Assignment 05 Exercise

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1 ECE 57000 Assignment 5 Exercise

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2 Exercise 1: Define classifier that extracts latent representations and visualize representations (40 points)

The latent (i.e., hidden) representations generated by a deep neural network are very important concept in deep learning since the latent space is where the most significant features of the dataset are learned and extracted. In this homework, we will explore the latent representations of a classifier using clustering and nearest neighbor methods.

We provide the code for a simple residual CNN with batchnorm and data loaders.

- Here, we define a neural network block architecture that does batch normalization after each convolution layer and has a skip connection. You can read more about batch normalization and skip connections in these papers https://arxiv.org/pdf/1502.03167.pdf and https://arxiv.org/pdf/1512.03385.pdf, respectively.
- In this neural network, the block networks are designed so that the input dimension and the output dimension stay the same. This may not be an optimal design, but it will help us visualize the latent representation later.

```
[53]: import torch
device = torch.device('cuda' if torch.cuda.is_available() else 'cpu')
print(device)
```

cuda

```
[54]: import torchvision
import torchvision.transforms as transforms
import torch.nn as nn
import torch.nn.functional as F
import torch.optim as optim
import numpy as np

seed = 0
torch.manual_seed(seed)
torch.cuda.manual_seed(seed)
np.random.seed(seed)
```

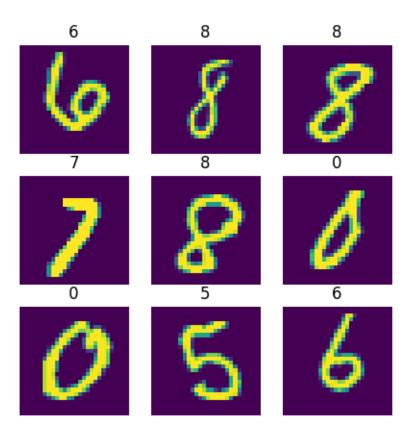
```
class SimpleResidualBlock(nn.Module):
          def __init__(self, ch_in, mult=4):
              super().__init__()
              self.conv1 = nn.Conv2d(ch_in, mult * ch_in, kernel_size=3, stride=1,_u
       →padding=1)
              self.bn1 = nn.BatchNorm2d(mult * ch_in)
              self.conv2 = nn.Conv2d(mult * ch_in, mult * ch_in, kernel_size=3,_u
       ⇒stride=1, padding=1)
              self.bn2 = nn.BatchNorm2d(mult * ch_in)
              self.conv3 = nn.Conv2d(mult * ch_in, ch_in, kernel_size=3, stride=1,_
       ⇒padding=1)
              self.bn3 = nn.BatchNorm2d(ch_in)
          def forward(self, x):
              x_{-} = x.clone()
              x_ = torch.relu(self.bn1(self.conv1(x_)))
              x_ = torch.relu(self.bn2(self.conv2(x_)))
              x_ = torch.relu(self.bn3(self.conv3(x_)))
              x = x + x_{-}
              return x
      class SimpleResNet(nn.Module):
          def __init__(self, ch_in, n_blocks=3):
              super().__init__()
              self.residual_layers = nn.ModuleList([SimpleResidualBlock(ch_in) for iu
       →in range(n_blocks)])
              self.maxpool = nn.MaxPool2d((2, 2))
              self.fc = nn.Linear(9, 10)
          def forward(self, x):
              for residual in self.residual_layers:
               x = residual(x)
                x = self.maxpool(x)
              x = x.view(x.shape[0], -1) # Unravel tensor dimensions
              out = self.fc(x)
              return out
[55]: import torchvision.transforms as transforms
      import matplotlib.pyplot as plt
      # Create MNIST datasets
      classes = np.arange(10)
      transform = torchvision.transforms.Compose(
```

[torchvision.transforms.ToTensor(),

```
torchvision.transforms.Normalize((0.1307,),(0.3081,))])
train_dataset = torchvision.datasets.MNIST('./data', train=True, download=True, __
 ⇔transform=transform)
test_dataset = torchvision.datasets.MNIST('./data', train=False, download=True, __

→transform=transform)
# Create dataloaders
batch_size_train, batch_size_test = 64, 128
train_loader = torch.utils.data.DataLoader(train_dataset,__
 ⇔batch_size=batch_size_train, shuffle=True)
test_loader = torch.utils.data.DataLoader(test_dataset,__
 sbatch_size=batch_size_test, shuffle=False)
# Show sample images
batch_idx, (images, targets) = next(enumerate(train_loader))
fig, ax = plt.subplots(3,3,figsize = (5,5))
for i in range(3):
 for j in range(3):
    image = images[i*3+j].permute(1,2,0)
    image = image/2 + 0.5
    ax[i,j].imshow(image.squeeze(2))
    ax[i,j].set_title(f'{classes[targets[i*3+j]]}')
    ax[i,j].axis('off')
fig.show()
```

C:\Users\Cole\AppData\Local\Temp\ipykernel_132864\4196534660.py:27: UserWarning:
FigureCanvasAgg is non-interactive, and thus cannot be shown
fig.show()



2.0.1 Task 1: Inherit the original model class and define a new function returning the same output with the parent's forward function as well as intermediate representations (including the original input)

Specifically, the function below should return the original output from forward function of the parent's class and a list of intermediate representations z_list. z_list should be a Python list with 4 entries corresponding to the original batch and the batch after each maxpool layer.

Hints: * Because of inheritance, you do not need to implement another <code>__init__</code> function. For those who are not familiar with inheritance, here is the link to get to know what Inheritance in Python is: https://www.geeksforgeeks.org/inheritance-in-python/. * You will want to do the same computation as the original <code>forward</code> function but add some code to save intermediate representations, i.e., the code should output exactly the same thing as the original <code>forward</code> function but also return intermediate outputs. * The output of this exercise should be:

```
Representation z0 batch shape = torch.Size([128, 1, 28, 28])
Representation z1 batch shape = torch.Size([128, 1, 14, 14])
Representation z2 batch shape = torch.Size([128, 1, 7, 7])
Representation z3 batch shape = torch.Size([128, 1, 3, 3])
```

• We provide a simple example for a linear model below.

```
[56]: # Trivial example below
     class AffineModel(nn.Module):
         def __init__(self, A, b):
             super().__init__()
             self.A, self.b = A, b
         def forward(self, x):
             x = torch.matmul(x, self.A)
             return x + self.b
     class ExtractAffineModel(AffineModel):
         def compute_and_extract_representations(self, x):
             z list = [x]
             x = torch.matmul(x, self.A)
             z list.append(x)
             return x + self.b, z_list
[57]: class SimpleResNetWithRepresentations(SimpleResNet):
         def compute_and_extract_representations(self, x):
              # ----- <Your code> -----
             z_list = [x]
             for residual in self.residual_layers:
                 x = residual(x)
                 x = self.maxpool(x)
                 z_list.append(x)
             x = x.view(x.shape[0], -1) # Unravel tensor dimensions
             out = self.fc(x)
              # ----- <End your code> -----
             return out, z_list
     model = SimpleResNetWithRepresentations(ch_in=1)
     model.to(device)
     images, labels = next(iter(test_loader)) # get a batch
     images = images.to(device)
     # Check that outputs match
     out, z_list = model.compute_and_extract_representations(images)
     assert torch.all(model(images) == out), "Outputs should be the same"
     # Check shapes of representations
     assert len(z_list) == 4, "Should have length of 4"
     assert torch.all(z_list[0] == images), "First entry should be original data"
     for zi, z in enumerate(z_list):
         print(f"Representation z{zi} batch shape = {z.shape}")
     Representation z0 batch shape = torch.Size([128, 1, 28, 28])
     Representation z1 batch shape = torch.Size([128, 1, 14, 14])
     Representation z2 batch shape = torch.Size([128, 1, 7, 7])
```

Representation z3 batch shape = torch.Size([128, 1, 3, 3])

2.0.2 Task 2: Train the model

Define the train function to train model for 4 epochs using the Adam optimizer with a learning rate of 0.01.

Define the test function to print average loss (use the variable test_loss) and accuracy (use the variable correct).

Test loss needs to be around 0.1-0.3 and Accouracy needs to be higher than 90% after finishing training.

```
[58]: def train(epoch, model, loss_fn, optimizer):
         model.train() # we need to set the mode for our model
         for batch_idx, (images, targets) in enumerate(train_loader):
             # ----- <Your code> -----
             optimizer.zero_grad()
             images, targets = images.to(device), targets.to(device)
             output = model(images)
             loss = loss_fn(output, targets)
             loss.backward()
             optimizer.step()
             # ----- <End Your code> -----
             if batch idx % 100 == 0: # We visulize our output every 10 batches
                 print(
                     f"Epoch {epoch}: [{batch_idx*len(images)}/{len(train_loader.

dataset)}] Loss: {loss.item()}"

                 )
     def test(epoch, model, loss_fn):
         model.eval() # we need to set the mode for our model
         test_loss = 0 # sum up the loss value
         correct = 0 # sum up the corrected samples
         with torch.no_grad():
             for images, targets in test_loader:
                 # ----- <Your code> -----
                 images, targets = images.to(device), targets.to(device)
                 output = model(images)
                 test_loss += loss_fn(output, targets).item()
                 pred = output.argmax(dim=1, keepdim=True)
                 correct += pred.eq(targets.view_as(pred)).sum().item()
                 # ----- <End Your code> -----
         test_loss /= len(test_loader.dataset)
         print(
             f"Test result on epoch {epoch}: Avg loss is {test_loss}, Accuracy: {100.
       →*correct/len(test_loader.dataset)}%"
         )
```

```
import torch.optim as optim
optimizer = optim.Adam(model.parameters(), lr=0.01)
loss_fn = nn.CrossEntropyLoss()
max_epoch = 4
for epoch in range(1, max_epoch + 1):
    train(epoch, model, loss_fn, optimizer)
    test(epoch, model, loss_fn)
Epoch 1: [0/60000] Loss: 4.347135066986084
Epoch 1: [6400/60000] Loss: 1.2872416973114014
Epoch 1: [12800/60000] Loss: 0.6682068109512329
Epoch 1: [19200/60000] Loss: 0.4931110441684723
Epoch 1: [25600/60000] Loss: 0.4913020431995392
Epoch 1: [32000/60000] Loss: 0.392508327960968
Epoch 1: [38400/60000] Loss: 0.4294033646583557
Epoch 1: [44800/60000] Loss: 0.38110458850860596
Epoch 1: [51200/60000] Loss: 0.5169232487678528
Epoch 1: [57600/60000] Loss: 0.4869723320007324
Test result on epoch 1: Avg loss is 0.002899026708677411, Accuracy: 88.74%
Epoch 2: [0/60000] Loss: 0.2989705204963684
Epoch 2: [6400/60000] Loss: 0.2800527811050415
Epoch 2: [12800/60000] Loss: 0.43129459023475647
Epoch 2: [19200/60000] Loss: 0.2456553876399994
Epoch 2: [25600/60000] Loss: 0.6942678093910217
Epoch 2: [32000/60000] Loss: 0.15077941119670868
Epoch 2: [38400/60000] Loss: 0.20804446935653687
Epoch 2: [44800/60000] Loss: 0.34791871905326843
Epoch 2: [51200/60000] Loss: 0.21766847372055054
Epoch 2: [57600/60000] Loss: 0.3472042679786682
Test result on epoch 2: Avg loss is 0.002222110165283084, Accuracy: 91.37%
Epoch 3: [0/60000] Loss: 0.1861557960510254
Epoch 3: [6400/60000] Loss: 0.3764899671077728
Epoch 3: [12800/60000] Loss: 0.5814337730407715
Epoch 3: [19200/60000] Loss: 0.15307816863059998
Epoch 3: [25600/60000] Loss: 0.1578538864850998
Epoch 3: [32000/60000] Loss: 0.273782879114151
Epoch 3: [38400/60000] Loss: 0.2392585277557373
Epoch 3: [44800/60000] Loss: 0.1648961752653122
Epoch 3: [51200/60000] Loss: 0.1457524299621582
Epoch 3: [57600/60000] Loss: 0.10801856964826584
Test result on epoch 3: Avg loss is 0.0020846393559128045, Accuracy: 91.96%
Epoch 4: [0/60000] Loss: 0.47706252336502075
Epoch 4: [6400/60000] Loss: 0.5594090223312378
Epoch 4: [12800/60000] Loss: 0.26020389795303345
Epoch 4: [19200/60000] Loss: 0.31482964754104614
Epoch 4: [25600/60000] Loss: 0.15718911588191986
```

```
Epoch 4: [32000/60000] Loss: 0.12125938385725021

Epoch 4: [38400/60000] Loss: 0.32622000575065613

Epoch 4: [44800/60000] Loss: 0.2528558671474457

Epoch 4: [51200/60000] Loss: 0.33341267704963684

Epoch 4: [57600/60000] Loss: 0.2781504690647125

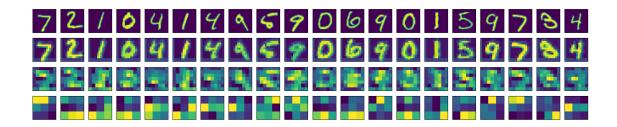
Test result on epoch 4: Avg loss is 0.0017692010382190347, Accuracy: 92.5%
```

2.0.3 Task 3: Visualize the intermediate latent representations

• Plot the representations of 20 images from the test dataset in a supplots grid of shape (20, 4) (code already given for setting up these subplots) where the rows correspond to samples in the dataset and columns correspond to the representations produced by compute and extract_representations

Notes: * We give code below for normalizing the image and plotting on an axis with a title. * Make sure to set model.eval() when computing because of the batchnorm layers * No title or ylabel is needed in this case. * z_list is a list of 4 tensors of shape ([B, 1, 28, 28]). Figure out how to pass through the assertion error and think why the batch dimension cannot be processed together.

```
[59]: def plot_representation(z, ax):
        # Normalize image for visualization
       assert z.ndim == 3, 'Should be 3 dimensional tensor with C x H x W'
       z = (z - z.min())/(z.max() - z.min())
       if torch.is_tensor(z): # Convert torch tensor to numpy if needed
         z = z.detach().cpu().numpy()
       ax.imshow(z.transpose((1,2,0)).squeeze(2))
        # Remove ticks and ticklabels to make plot clean
       ax.set xticks([])
       ax.set_yticks([])
       ax.set xticklabels([])
       ax.set_yticklabels([])
     n_show = 20
     fig, axes_mat = plt.subplots(4, n_show, figsize=[n_show, 4])
      # ----- <Your code> -----
     model.eval()
     with torch.no_grad():
         for i in range(n_show):
              images, targets = next(iter(test_loader))
              images, targets = images.to(device), targets.to(device)
              _, z_list = model.compute_and_extract_representations(images)
             for zi, z in enumerate(z_list):
                 plot representation(z[i], axes mat[zi, i])
       ----- <End your code> ------
```



Notice how the representations become more and more abstract as the depth increases.

3 Exercise 2: Clustering with different representations (50 points)

3.0.1 Task 1: Create simple numpy arrays of the representations

To perform further manipulations in numpy and scikit-learn, we will need to create simple numpy arrays for each representation. We provide the code for merging multiple batches. You will need to provide the code for extracting from the given data loader.

- Loop through the data loader and extract representations for each batch
- Append the labels and z_list to corresponding lists

extracted_z_lists.append(z_list)
labels_list.append(targets)

----- <End your code> -----# Check extracted z_lists (type should be tensor)

break

if len(extracted_z_lists) >= n_extract:

• Break out of loop when the number extracted is n extract or greater

The output of the merged lists should print the following for both train and test:

```
Types of merged lists
    [<class 'numpy.ndarray'>, <class 'numpy.ndarr
```

_, z_list = model.compute_and_extract_representations(images)

```
print(f'Types of first batch\n {[type(z) for z in extracted z lists[0]]}')
  print(f'Shapes of first batch\n {[z.shape for z in extracted z lists[0]]}')
  # Merge extracted z_lists and labels and make numpy arrays
  z_list_merge_np = [
    np.vstack([
      z_list[i].detach().cpu().numpy()
      for z_list in extracted_z_lists
    ])[:n_extract] # Extract up to n_extract
    for i in range(len(extracted_z_lists[0]))
  print(f'Types of merged lists\n
                                     {[type(z) for z in z_list_merge_np]}')
  print(f'Shapes of merged lists\n
                                     {[z.shape for z in z_list_merge_np]}')
  labels_merged_np = np.concatenate([
    labels.detach().cpu().numpy()
    for labels in labels_list
  ])[:n_extract] # Extract up to n_extract
  print(f'Shape of merged labels\n
                                      {labels_merged_np.shape}')
  return z_list_merge_np, labels_merged_np
# Extract train and test samples
z_list_train, labels_train = extract_numpy_representations(model, train_loader,_
 →n_extract=200)
z_list_test, labels_test = extract_numpy_representations(model, test_loader,_u
 # Extract regular train and test
x test = z list test[0]
x_train = z_list_train[0]
Types of first batch
    [<class 'torch.Tensor'>, <class 'torch.Tensor'>, <class 'torch.Tensor'>,
<class 'torch.Tensor'>]
Shapes of first batch
    [torch.Size([64, 1, 28, 28]), torch.Size([64, 1, 14, 14]), torch.Size([64,
1, 7, 7]), torch.Size([64, 1, 3, 3])]
Types of merged lists
    [<class 'numpy.ndarray'>, <class 'numpy.ndarray'>, <class 'numpy.ndarray'>,
<class 'numpy.ndarray'>]
Shapes of merged lists
    [(200, 1, 28, 28), (200, 1, 14, 14), (200, 1, 7, 7), (200, 1, 3, 3)]
Shape of merged labels
    (200,)
Types of first batch
    [<class 'torch.Tensor'>, <class 'torch.Tensor'>, <class 'torch.Tensor'>,
<class 'torch.Tensor'>]
Shapes of first batch
    [torch.Size([128, 1, 28, 28]), torch.Size([128, 1, 14, 14]),
```

```
torch.Size([128, 1, 7, 7]), torch.Size([128, 1, 3, 3])]
Types of merged lists
    [<class 'numpy.ndarray'>, <class 'numpy.ndarray'>, <class 'numpy.ndarray'>,
<class 'numpy.ndarray'>]
Shapes of merged lists
    [(200, 1, 28, 28), (200, 1, 14, 14), (200, 1, 7, 7), (200, 1, 3, 3)]
Shape of merged labels
    (200,)
```

3.0.2 Task 2: Perform K-means clustering on different representations

In this task, we will perform kmeans clustering on each of the latent representations of the test set and then evaluate the clustering based on the true class labels. A good discussion of clustering metrics can be found in scikit-learn's documentation on clustering metrics.

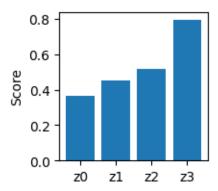
- Using scikit-learn's sklearn.cluster.KMeans estimator, perform kmeans with k=10 and random_state=0 on the latent representations and extract the cluster labels.
- Use sklearn.metrics.adjusted_rand_score to compute a score to evaluate the clustering based on the true class labels.

Notes: * You will need to reshape the tensors into matrices immediately before passing into sklearn functions (you should keep the original data as is so that the images can be plotted, but just reshape immediately before passing into scikit-learn functions). Specifically, the arrays will have shape (B, C, H, W) and you should reshape to (B, CHW) before passing to scikit-learn functions. * We provide code for plotting and evaluating your clustering. * Note that clustering is unsupervised. What we're plotting here is the ten different clusters, not the ten different categories of true labels. Thus, the cluster index in the plotted image is not necessarily matched to the true label. * Sometimes the plot_cluster will have white boxes if there are less than 5 samples in that cluster. Generally, if you use n_clusters=10 for the clustering tasks, you will have none or only a few white boxes, which is okay.

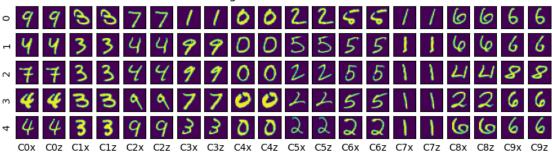
```
[61]: def plot_cluster(cluster_labels, z_test, title):
        # Plot the top images in each cluster both in original space and latent,
       \rightarrowrepresentation
        n_samples_show, n_clusters = 5, 10
        nr, nc = n_samples_show, 2*n_clusters
        fig, axes mat = plt.subplots(nr, nc, figsize=np.array([nc, nr])/2)
        axes_mat_list = np.split(axes_mat, n_clusters, axis=1)
        for ci, axes_mat in enumerate(axes_mat_list): # Loop over clusters
          sel = cluster labels==ci
          z_cluster = z_test[sel][:n_samples_show]
          x_cluster = x_test[sel][:n_samples_show]
          for test_i, (z, x, axes) in enumerate(zip(z_cluster, x_cluster, axes_mat)):
            plot_representation(x, axes[0])
            plot_representation(z, axes[1])
            if ci == 0:
              axes[0].set_ylabel(test_i)
            if test_i == len(axes_mat)-1:
              axes[0].set_xlabel(f'C{ci}x')
```

```
axes[1].set_xlabel(f'C{ci}z')
fig.suptitle(title)
plt.show()
```

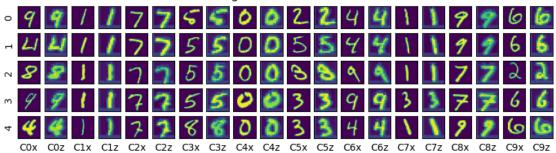
```
[62]: from sklearn.cluster import KMeans
     from sklearn.metrics import adjusted_rand_score
     def cluster_and_score(z, true_labels):
         # ----- <Your code> -----
         kmeans = KMeans(n_clusters=10, random_state=0).fit(z.reshape(z.shape[0],__
       →-1))
         cluster_labels = kmeans.labels_
         score = adjusted_rand_score(true_labels, cluster_labels)
         # ----- <End your code> -----
         return cluster_labels, score
     fig = plt.figure(figsize=(2, 2))
     plt.bar(
          [f"z{zi}" for zi in range(len(z_list_test))],
          [cluster_and_score(z_test, labels_test)[1] for z_test in z_list_test],
     )
     plt.ylabel("Score")
     for zi, z_test in enumerate(z_list_test):
         cluster_labels, score = cluster_and_score(z_test, labels_test)
         plot_cluster(cluster_labels, z_test, f"Clustering with z{zi}, Score={score:.
       <4f}")
```



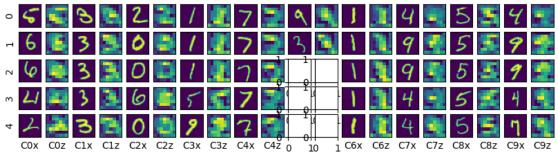
Clustering with z0, Score=0.3639

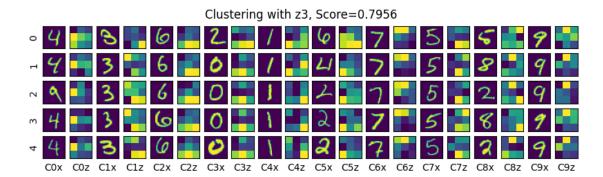


Clustering with z1, Score=0.4516



Clustering with z2, Score=0.5191





Notice how the 3x3 pattern for the last representation looks similar across the samples.

4 Exercise 3: Nearest neighbors methods using representations (10 points)

4.0.1 Task 1: Compute and plot nearest neighbors in different representations

We will now compute the 1 nearest neighbor (i.e., n_neighbors=1) of test points compared to train points in different representations.

- Loop through the representations for the train and test numpy arrays (i.e., z_list_train and z_list_test).
- For each representation from the different layers, compute the *training* indices corresponding to the nearest neighbor of first 15 *testing* indices.
- Plot the neighbors by passing the test indices and corresponding nearest neighbor training indices along with the corresponding train and test representations and a title that describes which representation into plot_neighbor.

Notes: *See note above about reshaping tensors immediately before passing to scikit-learn functions which expect a matrix. *The sklearn.neighbors.NearestNeighbors class and the kneighbors method may be very helpful. The data that is passed to fit will be the training data and the data passed to kneighbors should be the new test data. *The test indices should just be np.arange(15) assuming that you find the nearest training points for the first 15 points in the test dataset.

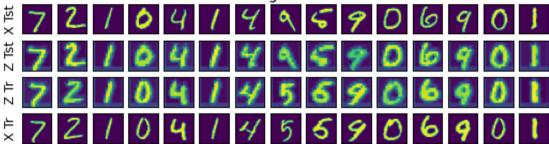
```
[63]: def plot_neigbhor(test_ind, nearest_train_ind, z_test, z_train, title):
    """
    Plots the original test image, the test image representation,
        the nearest train image representation, the nearest original train image.
    """
    assert len(test_ind) == len(
        nearest_train_ind
    ), "Test and train indices should be the same length"
    n_test = len(test_ind)
    fig, axes_mat = plt.subplots(4, n_test, figsize=np.array([n_test, 4]) / 2)
```

```
for test_i, nearest_train_i, axes in zip(test_ind, nearest_train_ind,_
 ⇒axes mat.T):
       plot_representation(x_test[test_i], axes[0])
       plot_representation(z_test[test_i], axes[1])
       plot_representation(z_train[nearest_train_i], axes[2])
       plot_representation(x_train[nearest_train_i], axes[3])
       if test i == 0:
           for lab, ax in zip(["X Tst", "Z Tst", "Z Tr", "X Tr"], axes):
               ax.set_ylabel(lab)
   fig.suptitle(title)
# ----- <Your code> -----
from sklearn.neighbors import NearestNeighbors
num_samples = 15
n neighbors = 1
test_indices = np.arange(num_samples)
def find nearest neighbor(test, train):
   nn = NearestNeighbors(n_neighbors=n_neighbors)
   nn.fit(train)
   distances, indices = nn.kneighbors(test, n_neighbors=n_neighbors)
   return indices.flatten()
for z_test, z_train, z_name in zip(z_list_test, z_list_train, ["z0", "z1", _
 # Take the first 15 samples from the test set
   z_test = z_test[:num_samples]
   nearest_train_ind = find_nearest_neighbor(
       z_test.reshape(z_test.shape[0], -1), z_train.reshape(z_train.shape[0],__
 →-1)
   plot_neigbhor(
       test_indices,
       nearest_train_ind,
       z_test,
       z_train,
       f"Nearest neighbor with {z_name}",
   )
# ----- <End your code> ------
```

Nearest neighbor with z0



Nearest neighbor with z1



Nearest neighbor with z2

