

# Control theory



# **INTRODUCTION**

## **I DESCRIPTION OF OPEN LOOP SYSTEMS**

## **II SYSTEM ANALYSIS**

**A Time domain analysis**

**B Frequency domain analysis**

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## **III ANALYSIS OF CLOSED SYSTEMS**

## **IV STABILITY OF CLOSED SYSTEMS**

## **V PRACTICAL METHODS**

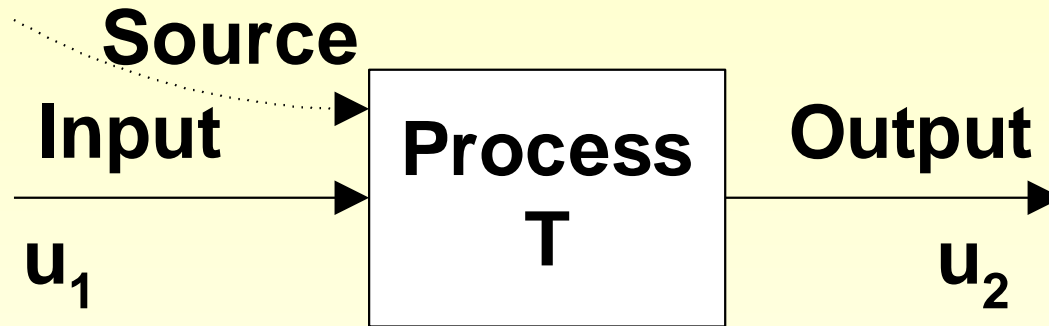
## **VI DYNAMICS OF COMPLEX SYSTEMS**



# System description



# System diagram



Example:

Source: potential energy of water

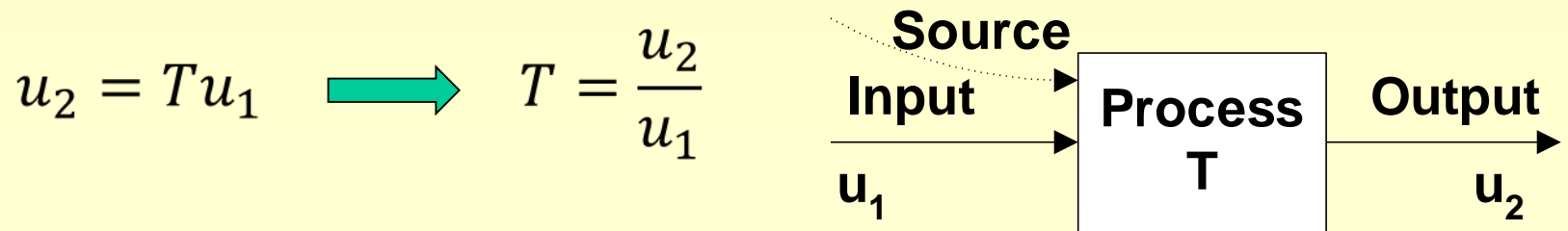
Process: change of flow by valve

Input: position of valve

Output: water flow in tube



# The transfer function of a process



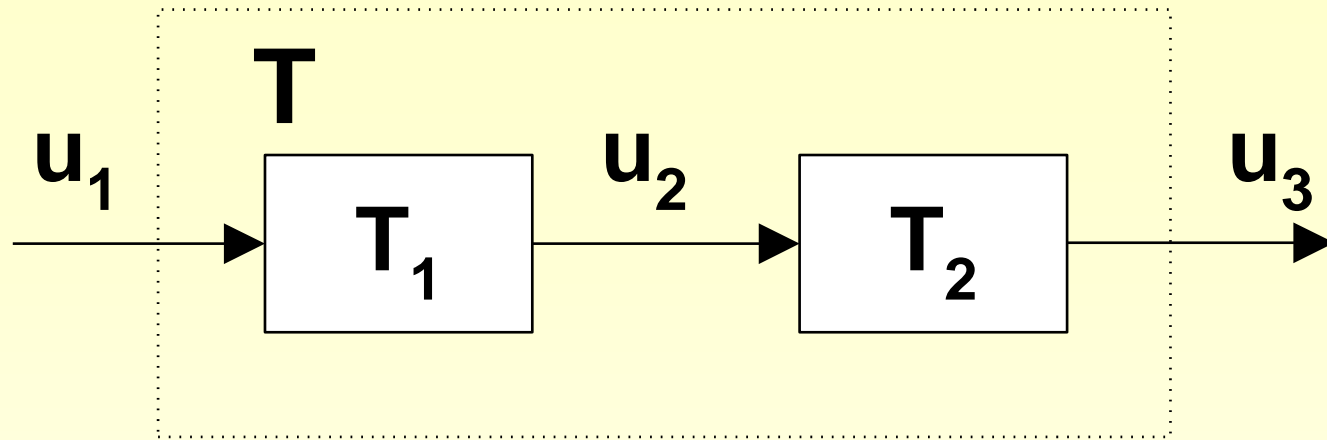
For linear time-independent systems:

$$a_n \frac{d^n u_2}{dt^n} + a_{n-1} \frac{d^{n-1} u_2}{dt^{n-1}} + \cdots + a_0 u_2 = u_1$$

$$T = \frac{u_2}{u_1} = \frac{1}{a_n D^n + a_{n-1} D^{n-1} + \cdots + a_0}$$



# Systems in series



$$u_2 = T_1 u_1$$

$$u_3 = T u_1$$

$$u_3 = T_2 u_2$$

$$u_3 = T u_1 = T_2 u_2 = T_2 (T_1 u_1) = T_2 T_1 u_1$$

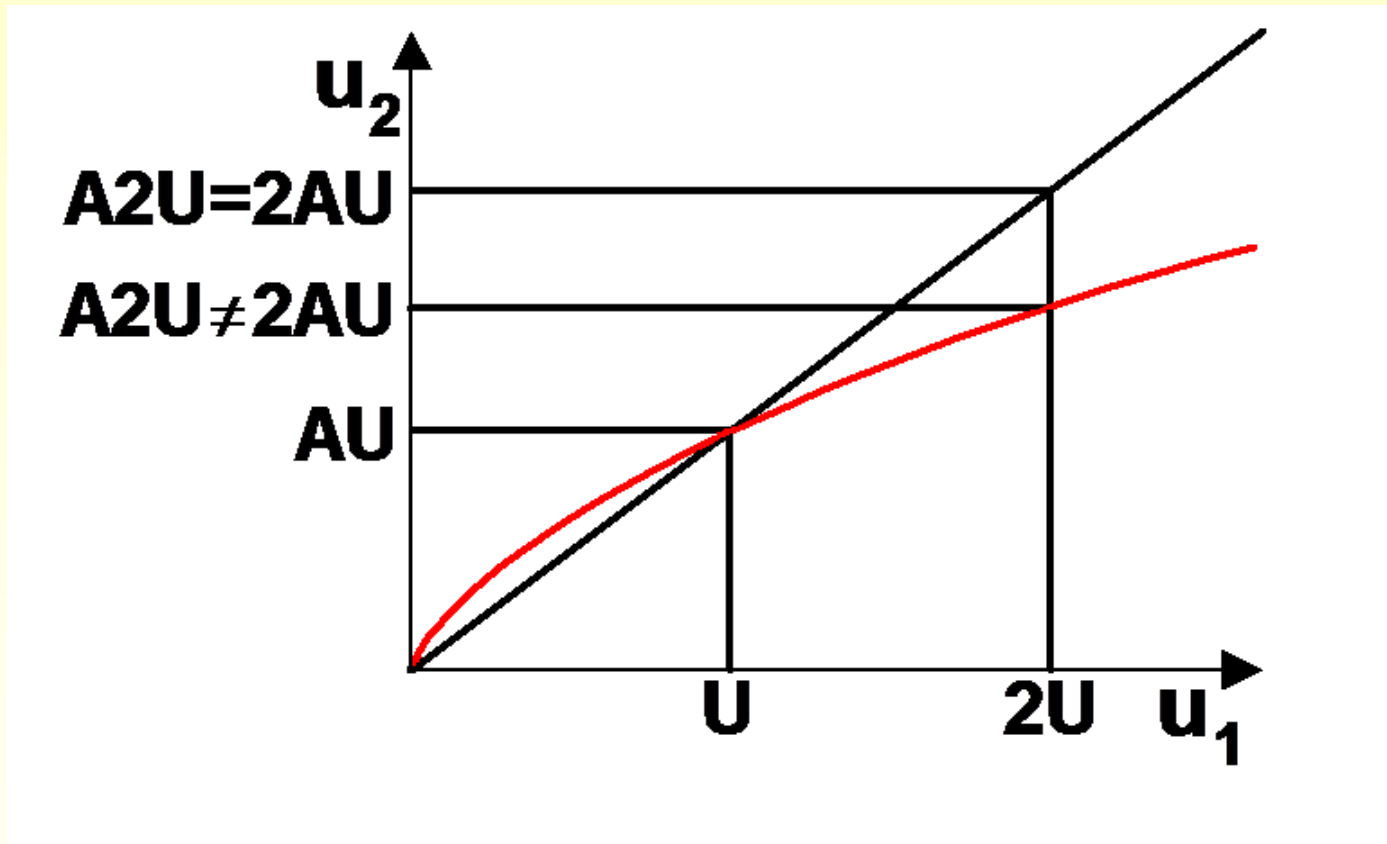
$$T = T_2 T_1$$

$$T_2 T_1 \neq T_1 T_2$$



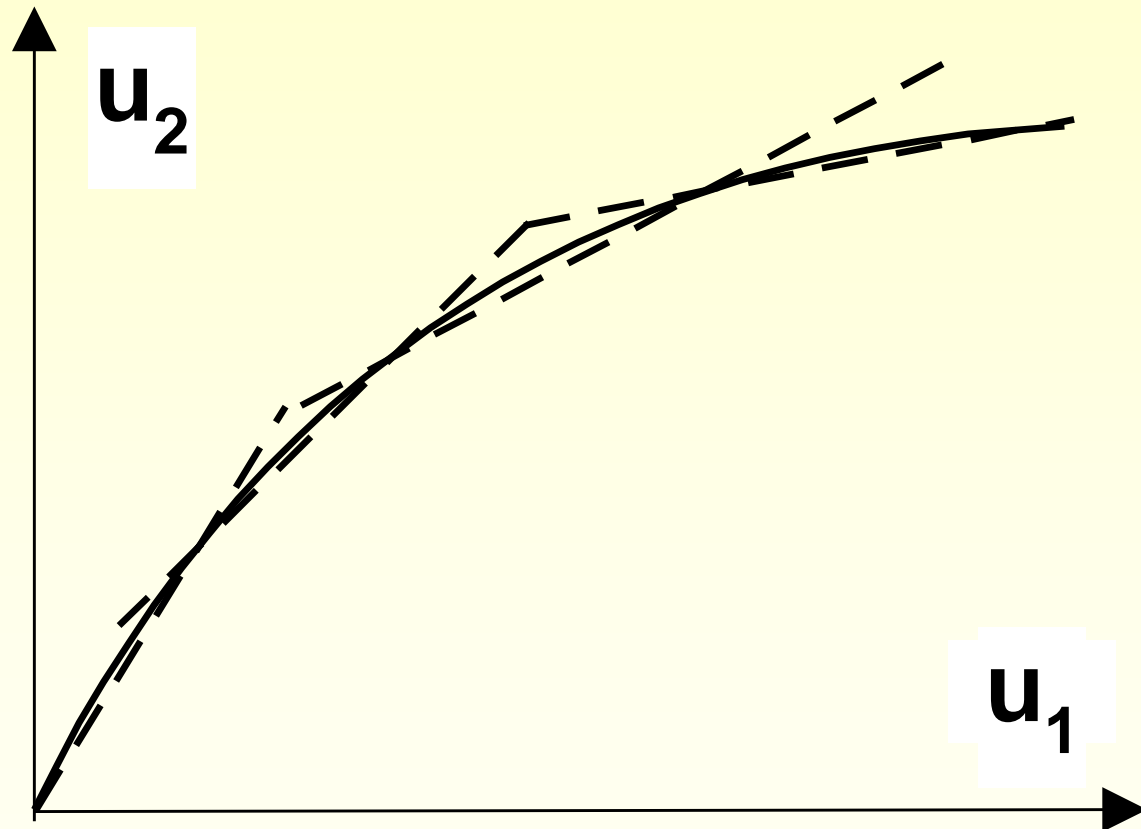
# Linear and nonlinear process

$$T = T(u_1)$$



# Linear approximation of nonlinear processes

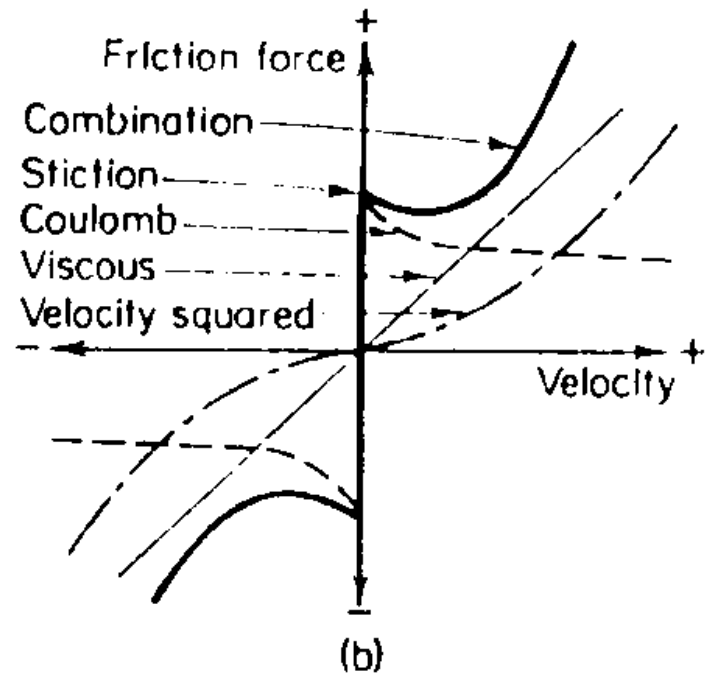
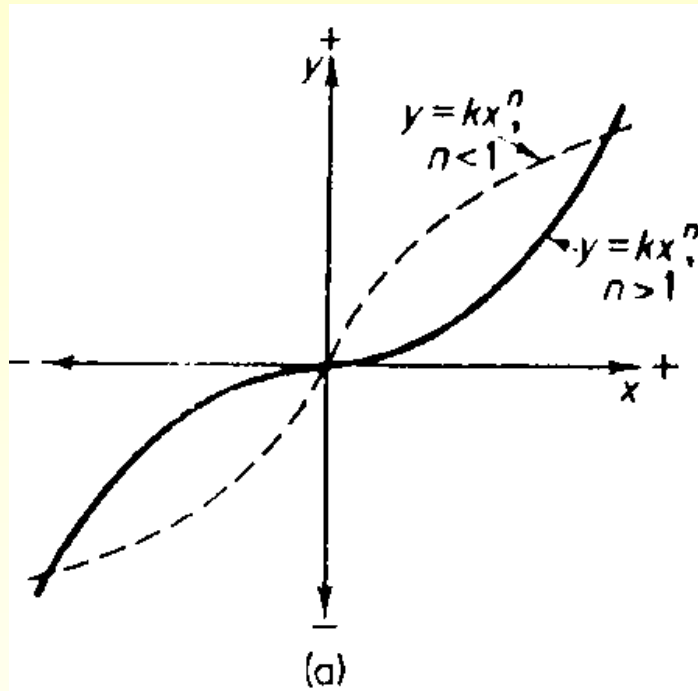
$$T = T(u_1)$$





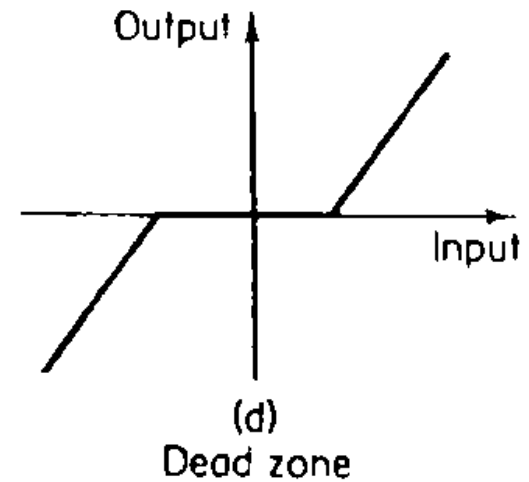
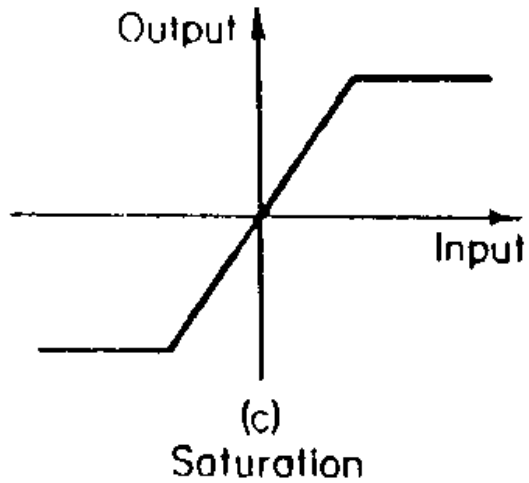
# Common non-linear relations

$$T = T(u_1)$$



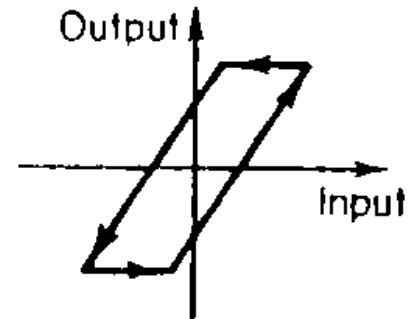
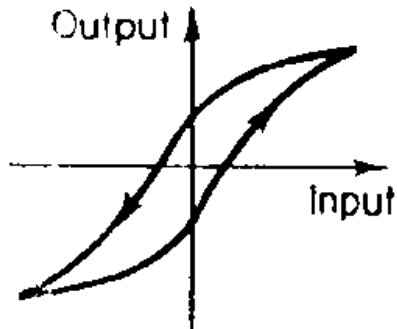
# Common non-linear relations

$$T = T(u_1)$$



# Common non-linear relations

$$T = T(u_1)$$



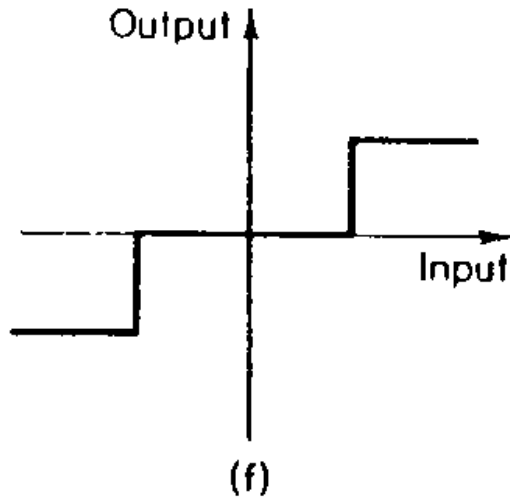
(e)

Two forms of hysteresis

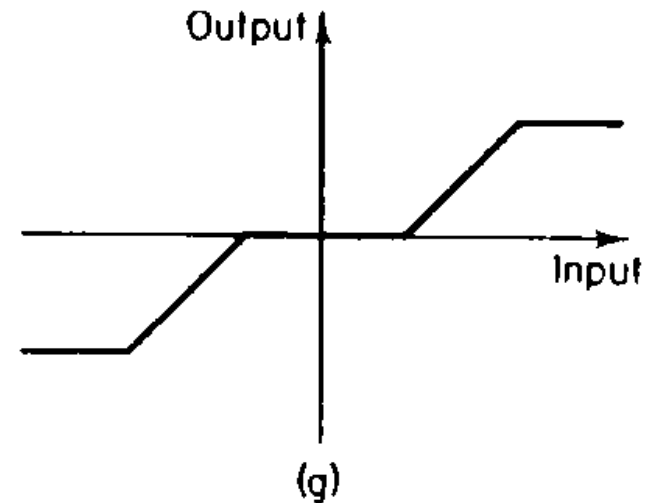


# Common non-linear relations

$$T = T(u_1)$$



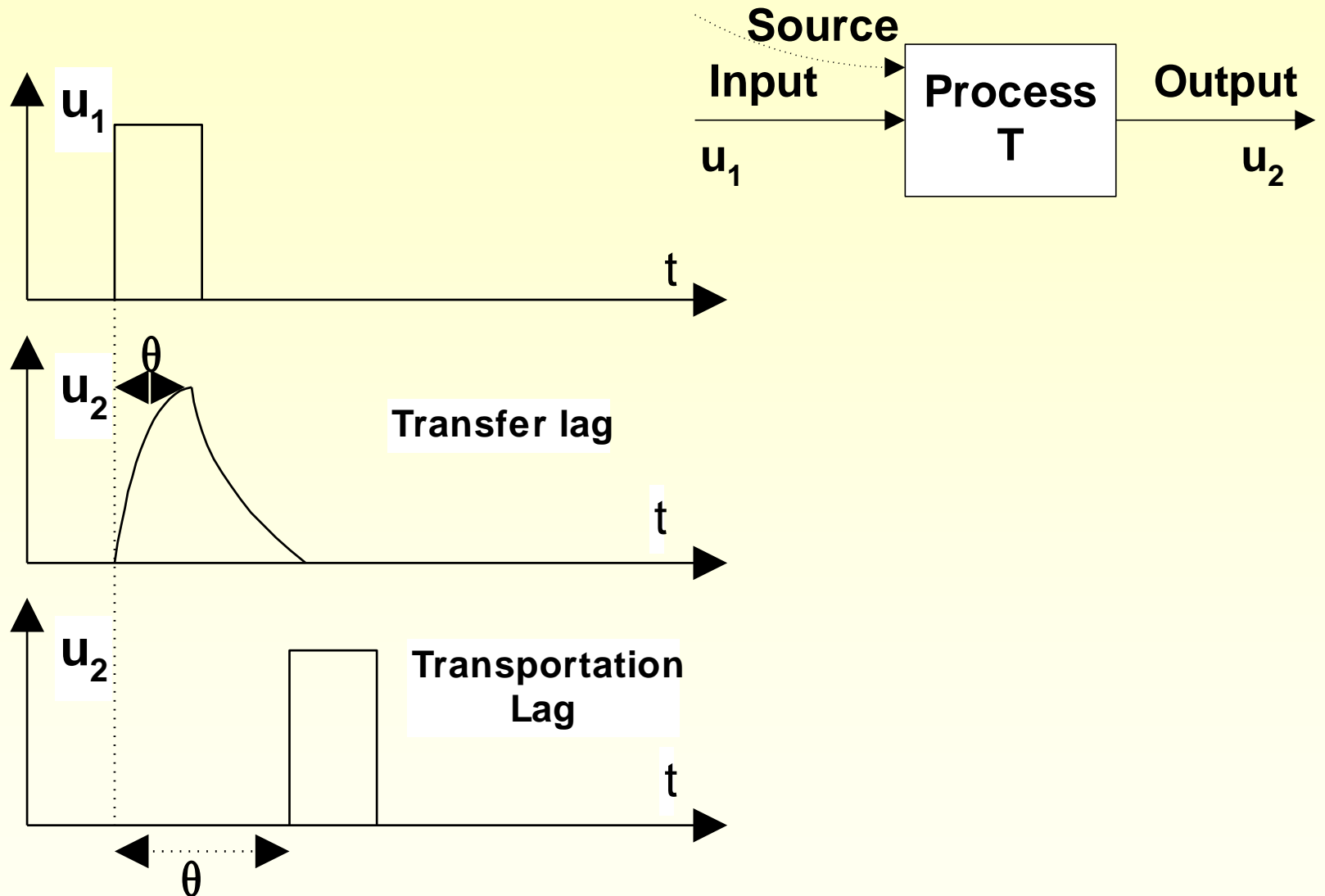
An on-off characteristic



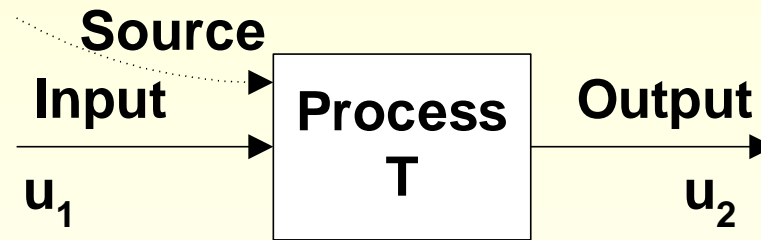
Saturation and dead zone



# Time lags



# System analysis



$$T = ?$$



# Time domain analysis



# Filling of a vessel with an inflow

$$d_{out} \approx bh$$

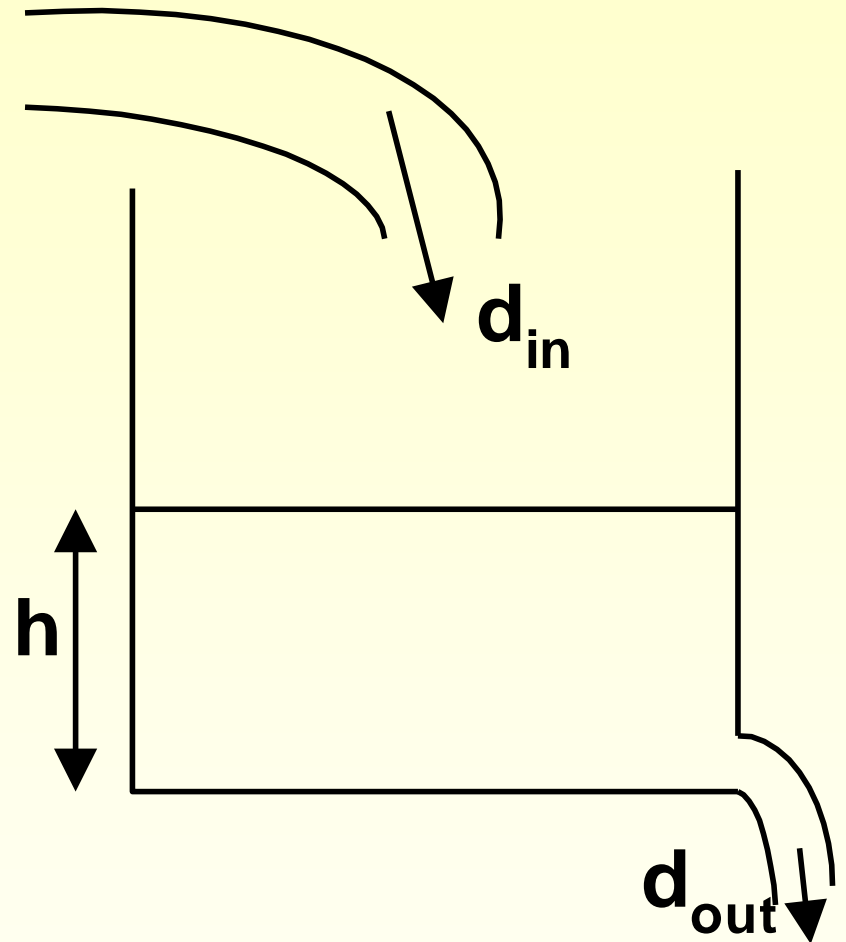
$$\frac{dh}{dt} = a(d_{in} - d_{out}) = a(d_{in} - bh)$$

$$\frac{dh}{dt} + abh = ad_{in}$$

$$\frac{1}{ab} \frac{dh}{dt} + h = \frac{1}{b} d_{in}$$

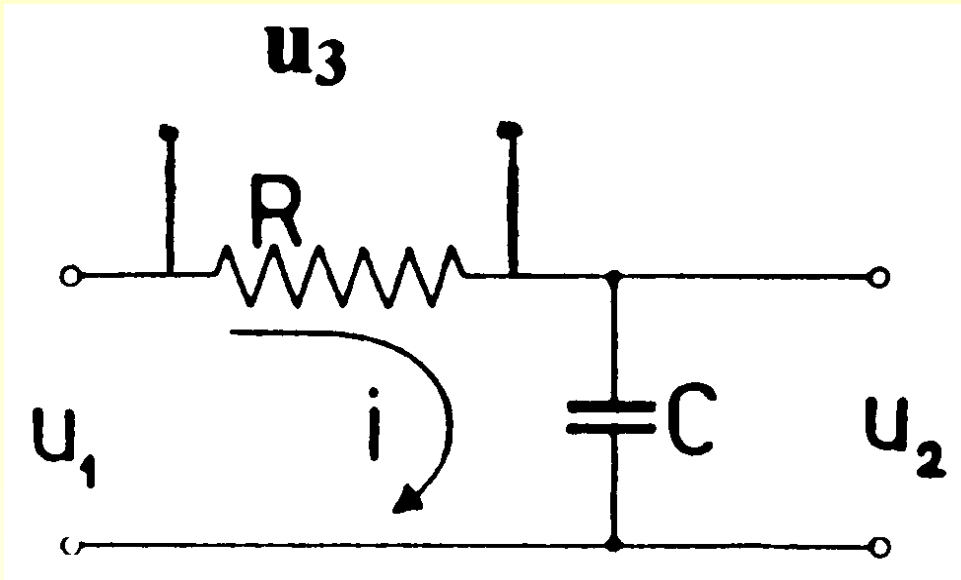
Solution: steady state ( $dh/dt = 0$ )

$h = d_{in}/b$       Thus, since  $d_{out} = b \cdot h$ , then  $d_{out} = d_{in}$





# Resistance and capacitance in series



$$u_1 = u_2 + u_3$$

$$u_3 = iR$$

$$q = Cu_2$$

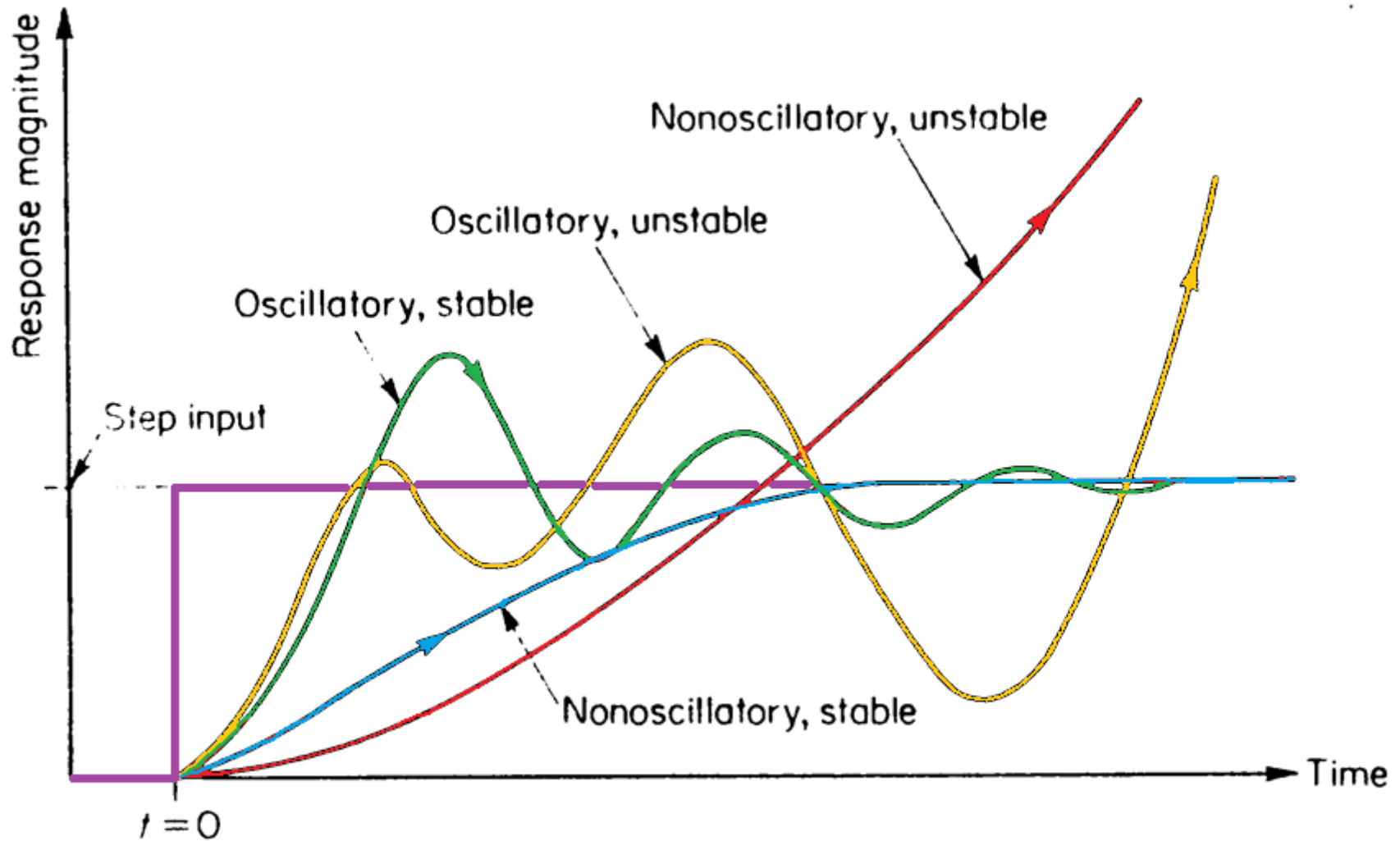
$$i = \frac{dq}{dt} = C \frac{du_2}{dt}$$

$$u_1 = u_2 + iR = u_2 + RC \frac{du_2}{dt}$$

$$RC \frac{du_2}{dt} + u_2 = u_1$$



# Possible responses to a step input



Some possible responses of a system to a step input.

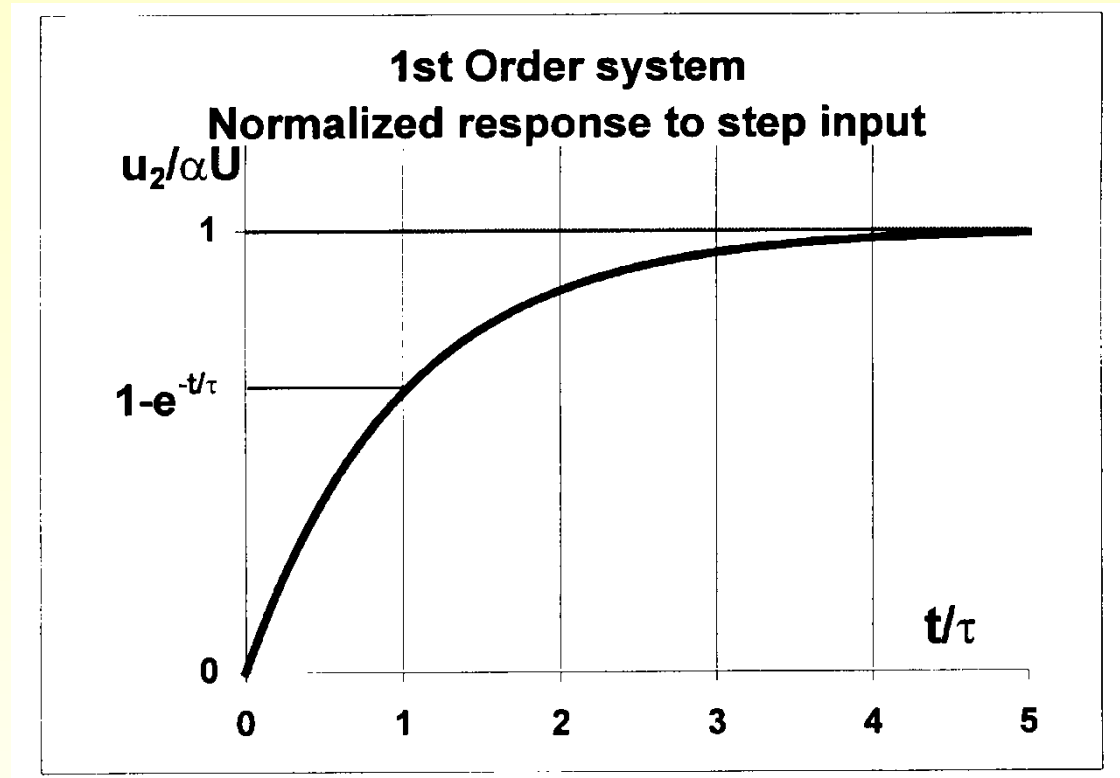
# Normalized step response first order system

$$\tau \frac{du_2}{dt} + u_2 = \alpha U$$

Substitutions:

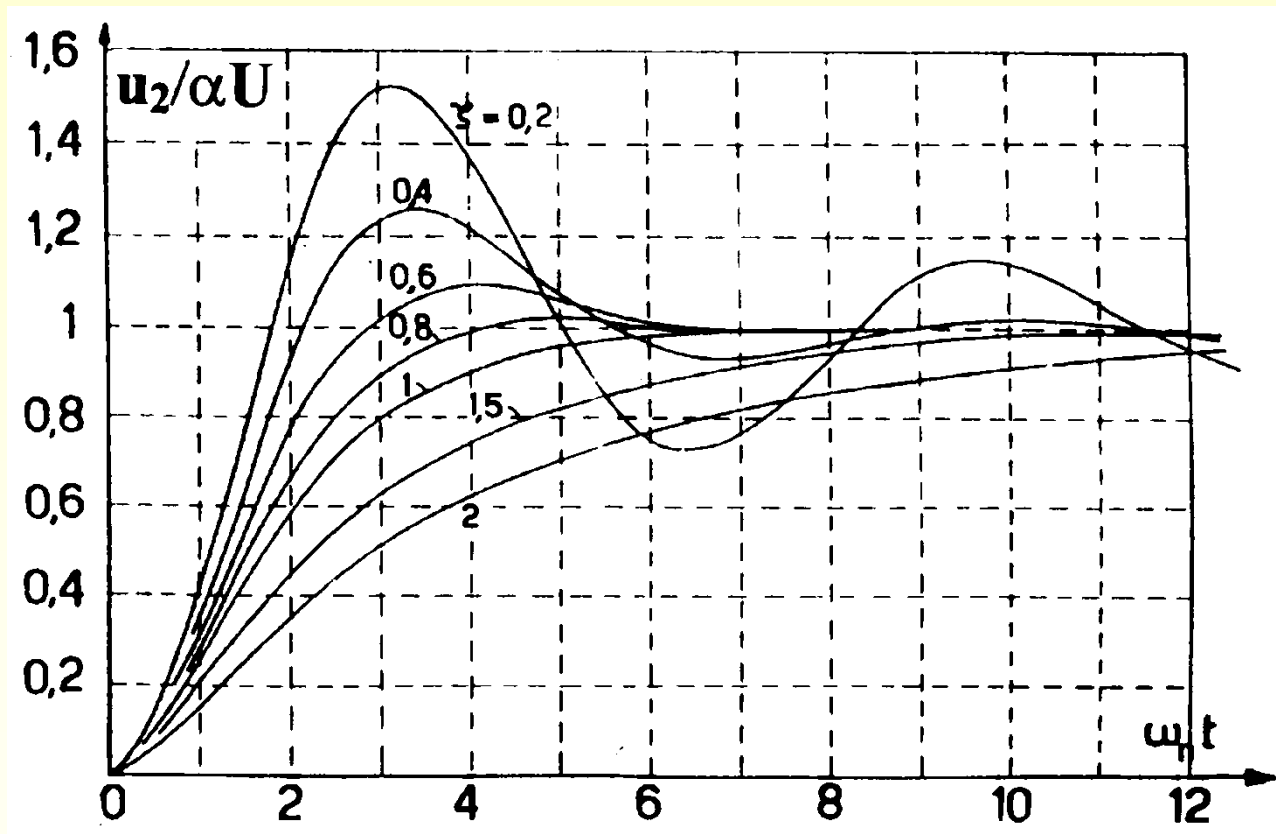
$$V = \frac{u_2}{\alpha U}; \quad T = \frac{t}{\tau}$$

$$\frac{dV}{dT} + V = 1$$



# Response of second order system to step input

$$\frac{1}{(\omega_n)^2} \frac{d^2 u_2}{dt^2} + \frac{2\xi}{\omega_n} \frac{du_2}{dt} + u_2 = \alpha u_1 \quad (\text{with } u_1 = U \text{ for } t \geq 0)$$



# Response of second order system to step input

## Differential equation and parameters

$$\frac{1}{(\omega_n)^2} \frac{d^2 u_2}{dt^2} + \frac{2\xi}{\omega_n} \frac{du_2}{dt} + u_2 = \alpha U \quad (t \geq 0)$$

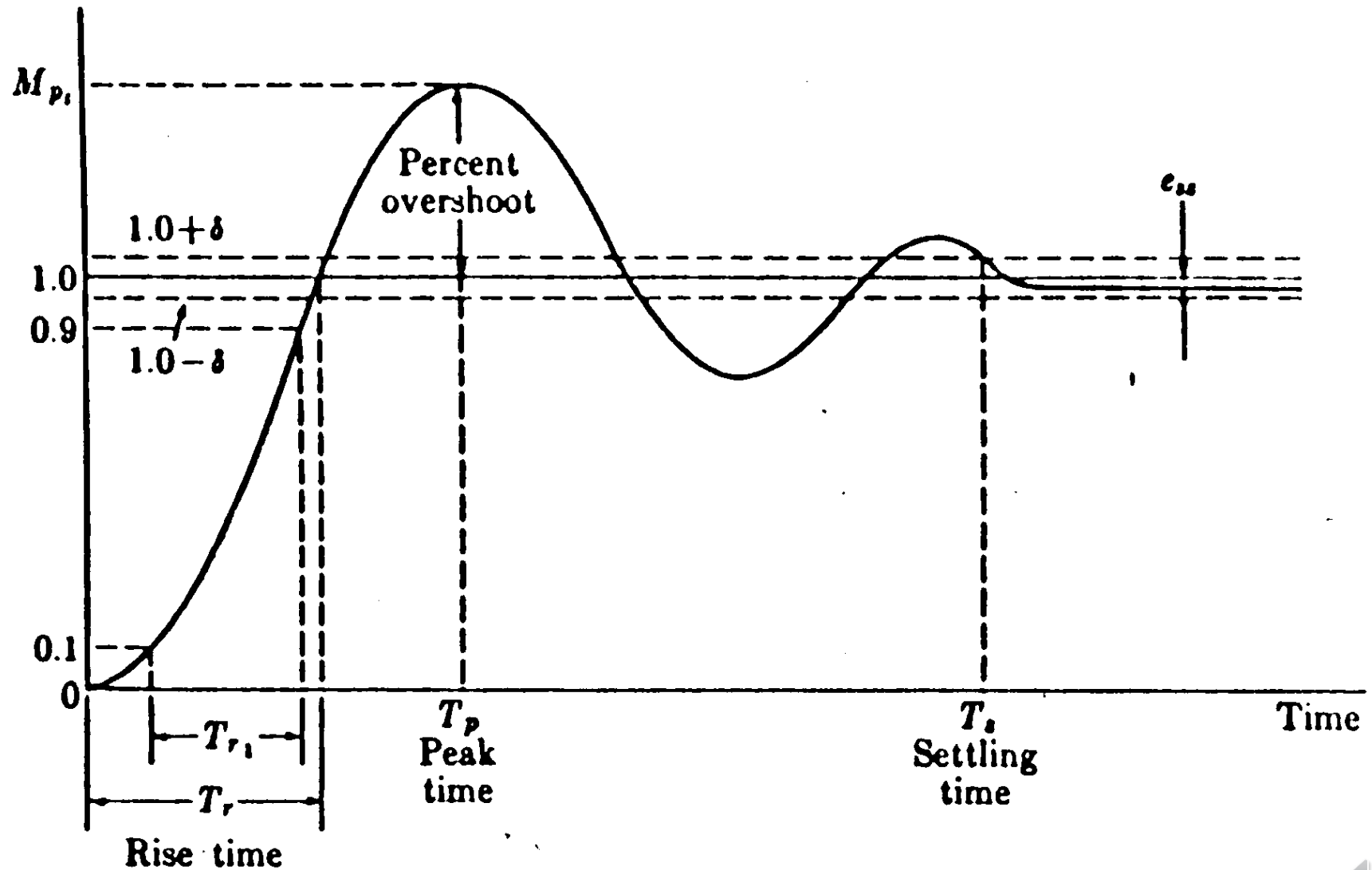
$\alpha$  : gain

$\omega_n$ : natural frequency (eigen frequency)

$\xi$  : damping factor



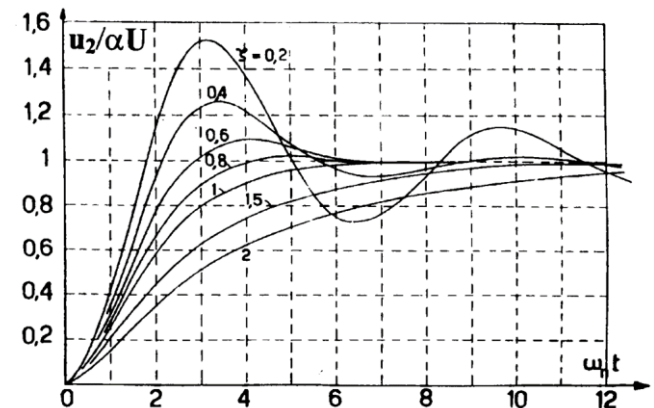
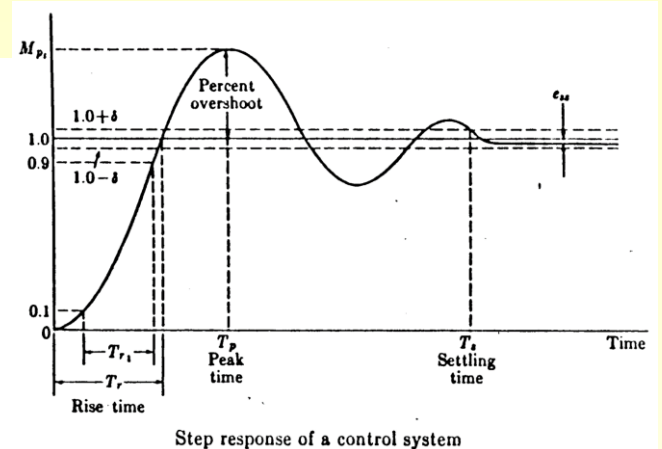
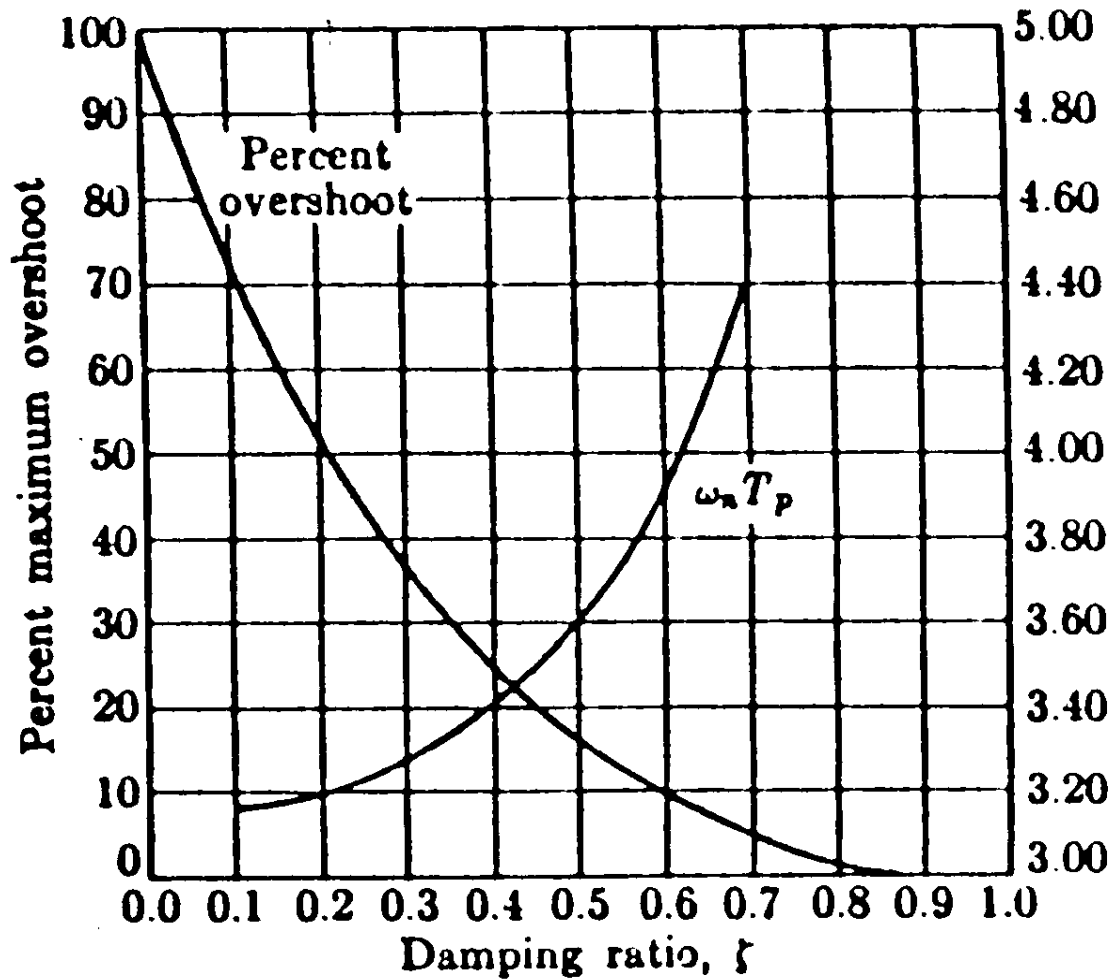
# Response of second order system to a step input



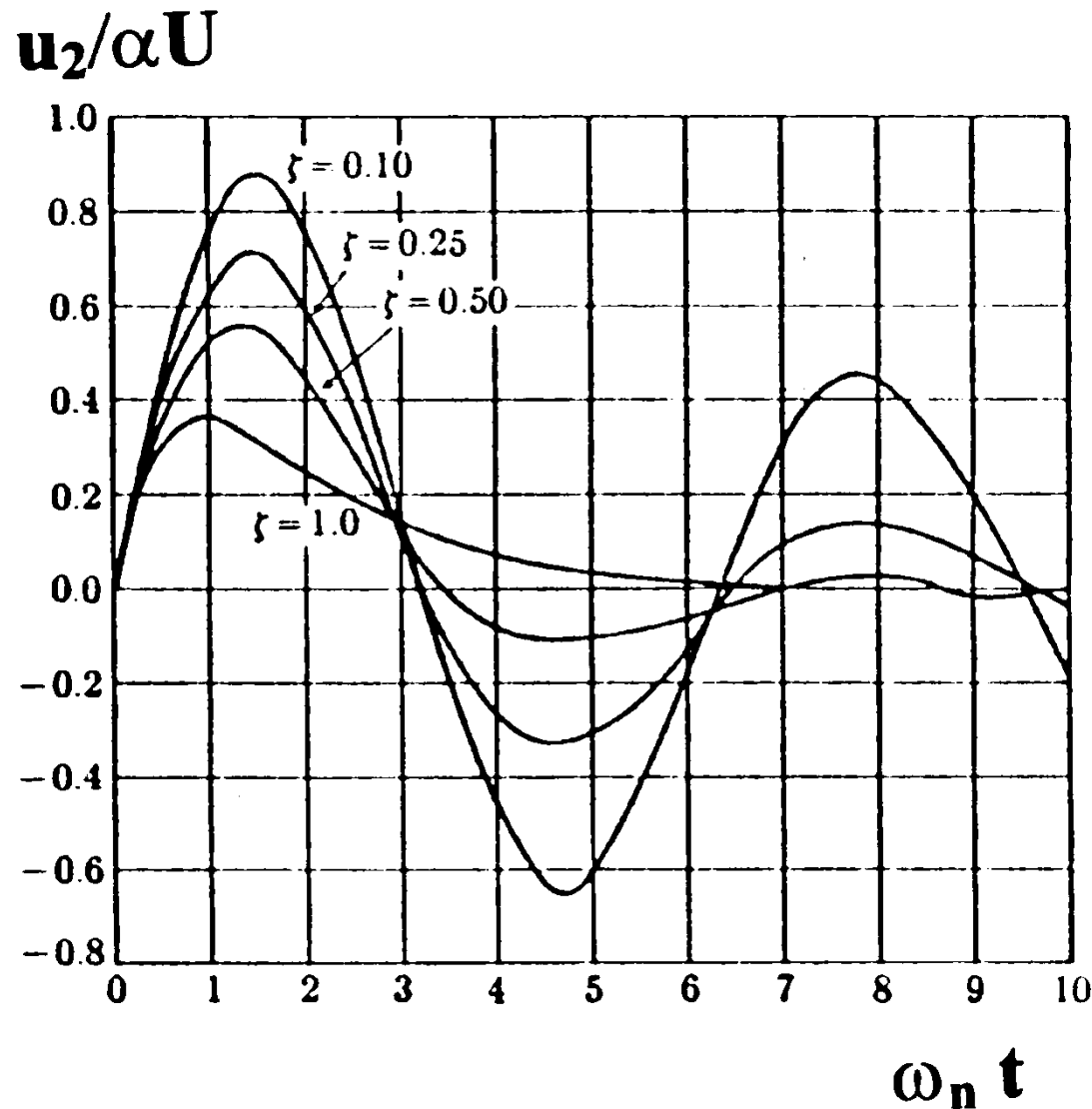
Step response of a control system



# Overshoot of second order system as function of damping factor



# Response of second order system to an impulse input



Response of a second-order system for an impulse function input.



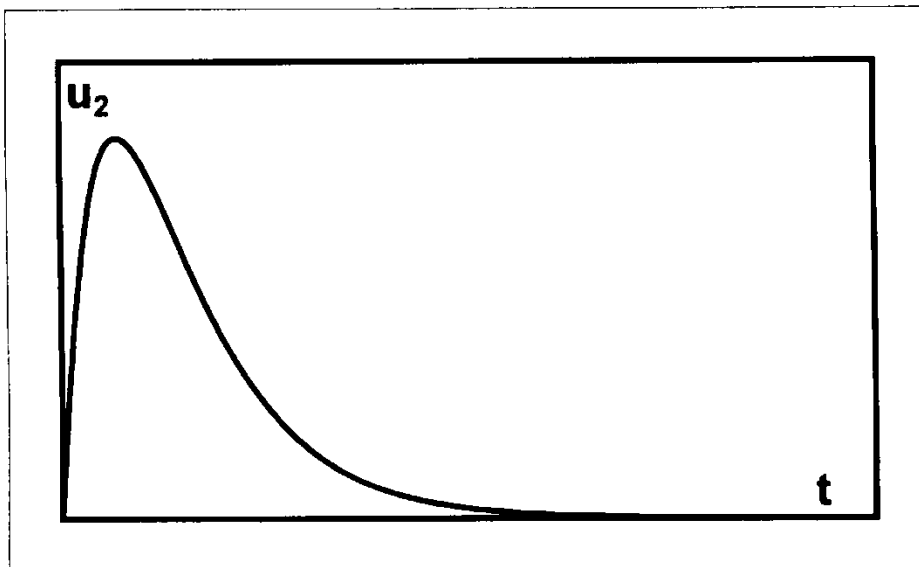


# Response of system of order -1 to a step input

First order:  $\tau \frac{du_2}{dt} + u_2 = \alpha u_1$

Order -1:  $\int u_2 dt = u_1 \quad u_2 = \frac{du_1}{dt}$

Speedometer: Real response to a step input

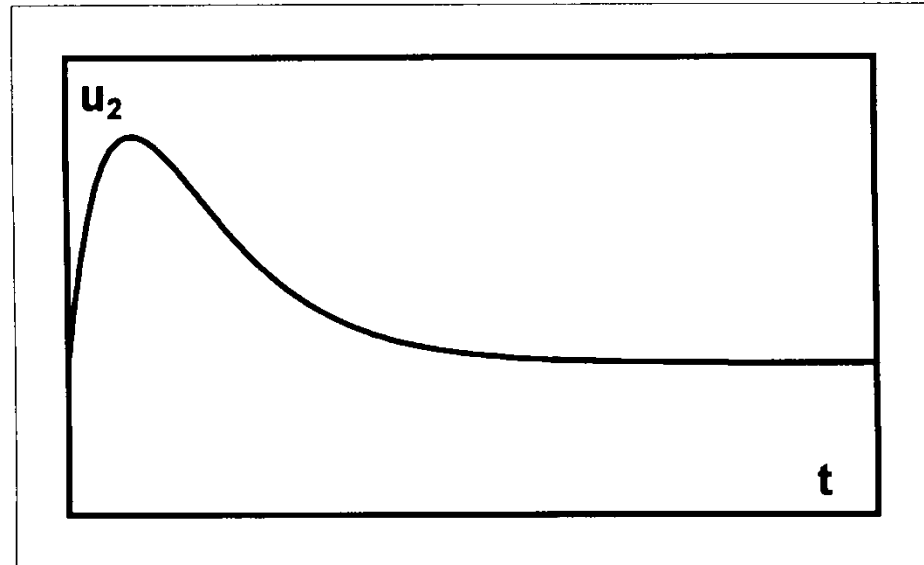
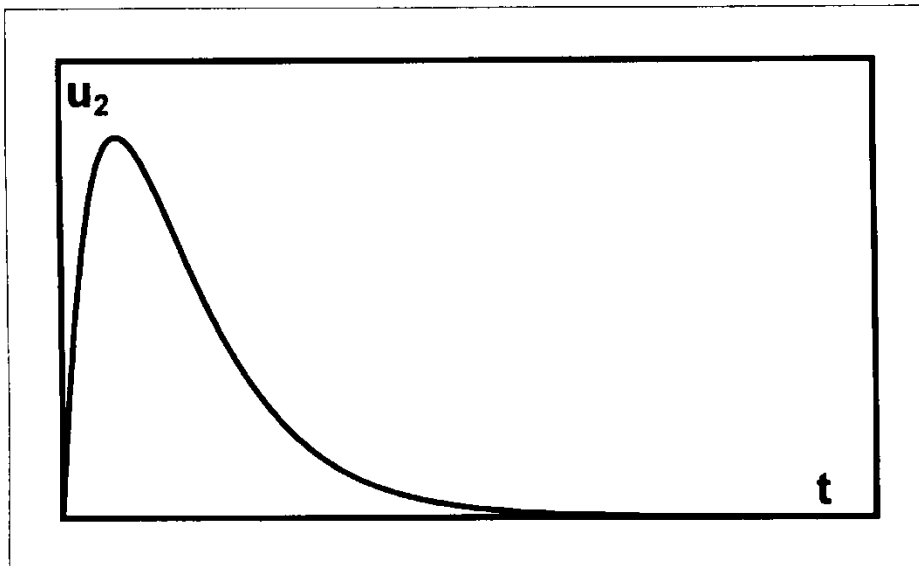


# Response of system of order -1 to a step input

First order:  $\tau \frac{du_2}{dt} + u_2 = \alpha u_1$

Order -1:  $\int u_2 dt = u_1 \quad u_2 = \frac{du_1}{dt} \quad u_2 = a \frac{du_1}{dt}$

Speedometer: Real response to a step input



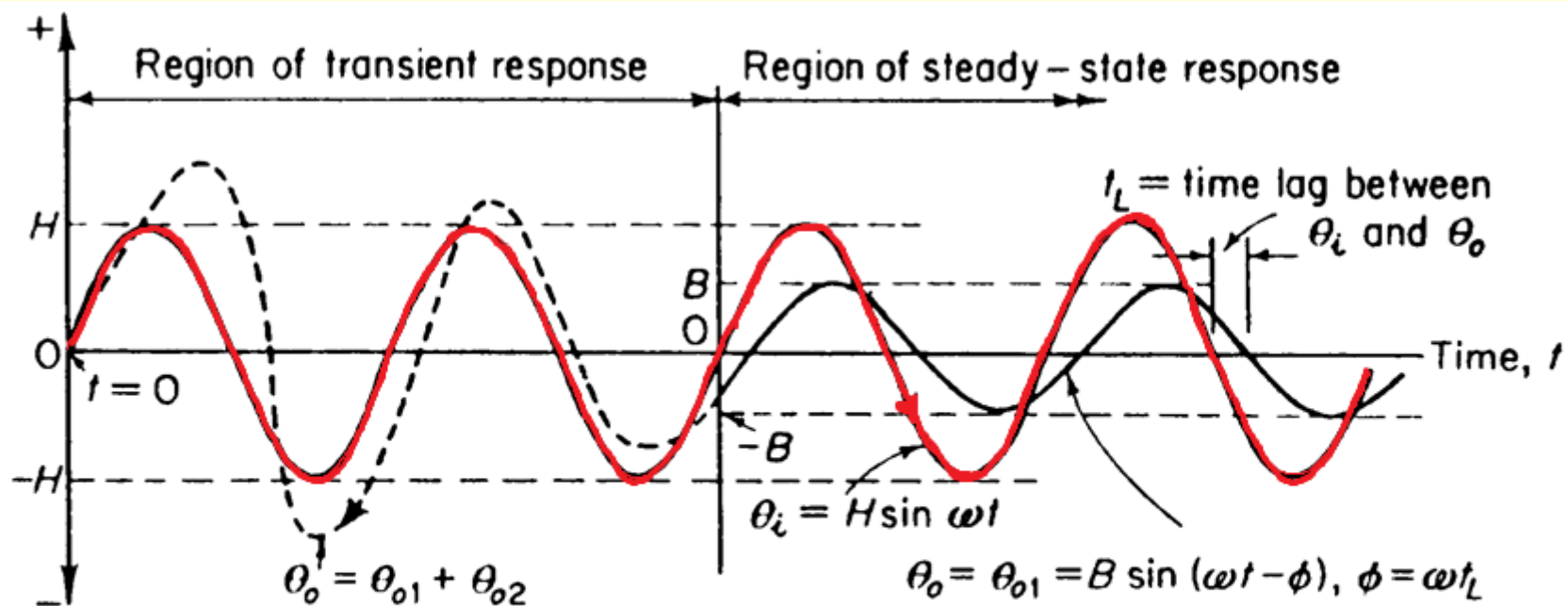
# Frequency domain analysis



# Typical system response to sinus input

Red trace: input

Black trace: output



Typical system response to sinusoidal input:  $\theta_{o2}$  is transient term of response;  $\theta_{o1}$  is steady-state term of response.



# Frequency domain analysis of first order system

$$\tau \frac{du_2}{dt} + u_2 = \alpha u_1 \quad \tau \frac{du_2}{dt} + u_2 = \alpha A_1 \sin(\omega t)$$

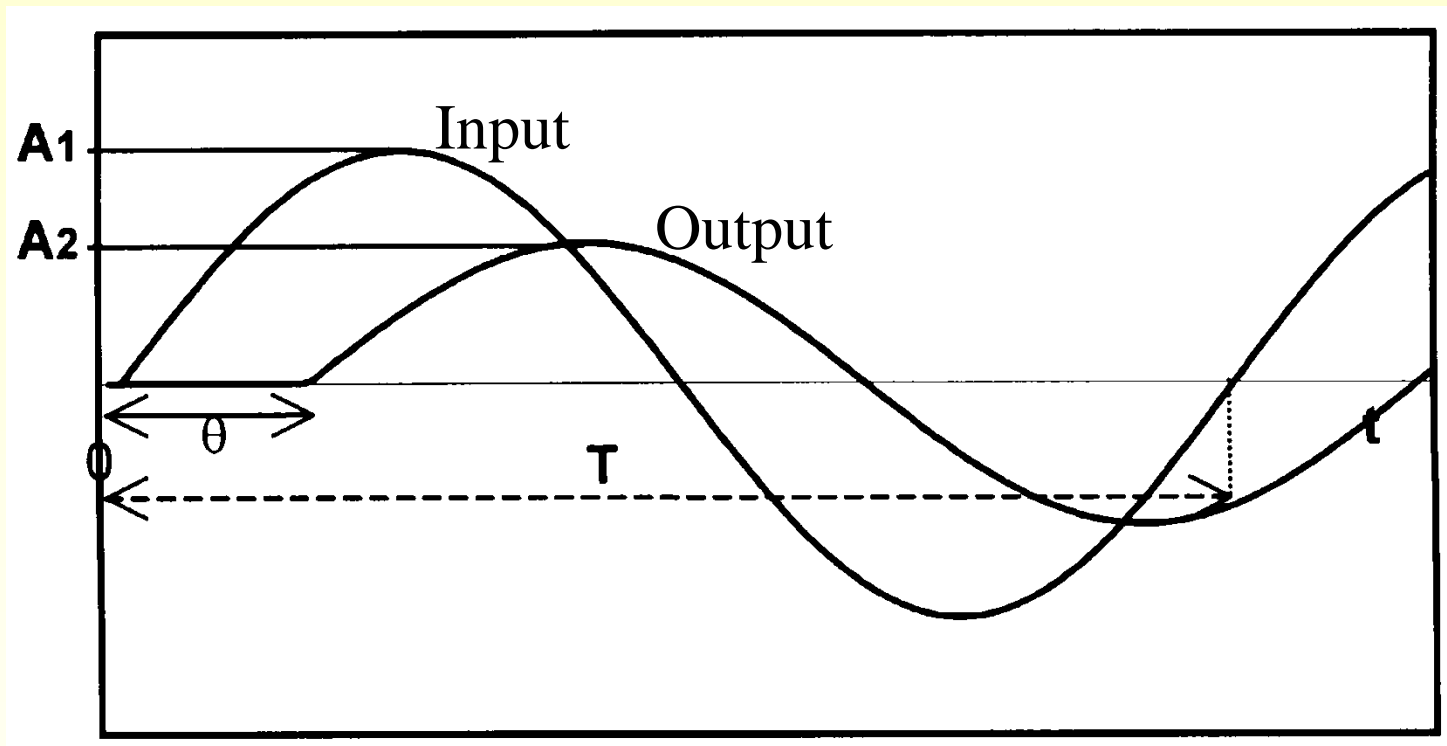
Solution:

- Transient terms (a number of exponential functions)
- *Steady state term of the form  $A_2 \sin(\omega t + \varphi)$*



# Steady-state system response to sinus input

$$\tau \frac{du_2}{dt} + u_2 = \alpha A_1 \sin(\omega t)$$



# Frequency domain analysis first order system

$$\tau \frac{du_2}{dt} + u_2 = \alpha A_1 \sin(\omega t)$$

Steady-state output:  $u_2 = A_2 \sin(\omega t + \varphi)$

$A = A_2/A_1$ : Gain

$T$ : Period

$\nu$ : Frequency

$\omega$ : Pulsation

$\varphi$ : Phase shift

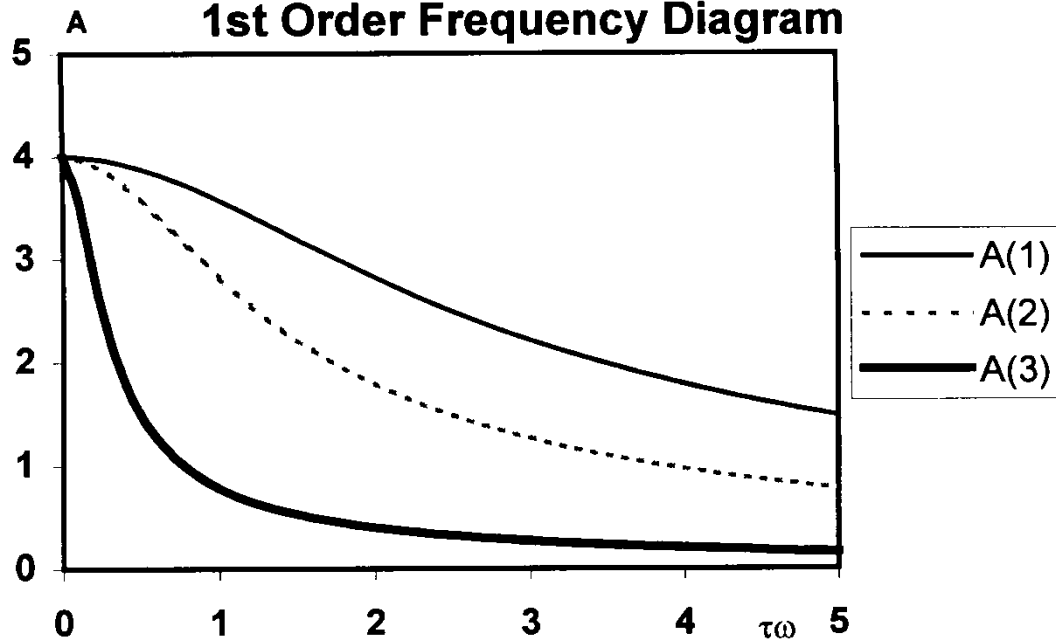
$\theta$ : Delay

$$T = 1/\nu \quad \text{and} \quad \omega = 2\pi\nu$$

$$\varphi = 2\pi\theta / T = \theta 2\pi\nu = \theta\omega$$



# 1st Order Frequency Diagram



## First order frequency diagram

$$\omega = 0$$

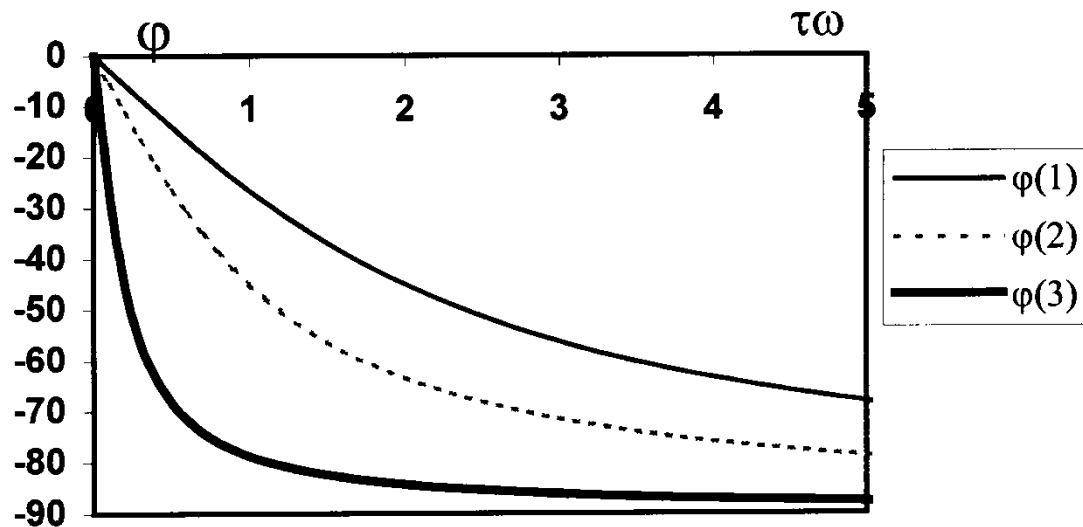
$$\Rightarrow A = \alpha$$

$$\varphi = 0$$

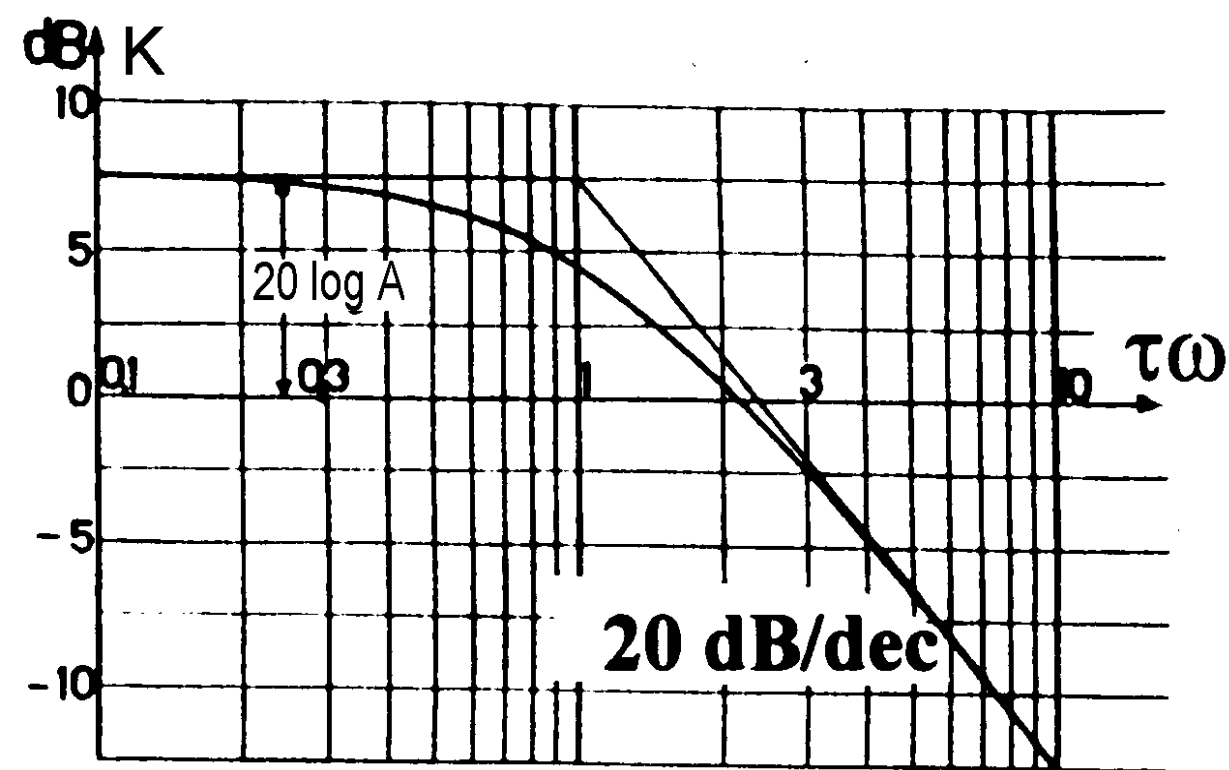
$$\omega = \infty$$

$$\Rightarrow A = 0$$

$$\varphi = -90^\circ = -\pi/2$$







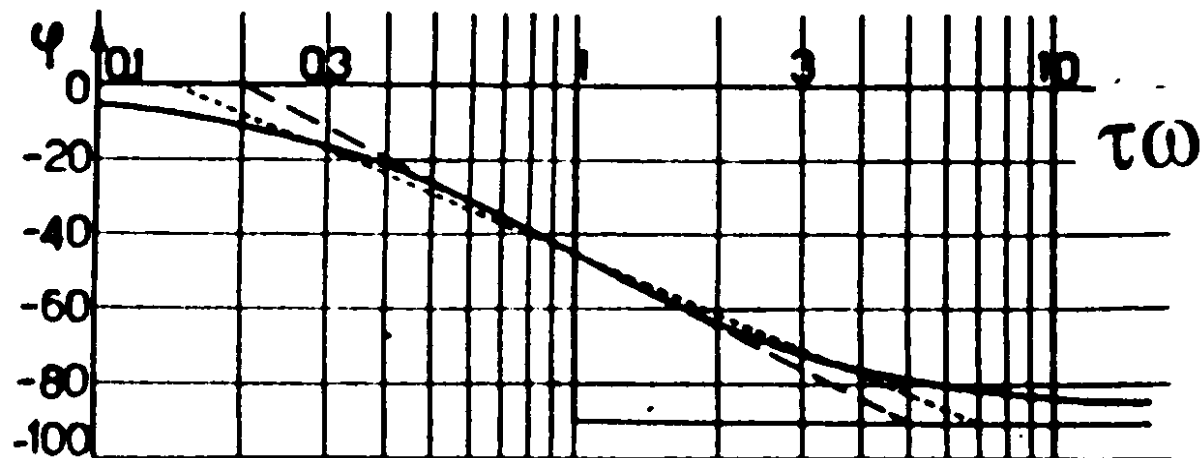
## Bode diagram 1<sup>st</sup> order system

$$K = 20 \log \frac{\alpha}{\sqrt{1 + \tau^2 \omega^2}}$$

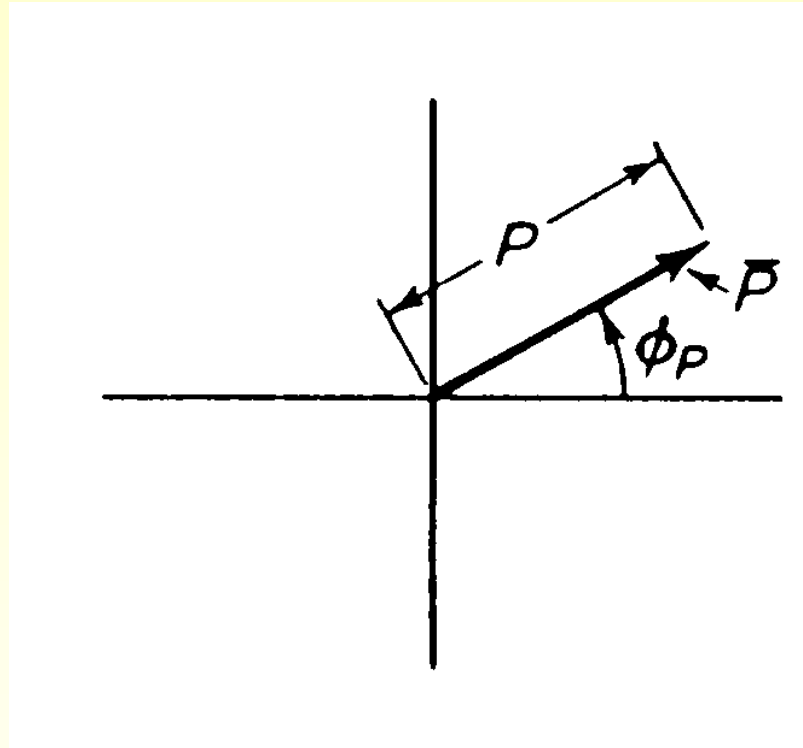
$$\varphi = \arctg (-\tau\omega)$$

Slope = 20 dB/dec

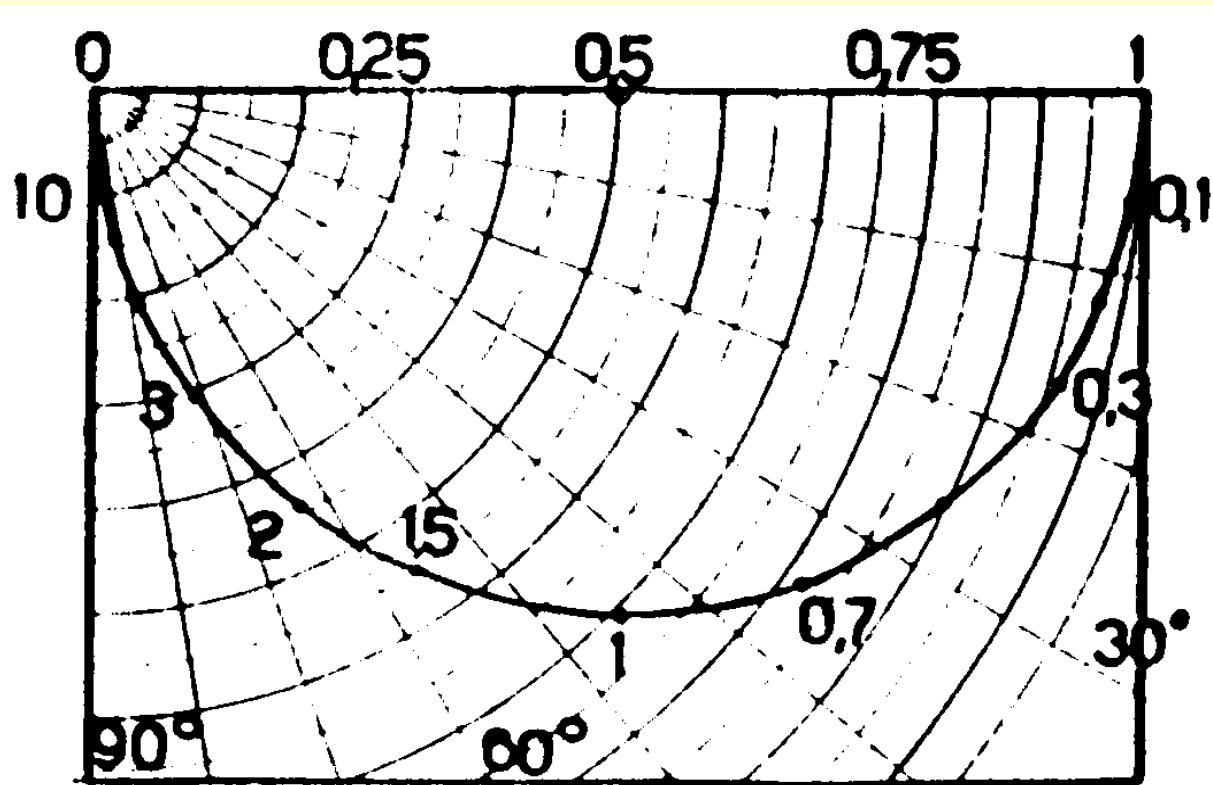
$$\varphi_{\max} = -90^\circ$$



# Phasor representation



# Nyquist diagram first order system



$$A = \frac{\alpha}{\sqrt{(1 + \tan^2 \varphi) \cos^2 \varphi}}$$

$$A = \frac{\alpha}{\sqrt{\cos^2 \varphi + \sin^2 \varphi}}$$

$$A = \alpha \cos \varphi$$

$$\omega = 0 \Rightarrow A = \alpha$$

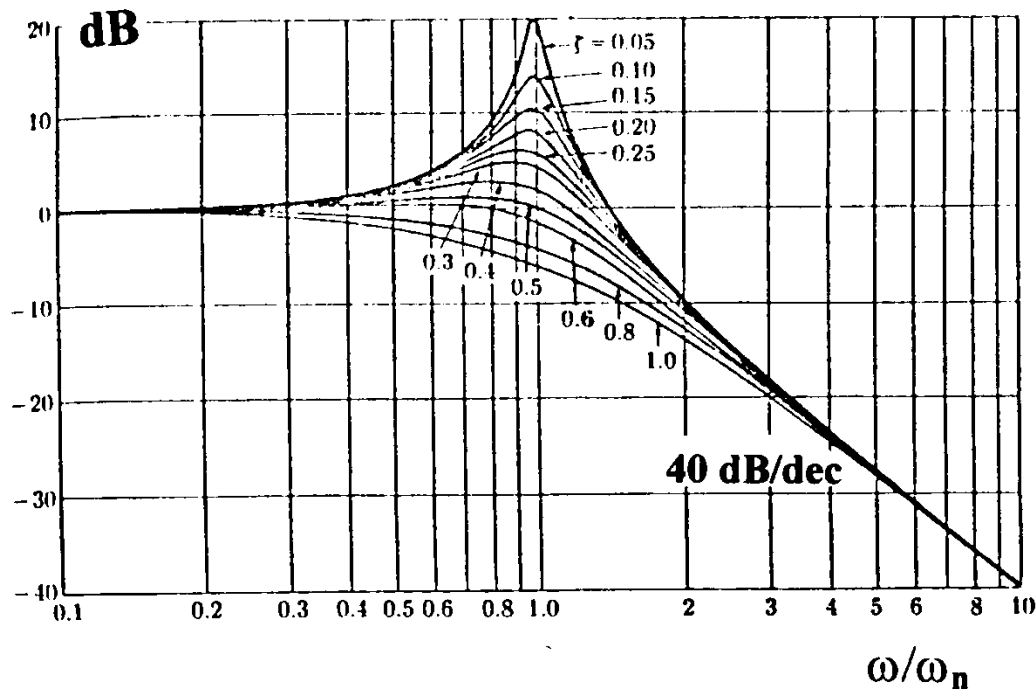
$$\varphi = 0$$

$$\omega = \omega_b \Rightarrow A = \alpha/\sqrt{2}$$

$$\varphi = -\pi/4$$

$$\omega = \infty \Rightarrow A = 0$$

$$\varphi = -\pi/2$$

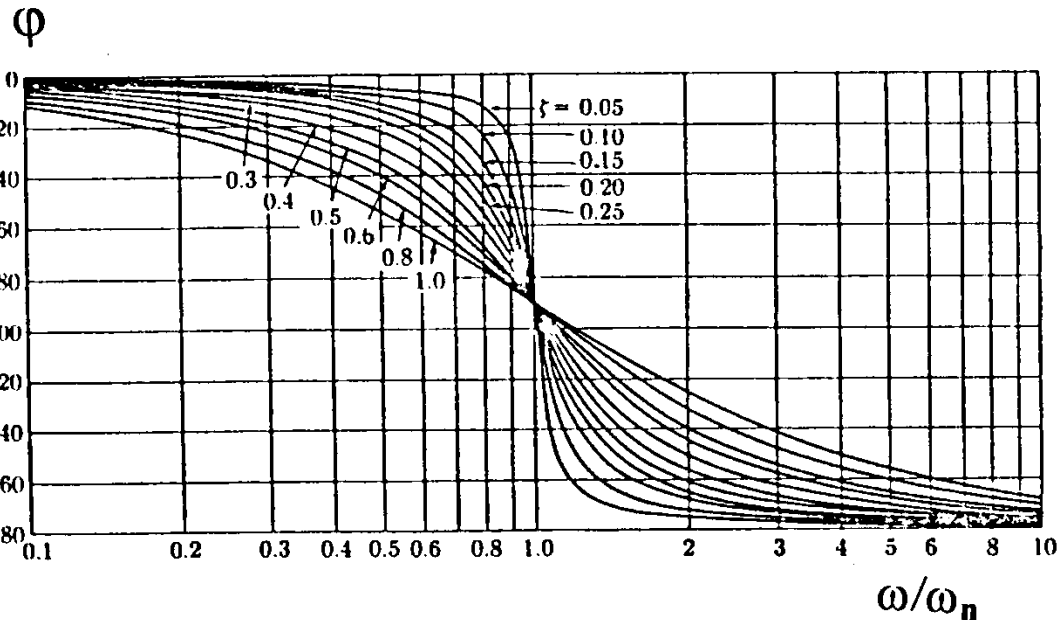
**K**

## Bode diagram 2<sup>nd</sup> order system

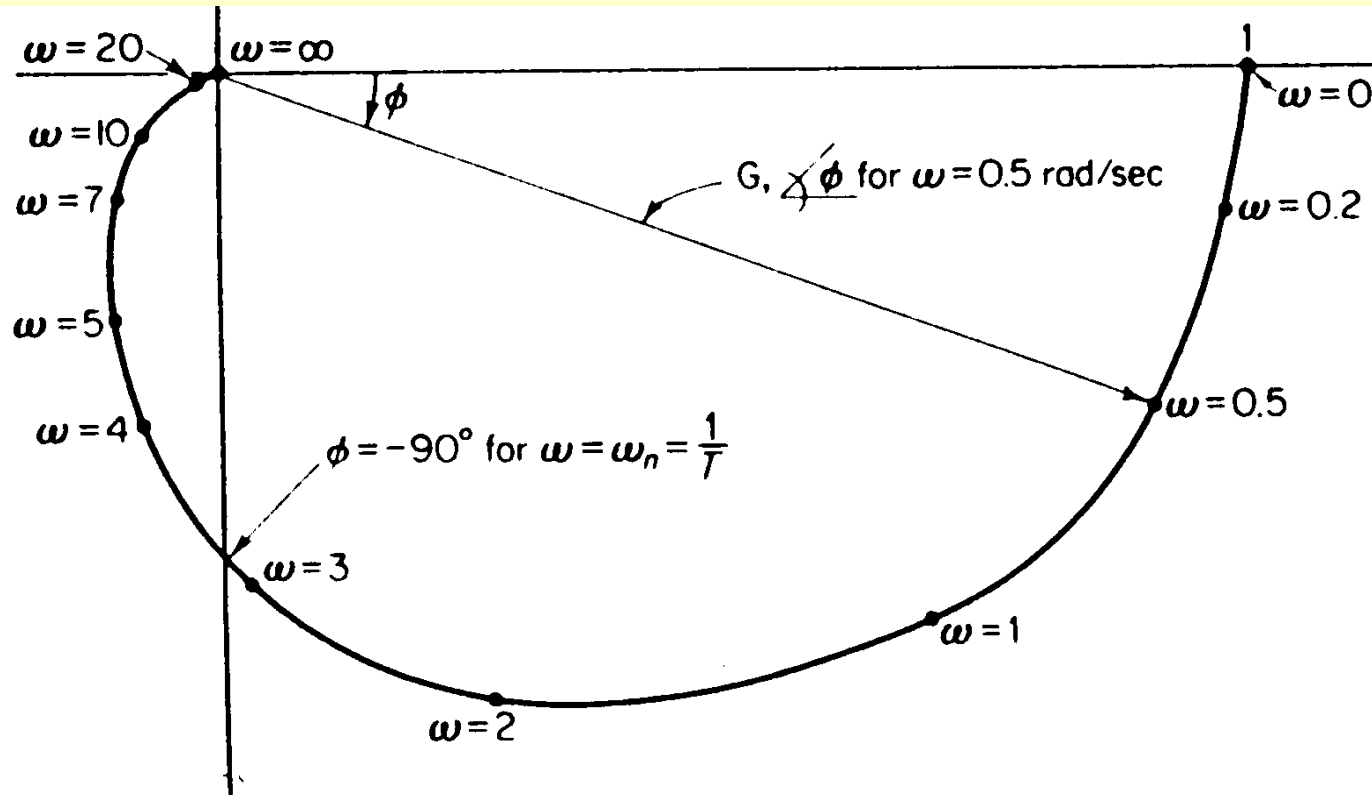
$$\frac{1}{(\omega_n)^2} \frac{d^2 u_2}{dt^2} + \frac{2\xi}{\omega_n} \frac{du_2}{dt} + u_2 = \alpha U$$

Slope = 40 dB/dec

$\varphi_{\max} = -180^\circ$



# Nyquist diagram of second order system

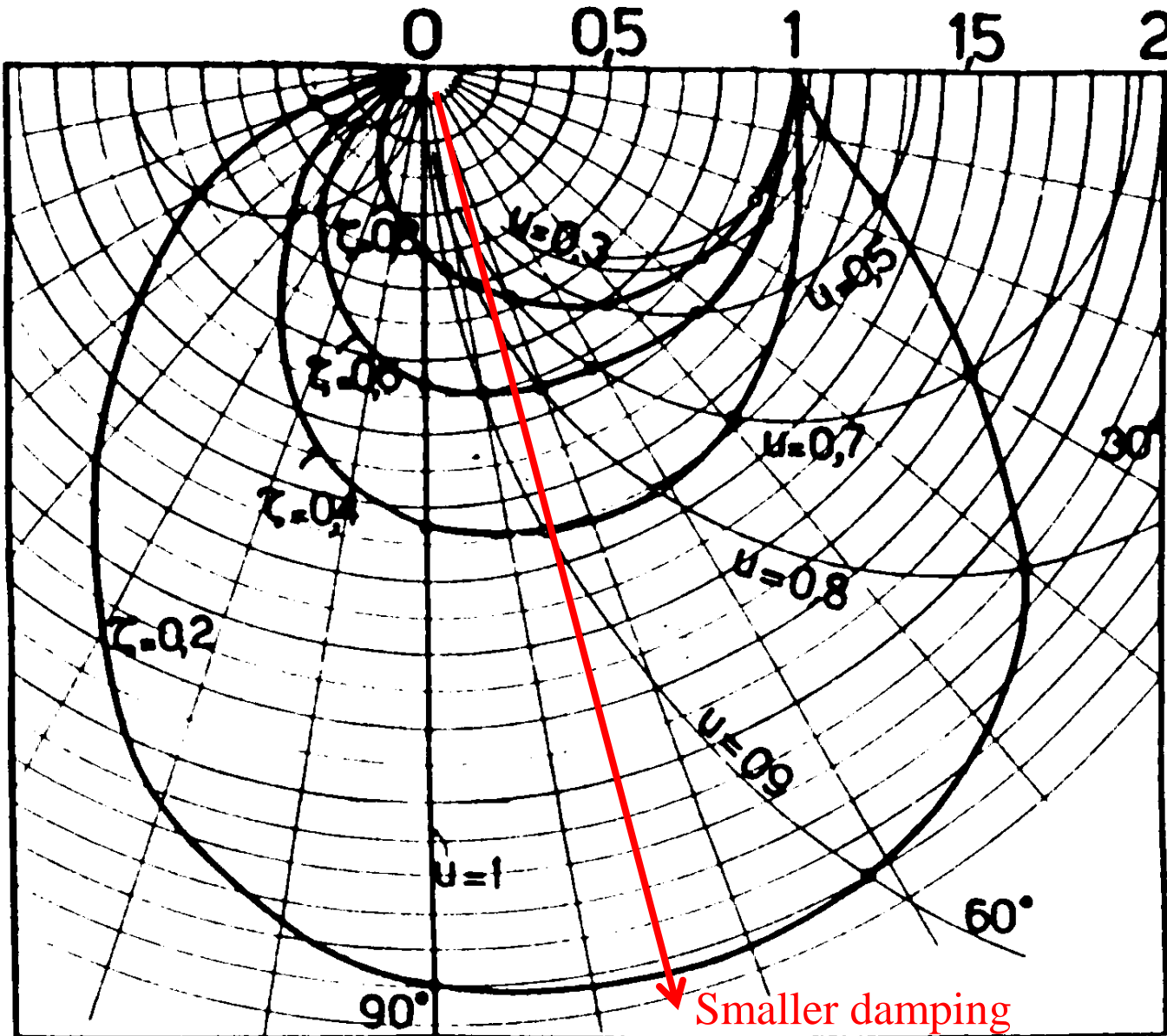


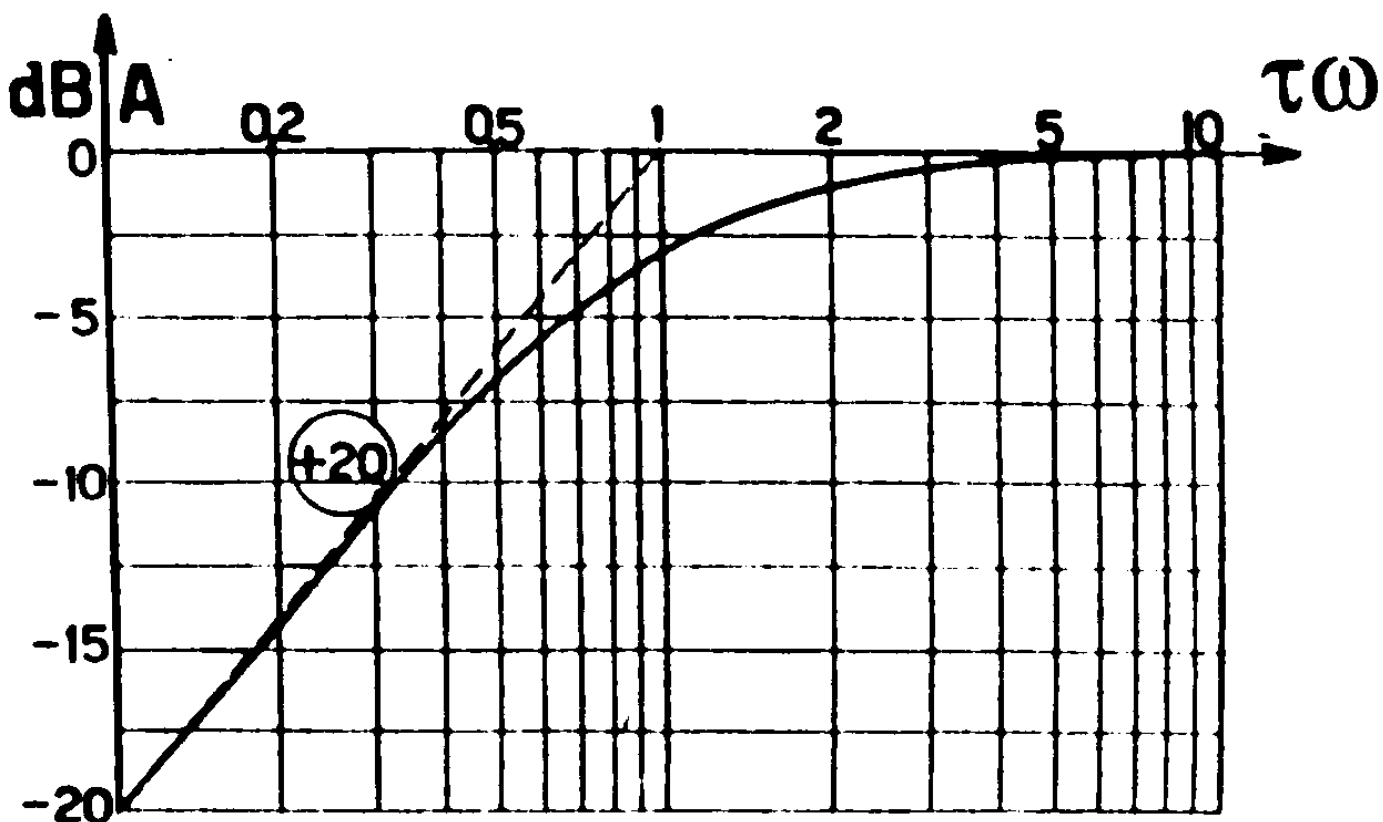
$\omega$ , rad/sec	0	0.2	0.5	1	2	3	4	5	7	10	20	100	$\infty$
$G$	1	0.994	0.96	0.87	0.65	0.49	0.35	0.26	0.16	0.09	0.024	$\sim 0$	0
$\phi$ , degrees	0	-8	-19.5	-38	-67	-87	-102	-113	-128	-142	-160	-176	-180

Frequency response of the system  $\theta_o/\theta_i = 1/(1 + 0.7D + 0.1D^2)$



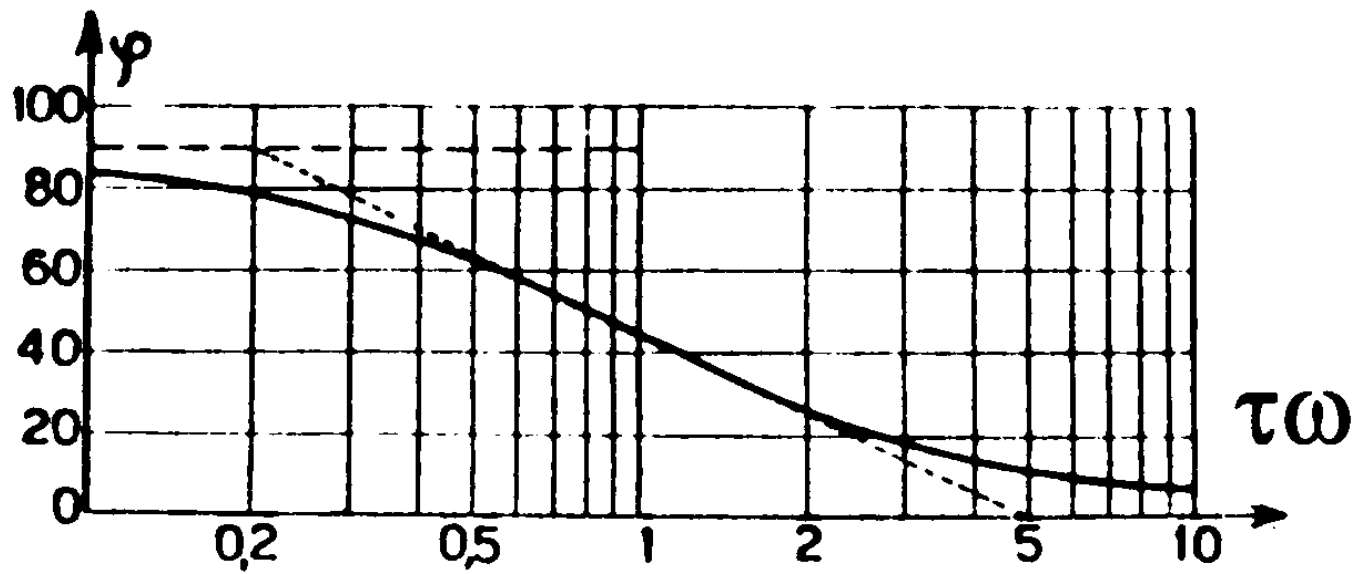
# Nyquist diagram of second order system



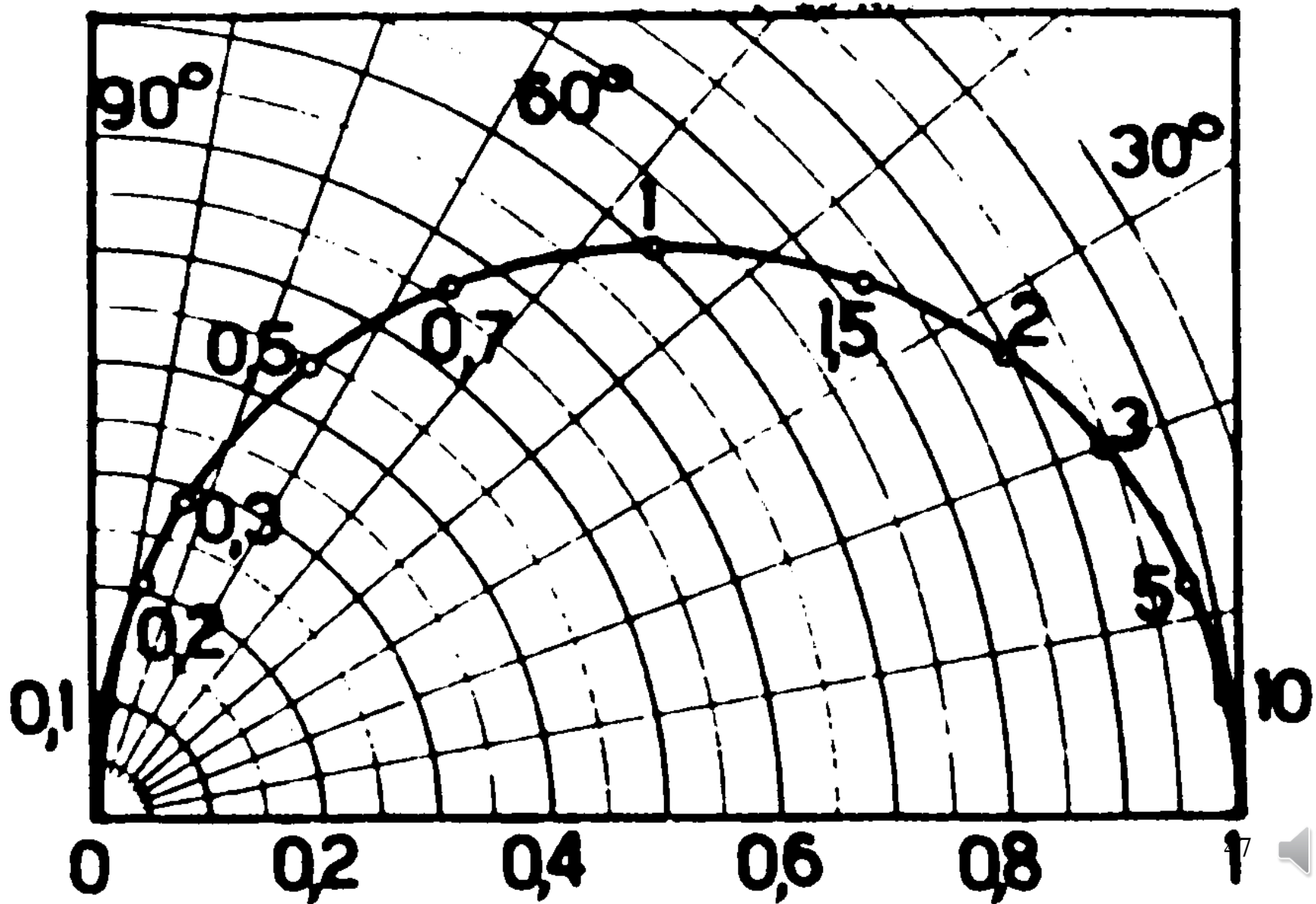


**Bode  
diagram  
system  
order -1**

$$\int u_2 dt = u_1$$

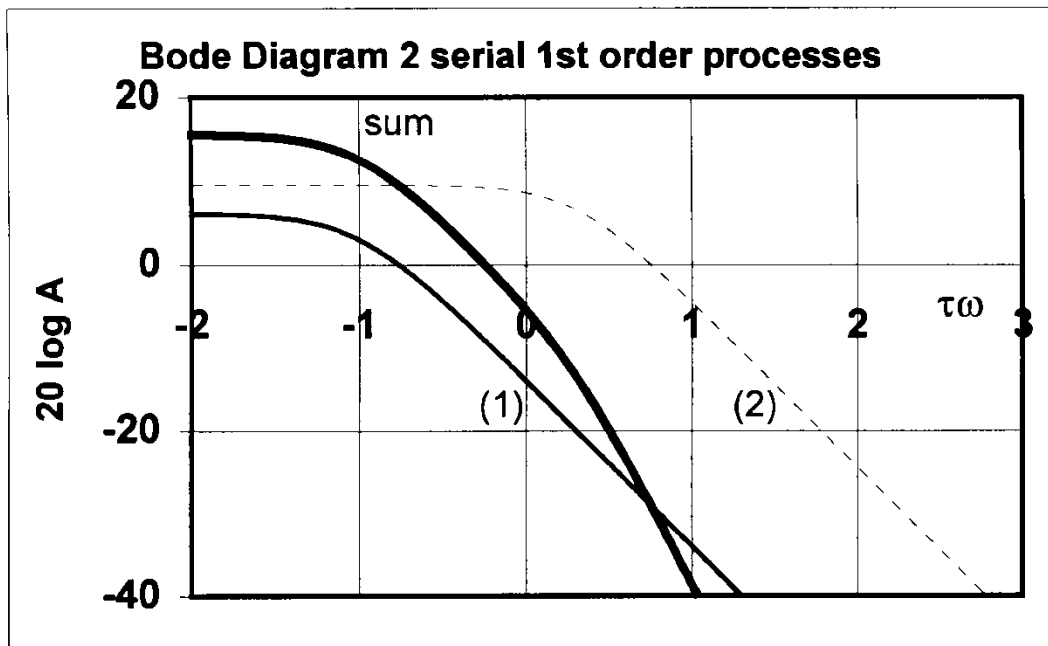


# Nyquist diagram system of order -1





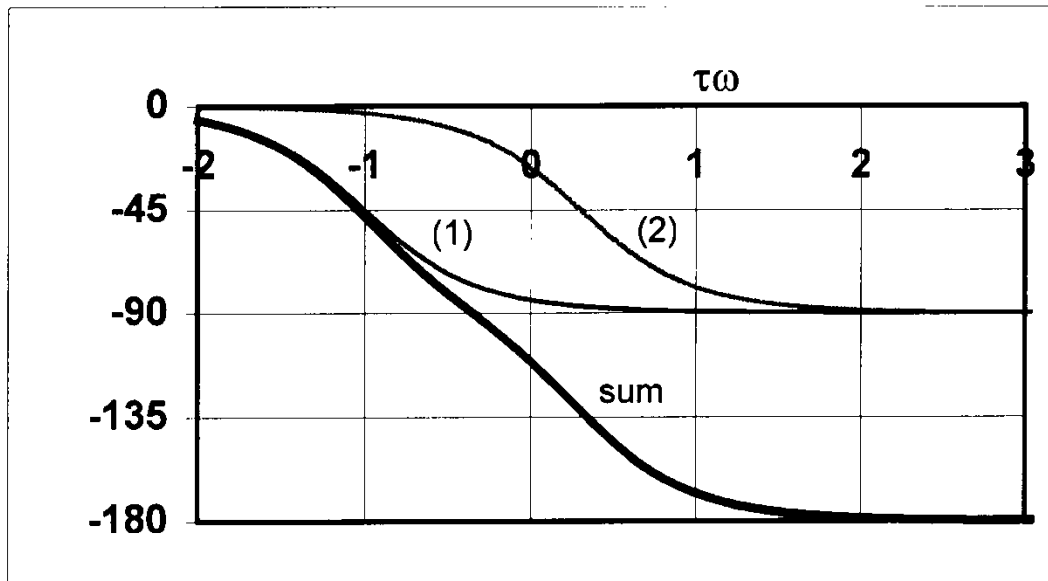
# Bode diagram of 2 systems in series



$$T = T_2 T_1$$

$$A = A_{(1)} \cdot A_{(2)}$$

$$\varphi = \varphi_{(1)} + \varphi_{(2)}$$



$$K = 20 \log A$$

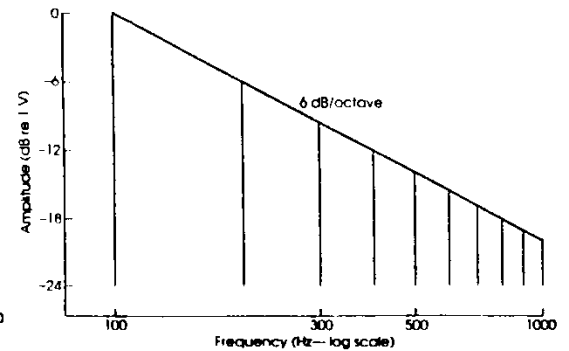
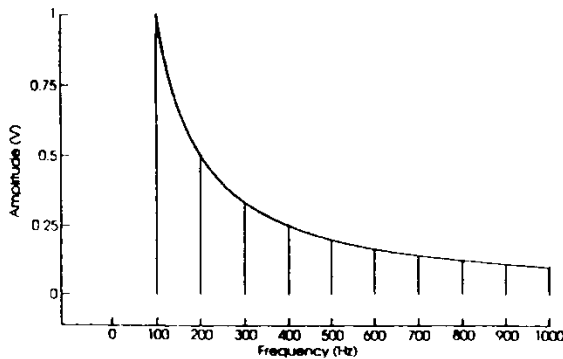
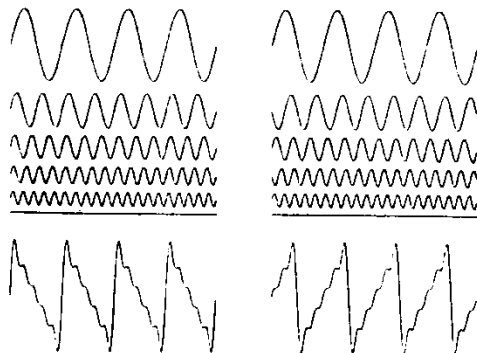
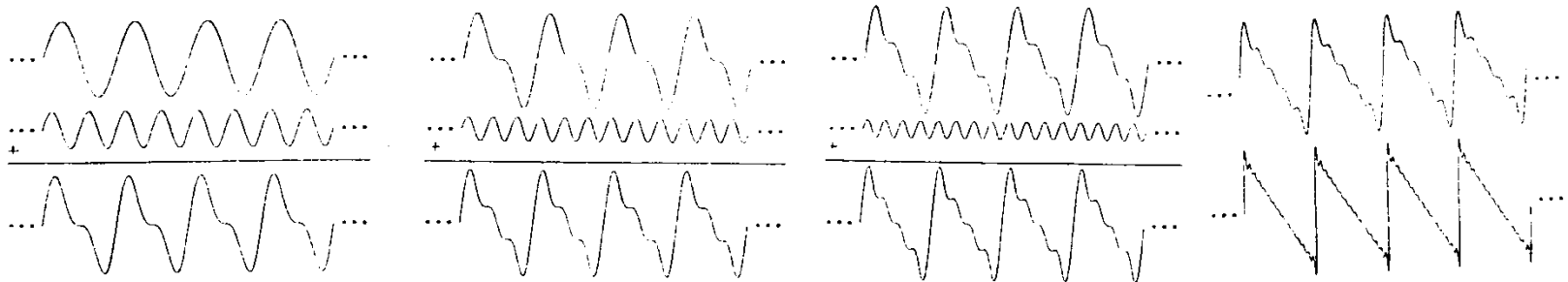
$$K = 20 \log A_{(1)} \cdot A_{(2)}$$

$$K = 20 \log A_{(1)} + 20 \log A_{(2)}$$

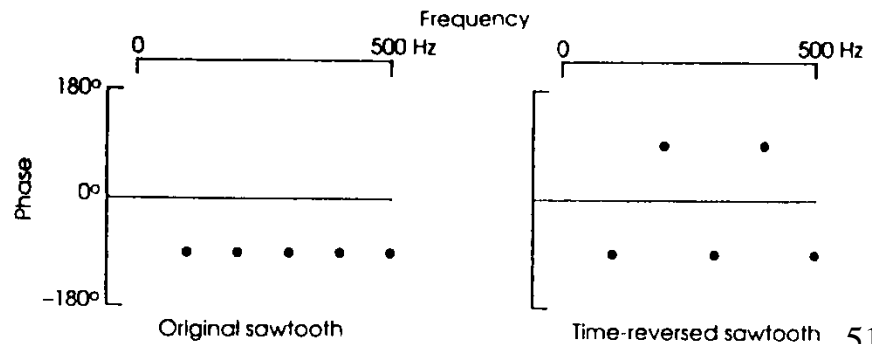
$$K = K_{(1)} + K_{(2)}$$



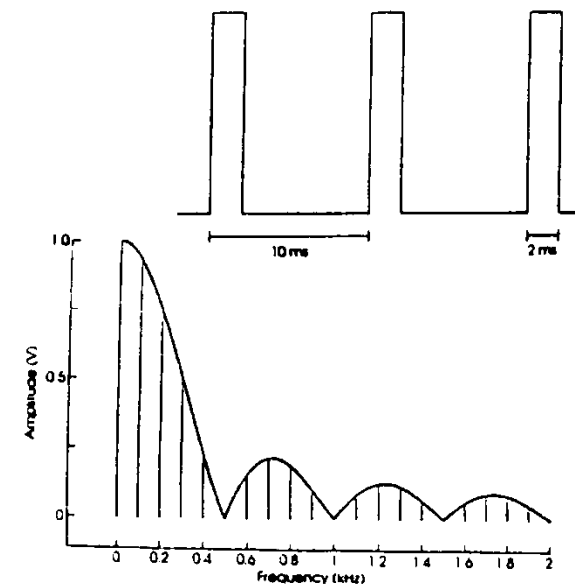
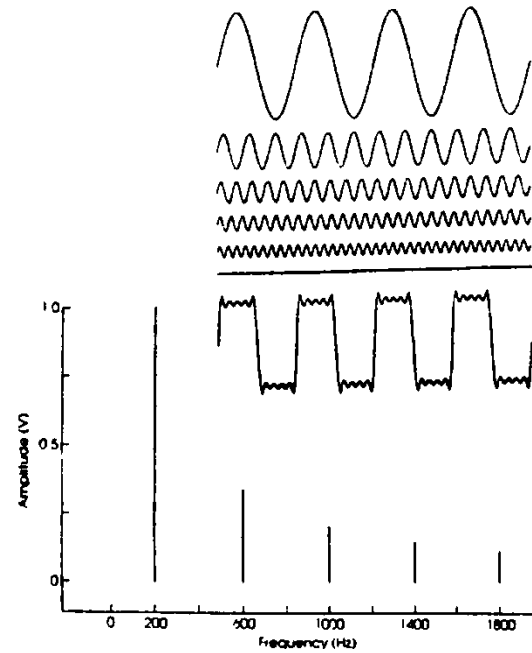
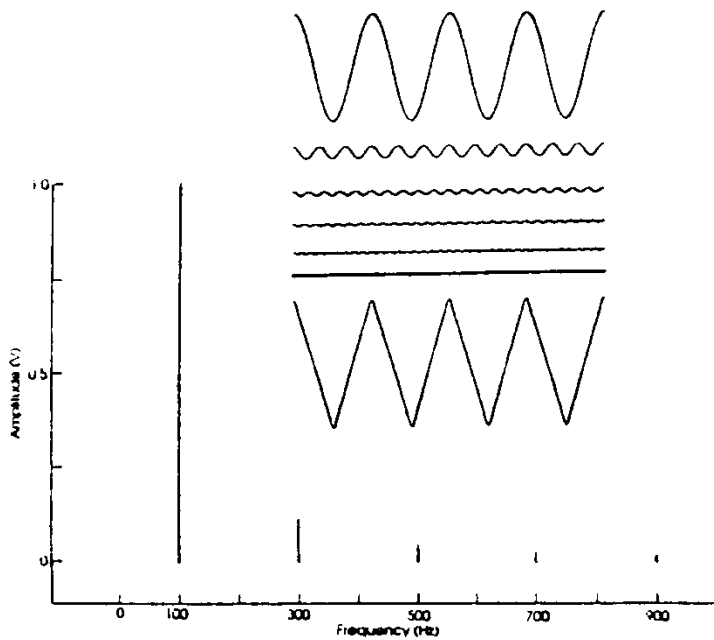
# Fourier series



Frequency (Hz)	Amplitude (V)
100	1
200	1/2
300	1/3
400	1/4
500	1/5
600	1/6

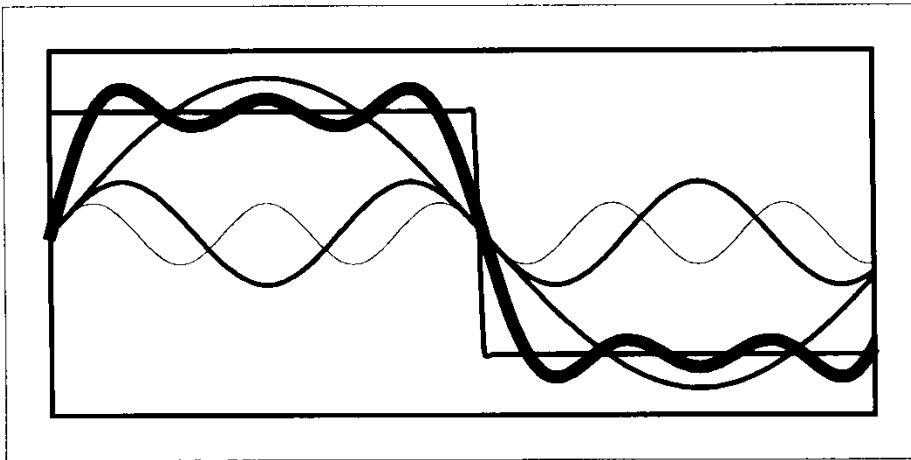


# Examples of Fourier series

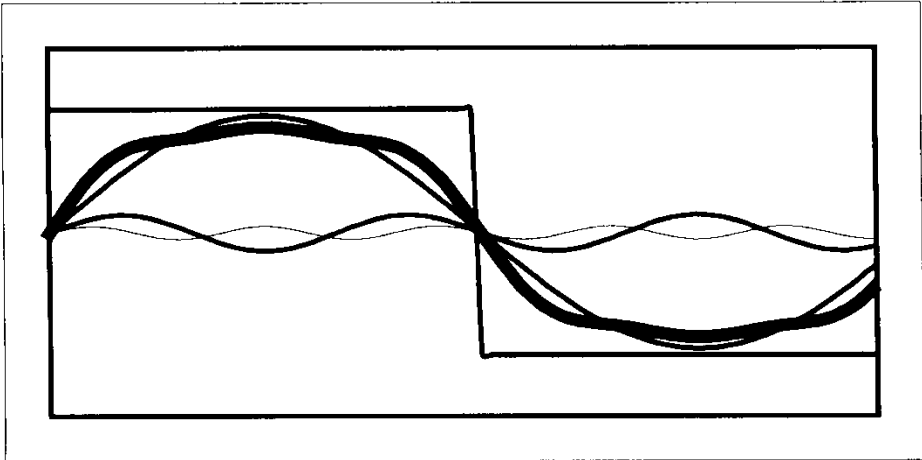


# Fourier analysis of step response

Step input decomposed  
in sinus waves



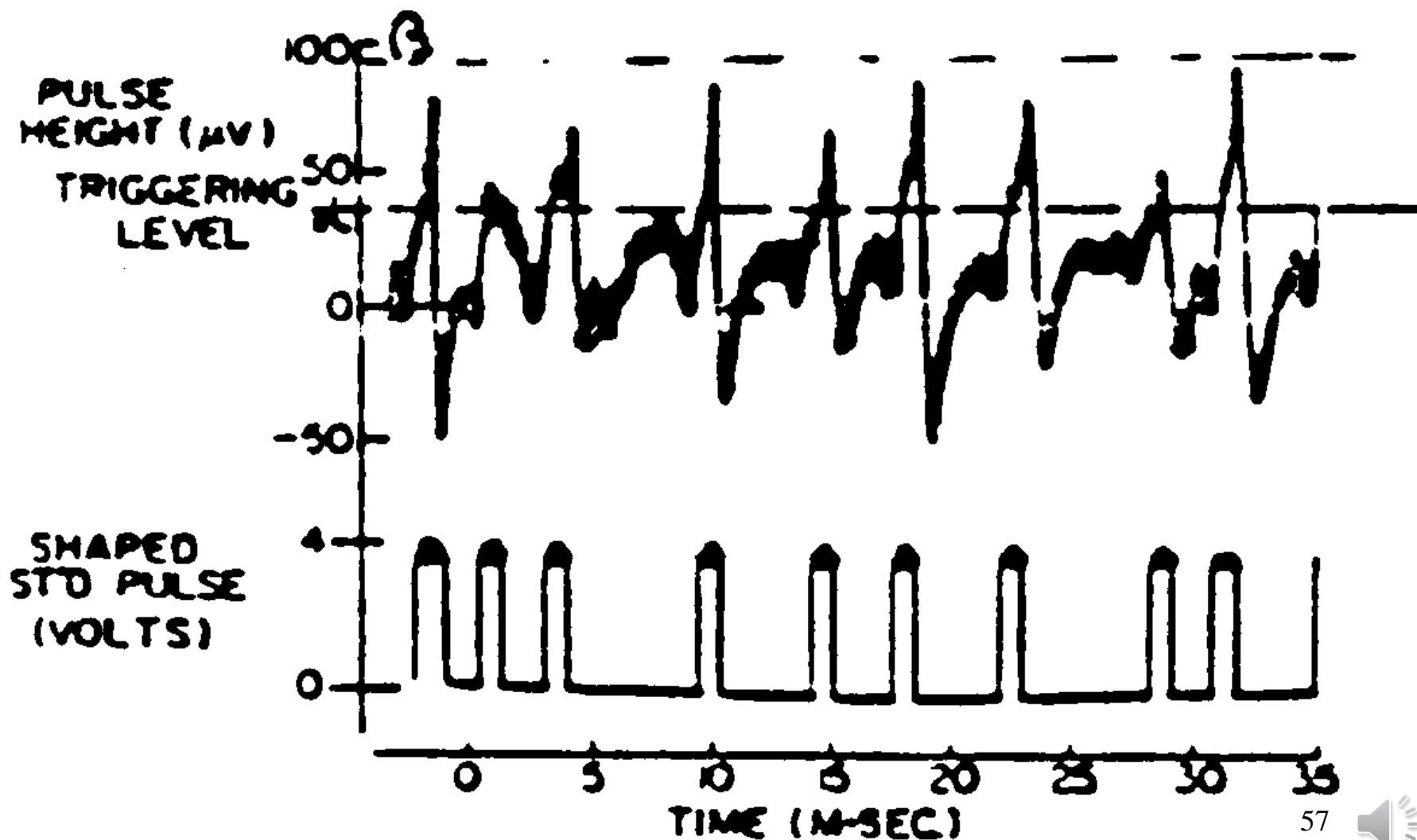
Output signal showing reduction  
of the amplitude for the high  
frequency components



**Example:**  
**Photoreceptor ganglion**  
**of the crayfish**

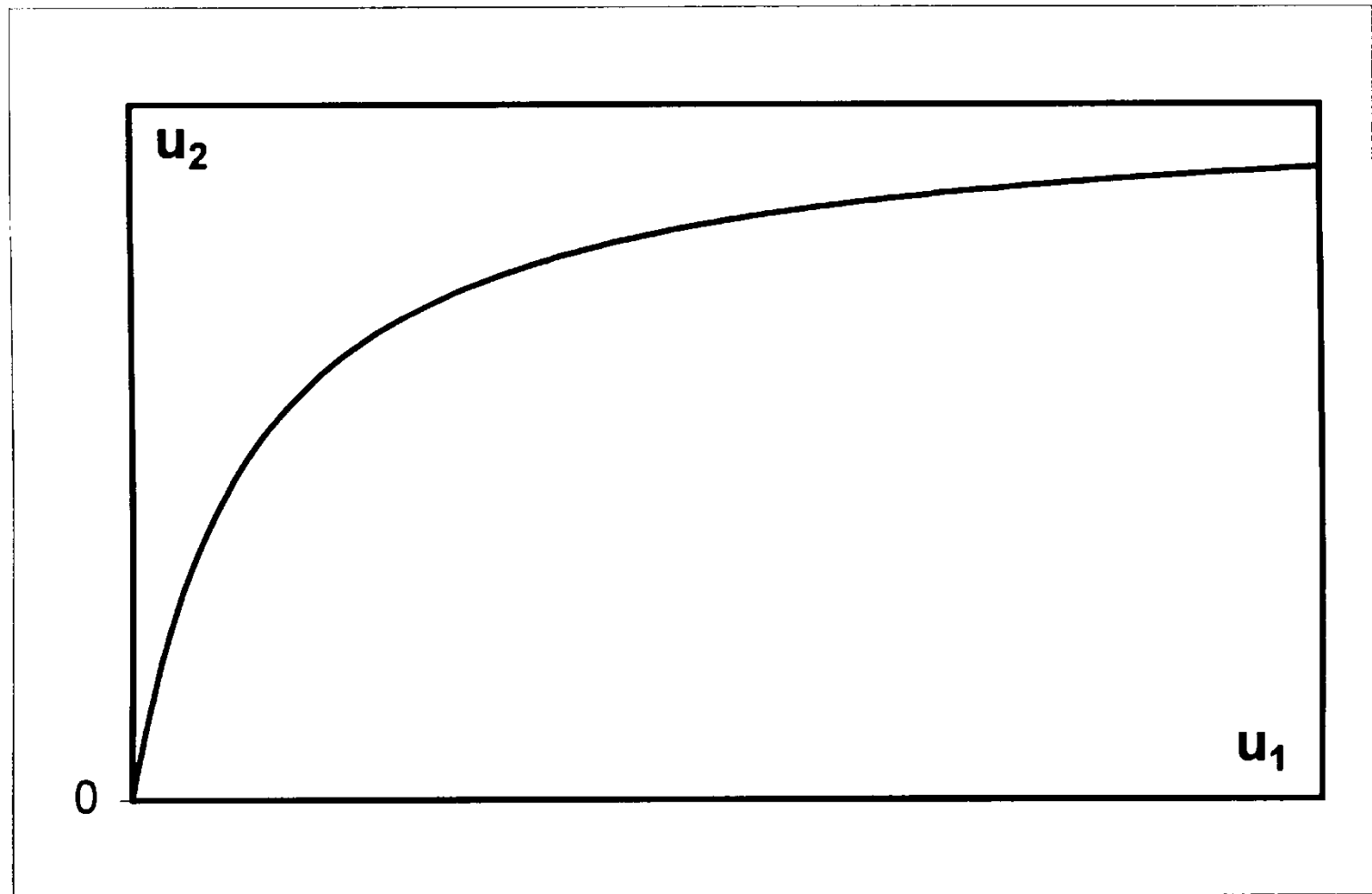


# Crayfish photoreceptor ganglion activity



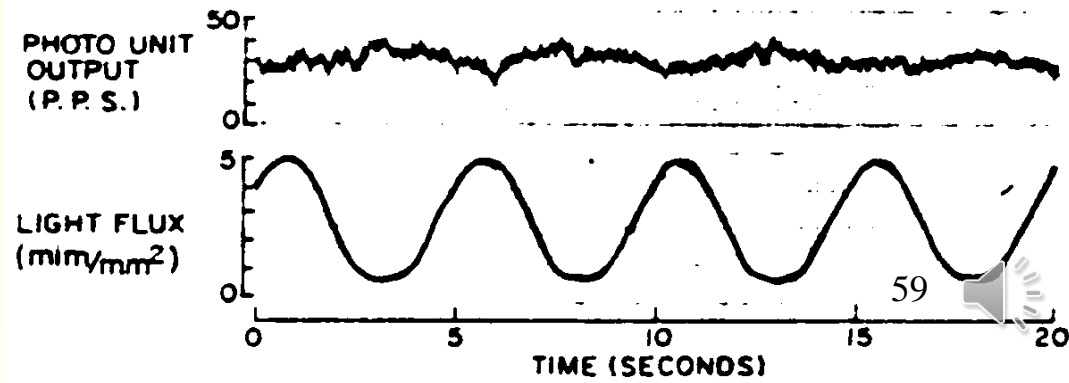
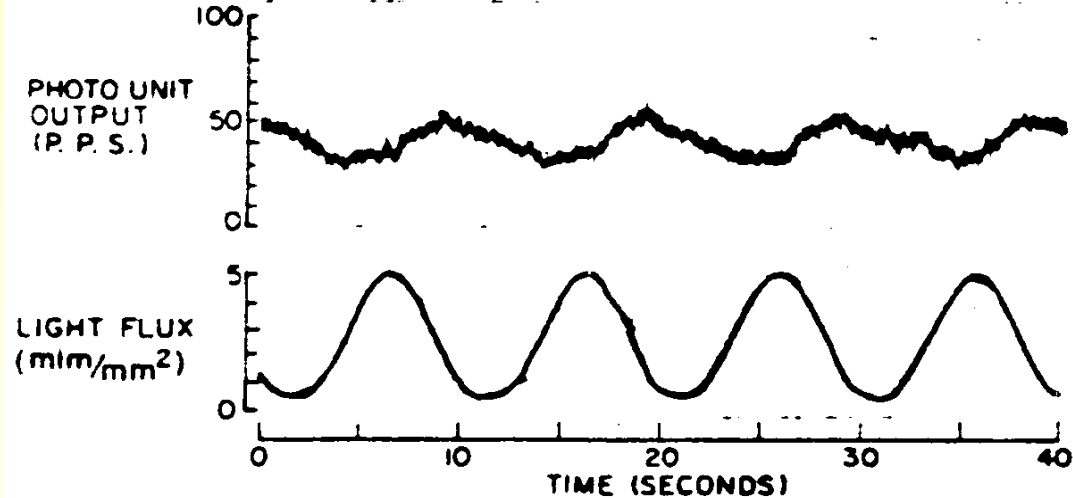
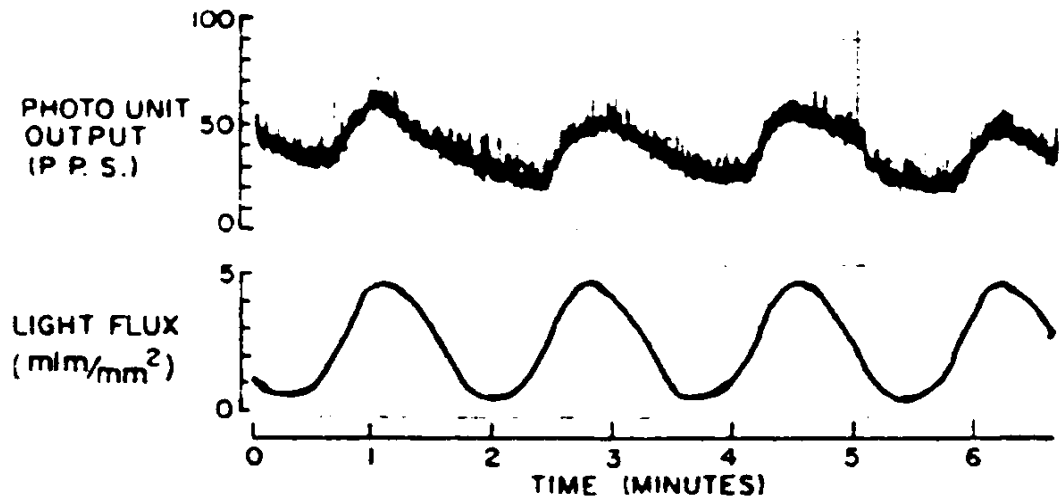
# Crayfish photoreceptor ganglion

## Steady-state transfer function



# Crayfish photoreceptor ganglion

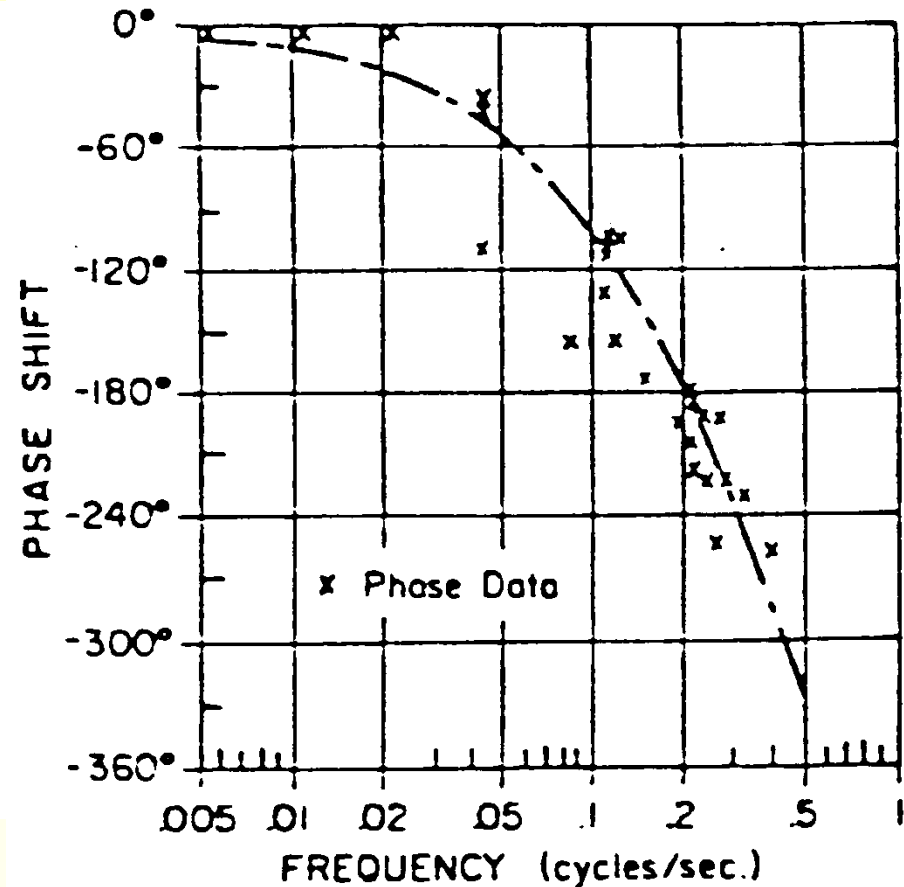
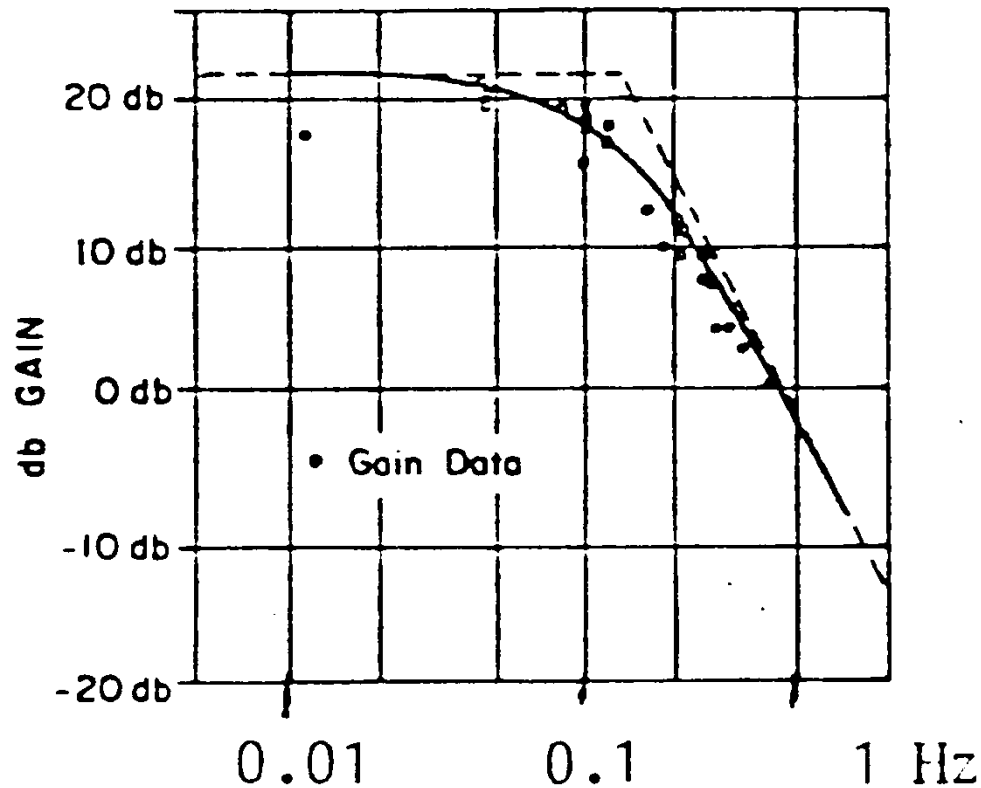
## Response to sinus input





# Crayfish photoreceptor ganglion

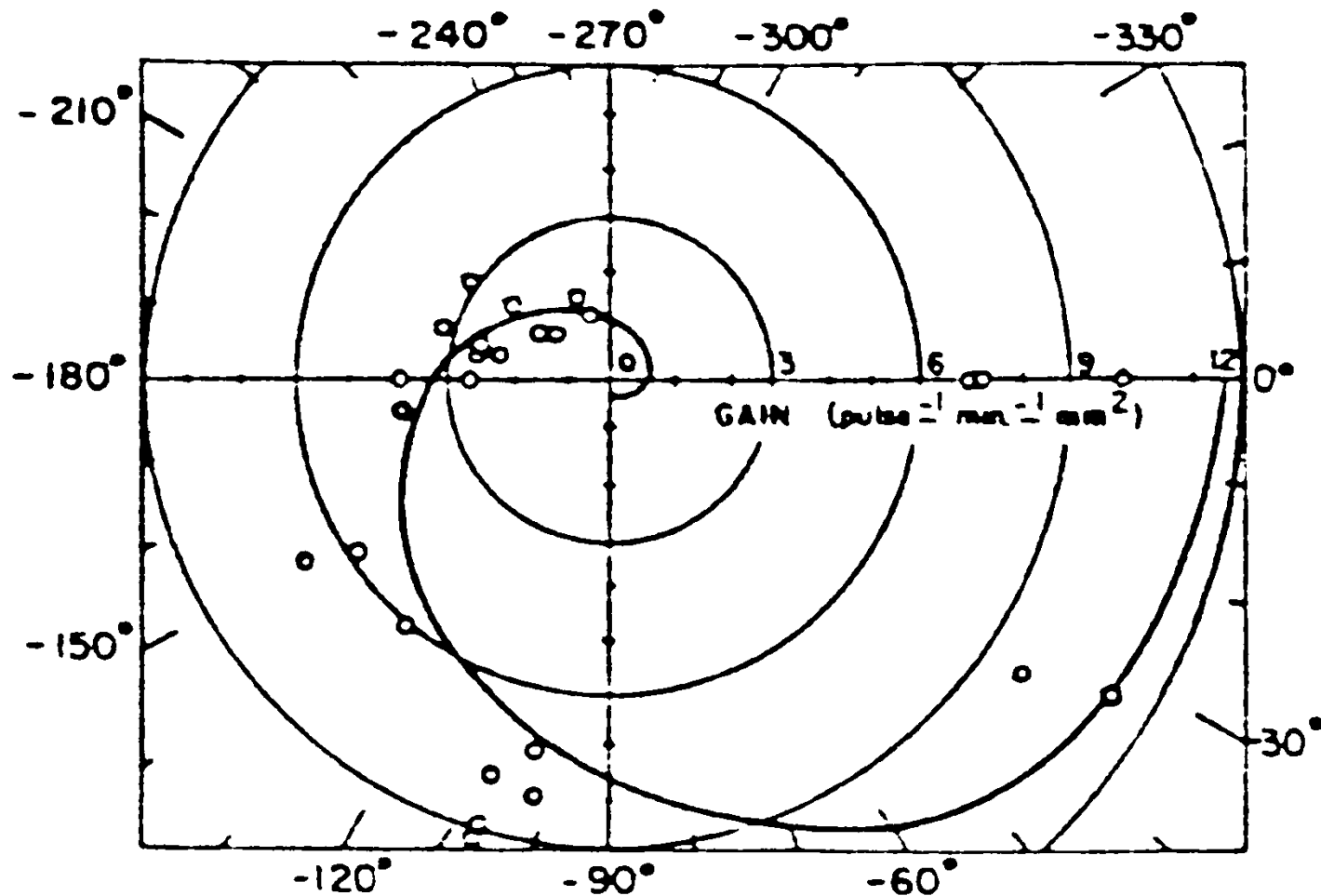
## Bode diagram: example



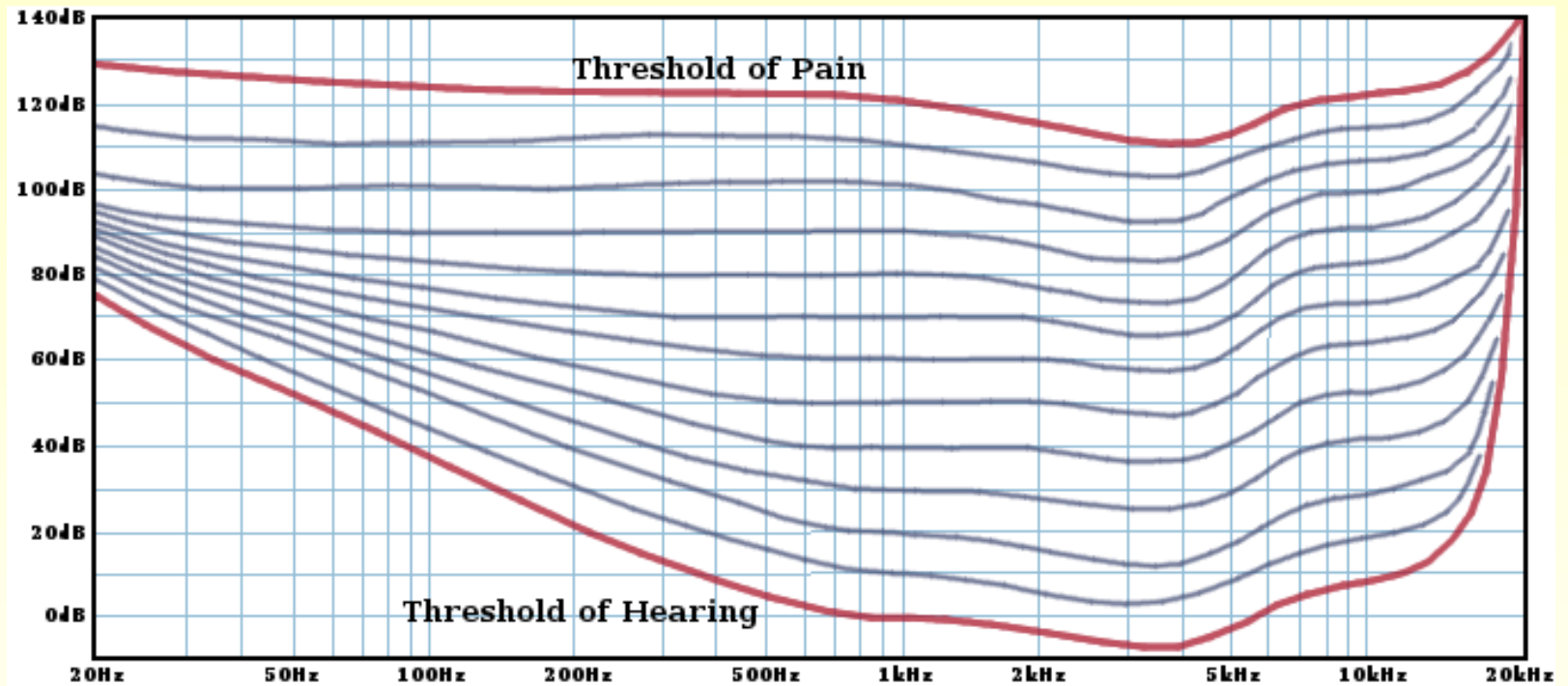
Analysis average results different expts: 20 dB/dec: First order

$K = 22 \text{ dB}$ ,  $\tau = 1.3 \text{ s}$ ,  $\theta = 0.5$

# Crayfish photoreceptor ganglion Nyquist diagram



# Human hearing frequency response



# Human hearing frequency response

