

*Wear a jacket – it's getting cold outside*

# 206

# Discrete Structures II

## Konstantinos P. Michmizos

Computational Brain Lab

Computer Science | Rutgers University | NJ, USA

# What we will cover today

## Combinatorics

- Recap
  - Proofs (Direct, Contrapositive, Case Analysis, Contradiction, Induction)
- Today
  - Counting
    - Partition Method
    - Difference Method
    - Product Rule

## Next Time

- Bijection Rule

# Course Outline

- Part I
  - ~~Recap of basics – sets, function, proofs, induction~~
  - Basic counting techniques
  - Pigeonhole principle
  - Generating functions
- Part II
  - Sample spaces and events
  - Basics of probability
  - Independence, conditional probability
  - Random variables, expectation, variance
  - Moment generating functions
- Part III
  - Graph Theory
  - Machine learning and statistical inference

# Proving an Implication via **Direct Proof**

- To prove:  $P \Rightarrow Q$ 
  - Assume that  $P$  is true.
  - Show that  $Q$  logically follows

# Direct Proof

- To prove:  $P \Rightarrow Q$

The sum of two even numbers is even.

- Assume that  $P$  is true.
- Show that  $Q$  logically follows

Proof  $x = 2m, y = 2n$

$$x+y = 2m+2n$$

$$= 2(m+n)$$

The product of two odd numbers is odd.

Proof  $x = 2m+1, y = 2n+1$

$$xy = (2m+1)(2n+1)$$

$$= 4mn + 2m + 2n + 1$$

$$= 2(2mn+m+n) + 1$$

# Example of Proving an Implication

- Theorem:  $\overset{P}{1 \leq x \leq 2} \Rightarrow \overset{Q}{x^2 - 3x + 2 \leq 0}$

Assume  $1 \leq x \leq 2$

Step 1:  $x^2 - 3x + 2 = (x-1)(x-2)$

Step 2:  $1 \leq x \Rightarrow (x-1) \geq 0$

Step 3:  $x \leq 2 \Rightarrow (x-2) \leq 0$

Step 4:  $(x-1) \geq 0, (x-2) \leq 0 \Rightarrow (x-1)(x-2) \leq 0$

Intuition: When  $x$  grows,  $3x$  grows faster than  $x^2$  in that range.

# Proof by Contrapositive

- To prove:  $P \Rightarrow Q$ 
  - Prove that  $\neg Q \Rightarrow \neg P$ .
- Assume  $\neg Q$  is true and show that  $\neg P$  follows logically.

*i.e., we will **assume the opposite of our desired conclusion** and show that this fancy opposite conclusion **could never be true in the first place.***

# Example of Proof by Contrapositive

- Theorem: *If  $r$  is irrational, then  $\sqrt{r}$  is irrational.*



# Rational Number

R is **rational**  $\Leftrightarrow$  there are integers a and b such that

$$\begin{array}{ccc} \text{numerator} & \searrow & a \\ r = & \frac{\quad}{\quad} & \\ \text{denominator} & \swarrow & b \end{array} \quad \text{and } b \neq 0.$$

*Remember:*

- 1. A number is rational if it is equal to a ratio of integers*
- 2. The **sum** of two rational numbers is always a rational number*
- 3. The **difference** of two rational numbers is always a rational number*
- 4. The **product** of two rational numbers is always a rational number*
- 5. The **quotient** of two rational numbers is always a rational number*

# Example of Proof by Contrapositive

- **Theorem:** *If  $r$  is irrational, then  $\sqrt{r}$  is irrational.*

Proof:

We shall prove the contrapositive –  
“if  $\sqrt{r}$  is rational, then  $r$  is rational.”

Since  $\sqrt{r}$  is rational,  $\sqrt{r} = a/b$  for some integers  $a, b$ .

So  $r = a^2/b^2$ . Since  $a, b$  are integers,  $a^2, b^2$  are integers.

Therefore,  $r$  is rational.                      Q.E.D.

(Q.E.D.)

"which was to be demonstrated",    or “quite easily done”. ☺  
*quod erat demonstrandum*

**Intuition:** Square roots and absolute values are our worst enemies in proofs

# Example of Proof by Case Analysis

- Theorem: *For all  $x \in \mathbb{R}$ ,  $-5 \leq |x + 2| - |x - 3| \leq 5$*

*We **hate** absolute values so we want to avoid them as fast as possible*

*Two possible ways:-)*

*One of them is our goal here: To identify all possible cases*

# Example of Proof by Case Analysis

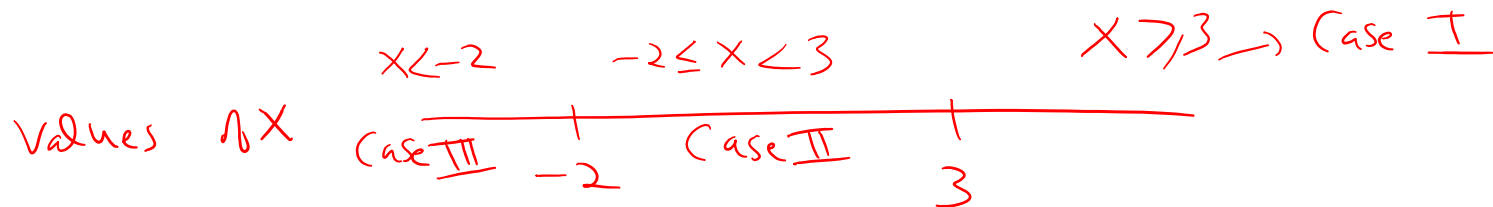
- Theorem: For all  $x \in \mathbb{R}$ ,  $-5 \leq |x + 2| - |x - 3| \leq 5$

$$|x+2| = x+2, \text{ if } x+2 \geq 0 \text{ or } x \geq -2$$

$$|x+2| = -(x+2), \text{ if } x+2 < 0 \text{ or } x < -2$$

$$|x-3| = x-3, \text{ if } x \geq 3$$

$$|x-3| = -(x-3), \text{ if } x < 3$$



# Example of Proof by Case Analysis

- Theorem: For all  $x \in \mathbb{R}$ ,  $-5 \leq |x + 2| - |x - 3| \leq 5$

$$\begin{array}{c}
 \text{---} \quad | \quad \text{---} \\
 \quad -2 \quad \quad 3
 \end{array}$$

Case I:  $x > 3$ , Want  $-5 \leq (x+2) - (x-3) \leq 5$   
 Want  $-5 \leq 5 \leq 5 \quad \square$

Case II:  $-2 \leq x < 3$ , Want  $-5 \leq (x+2) - -(x-3) \leq 5$   
 Want  $-5 \leq x+2+x-3 \leq 5$   
 Want  $-5 \leq 2x-1 \leq 5 \quad \square$

Case III:  $x < -2$ , Want  $-5 \leq -(x+2) - -(x-3) \leq 5$   
 Want  $-5 \leq -(x+2)+x-3 \leq 5$   
 Want  $-5 \leq -5 \leq 5 \quad \square$

# Proof by Contradiction

$$\frac{\bar{P} \rightarrow \mathbf{F}}{P}$$

To prove  $P$ , you prove that **not  $P$  would lead to a ridiculous result**,  
and ***so  $P$  must be true***.

I am working 20 hours per day.

If I had won the lottery, then I would not be working 20 hours per day.

∴ I have not won the lottery.

# Proof by Contradiction – Work Chart

- To prove  $P$ 
  - Assume  $P$  is false.
  - Logically deduce something that is known to be false.

# Proof by Contradiction – Work Chart

To prove a proposition  $P$  by contradiction:

1. Write, “We use proof by contradiction.”
2. Write, “Suppose  $P$  is false.”
3. Deduce something known to be false (a logical contradiction).
4. Write, “This is a contradiction. Therefore,  $P$  must be true.”



# Example of Proof by Contradiction

- Theorem: *There are infinitely many **primes***

A **prime number** (or a prime) is a natural number greater than 1 that cannot be formed by multiplying two smaller natural numbers.

*This is one of the most famous, most often quoted, and most beautiful proofs in all of mathematics. Its origins date back more than 2000 years to Euclid*

# Example of Proof by Contradiction

- Theorem: *There are infinitely many primes*

Assume: **There are finitely many primes** – And let  $p_1, p_2, \dots, p_N$  be all the primes.

Now we construct a new number,  $p = p_1 p_2 \dots p_N + 1$

Clearly,  $p$  is larger than any of the primes, so it does not equal one of them.

Therefore it cannot be prime and must be **composite**, i.e., divisible by at least one of the primes.

But our assumption was that  $p$  is not prime and therefore divisible by any prime number.

On the other hand, we know that any number must be divisible by *some* prime (*fundamental theorem of arithmetic or the unique factorization theorem or the unique-prime-factorization theorem*)

This leads to a **contradiction**, and therefore the assumption must be false.

So **there must be infinitely many primes**.

# Induction

- Let  $P(m)$  be a predicate of non-negative integers
- You want to prove that  $P(m)$  is true for all non-negative integers.
- Step 1: Prove that  $P(0)$  is true
- Step 2: Prove that  $P(n) \Rightarrow P(n + 1)$  for all non-negative integers.

# Example of Induction

- Theorem: *For all*  $n \in \mathbb{N}$ ,
  - $1 + 2 + \cdots + n = \frac{n(n+1)}{2}$

# Example of Induction

- Theorem: For all  $n \in \mathbb{N}$ ,
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$P(n)$

Base case:  $P(0)$  is true, for  $n=0$   
 $LHS = 0$   
 $RHS = 0$

Inductive step:  $P(n) \Rightarrow P(n+1)$   
 Assume  $P(n)$  is true.  $1+2+\dots+n = \frac{n(n+1)}{2}$

Want:  $1+2+\dots+n+n+1 = \frac{(n+1)(n+2)}{2}$

$$1+2+\dots+n+n+1 = \frac{n(n+1)}{2} + n+1 = \frac{(n+1)(n+2)}{2} = RHS \quad \square$$

**Intuition: During induction, my goal is to construct what I have assumed as true**



# Counting

- Basic Question: What is the size of a given set?
- Easy when the set is explicitly defined.
  - $X = \{1,2,3,4\}$ , what is  $|X|$ ?
- Tricky when set is implicit or a defined via set operations.
  - How many ways to get flush in the game of poker?
  - How many ways to assign time slots to courses at Rutgers?
  - How many operations before my algorithm terminates?

# Counting

- In the next few lectures
    - Fundamental tools and techniques for counting
    - Sum Rule
    - Product Rule
    - Difference Method
    - Bijection Method
    - Permutations/Combinations
    - Inclusion Exclusion
    - Binomial/Multinomial coefficients
- Fundamental  
Blocks*
- Intermediate*
- Advanced*



# Sum Rule

- There are 60 students in section 5 of 206.
- There are 71 students in section 6 of 206.
- How many students are there in total in both sections?

Sum Rule:

If  $A$  and  $B$  are **disjoint** sets, then  $|A \cup B| = |A| + |B|$

$A =$  all students in section 5

$B =$  all students in section 6

$$|A \cup B| = |A| + |B| = 60 + 71 = 131$$

# Example: Sum Rule

- There are 60 students in section 5 of 206.
- There are 71 students in section 6 of 206.
- There are 80 students in section 1 of 206.
- There are 80 students in section 2 of 206.
- How many students are there in total?

$$60 + 71 + 80 + 80 = 291$$

# Example: Sum Rule

- There are 60 students in section 5 of 206.
- There are 71 students in section 6 of 206.
- There are 80 students in section 1 of 206.
- There are 80 students in section 2 of 206.
- How many students are there in total?

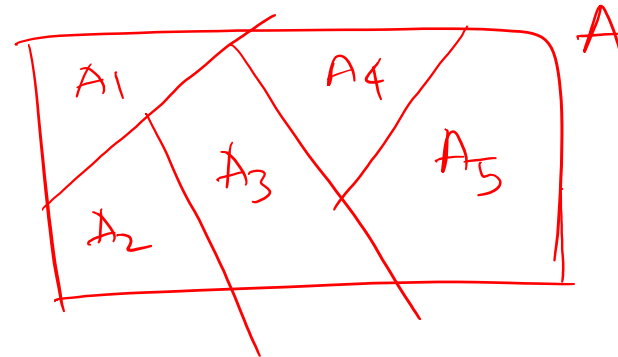
Sum Rule:

If  $A_1, A_2, \dots, A_n$  are **disjoint** sets, then

$$|A_1 \cup A_2 \cup \dots \cup A_n| = |A_1| + |A_2| + \dots + |A_n|$$

# Partition Method – How to

- To find the size of a set  $A$ ,
  - Partition it into a union of disjoint sets  $A_1, A_2, \dots, A_n$
  - Use sum rule
- Example: How many students are there in total in 206?

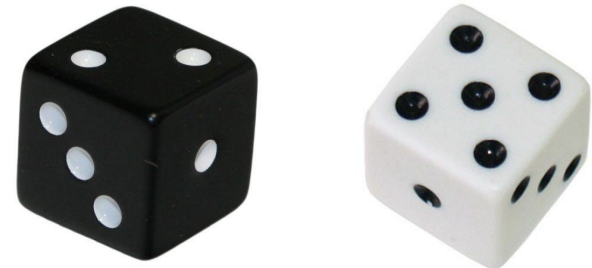


# Partition Method

- To find the size of a set  $A$ ,
  - Partition it into a union of disjoint sets  $A_1, A_2, \dots, A_n$
  - Use sum rule
- If I roll a white and black die, how many possible outcomes do I see?

$$S = \left\{ \begin{array}{ll} (1,1), (1,2), & \dots (1,6) \\ (2,1), (2,2), & \dots (2,6) \\ \vdots & \vdots \\ (6,1), (6,2), & \dots (6,6) \end{array} \right\}$$

$$|S| = 36$$



# Partition Method

- If I roll a white and black die, how many possible outcomes do I see?

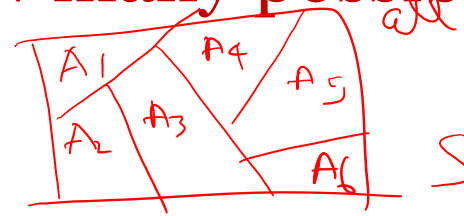
$A_1$  = all outcomes with  
black die = 1

$A_2$  = all outcomes with  
black die = 2

⋮

$A_6$  = all outcomes  
with black die = 6

$$\begin{aligned} |S| &= |A_1| + |A_2| + \dots + |A_6| \\ &= 6 \cdot 6 = 36 \end{aligned}$$



# Partition Method

- Possible outcomes where white and black die have different values?

$A_1 =$  all outcomes with black die = 1

$A_2 =$  black die = 2

$\vdots$

$A_6 =$  black die = 6

$|A_1| = 5, |A_2| = 5,$

$|S| = 5 + 5 + 5 + \dots + 5 = 30$

$S =$  all possible outcomes



# Partition Method

- Possible outcomes where white die has a larger value than the black die?

$A_1 =$  all outcomes with black die = 1

$\vdots$

$A_6 =$  black die = 6

$|A_1| = 5, |A_2| = 4, |A_3| = 3$

$|A_4| = 2, |A_5| = 1, |A_6| = 0$

$$|S| = 5 + 4 + 3 + 2 + 1 = \frac{5(5+1)}{2} = 15$$

$S =$  all possible outcomes

