

The Lambda Calculus: An Introduction

Type Theory and Mechanized Reasoning
Lecture 10

Introduction

Administrivia

Homework 4 is due on *Thursday by 11:59PM*.

There are *notes* posted in the course repository and the course website.

Objectives

Agda tutorial: Talk about `equality`.

Introduce the the `syntax` and `semantics` of the
lambda calculus.

Agda Tutorial: Propositional Equality

Recall: NonEmpty

```
data NonEmpty {A : Set} : List A -> Set where
  isEmpty :
    (x : A) ->
    (xs : List A) ->
    NonEmpty (x :: xs)

test : NonEmpty (1 :: 2 :: [])
test = isEmpty 1 (2 :: [])
```

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NonEmpty has one constructor, and the parameter in the type is a nonempty list.

*It is impossible to construct something of whose type is **NonEmpty []**.*

Recall: Head

```
head : {A : Set} -> (l : List A) -> NonEmpty l -> A  
head (x :: l) _ = x
```

```
foo : NonEmpty [] -> Nat  
foo = head [] -- we cannot apply this function to anything
```

```
bar : NonEmpty (1 :: []) -> Nat  
bar = head (1 :: [])
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We can use `NonEmpty` to limit the behavior of our **head** function.

After we apply `head` to an argument `l`, we are "blocked" if `l` is empty.

Propositional Equality

```
data _=P_ {A : Set} (x : A) : A -> Set where  
  refl : x =P x
```

```
foo : 2 =P 2  
foo = refl
```

Propositional Equality

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Equality has a single constructor **refl** whose parameters are identical.

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Equality has a single constructor **refl** whose parameters are identical.

*It is impossible to construct something of type **2 =P 3** (or equality between non-identical terms).*

Computation and Equality

$2+2=4$: $(2 + 2) =_P 4$
 $2+2=4$ = refl

Computation and Equality

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Use Case: Unit Tests

```
assert-equal : {A : Set} -> (x y : A) -> Set  
assert-equal actual expected = actual =P expected
```

```
test1 : assert-equal (3 + 4) (4 + 3)  
test1 = refl
```

Use Case: Unit Tests

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test1 = refl
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We can use this to embed **unit tests** in our code.

If **actual** is the same as **expected**, then **refl** should be the value of **test1**.

Computing with Equality

```
cong :  
  {A B : Set} ->  
  {x y : A} ->  
  (f : A -> B) -> (x =P y) -> (f x) =P (f y)  
cong f refl = refl
```

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If x and y are the same, then so are $f\ x$ and $f\ y$.

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```

(Let's do a demo)

If x and y are the same, then so are $f\ x$ and $f\ y$.

When we pattern match on equality, **refl** is the only possible value. (In the demo, we see what happens to the types.)

More interesting cases: Induction

$20+0=20$: $(20 + 0) =_P 20$
 $20+0=20$ = `refl`

$n+0=n$: $(n : \text{Nat}) \rightarrow (n + 0) =_P n$
 $n+0=n$ `n` = ?

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We can compute $(20 + 0)$ to get the value 20 .

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We can compute $(20 + 0)$ to get the value 20 .

But we can't compute $(n + 0)$, *we don't know what n is.*

More interesting cases: Induction

$n+0=n$: $(n : \text{Nat}) \rightarrow (n + 0) =_P n$

$n+0=n$ $\text{zero} = \text{refl}$

$n+0=n$ $(\text{suc } n) = \text{cong } \text{suc } (n+0=n \ n)$

More interesting cases: Induction

```
n+0=n : (n : Nat) -> (n + 0) =P n
n+0=n zero = refl
n+0=n (suc n) = cong suc (n+0=n n)
```

But we can pattern match on **n**, so that we know what **n** "looks like".

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If $n = 0$, then $0 = 0$.

If $n = 1 + k$ and $k + 0 = k$ then $(1 + k) + 0 = (1 + k)$.

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What does this argument sound like?

Understanding Check

Write a function

sym : {A : Set} -> (x y : A) -> x =P y -> y =P x

What does this function express?

The Lambda Calculus: Motivation

What is the lambda calculus?

$f =$ (add 1 to a single argument x)

vs.

$f = \{(0,1), (1,2), (2,3), (3,4), \dots\}$

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The lambda calculus is a framework for reasoning about **functions as procedures**.

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This is as opposed to **functions as sets**.

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The lambda calculus is a framework for reasoning about **functions as procedures**.

This is as opposed to **functions as sets**.

(I like to think about it as a "theory of substitution", as we will see)

Some History

- 1932** Created by A. Church in an attempt to define a **foundations of mathematics**
- 1935** Church's system was proven **inconsistent** by S. Kleene and J. Rosser
- 1936** Church distills **functional** part into what is known as the λ -calculus

Anonymous Functions

```
lambda x: x
```

```
lambda x: lambda y: x
```

```
lambda f: lambda x: f(x)
```

```
lambda x: x(x)
```

```
(lambda x: x(x))(lambda x: x(x))
```

Informal definition. The (closed) **lambda calculus** is given by the collection of Python programs you could write with only single argument **anonymous functions** and **variables**.

Syntax

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- Refer to the **arguments** of functions
- **Apply** one function to a value
- **Build** functions out of old ones (or values).

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Definition. The collection of **lambda terms** is defined inductively.

- Every variable x is a lambda term.
- If M and N are lambda terms, then so is (MN)
- If M is a lambda term, then so is $(\lambda x.M)$ for any variable x

variables

application

abstraction

Examples

x, y

$$I \triangleq (\lambda x . x)$$

$$K \triangleq (\lambda x . (\lambda y . x))$$

$$A \triangleq (\lambda x . (\lambda y . (xy)))$$

$$\omega \triangleq (\lambda x . (xx))$$

$$\Omega \triangleq (\omega\omega) = ((\lambda x . (xx))(\lambda x . (xx)))$$

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We will use **meta-variables** to refer to specific lambda terms (e.g., K).

But K is not a part of the syntax.

What about values?

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How is this useful?

We can encode values as lambda terms.

In Agda

```
Var : Set
```

```
Var = Nat
```

```
data LTerm : Set where
```

```
  var : Var -> LTerm
```

```
  app : LTerm -> LTerm -> LTerm
```

```
  abs : Var -> LTerm -> LTerm
```

Because this is an inductive definition, we can readily define it in Agda.

Examples (In Agda)

```
x : Var  
x = 0
```

```
y : Var  
y = 1
```

```
i : LTerm  
i = abs x (var x)
```

```
k : LTerm  
k = abs x (abs y (var x))
```

```
a : LTerm  
a = abs x (abs y (app (var x) (var y)))
```

```
omega : LTerm  
omega = abs x (app (var x) (var x))
```

```
omom : LTerm  
omom = app omega omega
```

Syntactic Conventions

- Application has higher precedence than abstraction, so $\lambda x.xy = \lambda x.(xy)$
- Application associates to the left, so $MNP = (MN)P$
- Abstraction "associates to the right", so $\lambda x.\lambda y.x = \lambda x.(\lambda y.x)$

Examples (Again)

x, y

$$I \triangleq \lambda x . x$$

$$K \triangleq \lambda x . \lambda y . x$$

$$A \triangleq \lambda x . \lambda y . xy$$

$$\omega \triangleq \lambda x . xx$$

$$\Omega \triangleq \omega\omega = (\lambda x . xx)(\lambda x . xx)$$

Examples (In Agda) (Again)

```
infixr 10 _$_  
infixr 5 lam_=>_  
  
data LTerm : Set where  
  [_] : Var -> LTerm  
  _$_ : LTerm -> LTerm -> LTerm  
  lam_=>_ : Var -> LTerm -> LTerm
```

```
x : Var  
x = 0
```

```
y : Var  
y = 1
```

```
i : LTerm  
i = lam x => [ x ]
```

```
k : LTerm  
k = lam x => lam y => [ x ]
```

```
a : LTerm  
a = lam x => lam y => [ x ] $ [ y ]
```

```
omega : LTerm  
omega = lam x => [ x ] $ [ x ]
```

```
omom : LTerm  
omom = omega $ omega
```

Semantics

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$$(\text{add } 1 \text{ to } x) \implies \{(0,1), (1,2), (2,3), \dots\}$$

This is tricky.

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Question. What would the set function for $\lambda x.x$ look like?

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$$\begin{aligned} (\text{add } 1 \text{ to } x) &\Longrightarrow (\text{add } 1 \text{ to } x) \\ (\text{add } 1 \text{ to } x) \ 12 &\Longrightarrow 13 \end{aligned}$$

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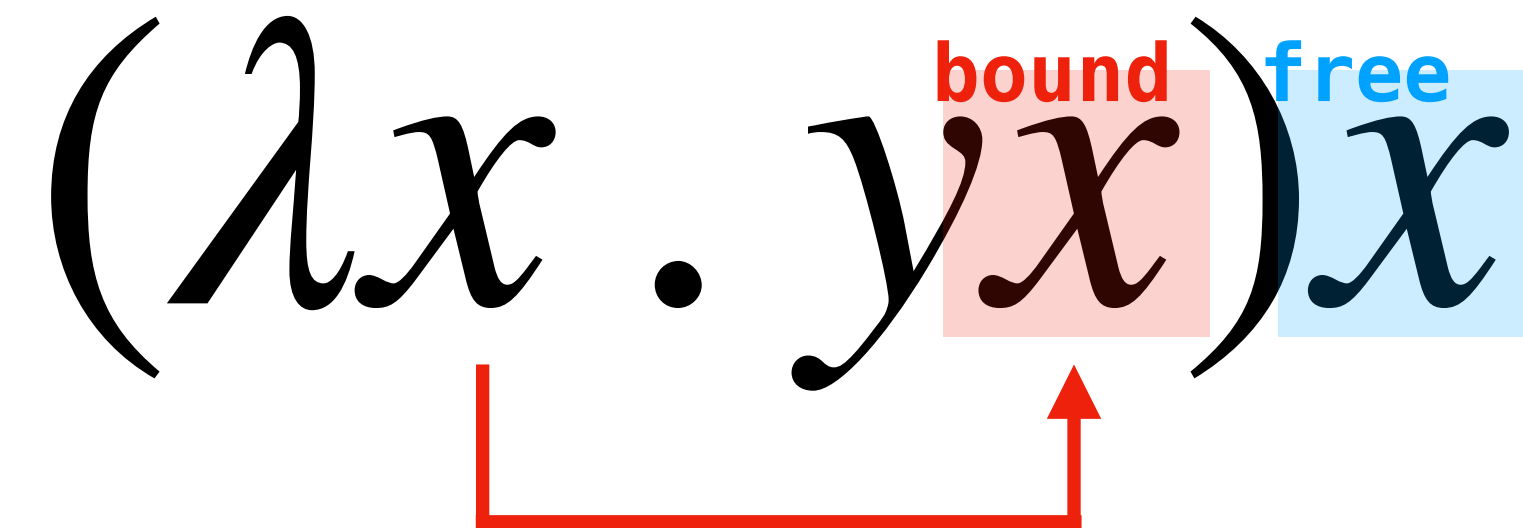
Operational Semantics

Operational Semantics refers to the rules for evaluating a lambda term.

Question. What should $(\lambda x.x)(\lambda x.x)y$ evaluate to?

This is also tricky, but a bit more manageable.

Free and Bound Variables



A variable x is **bound** if it appears in the body of an abstraction over x .

Otherwise it is **free**.

Substitution

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this is not quite right.

Alpha-Equivalence

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We will consider terms up to α -equivalence.

Captured Variables

$$\lambda x . y \neq_{\alpha} \lambda x . x = \lambda x . y[x/y]$$

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Our current definition does not do this.

Substitution (Again)

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- $y[N/x] = \begin{cases} N & y = x \\ y & \text{otherwise} \end{cases}$
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where z does not appear free in M or N

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- $M \rightarrow_{\beta} M'$ implies $MN \rightarrow_{\beta} M'N$ and $NM \rightarrow_{\beta} NM'$ and $\lambda x . M \rightarrow_{\beta} \lambda x . M'$

This is a **relation** not a function.

Evaluation

Definition. A β -normal form is a term M such that there is no N where $M \rightarrow_{\beta} N$.

Normal forms cannot be further reduced, they are like the **values** of a computation.

Evaluation is the processing of trying to reduce a term to normal form.

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Questions

Do all terms have normal forms?

If a term has a normal form, is there always a way to find it?

If a term has a normal form, is it unique?