



SUPERINTENDENCIA
VALORES Y SEGUROS

REF.: **Deroga y precisa situación de
vigencia de normativa que indica.**

NORMA DE CARÁCTER GENERAL N° 4 2 2 26 DIC 2017

Esta Superintendencia, en uso de sus facultades legales y teniendo en consideración que existen un conjunto de normativas cuyas disposiciones han ido perdiendo coherencia y consistencia producto de las reformas legales que se han introducido al marco jurídico en el último tiempo, ha estimado pertinente derogar las siguientes normativas:

- **Normas de Carácter General:** N° 56 y N° 60 de 1995; N° 125 de 2001; N° 126, N° 131 y N° 148 de 2002; N° 155 de 2003; N° 174 de 2004; N° 197 de 2006;
- **Circulares:** N° 71 de 1981; N° 134, N° 169 y N° 178, todas de 1982, N° 412 de 1984; N° 678 y N° 687, ambas de 1987; N° 778 y N° 813, ambas de 1988; N° 980 de 1990; N° 1.028 de 1991; N° 1.062, N° 1.064 y N° 1.087, todas de 1992; N° 1.351 de 1997; N° 1.370 de 1998; N° 1.534 y N° 1.562, ambas de 2001; N° 1.738 de 2005 y N° 1.791 de 2006;
- **Oficios Circulares:** N° 3.560 de 1980; N° 290 de 1983; N° 216 de 1985; N° 2.388 de 1986; N° 639 de 1989; N° 1.368 de 1993; N° 5.989, N° 7.197 y N° 7.920, todos de 2000; N° 203 de 2003; N° 272 de 2005; N° 422 de 2007; y N° 496 de 2009;

Asimismo, este Servicio ha estimado pertinente precisar que las instrucciones contenidas en el Anexo de esta normativa, a la presente fecha, ya no se encuentran vigentes.

VIGENCIA

Las disposiciones contenidas en la presente normativa rigen a contar de esta fecha.


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ANEXO

Normas de Carácter General: N° 1 de 1981; N° 24 de 1988; N° 28 de 1989; N° 35 de 1991; N° 51 de 1994; N° 66 y N° 68, ambas de 1996; N° 81 y N° 86, ambas de 1999; N° 95 de 2000; N° 138 de 2002; N° 181 y N° 183, ambas de 2005; N° 205 de 2007; N° 220 de 2008; N° 239 de 2009; N° 290 de 2010; N° 307 y N° 321, ambas de 2011; N° 332 y N° 334, ambas de 2012.

Circulares:

| Año de emisión | Números |
|----------------|--|
| 1979 | 1.529 y 1.538 |
| 1980 | 1.571 |
| 1981 | 1, 5, 6, 10, 16, 19, 26, 34, 36, 37, 42, 48, 49, 58, 63, 64, 70, 78, 87, 88, 89, 90, 93, 94, 98, 101 y 106 |
| 1982 | 112, 126, 130, 132, 136, 137, 143, 144, 147, 160, 164, 174, 176, 179, 181, 183, 188, 195, 201, 204, 219, 221, 234, 235, 236, 242, 251, 265 y 266 |
| 1983 | 279, 281, 283, 294, 295, 302, 305, 311, 313, 317, 319, 320, 321, 322, 324, 330, 333, 337, 340, 344, 360, 364, 365, 370 y 374 |
| 1984 | 379, 380, 383, 389, 391, 393, 395, 400, 404, 407, 408, 410, 413, 414, 420, 428, 430, 435, 438, 441, 444, 449, 453, 458, 461, 462 y 464 |
| 1985 | 470, 473, 476, 479, 487, 492, 494, 497, 507, 508, 509, 520, 521, 525, 529, 532, 539, 545, 548, 550, 554, 565, 566, 568, 574 y 577 |
| 1986 | 580, 586, 582, 583, 590, 591, 597, 603, 606, 613, 614, 616, 620, 622, 623, 628, 633, 635, 642, 643, 650, 658, 661 y 667 |
| 1987 | 675, 676, 679, 680, 685, 691, 699, 701, 702, 703, 709, 717, 719, 721, 722, 725, 728, 734, 735, 737, 742, 752, 753, 754, 761 y 763 |
| 1988 | 764, 765, 767, 768, 776, 779, 780, 783, 789, 791, 793, 796, 800, 805, 806, 808, 810, 812, 816, 823, 825, 827, 829, 831 y 843 |
| 1989 | 844, 846, 849, 850, 855, 858, 862, 863, 866, 869, 870, 871, 874, 876, 878, 881, 882, 883, 884, 890, 891, 894, 896, 902, 906, 907, 912 y 914 |
| 1990 | 919, 920, 924, 934, 936, 940, 941, 943, 945, 952, 957, 959, 961, 962, 964, 966, 968, 969, 972, 975, 977, 979, 982 y 983 |

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| 1991 | 985, 989, 993, 998, 1.000, 1.007, 1.010, 1.011, 1.014, 1.017, 1.019, 1.021, 1.023, 1.031, 1.032, 1.035, 1.036, 1.039, 1.043, 1.049, 1.050 y 1.052 |
| 1992 | 1.055, 1.057, 1.059, 1.065, 1.066, 1.067, 1.070, 1.071, 1.075, 1.078, 1.079, 1.082, 1.086, 1.090, 1.091, 1.092, 1.093, 1.095, 1.096, 1.100, 1.103 y 1.104 |
| 1993 | 1.109, 1.111, 1.112, 1.113, 1.115, 1.117, 1.118, 1.120, 1.124, 1.128, 1.129, 1.130, 1.133, 1.135, 1.138, 1.139, 1.141, 1.144 y 1.145 |
| 1994 | 1.148, 1.150, 1.152, 1.153, 1.154, 1.155, 1.156, 1.157, 1.159, 1.161, 1.162, 1.163, 1.164, 1.165, 1.166, 1.168, 1.170, 1.176, 1.178, 1.180, 1.181, 1.182, 1.184, 1.186, 1.190, 1.191 y 1.192 |
| 1995 | 1.195, 1.196, 1.200, 1.205, 1.213, 1.214, 1.215, 1.216, 1.223, 1.228, 1.230, 1.232, 1.236, 1.238, 1.243, 1.245, 1.247, 1.249, 1.251, 1.252 y 1.253 |
| 1996 | 1.257, 1.258, 1.260, 1.262, 1.265, 1.267, 1.269, 1.273, 1.275, 1.276, 1.279, 1.280, 1.282, 1.283, 1.286, 1.287, 1.288, 1.293, 1.297, 1.299, 1.301, 1.306, 1.309, 1.310 y 1.311 |
| 1997 | 1.314, 1.315, 1.316, 1.319, 1.321, 1.322, 1.323, 1.324, 1.325, 1.329, 1.332, 1.335, 1.336, 1.337, 1.341, 1.343, 1.346, 1.349, 1.350, 1.353, 1.357 y 1.359 |
| 1998 | 1.361, 1.365, 1.366, 1.367, 1.368, 1.374, 1.378, 1.381, 1.382, 1.385, 1.386, 1.387, 1.392, 1.394, 1.396, 1.397, 1.398, 1.399, 1.401, 1.402, 1.405, 1.407, 1.409, 1.412, 1.413, 1.414, 1.415, 1.416 y 1.417 |
| 1999 | 1.419, 1.425, 1.426, 1.427, 1.428, 1.431, 1.432, 1.433, 1.434, 1.437, 1.438, 1.440, 1.442, 1.443, 1.444, 1.445, 1.447, 1.449, 1.454 y 1.458 |
| 2000 | 1.463, 1.466, 1.467, 1.469, 1.470, 1.472, 1.477, 1.478, 1.479, 1.480, 1.482, 1.485, 1.490, 1.491, 1.492, 1.495, 1.496, 1.498, 1.501, 1.503, 1.505, 1.506, 1.509 y 1.510 |
| 2001 | 1.517, 1.522, 1.523, 1.528, 1.529, 1.533, 1.536, 1.539, 1.544, 1.546, 1.549, 1.551, 1.557, 1.560, 1.563, 1.568, 1.569, 1.574 y 1.576 |
| 2002 | 1.591, 1.592, 1.594, 1.596, 1.600, 1.604, 1.606, 1.613, 1.616, 1.619, 1.620, 1.622, 1.623, 1.624, 1.627, 1.630 y 1.636 |
| 2003 | 1.642, 1.649, 1.654, 1.656, 1.660, 1.666, 1.668, 1.672, 1.675, 1.676, 1.682, 1.686, 1.690, 1.697, 1.698 y 1.699 |
| 2004 | 1.701, 1.707, 1.709, 1.712, 1.717, 1.719, 1.723, 1.726, 1.727, 1.728, 1.730, 1.733 y 1.734 |
| 2005 | 1.735, 1.736, 1.742, 1.746, 1.748, 1.750, 1.752, 1.757, 1.764, 1.767, 1.773, 1.776, 1.778 y 1.780 |

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| 2006 | 1.788, 1.792, 1.798, 1.801, 1.803, 1.805, 1.807, 1.808, 1.811, 1.814, 1.817 y 1.820 |
| 2007 | 1.827, 1.830, 1.831, 1.832, 1.833, 1.837, 1.842, 1.843, 1.845, 1.847, 1.851, 1.852, 1.854, 1.855 y 1.856 |
| 2008 | 1.861, 1.866, 1.867, 1.871, 1.873, 1.880, 1.881, 1.884, 1.886, 1.892, 1.900, 1.902 y 1.904 |
| 2009 | 1.908, 1.911, 1.916, 1.918, 1.923, 1.925, 1.927, 1.929, 1.931, 1.937, 1.942, 1.948, 1.949, 1.952 y 1.955 |
| 2010 | 1.958, 1.969, 1.973, 1.975, 1.976, 1.977, 1.978, 1.982, 1.984, 1.987, 1.989, 1.991, 1.993 y 2.000 |
| 2011 | 2.001, 2.004, 2.008, 2.012, 2.014, 2.021, 2.028, 2.031, 2.034, 2.035, 2.036, 2.039, 2.046, 2.048 y 2.051 |
| 2012 | 2.055, 2.060, 2.065, 2.069, 2.071, 2.074, 2.079, 2.081, 2.082, 2.083, 2.089, 2.091 y 2.092 |
| 2013 | 2.093, 2.096, 2.098, 2.100, 2.104, 2.107, 2.111, 2.116, 2.117, 2.119, 2.129 y 2.132 |
| 2014 | 2.136, 2.144, 2.146, 2.147, 2.150, 2.152, 2.156, 2.158, 2.159, 2.160, 2.162 y 2.165 |
| 2015 | 2.170, 2.174, 2.175, 2.176, 2.177, 2.178, 2.181, 2.182, 2.186, 2.188, 2.190 y 2.192 |
| 2016 | 2.194, 2.198, 2.199, 2.201, 2.203, 2.204, 2.205, 2.206, 2.207, 2.209, 2.214 y 2.215 |

Oficios Circulares:

| Año de emisión | Números |
|-----------------------|---|
| 1979 | 3.251 |
| 1982 | 449 |
| 1983 | 619 |
| 1985 | 295, 307, 414, 429, 1.566, 3.310, 3.531 y 4.790 |

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| 1986 | 1.952 |
| 1987 | 2.506 y 3.518 |
| 1988 | 882, 917, 1.317, 1.851, 3.992 y 4.334 |
| 1989 | 286, 468, 2.899 y 3.980 |
| 1990 | 172, 777, 4.306, 4.313, 4.657 y 4.659 |
| 1991 | 2.274, 3.478 y 5.588 |
| 1992 | 221, 456, 1.274 y 4.052 |
| 1993 | 1.188 y 4.506 |
| 1994 | 425, 825, 829, 1.279, 1.317, 1.640, 3.801 y 4.192 |
| 1995 | 703, 839, 3.630 y 5.385 |
| 1997 | 238, 4.135, 4.386, 4.709 y 5.112 |
| 1998 | 744, 1.633, 2.243, 3.394, 4.572, 4.573, 4.574, 4.575, 5.048, 5.145, 5.211 y 5.294 |
| 1999 | 65, 66, 1.994, 2.089, 6.489, 2.327, 2.585, 2.701 y 7.312 |
| 2000 | 64, 77, 177, 468, 684, 726, 994, 1.219, 1.302, 1.707, 1.883, 2.568, 2.602, 2.633, 2.708, 3.035, 3.380, 3.454, 3.932, 3.933, 4.212, 4.243, 4.275, 4.981, 4.984, 4.985, 4.986, 5.417, 5.927, 5.937, 6.590, 6.661, 6.684, 6.941, 7.267, 7.276, 7.279, 7.368, 7.961, 8.043, 8.174 y 8.182 |
| 2001 | 64, 67, 68, 177, 232, 503, 825, 826, 20, 21, 22, 26, 28, 32, 34, 35, 37, 38, 39, 40, 41, 42, 44, 45, 47, 49, 50, 51, 54, 55, 56, 57, 58, 61, 62, 63, 65, 66, 67, 68, 69, 70, 71, 72, 73 y 74 |
| 2002 | 76, 77, 78, 79, 80, 81, 85, 86, 87, 88, 89, 91, 94, 95, 96, 99, 100, 101, 103, 104, 108, 109, 110, 113, 117, 118, 120, 121, 122, 123, 126, 127, 128, 130, 131, 132, 133, 135, 136, 137, 138, 139, 140 y 142 |
| 2003 | 143, 144, 145, 147, 150, 151, 152, 153, 154, 155, 156, 157, 158, 159, 160, 161, 163, 165, 167, 168, 170, 171, 172, 173, 174, 175, 176, 177, 178, 179, 182, 183, 184, 185, 186, 187, 189, 190, 190, 191, 192, 193, 194, 195, 196, 198, 201, 202, 204, 205, 206, 207, 208, 209, 210 y 212 |
| 2004 | 215, 216, 219, 220, 221, 222, 223, 224, 225, 226, 228, 229, 230, 231, 232, 233, 234, 235, 236, 237, 238, 240, 240, 241, 242, 243, 244, 245, 246, 248, 249, 250, 251, 252, 255, 256, 258, 259, 260, 261, 262, 263, 264, 265, 266 y 267 |
| 2005 | 270, 271, 273, 274, 276, 277, 278, 280, 281, 282, 283, 287, 288, 289, 290, 291, 292, 293, 295, 296, 298, 300, 300, 301, 303, 305, 306, 307, 308, 309, 310, 311, 313, 314, 315, 316, 317, 318, 319, 320, 321, 322 y 323 |

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| 2006 | 325, 326, 327, 329, 330, 332, 333, 334, 336, 337, 338, 339, 340, 341, 342, 343, 344, 345, 346, 347, 349, 350, 351, 352, 353, 355, 355, 356, 357, 358, 362, 363, 365, 366, 367, 368, 369, 370, 371, 372, 373, 375 y 376 |
| 2007 | 377, 378, 379, 381, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398, 399, 400, 401, 402, 403, 404, 404, 405, 406, 407, 408, 409, 410, 411, 412, 413, 415, 418, 419, 420, 421, 423, 424, 425, 426 y 427 |
| 2008 | 429, 431, 432, 433, 433, 434, 435, 436, 437, 438, 441, 442, 443, 445, 446, 447, 449, 450, 452, 453, 454, 455, 456, 457, 459, 460, 461, 462, 463, 463, 464, 465, 466, 467, 469, 470, 471, 472, 473, 474, 476, 477, 478, 480, 482, 483, 484, 485, 488, 489, 490 y 493 |
| 2009 | 494, 495, 497, 499, 503, 504, 505, 507, 509, 510, 511, 513, 514, 515, 516, 517, 518, 519, 521, 522, 523, 524, 525, 526, 527, 528, 529, 531, 532, 533, 534, 535, 537, 539, 540, 545, 547, 551, 552, 553, 554, 555, 557, 558 y 559 |
| 2010 | 561, 562, 564, 565, 566, 567, 568, 569, 572, 574, 575, 576, 578, 579, 584, 586, 587, 590, 593, 594, 596, 598, 600, 601, 603, 604, 610, 613, 614, 616, 617, 618, 619, 621, 623, 624, 625, 626, 627, 629, 630, 632, 633, 634, 636, 637, 639, 640, 642, 643, 644, 645, 647, 648, 649 y 650 |
| 2011 | 654, 655, 656, 658, 659, 660, 662, 663, 664, 665, 666, 667, 668, 670, 671, 672, 673, 674, 675, 676, 677, 678, 679, 680, 681, 682, 683, 686, 687, 688, 689, 690, 691, 693, 695, 697, 698, 699, 700, 701, 702, 703, 705, 706 y 707 |
| 2012 | 708, 709, 711, 712, 713, 714, 716, 717, 719, 721, 722, 723, 724, 726, 727, 728, 729, 730, 731, 732, 733, 734, 735, 738, 739, 740, 741, 742, 744, 746, 748, 750, 751, 752, 753, 754, 755, 756, 757, 758, 760, 761, 762, 763, 765 y 767 |
| 2013 | 769, 770, 771, 775, 776, 777, 779, 781, 782, 783, 784, 785, 786, 787, 788, 789, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, 800, 801, 802, 803, 804, 805, 806, 807, 808, 810, 811, 812, 814, 815, 816 y 817 |
| 2014 | 818, 819, 820, 823, 824, 828, 825, 826, 827, 829, 830, 831, 832, 833, 834, 835, 836, 837, 838, 839, 840, 841, 842, 843, 844, 845, 846, 847, 848, 849, 850, 851, 852, 853, 854, 855, 856, 857, 858, 859, 860, 861 y 862 |
| 2015 | 864, 866, 867, 869, 870, 871, 873, 874, 875, 876, 877, 878, 879, 880, 881, 882, 883, 884, 885, 886, 887, 888, 889, 890, 891, 892, 893, 894, 895, 896, 897, 898, 899, 900, 901, 902, 903, 904, 906, 907, 908, 909, 910, 911, 912 y 913 |
| 2016 | 914, 915, 917, 918, 919, 920, 921, 922, 923, 924, 925, 926, 927, 928, 929, 930, 931, 932, 933, 934, 935, 936, 937, 938, 939, 940, 942, 944, 945, 946, 948, 949, 950, 951, 952, 953, 954, 955, 957, 958, 959, 960, 961, 962, 963, 964, 965, 967, 968 y 969 |