

# The Mordell-Faltings theorem

---

<https://seasawher.github.io/kitamado/>  
@seasawher

2019 年 5 月 21 日

# 1 Some basics of algebraic number theory

## Lemma 1.3

**quotation.** Recall that  $(\cdot, \cdot)_{\text{Tr}_{K/\mathbb{Q}}}$  is non-degenerate if the Gram matrix with respect to one (and hence any) basis of  $L$  over  $F$  is invertible.

*Proof.* Almost trivial. Try to prove it. □

## Proposition 1.4

**quotation.** Let  $\{\beta_1, \dots, \beta_n\}$  be the dual basis of  $\{\alpha_1, \dots, \alpha_n\}$  with respect to  $(\cdot, \cdot)_{\text{Tr}_{K/\mathbb{Q}}}$ . Then, for any  $x \in O_K$ , we have  $x = (x, \alpha_1)_{\text{Tr}_{K/\mathbb{Q}}} \beta_1 + \dots + (x, \alpha_n)_{\text{Tr}_{K/\mathbb{Q}}} \beta_n$ .

*Proof.* Since the trace form  $(\cdot, \cdot)_{\text{Tr}_{K/\mathbb{Q}}}$  is nondegenerate,  $K \rightarrow K^*$  s.t.  $x \mapsto (\cdot, x)_{\text{Tr}_{K/\mathbb{Q}}}$  is an isomorphism. Let  $p_i: K \rightarrow \mathbb{Q}$  be a projection map such that  $p_i(x_1 \alpha_1 + \dots + x_n \alpha_n) = x_i$ . Then, we set  $\beta_j$  the preimage of  $p_j$ . □

## Lemma 1.7

**quotation.** To see this, we take  $t \in P(O_K)_P$  with  $t \notin P^2(O_K)_P$ .

**remark.** From Nakayama's lemma.

## Adjacent to Lemma 1.8

**quotation.** For a nonzero prime ideal  $P$  of  $O_K$ , we set  $P \cap \mathbb{Z} = (p)$ , where  $p$  is a prime of  $\mathbb{Z}$ . Because  $O_K$  is a free  $\mathbb{Z}$ -module of rank  $[K : \mathbb{Q}]$ ,  $O_K/P$  is a finite extension of  $\mathbb{Z}/(p)$  with degree at most  $[K : \mathbb{Q}]$ .

*Proof.* There is a canonical surjection  $O_K/pO_K \rightarrow O_K/P$ , so we get  $\#(O_K/P) \leq \#(O_K/pO_K)$ . But we obtain  $O_K/pO_K \cong O_K \otimes_{\mathbb{Z}} \mathbb{Z}/p\mathbb{Z}$ . Since  $O_K$  is a free  $\mathbb{Z}$ -module of rank  $n = [K : \mathbb{Q}]$ , we conclude  $O_K/pO_K \cong (\mathbb{Z}/p\mathbb{Z})^n$ . So,  $\#(O_K/P) \leq \#(O_K/pO_K) = p^n$ . □

### Lemma 1.8

**quotation.**

$$\bigoplus_{i=1}^r O_K/P_i^{e_i} = \bigoplus_{i=1}^r (O_K/P_i^{e_i})_{P_i}$$

*Proof.* Because  $O_K/P_i^{e_i}$  is a local ring with maximal ideal  $P_i/P_i^{e_i}$ . □

### Adjacent to Theorem 1.9

**quotation.** we consider the value  $\sqrt{\det(\langle e_i, e_j \rangle)}$ .

**remark.** Why we get  $\det(\langle e_i, e_j \rangle)$ ? Apply Gram-Schmidt orthonormalization.

### Adjacent to Theorem 1.9

**quotation.** Then  $\text{vol}(M, \langle, \rangle)$  is equal to the volume of the  $n$ -dimensional parallelepiped  $\Pi$  spanned by  $e_1, \dots, e_n$ ,

*Proof.* Let  $F: (V, \langle, \rangle) \rightarrow \mathbb{R}^n$  be an isometric isomorphism. Then, we generate

$$\begin{aligned} \text{vol}(M, \langle, \rangle)^2 &= \det(\langle e_i, e_j \rangle) \\ &= \det(\langle Fe_i, Fe_j \rangle) \end{aligned}$$

We set  $E = (Fe_1, \dots, Fe_n)$ .  $E \in M_n(\mathbb{R})$ . Then we get  $(\langle Fe_i, Fe_j \rangle)_{i,j} = {}^tEE$ , and  $\text{vol}(M, \langle, \rangle) = |\det E|$ . From Yukie[3] Theorem 4.9.1,  $|\det E| = \text{vol}(\Pi)$ . □

### Proposition 1.11

**quotation.** The form  $\langle, \rangle_K$  is an inner product on  $V$ .

**remark.**  $\langle, \rangle_K$  is trivially an inner product on  $K$ . Why should we show this?

Let  $S$  be a  $\mathbb{Q}$  vector space and  $\langle, \rangle$  a inner product on  $S$ . Then, bilinear form extended to  $S \otimes_{\mathbb{Q}} \mathbb{R}$  may not be an inner product. For example, set  $S = \mathbb{Q}[\sqrt{2}]$  and  $\langle x, y \rangle = xy$ .

### Lemma 1.12

**quotation.**  $\#(O_K/I)$  is finite. Then  $I$  is a free  $\mathbb{Z}$ -module of rank  $n$ .

*Proof.*  $I \subset O_K$  is a free  $\mathbb{Z}$ -module. Since  $\#(O_K/I)$  is finite, we get  $\forall x \in K \exists n \in \mathbb{Z}$  s.t.  $nx \in I$ . So we obtain  $I \otimes_{\mathbb{Z}} \mathbb{Q} = K$ . The rank of  $I$  is  $n$ .  $\square$

### Lemma 1.16

**quotation.** We have  $[K' : K] = e_1 f_1 + \cdots + e_r f_r$ .

*Proof.* See the proof of Prop 1.4. We obtain  $O_{K'} \subset O_K \beta_1 \oplus \cdots \oplus O_K \beta_n$  for some  $\beta_i \in K'$ . That implies there is an injection such that  $O_{K'} \rightarrow \bigoplus_i O_K$ . Because localization is a flat module, we get  $(O_{K'})_P \subset (O_K)_P \beta_1 \oplus \cdots \oplus (O_K)_P \beta_n$ . Since  $(O_K)_P$  is a PID,  $(O_{K'})_P$  is a free  $(O_K)_P$ -module. The rank is  $[K' : K]$  because

$$(O_{K'})_P \otimes_{(O_K)_P} K = (O_{K'} \otimes_{O_K} (O_K)_P) \otimes_{(O_K)_P} K = O_{K'} \otimes_{O_K} K = K'.$$

Thus, as a  $O_K/P$  module,

$$\begin{aligned} O_{K'}/PO_{K'} &\cong O_K/P \otimes_{O_K} O_{K'} \\ &\cong (O_K/P \otimes_{O_K} (O_K)_P \otimes_{(O_K)_P} (O_K)_P) \otimes_{O_K} O_{K'} \\ &\cong (O_K/P \otimes_{O_K} (O_K)_P) \otimes_{(O_K)_P} (O_{K'})_P \\ &\cong \bigoplus_{[K':K]} (O_K/P \otimes_{O_K} (O_K)_P) \\ &\cong \bigoplus_{[K':K]} O_K/P. \end{aligned}$$

Then it follows that

$$\begin{aligned} \#(O_K/P)^{[K':K]} &= \#(O_{K'}/PO_{K'}) \\ &= \prod_i \#(O_{K'}/P_i^{e_i}) \\ &= \prod_i \#(O_{K'}/P_i')^{e_i} \\ &= \prod_i \#(O_K/P)^{e_i f_i}. \end{aligned}$$

Thus  $[K' : K] = \sum_i e_i f_i$ .  $\square$

### Adjacent to Lemma 1.17

**quotation.** We take a integral basis  $\{\omega_1, \dots, \omega_n\}$  of  $O_K$ , we denote by  $\{\beta_1, \dots, \beta_n\}$  the dual basis with respect to  $(\ , \ )_{\text{Tr}_{K/\mathbb{Q}}}$ . Then we have  $\mathcal{M} = \mathbb{Z}\beta_1 + \dots + \mathbb{Z}\beta_n$ .

*Proof.* See the note of Prop 1.4. □

### Lemma 1.17

**quotation.** Indeed, because  $\#(O_K/\mathcal{D}_K) = \#(\mathcal{M}/O_K)$ ,

*Proof.* See Yukie[1] Proposition 1.8.6. □

### Theorem 1.18

**quotation.** Then we have

$$|D_{K/\mathbb{Q}}| \leq \prod_{p \in S} p^{n-1+n \log_p(n)}.$$

*Proof.* We may assume that  $S = \{p \in \mathbb{Z} \mid p \text{ is ramified}\}$ . Set  $B = O_K$  and  $I = D_K$ .

**Step 1** Let  $p \in \mathbb{Z}$  be a prime number. Then  $B_p$  and  $I_p$  are free  $\mathbb{Z}_p$ -module of rank  $n$ . So there is a matrix  $C \in M_n(\mathbb{Z}_p) \cap GL_n(\mathbb{Q}_p)$  such that the following diagram

$$\begin{array}{ccc} I_p & \longrightarrow & B_p \\ \downarrow & & \downarrow \\ \mathbb{Z}_p^n & \xrightarrow{C} & \mathbb{Z}_p^n \end{array}$$

commute. Then

$$\begin{aligned} \#(B/I \otimes_{\mathbb{Z}} \mathbb{Z}_p) &= \#(\text{Coker } C) \\ &= \#(\mathbb{Z}_p/(\det C)\mathbb{Z}_p) \\ &= \#(\widehat{\mathbb{Z}}_p/(\det C)\widehat{\mathbb{Z}}_p) && \text{(See Yukie[1] Proposition 1.2.13)} \\ &= \#(B/I \otimes_{\mathbb{Z}} \widehat{\mathbb{Z}}_p). \end{aligned}$$

**Step 2** It follows that

$$\begin{aligned} B/I \otimes_{\mathbb{Z}} \widehat{\mathbb{Z}}_p &\cong B/I \otimes_B B \otimes_{\mathbb{Z}} \widehat{\mathbb{Z}}_p \\ &\cong B/I \otimes_B \bigoplus_i \widehat{B}_{P_i} && \text{(See Yukie[1] Theorem 1.3.23)} \\ &\cong \bigoplus_i \widehat{B}_{P_i}/P_i^{\text{ord}_{P_i}(I)} \widehat{B}_{P_i} \\ &\cong \bigoplus_i B/P_i^{\text{ord}_{P_i}(I)} \end{aligned}$$

Step 3 Set  $J = I \cap \mathbb{Z}$ . Because  $B/I$  is finitely generated  $\mathbb{Z}$ -module, we get

$$\text{Supp}_{\mathbb{Z}}(B/I) = V(\text{ann}_{\mathbb{Z}}(B/I)) = V(J).$$

See Matsumura[4] adjacent to Theorem 4.4 if you do not understand the first equation.

And for any prime number  $p \in \mathbb{Z}$ , then we obtain

$$\begin{aligned} p \notin \text{Supp}_{\mathbb{Z}}(B/I) &\iff B/I \otimes_{\mathbb{Z}} \mathbb{Z}_p = 0 \\ &\iff \#(B/I \otimes_{\mathbb{Z}} \mathbb{Z}_p) = 1 \\ &\iff \forall i \#(B/P_i^{\text{ord}_{P_i}(I)}) = 1 \\ &\iff \text{ord}_{P_i}(I) = 0 \\ &\iff p \text{ is unramified} \end{aligned}$$

Thus we conclude  $V(J) = \text{Supp}_{\mathbb{Z}}(B/I) = S$ .

Step 4 Then we get

$$\begin{aligned} \#(B/I \otimes_{\mathbb{Z}} \mathbb{Z}_p) &= \prod_i \#(B/P_i^{\text{ord}_{P_i}(I)}) \\ &= \prod_i \#(B/P_i)^{\text{ord}_{P_i}(I)} \\ &= \prod_i \#(\mathbb{Z}/p)^{f_i \text{ord}_{P_i}(I)}. \end{aligned}$$

So we conclude  $\log_p(\#(B/I \otimes_{\mathbb{Z}} \mathbb{Z}_p)) \leq n - 1 + n \log_p(n)$ .

Step 5 Recall that  $J = \text{ann}_{\mathbb{Z}}(B/I)$ . Then we get

$$\begin{aligned} B/I &\cong (B/I)/J(B/I) \\ &\cong \bigoplus_{p \in S} (B/I)/p^e(B/I) && (e \text{ depends on } p) \\ &\cong \bigoplus_{p \in S} B/(p^e B + I) \\ &\cong \bigoplus_{p \in S} B/(p^e B + I) \otimes_{\mathbb{Z}} \mathbb{Z}_p \\ &\cong \bigoplus_{p \in S} B_p/(p^e B_p + I_p) \\ &\cong \bigoplus_{p \in S} B_p/(JB_p + I_p) \\ &\cong \bigoplus_{p \in S} B_p/I_p \end{aligned}$$

Now we conclude that

$$|D_{K/\mathbb{Q}}| = \#(B/I) = \prod_{p \in S} \#(B_p/I_p) \leq \prod_{p \in S} p^{n-1+n \log_p(n)}.$$

□

## ■ 2 Theory of heights

---

### Theorem 2.3

**quotation.** We set  $n = [K : \mathbb{Q}]$ . Let  $\{\omega_1, \dots, \omega_n\}$  be the integral basis of  $O_K$ . Then  $\{x\omega_1, \dots, x\omega_n\}$  is a basis of  $V$ .

*Proof.* There is a  $c_{ij} \in \mathbb{Z}$  such that  $x\omega_i = \sum_j c_{ij}\omega_j$ . Set  $C = (c_{ij}) \in M_n(\mathbb{Z})$ . Then  $\det C = N_{K/\mathbb{Q}}(x) \neq 0$ , so we get  $C \in GL_n(\mathbb{Q})$ . And we obtain the assertion.  $\square$

### Proposition 2.5

**quotation.**

$$h_K(x) \leq \sum_{\sigma \in K(\mathbb{C})} \log \left( \max_{1 \leq i \leq n} \{|x_i|_\sigma\} \right).$$

**remark.** **Misprint.** Add  $1/[K : \mathbb{Q}]$  into the right.

### Proposition 2.6

**quotation.** for any  $x \in \overline{\mathbb{Q}}^n$ .

**remark.** **Misprint.** Exclude the case  $x = 0$ .

### Proposition 2.8

**quotation.** If  $\phi_1^*(O_{\mathbb{P}^{m_1}}(1)) \cong \phi_2^*(O_{\mathbb{P}^{m_2}}(1))$ ,

**remark.** What is a  $O_{\mathbb{P}^{m_1}}(1)$ ? I think it is a Serre's twisted sheaf. See Bosch[2] 9.2/Definition 3. **It remains to be learned.**

## ■ 参考文献

---

- [1] 雪江明彦『整数論 2 代数的整数論の基礎』(日本評論社, 2013)
- [2] Siegfried Bosch『Algebraic Geometry and Commutative Algebra』(Springer, 2013)

[3] 雪江明彦『線形代数学概説』(培風館, 2006)

[4] 松村英之『可換環論』(共立出版, 1980)