

# Applications of Walsh-Hadamard transform in cryptanalysis.

$$n \text{ natural}, \quad a = (a_1 \dots a_n) \quad a_i \in \{0, 1\} \\ b = (b_1 \dots b_n) \quad b_i \in \{0, 1\}$$

$$a \cdot b = \sum_{i=1}^n a_i b_i \bmod 2$$

Hadamard matrix of size  $2^n \times 2^n$

$$H_n = a \begin{pmatrix} 1 & 1 & \dots & 1 & \dots & 1 \\ 1 & -1 & \dots & 1 & \dots & -1 \\ -1 & 1 & \dots & -1 & \dots & 1 \\ \vdots & \vdots & \ddots & \vdots & \ddots & \vdots \\ 1 & -1 & \dots & -1 & \dots & 1 \\ -1 & 1 & \dots & -1 & \dots & -1 \end{pmatrix}$$

$$\text{Example. } H_1 = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

$$H_n = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}^{[n]}, \quad H_n = H_1 \otimes H_{n-1} = \begin{pmatrix} H_{n-1} & H_{n-1} \\ H_{n-1} & -H_{n-1} \end{pmatrix}$$

$$H_2 = H_1^{[2]} = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & -1 & 1 \end{pmatrix}$$

property of  $H_n$  is

$$H_n \cdot H_n = 2^n \cdot I_{2^n}$$

Identity matrix of size  $2^n \times 2^n$ .

Walsh-Hadamard transform

$$A = (A_0 \ A_1 \dots \ A_{2^n-1}) \quad A_i \in \mathbb{R}$$

$$\rightarrow W = A \cdot H_n \text{ op } A.$$

Fast algorithm to compute WH transform

time complexity  $n \cdot 2^n$  add/subtr. of reals.

memory  $2^n$  locations to keep

numerous applications in cryptanalysis.

1. Boolean function  $F = F(X_1 \dots X_n)$   
task find best app. approx. to  $F$   
 $\max(\min) \Pr(F = a \cdot X)$   
 $a \cdot X = \sum_{i=1}^n a_i X_i$  linear Boolean function.

WH spectrum  $(W_0 \ W_1 \ \dots \ W_{2^n-1}) = \underbrace{\left( (-1)^{F(0,0)} \ , \ (-1)^{F(0,1)} \ , \ \dots \ , \ (-1)^{F(0,2^n-1)} \right)}_{2^n} \cdot H_n$

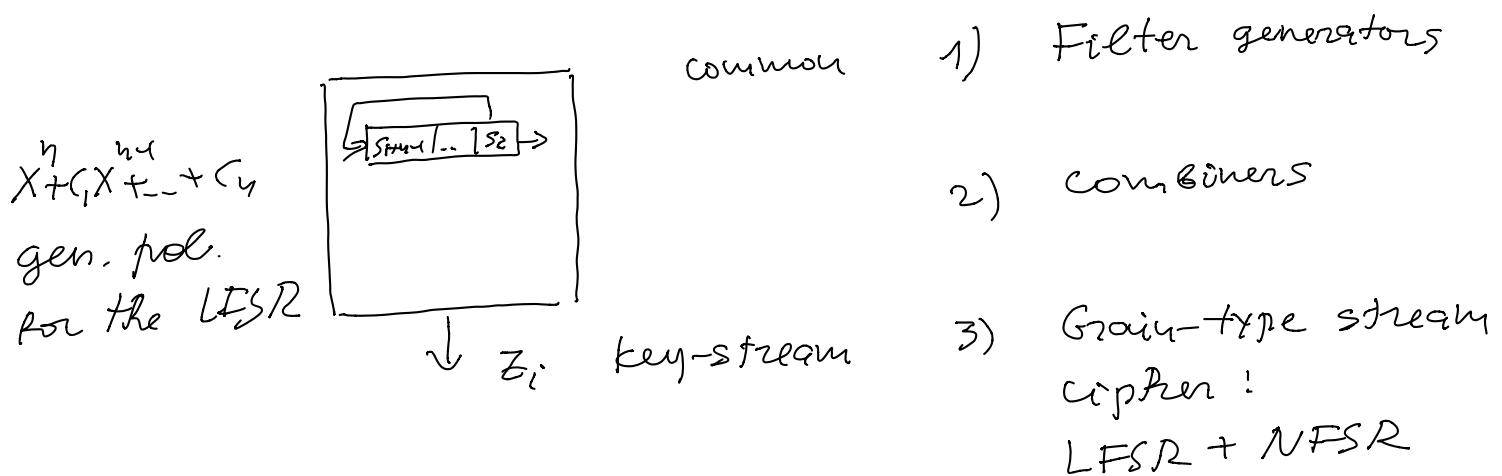
$$\Pr(F = ax) = \frac{1 - W_a}{2}$$

By fast algorithm  
of  $n \cdot 2^n$  op.

$\Rightarrow \max(\min)$  this prob. for  $a$ .

2. Improve time complexity in correlation attacks.

LFSR based stream cipher



a priori correlation between  $z_i, s_i$

$$\Pr(z_i = s_i) = q \neq \frac{1}{2}.$$

⇒ apply correlation attack with aim

to recover LFSR initial state.

assume  $z_0, z_1, \dots, z_{N-1}$  are known.

$X = (s_{n-1}, s_n, s_0)$  initial state to find.

guess  $X$  generate  $s'_0, s'_1, \dots, s'_{N-1}$  LFSR-seq. on  $X$

 $N_1(X) = \#\ s'_i + z_i = 1 \quad i = 0, 1, \dots, N-1$ 

decide if  $X$  is correct based on

$$\frac{N_1(X)}{N} \rightarrow 1 - q = \Pr(s_i \neq z_i) \text{ for correct } X.$$

time complexity of the attack.

$$\text{|| } 2^n \cdot N$$

↑  
guesses  
for  $x$       cost to compute  $N_1(x)$

We'll see that with WFI transform  
time complexity is

$$\text{|| } n \cdot 2^n + N$$

price to pay      memory size       $2^n$  some  
values.

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How to do?

$$N_1(x) = \# s_i' \neq z_i \quad s_i' \text{ LFSR seq. gen. on } x.$$
$$N_0(x) = \# s_i' = z_i$$

$$\Rightarrow N_1(x) + N_0(x) = N.$$

We want to compute  $\frac{N_1(x)}{N}$

$$N_0(x) - N_1(x) = \sum_{i=0}^{N-1} (-1)^{\sum_{j=0}^{i-1} z_j + s_j}$$

matrix form       $s_i = (0 \dots 0 1) \cdot \begin{pmatrix} s_{i+n-1} \\ \vdots \\ s_{i+1} \\ s_i \end{pmatrix} =$

$$= (0 \dots 0 1) A^i \begin{pmatrix} s_{n-1} \\ \vdots \\ s_i \\ s_0 \end{pmatrix} = (0 \dots 0 1) \underline{A^i X}$$

where  $A = \begin{pmatrix} c_1 & \cdots & c_n \\ 1 & & 0 \\ & \ddots & \\ 0 & \ddots & 1 & 0 \end{pmatrix}$

transpose or companion matrix for LFSR generating polynomial.

$$\begin{pmatrix} s_{i+1} \\ s_i \\ s_{i-1} \\ s_{i-2} \end{pmatrix} = A \begin{pmatrix} s_{i+1} \\ s_i \\ s_{i-1} \\ s_i \end{pmatrix} \text{ by definition of the LFSR.}$$

$$\Rightarrow N_0(x) - N_1(x) = \sum_{i=0}^{N-1} (-1)^{z_i + t A^i x}$$

vector  $C = (c(0), c(1), \dots, c(2^n-1))$

$$C(\beta) = \begin{cases} (-1)^{z_i} & t \cdot A^i = \beta \\ 0 & \text{otherwise} \end{cases}$$

$$N_0(x) - N_1(x) = \underbrace{\sum_{\beta=0}^{2^n-1} C(\beta) \cdot (-1)^{\beta \cdot x}}$$

the vector

$$W = (N_0(0) - N_1(0), N_0(1) - N_1(1), \dots, N_0(2^n-1) - N_1(2^n-1))$$

is WH transform of C

apply  $H_n$  to  $C$  and compute  $W$

$$= C \cdot H_n$$

$$N_0(x) - N_1(x) = W_x$$

$$N_0(x) + N_1(x) = N$$

$$\Rightarrow \underline{N_1(x)} = \frac{N - W_x}{2} \quad x = 0, 1, \dots, 2^h - 1.$$

complexity

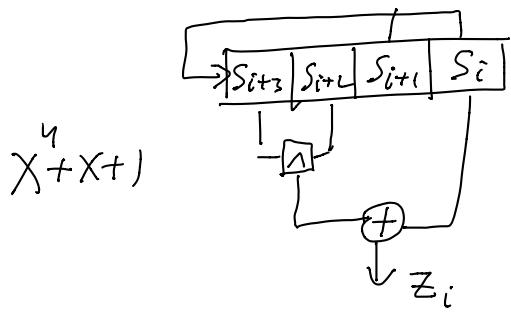
define vector  $C$  as  $N + 2^h$

apply WH transform

$$(h+1) \cdot 2^h + N \approx n \cdot 2^h + N$$

// keep vector  $C$  cost in memory locations  
// is  $2^h$ .

Example.



$$N = 11$$

key-stream given is

10001101100

find LFSR initial state.

matrix  $A = \begin{pmatrix} 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$

compute  $B = (0001)A^i$   $i = 0, \dots, 10$

$i$	$B = (0001)A^i$	$B$	$z_i$	$(-1)^{z_i}$
0	0001	1	1	-1
1	0010	2	0	1
2	0100	4	0	1
3	1000	8	0	1
4	0011	3	1	-1
5	0110	6	1	-1
6	1100	12	0	1
7	1011	11	1	-1
8	0101	5	1	-1
9	1010	10	0	1
10	0111	7	0	1

$$C(B) = \begin{cases} (-1)^{z_i} & i \neq 0 \\ 0 & \text{otherwise} \end{cases} \quad B = t \cdot A^i$$

define vector  $C$ :

$B$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$C(B)$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	0	-1	1	-1	1	-1	-1	1	1	0	1	-1	1	0	0	0

apply WHT transform to  $C$

$W$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
	0	-1	1	-1	1	-1	-1	1	1	0	1	-1	1	0	0	0
	(-1)	(0)	(2)	(0)	(2)	(0)	(-2)		(1)	(1)	(0)	(2)		(1)	(0)	(0)
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$W$	1	7	1	3	1	-1	5	-1	-7	1	-3	-1	-3	3	1	-1

$$\Rightarrow N_1(x) = \frac{N - W_x}{2}$$

$x$	0 1 2 3	4 5 6 7	8 9 10 11	12 13 14 15
$N_1(x)$	5 2 $\underline{\underline{5}}$	5 4 $\underline{\underline{4}}$	6 3 $\underline{\underline{3}}$	6 9 $\underline{\underline{9}}$

$i/2 x$  correct     $\frac{N_1(x)}{N} \approx \frac{1}{4}$      $\frac{N_1(x)}{N} \approx 0.375$

$\cancel{111111}$      $\frac{1}{2}$

↙     $\frac{1}{4}$   
x correct.

$$\begin{aligned} N_1(1) &= 2 \\ N_1(3) &= 1 \\ N_1(5) &= 3 \\ N_1(11) &= 4 \end{aligned}$$

1, 3, 5, 11    candidates

↙  
(0001)

(0011)  
(0101)  
(1011)

brute force the candidates to  
find unique solution:

(0001)