad a'_{m_{\hat{s}}+1} = a_{m_{\hat{s}}}^* \quad (36)$$

Using this coefficients and the energy eigenvalues the  $2 \times 2$  matrix can be written in a diagonal form through the unitary transformation,

$$U_{N\hat{s}} \begin{pmatrix} \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1} \right]_{m_{\hat{s}}+1} + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}} + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1} & 0 \\ \frac{\left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \leftarrow m_{\hat{s}}} \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}} \leftarrow m_{\hat{s}}+1}}{\omega + \frac{V_0}{4} + \frac{W}{2} - Tr_{p,\downarrow_{T\hat{s}}} \left( H_{C,S,\hat{s},fwd}^{(2),N} \right) + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}}} & \\ 0 & \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1} \right]_{m_{\hat{s}}} + \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\uparrow_{\hat{s}}\downarrow_{T\hat{s}}} + \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}} \\ & + \frac{\left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}} \leftarrow m_{\hat{s}}+1} \left[ H_{C,S,\hat{s},fwd}^{(2),\leq N-1 \leftrightarrow N} \right]_{m_{\hat{s}}+1 \leftarrow m_{\hat{s}}}}{\frac{V_0}{4} - \omega - \left[ H_{C,S,\hat{s},fwd}^{(2),N} \right]_{p,\downarrow_{\hat{s}}\downarrow_{T\hat{s}}}} \end{pmatrix} U_{N\hat{s}}^\dagger U_{N\hat{s}} \begin{pmatrix} a_{m_{\hat{s}}+1} \\ a_{m_{\hat{s}}} \end{pmatrix} = E_{m_{\hat{s}},\leq N} U_{N\hat{s}} \begin{pmatrix} a_{m_{\hat{s}}+1} \\ a_{m_{\hat{s}}} \end{pmatrix} \quad (37)$$

where  $U_{N\hat{s}}$  is defined as ,

$$U_{N\hat{s}} = \begin{pmatrix} a_{m_{\hat{s}}} & -a_{m_{\hat{s}}+1}^* \\ a_{m_{\hat{s}}+1} & a_{m_{\hat{s}}}^* \end{pmatrix} \quad (38)$$