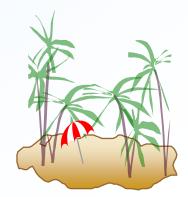
Chapter 3: SQL



Contents

- Data Definition
- Basic Query Structure
- Set Operations
- Aggregate Functions
- Null Values
- Nested Subqueries
- Complex Queries
- Views
- Modification of the Database
- Joined Relations**



History

- IBM Sequel language developed as part of System R project at the IBM San Jose Research Laboratory
- Renamed Structured Query Language (SQL)
- ANSI and ISO standard SQL:
 - SQL-86, SQL-89, SQL-92, SQL:1999, SQL:2003



History Cont.

- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
 - Not all examples here may work on your particular system



Data Definition Language

- Allows the specification of information about each relation, including:
 - The schema for each relation.
 - The domain of values associated with each attribute.
 - Integrity constraints
 - The set of indices to be maintained for each relations.
 - Security and authorization information for each relation.
 - The physical storage structure of each relation on disk.



Domain Types in SQL

- char(n). Fixed length character string, with user-specified length n.
- varchar(n). Variable length character strings,
 with user-specified maximum length n.
- int. Integer (a finite subset of the integers that is machine-dependent).
- **smallint.** Small integer (a machine-dependent subset of the integer domain type).



Domain Types in SQL Cont.

- numeric(p,d). Fixed point number, with userspecified precision of p digits, with d digits to the right of decimal point.
- real, double precision. Floating point and double-precision floating point numbers, with machine-dependent precision.
- float(n). Floating point number, with userspecified precision of at least n digits.
- More are covered in Chapter 4.



Create Table Construct

An SQL relation is defined using the create table command:

```
create table r (A1 D1, A2 D2, ..., An Dn, (integrity-constraint1), ..., (integrity-constraintk))
```

- r is the name of the relation
- each A_i is an attribute name in the schema of relation r
- D_i is the data type of values in the domain of attribute A_i

Create Table Construct

Example:

null values is not
allowed in attribute
brach_name

create table branch

(branch_name char(15) not null,

branch_city char(30),

assets integer)



Integrity Constraints

- not null
- primary key $(A_1, ..., A_n)$

automatically ensures **not null** in SQL-92 onwards

Example: Declare branch_name as the primary key for branch

Drop Table Constructs

 The drop table command deletes all information about the dropped relation from the database.

drop table r

Deletes not only all tuples of r, but also the schema for r

More drastic than

delete table r

Retains relation r, but deletes all tuples in r



Alter Table Constructs

 The alter table command is used to add attributes to an existing relation:

alter table radd AD

- where A is the name of the attribute to be added to relation r, D is the domain of A.
 - # All tuples in the relation are assigned *null* as the value for the new attribute



Alter Table Constructs

 The alter table command can also be used to drop attributes of a relation:

alter table r drop A

where A is the name of an attribute of relation

■ Dropping of attributes → not supported by many databases



Basic Query Structure

- SQL is based on relational algebra and relational calculus

 Attributes
- A typical SQL query has the form:

select $A_1, A_2, ..., A_n$ from $r_1, r_2, ..., r_m$ where P

Relations

Predicate



Basic Query Structure

 equivalent to the relational algebra expression

$$\prod_{A_1,A_2,...,A_n} (\sigma_P(r_1 \times r_2 \times ... \times r_m))$$

The result of an SQL query is a relation



The select Clause

- The select clause list the attributes desired in the result of a query
 - corresponds to the projection operation of the relational algebra

Example find the names of all branches in the loan relation

```
select branch_nam
                             \Pi_{branch\_name} (loan)
from loan
                  corresponds to
```

The select Clause Cont.

 SQL names are case insensitive (i.e., you may use upper- or lower-case letters.)

Example

```
Branch\_Name \equiv BRANCH\_NAME \equiv branch\_name
```

SQL allows duplicates in relations as well as in query results



The select Clause Cont.

 To force the elimination of duplicates, insert the keyword distinct after select.



Find the names of all branches in the loan relations, and remove duplicates

select distinct branch_name from loan



The select Clause* Cont.

 The keyword all specifies that duplicates not be removed.

select all branch_name from loan

Default is all



The select Clause Cont.

An asterisk in the select clause denotes "all attributes"

select *
from loan



select loan_number, branch_name, amount from loan

The select Clause Cont.

 The select clause can contain arithmetic expressions involving the operation, +, -, *, and /, and operating on constants or attributes of tuples

select loan_number, branch_name, amount*100
from loan

would return a relation that is the same as the loan relation, except that the value of the attribute amount is multiplied by 100

The where Clause

- The where clause specifies conditions that the result must satisfy
 - Corresponds to the selection predicate of the relational algebra

Example

at the Perryridge branch with loan amounts greater than \$1200

```
select loan_number
from loan
where branch_name = 'Perryridge' and amount > 1200

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```

The where Clause Cont.

- SQL use the logical connectives and, or, not in the where clause
- Operands of logical connectives can be expressions involving the comparison operation <, >, <=, >=, =, <>
- Comparisons can be applied to results of arithmetic expressions, strings, special types such as date types

The where Clause Cont.

SQL includes a between comparison operator

Example

Find the loan number of those loans with loan amounts between \$90,000 and \$100,000 (that is, ≥\$90,000 and ≤\$100,000)

```
select loan_number
from loan
where amount between 90000 and 100000
```



The from Clause

- The from clause lists the relations involved in the query
 - Corresponds to the Cartesian product operation of the relational algebra.

Example Find the Cartesian product $borrower \times loan$

```
select *
from borrower, loan
```



Example

Find the name, loan number and loan amount of all customers having a loan at the Perryridge branch



The Rename Operation

 The SQL allows renaming attributes in the select clause using the as clause:

old-name as new-name

Example

Find the name, loan number and loan amount of all customers; rename the column name loan_number as loan_id

Tuple Variables_

 Tuple variables are defined in the from clause via the use of the as clause.

Example

Find the customer names and their loan numbers and loan amounts for all customers having a loan at some branch

select customer_name, T.loan_number, S.amount
from borrower as T, loan as S
where T.loan_number = S.loan_number



Example

Find the names of all branches that have greater assets than some branch located in Brooklyn

select distinct T.branch_name
from branch as T, branch as S
where T.assets > S.assets and S.branch_city = 'Brooklyn'

 \sharp Keyword **as** is optional and may be omitted borrower **as** $T \equiv borrower T$



String Operations

- SQL includes a string-matching operator for comparisons on character strings.
- The operator "like" uses patterns that are described using two special characters:
 - percent (%). The % character matches any substring that have any length (can be 0).
 - underscore (_). The _ character matches any character.



Example

■ Find the names of all customers whose street includes the substring "Main".

select customer_name
from customer
where customer_street like '% Main%'

Match the string "80%" like '80\%' escape '\'



String Operations Cont.

- SQL supports a variety of string operations such as
 - concatenation (using "||")
 - converting from upper to lower case (and vice versa)
 - finding string length, extracting substrings, etc.



Ordering the Display of Tuples

 The order by clause causes the tuples in the result of a query to appear in sorted order

Example

List in alphabetic order the names of all customers having a loan in Perryridge branch

Ordering the Display of Tuples

- We may specify desc for descending order or asc for ascending order
- Ordering can be performed on multiple attributes

```
select *
from loan
order by amount desc, loan_number asc
```

For each attribute, ascending order is the default



Duplicates

- Multiset versions of some of the relational algebra operators – given multiset relations r₁ and r₂:
 - 1. $\sigma_{\theta}(r_1)$: If there are c_1 copies of tuple t_1 in r_1 , and t_1 satisfies selections σ_{θ} , then there are c_1 copies of t_1 in $\sigma_{\theta}(r_1)$.
 - 2. $\Pi_{A}(r)$: For each copy of tuple t_1 in r_1 , there is a copy of tuple $\Pi_{A}(t_1)$ in $\Pi_{A}(r_1)$ where $\Pi_{A}(t_1)$ denotes the projection of the single tuple t_1 .
 - 3. $r_1 \times r_2$: If there are c_1 copies of tuple t_1 in r_1 and c_2 copies of tuple t_2 in r_2 , there are $c_1 \times c_2$ copies of the tuple t_1 , t_2 in $r_1 \times r_2$

Duplicates Cont.

• Example: Suppose multiset relations R_1 (A, B) and R_2 (C) are as follows:

$$r_1 = \{(1, a) (2, a)\}$$
 $r_2 = \{(2), (3), (3)\}$

• Then $\Pi_B(r_1)$ would be $\{(a), (a)\}$, while $\Pi_B(r_1) \times r_2$ would be

$$\{(a,2), (a,2), (a,3), (a,3), (a,3), (a,3)\}$$

SQL duplicate semantics:

select
$$A_1$$
, A_2 , ..., A_n
from r_1 , r_2 , ..., r_m
where P

is equivalent to the *multiset* version of the expression

$$\prod_{A_1,A_2,...,A_n} (\sigma_P(r_1 \times r_2 \times ... \times r_m))$$
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Set Operations

- The set operations union, intersect, and except operate on relations and correspond to the relational algebra operations ∪, ∩, −.
- Each of the above operations automatically eliminates duplicates



Set Operations

- To retain all duplicates use the corresponding multiset versions union all, intersect all and except all
- Suppose a tuple occurs m times in r and n times in s, then, it occurs:
 - -m + n times in runion all s
 - min(m,n) times in r intersect all s
 - $-\max(0, m-n)$ times in r except all s



Example

Find all customers who have a loan, an account, or both

```
(select customer_name from depositor)
union
(select customer_name from borrower)
```

Find all customers who have both a loan and an account

```
(select customer_name from depositor)
intersect
(select customer_name from borrower)
```

Find all customers who have an account but no loan.

```
(select customer_name from depositor)
except
(select customer_name from borrower)
```



Aggregate Functions

 These functions operate on the multiset of values of a column of a relation, and return a value

avg: average value

min: minimum value

max: maximum value

sum: sum of values

count: number of values



Example

Find the average account balance at the Perryridge branch

```
select avg (balance)
from account
where branch_name = 'Perryridge'
```

Find the number of tuples in the customer relation.

select count (*)
from customer

Count the number of tuples in a relation

Find the number of depositors in the bank.

```
select count (distinct customer_name)
from depositor
eliminate duplicates
```

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Aggregate Functions - Group By

Find the number of depositors for each branch

```
select branch_name, count (distinct customer_name)
from depositor, account
where depositor.account_number = account.account_number
group by branch_name
```

Attributes in select clause outside of aggregate functions must appear in group by list

Aggregate Functions - Having

Find the names of all branches where the average account balance is more than \$1,200

```
select branch_name, avg (balance)
from account
group by branch_name
having avg (balance) > 1200
```

predicates in the having clause are applied after the formation of groups whereas predicates in the where clause are applied before forming groups

- It is possible for tuples to have a null value, denoted by *null*, for some of their attributes
- null signifies an unknown value or that a value does not exist.
- The predicate is null can be used to check for null values

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Example

Find all loan number which appear in the loan relation with null values for amount

select loan_number from loan where amount is null

 The result of any arithmetic expression involving null is null

5 + *null* returns null

Any comparison with null returns unknown



- Three-valued logic using the truth value unknown:
 - OR: (unknown **or** true) = true, (unknown **or** false) = unknown (unknown **or** unknown) = unknown
 - AND: (true and unknown) = unknown, (false and unknown) = false, (unknown and unknown) = unknown
 - NOT: (not unknown) = unknown

- Result of where clause predicate is treated as false if it evaluates to unknown
- All aggregate operations except count(*) ignore tuples with null values on the aggregated attributes

Example Total all loan amounts

select sum (amount) from loan

- # Above statement ignores null amounts
- # Result is null if there is no non-null amount



Nested Subqueries

- SQL provides a mechanism for the nesting of subqueries.
- A subquery is a select-from-where expression that is nested within another query.
- A common use of subqueries is to perform tests for set membership, set comparisons, and set cardinality

Set Membershi

Set is produced by subquery

set membership

Find all customers who have both an account and a loan at the bank.

Test for set membership

select distinct customer_name
from borrower
where customer_name in (select

where customer_name
in (select customer_name
from depositor)

Find all customers who have a loan at the bank but do not have an account at the bank Test for absence of

select distinct customer_name

from borrower

where customer_name not in (select customer_name from depositor)

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Example Query

Find all customers who have both an account and a loan at the Perryridge branch

```
select distinct customer_name
from borrower, loan
where borrower.loan_number = loan.loan_number and
       branch_name = 'Perryridge' and
      (branch_name, customer_name) in
            (select branch_name, customer_name
             from depositor, account
             where depositor.account_number =
                           account.account_number)
```

Set Comparison

Find all branches that have greater assets than some branch located in Brooklyn.

Same query using > some clause



Definition of Some Clause

- F <comp> **some** *r*
 - ∃ $t \in r$ such that (F <comp> t), Where <comp> can be: <, ≤, >, =, ≠

(5 < some
$$\begin{vmatrix} 0 \\ 5 \\ 6 \end{vmatrix}$$
) = true (5 \neq some $\begin{vmatrix} 0 \\ 5 \end{vmatrix}$) = true (since 0 \neq 5) (= some) \equiv in

$$(5 < some \frac{0}{5}) = false$$

$$(5 = some 5) = true$$

Example Query

Find the names of all branches that have greater assets than all branches located in Brooklyn



Definition of all Clause

• F <comp> all $r \Leftrightarrow \forall t \in r \text{ (F <comp> } t)$

$$(5 < \mathbf{all} \quad \begin{array}{|c|c|} \hline 0 \\ \hline 5 \\ \hline 6 \\ \end{array}) = \text{false}$$

$$(5 < all \frac{6}{10}) = true$$

$$(5 = \mathbf{all} \ \frac{4}{5}) = \text{false}$$

$$(5 \neq \mathbf{all} \mid \frac{4}{6})$$
 = true (since $5 \neq 4$ and $5 \neq 6$)

$$(\neq all) \equiv not in$$

However, $(= all) \neq in$

Test for Empty Relations

- The exists construct returns the value true if the argument subquery is nonempty.
- exists $r \Leftrightarrow r \neq \emptyset$
- not exists $r \Leftrightarrow r = \emptyset$



Example Query

Find all customers who have both an account and a loan at the bank.



Example Query

Find all customers who have an account at all branches located in Brooklyn.

```
select distinct S.customer_name
from depositor as 5
where not exists (
    (select branch_name
    from branch
    where branch_city = 'Brooklyn')
            except
    (select R.branch_name
    from depositor as T, account as R
    where T.account_number = R.account_number and
                S.customer_name = T.customer_name ))
```

Test for Absence of Duplicate Tuples*****

- The unique construct tests whether a subquery has any duplicate tuples in its result.
- Find all customers who have at most one account at the Perryridge branch

```
select T.customer_name
from depositor as T
where unique (
    select R.customer_name
    from account, depositor as R
    where T.customer_name = R.customer_name and
        R.account_number = account.account_number and
        account.branch_name = 'Perryridge')
```

Example Query

Find all customers who have at least two accounts at the Perryridge branch.

```
select distinct T.customer_name
from depositor as T
where not unique (
    select R.customer_name
    from account, depositor as R
    where T.customer_name = R.customer_name and
        R.account_number = account.account_number and
        account_branch_name = 'Perryridge')
```

Derived Relations

- SQL allows a subquery expression to be used in the **from** clause
- Find the average account balance of those branches where the average account balance is greater than \$1200

```
select branch_name, avg_balance
from (select branch_name, avg (balance)
    from account
    group by branch_name)
    as branch_avg (branch_name, avg_balance)
where avg_balance > 1200
```

With Clause

 The with clause provides a way of defining a temporary view whose definition is available only to the query in which the with clause occurs.

Find all accounts with the maximum balance

```
with max_balance (value) as
select max (balance)
from account
select account_number
from account, max_balance
where account.balance = max_balance.value
```



Complex Queries using With Clause

Find all branches where the total account deposit is greater than the average of the total account deposits at all branches.

```
with branch_total (branch_name, value) as
      select branch_name, sum (balance)
      from account
      group by branch_name
with branch_total_avg (value) as
      select avg (value)
      from branch total
select branch_name
from branch_total, branch_total_avg
where branch_total.value >= branch_total_avg.value
```

Views

- In some cases, it is not desirable for all users to see the entire logical model (that is, all the actual relations stored in the database.)
- Consider a person who needs to know a customer's name, loan number and branch name, but has no need to see the loan amount. This person should see a relation described, in SQL.

```
(select customer_name, borrower.loan_number,
branch_name
```

from borrower, loan

where borrower.loan_number = loan.loan_number)

Views Cont.

 A view is defined using the create view statement which has the form

create view v as < query expression >

where <query expression> is any legal SQL expression. The view name is represented by



Views

- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates.
- When a view is created, the query expression is stored in the database; the expression is substituted into queries using the view



Example Query

A view consisting of branches and their customers

```
create view all_customer as
      (select branch_name, customer_name
      from depositor, account
      where depositor.account_number =
                            account.account_number )
      union
      (select branch_name, customer_name
     from borrower, loan
      where borrower.loan_number = loan.loan_number)
```

Example Query

Find all customers of the Perryridge branch

```
select customer_name
from all_customer
where branch_name = 'Perryridge'
```



Views Defined Using Other Views

- One view may be used in the expression defining another view
- A view relation v_1 is said to *depend directly* on a view relation v_2 if v_2 is used in the expression defining v_1



Views Defined Using Other Views

- A view relation v_1 is said to *depend on* view relation v_2 if either v_1 depends directly to v_2 or there is a path of dependencies from v_1 to v_2
- A view relation v is said to be recursive if it depends on itself.

View Expansion

- A way to define the meaning of views defined in terms of other views.
- Let view v_1 be defined by an expression e_1 that may itself contain uses of view relations.



View Expansion

 View expansion of an expression repeats the following replacement step:

repeat

Find any view relation vi in e1
Replace the view relation vi by the expression defining vi
until no more view relations are present in e1

 As long as the view definitions are not recursive, this loop will terminate



Modification of the Database Deletion

■Delete all account tuples at the Perryridge branch

```
delete from account
where branch_name = 'Perryridge'
```

Delete all accounts at every branch located in the city 'Needham'.

Example Query

■ Delete the record of all accounts with balances below the average at the bank.

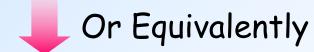
```
delete from account
where balance < (select avg (balance)
from account)
```

- ♯ Problem: as we delete tuples from deposit, the average balance changes
- # Solution used in SQL:
 - 1. First, compute avg balance and find all tuples to delete
 - 2. Next, delete all tuples found above (without recomputing avg or retesting the tuples)

Modification of the Database Insertion

Add a new tuple to account

insert into account
values ('A-9732', 'Perryridge', 1200)



insert into account (branch_name, balance, account_number)
values ('Perryridge', 1200, 'A-9732')

Add a new tuple to account with balance set to null

insert into account
values ('A-777', 'Perryridge', null)

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Example Query

Provide as a gift for all loan customers of the Perryridge branch, a \$200 savings account. Let the loan number serve as the account number for the new savings account

```
insert into account
    select loan_number, branch_name, 200
    from loan
    where branch_name = 'Perryridge'
insert into depositor
    select customer_name, loan_number
    from loan, borrower
    where branch_name = 'Perryridge'
        and loan.account_number = borrower.account_number
```

Modification of the Database Updates

- Increase all accounts with balances over \$10,000 by 6%, all other accounts receive 5%.
 - Write two update statements:
- update account set balance = balance * 1.06 where balance > 10000

update account set balance = balance * 1.05 where balance ≤ 10000

- The order is important
- Can be done better using the case statement (next slide)

Case Statement for Conditional Updates

 Same query as before: Increase all accounts with balances over \$10,000 by 6%, all other accounts receive 5%.

```
update account
set balance = case
when balance <= 10000
then balance *1.05
else balance * 1.06
end
```

Update Through Views

 Create a view of all loan data in the *loan* relation, hiding the *amount* attribute

create view loan_branch as
select loan_number, branch_name
from loan

Add a new tuple to branch_loan

insert into loan_branch
values ('L-37', 'Perryridge')

represented by the insertion of the tuple ('L-37', 'Perryridge', null') into the loan relation

Update Through Views

 Some updates through views are impossible to translate into updates on the database relations

```
create view v as
    select loan_number, branch_name, amount
    from loan
    where branch_name = 'Perryridge'
```

insert into v values ('L-99', 'Downtown', 23)



Update Through Views

Others cannot be translated uniquely

```
insert into all_customer
values ('Perryridge', 'John')
```

- Have to choose loan or account, and create a new loan/account number!
- Most SQL implementations allow updates only on simple views (without aggregates) defined on a single relation

Joined Relations

- Join operations take two relations and return as a result another relation.
- These additional operations are typically used as subquery expressions in the **from** clause
- Join condition defines which tuples in the two relations match, and what attributes are present in the result of the join

Joined Relations Cont.

 Join type – defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated

inner join
left outer join
right outer join
full outer join

Join Conditionsnaturalon < predicate>using $(A_1, A_1, ..., A_n)$



Joined Relations Datasets for Examples

Relation loan

Relation borrower

| loan_number | branch_name | amount | customer_name | loan_number | |
|-------------|-------------|--------|---------------|-------------|--|
| L-170 | Downtown | 3000 | Jones | L-170 | |
| L-230 | Redwood | 4000 | Smith | L-230 | |
| L-260 | Perryridge | 1700 | Hayes | L-155 | |
| loan | | | borrower | | |

borrower information missing for L-260 and loan information missing for L-155



Joined Relations - Examples

loan inner join borrower on
loan.loan_number = borrower.loan_number

| loan_number | branch_name | amount | customer_name | loan_number |
|-------------|-------------|--------|---------------|-------------|
| L-170 | Downtown | 3000 | Jones | L-170 |
| L-230 | Redwood | 4000 | Smith | L-230 |

loan left outer join borrower on
loan.loan_number = borrower.loan_number

| loan_number | branch_name | amount | customer_name | loan_number |
|-------------|-------------|--------|---------------|-------------|
| L-170 | Downtown | 3000 | Jones | L-170 |
| L-230 | Redwood | 4000 | Smith | L-230 |
| L-260 | Perryridge | 1700 | null | null |



Joined Relations — Examples

loan natural inner join borrower

| loan_number | branch_name | amount | customer_name |
|-------------|-------------|--------|---------------|
| L-170 | Downtown | 3000 | Jones |
| L-230 | Redwood | 4000 | Smith |

loan natural right outer join borrower

| loan_number | branch_name | amount | customer_name |
|-------------|-------------|--------|---------------|
| L-170 | Downtown | 3000 | Jones |
| L-230 | Redwood | 4000 | Smith |
| L-155 | null | null | Hayes |



Joined Relations — Examples

loan full outer join borrower using (loan_number)

| loan_number | branch_name | amount | customer_name |
|-------------|-------------|--------|---------------|
| L-170 | Downtown | 3000 | Jones |
| L-230 | Redwood | 4000 | Smith |
| L-260 | Perryridge | 1700 | null |
| L-155 | null | null | Hayes |

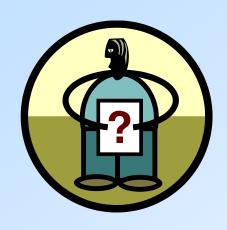
Find all customers who have either an account or a loan (but not both) at the bank.

select customer_name
from (depositor natural full outer join borrower)
where account_number is null or loan_number is null



Conclusions





Questions?





End of Chapter 3

