

The goal is to obtain the unitary transformation. In the half-filled subspace for the Hubbard dimer,

$$\mathcal{H} = \begin{pmatrix} |\uparrow, \downarrow\rangle & |\uparrow\downarrow, 0\rangle & |\downarrow, \uparrow\rangle & |0, \uparrow\downarrow\rangle \\ 0 & t & 0 & -t \\ t & U & -t & 0 \\ 0 & -t & 0 & t \\ -t & 0 & t & U \end{pmatrix} \quad (1)$$

I have dropped the part of the Hamiltonian involving  $|\uparrow, \uparrow\rangle$  and  $|\downarrow, \downarrow\rangle$  because they are already decoupled and do not change under the RG. Notice that  $\mathcal{H}$  can be written as

$$\mathcal{H} = \begin{pmatrix} |n_{1\uparrow} = 1\rangle & |n_{1\uparrow} = 0\rangle \\ a & b \\ b & a \end{pmatrix} \quad (2)$$

Applying RG on this matrix,

$$H_e = H_h = a \quad (3)$$

$$T = b \quad (4)$$

$$\eta^\dagger = \frac{1}{E - H_e} c_{1\uparrow}^\dagger T = \frac{1}{E - a} c_{1\uparrow}^\dagger b \quad (5)$$

$$\implies \eta = b^\dagger c_{1\uparrow} \frac{1}{E - a} \quad (6)$$

From properties of  $\eta$ ,

$$\hat{n}_{1\uparrow} = \eta^\dagger \eta = \frac{1}{E - a} c_{1\uparrow}^\dagger c_{1\uparrow} b b^\dagger \frac{1}{E - a} \quad (7)$$

$$\implies (E - a)^2 \hat{n}_{1\uparrow} = \hat{n}_{1\uparrow} b^2 \quad (8)$$

I used  $b = -t\sigma_x \implies b^\dagger = b$ . The two solutions for  $E$  are

$$E - a = \pm b \quad (9)$$

$$\implies E_\pm = a \pm b \quad (10)$$

$$\implies \mathcal{H}_{\text{rotated}} = \begin{pmatrix} |n_{1\uparrow} = 1\rangle & |n_{1\uparrow} = 0\rangle \\ a - b & 0 \\ 0 & a + b \end{pmatrix} = \begin{pmatrix} 0 & 2t & 0 & 0 \\ 2t & U & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & U \end{pmatrix} \quad (11)$$

For this step, the unitary is

$$U_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} |n_{1\uparrow} = 1\rangle & |n_{1\uparrow} = 0\rangle \\ -1 & 1 \\ 1 & 1 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} -\mathbb{I}_{2 \times 2} & \mathbb{I}_{2 \times 2} \\ \mathbb{I}_{2 \times 2} & \mathbb{I}_{2 \times 2} \end{pmatrix} \quad (12)$$

Taking a look at  $\mathcal{H}_{\text{rotated}}$ , the lower block is diagonal. So, take the upper block as the new Hamiltonian,

$$\mathcal{H} = \begin{pmatrix} |0, \downarrow\rangle & |\downarrow, 0\rangle \\ 0 & 2t \\ 2t & U \end{pmatrix} \quad (13)$$

$$H_e = 0, H_h = U, T = 2t \quad (14)$$

$$\eta^\dagger = \frac{1}{E - H_e} c_{1\downarrow}^\dagger T = \frac{2t}{E} c_{1\downarrow}^\dagger \quad (15)$$

$$\eta = \frac{1}{E - H_h} T^\dagger c_{1\downarrow} = \frac{2t}{E - U} c_{1\downarrow} \quad (16)$$

$$\hat{n}_{1\downarrow} = \eta^\dagger \eta = \frac{4t^2}{E(E - U)} \hat{n}_{1\downarrow} \quad (17)$$

$$\implies E(E - U) = 4t^2 \implies E = \frac{U \pm \Delta}{2} \quad (18)$$

Therefore,

$$\mathcal{H}_{\text{rotated}} = \begin{pmatrix} \frac{U-\Delta}{2} & 0 \\ 0 & \frac{U+\Delta}{2} \end{pmatrix} \quad (19)$$

$$\mathcal{U} = \begin{pmatrix} \frac{4t}{U-\Delta} & \frac{4t}{U+\Delta} \\ 1 & 1 \end{pmatrix} \quad (20)$$

$N_\pm^2 = \Delta(\Delta \pm U)$ . Since this unitary acts only on the upper block, the complete unitary for this stage is

$$U_2 = \begin{pmatrix} \mathcal{U} & 0_{2 \times 2} \\ 0_{2 \times 2} & \mathbb{I}_{2 \times 2} \end{pmatrix} \quad (21)$$

The total unitary for the entire diagonalization process is

$$U = U_1 \times U_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} -\mathcal{U} & 1 \\ \mathcal{U} & 1 \end{pmatrix} \quad (22)$$

To check whether these are correct, we can compute the eigenstates. The eigenstates of the unitarily rotated Hamiltonian are just the fermionic degrees of freedom  $|n_{i\sigma}\rangle$ . The eigenstates of the bare Hamiltonian are hence obtained by rotating these states:

$$\begin{aligned} \overline{|\psi_1\rangle} &= U |\uparrow, \downarrow\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} -\mathcal{U} & 1 \\ \mathcal{U} & 1 \end{pmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} -\frac{4t}{U-\Delta} \\ -1 \\ \frac{4t}{U-\Delta} \\ 1 \end{bmatrix} \sim \frac{1}{\sqrt{2}} \left\{ \frac{4t}{U-\Delta} (|\uparrow, \downarrow\rangle - |\downarrow, \uparrow\rangle) + (|\uparrow\downarrow, 0\rangle - |0, \downarrow\uparrow\rangle) \right\} \\ \overline{|\psi_2\rangle} &= U |\uparrow, \downarrow\rangle = \frac{1}{\sqrt{2}} \begin{bmatrix} -\frac{4t}{U+\Delta} \\ -1 \\ \frac{4t}{U+\Delta} \\ 1 \end{bmatrix} \sim \frac{1}{\sqrt{2}} \left\{ \frac{4t}{U+\Delta} (|\uparrow, \downarrow\rangle - |\downarrow, \uparrow\rangle) + (|\uparrow\downarrow, 0\rangle - |0, \downarrow\uparrow\rangle) \right\} \\ \overline{|\psi_3\rangle} &= U |\uparrow, \downarrow\rangle = \begin{bmatrix} 1 \\ 0 \\ 1 \\ 0 \end{bmatrix} \sim \frac{1}{\sqrt{2}} (|\uparrow, \downarrow\rangle + |\downarrow, \uparrow\rangle) \\ \overline{|\psi_4\rangle} &= U |\uparrow, \downarrow\rangle = \begin{bmatrix} 0 \\ 1 \\ 0 \\ 1 \end{bmatrix} \sim \frac{1}{\sqrt{2}} \{ |\uparrow\downarrow, 0\rangle + |0, \uparrow\downarrow\rangle \} \end{aligned} \quad (23)$$

Now that we have the unitary transformaiton, the contention is that the following is the correct effective Hamiltonian:

$$\overline{\mathcal{H}} = U\hat{n}_{2\uparrow}\hat{n}_{2\downarrow} + \frac{U-\Delta}{2}\hat{n}_{1\uparrow}\hat{n}_{2\downarrow} + \frac{U+\Delta}{2}\hat{n}_{1\uparrow}\hat{n}_{1\downarrow} \quad (24)$$

### Matching eigenvalues

To check that this gives the correct eigenvalues, I operate this on the states which should be its eigenstates, that is, the decoupled degrees of freedom  $|n_{i\sigma}\rangle$ :

$$\begin{aligned} \overline{\mathcal{H}}|\uparrow, \downarrow\rangle &= \begin{pmatrix} \frac{U-\Delta}{2} & 0 & 0 & 0 \\ 0 & \frac{U+\Delta}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & U \end{pmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \frac{U-\Delta}{2} \\ \overline{\mathcal{H}}|\uparrow\downarrow, 0\rangle &= \begin{pmatrix} \frac{U-\Delta}{2} & 0 & 0 & 0 \\ 0 & \frac{U+\Delta}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & U \end{pmatrix} \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} = \frac{U+\Delta}{2} \\ \overline{\mathcal{H}}|\downarrow, \uparrow\rangle &= \begin{pmatrix} \frac{U-\Delta}{2} & 0 & 0 & 0 \\ 0 & \frac{U+\Delta}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & U \end{pmatrix} \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} = 0 \\ \overline{\mathcal{H}}|0, \uparrow\downarrow\rangle &= \begin{pmatrix} \frac{U-\Delta}{2} & 0 & 0 & 0 \\ 0 & \frac{U+\Delta}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & U \end{pmatrix} \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = U \end{aligned} \quad (25)$$

Next is a proof that  $\overline{\mathcal{H}}$  is unitarily linked with the bare Hamiltonian by the same unitary transformation:

$$U\overline{\mathcal{H}}U^{-1} = U \begin{pmatrix} \frac{U-\Delta}{2} & 0 & 0 & 0 \\ 0 & \frac{U+\Delta}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & U \end{pmatrix} U^{-1} = \begin{pmatrix} 0 & t & 0 & -t \\ t & U & -t & 0 \\ 0 & -t & 0 & t \\ -t & 0 & t & U \end{pmatrix} = \mathcal{H} \quad (26)$$

This proves that  $\overline{\mathcal{H}}$  shares the symmetries of  $\mathcal{H}$ .

### Rotated spin-inversion operator

The spin-inversion operator in the original basis is

$$T = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} = \begin{pmatrix} a & a + \mathbb{I} \\ a + \mathbb{I} & a \end{pmatrix} \quad (27)$$

where  $a = \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix}$ . To see the nature of the rotated spin-inversion operator,

$$\overline{T} = U^{-1}TU = \begin{pmatrix} -\mathbb{I} & 0 \\ 0 & \sigma_z \end{pmatrix} \quad (28)$$

To see that  $\bar{T}$  commutes with  $\bar{H}$ ,

$$\begin{aligned}\bar{T}\bar{H} &= \begin{pmatrix} -\mathbb{I} & 0 \\ 0 & \sigma_z \end{pmatrix} \begin{pmatrix} \frac{U-\Delta}{2} & 0 & 0 & 0 \\ 0 & \frac{U+\Delta}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & U \end{pmatrix} = \begin{pmatrix} -\frac{U-\Delta}{2} & 0 & 0 & 0 \\ 0 & -\frac{U+\Delta}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -U \end{pmatrix} \\ \bar{H}\bar{T} &= \begin{pmatrix} \frac{U-\Delta}{2} & 0 & 0 & 0 \\ 0 & \frac{U+\Delta}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & U \end{pmatrix} \begin{pmatrix} -\mathbb{I} & 0 \\ 0 & \sigma_z \end{pmatrix} = \begin{pmatrix} -\frac{U-\Delta}{2} & 0 & 0 & 0 \\ 0 & -\frac{U+\Delta}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -U \end{pmatrix}\end{aligned}\quad (29)$$

## Entanglement

$$|GS\rangle = \frac{1}{\sqrt{2(1+\alpha^{-2})}} [|\uparrow, \downarrow\rangle - |\downarrow, \uparrow\rangle + \alpha^{-1} (|0, \uparrow\downarrow\rangle - |\uparrow\downarrow, 0\rangle)] \quad (30)$$

To determine the entanglement between the two states, I compute the von Neumann entropy of the reduced density matrix of the site 1 obtained by tracing out site 2.

$$\begin{aligned}\rho &= |GS\rangle \langle GS| \\ \rho_1 &= \sum_{|x\rangle_2} \langle x| \rho |x\rangle\end{aligned}\quad (31)$$

where the sum is over all the configurations of the second site:  $\{|x\rangle\} = \{|0\rangle, |\uparrow\rangle, |\downarrow\rangle, |\uparrow\downarrow\rangle\}$

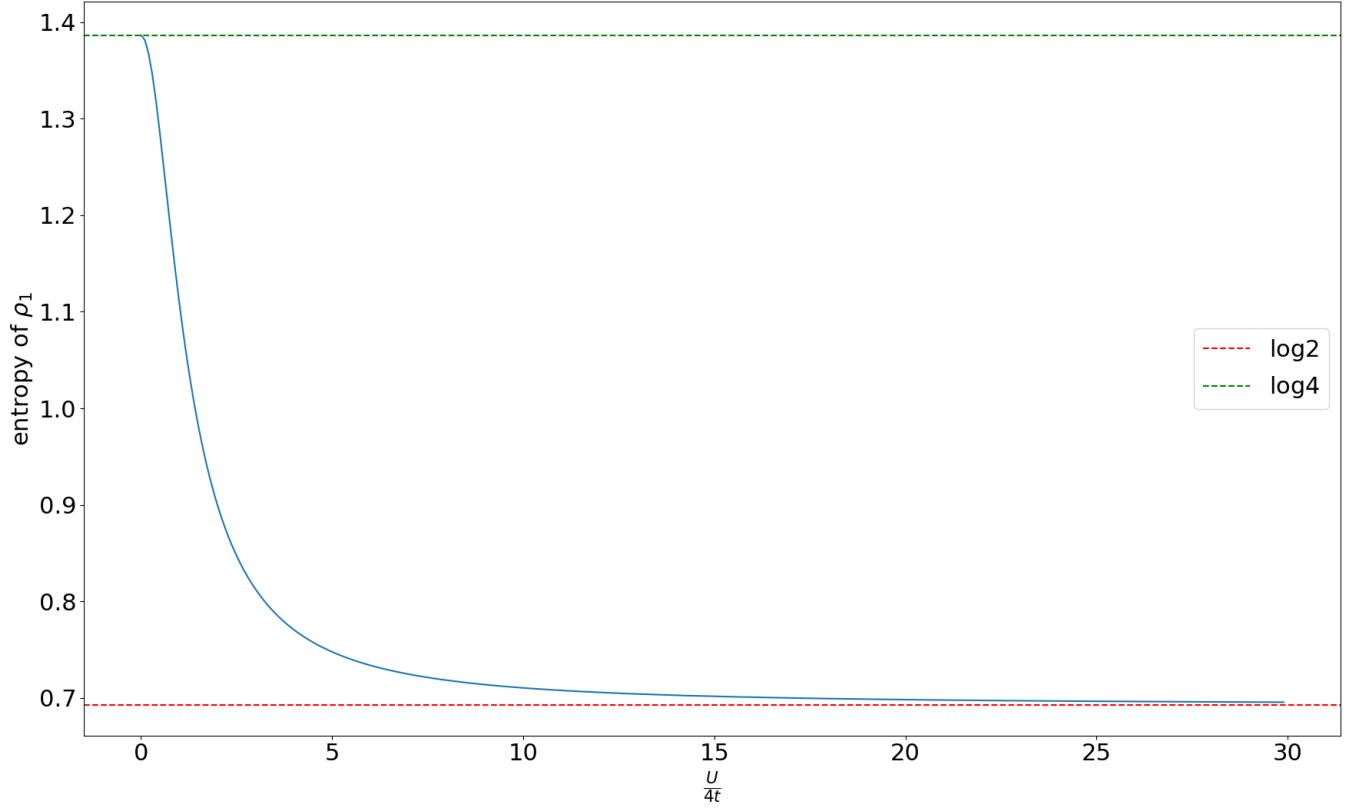
$$\begin{aligned}\langle 0|_2 \rho |0\rangle_2 &= \frac{\alpha^{-2}}{2(1+\alpha^{-2})} |\uparrow\downarrow\rangle \langle \uparrow\downarrow| \\ \langle \uparrow|_2 \rho |\uparrow\rangle_2 &= \frac{1}{2(1+\alpha^{-2})} |\downarrow\rangle \langle \downarrow| \\ \langle \downarrow|_2 \rho |\downarrow\rangle_2 &= \frac{1}{2(1+\alpha^{-2})} |\uparrow\rangle \langle \uparrow| \\ \langle \uparrow\downarrow|_2 \rho |\uparrow\downarrow\rangle_2 &= \frac{\alpha^{-2}}{2(1+\alpha^{-2})} |0\rangle \langle 0|\end{aligned}\quad (32)$$

Therefore,

$$\begin{aligned}\rho_1 &= \frac{1}{2(1+\alpha^{-2})} [|\uparrow\rangle \langle \uparrow| + |\downarrow\rangle \langle \downarrow| + \alpha^{-2} (|\uparrow\downarrow\rangle \langle \uparrow\downarrow| + |0\rangle \langle 0|)] \\ &= \frac{1}{2(1+\alpha^{-2})} \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & \alpha^{-2} & \\ & & & \alpha^{-2} \end{pmatrix}\end{aligned}\quad (33)$$

The von Neumann entropy is given by  $S_1 = -\sum_i \lambda_i \log \lambda_i$ , where  $\lambda_i$  are the eigenvalues.

$$\begin{aligned}S &= -\frac{1}{2(1+\alpha^{-2})} \times 2 \times \left[ \ln \frac{1}{2(1+\alpha^{-2})} + \alpha^{-2} \ln \frac{\alpha^{-2}}{2(1+\alpha^{-2})} \right] \\ &= \frac{1}{(1+\alpha^{-2})} [\ln(2+2\alpha^{-2}) + \alpha^{-2} \ln(2+2\alpha^2)]\end{aligned}\quad (34)$$



The entropy is maximum ( $\log 4$ ) at  $\frac{U}{4t} = 0$  and minimum ( $\log 2$ ) at  $\frac{U}{4t} \rightarrow \infty$ . This is expected for the following reason: At  $U = 0$ , the ground state becomes an equal admixture of all four states. If a measurement is performed on site 2, site 1 ends up in any one of the four possible configurations ( $|0\rangle, |\uparrow\rangle, |\downarrow\rangle, |\uparrow\downarrow\rangle$ ), with equal probability. The fact that the probabilities are equal means the resultant state will be maximally entangled, which leads to the entropy taking the form  $\log N$ , where  $N$  is the dimension of the reduced Hilbert space. Since site 1 can go into four possible states, the value of  $N$  is 4, and we get  $S_1 = \log 4$ .

For  $U = \infty$ , the ground state is just a singlet ( $|\uparrow, \downarrow\rangle - |\downarrow, \uparrow\rangle$ ), that is, an equal admixture of two states. This means that on measuring site 2, site 1 now has only two options to choose from,  $|\uparrow\rangle$  or  $|\downarrow\rangle$ , the doublon-holon states are out of the picture. This reduces the dimension of the available Hilbert space to 2, and we get  $\log 2$ .

To find the entanglement content of just the singlet part, I can project out the doublon-holon part from the density matrix.

$$\rho_{sg} = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad (35)$$

The entropy will just be  $\log 2$ . Projecting out the singlet part and keeping the doublon-holon part will give the same entropy.