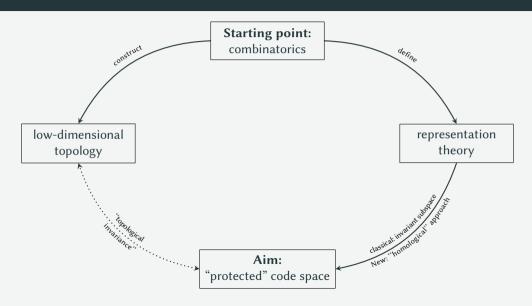
## A non-semisimple Kitaev lattice model

based on joint work with Ulrich Krähmer 2025-04-24



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## The big picture



## Fault-tolerant quantum computation: Kitaev's toric code

Kitaev's construction for fault-tolerant quantum computation:

- 1. Consider a  $k \times k$  lattice in the torus and associate the Hilbert space  $\mathbb{M}_k$ .
- 2. Specify a "Hamiltonian"  $H: \mathbb{M}_k \longrightarrow \mathbb{M}_k$  using "local" features of the lattice.
- 3. Define as a "quantum memory" the space  $\operatorname{Prot}_k \stackrel{\text{def}}{=} \ker H$ .

This leads to error correction/prevention implemented on a physical level:

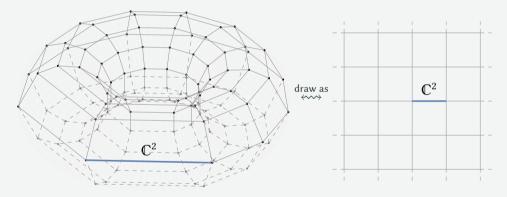
#### **Theorem**

For any  $k \in \mathbb{N}$  we have dim  $Prot_k = 4$ .

 $\rightsquigarrow$  For k large, most errors (modelled by linear operations on  $\mathbb{M}_k$ ) act either trivially  $\operatorname{Prot}_k$  or can be "detected" using various physical methods.

## Kitaev's toric code - lattices and the (extended) Hilbert space

Let  $k \in \mathbb{N}$  and consider a  $k \times k$  lattice embedded on a torus:

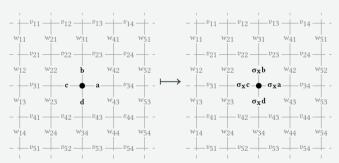


Assign to each edge a copy of  $\mathbb{C}^2$  and set  $\mathbb{M}_k = \bigotimes_{1 \leq i,j \leq k} \mathbb{C}^2 \bigotimes \bigotimes_{1 \leq i,j \leq k} \mathbb{C}^2$ .

## Kitaev's toric code - vertex actions

Set  $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . Given a *vertex* (point in the lattice) v define

$$A_v\colon \, \mathbb{I}\!\!M_k \longrightarrow \mathbb{I}\!\!M_k$$



- 1. Gives rise to a representation  $\triangleright_v \colon \mathbb{C}\mathbb{Z}_2 \otimes \mathbb{M}_k \longrightarrow \mathbb{M}_k$ .
- 2. Combining all "vertex actions" leads to  $\triangleright : (\mathbb{C}\mathbb{Z}_2)^{\otimes k^2} \otimes \mathbb{M}_k \longrightarrow \mathbb{M}_k$ .

## Kitaev's toric code - face coactions

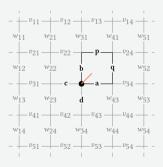
Set  $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . Given a *face* (square of the lattice) f define

$$B_f \colon \mathbb{I} \mathbb{M}_k \longrightarrow \mathbb{I} \mathbb{M}_k$$

- 1. Can be interpreted as a corepresentation  $\delta_f \colon \mathbb{M}_k \longrightarrow \mathbb{C}\mathbb{Z}_2 \otimes \mathbb{M}_k$ .
- 2. Combining all "face coaction" leads to a "global" comodule structure  $\delta \colon \mathbb{M}_k \longrightarrow (\mathbb{C}\mathbb{Z}_2)^{\otimes k^2} \otimes \mathbb{M}_k$ .

## The local and global module structure of $M_k$

Associate to each vertex the face to its "top right"  $\rightsquigarrow \{vertices\} \xrightarrow{1:1} \{faces\}$ . For every such vertex-face-pair (v, f) the vertex action and face coaction turn  $\mathbb{M}_k$  into a  $D(\mathbb{C}\mathbb{Z}_2)$ -module.



## Topological invariance of the protected space

We consider  $\mathbb{M}_k$  as a  $D(\mathbb{C}\mathbb{Z}_2)^{\otimes k^2}$ -module

#### **Definition**

The protected space of the Kitaev lattice model is  $\operatorname{Prot}_k = \mathbb{I}M_k^{\text{inv}}$ .

#### Theorem (Kitaev '97)

For any  $k \in \mathbb{N}$  we have dim  $Prot_k = 4$ .

## Proof sketch (based on Buerschaper, Mombelli, Christandl, Aguado '18).

Suppose  $k \geq 1$ . Define an explicit isomorphism between  $\operatorname{Prot}_k$  and  $\operatorname{Prot}_{k+1}$  using projectors coming from the Haar integral of  $\mathbb{C}\mathbb{Z}_2$ .

Works for complex semisimple Hopf algebras.

## Where to go from here?

Our aim is to generalise this to finite-dimensional non-semisimple Hopf algebras. This needs three levels of generalisations:

- 1. lattices on oriented surfaces
- → Kitaev graphs

2. regular Hopf bimodule

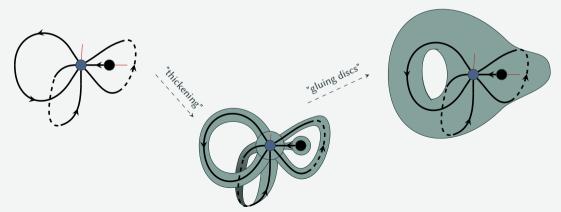
→ involutive (twisted) Hopf bimodules

3. invariant subspaces

→ bitensor products

## Generalisation 1: From lattices to Kitaev graphs

Each Kitaev graph  $\Gamma$  gives rise to a surface with boundary  $\Sigma_{\Gamma}$  and a surface without boundary  $\Sigma_{\Gamma}^{cl}$ :



## Generalisation 1: From lattices to Kitaev graphs

**Observation:** We can increase the number of boundary components of  $\Sigma_{\Gamma}$  by gluing a certain graph A to  $\Gamma$ . The surface  $\Sigma_{\Gamma \# A}$  of  $\Gamma \# A$  has the same genus as  $\Sigma_{\Gamma}$  but one more boundary component.

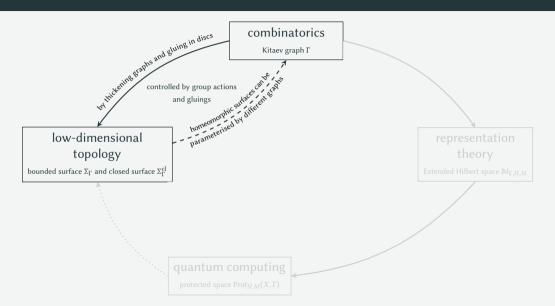
#### **Theorem**

There is a group  $\mathfrak G$  acting on the set of Kitaev graphs such that for all graphs  $\Gamma$  and  $\Delta$ :

$$\Sigma_{\Gamma}^{\text{cl}} \cong \Sigma_{\Delta}^{\text{cl}} \iff \exists a, b \in \mathbb{N}_0 \text{ with } \Delta \# \mathbf{A}^{\#a} \in \mathfrak{G} \cdot (\Gamma \# \mathbf{A}^{\#b}).$$

The group  $\mathfrak{G}$  is connected to the mapping class group of surfaces. A better (more conceptual understanding) of  $\mathfrak{G}$  would be desirable!

## Generalisation 1: From lattices to Kitaev graphs



**From now on:** H is a finite-dimensional complex Hopf algebra.

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**Classical:** Decorate each edge of a Kitaev graph with the regular bimodule-bicomodule H. Use  $S \colon H \longrightarrow H$  to model the reversal of edge directions.

**Problem:**  $S^2 = id_H$  is equivalent to H semisimple.

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#### **Definition**

An *involutive Hopf bimodule* is a pair of an  $S^{-2}$ -twisted Hopf bimodule M together with an involution  $\psi \colon M \longrightarrow M$  that intertwines the left and right (co)actions.

#### **Theorem**

There is an algebra  $\overleftrightarrow{B(H)}$  whose modules coincide with involutive Hopf bimodules.

We fix an involutive Hopf bimodule  $(M, \psi)$ .

#### Construction

Let  $\Gamma$  be a Kitaev graph. The extended Hilbert space is

$$\mathbb{I}\!M_{\Gamma}\stackrel{\scriptscriptstyle
m def}{=}\otimes_{e\in E_{\Gamma}}M.$$

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#### Construction

Let  $\Gamma$  be a Kitaev graph. The  $\Theta$  A copy of M for every edge of  $\Gamma$ .

$$\mathbb{I}_{\Gamma} \stackrel{\scriptscriptstyle
m def}{=} \otimes_{e \in E_{\Gamma}} M.$$

We fix an involutive Hopf bimodule  $(M, \psi)$ .

#### Construction

Let  $\Gamma$  be a Kitaev graph. The extended Hilbert space is

$$\mathbb{I}_{\Gamma} \stackrel{\text{\tiny def}}{=} \otimes_{e \in E_{\Gamma}} M.$$

For every vertex  $v \in V_{\Gamma}$  and  $f \in F_{\Gamma}$  define algebra maps

$$A_v \colon H \longrightarrow \operatorname{End}(\mathbb{M}_{\Gamma}), \qquad B_f \colon (H^*)^{\operatorname{op}} \longrightarrow \operatorname{End}(\mathbb{M}_{\Gamma}).$$

These maps depend on the "local" structure of  $\Gamma$ .





# Generalisation 2: The extended Hilbert space as topological invariant

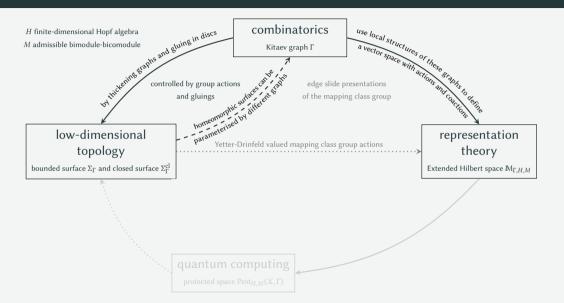
For  $\Gamma$  a Kitaev graph, set  $H_v = H$  for each vertex  $v \in V_\Gamma$  and write  $\mathbb{H}_\Gamma \stackrel{\text{\tiny def}}{=} \otimes_{v \in V_\Gamma} H_v$ .

#### **Theorem**

The local (co)actions turn  $\mathbb{M}_{\Gamma}$  into an  $\mathbb{H}_{\Gamma}$ -Yetter-Drinfeld-module. Suppose the graphs  $\Gamma$  and  $\Delta$  satisfy  $\Sigma_{\Gamma} \cong \Sigma_{\Delta}$ . Then  $\mathbb{M}_{\Gamma}$  and  $\mathbb{M}_{\Delta}$  are isomorphic as Yetter-Drinfeld modules.

Work by Bene'10, Jackson'18, and Meusburger, Voß'21 suggest that for (certain) M there is a canonical action of the mapping class group of  $\Sigma_{\Gamma}$  on  $\mathbb{M}_{\Gamma}$ .

## Generalisation 2: Involutive bimodules and extended Hilbert spaces



## **Generalisation 3: Bitensor products**

#### **Definition**

Let  $(X, \triangleleft, \varrho) \in \mathcal{M}_H^H$  and  $(N, \triangleright, \delta) \in {}_H^H \mathcal{M}$  be right-right and left-left modules-comodules respectively.

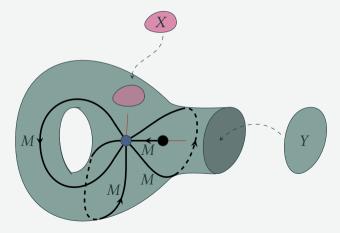
- 1. We write  $\pi_{X,M} \colon X \otimes_{\mathbb{k}} M \longrightarrow X \otimes_{H} M \stackrel{\text{def}}{=} \operatorname{coker}(\triangleleft \otimes \operatorname{id}_{M} \operatorname{id}_{X} \otimes \triangleright)$ .
- 2. We set  $\iota_{X,M} \colon X \square_H M \stackrel{\text{\tiny def}}{=} \ker(\varrho \otimes_{\mathbb{k}} \mathrm{id}_M \mathrm{id}_X \otimes_{\mathbb{k}} \delta) \longrightarrow X \otimes_{\mathbb{k}} M.$
- 3. The *bitensor product* of X and M is  $Bit_H^H(X, M) \stackrel{\text{def}}{=} \operatorname{im} \iota_{X,M} \pi_{X,M}$ .

If H is semisimple and  $N \in {}_H^H \mathcal{YD}$ , we have  $\operatorname{Bit}_H^H(\mathbb{k}^1_{\varepsilon}, N) \cong \operatorname{Hom}_{D(H)}(\mathbb{k}^1_{\varepsilon}, N)$ .

This map is particularly interesting in positive characteristic due to its connections with the order of  $S^4$ .

## Generalisation 3: Bitensor products and closing boundaries

Let  $v \in V_{\Gamma}$  be a vertex. It has a corresponding boundary component b of  $\Sigma_{\Gamma}$ . We think of  $\operatorname{Bit}_{H_0}^{H_0}(X, \mathbb{M}_{\Gamma})$  as closing the boundary b by gluing in a disc labelled X.



## Generalisation 3: The annular graph

**From now on:** We assume dim  $M^{\text{coinv}} = 1$  and fix a  $Y \in \mathcal{M}_H^H$  which "trivialises" the annular graph.

**Note:** If dim  $M^{\text{coinv}} = 1$ , a suitable Y always exists.

**Warning:** Not all Hopf algebras admit an involutive Hopf bimodule M such that  $\dim M^{\text{coinv}} = 1$ .

## Generalisation 3: The protected space

#### **Definition**

Let  $\Gamma$  be a Kitaev graph and  $X \in \mathcal{M}_H^H$  and write

$$\mathbb{X}_{\Gamma} \stackrel{\text{\tiny def}}{=} \otimes_{v \in V_{\Gamma}} Z_v, \qquad \text{where } Z_v = egin{cases} X & \text{if } v \text{ is distinguished,} \\ Y & \text{otherwise.} \end{cases}$$

We call  $\operatorname{Prot}_M(X,\Gamma) \stackrel{\scriptscriptstyle{\mathrm{def}}}{=} \operatorname{Bit}_{\mathbb{H}_{\Gamma}}^{\mathbb{H}_{\Gamma}}(\mathbb{X}_{\Gamma},\mathbb{M}_{\Gamma})$  the *protected space*.

#### **Generalisation 3: Excision**

**Recall:** Topological features of Kitaev graphs are controlled by the group  $\mathfrak G$  and iterated gluings of graphs.

#### **Theorem**

Let  $\Gamma$ ,  $\Delta$  be two Kitaev graphs, we have a short exact sequence

$$0 \longrightarrow \operatorname{Prot}_{M}(X, \Gamma) \otimes \operatorname{Prot}_{M}(X', \Delta) \longrightarrow \operatorname{Prot}_{M}(X \otimes X', \Gamma \# \Delta) \longrightarrow \operatorname{CBit}_{M}(X, X', \Gamma, \Delta) \longrightarrow 0.$$

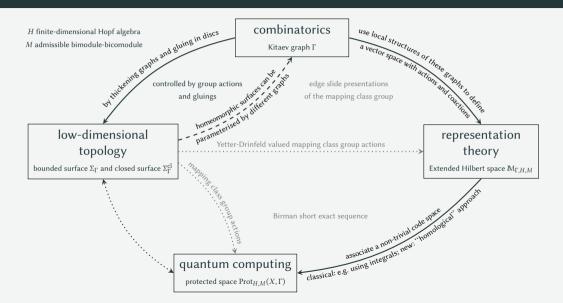
## Generalisation 3:topological invariance

## **Corollary**

For any  $\Gamma$  we have  $\operatorname{Prot}_M(X,\Gamma) \cong \operatorname{Prot}_M(X,\Gamma \# \mathbf{A})$ . In particular, if  $\Gamma$  and  $\Delta$  are such that  $\Sigma_{\Gamma}^{\operatorname{cl}} \cong \Sigma_{\Delta}^{\operatorname{cl}}$  then  $\operatorname{Prot}_M(X,\Gamma) \cong \operatorname{Prot}_M(X,\Delta)$ .

Any surface can be decomposed as a connected sum of tori. Explicit computations and geometrical interpretation of results?

## Thank you



## **Uncovered topics**

- 1. For group-algebras, the invariants we obtain have relations with Seifert fibered spaces.
- 2. "Reduction" procedure for bosonisations of Nichols algebras allows to simplify the computation.
- 3. Induction and restriction type identities.