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Agda formalisation of an elaborator for a language based on simply typed lambda calculus

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Chapter 1

Introduction

1.1 Motivation

Our goal was to formalise an elaborator using Agda that presents all steps of describing a simple language in a mathematically rigorous, yet practical and easy to run framework. Elaboration means that we refine the concept of the language from broader ideas to stricter ones, while moving from more concrete representations towards more abstract ones.

The language - which we will often refer to as our *object language*, *object theory* or well-typed syntax with quotients - is based on simply typed lambda calculus (STLC) à la Church and à la Curry. The description stands closer to Curry's system. It has function space, i.e., abstraction and application, and a few extensions like finite types: booleans, products and sums; inductive types: naturals, lists, trees; and coinductive types like streams and simple state machines.

The novelty of our study is the elaboration stack that we implemented as an extension to the pre-existing formalisation [1] of the language. The elaboration consists of lexical analysis, parsing, scope checking and bidirectional type checking. We also refer to these as *compilation steps*. In addition, we have a standard model interpretation into our meta language and normalisation done by Agda. We call these the *evaluation steps*.

By rigorousness we mean that our code is correct by construction in multiple aspects. Agda - our *meta theory* or *metatheoretic language* - is a purely functional and total language, so we cannot get unwanted side effects, unhandled cases, runtime exceptions, or even non-terminating computations. The latter two could be

potential sources of issues if we used Haskell, for example. Another aspect is that our representations are algebraic theories giving us strong guarantees. For example, our abstract binding trees cannot be badly scoped, or our well-typed terms cannot be badly typed by the very definitions of these constructs. Moreover, the theorem proving nature of Agda also helps us with formalising and proving statements about our language, e.g., program equivalence can be verified.

Ease of use and transparency comes in the form of our top-level functions: elaborate returns each intermediate step leading up to the final compilation and evaluation results, or until an error occurs, e.g., syntax error, scope error, etc. This provides the user deeper insight into the abstract representations and reasons of potential errors. We can also run compile or eval when only caring about the compilation or evaluation results, or their compileM and evalM versions when a Maybe monadic return value is desired.

On Code 1.1, we present a few small examples to give the reader a quick taste of our language's syntax and our elaborator's capabilities. We omit all the _ = refl proof lines for brevity.

```
: compile "((10)"
                                                                   ≡ inj₂ syntax-error
  : compile "(\lambda foo. bar) : \mathbb{N} \to \mathbb{N}" \equiv inj_2 scope-error
  : compile "if true then 0 else false" ≡ inj₂ type-error
              = "(\lambda x. x+1)
                                      : N → N"
+1
              = "(\lambda x. x+x)
                                       : N → N"
double
            = "(\lambda \times . \times + \times + \times) : \mathbb{N} \to \mathbb{N}"
triple
              = "(\lambda \times y. iteN \times (\lambda z.z + 1) y) : N \rightarrow N \rightarrow N"
multiply = "(\lambda \times y. iteN \ 0 \ (\lambda z.z + x) \ y) : N \rightarrow N \rightarrow N"
twice = "(\lambda f x. f f x) : (\mathbb{N} \to \mathbb{N}) \to \mathbb{N} \to \mathbb{N}"
3-times = "(\lambda f x. f f f x) : (\mathbb{N} \to \mathbb{N}) \to \mathbb{N} \to \mathbb{N}"
              = \text{"}(\lambda \text{ f g x. f g x}) : (\mathbb{N} \to \mathbb{N}) \to (\mathbb{N} \to \mathbb{N}) \to \mathbb{N} \to \mathbb{N}"
  : eval (triple ++s "8")
                                                                          \equiv inj<sub>1</sub> (Nat , \lambda \gamma^* \rightarrow 24)
   : eval (plus ++s "3" ++s "8")
                                                                          \equiv inj_1 \text{ (Nat , } \lambda \text{ } \gamma^* \rightarrow 11)
   : eval (multiply ++s "6" ++s "20")
                                                                          \equiv inj_1 \text{ (Nat , } \lambda \text{ } \gamma^* \rightarrow 120)
   : eval (3-times ++s +1 ++s "10")
                                                                          \equiv inj<sub>1</sub> (Nat , \lambda \gamma^* \rightarrow 13)
_ : eval (∘ ++s double ++s triple ++s "10") ≡ inj₁ (Nat , \lambda \gamma* → 60)
```

Code 1.1: Introductory examples of compilation and evaluation results

1.2 Glossary

```
STLC = Simply Typed Lambda Calculus

AST = Abstract Syntax Tree

ABT = Abstract Binding Tree

constructor = type introduction rule, e.g., true, false

destructor = type elimination rule, e.g., if_then_else_

Ty = Type of our object language

Tm = Term of our object language

Con = Context of our object language

Sub = Substitution in our object language

St = Standard model of our object language

ite = "if then else", or "iterator of" when used as a prefix, e.g., in iteN

nil = constructor of the empty list

cons = head-tail constructor of non-empty lists

zero, suc = constructors of Peano arithmetic
```

Chapter 2

Outline

This study expects the reader to have some familiarity with λ -calculus, for we will not go into details about basic concepts like substitution, alpha equivalence or beta reduction.

The reader will also need some expertise with reading code written in functional languages, e.g., Haskell, including concepts like recursion, pattern matching and algebraic data types. Possessing familiarity with dependent types and Agda is an advantage, but not an absolute necessity for following our key points.

Firstly, we discuss the work of others related to our study in Chapter 3, where we cite several sources that can assist the reader in understanding our work. Then, from Chapter 4.1 to 4.4, we explain our methods of formalising the STLC elaborator. Chapters 4.5 and 4.6 describe the qutiented well-typed syntax and its standard model evaluation. Chapter 4.7 presents our high-level functions for running both the elaboration and the evaluation steps, while Chapter 4.8 goes through practical examples demonstrating the framework. Finally, in Chapter 5, we summarise and discuss our results and offer some insight about future work that could improve the implementation.

This thesis, all examples it presents, and the whole codebase is publicly accessible on GitHub [2].

Chapter 3

Related work

Agda and underlying theories

Agda [3] is a dependently typed programming language and theorem prover based on Martin-Löf's intuitionistic type theory [4]. We could use any language, e.g., Haskell, as our meta theory, implementing a compiler from the set of strings to some algebraic data type, however we would miss out the *mathematical rigorousness* we mentioned in the introduction.

The expressiveness and rigorousness of Agda's type system comes from the Curry-Howard isomorphism, which gives us *propositions-as-types* and *proofs-as-programs*. For example, defining a function of type $A \rightarrow B \rightarrow C$ stands as a proof of C, presuming that C and C hold. More precisely, given a constructive proof for proposition C and one for C his function can construct us a proof for C.

Extending this with dependent types allows us to formalise and prove statements in first-order predicate logic. Sørensen and Urzyczyn had published a great collection of literature about the isomorphism and related theories [5]. In particular, we recommend reading Chapters 1-4, 6, 9 and 10 of their work the most. They introduce the intuitionistic logical foundations and the basis of simply typed λ -calculus. Also, in Chapter 11.6 they present Gödel's System T, which stands even closer to our language than the baseline simply typed λ -calculus.

In practice, the above means that by using dependent functions and products, usually notated Π and Σ , respectively, we can encode universally and existentially quantified, i.e., first-order, statements in Agda's types, then prove said statements by constructing terms of these types.

Furthermore, having dependent types that correspond to propositions as first-

class citizens in our meta language allows us to index our inductive types (e.g., our binding trees) or to build models quotiented by equations (e.g., our well-typed syntax). This in essence, means that we can write record fields with types that are propositions of equality and need equality proofs when instancing, giving us a means to formalise categories and inference rules for operational semantics, discussed in Chapter 4.5.

As a result, the algebraic terms in our object language cannot be badly scoped or badly typed by definition and we also get decidable program equality, interpretation into our metatheoretic language, and even normalisation, i.e., a form of evaluation as seen in Chapter 4.6

agda-stdlib

Agda standard library [6] is a project that collects the most commonly used constructions for both programming and theorem proving under one easy to access umbrella. We chose to reuse the fundamental types and functions, e.g., Bool, Nat, Maybe and List, from this library instead of reimplementing these concepts.

agdarsec

Agdarsec [7] plays a crucial role in our toolchain. It is a total parser combinator library written in Agda. Parser combinators give us a high level interface for building complex parsers by composing simpler primitives. Totality means that by the definition of its type, we cannot write non-terminating parsers, i.e., Agda's termination checker would not accept such constructions. In order to circumvent this, the library builds fixpoints by using a form of guarded recursion and sized types. This gives us strong guarantees: non-advancing parsers or problematic left recursive grammar rules are simply not type correct in this framework.

Veltri and von Weide [8] not only discuss guarded recursion, sized types and their relation, but they also work in Agda on an object language similar to ours, e.g., their terms, substitution calculus, quotients are all similar.

Type systems formalisation

The formalisation we use as our object theory was written by Ambrus Kaposi as course notes for the Type systems lecture at ELTE-IK [1]. We took his STT, Fin, Ind and Coind languages - standing for *Simple Type Theory*, *finite*, *inductive* and

coinductive types, respectively - and merged them into a single model we call STLC, i.e., Simply Typed Lambda Calculus.

Our extension to his work, which is also the uniqueness of our study, is the elaboration toolchain [9] that we will present in greater detail.

Note that, while our language contains some less common constructions - mostly for demonstration purposes - like trees and streams, these are still usually considered simple types. We do not support dependent or polymorphic types or even full recursion (which would require a fixpoint combinator), but our formalisation could be extended in the future with some of these concepts.

Chapter 4

Implementation

First we present a bird's-eye overview of our elaboration and evaluation stacks on Figure 4.1, then show the process on a concrete example on Figure 4.2. Subsequent chapters detail the depicted representations and the steps between them.

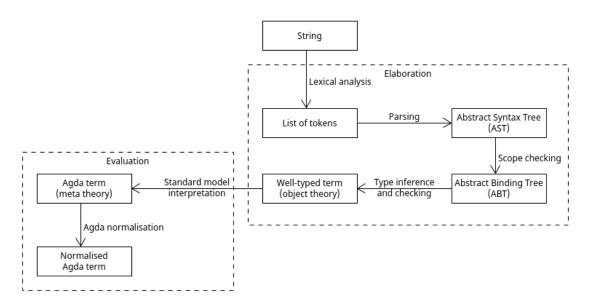


Figure 4.1: High-level overview of the elaboration and evaluation steps

From our seven representations: string and list of tokens are trivial; AST and ABT are simple inductive data types (albeit the latter is indexed by \mathbb{N}); and Agda terms, whether in normal form or not, are in the metatheoretic domain, which we do not have to deal with. The well-typed term level, i.e., our object theory, is the only one that bears a more complex definition.

From the six transitions between our levels of abstractions: lexical analysis and parsing are solved in a high-level approach using the agdarsec library; scope checking,

type inference and standard model interpretation bear more in-depth explanations; and Agda normalisation, as mentioned earlier, is not part of our domain.

We give prefixes to our constructors on all levels for clarity, e.g., t-true for the token, s-true for the AST (syntactic) term, p-true for its parser, and abt-true for the ABT term. Well-typed terms have no prefixes, e.g., true. We also often use the "o" suffix for distinguishing between Agda terms and our own, e.g. in zeroo and suco.

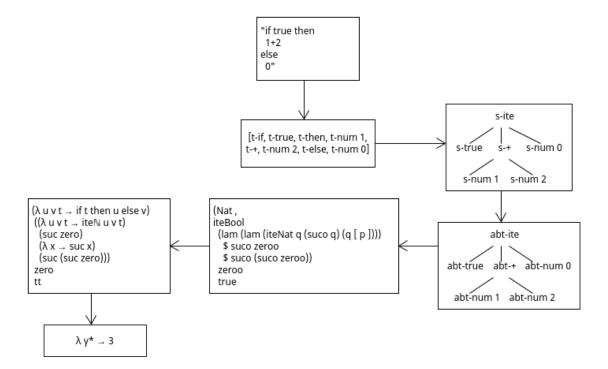


Figure 4.2: A simple elaboration example

4.1 Lexical analysis

First of all, it is worth mentioning that we initially implemented our own lexer which was functionally the same as the final implementation relying on agdarsec. It was a simple algorithm: read the input by characters; accumulate them to a word until a whitespace or separator is read; if it is a valid token put it into a list, otherwise return an error; repeat the steps until end of input.

Since agdarsec already ships with a high-level interface for lexical analysis, we chose to abstract this step similarly to parsing.

```
data Tok : Set where
 t-true
           : Tok
 t-false
          : Tok
  t-if
           : Tok
  t-then
           : Tok
 t-else
           : Tok
 t-isZero : Tok
 t-+
           : Tok
 t-num
           : N → Tok
  t-λ
           : Tok
  t-var
           : String → Tok
```

Code 4.1: Portion from our vocabulary of tokens

We have 50 tokens in total. This includes symbols like t-dot, t-lpar and t-rpar, standing for the "in a λ binding and for the '(' and ')' parentheses, respectively. On Code 4.1, and also in other code examples to follow in our thesis, we do not list all cases, only a few that can serve as examples and the more notable ones. We insert "…" comments where code is omitted from the middle of a snippet.

Tokens that need information attached are indexed. In particular, t-num and t-var store the natural numbers and variable names read from the source code, respectively.

We supply the $Token = Position \times Tok$ type to the lexical analyser, so it also outputs the character positions for all tokens.

The agdarsec library requires decidable equality over our token type, which we formalise in the following way: we list all 50 cases of propositional equality and postulate that the other combinations are unequal, instead of listing all the thousand and more cases. For t-num and t-var we simply rely on the decidable equality of the Nat and String types, as well as cong, i.e., congruence of equality in Agda.

```
eq-tok : Decidable {A = Tok} _≡_
eq-tok t-true t-true = yes refl
eq-tok t-false t-false = yes refl
eq-tok t-if t-if = yes refl
-- ...
eq-tok (t-num n) (t-num m) with n ≟N m
... | yes eq = yes (cong t-num eq)
... | no ¬eq = no λ hyp → ¬eq (cong (λ { (t-num n) → n ; _ → 0}) hyp)
eq-tok t-λ t-λ = yes refl
eq-tok (t-var name) (t-var name') with name ≟str name'
... | yes eq = yes (cong t-var eq)
... | no ¬eq = no λ hyp → ¬eq (cong (λ { (t-var s) → s ; _ → ""}) hyp)
-- ...
eq-tok _ _ = no no-more-eq where
private postulate no-more-eq : ∀ {A} → A
```

Code 4.2: Decidability of token equivalence

```
keywords : List+ (String × Tok)
keywords = ("true", t-true) :: ("false", t-false) :: ("if", t-if) :: {- ... -} :: []
```

Code 4.3: Mapping strings to our token type

```
breaking : Char → ∃ λ b → if b then Maybe Tok else Lift _ T
breaking c = case c of λ where
   '+' → true , just t-+
   'λ' → true , just t-λ
   '.' → true , just t-dot
   '→' → true , just t-→
   ...
c → if isSpace c then true , nothing else false , _
```

Code 4.4: Special tokens that also work as separators

As expected, all whitespace characters act as valid separators. Additionally, our tokens which are symbols, i.e., the ones that are not alphanumeric, are also accepted as separators. For example, "\lambda x.x+10" is lexically valid. On Code 4.4, we can see that breaking takes a character and returns a pair. The first field indicates if it is a separator and the second optionally contains a Tok depending on whether it is also a token.

```
default : String → Tok

default s = case (listch⇒N (toList s)) of λ where
  (just n) → t-num n
  nothing → t-var s
```

Code 4.5: Fallback function for words that are not keywords or separators

If the lexer encounters a word that is not a valid keyword or a separator, then it calls the default function on it. Our implementation tests whether the word is a valid natural number. If it is, then it constructs a t-num, otherwise we treat the word as a variable name using t-var. listch \Rightarrow N has a standard definition as seen on Code 4.6.

```
listch⇒N : List Char → Maybe N

listch⇒N [] = nothing

listch⇒N = step 0 where

step : N → List Char → Maybe N

step n [] = just n

step n (c :: cs) = if isDigit c then

step (n + (pow 10 (length cs)) * (toN c - 48)) cs

else

nothing where

pow : N → N → N

pow b = \lambda { 0 → 1 ; (suc e) → b * (pow b e)}
```

Code 4.6: Reading natural numbers from lists of characters

Accepting arbitrary strings as variable names is uncommon. We chose this approach because agdarsec's interface, i.e., the default function, does not support returning an error. It returns Tok and not a type like Maybe Tok. Of course, we could return an "error token", but that would pollute our Tok type, also the error would surface during parsing which would not be elegant. A potential clean solution would be to bring back our self-made tokenizer (that only accepted alphanumeric variable names) and inject that to agdarsec's parsing toolchain. This method is supported by the library and could be a potential improvement in the future.

The lexer itself is parametrized with the three functions discussed above using the import statement:

```
open import Text.Lexer keywords breaking default
```

4.2 Parsing

Just as in lexical analysis, our first approach was to implement our own parsing algorithm. This meant a recursive function that simulated a state machine. There were two problems with this approach. Firstly, Agda's termination checker rejected recursive calls at binary and ternary operators with no trivial way around, i.e., without implementing some form of fixpoint combinator. Secondly, our implementation could only handle the Polish notation of STLC, i.e., operators had to be written in prefix order: + 1 + 2 3 instead of 1 + 2 + 3. Implementing infix syntax for operators like _+_, function application with no symbol required, and support for parenthesis proved to be far from trivial. In essence, we would have had to implement a parser combinator library of our own. In order to avoid reinventing the wheel, we opted to use agdarsec to build our AST.

Setting up agdarsec's parser takes substantial importing and argument instancing work, mostly concerning monadic constructions. We chose to omit these implementation details from this thesis. Those who are interested can find the code in our repository [2], or can see similar use cases among the examples distributed with the library. Instead, we will focus on the high-level construction of the syntax itself by building and composing combinators with the library.

Firstly, we present our syntax on Code 4.7. We have nullary nodes like the Bool constructors s-true, s-false or s-nil which creates the empty list. Unary nodes include the product elimination rules s-fst and s-snd, and the sum introduction rules s-inl and s-inr. STLC has several binary operators, for example, addition of naturals _s-+_, function elimination _s-\$_, type former for products _s-,_ or the cons operator of lists _s-::_. Ternary nodes include iterators like s-ite (this is the Bool destructor "if then else"), s-iteN, s-iteList and s-iteTree.

Code 4.8 depicts the syntax we use for our type annotations, which we will need to clarify types of terms like the empty list "nil" or identity function " $\lambda x.x$ ". Their code might look like "nil : [N]" and "($\lambda x.x$) : N \rightarrow N", respectively.

```
data AST : Set where
               : AST
 s-true
               : AST
 s-false
 s-ite
               : AST → AST → AST
               : AST → AST
 s-isZero
               : AST → AST → AST
 _s-+_
 s-num
               : N → AST
 s-λ
               : List String → AST → AST
               : String → AST
 s-var
               : AST → AST → AST
 _s-$_
 s-triv
               : AST
  _s-,_
               : AST → AST → AST
               : AST → AST
 s-fst
 s-snd
               : AST → AST
               : AST → AST
 s-inl
               : AST → AST
 s-inr
               : AST → AST → AST
 s-case
 s-nil
               : AST
 _s-::_
               : AST → AST → AST
 s-leaf
               : AST → AST
               : AST → AST → AST
  _s - node_
 s-iteN
               : AST → AST → AST → AST
               : AST → AST → AST → AST
 s-iteList
 s-iteTree
               : AST → AST → AST → AST
 s-head
               : AST → AST
               : AST → AST
 s-tail
 s-genStream : AST \rightarrow AST \rightarrow AST
               : AST → AST → AST
 s-put
 s-set
               : AST → AST
               : AST → AST
 s-get
 s-genMachine : AST \rightarrow AST \rightarrow AST \rightarrow AST
```

: AST → SType → AST

s-ann

Code 4.7: Syntax of STLC

```
data SType : Set where
 s-Nat
           : SType
 s-Bool
           : SType
           : SType → SType → SType
  _s-→_
 s-T
           : SType
 s-I
            : SType
           : SType → SType → SType
  _s-×_
           : SType → SType → SType
  _s -⊎_
 s-List
           : SType → SType
 s-Tree : SType → SType
 s-Stream : SType → SType
 s-Machine : SType
```

Code 4.8: Syntax for type annotations

At this level $s-\lambda$ does not mean Church's usual lambda, but an abstract node that stores a list of variable names it binds, since we support the compact notation " $\lambda \times y z$. x+y+z". We will unroll this to properly nested lambdas when introducing De Bruijn indices [10] on our ABT level. Analogue to this, s-var stores the parsed variable name in its index which will be turned to a De Bruijn index later.

```
p-tok : Tok → ∀[ Parser P [ Token ] ]
p-tok t = maybeTok $ λ where
  tok@(_ , t') → case eq-tok t t' of λ where
    (yes eq) → just tok
    (no ¬eq) → nothing

p-parens : ∀ {A} → ∀[ □ Parser P A → Parser P A ]
p-parens rec = p-tok t-lpar &> rec <& box (p-tok t-rpar)

p-name : ∀[ Parser P [ String ] ]
p-name = maybeTok λ where (_ , t-var s) → just s; _ → nothing</pre>
```

Code 4.9: Parsing exact tokens, parenthesised terms and variable names

p-tok parses an exact token by using eq-tok introduced in the previous chapter. p-parens parses an opening parenthesis, followed by a recursive parsing of an arbitrary term, and then by a closing parenthesis. We always call the parameter passed for recursive parsing rec which has type \square Parser P A. Here \square means the type is "boxed" or "guarded" as in *guarded recursion* [8]; P stands for the parameters of the parsing module; and A is an arbitrary type, so p-parens is polymorphic and can be used to parse any type between parentheses. p-name can parse arbitrary strings, which we use in p-var and $p\text{-}\lambda$.

```
p-type : ♥[ Parser P [ SType ] ]
p-type = fix _ $ λ rec →
  let p-nat
                = s-Nat
                              <$ p-tok t-N</pre>
      p-bool
                 = s-Bool
                              <$ p-tok t-L</pre>
                 = S-T
                              <$ p-tok t-T</pre>
      p-unit
      p-empty
               = s-⊥
                              <$ p-tok t-⊥</pre>
      p-machine = s-Machine <$ p-tok t-Machine</pre>
      p-list'
                = s-List
                              <$> (p-tok t-[ &> rec <& box (p-tok t-]))</pre>
      p-atom = p-nat <|> p-bool <|> p-unit <|> p-empty <|> p-machine <|>
                p-list' <|> p-parens rec
              = chainr1 p-atom (box (_s-x_ <$ p-tok t-x))
              = chainr1 p-×
                                (box (_s-⊎_ <$ p-tok t-⊎))
      p-+
              = chainrl p-+
                                 (box (\_s \rightarrow \_ < \$ p - tok t \rightarrow))
      p-list
                = s-List
                            <$> (p-tok t-List
                                                 &> box p-atom)
                = s-Tree
                            <$> (p-tok t-Tree
                                                  &> box p-atom)
      p-stream = s-Stream <$> (p-tok t-Stream &> box p-atom)
  in p-→ <|> p-list <|> p-tree <|> p-stream
```

Code 4.10: Parsing type annotations

We guarantee guardedness by wrapping the recursive call in parentheses, i.e., the **p-parens rec** case in **p-atom**. This introduces requirement for some parentheses in certain situations. However, this lifts some ambiguity, for example, in "List $\mathbb{N} \to \mathbb{L}$ " between the choices of "List $(\mathbb{N} \to \mathbb{L})$ " and "(List $\mathbb{N}) \to \mathbb{L}$ ".

Our list types support two separate syntaxes: the classical "List \mathbb{N} " and the Haskell-like " $[\mathbb{N}]$ ".

For AST, parsers of non-recursive nodes like p-true, p-false or p-num have type \forall [Parser P [AST]], while parsers of recursive nodes are \forall [\Box Parser P [AST] \Rightarrow Parser P [AST]], where the guarded parameter

is the recursive parser we name rec. Without listing them all, we include a few more examples on Code 4.11.

```
p-\lambda rec = (\lambda l^+ \rightarrow s-\lambda (toList l^+)) < >
           (p-tok \ t-\lambda \ \&> \ box \ (list+ \ p-name) < \& \ box \ (p-tok \ t-dot)) <*> rec
p-$ rec = _s-$_ <$> p-subexp rec <*> rec
p-+ rec = chainl1 (p-subexp rec) (box (_s-+_ <$ p-tok t-+))
p-, rec = chainr1 (p-+ rec)
                                    (box (_s-,_ <$ p-tok t-,))
p-:: rec = chainr1 (p-, rec)
                                    (box (_s-::_ <$ p-tok t-::))
p-list rec = (add-nil <$> (p-tok t-[ &> box (chainr1 (p-subexp rec)
              (box (_s-::_ <$ p-tok t-,))) <& box (p-tok t-])))
              <|>
              (s-nil <$ p-tok t-[ <& box (p-tok t-])) where
  add-nil : AST → AST
  add-nil(ls-::r) = ls-::(add-nilr)
  add-nil = _s-:: s-nil
p-ann rec = s-ann <$> p-subexp rec <*> box (p-tok t-: &> box p-type)
```

Code 4.11: Parsers of some nodes in our AST

There is no combinator in agdarsec that would allow us writing a parser that accepts an empty input, or an empty list to be more precise, since non-advancing parsers would violate totality. This aligns with our need for $s-\lambda$, since we expect at least one variable name to bind in a lambda expression. For this we use the list+combinator. Converting it back to a simple list with toList is just an implementation trick, a liberty we took, so we could more easily pattern match later in the scope checking step.

The case of p-::, p-, and p-+ is similar to the binary nodes presented in our p-type example.

In p-list we handle the empty list and non-empty list cases separately. Since we cannot have a parser that accepts the empty string, we need to manually add nil to the end of our non-empty list case using add-nil.

Finally, to conclude our parsing stack, we present the definitions of p-subexp and p-exp on Code 4.12.

```
p-subexp rec =
  p-true
                <|>
  p-false
                <|>
  p-num
                <|>
  p-var
                <|>
  p-triv
                <|>
  p-nil
                <|>
  p-leaf
            rec <|>
  p-parens rec
p-exp = fix _ $ \lambda rec \rightarrow
  p-ann
                rec <|>
  p-list
                rec <|>
  p-node
                rec <|>
  p-$
                rec <|>
  p-::
                rec <|>
  p-ite
                rec <|>
                rec <|>
  p-isZero
  p-fst
                rec <|>
                rec <|>
  p-snd
  p-inl
                rec <|>
  p-inr
                rec <|>
  p-case
                rec <|>
  p-λ
                rec <|>
  p-iteN
                rec <|>
  p-iteList
                rec <|>
  p-iteTree
                rec <|>
  p-head
                rec <|>
  p-tail
                rec <|>
  p-genStream
               rec <|>
  p-put
                rec <|>
  p-set
                rec <|>
                rec <|>
  p-get
  p-genMachine rec <|>
  p-subexp
                rec
```

Code 4.12: p-subexp and p-exp parsers

p-subexp has all nullary nodes listed, because they do not need to be guarded by parentheses. p-leaf is included too, since it is guarded in itself, because of its unique prefix, e.g., leaves of Tree $\mathbb N$ look like "<42>". p-exp is the top-level parser of STLC.

Omitting the cumbersome work of argument instancing, we show the final parsing algorithm on Code 4.13.

Code 4.13: Monadic total implementation of the parsing stack

Without going too much into detail, we can see that our function starts from a string (the source code) and a parser (p-exp in our case). It runs the injected tokenizer for which we use the one provided by agdarsec, parametrized with our three functions discussed in the previous chapter. It is also clear that the parsing can fail which is indicated by returning nothing. The need for the n≤1+n proof suggests that the internal code of agdarsec uses the fact that parsing always consumes some non-zero amount of characters from the input, thus reducing the sized type used.

Code 4.14: Parsing examples

4.3 Scope checking

```
data ABT (n : N) : Set where
  abt-true
                   : ABT n
  abt-false
                  : ABT n
                   : ABT n → ABT n → ABT n
  abt-ite
  abt-isZero
                  : ABT n → ABT n
                   : ABT n → ABT n → ABT n
  _abt-+_
  abt-num
                   : N → ABT n
  abt-λ
                   : ABT (suc n) → ABT n
  _abt-$_
                   : ABT n → ABT n → ABT n
  abt-var
                   : Fin n → ABT n
  abt-triv
                   : ABT n
  _abt-,_
                   : ABT n → ABT n → ABT n
  abt-fst
                   : ABT n → ABT n
  abt-snd
                   : ABT n → ABT n
  abt-inl
                   : ABT n → ABT n
  abt-inr
                   : ABT n → ABT n
  abt-case
                   : ABT n → ABT n → ABT n
  abt-nil
                   : ABT n
                   : ABT n → ABT n → ABT n
  _abt-::_
  abt-leaf
                   : ABT n → ABT n
                   : ABT n → ABT n → ABT n
  _abt-node_
                   : ABT n \rightarrow ABT n \rightarrow ABT n \rightarrow ABT n \rightarrow ABT
  abt-iteN
  abt-iteList
                   : ABT n → ABT n → ABT n
  abt-iteTree
                   : ABT n → ABT n → ABT n
  abt-head
                   : ABT n → ABT n
  abt-tail
                   : ABT n → ABT n
  abt-genStream : ABT n \rightarrow ABT n \rightarrow ABT n \rightarrow ABT n \rightarrow ABT
  abt-put
                   : ABT n → ABT n → ABT n
                   : ABT n → ABT n
  abt-set
  abt-get
                   : ABT n → ABT n
  abt-genMachine : ABT n \rightarrow ABT
                   : ABT n → SType → ABT n
  abt-ann
```

Code 4.15: Syntax for abstract binding trees of STLC

The most apparent difference between our AST and ABT definitions is that the latter is indexed by \mathbb{N} . This index indicates the number of free variables in the expression, i.e., the size of context the corresponding typed term needs.

Another notable difference is in $abt-\lambda$. It no longer stores the list of variable names obtained from the source code. Instead, we unroll these shorthand lambdas to separate constructors which we will treat as binders that use De Bruijn indices [10]. For example, $s-\lambda$ ("x" :: "y" :: []) (s-var "x" s-+ s-var "y") is turned into $abt-\lambda$ ($abt-\lambda$ (abt-var 1 abt-+ abt-var 0)). Note that the first variable x got the index one, and y got index zero. This is because De Bruijn indices indicate the distance from the represented variable's binder in the tree.

Naturally, our abt-var node is also indexed by natural numbers instead of strings. To be more rigorous, we chose to use Fin n (set of n elements, i.e., subset of naturals from 0 to n-1) in our definition abt-var: Fin $n \rightarrow ABT$ n, as can be seen on Code 4.15. This ensures that we cannot create an ABT which has a variable reference that is out of bounds, i.e., one that uses an index not present in its context.

Our scope checking formalisation rely on a few lemmas depicted on Codes 4.16 and 4.17. Here we omit the proofs for the arithmetic ones, but they can be found in our codebase.

```
lift-abt : \{m \ n : \mathbb{N}\} \rightarrow (m \le n) \rightarrow \mathsf{ABT} \ m \rightarrow \mathsf{ABT} \ n

lift-abt m \le n (u \ abt-+ \ v) = (lift-abt m \le n \ u) abt-+ (lift-abt m \le n \ v)

lift-abt m \le n (abt-num n) = abt-num n

lift-abt m \le n (abt-\lambda \ t) = abt-\lambda \ (lift-abt \ m \le n \ t)

lift-abt m \le n \ (u \ abt-\$ \ v) = (lift-abt m \le n \ u) abt-$ (lift-abt m \le n \ v)

lift-abt \{m\} \{n\} m \le n \ (abt-var \ f) = abt-var (inject\le \{m\} \{n\} f \ m \le n) where inject\le \{m\} \{n\} f \ m \le n f \ m \ne n f \ m \rightarrow n f \ m \ne n f \ m \rightarrow n
```

Code 4.16: Lemma: an ABT can always be embedded into a bigger context

```
 \le -\max 2 : (n m : \mathbb{N}) \to (n \le \max n m) \times \\ (m \le \max n m)   \le -\max 3 : (n m k : \mathbb{N}) \to (n \le \max (\max n m) k) \times \\ (m \le \max (\max n m) k) \times \\ (k \le \max (\max n m) k)   \le -\max 4 : (n m k l : \mathbb{N}) \to (n \le \max (\max (\max n m) k) l) \times \\ (m \le \max (\max (\max n m) k) l) \times \\ (k \le \max (\max (\max n m) k) l) \times \\ (l \le \max (\max (\max n m) k) l)
```

Code 4.17: Arithmetic lemmas needed for scope checking

```
scopeinfer : AST \rightarrow Maybe (\Sigma \mathbb{N} \lambda n \rightarrow ABT n)
scopeinfer = sinfer [] where
  sinfer : List String \rightarrow AST \rightarrow Maybe (\Sigma \mathbb{N} \lambda n \rightarrow ABT n)
  sinfer ss s-true = just (0 , abt-true)
  sinfer ss s-false = just (0 , abt-false)
  sinfer ss (s-ite t u v) with sinfer ss t | sinfer ss u | sinfer ss v
  ... | just (i , t') | just (j , u') | just (k , v') =
  just (max i j) k , abt-ite (lift-abt (\pi_1
                                                               (≤-max3 i j k)) t')
                                         (lift-abt (\pi_1 (\pi_2 (\leq -max3 i j k))) u')
                                         (lift-abt (\pi_2 (\pi_2 (\leq -\max 3 i j k))) v'))
  ... | _ | _ | _ = nothing
  sinfer ss (s-λ
                            [] t) = sinfer ss t
  sinfer ss (s-\lambda \ (v :: vs) \ t) with sinfer (v :: ss) \ (s-\lambda \ vs \ t)
                       , t') = just (0 , abt-\lambda (lift-abt tt t'))
  ... just (0
  ... | just (suc n , t') = just (n , abt-\lambda t')
  ... | nothing = nothing
  sinfer ss (u s-$ v) with sinfer ss u | sinfer ss v
  ... | just (i , u') | just (j , v') =
  just (max i j , _abt-$_ (lift-abt (π₁ (≤-max2 i j)) u')
                                (lift-abt (\pi_2 (\leq -\max 2 i j)) v'))
  ... | _ | _ = nothing
  sinfer ss (s-var name) = case (lookup ss name) of \lambda where
    nothing → nothing -- not in scope
     (just i) \rightarrow just (suc i , abt-var (from N i)) where
       from \mathbb{N}: (n : \mathbb{N}) \rightarrow Fin (suc n)
       from\mathbb{N} = \lambda \ \{ \ 0 \rightarrow Fin.zero ; (suc n) \rightarrow suc (from\mathbb{N} n) \}
```

Code 4.18: Scope inference algorithm

scopeinfer takes an AST, then if it is well-scoped, it returns a dependent pair: some natural n and an ABT n, otherwise it returns nothing. Basically what happens is that we decorate our syntax tree with context size indices. We use sinfer as a helper function to iterate over the tree. It accumulates the bound variable names in its first argument from the $s-\lambda$ nodes. Then, at s-var nodes we look up the variable from the list: if not found, we return with error; otherwise we use the resulting list index as the De Bruijn index. Note that the suc for the ABT index is needed here only because lookup indexes from zero, i.e., a De Bruijn index 0 means that we need a context of at least size one.

For nodes with arity two or more, such as _s-\$_ and s-ite on Code 4.18, we

recursively infer the scope of all subterms; choose the biggest scope size among them; then lift all operands to that scope for constructing the ABT node. To achieve this, we use our lemmas $\leq -\max 2$ and $\leq -\max 3$ which state that the maximum of two or three numbers is always larger than or equal to the individual numbers.

```
scopecheck : AST → Maybe (ABT 0)
scopecheck ast with scopeinfer ast
... | just (0 , abt) = just abt
... | _ = nothing
```

Code 4.19: Scope checking algorithm

The module's top-level function scopecheck simply runs the scope inference and checks whether we get a closed term, i.e., a binding tree with index zero. This is to ensure that there are no free variables in the term, or in other words: it is well-scoped. Note that, again we encode a strong requirement in our type: the scope checking cannot return a badly scoped tree by definition.

Code 4.20: Scope checking examples

4.4 Bidirectional type checking

In this chapter we first give a brief introduction to our well-typed syntax for easier understanding. However, we will discuss our object theory in more detail in the next chapter.

```
data Ty
          : Set where
          : Ty → Ty → Ty
          : Ty → Ty → Ty
  _×0_
 Unit
          : Ty
  _+0_
          : Ty → Ty → Ty
 Empty
          : Ty
 Bool
          : Ty
 Nat
          : Ty
 List
          : Ty → Ty
 Tree
          : Ty → Ty
 Stream : Ty → Ty
 Machine : Ty
data Con : Set where
         : Con
         : Con → Ty → Con
Tm : Con → Ty → Set
```

Code 4.21: Types, contexts and terms in the well-typed syntax

Ty has the exact same definition as our syntactic types SType as visible when comparing Code 4.21 with 4.8. Contexts (Con) are simply a list of types, where \diamond corresponds to nil, and $_\triangleright_$ is similar to the cons constructor, takes a prefix list and a type to form a new context. So \diamond represents the empty context, and $\diamond \triangleright$ Nat \triangleright Bool stands for the context that has a Bool and a Nat variable in De Bruijn indices zero and one, respectively. The indices are inverted, because when we append a new variable with a λ introduction it becomes De Bruijn index zero.

Terms (Tm) are indexed by their contexts and their types. Later we will see that we cannot construct arbitrary terms from arbitrary contexts. For example, the term q, which stands for the "last bound variable", cannot be constructed in the empty context.

Codes 4.22, 4.23 and 4.24 show portions from the code used for type checking: decidable equality over Ty; mapping from the syntactic SType to Ty; and a few additional helper functions, respectively.

```
\underline{\dot{}}: (A B : Ty) \rightarrow Dec (A \equiv B)
Nat ≟ Nat
                        = yes refl
Nat ≟ Bool
                         = no \lambda ()
Nat ≟ Unit
                         = no \lambda ()
Nat \stackrel{?}{=} Empty = no \lambda ()
Nat \stackrel{?}{=} (\_ \Rightarrow \_) = no \lambda ()
Nat \stackrel{?}{=} (_ ×o _) = no \lambda ()
Nat \stackrel{?}{=} (_ +o _) = no \lambda ()
-- ...
(A_1 \times_0 A_2) \stackrel{?}{=} (B_1 \times_0 B_2) with A_1 \stackrel{?}{=} B_1 \mid A_2 \stackrel{?}{=} B_2
\dots | yes e<sub>1</sub> | yes e<sub>2</sub> = yes (cong<sub>2</sub> _xo_ e<sub>1</sub> e<sub>2</sub>)
... | yes e_1 | no \neg e_2 = no \lambda hyp \rightarrow \neg e_2 (cong \timessnd hyp) where
   ×snd : Ty → Ty
   \timessnd = \lambda { (A \timeso B) \rightarrow B ; X \rightarrow X }
... | no \neg e_1 | yes e_2 = no \lambda hyp \rightarrow \neg e_1 (cong xfst hyp) where
   ×fst : Ty → Ty
   \times fst = \lambda \{ (A \times o B) \rightarrow A ; X \rightarrow X \}
... | no \neg e_1 | no \neg e_2 = no \lambda hyp \rightarrow \neg e_1 (cong xfst hyp) where
   ×fst : Ty → Ty
   \times fst = \lambda \{ (A \times_0 B) \rightarrow A ; X \rightarrow X \}
-- ...
```

Code 4.22: Decidable equality of types

Code 4.23: Mapping syntactic types SType to Ty

```
length : Con \rightarrow \mathbb{N}

length = \lambda { \diamond \rightarrow 0 ; (\Gamma \triangleright \_) \rightarrow suc (length \Gamma) }

lookup : (\Gamma : Con) \rightarrow Fin (length \Gamma) \rightarrow (\Sigma Ty \lambda A \rightarrow Tm \Gamma A)

lookup (\Gamma \triangleright A) zero = A , q

lookup (\Gamma \triangleright A) (suc n) = proj 1 rest , proj 2 rest [p] where rest : \Sigma Ty \lambda A \rightarrow Tm \Gamma A rest = lookup \Gamma n

fconv : {\Gamma : Con}{A B : Ty} \rightarrow Tm \Gamma (A \Rightarrow B) \rightarrow Tm (\Gamma \triangleright A) B fconv f = f [p] $ q
```

Code 4.24: Helper functions for type checking

lookup is a safe function, due to the index argument's type Fin (length Γ) that searches the nth type from a Con. It also constructs the term that extracts said nth variable from the context. For this, it builds a chain of [p] substitutions with length equal to the queried index. Informally, all [p] substitutions "peel off" the last bound variable from the list. Finally, the function puts a q to the term, that extracts the last variable from the truncated list. For example, if we run lookup Γ 3, then we get a pair like: A , q [p] [p] [p], where A is the type of the variable at De Bruijn index three in Γ . We have a shorthand notation for the previous term: q [p \circ p \circ p].

fconv is interesting when we consider our introduction rule for abstraction:

```
lam : \forall \{\Gamma \land B\} \rightarrow Tm \ (\Gamma \triangleright A) \ B \rightarrow Tm \ \Gamma \ (A \Rightarrow B)
```

It means that when we can explain a term of type B using a context that is some Γ plus a variable A, we can also always explain a function in context Γ that goes from A to B. fconv proves the opposite direction by weakening the function's context in f [p] and then applying the first variable from this context to it with q. We explain q, p, _[_] and _q alongside the whole substitution calculus in Chapter 4.5 in more detail.

Corollary: Tm $(\Gamma \triangleright A)$ B and Tm Γ $(A \Rightarrow B)$ are isomorphic in our model.

Note the difference between \rightarrow and \Rightarrow in the definition above. The former denotes function types in our metatheoretic language, while the latter means functions in our object theory.

Bidirectional type checking consists of the usual [11] two functions: infer and check. Both take an ABT term as input. The former, if well-typed, returns its inferred type and the corresponding well-typed term. The latter takes the expected type as argument and checks whether the input term has that type; if yes, it returns the well-typed term.

```
infer : (\Gamma : Con) \rightarrow ABT (length \Gamma) \rightarrow Maybe (\Sigma Ty \lambda A \rightarrow Tm \Gamma A) check : (\Gamma : Con) \rightarrow (A : Ty) \rightarrow ABT (length \Gamma) \rightarrow Maybe (Tm \Gamma A)
```

Code 4.25: Types of functions infer and check

From Code 4.26 to 4.31, we present a non-exhaustive subset of our inference and checking rules.

```
infer Γ abt-true = just (Bool , true)
infer Γ abt-false = just (Bool , false)

infer Γ (abt-isZero t) with infer Γ t
... | just (Nat , t') = just (Bool , iteNat true false t')
... | _ = nothing
```

Code 4.26: Type inference: some trivial cases

It is a recurring pattern that type inference of terms usually requires type inference of their subterm(s), like with isZero where the subterm must be a Nat.

```
infer Γ (abt-ite t u v) with infer Γ t | infer Γ u | infer Γ v
... | just (Bool , t') | just (A , u') | just (B , v') with B ≟ A
... | yes e = just (A , iteBool u' (transp (λ X → Tm Γ X) e v') t')
... | _ = nothing
infer Γ (abt-ite t u v) | _ | _ | _ = nothing

infer Γ (u abt-+ v) with infer Γ u | infer Γ v
... | just (Nat , u') | just (Nat , v') = just (Nat , iteNat v' (suco q) u')
... | _ = nothing
```

Code 4.27: Type inference: if-then-else and addition

For "if t then u else v" constructions, we first check whether t is of type Bool and that u and v have the same type by using pattern matching. If all criteria hold, we use Bool's iterator iteBool for building the well-typed term. It normalises to its first argument if the third does to true, or to the second otherwise. The use of transp in this proof and in ones to follow is simply needed for technical reasons.

```
transp : \forall \{ \mathbb{I} \} \{ A : Set \mathbb{I} \} \{ \mathbb{I}' \} (P : A \rightarrow Set \mathbb{I}') \{ a a' : A \} \rightarrow a \equiv a' \rightarrow P a \rightarrow P a'
```

With this we can transport propositions over *propositional equality* of Ty, because Agda does this implicitly only with its own *definitional equality*. More precisely, if we have a proof for $a \equiv a'$ and that P a holds, transp gives us a proof of P a'. So here we rely on the correctness of the trivial $\stackrel{?}{=}$ proposition of Ty equality from before.

The addition operator is handled similarly, now using the iterator of naturals iteNat. Its first argument is the term that zeroo will be replaced with; the second is one that given a partial result of the iteration (in the context), produces the next result for each suco; and the third is the Nat that we wish to iterate on.

```
iteNat : \forall \{\Gamma A\} \rightarrow \text{Tm } \Gamma A \rightarrow \text{Tm } (\Gamma \triangleright A) A \rightarrow \text{Tm } \Gamma \text{ Nat } \rightarrow \text{Tm } \Gamma A
```

In case of our addition, we replace the zeroo in the first number with the second number itself, then for each suco in the first number we insert a suco into the result.

```
infer \Gamma (abt-\lambda t) = nothing infer \Gamma (u abt-\$ v) with infer \Gamma u ... | just (A \Rightarrow B , u') with check \Gamma A v ... | just v' = just (B , u' \$ v') ... | nothing = nothing infer \Gamma (u abt-\$ v) | _ = nothing infer \Gamma (abt-var n) = just (lookup \Gamma n)
```

Code 4.28: Type inference: abstraction, application and variable lookup

We reject inference of abstractions by returning **nothing**, because we cannot determine types of bound variables in general. For example, the type of " $\lambda x.x$ " can be " $\mathbb{N} \to \mathbb{N}$ ", " $\mathbb{L} \to \mathbb{L}$ ", " $[\mathbb{N}] \to [\mathbb{N}]$ " or an infinite amount of other types. It is not only the identity function that is problematic. Any abstraction that does not use all its bound variables has a similar issue, like " $\lambda x.10$ ", which can be " $\mathbb{N} \to \mathbb{N}$ ", " $\mathbb{L} \to \mathbb{N}$ ", " $\mathbb{L} \to \mathbb{N}$ ", etc. As a result, lambdas need to be type annotated in our language, or they must be present in a context where we expect a specific type of function through the usage of our check function.

When inferring an application, we first test whether the left-hand side is a function $A \Rightarrow B$, then we check if the right-hand side is of type A. If these hold, we can construct the term for application using \$.

```
infer Γ (u abt-:: v) with infer Γ u
... | just (A , u') with check Γ (Ty.List A) v
... | just v' = just (Ty.List A , cons u' v')
... | nothing = nothing
infer Γ (u abt-:: v) | _ = nothing

check Γ (List A) abt-nil = just nil

check Γ A t with infer Γ t
... | nothing = nothing
... | just (B , t') with B ≟ A
... | yes e = just (transp (λ X → Tm Γ X) e t')
... | _ = nothing
```

Code 4.29: Type inference and checking: lists

We present the mutually recursive nature of infer and check on our list type.

To determine the type of u :: v, we first infer the type of the head u which will be some type A. Then we check if the rest of the list v is of type List A. If v is still a non-empty list, then our third case from Code 4.29 will run, which simply calls inference on the remainder list. infer and check will call each other until we reach our base case: the empty list. It is clear from the code attached above that no matter what type A is in List A, we can always construct the term nil. This is guaranteed by Agda's polymorphic nature as our meta language:

```
nil : \forall{Γ A} → Tm Γ (List A)
```

List is not the only type former for which we need explicit check cases, Code 4.30 shows a few more.

```
check \Gamma (A \Rightarrow B) (abt-\lambda t) with check (\Gamma \triangleright A) B t ... | just t' = just (lam t') ... | nothing = nothing check \Gamma (A +o _) (abt-inl t) with infer \Gamma t ... | just (B , t') with B \stackrel{?}{=} A ... | yes e = just (inl (transp (\lambda X \rightarrow Tm \Gamma X) e t')) ... | _ = nothing check \Gamma (A +o _) (abt-inl t) | nothing = nothing check \Gamma (_ +o A) (abt-inr t) with infer \Gamma t ... | just (B , t') with B \stackrel{?}{=} A ... | yes e = just (inr (transp (\lambda X \rightarrow Tm \Gamma X) e t')) ... | _ = nothing check \Gamma (_ +o A) (abt-inr t) | nothing = nothing
```

Code 4.30: Type checking: functions and sums

Some $abt-\lambda$ t term will be a valid term of $A \Rightarrow B$ in context Γ , if and only if t is a valid term of type B in context ($\Gamma \triangleright A$). The extended context here contains the variable bound by the lambda.

Sum types are introduced by the left and right injection rules inl and inr. With the (A +o _) and (_ +o A) patterns in the snippet above, we indicate that the type checking does not consider the other types in the sum in these cases.

For annotated terms, we first use infer-ty to determine the type from the syntactic annotation. Then we test whether the subject term has that type using check, which simply involves an equality test of Ty, as seen on Code 4.31.

Code 4.31: Type inference and checking: annotated terms

4.5 Algebraic definition quotiented by equations

We do not include the whole formalisation of our models neither in this nor in the following chapter since that would entail depicting nearly a thousand lines of code. Instead, we highlight the most fundamental and significant parts of our code, and ask the reader to visit our codebase if they look for a deeper review.

In Chapter 4.4, we already introduced the reader to some of the concepts in our object theory, such as Ty, Con and Tm. Now we will explain the full substitution calculus and some other quotients that formalise desired β and η equivalences between terms of our language. Structures like these are sometimes called *quotient inductive-inductive types*, or QIITs [12].

Each λ -abstraction binds a variable introducing a new scope. We can mathematically express this using substitutions. Usual notations for rewriting $(\lambda x.M)N$ to substitution form include M[x := N] and $M[x \leftrightarrow N]$. This means that we replace all occurrences of the variable x in M with N. If we have a scope with multiple bound variables, e.g., $(\lambda x.(\lambda y.(\lambda z.M)))$ N_1 N_2 N_3 , we may write $M[x := N_1, y := N_2, z := N_3]$. However, on this level we use De Bruijn indices, so we can omit the variable names: $M[N_1, N_2, N_3]$. The notation we employ is t [u, v, v, v, v, v, etc., for terms and v, of or syntactic distinction between our object and meta languages.

```
Sub : Con → Con → Set
_{\odot} : \forall{\Gamma \Delta \Theta} \rightarrow Sub \Delta \Gamma \rightarrow Sub \Theta \Delta \rightarrow Sub \Theta \Gamma
ass : \forall \{\Gamma \ \Delta \ \theta \ \Xi \} \{ \gamma : Sub \ \Delta \ \Gamma \} \{ \delta : Sub \ \theta \ \Delta \} \{ \theta : Sub \ \Xi \ \theta \} \rightarrow (\gamma \odot \delta) \odot \theta \equiv \gamma \odot (\delta \odot \theta)
             : ∀{Γ} → Sub Γ Γ
id
           : \forall \{\Gamma \Delta\} \{\gamma : \text{Sub } \Delta \Gamma\} \rightarrow \text{id } \otimes \gamma \equiv \gamma
            : \forall \{\Gamma \Delta\} \{\gamma : \text{Sub } \Delta \Gamma\} \rightarrow \gamma \otimes \text{id} \equiv \gamma
             : ∀{Γ} → Sub Γ ⋄
             : V{\Gamma}{\sigma} : Sub \Gamma \diamondsuit \rightarrow \sigma \equiv \varepsilon
♦n
             : Con → Ty → Set
[ ] : \forall \{\Gamma \triangle A\} \rightarrow \mathsf{Tm} \ \Gamma A \rightarrow \mathsf{Sub} \ \triangle \ \Gamma \rightarrow \mathsf{Tm} \ \triangle A
[\circ] \quad : \  \forall \{\Gamma \ \Delta \ \theta \ A\} \{t \ : \  \mathsf{Tm} \ \Gamma \ A\} \{\gamma \ : \  \mathsf{Sub} \ \Delta \ \Gamma\} \{\delta \ : \  \mathsf{Sub} \ \theta \ \Delta\} \ \rightarrow \ \ t \ [\ \gamma \ @ \ \delta \ ] \ \equiv \ t \ [\ \gamma \ ]
                                                                                                                                                                                                               [δ]
[id] : \forall \{\Gamma A\}\{t : Tm \Gamma A\} \rightarrow t [id] \equiv t
\_, o_ : \forall \{ \Gamma \triangle A \} \rightarrow Sub \triangle \Gamma \rightarrow Tm \triangle A \rightarrow Sub \triangle (<math>\Gamma \triangleright A)
            : ∀{Γ A} → Sub (Γ ⊳ A) Γ
             : \forall \{\Gamma \ A\} \rightarrow Tm \ (\Gamma \triangleright A) \ A
\triangleright\beta_1 : \forall \{\Gamma \ \Delta \ A\}\{\gamma : Sub \ \Delta \ \Gamma\}\{t : Tm \ \Delta \ A\} \rightarrow p \ \circledcirc \ (\gamma \ \text{,o t}) \ \equiv \gamma
           : \forall \{\Gamma \ \Delta \ A\} \{\gamma : Sub \ \Delta \ \Gamma\} \{t : Tm \ \Delta \ A\} \rightarrow q \ [\gamma , o \ t ] \equiv t
             : \forall \{\Gamma \ \Delta \ A\} \{\gamma a : Sub \ \Delta \ (\Gamma \ \triangleright \ A)\} \rightarrow p \ \emptyset \ \gamma a \ ,o \ q \ [\ \gamma a \ ] \equiv \gamma a
```

Code 4.32: The substitution calculus

Code 4.32 shows that substitution: Sub is between contexts: Cons. The above explained notation appears in $_{[]}$. It means that if we have a term of type A in context Γ and a substitution from Δ to Γ , then we can construct a term of type A in Δ . This operator is often called *instantiation*.

Substitutions can be composed with the _o_ operator which is associative, shown by ass. [o] says that two consecutive substitutions are equivalent to the single substitution of their composition. We call the substitution that leaves the context untouched id. It is both a left and right identity of composition witnessed by idl and idr, respectively. [id] states that substitution with the identity does not change any term.

 ε means that we can substitute any Γ to the empty context. The uniqueness rule $\diamond \eta$ states that there is exactly one such substitution.

We gave an informal introduction to p and q in the previous chapter. Their computation rules or beta-rules - i.e., descriptions of what happens when we apply a destructor to a constructor - formally states what we explained. p removes the last bound variable, returning a truncated context; and q extracts the last variable. Both work only on non-empty contexts as seen in the common pattern γ , o t.

Finally, we need one more uniqueness rule: $\triangleright \eta$. It describes that given an arbitrary context, if we remove then reinsert the last variable, the result will only be equivalent to the original context.

Note that the above presented model is also often called a simply typed category with families, sCwF [13].

Code 4.33: Quotients of abstraction and application

 $\Rightarrow \beta$ is the rule of β -reduction: applying some t on some u is equivalent to substituting all occurrences of t's bound variable (i.e., term for De Bruijn index zero) with u.

 $\Rightarrow \eta$ formalises the eta conversion of lambda calculus: $\lambda x.f x = f$, where x does not appear free in f. Naturally, we do not have to take the "does not appear free" part into consideration, since variable names are not used on this level of abstraction.

<code>lam[]</code> and <code>\$[]</code> describe how to substitute abstractions and applications.

Code 4.34: Terms for De Bruijn indexed variables

```
: ∀{Γ} → Tm Γ Nat
zeroo
            : ∀{Γ} → Tm Γ Nat → Tm Γ Nat
suco
iteNat
            : \forall \{ \Gamma A \} \rightarrow \text{Tm } \Gamma A \rightarrow \text{Tm } (\Gamma \triangleright A) A \rightarrow \text{Tm } \Gamma \text{ Nat } \rightarrow \text{Tm } \Gamma A
            : \forall \{\Gamma A\}\{u : Tm \Gamma A\}\{v : Tm (\Gamma \triangleright A) A\} → iteNat u v zeroo ≡ u
Natßı
Natβ₂
            : ∀{Γ A}{u : Tm Γ A}{v : Tm (Γ ▷ A) A}{t : Tm Γ Nat} →
               iteNat u v (suco t) \equiv v [ id ,o iteNat u v t ]
            : \forall{Γ Δ}{γ : Sub Δ Γ} → zeroo [ γ ] ≡ zeroo
zero[]
suc[]
            : \forall \{\Gamma\}\{t : Tm \ \Gamma \ Nat\}\{\Delta\}\{\gamma : Sub \ \Delta \ \Gamma\} \rightarrow (suco \ t) \ [\ \gamma \ ] \equiv suco \ (t \ [\ \gamma \ ])
iteNat u v t [ \gamma ] \equiv iteNat (u [ \gamma ]) (v [ \gamma \otimes p ,o q ]) (t [ \gamma ])
```

Code 4.35: Quotients of natural numbers

On Code 4.35, the computation rules Natß1 and Natß2 show the iteration semantics we introduced in Chapter 4.4: when we reach zeroo, we normalise to the first argument; otherwise for each suco, we insert an application of the second argument. In the latter case we see the structurally reducing (and thus total) recursive call, i.e., on the left we see suco t, and on the right it is t. zero[], suc[] and iteNat[] are the substitution rules for these respective constructs. Equalities for boolean terms on Code 4.36 are similar.

```
true
                  : ∀{Γ} → Tm Γ Bool
                  : ∀{Γ} → Tm Γ Bool
false
iteBool
                 : \forall \{\Gamma A\} → Tm \Gamma A → Tm \Gamma A → Tm \Gamma Bool → Tm \Gamma A
Boolßi
                 : ∀{Γ A u v} → iteBool {Γ}{A} u v true ≡ u
                 : \forall \{\Gamma \land u \lor\} \rightarrow iteBool \{\Gamma\}\{A\} \lor v false ≡ v
Boolb2
                 : \forall \{\Gamma \Delta\}\{\gamma : \text{Sub } \Delta \Gamma\} \rightarrow \text{true } [\gamma] \equiv \text{true}
true[]
false[]
                 : \forall \{\Gamma \ \Delta\}\{\gamma : \text{Sub } \Delta \ \Gamma\} \rightarrow \text{false } [\gamma] \equiv \text{false}
iteBool[] : \forall \{\Gamma \land t \ u \ v \ \Delta\} \{\gamma : Sub \ \Delta \ \Gamma\} \rightarrow
                     iteBool \{\Gamma\}\{A\} u v t [ \gamma ] = iteBool (u [ \gamma ]) (v [ \gamma ]) (t [ \gamma ])
```

Code 4.36: Quotients of booleans

The equalities we decorate our algebra with can be viewed as a set of *inference* rules that entails a form of structural operational semantics [14]. In practice, this means that we can transform terms of the well-typed syntax along series of equalities using Agda's equational reasoning. This logic stands as the first building block towards normalisation and semantical meaning of programs.

Code 4.37 presents an example where we prove that the well-typed term compiled from "if isZero 1 then 0 else 1+1" is equivalent to the one compiled from "2".

```
s = suco
z = zeroo
                0
                                  1+1
                                                                isZero
eq : iteBool z (iteNat (s z) (s q) (s z)) (iteNat true false (s z)) \equiv s (s z)
eq = iteBool z (iteNat (s z) (s q) (s z)) (iteNat true false (s z))
            \equiv( cong (\lambda X \rightarrow iteBool z (iteNat (s z) (s q) (s z)) X) Nat\beta_2)
      iteBool z (iteNat (s z) (s q) (s z)) (false [ id ,o iteNat true false z ])
            \equiv( cong (\lambda X \rightarrow iteBool z (iteNat (s z) (s q) (s z)) X) false[] )
      iteBool z (iteNat (s z) (s q) (s z)) false
            ≡( Boolβ<sub>2</sub> )
      iteNat (s z) (s q) (s z)
            ≡( Natβ<sub>2</sub> )
      s q [id ,o iteNat (s z) (s q) z ]
            ≡⟨ suc[] ⟩
      s (q [id ,o iteNat (s z) (s q) z])
            \equiv \langle cong(\lambda X \rightarrow s X) \triangleright \beta_2 \rangle
      s (iteNat (s z) (s q) z)
            \equiv \langle cong (\lambda X \rightarrow s X) Nat \beta_1 \rangle
      s (s z)
```

Code 4.37: Proof of semantic equivalence using equational reasoning

In our proof, we use computation rules $Nat\beta_1$, $Nat\beta_2$, $Bool\beta_2$; as well as substitution rules false[], suc[] and $>\beta_2$.

Firstly, we rewrite isZero 1 to false. This leaves us with a non-empty substitution in false [id ,o iteNat true false z], but false is a constant function witnessed by false[], so we can drop the context. Now that we know that the condition is false, we can use $Bool\beta_2$ to discard the true branch. Finally, we have to compute the 1+1 addition using the iteration rules $Nat\beta_2$ and $Nat\beta_1$. This also involves Suc[], which is similar to false[], since natural numbers are constant terms. We employ $Poleope Bool\beta_2$ to access the recursive result of the iteration, because opposed to the previous one, this is a two-step iteration.

Note that the quotients do not specify any notion of order between these rewriting steps. For example, we could have started with evaluating the "1+1" part of the term, and only then compute the "isZero 1" condition. This would have led to the same result, albeit with a different proof in terms of the order of steps. This is similar to the observations in lambda calculus: λ -terms can have multiple β -reduction strategies. The Church-Rosser theorem states that all reduction will eventually reduce to the same term. Of course, we are not yet talking about reduction here, since

we can apply equalities in arbitrary directions, even in a back and forth manner to write infinite proofs. So when writing proofs by hand on this level as above, we have to be more cautious.

Another aspect of ordering is *optimisation*. Lazy programming languages usually do not evaluate all sub-expressions of constructs like if-then-else, only the condition first, and then the relevant branch. Our proof would have been a lot longer too if instead of "0", we had had a much larger term as the true branch and were inclined to compute it unnecessarily.

4.6 Standard interpretation and normalisation

In the previous chapter we introduced the *syntax*, i.e., *initial model*, of our language. It is initial, because there is a homomorphism from here to any model [1]. What makes an algebraic structure *model* of STLC is that all sorts are specified with types and all equations hold, i.e., we provide proofs for them.

In this chapter we present a model we call *standard model*. It provides the standard meta-language interpretation of our well-typed terms. In essence, we map our object theoretic concepts to our meta theory, i.e., Agda. We can call this a form of evaluation, because the resulting Agda terms can be normalised by Agda. For example, the standard model interpretation of iteBool zeroo (suco zeroo) false is if false then 0 else 1, which Agda normalises to 1.

We denote rewriting, often called interpretation, by enclosing our syntactic terms - terms of the initial model, I - in []. For technical reasons, we have separate operators for all sorts, e.g., [] for rewriting types, [] for contexts, etc. We use Agda's REWRITE pragma as seen on Code 4.38.

Code 4.38: Rewriting rules for interpretation

```
St : Model
St = record
   { Con
                           = Set
                           = \lambda \Delta \Gamma \rightarrow \Delta \rightarrow \Gamma
    ; Sub
   ; Ty
                           = Set
                           = \lambda \Gamma A \rightarrow \Gamma \rightarrow A
    ; Tm
                           = \lambda \gamma t \delta^* \rightarrow \gamma \delta^* , t \delta^*
    ; _,o_
                           = \pi_1
    ; p
    ; q
                          = \lambda {\Gamma}{\Delta} \rightarrow refl {A = \Delta \rightarrow \Gamma}
    ; ⊳β1
                           = \lambda {\Gamma}{\Delta}{A} \rightarrow refl {A = \Delta \rightarrow A}
    ; ⊳β2
                           = \lambda {\Gamma}{\Delta}{A} \rightarrow refl {A = \Delta \rightarrow \Gamma \times A}
    ; ⊳η
                           = \lambda A B \rightarrow A \rightarrow B
    ; _⇒_
    ; lam
                           = \lambda t \gamma^* \alpha^* \rightarrow t (\gamma^* , \alpha^*)
                           = \lambda t u \gamma^* \rightarrow t \gamma^* (u \gamma^*)
    ; _$_
                           = \lambda {\Gamma}{A}{B}{t}{u} \rightarrow refl {A = \Gamma \rightarrow B}
    ; ⇒β
                          = \lambda {\Gamma}{A}{B}{t} \rightarrow refl {A = \Gamma \rightarrow A \rightarrow B}
    ; ⇒η
    ; lam[]
                          = \lambda {\Gamma}{A}{B}{t}{\Delta}{\gamma} \rightarrow refl {A = \Delta \rightarrow A \rightarrow B}
                           = \lambda {\Gamma}{A}{B}{t}{u}{\Delta}{\gamma} \rightarrow refl {A = \Delta \rightarrow B}
    ; $[]
                           = 2
    ; Bool
                           = \lambda \rightarrow tt
    ; true
    ; false
                           = \lambda \rightarrow ff
                           = \lambda u v t \gamma^* \rightarrow if t \gamma^* then u \gamma^* else v \gamma^*
    ; iteBool
    ; Boolβ<sub>1</sub>
                           = refl
    ; Boolβ<sub>2</sub>
                           = refl
                           = refl
    ; true[]
                          = refl
    ; false[]
    ; iteBool[] = refl
```

Code 4.39: Portions from the standard model

Most of the standard model is self-evident: we map booleans to Agda's bools, naturals to Agda's Peano numbers, etc. We interpret functions: $A \Rightarrow B$ using the meta theoretic function space: $A \rightarrow B$. Contexts are implemented using Agda's products, for example, [t ,o u ,o v] is mapped to (t , u) , v. The terms p and q then become π_1 and π_2 , i.e., left and right projections, respectively. Equality proofs are all provided by refl.

We define auxiliary types in Agda for our slightly more complex constructions, like the inductive List and Tree, or the coinductive Stream and Machine. We will discuss the semantics of these alongside their examples in Chapter 4.8.

Our elaborator uses the standard model interpretation and Agda's built-in nor-

malisation for evaluating the compiled programs. For insights about *implementing* normalisation, we direct our reader to Ambrus Kaposi's work [1] which we based our elaborator on. It contains normalisation, albeit only up until a subset of our language as of writing this thesis, not including function space, for example.

4.7 Running the elaborator

Program is a dependent pair: a type A and a term of type A that is valid in the empty context \diamond . Evaluation is the interpretation of a program in the standard model which Agda can normalise for us. ProgEval is the combination of the two: a term of some type A along with its evaluation in the standard model.

```
Program = \Sigma Ty \lambda A \rightarrow Tm \diamond A

Evaluation = \Sigma Ty \lambda A \rightarrow St.Tm St.[ \diamond ]C St.[ A ]T

ProgEval = \Sigma Ty \lambda A \rightarrow (Tm \diamond A \times St.Tm St.[ \diamond ]C St.[ A ]T)
```

Code 4.40: Top-level return types of the elaboration

Some readers might question why we have not defined ProgEval as $Program \times Evaluation$. In fact, that was our very first thought for this type. However, we realised that it means a weaker type which would, in theory, allow results like (A , Tm) , (B , Eval) where A and B are separate types. Using our definition we always get A , Tm , Eval where the type of Tm is A, and the type of Eval is $St. \[A \] T$, i.e., the type in the standard model that corresponds to A.

```
elaborate : String → Maybe ((Data.List.List Token) × Maybe (AST ×
                      Maybe (ABT 0 × Maybe ProgEval)))
                                                                   of \lambda where
elaborate code = case just (tokenize code)
 nothing
                         → nothing
  (just tokens)
                         → case parse code
                                                                   of \lambda where
    nothing
                         → just (tokens , nothing)
    (just ast)
                         → case scopecheck ast
                                                                   of \lambda where
      nothing
                         → just (tokens , just (ast , nothing))
      (just abt)
                         → case infer <> abt
                                                                   of \lambda where
                         → just (tokens , just (ast , just (abt , nothing)))
        (just (A , tm)) → just (tokens , just (ast , just (abt ,
                           just (A , (tm , St.[ tm ]t)))))
```

Code 4.41: Combining the elaboration stack

elaborate employs all the conversions between our levels of abstractions that we discussed in the previous chapters. It runs the steps until any one of them returns an error, i.e., nothing, or until we reach the well-typed term level. In the latter case, we can always evaluate our resulting term using the standard model interpretation. This is guaranteed by the total definition of $St.[_]t$, which always returns a Tm with some type A, in some Γ context. A watchful reader will see that Γ will always be \diamond in our case.

We chose to return all intermediate results of our process for the sake of transparency to the user, i.e., all levels of abstractions are automatically available for inspection. Also, even if the whole compilation fails, we still return partial results up until the point of the error for easy debugging.

One questionable aspect in the code above is that tokenize code is wrapped in just. It is because of the issue we mentioned in Chapter 4.1: the lexer provided by agdarsec cannot fail. As a result, lexical-error below is only a placeholder as of writing this thesis.

```
: elaborate "(\lambda x. isZero x) : \mathbb{N} \to \mathbb{L}" \equiv just (
(record { line = 0 ; offset = 0 } , t-lpar
 (record { line = 0 ; offset = 1 } , t-\lambda
 (record { line = 0 ; offset = 3 } , t-var "x") ::
 (record { line = 0 ; offset = 4 } , t-dot
 (record { line = 0 ; offset = 6 } , t-isZero ) ::
 (record { line = 0 ; offset = 13 } , t-var "x") ::
 (record { line = 0 ; offset = 14 } , t-rpar
 (record { line = 0 ; offset = 16 } , t-:
 (record { line = 0 ; offset = 18 } , t-\mathbb{N}
                                                      ) ::
 (record { line = 0 ; offset = 20 } , t-\rightarrow
                                                      ) ::
 (record { line = 0 ; offset = 22 } , t-\mathbb{L}
                                                      ) :: [] ,
just (s-ann (s-λ ("x" :: []) (s-isZero (s-var "x"))) (s-Nat s-→ s-Bool)
just (abt-ann (abt-λ (abt-isZero (abt-var Fin.zero))) (s-Nat s-→ s-Bool) ,
just (Nat ⇒ Bool , lam (iteNat true false q) ,
                       \lambda \gamma^* x \rightarrow ite\mathbb{N} tt (\lambda \rightarrow ff) x))))
_ = refl
```

Code 4.42: Elaboration example

```
data Error : Set where
  lexical-error : Error
  syntax-error : Error
  scope-error : Error
  type-error : Error
```

Code 4.43: Type for errors

Our Error type is used like an enumeration for representing the error cases we get in each elaboration step.

An improved implementation could one day include arguments to some of these constructors for showing more specific information about certain errors to the user. For example, the starting character position of an invalid token; the variable name that is not in scope; or the expected and present types at mismatching types.

```
compile-eval : String → ProgEval ⊎ Error
compile-eval code = case elaborate code of \lambda where
  nothing
                                                      → inj<sub>2</sub> lexical-error
  (just ( , nothing))
                                                      → inj<sub>2</sub> syntax-error
  (just (_ , just (_ , nothing)))
                                                      → inj<sub>2</sub> scope-error
  (just (\_, just (\_, just(\_, nothing)))) \rightarrow inj_2 type-error
  (just (\_, just (\_, just (\_, just tm-eval)))) \rightarrow inj_1 tm-eval
compile : String → Program ⊎ Error
compile code = case compile-eval code of \lambda where
  (inj₂ error) → inj₂ error
  (inj_1 (A , tm , _)) \rightarrow inj_1 (A , tm)
eval : String → Evaluation ⊎ Error
eval code = case compile-eval code of \lambda where
  (inj₂ error) → inj₂ error
  (inj_1 (A, \_, eval)) \rightarrow inj_1 (A, eval)
compileM : String → Maybe Program
compileM code = case compile code of \lambda where
  (inj₂ ) → nothing
  (inj₁ tm) → just tm
evalM : String → Maybe Evaluation
evalM code = case eval code of \lambda where
  (inj₂ _) → nothing
  (inj₁ eval) → just eval
```

Code 4.44: User-level functions built on top of elaborate

The set of functions presented on Code 4.44 provides a convenient interface to

the user for compiling source code and evaluating programs. compile-eval returns both the compilation and the evaluation results, or an error as a right injection for incorrect programs. compile and eval work the same way, except they return only the well-typed term and its normalised Agda interpretation, respectively. Finally, compileM and evalM can be used, when the user would trade off the knowledge about the kind of potential errors for Maybe monadic results.

4.8 Examples

We hand-picked a few from our more interesting examples, that we had tested our elaborator with. These, as well as a collection of similar tests, are publicly available in our codebase for those who are interested in gaining further insight of our formalised language.

Note that tests called "_" are all proven with "_ = refl" lines, which we omit from the following snippets for the sake of brevity.

Code 4.45: Example: Alpha equivalence and unrolling lambda notation

```
not = "((λ a. if a then false else true) : L \to L)"

even = "((λ x. iteN true (λa." ++ not ++ "a) x) : N → L)"

odd = "(λ x. " ++ not ++ "(" ++ even ++ "x)) : N → L"

_ : eval (even ++s "3") ≡ inj₁ (Bool , λ γ* → ff)
_ : eval (odd ++s "3") ≡ inj₁ (Bool , λ γ* → tt)
```

Code 4.46: Example: even and odd functions

First we define boolean negation **not** in a standard way using the **Bool** elimination rule. For **even**, we use the iterator of \mathbb{N} to replace **zero** with true and each **suc** with a negation, essentially rewriting the structure, e.g. $\operatorname{suc}(\operatorname{suc}(\operatorname{suc}(\operatorname{zero}))) \to \neg(\neg(\neg(\operatorname{true})))$. We simply add one more negation on top in **odd**.

```
xor = "(\lambda a b.
             if a then
               if b then
                  false
                else
                  true
             else if b then
               true
             else
                                    : L → L → L"
                false)
  : compile-eval xor ≡ inj₁ (Bool ⇒ Bool ⇒ Bool
     , lam (lam (iteBool
        (iteBool false true q) (iteBool true false q) (q [ p ])))
     , \lambda \gamma^* a b \rightarrow if a then if b then ff else tt else (if b then tt else ff))
  : eval (xor ++s "false" ++s "false") \equiv inj<sub>1</sub> (Bool , \lambda \gamma^* \rightarrow ff)
  : eval (xor ++s "true" ++s "false") \equiv inj<sub>1</sub> (Bool , \lambda \gamma^* \rightarrow tt)
  : eval (xor ++s "false" ++s "true") \equiv inj<sub>1</sub> (Bool , \lambda \gamma^* \rightarrow tt)
  : eval (xor ++s "true" ++s "true") \equiv inj<sub>1</sub> (Bool , \lambda \gamma^* \rightarrow ff)
```

Code 4.47: Example: xor function

The xor example shows that we can write multi-line source code, and that we can nest operators like if_then_else_. Note that the normalised Agda interpretation syntactically looks almost the same as the source code for our language.

At this point, a curious reader might wonder why all evaluation results start with " $\lambda \gamma^* \rightarrow$ ". The γ^* stands for the term's context, however it is never used in any resulting term. This means that the term following the arrow can be put into an arbitrary context. The reason is that we require our compiled λ -terms to be closed as discussed at scope checking. As a result, the empty context suffices, and evidently any larger one does as well.

Code 4.48: Example: constructing products

Code 4.49: Example: destructing products

We can use products to construct pairs, triples or tuples of arbitrary arity by chaining the _,_ constructor. They can be destructed with the fst and snd elimination rules or a proper chain of them like in our third function's implementation.

```
curry = "(\lambda f. \lambda x y. f (x,y)) : (\mathbb{N} \times \mathbb{N} \to \mathbb{N}) \to (\mathbb{N} \to \mathbb{N} \to \mathbb{N})"

uncurry = "(\lambda f. \lambda p. (f (fst p)) (snd p)) : (\mathbb{N} \to \mathbb{N} \to \mathbb{N}) \to (\mathbb{N} \times \mathbb{N} \to \mathbb{N})"

add = "(\lambda x y. x + y) : \mathbb{N} \to \mathbb{N} \to \mathbb{N}"

_ : compile-eval curry = inj_1 (((Nat \timeso Nat) \to Nat) \to (Nat \to Nat \to Nat)

_ , lam (lam (q [p] [p] $ (q [p], q ))))

_ . \lambda \gamma* f x y \to f (x , y))

_ : compile-eval uncurry = inj_1 ((Nat \to Nat \to Nat) \to ((Nat \timeso Nat) \to Nat)

_ , lam (lam (q [p] $ fst q $ snd q))

_ , \lambda \gamma* f p \to f (\pi1 p) (\pi2 p))

_ : eval (uncurry ++s add ++s "(3 , 4)") = inj_1 (Nat , (\lambda \gamma* \to 7))

_ : eval (curry ++s (uncurry ++s add)) = eval add
```

Code 4.50: Example: implementing curry and uncurry

On Code 4.50, we show currying and uncurrying, i.e., proofs that n-ary functions $(n \in \mathbb{N}^+)$ are isomorphic to unary higher-order ones that return (n-1)-ary functions. This means that applying uncurry then curry on any curried function gets us the original function, as seen on the example with add.

For lists, both type annotations and terms support two syntaxes. Types can be written as "List T" or "[T]" for any type T; and terms like "2 :: 1 :: 0 :: nil" or

"[2, 1, 0]", i.e., both Agda and Haskell-like forms are valid. We can create nested lists. A list of type "List (List \mathbb{N})" can be "(0 :: 2 :: nil) :: (1 :: nil) :: nil", for example. A limitation from our parser is that nested lists are not supported by our Haskell-like syntax as of writing this thesis.

```
isnil = "(\lambda xs. iteList true (\lambda _ _.false) xs) : [\mathbb{N}] \rightarrow \mathbb{L}"

length = "(\lambda xs. iteList 0 (\lambda _ x. x+1) xs) : [\mathbb{N}] \rightarrow \mathbb{N}"

sum = "(\lambda xs. iteList 0 (\lambda x y. x + y) xs) : [\mathbb{N}] \rightarrow \mathbb{N}"

concat = "(\lambda xs ys. iteList ys (\lambda a as. a :: as) xs) : [\mathbb{N}] \rightarrow [\mathbb{N}] "

headM = "(\lambda xs. iteList ((inl trivial) : T \cup \mathbb{N})

(\lambda a as. ((inr a) : T \cup \mathbb{N}))

xs) : [\mathbb{N}] \rightarrow T \cup \mathbb{N}"

filter = "(\lambda f xs. iteList (nil : [\mathbb{N}])

(\lambda a as. if (f a) then a :: as else as)

xs) : (\mathbb{N} \rightarrow \mathbb{L}) \rightarrow [\mathbb{N}] "

map = "(\lambda f xs. iteList (nil : [\mathbb{N}]) (\lambda a as. (f a) :: as) xs)

: (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow [\mathbb{N}] \rightarrow [\mathbb{N}]"

replicate = "(\lambda n x. ite\mathbb{N} (nil : [\mathbb{N}]) (\lambda xs. x :: xs) n) : \mathbb{N} \rightarrow \mathbb{N} \rightarrow [\mathbb{N}]"
```

Code 4.51: Example: list operations

Since we do not support type polymorphism, we decided to implement all generic list functions for $[\mathbb{N}]$.

The iterator of lists: **iteList** can fold the list to an arbitrary result type, e.g. \mathbb{L} , \mathbb{N} or $[\mathbb{N}]$, as seen on the examples above. Its first argument tells what to return for the empty list. The second turns a partial result and the next list element of the iteration to a new result. Finally, the third argument is the list itself we wish to fold.

Note that, unlike most of the time with function types, here we do not have to annotate the type of the second argument. This is because we already annotate the outer functions, so result types are propagated during type inference to the iteList terms, for which we only need to *check* whether the types of all arguments match.

inl trivial; and the non-empty list case immediately packs the head element to a right injection: inr a, discarding the recursive result as of the remainder list.

The reader can find the compilation results in our codebase of all list operations above. On Code 4.52 we only present some evaluation results.

```
: eval (isnil ++s "[]") ≡ injı (Bool , λ γ* → tt)
 : eval (isnil ++s "[0]") \equiv inj<sub>1</sub> (Bool , \lambda \gamma^* \rightarrow ff)
  : eval (length ++s "[]")
                                             \equiv inj_1 (Nat, \lambda \gamma^* \rightarrow 0)
     eval (length ++s "[1,2,3,4,5]") \equiv inj<sub>1</sub> (Nat , \lambda \gamma^* \rightarrow 5)
 : eval (sum ++s "[]")
                                               \equiv inj_1 \text{ (Nat , } \lambda \gamma^* \rightarrow 0)
  : eval (sum ++s "[10, 7, 20, 1]") ≡ inj₁ (Nat , \lambda γ* → 38)
  : eval (concat ++s "[]" ++s "[]") ≡ inj₁ (Ty.List Nat , \lambda γ* → [])
_ : eval (concat ++s "[3,1]" ++s "[4,1,5]") ≡ injı (Ty.List Nat ,
                                                       \lambda \gamma^* \rightarrow 3 :: (1 :: (4 :: (1 :: (5 :: [])))))
  : eval (headM ++s "[]")
                                       ≡ inj₁ (Unit +o Nat , λ γ* → inj₁ triv)
: eval (headM ++s "[2,4,6]") ≡ inj₁ (Unit +o Nat , \lambda \gamma^* \rightarrow inj₂ 2)
 : eval (filter ++s even ++s "[]") \equiv inj1 (Ty.List Nat , \lambda \gamma^* \rightarrow [])
 : eval (filter ++s even ++s "[1,2,3,4,5,6,7,8]") \equiv inj_1 (Ty.List Nat ,
                                                               \lambda v^* \rightarrow 2 :: (4 :: (6 :: (8 :: [])))
_ : eval (map ++s double ++s "[3,0,11,23]") \equiv inj_1 (Ty.List Nat ,
                                                             \lambda \ \gamma^* \rightarrow 6 :: (0 :: (22 :: (46 :: []))))
: eval (map ++s double ++s "[]") \equiv inj<sub>1</sub> (Ty.List Nat , \lambda \gamma^* \rightarrow [])
_ : eval (replicate ++s "4" ++s "42") \equiv inj1 (Ty.List Nat ,
                                                          \lambda \ \gamma^* \rightarrow 42 :: (42 :: (42 :: (42 :: []))))
 : eval (replicate ++s "0" ++s "42") \equiv inj1 (Ty.List Nat , \lambda \gamma^* \rightarrow [])
```

Code 4.52: Example: evaluation of list operations

Binary trees are inductive types similar to lists. They have two constructors: *leaf* and *node*, in our syntax <_> and _|_, respectively. Branching can be controlled using parentheses as shown on Figure 4.3.

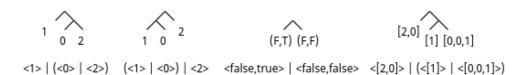


Figure 4.3: Building binary trees of naturals, pairs and lists

Iteration on trees is similar to iteration on lists. The first argument of iteTree is a function that can turn a leaf of type A to some term B. The second argument is a function that, given the two partial results from the recursive processing of the left and right subtrees, gives us a new result. Finally, the third argument is the tree itself to iterate. Code 4.53 shows some example computations on trees.

Code 4.53: Example: tree operations and their evaluation

Coinductive types are the mathematical dual of inductive types. They are specified by their destructors, often called *observers*, which most of the time either return a simpler type, or a new copy of the original object with a different inner state. Our STLC implementation features two coinductive type formers: Stream and Machine.

Code 4.54: Example: infinite streams of even and odd numbers

genStream introduces stream types from three arguments. A seed or initial state which is the third argument. A function which specifies how the head eliminator

must turn a stream state to a result. Finally, a specification for the tail operation that "advances the stream", i.e., produces a new state from the previous. In the case of even and odd, the seeds and the operations are all trivial as seen on Code 4.54.

```
first-n = "genStream ((\lambdans.ns):[\mathbb{N}]\rightarrow[\mathbb{N}]) (\lambda ns. (" ++ length ++ " ns) :: ns)
                                                                                           (nil : [N])"
 : eval ("head"
                                     ++ first-n) \equiv inj<sub>1</sub> (Ty.List Nat , (\lambda \gamma^* \rightarrow []))
 : eval ("head tail"
                                    ++ first-n) \equiv inj<sub>1</sub> (Ty.List Nat , (\lambda \gamma^* \rightarrow 0 :: []))
: eval ("head tail tail" ++ first-n) ≡ inj₁ (Ty.List Nat , (\lambda γ* → 1 :: (0 :: [])))
step-n = "(\lambda start diff. genStream ((\lambdan.n):\mathbb{N}\rightarrow\mathbb{N}) (\lambdan. n+diff) start)
                                                                            : \mathbb{N} \to \mathbb{N} \to (Stream \mathbb{N})"
                                     ((" ++ step-n ++ ") 10) 5") ≡ inj<sub>1</sub> (Nat , \lambda \gamma^* \rightarrow 10)
  : eval ("head
  : eval ("head tail
                                     ((" ++ step-n ++ ") 10) 5") \equiv inj_1 (Nat, \lambda \gamma^* \rightarrow 15)
     eval ("head tail tail ((" ++ step-n ++ ") 10) 5") \equiv inj (Nat , \lambda \gamma^* \rightarrow 20)
 : eval ("head
                                     ((" ++ step-n ++ ") 0) 33") \equiv inj_1 (Nat, \lambda \gamma^* \rightarrow 0)
  : eval ("head tail
                                     ((" ++ step-n ++ ") 0) 33") \equiv inj_1 (Nat , \lambda \gamma^* \rightarrow 33)
  : eval ("head tail tail ((" ++ step-n ++ ") 0) 33") ≡ inj₁ (Nat , \lambda \gamma^* \rightarrow 66)
get-nth = "(\lambda s n. head iteN s (\lambda ss. tail ss) n) : (Stream N) \rightarrow N \rightarrow N"
 : eval (get-nth ++s odds ++s "8") \equiv inj (Nat , \lambda \gamma^* \rightarrow 17)
  : eval (get-nth ++s evens ++s "13") \equiv inj<sub>1</sub> (Nat , \lambda \gamma^* \rightarrow 26)
 : eval ("((" ++ get-nth ++ ") (((" ++ step-n ++ ") 100) 25))" ++ "3") \equiv
                                                                     inj<sub>1</sub> (Nat , \lambda \gamma^* \rightarrow 175)
 : eval ("((" ++ get-nth ++ ") (((" ++ step-n ++ ")
                                                                               0) 30))" ++ "5") =
                                                                     inj<sub>1</sub> (Nat , \lambda \gamma^* \rightarrow 150)
```

Code 4.55: Example: arithmetic progression and parametric streams

first-n generates the (decreasing) sequences of first n natural numbers $(n \in \mathbb{N})$: [], [0], [1,0], [2,1,0], etc.

step-n is a function that takes two naturals, start and diff, and returns a stream that generates the arithmetic progression, which starts at start and where the difference is diff.

Finally, get-nth is a function that takes a stream of naturals and an index n, and returns the nth element from the stream, i.e., it applies n number of tail destructors, then a head. For this, we use iteN: we iterate on the parameter n; replace zero with the stream parameter; and replace each suc with a tail. For example, with n=3 the

following informal rewriting happens:

```
get-nth s suc(suc(suc(zero))) → head tail tail s
```

Our Machine type works like simple state machines with the following semantics:

- put: taking the current state and a number, it advances the state input
- set: advances the state signal
- \bullet get: produces a natural number from the current state and returns it output
- seed: initial state which has an arbitrary type, like with Stream

Code 4.56: Example: Machine for summation

Code 4.56 presents a simple example. sum-machine, starting from zero, adds together all numbers input with put. get can be used to extract the result and set to reset the sum to zero.

```
partitioned-sums = "genMachine" (\lambdas i. if (" ++ even ++ "i) then ((fst s) , (fst snd s) , ((snd snd s) + i))) (\lambdas else ((fst s) , ((fst snd s) + i) , (snd snd s) )) (\lambdas. (" ++ not ++ "(fst s)) , (fst snd s) , (snd snd s)) (\lambdas. if (fst s) then (fst snd s) else (snd snd s)) (true , 0 , 0)" (true , 0 , 0)" = inji (Nat , \lambda \gamma* \rightarrow 4) = inji (Nat , \lambda \gamma* \rightarrow 4) = inji (Nat , \lambda \gamma* \rightarrow 18)
```

Code 4.57: Example: Machine that sums odd and even numbers separately

partitioned-sums works like sum-machine, except it adds together the even and odd numbers separately. Also, now the purpose of set is to toggle a boolean flag

that determines which sum **get** will return. To implement this we used products to store a triple as internal state: (flag, odd-sum, even-sum). In **put** we add to the 2nd or 3rd component of the state depending on the **even** check's result. **set** toggles the first component and does not touch the other two. **get** returns the 2nd or 3rd depending on the flag component's state.

At this point, the reader can see that constructing inner states of arbitrary complexity is possible. Also, we could support "machine types" which have more than one input methods (like put), output methods (like get) and signals (like set); essentially simulating constructions like Turing machines, REPLs or even operating systems.

Chapter 5

Conclusion

5.1 Results

This thesis has introduced readers to the formalisation of a small language based on simply typed λ -calculus. We guided them through each elaboration step of the language, going from source code to syntax trees and well-typed terms. The steps include lexing, parsing, scope checking and type checking. We presented algebraic definitions and total conversions between them, formalised in Agda for a solution that is correct by construction. We picked conventional and well-known syntactic elements for both the base STLC constructions and its extensions. We also included a meta theoretic interpretation that serves as a form of semantical evaluation.

The novelty and main value of our work is the elaboration stack presented in Chapters 4.1 to 4.4. It serves both as educational material, as well as technial and demonstrational extension for the underlying formalisation [1].

This study also explains some inductive types like lists and trees, formal definitions for their iteration, as well as implementations of standard algorithms on them. We also briefly discussed some coinductive types along their introduction and elimination rules through the Stream and Machine examples.

Our framework provides an easy-to-use interface consisting of a small set of highlevel functions for users to test and experiment on STLC terms. We report errors encountered on various levels of the elaboration stack, e.g., syntax errors, scope errors and type errors.

Our codebase remains publicly accessible for anyone on GitHub and is open for future study and development [2].

5.2 Discussion

At an early stage of development, we limited our type annotations to lambda terms which we called "annotated lambdas". This meant that each variable binding in a lambda could be annotated, so we wrote "\lambda:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\nabla:\n

Note that the "annotated lambda" method is similar to Church's STLC definition where abstractions have *domains*, e.g., $\lambda x : \sigma.M$; opposed to Curry's one which would instead write $\lambda x.M : \sigma \rightarrow \sigma$ [5].

Performance is definitely not the strong suit of our elaborator. Type checking of files with a few dozen examples, or ones with slightly more complex constructions, such as partitioned-sums, sometimes took up to a minute on average hardware. We suspect that this is due to the high amount of implicit arguments Agda has to lookup and substitute. It is not obvious which part of the elaboration stack would be a good target for optimisation. Benchmarking shows that parsing takes a substantial amount of time, so it might be a good start. Type checking could potentially be more efficient if we included some "optimisation steps", ones like simplification using the η -reduction principle turning lam q [p] q to lam q. This way Agda would get structurally smaller terms earlier.

Many of the topics we explained are also discussed in more detail in *Programming Language Foundations in Agda* [15]. From fundamental concepts like Peano numbers, lists or De Bruijn indices, to complex ones like type inference and Big-step semantics, they cover various aspects of programming language formalisation. They also use Agda, and even though some constructions are built in different ways, readers might still find their work as useful supplementary material to ours.

The first volume of *Software foundations* [16] is another great source we recommend. They work in Coq and formalise an imperative language opposed to our functional, but they too include some level of elaboration. The book presents fully in-house implementations of total lexing and parsing in a monadic approach similar to ours with agalarsec.

5.3 Future work

We already mentioned the idea of injecting a lexer independent of agdarsec into our toolchain. The main demand for this comes from the fact that the one parametrised with the functions keyword, breaking and default cannot fail. This currently results in overly permissive variable names and an unused lexical-error case in the elaboration.

There are many aspects we could improve upon our parser. A better implementation would probably spare us some parentheses. For example, function application always requires one, like in "(($\lambda x.x$): $\mathbb{N}\rightarrow\mathbb{N}$) 1". This so far looks reasonable, but looks less right once we apply more than one argument: "((($\lambda x.x$): $\mathbb{N}\rightarrow\mathbb{N}\rightarrow\mathbb{N}$) 1) 2". Solving this in the total parser however, is not a trivial task.

Less obvious is the fact that type inference could also be improved upon. Here we mean that a more efficient implementation would alleviate the need for many type annotations. For example, as discussed previously, it is reasonable to expect annotation for " $\lambda x.x$ " since x can be any type and we do not support polymorphic functions. However, if we look at " $\lambda x.isZero x$ ", it is apparent that x must be N for the term to be type correct. Dual to this is the case where we would have to analyse not the body, but the context of the abstraction, like in " $(\lambda x.x)$ 3". Here it is obvious that the function's type must be N-N. The current implementation, as shown on Code 4.28, first infers the type of the function and then checks whether the argument has the correct type, not the other way around. It might be reasonable to implement a solution that tries both ways of inference and checking to lower the number of function type annotations required.

The framework would entail a considerably more complete study if we also included a normalisation of our own. We briefly mentioned this in Chapter 4.6. It could be a future update merge from the formalisation codebase we initially forked from [1], if the normalisation ever got finished.

An even greater undertaking would be to boost the expressibility of the presented language by introducing higher order concepts like type polymorphism or full recursion for the function space by using some form of fixpoint combinator.

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¹https://github.com/mcserep/elteikthesis

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