

$$\begin{aligned}
\therefore \hat{n}_{N\sigma} \mathcal{H}_{2N} \hat{P}(1 - \hat{n}_{N\sigma}) &= \frac{1}{2} (H_e \hat{n}_{N\sigma} + c^\dagger \hat{T})(1 + \eta^\dagger)(1 - \hat{n}_{N\sigma}) \\
&= \frac{1}{2} (H_e \hat{n}_{N\sigma} + H_e \hat{n}_{N\sigma} \eta^\dagger + c^\dagger T + c^\dagger T \eta^\dagger)(1 - \hat{n}_{N\sigma}) \\
&= \frac{1}{2} H_e \hat{n}_{N\sigma} \eta^\dagger (1 - \hat{n}_{N\sigma}) + c^\dagger T (1 - \hat{n}_{N\sigma}) + \frac{1}{2} c^\dagger T \eta^\dagger (1 - \hat{n}_{N\sigma}) \quad (68) \\
&= \frac{1}{2} H_e \hat{n}_{N\sigma} \eta^\dagger + \frac{1}{2} c^\dagger T \\
&(\because \eta^\dagger (1 - \hat{n}_{N\sigma}) = \eta^\dagger, c^\dagger (1 - \hat{n}_{N\sigma}) = c^\dagger, c^\dagger \eta^\dagger = 0)
\end{aligned}$$

Combining the final equations of 66 and 68, we get

$$c_{N\sigma}^\dagger \hat{T}_{N\sigma} + H_e \hat{n}_{N\sigma} \eta_{N\sigma}^\dagger = \mathcal{H}' \eta_{N\sigma}^\dagger \implies \eta_{N\sigma}^\dagger = \frac{1}{\mathcal{H}' - H_e \hat{n}_{N\sigma}} c_{N\sigma}^\dagger \hat{T}_{N\sigma} \quad (69)$$

Defining $\hat{G}_e(\hat{E}_{N\sigma}) = \frac{1}{\mathcal{H}' - H_e \hat{n}_{N\sigma}}$,

$$\eta_{N\sigma}^\dagger = \hat{G}_e(\hat{E}_{N\sigma}) c_{N\sigma}^\dagger \hat{T}_{N\sigma} \quad (70)$$

This expresses the electron-hole transition operator in terms of the eigenblock $\hat{E}_{N\sigma}$.

The expression for η is obtained using $(1 - \hat{n}_{N\sigma}) \mathcal{H}_{2N} \hat{P} \hat{n}_{N\sigma} = (1 - \hat{n}_{N\sigma}) \mathcal{H}' \hat{P} \hat{n}_{N\sigma}$

$$\hat{P} \hat{n}_{N\sigma} = \frac{1}{2} (1 + \eta + \eta^\dagger) \hat{n}_{N\sigma} = \frac{1}{2} (\hat{n}_{N\sigma} + \eta) \quad (\because \eta \hat{n}_{N\sigma} = \eta, \eta^\dagger \hat{n}_{N\sigma} = 0) \quad (71)$$

$$(1 - \hat{n}_{N\sigma}) \mathcal{H}_{2N} = (H_h (1 - \hat{n}_{N\sigma}) + \hat{T}^\dagger c) \quad (72)$$

$$\begin{aligned}
(1 - \hat{n}_{N\sigma}) \mathcal{H}_{2N} \hat{P} \hat{n}_{N\sigma} &= \frac{1}{2} H_h (1 - \hat{n}_{N\sigma}) \eta + \frac{1}{2} \hat{T}^\dagger c \hat{n}_{N\sigma} + \frac{1}{2} \hat{T}^\dagger c \eta = \frac{1}{2} H_h (1 - \hat{n}_{N\sigma}) \eta + \frac{1}{2} \hat{T}^\dagger c \\
&(\because c \hat{n}_{N\sigma} = c, c \eta = 0) \quad (73)
\end{aligned}$$

$$(1 - \hat{n}_{N\sigma}) \mathcal{H}' \hat{P} \hat{n}_{N\sigma} = \frac{1}{2} \mathcal{H}' (1 - \hat{n}_{N\sigma}) \eta = \frac{1}{2} \mathcal{H}' \eta \quad (74)$$

Combining 73 and 74, we get

$$\eta_{N\sigma} = G_h(\hat{E}_{N\sigma}) \hat{T}_{N\sigma}^\dagger c_{N\sigma} \quad (75)$$

where $G_h(\hat{E}_{N\sigma}) = \frac{1}{\mathcal{H}' - H_h (1 - \hat{n}_{N\sigma})}$

The expression for the eigenblock $\hat{E}_{N\sigma}$ is obtained using $\hat{n}_{N\sigma}\mathcal{H}_{2N}\hat{P}\hat{n}_{N\sigma} = \hat{n}_{N\sigma}\mathcal{H}'\hat{P}\hat{n}_{N\sigma}$

$$\begin{aligned}
\hat{n}_{N\sigma}\mathcal{H}_{2N}\hat{P}\hat{n}_{N\sigma} &= \frac{1}{2}(H_e\hat{n}_{N\sigma} + c^\dagger\hat{T})(\hat{n}_{N\sigma} + \eta) = \frac{1}{2}(H_e\hat{n}_{N\sigma} + H_e\hat{n}_{N\sigma}\eta + c^\dagger T\hat{n}_{N\sigma} + c^\dagger T\eta) \\
&= \frac{1}{2}(H_e\hat{n}_{N\sigma} + c^\dagger T\eta) \\
&\quad \left(\because \hat{n}_{N\sigma}\eta = 0, c^\dagger\hat{T}\hat{n}_{N\sigma} = \hat{T}c^\dagger\hat{n}_{N\sigma} = 0\right) \\
\hat{n}_{N\sigma}\mathcal{H}'\hat{P}\hat{n}_{N\sigma} &= \frac{1}{2}\hat{n}_{N\sigma}\mathcal{H}'(\hat{n}_{N\sigma} + \eta) = \frac{1}{2}(\hat{n}_{N\sigma}\mathcal{H}'\hat{n}_{N\sigma} + \hat{n}_{N\sigma}\mathcal{H}'\eta) = \frac{1}{2}\hat{E}_{N\sigma}\hat{n}_{N\sigma} \\
&\quad \left(\because \hat{n}_{N\sigma}\mathcal{H}'\hat{n}_{N\sigma} = \hat{E}_{N\sigma}\hat{n}_{N\sigma}, \hat{n}_{N\sigma}\mathcal{H}'\eta = \mathcal{H}'\hat{n}_{N\sigma}\eta = 0\right)
\end{aligned} \tag{76}$$

Combining,

$$\hat{E}_{N\sigma}\hat{n}_{N\sigma} = H_e\hat{n}_{N\sigma} + c_{N\sigma}^\dagger\hat{T}_{N\sigma}\eta_{N\sigma} \tag{77}$$

The expression for the lower eigenblock $\hat{E}'_{N\sigma}$ is obtained by repeating the last stuff with \mathcal{H}'' :

$$\begin{aligned}
&\mathcal{H}_{2N}(1 - \hat{P}) = \mathcal{H}''(1 - \hat{P}) \\
\implies (1 - \hat{n}_{N\sigma})\mathcal{H}_{2N}(1 - \hat{P})(1 - \hat{n}_{N\sigma}) &= (1 - \hat{n}_{N\sigma})\mathcal{H}''(1 - \hat{P})(1 - \hat{n}_{N\sigma})
\end{aligned} \tag{78}$$

Now,

$$(1 - \hat{P})(1 - \hat{n}_{N\sigma}) = \frac{1}{2}(1 - \eta - \eta^\dagger)(1 - \hat{n}_{N\sigma}) = \frac{1}{2}((1 - \hat{n}_{N\sigma}) - \eta^\dagger) \tag{79}$$

Therefore,

$$\begin{aligned}
(1 - \hat{n}_{N\sigma})\mathcal{H}_{2N}(1 - \hat{P})(1 - \hat{n}_{N\sigma}) &= \frac{1}{2}(H_h(1 - \hat{n}_{N\sigma}) + \hat{T}^\dagger c)(1 - \hat{n}_{N\sigma} - \eta^\dagger) \\
&= \frac{1}{2}\left(H_h(1 - \hat{n}_{N\sigma}) - \hat{T}^\dagger c\eta^\dagger\right) \\
&\quad \left(\because (1 - \hat{n}_{N\sigma})\eta^\dagger = 0, c(1 - \hat{n}_{N\sigma}) = 0\right) \\
(1 - \hat{n}_{N\sigma})\mathcal{H}''(1 - \hat{P})(1 - \hat{n}_{N\sigma}) &= \frac{1}{2}(1 - \hat{n}_{N\sigma})H''(1 - \hat{n}_{N\sigma}) = \frac{1}{2}\hat{E}'_{N\sigma}(1 - \hat{n}_{N\sigma})
\end{aligned} \tag{80}$$

Combining the last two equations,

$$\hat{E}'_{N\sigma}(1 - \hat{n}_{N\sigma}) = H_h(1 - \hat{n}_{N\sigma}) - \hat{T}_{N\sigma}^\dagger c_{N\sigma}\eta_{N\sigma}^\dagger \tag{81}$$

3.4 A Simple Example

$$\mathcal{H} = -t \left(c_2^\dagger c_1 + c_1^\dagger c_2 \right) + V \hat{n}_1 \hat{n}_2 - \mu (\hat{n}_1 + \hat{n}_2) \quad \hat{n}_i = c_i^\dagger c_i = \begin{pmatrix} V - 2\mu & 0 & 0 & 0 \\ 0 & -\mu & -t & 0 \\ 0 & -t & \mu & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad (82)$$

The basis used is the ordered set $\{|11\rangle, |10\rangle, |01\rangle, |00\rangle\}$

For this problem, we take $N\sigma \equiv 1$. 1 refers to the first site. First step is to represent the Hamiltonian in block matrix form (equation 49).

$$\begin{aligned} \hat{H}_{1,e} &= Tr_1[\mathcal{H}\hat{n}_1] \\ &= Tr_1[V\hat{n}_1\hat{n}_2 - \mu(\hat{n}_1 + \hat{n}_2)] \quad (c \text{ and } c^\dagger \text{ will not conserve the eigenvalue of } \hat{n}) \\ &= V\hat{n}_2 - \mu(1 + \hat{n}_2) \quad (Tr_1[V\hat{n}_1\hat{n}_2] = VTr_1[\hat{n}_1]\hat{n}_2 = V\hat{n}_2) \\ &= (V - 2\mu)\hat{n}_2 - \mu(1 - \hat{n}_2) \end{aligned} \quad (83)$$

Next is calculation of $\hat{H}_{1,h}$:

$$\hat{H}_{1,h} = Tr_1[\mathcal{H}(1 - \hat{n}_1)] = -\mu\hat{n}_2 \quad (84)$$

Next is calculation of $T_{1,e-h}$.

$$\begin{aligned} T_{1,e-h} &= Tr_1[\mathcal{H}c_1] \\ &= Tr_1[-tc_1^\dagger c_2 c_1] = -tc_2 \quad (\text{the only term that conserves eigenvalue of } \hat{n}) \end{aligned} \quad (85)$$

Therefore, $T_{1,e-h}^\dagger = -tc_2^\dagger$. The block matrix form becomes

$$\mathcal{H} = \begin{pmatrix} (V - 2\mu)\hat{n}_2 - \mu(1 - \hat{n}_2) & -tc_2 \\ -tc_2^\dagger & -\mu\hat{n}_2 \end{pmatrix} \quad (86)$$

The block-diagonal form is, as usual, $\overline{\mathcal{H}} = \begin{pmatrix} \hat{E}_1 & 0 \\ 0 & \hat{E}'_1 \end{pmatrix}$

The expression of η^\dagger is $\hat{\eta}^\dagger = \hat{G}_e c_1^\dagger \hat{T}_{1,e-h} = G_e c_1^\dagger (-tc_2)$. Hence, $\eta = -tc_2^\dagger c_1 G_e^\dagger$. Since $H_e^\dagger = H_e$ for this problem, we have $\eta = -tc_2^\dagger c_1 G_e$. It was proved in the formalism that $\eta^\dagger \eta = \hat{n}_1$. Therefore,

$$\begin{aligned} t^2 G_e c_1^\dagger c_2 c_2^\dagger c_1 G_e &= \hat{n}_1 \implies t^2 \hat{n}_1 (1 - \hat{n}_2) = \hat{n}_1 \{G_e^{-1}\}^2 = \hat{n}_1 (\mathcal{H}' - H_e \hat{n}_1)^2 \\ &\implies t^2 \hat{n}_1^2 (1 - \hat{n}_2)^2 = (\mathcal{H}' \hat{n}_1 - H_e \hat{n}_1)^2 \\ &\implies \mathcal{H}' \hat{n}_1 = H_e \hat{n}_1 + t \hat{n}_1 (1 - \hat{n}_2) = (V - 2\mu) \hat{n}_1 \hat{n}_2 + (t - \mu) \hat{n}_1 (1 - \hat{n}_2) \end{aligned} \quad (87)$$

This equation gives the upper block of the diagonalised Hamiltonian. Why the upper block? Because it is multiplied by \hat{n}_1 , and hence can give non-zero contribution only in the upper block. It is also obvious that the upper block itself is internally diagonal in \hat{n}_2 ; this is seen from the fact that the expression of $\mathcal{H}'\hat{n}_1$ has no c_2 or c_2^\dagger , only \hat{n}_2 . The term multiplying \hat{n}_2 becomes the upper matrix element in the block of \hat{n}_2 , while that multiplying $1 - \hat{n}_2$ becomes the lower element. Summarizing,

$$\overline{\mathcal{H}} = \mathcal{H}'\hat{n}_1 + \mathcal{H}''(1 - \hat{n}_1) = \begin{pmatrix} V - 2\mu & 0 & & \\ & 0 & t - \mu & \\ & & & \mathbf{0}_{2 \times 2} \\ & \mathbf{0}_{2 \times 2} & & (\hat{E}'_1)_{2 \times 2} \end{pmatrix} \quad (88)$$

The \hat{E}' is the contribution from \mathcal{H}'' ; just as $\mathcal{H}\hat{n}_1$ gives the upper block contribution, \mathcal{H}'' gives the lower contribution. And since $\mathcal{H}'' = \begin{pmatrix} \hat{E}' & 0 \\ 0 & \hat{E}' \end{pmatrix}$, we end up with \hat{E}' in the lower block of $\overline{\mathcal{H}}$. It still remains to compute $\mathcal{H}''(1 - \hat{n}_1) = \hat{E}'(1 - \hat{n}_1)$. But that is easy because we already have the expression for that, equation 81.

$$E'_1(1 - \hat{n}_1) = H_h(1 - \hat{n}_1) - \hat{T}_1^\dagger c_1 \eta^\dagger = -\mu(1 - \hat{n}_1)\hat{n}_2 - t^2 c_2^\dagger c_1 G_e c_1^\dagger \hat{c}_2 \quad (89)$$

This is the expression for the lower block. But to get the final matrix elements, we need to resolve it in \hat{n}_2 . That is, the upper matrix element of the lower block will be $\langle 01 | E'(1 - \hat{n}_1) | 01 \rangle$ and the lower element will be $\langle 00 | E'(1 - \hat{n}_1) | 00 \rangle$. The bra and ket are written in the notation $\langle n_1, n_2 |, | n_1, n_2 \rangle$. Since this is the lower block in the representation of \hat{n}_1 , n_1 will always be zero while calculating the elements of \hat{E}' . $n_2 = 1(0)$ means the upper(lower) diagonal element. Similarly, $\langle 01 | E'(1 - \hat{n}_1) | 00 \rangle$ is an off-diagonal element.

It is easy to see that the off-diagonal terms will be zero. The lower diagonal term will also be zero: $\hat{n}_2 | n_1, 0 \rangle = c_2 | n_1, 0 \rangle = 0$. Thus the only non-zero term is

$$\langle 01 | E'(1 - \hat{n}_1) | 01 \rangle = -\mu - t^2 \langle 10 | G_e | 10 \rangle \quad (90)$$

Now,

$$\begin{aligned} \langle 10 | G_e^{-1} | 10 \rangle &= \langle 10 | H' - (V - \mu)\hat{n}_1\hat{n}_2 + \mu\hat{n}_1 | 10 \rangle \\ &= \langle 10 | \mathcal{H}' | 10 \rangle + \mu = \langle 10 | \mathcal{H}'\hat{n}_1 | 10 \rangle + \mu \\ &= \langle 10 | (V - 2\mu)\hat{n}_1\hat{n}_2 + (t - \mu)\hat{n}_1(1 - \hat{n}_2) | 10 \rangle + \mu \\ &= t - \mu + \mu = t \\ \therefore \langle 10 | G_e | 10 \rangle &= \frac{1}{t} \end{aligned} \quad (91)$$

Therefore, $\langle 01| E'(1 - \hat{n}_1) |01\rangle = -\mu - t^2 \frac{1}{t} = -\mu - t$. The final diagonalized matrix becomes

$$\overline{\mathcal{H}} = \begin{pmatrix} |11\rangle & |10\rangle & |01\rangle & |00\rangle \\ (V - 2\mu) & 0 & 0 & 0 \\ 0 & (t - \mu) & 0 & 0 \\ 0 & 0 & -(\mu + t) & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad (92)$$

3.4.1 The Eigenstates

The unitarily transformed Hamiltonian, $\overline{\mathcal{H}}$ is diagonal in the basis of \hat{n} . This implies that the eigenstates of the original Hamiltonian \mathcal{H} are the unitarily transformed versions of the eigenkets of \hat{n} :

$$\mathcal{H}(\hat{U}_{N\sigma}^\dagger |n_1, n_2\rangle) = \hat{U}_{N\sigma}^\dagger \overline{\mathcal{H}} |n_1, n_2\rangle = \hat{U}_{N\sigma}^\dagger E_{n_1, n_2} |n_1, n_2\rangle = E_{n_1, n_2} (\hat{U}_{N\sigma}^\dagger |n_1, n_2\rangle) \quad (93)$$

To find the eigenvectors $\hat{U}_{N\sigma}^\dagger |n_1, n_2\rangle$, we need to find the $\hat{U}_{N\sigma}$. From equation ??, we have $\hat{U}_{N\sigma} = \frac{1}{\sqrt{2}} (1 + \hat{\eta}^\dagger - \hat{\eta})$.

To get the eigenstates of \mathcal{H} , I act with U^\dagger on the eigenstates ($|n_1, n_2\rangle$):

$$\hat{U}_{N\sigma}^\dagger |11\rangle = |11\rangle \quad (94)$$

$$\hat{U}_{N\sigma}^\dagger |00\rangle = |00\rangle, \quad (95)$$

$$\begin{aligned} \hat{U}_{N\sigma}^\dagger |10\rangle &= \frac{1}{2} (|10\rangle - \eta |10\rangle) = \frac{1}{2} (|10\rangle + t c_2^\dagger c_1 \hat{G}_e |10\rangle) = \frac{1}{2} \left(|10\rangle + t c_2^\dagger c_1 \frac{1}{t} |01\rangle \right) \\ &= \frac{1}{2} (|10\rangle + |01\rangle) \end{aligned} \quad (96)$$

$$\hat{U}_{N\sigma}^\dagger |01\rangle = \frac{1}{2} (|01\rangle + \eta^\dagger |01\rangle) = \frac{1}{2} (|01\rangle - t \hat{G}_e c_1^\dagger c_2 |01\rangle) = \frac{1}{2} (|01\rangle - |10\rangle) \quad (97)$$

The eigenstates come out to be (upto a normalizaiton):

$$\begin{aligned} &|00\rangle \\ &|10\rangle + |01\rangle \\ &|01\rangle - |10\rangle \\ &|11\rangle \end{aligned} \quad (98)$$

3.5 Applying the RG on the Hubbard dimer

$$\begin{aligned}
\mathcal{H} &= -t \sum_{\sigma} (c_{1\sigma}^{\dagger} c_{2\sigma} + c_{2\sigma}^{\dagger} c_{1\sigma}) + U (\hat{n}_{1\uparrow} \hat{n}_{1\downarrow} + \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}) \\
H_e &= Tr_{\hat{n}_{1\uparrow}} (\mathcal{H} \hat{n}_{1\uparrow}) = U (\hat{n}_{1\downarrow} + \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}) - t (c_{1\downarrow}^{\dagger} c_{2\downarrow} + c_{2\downarrow}^{\dagger} c_{1\downarrow}) \\
H_h &= Tr_{\hat{n}_{1\uparrow}} (\mathcal{H} (1 - \hat{n}_{1\uparrow})) = U \hat{n}_{2\uparrow} \hat{n}_{2\downarrow} - t (c_{1\downarrow}^{\dagger} c_{2\downarrow} + c_{2\downarrow}^{\dagger} c_{1\downarrow}) \\
T &= Tr_{\hat{n}_{1\uparrow}} (\mathcal{H} c_{1\uparrow}) = -t c_{2\uparrow} \\
T^{\dagger} &= Tr_{\hat{n}_{1\uparrow}} (c_{1\uparrow}^{\dagger} \mathcal{H}) = -t c_{2\uparrow}^{\dagger} \\
\eta_{1\uparrow}^{\dagger} &= G_e c_{1\uparrow}^{\dagger} T = -t \hat{G}_e c_{1\uparrow}^{\dagger} c_{2\uparrow} = -t (\mathcal{H}'_{1\uparrow} - H_e \hat{n})^{-1} c_{1\uparrow}^{\dagger} c_{2\uparrow} \\
\therefore \eta_{1\uparrow} &= -t c_{2\uparrow}^{\dagger} c_{1\uparrow} (\mathcal{H}'_{1\uparrow} - H_e \hat{n})^{-1} \\
\eta_{1\uparrow}^{\dagger} \eta_{1\uparrow} &= \hat{n}_{1\uparrow} \implies t^2 (1 - \hat{n}_{2\uparrow}) = (\mathcal{H}'_{1\uparrow} - H_e \hat{n}_{1\uparrow})^2 \hat{n}_{1\uparrow} \implies \mathcal{H}'_{1\uparrow} \hat{n}_{1\uparrow} = H_e \hat{n}_{1\uparrow} + t (1 - \hat{n}_{2\uparrow}) \hat{n}_{1\uparrow} \quad (99) \\
\mathcal{H}'_{1\uparrow} \hat{n}_{1\uparrow} &= U \hat{n}_{1\uparrow} (\hat{n}_{1\downarrow} + \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}) + t \hat{n}_{1\uparrow} (1 - \hat{n}_{2\uparrow} - c_{1\downarrow}^{\dagger} c_{2\downarrow} - c_{1\downarrow} c_{2\downarrow}^{\dagger}) \quad (100)
\end{aligned}$$

The upper block is not diagonal, and has to be further diagonalised. The block is given by

$$\hat{E}_{1\uparrow} = \langle \hat{n}_{1\uparrow} = 1 | \mathcal{H}'_{1\uparrow} \hat{n}_{1\uparrow} | \hat{n}_{1\uparrow} = 1 \rangle = U (\hat{n}_{1\downarrow} + \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}) + t (1 - \hat{n}_{2\uparrow} - c_{1\downarrow}^{\dagger} c_{2\uparrow} - c_{2\uparrow}^{\dagger} c_{1\downarrow}) \quad (101)$$

To calculate the eigenvalues of the upper block, we take $\hat{E}_{1\uparrow}$ as the new Hamiltonian $\mathcal{H}_{1\downarrow}$ and this time trace out $\hat{n}_{1\downarrow}$.

$$\begin{aligned}
H_e &= Tr_{\hat{n}_{1\downarrow}} (\mathcal{H}_{1\downarrow} \hat{n}_{1\downarrow}) = U (1 + \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}) + t (1 - \hat{n}_{2\uparrow}) \\
H_h &= U \hat{n}_{2\uparrow} \hat{n}_{2\downarrow} + t (1 - \hat{n}_{2\uparrow}) \\
T &= -t c_{2\downarrow} \\
T^{\dagger} &= -t c_{2\downarrow}^{\dagger} \\
\eta_{1\downarrow}^{\dagger} &= \hat{G}_e c_{1\downarrow}^{\dagger} T = -t \hat{G}_e c_{1\downarrow}^{\dagger} c_{2\downarrow} \\
\implies \eta_{1\downarrow} &= -t c_{2\downarrow}^{\dagger} c_{1\downarrow} \hat{G}_e
\end{aligned} \quad (102)$$

Then,

$$\eta_{1\downarrow}^{\dagger} \eta_{1\downarrow} = \hat{n}_{1\downarrow} \implies \mathcal{H}'_{1\downarrow} \hat{n}_{1\downarrow} = H_e \hat{n}_{1\downarrow} + t \hat{n}_{1\downarrow} (1 - \hat{n}_{2\downarrow}) = U \hat{n}_{1\downarrow} (1 + \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}) + 2t \hat{n}_{1\downarrow} (1 - \hat{n}_{2\downarrow}) \quad (103)$$

This gives the upper block of the $\hat{n}_{1\uparrow} = 1$ sector (that is, the $\hat{n}_{1\uparrow} = 1, \hat{n}_{1\downarrow} = 1$ sector); the matrix element is given by $\hat{E}_{1\downarrow} = \langle \hat{n}_{1\downarrow} = 1 | \mathcal{H}'_{1\downarrow} \hat{n}_{1\downarrow} | \hat{n}_{1\downarrow} = 1 \rangle$

$$E_{\hat{n}_{1\downarrow}} = U(\hat{n}_{2\uparrow}\hat{n}_{2\downarrow} + 1) + 2t(1 - \hat{n}_{2\downarrow}) = \begin{pmatrix} 2U & & & \\ & U + 2t & & \\ & & U & \\ & & & U + 2t \end{pmatrix} \quad (104)$$

The lower block of $\hat{n}_{1\uparrow} = 1$ sector ($\hat{n}_{1\uparrow} = 1, \hat{n}_{1\downarrow} = 0$), that is, $E'_{1\downarrow}$, can again be determined using the formula for the lower blocks.

$$\mathcal{H}''_{1\downarrow} \hat{n}_{1\downarrow} = H_h(1 - \hat{n}_{1\downarrow}) - T^\dagger c_{1\downarrow} \eta_{1\downarrow}^\dagger = H_h(1 - \hat{n}_{1\downarrow}) - t^2 c_{2\downarrow}^\dagger c_{1\downarrow} G_e c_{1\downarrow}^\dagger c_{2\downarrow} \quad (105)$$

The matrix element, $\hat{E}'_{1\downarrow} = \langle \hat{n}_{1\downarrow} = 0 | \mathcal{H}''(1 - \hat{n}_{1\downarrow}) | \hat{n}_{1\downarrow} = 0 \rangle = H_h - t^2 c_{2\downarrow}^\dagger \langle 1 | G_e | 1 \rangle c_{2\downarrow}$

$$\begin{aligned} \langle 1 | G_e^{-1} | 1 \rangle &= \langle 1 | \mathcal{H}'_{1\downarrow} - H_e \hat{n}_{1\downarrow} | 1 \rangle = \langle 1 | \mathcal{H}'_{1\downarrow} \hat{n}_{1\downarrow} - H_e \hat{n}_{1\downarrow} | 1 \rangle = t(1 - \hat{n}_{2\downarrow}) \\ \therefore \hat{E}'_{1\downarrow} &= H_h - t c_{2\downarrow}^\dagger \frac{1}{1 - \hat{n}_{2\downarrow}} c_{2\downarrow} = H_h - t \hat{n}_{2\downarrow} = U \hat{n}_{2\uparrow} \hat{n}_{2\downarrow} + t(1 - \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}) \end{aligned} \quad (106)$$

$$E'_{1\downarrow} = H_h - t \hat{n}_{2\downarrow} = U \hat{n}_{2\uparrow} \hat{n}_{2\downarrow} + t(1 - \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}) = \begin{pmatrix} U - t & & & \\ & 0 & & \\ & & 0 & \\ & & & -t \end{pmatrix} \quad (107)$$

The $\hat{n}_{1\uparrow} = 1$ part of the diagonalised Hamiltonian is

$$E'_{1\hat{n}_{1\downarrow}} = \begin{pmatrix} 2U & & & & & & \\ & U+2t & & & & & \\ & & U & & & & \\ & & & U+2t & & & \\ & & & & 2U-t & & \\ & & & & & U-t & \\ & & & & & & U \\ & & & & & & & U \end{pmatrix} \quad (108)$$

3.5.1 Eigenvectors of $\hat{n}_{1\uparrow} = 1$ sector

To get the first eight eigenvectors, I first find the eigenvectors in the space of $\hat{n}_{1\downarrow}$. There are 8 eigenvectors in the space of $\hat{n}_{1\downarrow}$, that is $|\hat{n}_{1\downarrow}, \hat{n}_{2\uparrow}, \hat{n}_{2\downarrow}\rangle$. The η for this space is

$$\eta_{1\downarrow} = -tc_{2\downarrow}^\dagger c_{1\downarrow} \hat{G}_e, \quad \eta_{1\downarrow}^\dagger = -t\hat{G}_e c_{1\downarrow}^\dagger c_{2\downarrow} \quad (109)$$

The required eigenvectors are $U_{1\downarrow}^\dagger |\hat{n}_{1\downarrow} \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}\rangle = \frac{1}{2}(1 - \eta_{1\downarrow} + \eta_{1\downarrow}^\dagger) |\hat{n}_{1\downarrow} \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}\rangle$

Note that η acting on $|\hat{n}_{1\downarrow} \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}\rangle$ will give non-zero only when $\hat{n}_{1\downarrow} = 1, \hat{n}_{2\downarrow} = 0$ and η^\dagger will give non-zero only when $\hat{n}_{1\downarrow} = 0, \hat{n}_{2\downarrow} = 1$.

$$\begin{aligned} \eta_{1\downarrow}^\dagger |0, \hat{n}_{2\uparrow}, 1\rangle &= -t\hat{G}_e |1, \hat{n}_{2\uparrow}, 0\rangle = \frac{-t}{\mathcal{H}'_{1\downarrow} - H_e \hat{n}_{1\downarrow}} |1, \hat{n}_{2\uparrow}, 0\rangle = \frac{-t}{\mathcal{H}'_{1\downarrow} \hat{n}_{1\downarrow} - H_e \hat{n}_{1\downarrow}} |1, \hat{n}_{2\uparrow}, 0\rangle \\ &= \frac{-t}{t\hat{n}_{1\downarrow}(1 - \hat{n}_{2\downarrow})} |1, \hat{n}_{2\uparrow}, 0\rangle = -|1, \hat{n}_{2\uparrow}, 0\rangle \end{aligned} \quad (110)$$

Similarly,

$$\eta_{1\downarrow} |1, \hat{n}_{2\uparrow}, 0\rangle = -tc_{2\downarrow}^\dagger c_{1\downarrow} \hat{G}_e |1, \hat{n}_{2\uparrow}, 0\rangle = -tc_{2\downarrow}^\dagger c_{1\downarrow} \frac{1}{t} |1, \hat{n}_{2\uparrow}, 0\rangle = -|0, \hat{n}_{2\uparrow}, 1\rangle \quad (111)$$

Therefore,

$$\begin{aligned} U_{1\downarrow}^\dagger |1, \hat{n}_{2\uparrow}, 0\rangle &= (1 - \eta_{1\downarrow}) |1, \hat{n}_{2\uparrow}, 0\rangle = |1, \hat{n}_{2\uparrow}, 0\rangle + |0, \hat{n}_{2\uparrow}, 1\rangle \\ U_{1\downarrow}^\dagger |0, \hat{n}_{2\uparrow}, 1\rangle &= (1 + \eta_{1\downarrow}^\dagger) |0, \hat{n}_{2\uparrow}, 1\rangle = |0, \hat{n}_{2\uparrow}, 1\rangle - |1, \hat{n}_{2\uparrow}, 0\rangle \\ U_{1\downarrow}^\dagger |1, \hat{n}_{2\uparrow}, 1\rangle &= |1, \hat{n}_{2\uparrow}, 1\rangle \\ U_{1\downarrow}^\dagger |0, \hat{n}_{2\uparrow}, 0\rangle &= |0, \hat{n}_{2\uparrow}, 0\rangle \end{aligned} \quad (112)$$

Eigenvectors for $\hat{n}_{1\uparrow} = 1$ sector:

| $\hat{n}_{1\downarrow}$ | $\hat{n}_{2\uparrow}$ | $\hat{n}_{2\downarrow}$ | Eigenvector | Eigenvalue |
|-------------------------|-----------------------|-------------------------|-----------------------------|------------|
| 1 | 1 | 1 | $ 111\rangle$ | $2U$ |
| 1 | 1 | 0 | $ 110\rangle + 011\rangle$ | $U+2t$ |
| 1 | 0 | 1 | $ 101\rangle$ | U |
| 1 | 0 | 0 | $ 100\rangle + 001\rangle$ | $U+2t$ |
| 0 | 1 | 1 | $ 011\rangle - 110\rangle$ | $U-t$ |
| 0 | 1 | 0 | $ 010\rangle$ | 0 |
| 0 | 0 | 1 | $ 001\rangle - 100\rangle$ | 0 |
| 0 | 0 | 0 | $ 000\rangle$ | $-t$ |

Now we need to find the eigenvectors in the space of $\hat{n}_{1\uparrow} = 1$. To do this, we will act with $U_{1\uparrow}^\dagger$ on the previously obtained eigenvectors.

$$\begin{aligned}
\eta_{1\uparrow}^\dagger &= -t\hat{G}_e c_{1\uparrow}^\dagger c_{2\uparrow}, \quad \eta_{1\uparrow} = -t c_{2\uparrow}^\dagger c_{1\uparrow} \hat{G}_e \\
\eta_{1\uparrow}^\dagger |\hat{n}_{1\uparrow} = 0, \hat{n}_{1\downarrow}, \hat{n}_{2\uparrow} = 1, \hat{n}_{2\downarrow}\rangle &= -|1, \hat{n}_{1\downarrow}, 0, \hat{n}_{2\downarrow}\rangle \\
\eta_{1\uparrow} |\hat{n}_{1\uparrow} = 1, \hat{n}_{1\downarrow}, \hat{n}_{2\uparrow} = 0, \hat{n}_{2\downarrow}\rangle &= -|0, \hat{n}_{1\downarrow}, 1, \hat{n}_{2\downarrow}\rangle
\end{aligned} \tag{113}$$

Applying these on the previously obtained eigenvectors give

| $\hat{n}_{1\uparrow}$ | $\hat{n}_{1\downarrow}$ | $\hat{n}_{2\uparrow}$ | $\hat{n}_{2\downarrow}$ | Eigenvector | Match? | Evalue(Exact Evalue) |
|-----------------------|-------------------------|-----------------------|-------------------------|---|--------|----------------------|
| 1 | 1 | 1 | 1 | $ 1111\rangle$ | Y | $2U(\text{same})$ |
| 1 | 1 | 1 | 0 | $ 1110\rangle + 1011\rangle$ | Y | $U+2t(U-t)$ |
| 1 | 1 | 0 | 1 | $ 1101\rangle - 0111\rangle$ | Y | $U(U+t)$ |
| 1 | 1 | 0 | 0 | $ 1100\rangle + 1001\rangle - 0110\rangle - 0011\rangle$ | N | $U+2t(U+t)$ |
| 1 | 0 | 1 | 1 | $ 1011\rangle - 1110\rangle$ | Y | $U-t(\dots)$ |
| 1 | 0 | 1 | 0 | $ 1010\rangle$ | Y | $0(\text{same})$ |
| 1 | 0 | 0 | 1 | $ 1001\rangle - 1100\rangle - 0011\rangle + 0110\rangle$ | N | $0(\dots)$ |
| 1 | 0 | 0 | 0 | $ 1000\rangle - 0010\rangle$ | Y | $-t(t)$ |