

The Mordell-Faltings theorem

<https://seasawher.github.io/kitamado/>
@seasawher

2019 年 6 月 8 日

1 Some basics of algebraic number theory

Lemma 1.3

quotation. Recall that $(\cdot, \cdot)_{\text{Tr}_{K/\mathbb{Q}}}$ is non-degenerate if the Gram matrix with respect to one (and hence any) basis of L over F is invertible.

Proof. Almost trivial. Try to prove it. □

Proposition 1.4

quotation. Let $\{\beta_1, \dots, \beta_n\}$ be the dual basis of $\{\alpha_1, \dots, \alpha_n\}$ with respect to $(\cdot, \cdot)_{\text{Tr}_{K/\mathbb{Q}}}$. Then, for any $x \in O_K$, we have $x = (x, \alpha_1)_{\text{Tr}_{K/\mathbb{Q}}} \beta_1 + \dots + (x, \alpha_n)_{\text{Tr}_{K/\mathbb{Q}}} \beta_n$.

Proof. Since the trace form $(\cdot, \cdot)_{\text{Tr}_{K/\mathbb{Q}}}$ is nondegenerate, $K \rightarrow K^*$ s.t. $x \mapsto (\cdot, x)_{\text{Tr}_{K/\mathbb{Q}}}$ is an isomorphism. Let $p_i: K \rightarrow \mathbb{Q}$ be a projection map such that $p_i(x_1 \alpha_1 + \dots + x_n \alpha_n) = x_i$. Then, we set β_j the preimage of p_j . □

Lemma 1.7

quotation. To see this, we take $t \in P(O_K)_P$ with $t \notin P^2(O_K)_P$.

remark. From Nakayama's lemma.

Adjacent to Lemma 1.8

quotation. For a nonzero prime ideal P of O_K , we set $P \cap \mathbb{Z} = (p)$, where p is a prime of \mathbb{Z} . Because O_K is a free \mathbb{Z} -module of rank $[K : \mathbb{Q}]$, O_K/P is a finite extension of $\mathbb{Z}/(p)$ with degree at most $[K : \mathbb{Q}]$.

Proof. There is a canonical surjection $O_K/pO_K \rightarrow O_K/P$, so we get $\#(O_K/P) \leq \#(O_K/pO_K)$. But we obtain $O_K/pO_K \cong O_K \otimes_{\mathbb{Z}} \mathbb{Z}/p\mathbb{Z}$. Since O_K is a free \mathbb{Z} -module of rank $n = [K : \mathbb{Q}]$, we conclude $O_K/pO_K \cong (\mathbb{Z}/p\mathbb{Z})^n$. So, $\#(O_K/P) \leq \#(O_K/pO_K) = p^n$. □

Lemma 1.8

quotation.

$$\bigoplus_{i=1}^r O_K/P_i^{e_i} = \bigoplus_{i=1}^r (O_K/P_i^{e_i})_{P_i}$$

Proof. Because $O_K/P_i^{e_i}$ is a local ring with maximal ideal $P_i/P_i^{e_i}$. □

Adjacent to Theorem 1.9

quotation. we consider the value $\sqrt{\det(\langle e_i, e_j \rangle)}$.

remark. Why we get $\det(\langle e_i, e_j \rangle)$? Apply Gram-Schmidt orthonormalization.

Adjacent to Theorem 1.9

quotation. Then $\text{vol}(M, \langle, \rangle)$ is equal to the volume of the n -dimensional parallelepiped Π spanned by e_1, \dots, e_n ,

Proof. Let $F: (V, \langle, \rangle) \rightarrow \mathbb{R}^n$ be an isometric isomorphism. Then, we generate

$$\begin{aligned} \text{vol}(M, \langle, \rangle)^2 &= \det(\langle e_i, e_j \rangle) \\ &= \det(\langle Fe_i, Fe_j \rangle) \end{aligned}$$

We set $E = (Fe_1, \dots, Fe_n)$. $E \in M_n(\mathbb{R})$. Then we get $(\langle Fe_i, Fe_j \rangle)_{i,j} = {}^tEE$, and $\text{vol}(M, \langle, \rangle) = |\det E|$. From Yukie[3] Theorem 4.9.1, $|\det E| = \text{vol}(\Pi)$. □

Proposition 1.11

quotation. The form \langle, \rangle_K is an inner product on V .

remark. \langle, \rangle_K is trivially an inner product on K . Why should we show this?

Let S be a \mathbb{Q} vector space and \langle, \rangle a inner product on S . Then, bilinear form extended to $S \otimes_{\mathbb{Q}} \mathbb{R}$ may not be an inner product. For example, set $S = \mathbb{Q}[\sqrt{2}]$ and $\langle x, y \rangle = xy$.

Lemma 1.12

quotation. $\#(O_K/I)$ is finite. Then I is a free \mathbb{Z} -module of rank n .

Proof. $I \subset O_K$ is a free \mathbb{Z} -module. Since $\#(O_K/I)$ is finite, we get $\forall x \in K \exists n \in \mathbb{Z}$ s.t. $nx \in I$. So we obtain $I \otimes_{\mathbb{Z}} \mathbb{Q} = K$. The rank of I is n . \square

Lemma 1.16

quotation. We have $[K' : K] = e_1 f_1 + \cdots + e_r f_r$.

Proof. See the proof of Prop 1.4. We obtain $O_{K'} \subset O_K \beta_1 \oplus \cdots \oplus O_K \beta_n$ for some $\beta_i \in K'$. That implies there is an injection such that $O_{K'} \rightarrow \bigoplus_i O_K$. Because localization is a flat module, we get $(O_{K'})_P \subset (O_K)_P \beta_1 \oplus \cdots \oplus (O_K)_P \beta_n$. Since $(O_K)_P$ is a PID, $(O_{K'})_P$ is a free $(O_K)_P$ -module. The rank is $[K' : K]$ because

$$(O_{K'})_P \otimes_{(O_K)_P} K = (O_{K'} \otimes_{O_K} (O_K)_P) \otimes_{(O_K)_P} K = O_{K'} \otimes_{O_K} K = K'.$$

Thus, as a O_K/P module,

$$\begin{aligned} O_{K'}/PO_{K'} &\cong O_K/P \otimes_{O_K} O_{K'} \\ &\cong (O_K/P \otimes_{O_K} (O_K)_P \otimes_{(O_K)_P} (O_K)_P) \otimes_{O_K} O_{K'} \\ &\cong (O_K/P \otimes_{O_K} (O_K)_P) \otimes_{(O_K)_P} (O_{K'})_P \\ &\cong \bigoplus_{[K':K]} (O_K/P \otimes_{O_K} (O_K)_P) \\ &\cong \bigoplus_{[K':K]} O_K/P. \end{aligned}$$

Then it follows that

$$\begin{aligned} \#(O_K/P)^{[K':K]} &= \#(O_{K'}/PO_{K'}) \\ &= \prod_i \#(O_{K'}/P_i^{e_i}) \\ &= \prod_i \#(O_{K'}/P_i')^{e_i} \\ &= \prod_i \#(O_K/P)^{e_i f_i}. \end{aligned}$$

Thus $[K' : K] = \sum_i e_i f_i$. \square

Adjacent to Lemma 1.17

quotation. We take a integral basis $\{\omega_1, \dots, \omega_n\}$ of O_K , we denote by $\{\beta_1, \dots, \beta_n\}$ the dual basis with respect to $(\ , \)_{\text{Tr}_{K/\mathbb{Q}}}$. Then we have $\mathcal{M} = \mathbb{Z}\beta_1 + \dots + \mathbb{Z}\beta_n$.

Proof. See the note of Prop 1.4. □

Lemma 1.17

quotation. Indeed, because $\#(O_K/\mathcal{D}_K) = \#(\mathcal{M}/O_K)$,

Proof. See Yukie[1] Proposition 1.8.6. □

Theorem 1.18

quotation. Then we have

$$|D_{K/\mathbb{Q}}| \leq \prod_{p \in S} p^{n-1+n \log_p(n)}.$$

Proof. We may assume that $S = \{p \in \mathbb{Z} \mid p \text{ is ramified}\}$. Set $B = O_K$ and $I = D_K$.

Step 1 Let $p \in \mathbb{Z}$ be a prime number. Then B_p and I_p are free \mathbb{Z}_p -module of rank n . So there is a matrix $C \in M_n(\mathbb{Z}_p) \cap GL_n(\mathbb{Q}_p)$ such that the following diagram

$$\begin{array}{ccc} I_p & \longrightarrow & B_p \\ \downarrow & & \downarrow \\ \mathbb{Z}_p^n & \xrightarrow{C} & \mathbb{Z}_p^n \end{array}$$

commute. Then

$$\begin{aligned} \#(B/I \otimes_{\mathbb{Z}} \mathbb{Z}_p) &= \#(\text{Coker } C) \\ &= \#(\mathbb{Z}_p/(\det C)\mathbb{Z}_p) \\ &= \#(\widehat{\mathbb{Z}}_p/(\det C)\widehat{\mathbb{Z}}_p) && \text{(See Yukie[1] Proposition 1.2.13)} \\ &= \#(B/I \otimes_{\mathbb{Z}} \widehat{\mathbb{Z}}_p). \end{aligned}$$

Step 2 It follows that

$$\begin{aligned} B/I \otimes_{\mathbb{Z}} \widehat{\mathbb{Z}}_p &\cong B/I \otimes_B B \otimes_{\mathbb{Z}} \widehat{\mathbb{Z}}_p \\ &\cong B/I \otimes_B \bigoplus_i \widehat{B}_{P_i} && \text{(See Yukie[1] Theorem 1.3.23)} \\ &\cong \bigoplus_i \widehat{B}_{P_i}/P_i^{\text{ord}_{P_i}(I)} \widehat{B}_{P_i} \\ &\cong \bigoplus_i B/P_i^{\text{ord}_{P_i}(I)} \end{aligned}$$

Step 3 Set $J = I \cap \mathbb{Z}$. Because B/I is finitely generated \mathbb{Z} -module, we get

$$\text{Supp}_{\mathbb{Z}}(B/I) = V(\text{ann}_{\mathbb{Z}}(B/I)) = V(J).$$

See Matsumura[4] adjacent to Theorem 4.4 if you do not understand the first equation.

And for any prime number $p \in \mathbb{Z}$, then we obtain

$$\begin{aligned} p \notin \text{Supp}_{\mathbb{Z}}(B/I) &\iff B/I \otimes_{\mathbb{Z}} \mathbb{Z}_p = 0 \\ &\iff \#(B/I \otimes_{\mathbb{Z}} \mathbb{Z}_p) = 1 \\ &\iff \forall i \#(B/P_i^{\text{ord}_{P_i}(I)}) = 1 \\ &\iff \text{ord}_{P_i}(I) = 0 \\ &\iff p \text{ is unramified} \end{aligned}$$

Thus we conclude $V(J) = \text{Supp}_{\mathbb{Z}}(B/I) = S$.

Step 4 Then we get

$$\begin{aligned} \#(B/I \otimes_{\mathbb{Z}} \mathbb{Z}_p) &= \prod_i \#(B/P_i^{\text{ord}_{P_i}(I)}) \\ &= \prod_i \#(B/P_i)^{\text{ord}_{P_i}(I)} \\ &= \prod_i \#(\mathbb{Z}/p)^{f_i \text{ord}_{P_i}(I)}. \end{aligned}$$

So we conclude $\log_p(\#(B/I \otimes_{\mathbb{Z}} \mathbb{Z}_p)) \leq n - 1 + n \log_p(n)$.

Step 5 Recall that $J = \text{ann}_{\mathbb{Z}}(B/I)$. Then we get

$$\begin{aligned} B/I &\cong (B/I)/J(B/I) \\ &\cong \bigoplus_{p \in S} (B/I)/p^e(B/I) && (e \text{ depends on } p) \\ &\cong \bigoplus_{p \in S} B/(p^e B + I) \\ &\cong \bigoplus_{p \in S} B/(p^e B + I) \otimes_{\mathbb{Z}} \mathbb{Z}_p \\ &\cong \bigoplus_{p \in S} B_p/(p^e B_p + I_p) \\ &\cong \bigoplus_{p \in S} B_p/(JB_p + I_p) \\ &\cong \bigoplus_{p \in S} B_p/I_p \end{aligned}$$

Now we conclude that

$$|D_{K/\mathbb{Q}}| = \#(B/I) = \prod_{p \in S} \#(B_p/I_p) \leq \prod_{p \in S} p^{n-1+n \log_p(n)}.$$

□

2 Theory of heights

Theorem 2.3

quotation. We set $n = [K : \mathbb{Q}]$. Let $\{\omega_1, \dots, \omega_n\}$ be the integral basis of O_K . Then $\{x\omega_1, \dots, x\omega_n\}$ is a basis of V .

Proof. There is a $c_{ij} \in \mathbb{Z}$ such that $x\omega_i = \sum_j c_{ij}\omega_j$. Set $C = (c_{ij}) \in M_n(\mathbb{Z})$. Then $\det C = N_{K/\mathbb{Q}}(x) \neq 0$, so we get $C \in GL_n(\mathbb{Q})$. And we obtain the assertion. \square

Proposition 2.5

quotation.

$$h_K(x) \leq \sum_{\sigma \in K(\mathbb{C})} \log \left(\max_{1 \leq i \leq n} \{|x_i|_\sigma\} \right).$$

remark. **Misprint.** Add $1/[K : \mathbb{Q}]$ into the right.

Proposition 2.6

quotation. for any $x \in \overline{\mathbb{Q}}^n$.

remark. **Misprint.** Exclude the case $x = 0$.

Proposition 2.8

quotation. We consider two morphisms $\phi_1: X \rightarrow \mathbb{P}^{m_1}$ and $\phi_2: X \rightarrow \mathbb{P}^{m_2}$ over $\overline{\mathbb{Q}}$. If $\phi_1^* \mathcal{O}_{\mathbb{P}^{m_1}} \cong \phi_2^* \mathcal{O}_{\mathbb{P}^{m_2}}$, then there is a constant C such that, for any $x \in X(\overline{\mathbb{Q}})$,

$$|h_{\phi_1}(x) - h_{\phi_2}(x)| \leq C.$$

Proof. Remark that $\mathcal{O}_{\mathbb{P}^{m_1}}(1)$ is a Serre's twisted sheaf. See Bosch[2] 9.2/Definition 3. or Hartshorne[6] section 2.5 Adjacent to Proposition 5.12. We set $L = \phi_1^* \mathcal{O}_{\mathbb{P}^{m_1}}(1)$ and set $k = \overline{\mathbb{Q}}$ and $\mathcal{F} = \mathcal{O}_{\mathbb{P}^{m_1}}(1)$. Since X is a projective variety over k and L is an invertible sheaf on X , so $H^0(X, L) = \Gamma(X, L)$ is a k -vector space of finite dimension. (See Hartshorne[6] section 2.5 Theorem 5.19. and Hartshorne[6] section 2.4 Prop 4.10)

Let $\{t_0, \dots, t_m\}$ be a basis of $H^0(X, L)$ and let X_0, \dots, X_{m_1} be the homogenous coordinates of \mathbb{P}^{m_1} . Note that each X_i is a global section of \mathcal{F} . And we set $s_i = \phi_1^* X_i \in H^0(X, L)$, where $\phi_1^* X_i$ is the image of $X_i \in H^0(\mathbb{P}^m, \mathcal{F})$ by the canonical map $\mathcal{F} \rightarrow \phi_{1*} \phi_1^* \mathcal{F}$. It follows from Hartshorne[6] section 2.7 Theorem 7.1 that s_0, \dots, s_{m_1} generate L . Because for any $x \in X$ the each germ $(s_i)_x$ is a linear combination of $(t_j)_x$, so t_0, \dots, t_m generate L .

There is a morphism $\phi: X \rightarrow \mathbb{P}^m$ such that $L \cong \phi^* \mathcal{O}_{\mathbb{P}^m}(1)$ and $s_i = \phi^* X_i$ under this isomorphism. See Hartshorne[6] section 2.7 Theorem 7.1(b). There is another explanation on what ϕ is. For any $x \in X$, we can consider the germ $(t_i)_x \in L_x$. Denote $(t_i)_x$ by $t_i(x)$. Since L is a line bundle, $L_x \cong \mathcal{O}_{X,x}$. Then we define the map $\phi: X \rightarrow \mathbb{P}^m$ by $\phi(x) = (t_0(x), \dots, t_m(x))$. Note that there is a scalar ambiguity in choice of morphism $L_x \rightarrow k$. If $\forall i \ t_i(x) = 0$, then $(t_i)_x$ cannot generate L_x , which is a contradiction. Thus for any $x \in X$, there is an index i such that $t_i(x) \neq 0$.

Then, the rest of the proof is almost trivial. \square

Theorem 2.9

quotation. First, suppose that L is globally generated.

remark. What "globally generated" means? We say L is globally generated iff there is an exact sequence $\bigoplus_I \mathcal{O}_X \rightarrow L \rightarrow 0$. Even if L is an invertible sheaf, L is not necessarily globally generated. For example, set $X = \mathbb{P}^m$, $L = \mathcal{O}_{\mathbb{P}^m}(-1)$. Since $\Gamma(X, L) = 0$, L is not globally generated.

Theorem 2.9

quotation. Let

$$\phi_{|L|}: X \rightarrow \mathbb{P}(H^0(X, L))$$

be a morphism associated to the complete linear system $|L|$. We set $h_L = h_{\phi_{|L|}}$.

Proof. Note that we want to get $h_L \in \text{Func}(X)/B(X)$, which is not contained in $\text{Func}(X)$.

What is a $\mathbb{P}(H^0(X, L))$? I think it is isomorphic to \mathbb{P}^m by taking a basis of $H^0(X, L)$.

Set $k = \overline{\mathbb{Q}}$. Since L be a globally generated line bundle on X , there is a basis s_0, \dots, s_m of $H^0(X, L)$ which generate L . Then we get a map $\phi_L: X \rightarrow \mathbb{P}^m$ such that $\phi_L^* \mathcal{O}_{\mathbb{P}^m}(1) \cong L$. We define h_L by $h_L = h_{\phi_L}$. \square

Theorem 2.9

quotation. Then $s_i \otimes t_j$ induces a morphism $\phi: X \rightarrow \mathbb{P}^N$ such that $\phi^*(\mathcal{O}_{\mathbb{P}^N}(1)) \cong L_1 \otimes L_2$.

remark. How $s_i \otimes t_j \in H^0(X, L_1) \otimes_k H^0(X, L_2)$ define an element of $H^0(X, L_1 \otimes L_2)$? Let \mathcal{F} be a presheaf defined by $\mathcal{F}(U) = \Gamma(U, L_1) \otimes_{\mathcal{O}_X(U)} \Gamma(U, L_2)$. Then there is a canonical morphism $\mathcal{F} \rightarrow L_1 \otimes L_2$ since $L_1 \otimes L_2$ is the sheafification of \mathcal{F} . So we can see $s_i \otimes t_j \in H^0(X, L_1 \otimes L_2)$.

We denote the image of $s_i \otimes t_l$ by $s_i t_j \in H^0(X, L_1 \otimes L_2)$. Why $\{s_i t_j\}$ generate $L_1 \otimes L_2$? Take a stalk.

Theorem 2.9

quotation. tell us that $L \otimes A^n$ is globally generated for any sufficiently large n .

remark. The ampleness of A implies that

$$\begin{aligned} \exists n_1 \text{ s.t. } n \geq n_1 &\Rightarrow L \otimes A^n \text{ is globally generated} \\ \exists n_2 \text{ s.t. } n \geq n_2 &\Rightarrow A^n \text{ is globally generated} \end{aligned}$$

Then we set $n = \max_i \{n_i\}$.

Theorem 2.9

quotation. Then, modulo $B(X)$, we have

$$h_{f^*(L)} = h_{f^*(C) \otimes f^*(C)^{-1}}$$

remark. See Görtz Wedhorn[5] Remark 7.10.

Theorem 2.9

quotation. Then by (1), h_L must be equal to $h_{L_1} - h_{L_2}$ modulo $B(X)$.

remark. Let $\sigma: \{\text{line bundles}\} \rightarrow \text{Func}(X)/B(X)$ be a map which satisfies the properties (1), (2), (3). By (3), for globally generated line bundle L , we get $\sigma_L = h_L$. Because $\Gamma(X, \mathcal{O}_X) = k$, we obtain $\sigma_{\mathcal{O}_X} = 0$. Thus (1) implies that $\sigma_L = h_L$ for general line bundle L .

Proposition 2.10

quotation. Let B be the Zariski closed subset of X defined by the ideal sheaf

$$\text{Image}(H^0(X, L) \otimes L^{-1} \rightarrow \mathcal{O}_X).$$

remark. What is the morphism $H^0(X, L) \otimes L^{-1} \rightarrow O_X$? Note that there is a canonical morphism $f^* f_* L \rightarrow L$ where $f: X \rightarrow \operatorname{Spec} k$ is a k -scheme structure. Note that $f_* L$ is isomorphic to $\widetilde{H^0(X, L)}$. We denote this canonical morphism $f^* f_* L \rightarrow L$ by

$$H^0(X, L) \otimes O_X \rightarrow L.$$

This is surjective if L is globally generated.

In general, we define $V \otimes_k O_X$ for k -module V , by setting

$$V \otimes_k O_k = f^{-1} \widetilde{V} \otimes_{f^{-1} O_{\operatorname{Spec} k}} O_X = f^* \widetilde{V}.$$

Then we get $H^0(X, L) \otimes L^{-1} \rightarrow O_X$ by tensoring L^{-1} .

Proposition 2.10

quotation. Then $\{ss_i\}$ are linearly independent elements of $H^0(X, L)$.

remark. What are ss_i ? Note that there is a canonical morphism

$$H^0(X, L) \otimes H^0(X, L_2) \rightarrow H^0(X, L \otimes L_2) \cong H^0(X, L_1)$$

Thus I guess ss_i is the image of $s \otimes s_i$.

Moreover, why ss_i are linearly independent? It suffices to show that the morphism of k -module

$$s: H^0(X, L_2) \rightarrow H^0(X, L_1)$$

is injective.

We prepare the following lemma.

lemma. Let X be an integral scheme and let L be a line bundle on X . Assume that $s \in H^0(X, L)$ is not zero. Then for any $x \in X$, $s_x \neq 0$ in L_x .

Proof. Assume that there is a $z \in X$ such that $s_z = 0$. We want to show $s = 0 \in H^0(X, L)$. Since L is invertible, there is an open affine covering $X = \bigcup_{i \in I} U_i$ such that

$$U_i = \operatorname{Spec} A_i, \quad L|_{U_i} \cong \widetilde{A_i}$$

On the other hand, $s_z = 0$ implies that there is an open subset $U \subset X$ such that $s|_U = 0$ and $z \in U$. **To be continued...** □

Proposition 2.10

quotation. Let s_1, \dots, s_n be a basis of $H^0(X, L)$. \dots

Because $B = \{x \in X \mid s_1(x) = \dots = s_n(x) = 0\}$.

Proof. Why $B = \{x \in X \mid s_1(x) = \dots = s_n(x) = 0\}$? I guess

$$\begin{aligned}
B &= \text{Supp Coker}(H^0(X, L) \otimes L^{-1} \rightarrow O_X) \\
&= \text{Supp Coker}(H^0(X, L) \otimes O_X \rightarrow L) \otimes L^{-1} && \text{(right exactness of tensor)} \\
&= \text{Supp Coker}(H^0(X, L) \otimes O_X \rightarrow L) \cap \text{Supp } L^{-1} \\
&= \text{Supp Coker}(H^0(X, L) \otimes O_X \rightarrow L)
\end{aligned}$$

Thus we get

$$\begin{aligned}
x \in B &\iff \text{Coker}(H^0(X, L) \otimes O_X \rightarrow L)_x \neq 0 \\
&\iff \forall s \in H^0(X, L) \ x_x \in \mathfrak{m}_x L_x \\
&\iff s(x) = 0 \\
&\iff s_1(x) = \dots = s_n(x) = 0
\end{aligned}$$

□

参考文献

- [1] 雪江明彦『整数論 2 代数的整数論の基礎』(日本評論社, 2013)
- [2] Siegfried Bosch『Algebraic Geometry and Commutative Algebra』(Springer, 2013)
- [3] 雪江明彦『線形代数学概説』(培風館, 2006)
- [4] 松村英之『可換環論』(共立出版, 1980)
- [5] Ulrich Görtz, Torsten Wedhorn『Algebraic Geometry I : Schemes with Examples and Exercises』(Springer, 2010)
- [6] Robin Hartshorne『Algebraic Geometry』(Springer, 1977)