# Question 1: Note: in my implementation, 3\*3 grid is represented by an array of 9. Cross player represented by -1, circle player represented by 1, empty space represented by 0 Trajectory 1: State: [[ 0. 0. 0.] [0. 0. -1.] $[0. \ 0. \ 0.]$ Reward to go: 10.0 State: [[ 1. 0. 0.] [ 0. -1. -1.] $[0. \ 0. \ 0.]$ Reward to go: 10.0 State: [[ 1. 0. 0.] [ 0. -1. -1.] [1. -1. 0.]] Reward to go: 10.0 State: [[ 1. 0. -1.] [0. -1. -1.] [1. -1. 1.] Reward to go: 10.0 State: [[ 1. 0. -1.] [1. -1. -1.] [1. -1. 1.] Reward to go: 10.0 Trajectory 2: State: [[ 0. 0. 0.] [0. 0. 0.] [0. 0. -1.]Reward to go: 3.439 State: [0. 0. 0.][0. 0. -1.] [0. 1. -1.]] Reward to go: 2.71 State:

[[ 1. 0. 0.] [ 0. -1. -1.] [ 0. 1. -1.]]

```
Reward to go: 1.9
State:
[[ 1. -1. 0.]
[ 1. -1. -1.]
[ 0. 1. -1.]]
Reward to go: 1.0
Trajectory 3
State:
[[ 0. 0. 0.]
[0. 0. 0.]
[0. 0. -1.]]
Reward to go: -4.58000000000001
State:
[[ 0. 1. 0.]
[ 0. -1. 0.]
[0. 0. -1.]]
Reward to go: -6.20000000000001
State:
[[ 1. 1. -1.]
[ 0. -1. 0.]
[0. 0. -1.]]
Reward to go: -8.0
State:
[[ 1. 1. -1.]
[ 1. -1. 0.]
[-1. 0. -1.]]
Reward to go: -10.0
Trajectory 4
State:
[[ 1. 0. 0.]
[ 0. -1. 0.]
[ -1. 0. 0.]]
Reward to go: 2.71
State:
[[ 1. 0. 0.]
[ 0. -1. 0.]
[-1. 0. -1.]]
Reward to go: 1.9
State:
[[ 1. 0. 1.]
[ 0. -1. 0.]
```

```
[-1. 0. -1.]]
Reward to go: 1.0
```

# Trajectory 5

# State:

[[ 0. 0. 0. ][-1. 0. 0.]

[0. 0. 0.]

Reward to go: -3.122

# State:

[[ 0. 0. -1.]

[-1. 0. 1.]

 $[0. \ 0. \ 0.]$ 

Reward to go: -4.580000000000001

# State:

[[ 0. 0. -1.] [-1. -1. 1.]

[0. 0. 1.]

Reward to go: -6.20000000000001

# State:

[[ 1. 0. -1.]

[-1. -1. 1.] [ 0. -1. 1.]]

Reward to go: -8.0

# State:

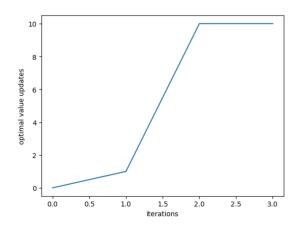
[[ 1. -1. -1.]

[-1. -1. 1.]

[1. -1. 1.]

Reward to go: -10.0

# Q2



#### Q3:

The values are no more than 0.1 different from the optimal values of any state because value iteration defines a contraction mapping  $||B(V) - B(V')||_{\infty} \le \gamma ||V - V'||_{\infty}$ , where  $\gamma$  is the contraction rate. A contraction mapping has a unique fixed point that it converges to, so value iteration converges to a unique solution in the value space, as seen in this implementation of value iteration, where  $||V - V'||_{\infty}$  approaches and eventually reaches zero after around 6 iterations.

Q4

```
S1_1 = np.array([[0, 0, 0], [0, 0, -1], [0, 0, 0]])
optimal_values, actions = value_interation(S1_1,0.1,np.array2string(S1_1))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)

optimal_v: 8.6878
action pos: (0, 0)

S1_2 = np.array([[1, 0, 0], [0, -1, -1], [0, 0, 0]])
optimal_values, actions = value_interation(S1_2,0.1,np.array2string(S1_2))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)

optimal_v: 8.54199999999998
action pos: (1, 0)
```

```
S1_3 = np.array([[1, 0, 0], [0, -1, -1], [1, -1, 0]])
optimal_values, actions = value_interation(S1_3,0.1,np.array2string(S1_3))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)

optimal_v: 10.0
action pos: (1, 0)
```

```
S1_4 = np.array([[1, 0, -1], [0, -1, -1], [1, -1, 1]])
optimal_values, actions = value_interation(S1_3,0.1,np.array2string(S1_3))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)
optimal v: 10.0
```

```
optimal_v: 10.0 action pos: (1, 0)
```

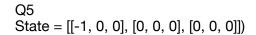
```
S1 5 = np.array([[1, 0, -1], [1, -1, -1], [1, -1, 1]])
optimal values, actions = value interation(S1 5,0.1,np.array2string(S1 5))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)
optimal v: 10.0
action pos: (0, 1)
S2_1 = np.array([[0, 0, 0], [0, 0, 0], [0, 0, -1]])
optimal_values, actions = value interation(S2_1,0.1,np.array2string(S2_1))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)
optimal v: 8.6878
action pos: (1, 1)
S2 2 = np.array([[0, 0, 0], [0, 0, -1], [0, 1, -1]])
optimal values, actions = value interation(S2 2,0.1,np.array2string(S2 2))
print("optimal v:",optimal values[-1])
print("action pos:",actions)
optimal v: 6.562
action pos: (1, 1)
 S2_3 = np.array([[1, 0, 0], [0, -1, -1], [0, 1, -1]])
 optimal_values, actions = value_interation(S2_3,0.1,np.array2string(S2_3))
 print("optimal_v:",optimal_values[-1])
 print("action pos:",actions)
 optimal v: 4.27
 action pos: (0, 2)
 S2_4 = np.array([[1, -1, 0], [1, -1, -1], [0, 1, -1]])
 optimal values, actions = value interation(S2 4,0.1,np.array2string(S2 4))
 print("optimal_v:",optimal_values[-1])
 print("action pos:",actions)
 optimal_v: 10.0
 action pos: (2, 0)
 S3_1 = np.array([[0, 0, 0], [0, 0, 0], [0, 0, -1]])
 optimal_values, actions = value_interation(S3_1,0.1,np.array2string(S3_1))
 print("optimal_v:",optimal_values[-1])
 print("action pos:",actions)
 optimal v: 8.6878
 action pos: (1, 1)
S3_2 = np.array([[0, 1, 0], [0, -1, 0], [0, 0, -1]])
optimal_values, actions = value_interation(S3_2,0.1,np.array2string(S3_2))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)
optimal_v: 7.948
action pos: (0, 0)
```

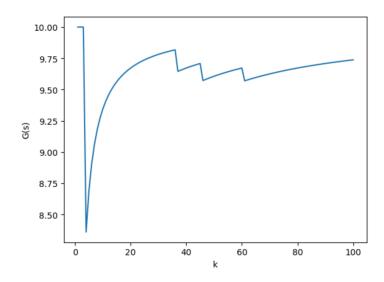
```
S3_3 = np.array([[1, 1, -1], [0, -1, 0], [0, 0, -1]])
optimal values, actions = value interation(S3 3,0.1,np.array2string(S3 3))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)
optimal v: 4.27
action pos: (2, 0)
S3 4 = np.array([[1, 1, -1], [1, -1, 0], [-1, 0, -1]])
optimal values, actions = value interation(S3 4,0.1,np.array2string(S3 4))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)
optimal v: -10.0
action pos: None
S4_1 = \text{np.array}([[1, 0, 0], [0, -1, 0], [-1, 0, 0]])
optimal values, actions = value interation(S4 1,0.1,np.array2string(S4 1))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)
optimal v: 8.541999999999998
action pos: (0, 2)
S4_2 = np.array([[1, 0, 0], [0, -1, 0], [-1, 1, -1]])
optimal values, actions = value interation(S4 2,0.1,np.array2string(S4 2))
print("optimal v:",optimal values[-1])
print("action pos:",actions)
optimal v: 7.5699999999999999
action pos: (0, 2)
S4_3 = \text{np.array}([[1, 0, 1], [0, -1, -1], [-1, 1, -1]])
optimal_values, actions = value_interation(S4_3,0.1,np.array2string(S4_3))
print("optimal v:",optimal values[-1])
print("action pos:",actions)
optimal v: 10.0
action pos: (0, 1)
S5_1 = np.array([[0, 0, 0], [-1, 0, 0], [0, 0, 0]])
optimal_values, actions = value_interation(S5_1,0.1,np.array2string(S5_1))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)
optimal v: 8.687800000000003
action pos: (0, 2)
```

```
S5_2 = np.array([[0, 0, -1], [-1, 0, 1], [0, 0, 0]])
optimal_values, actions = value_interation(S5_2,0.1,np.array2string(S5_2))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)
optimal v: 7.219
action pos: (1, 1)
S5 3 = np.array([[0, 0, -1], [-1, -1, 1], [0, 0, 1]])
optimal values, actions = value interation(S5 3,0.1,np.array2string(S5 3))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)
action pos: (2, 0)
S5_4 = np.array([[1, 0, -1], [-1, -1, 1], [0, -1, 1]])
optimal_values, actions = value_interation(S5_4,0.1,np.array2string(S5_4))
print("optimal v:",optimal values[-1])
print("action pos:",actions)
optimal v: -8.0
action pos: (0, 1)
```

```
S5_5 = np.array([[1, -1, -1], [-1, -1, 1], [1, -1, 1]])
optimal_values, actions = value_interation(S5_5,0.1,np.array2string(S5_5))
print("optimal_v:",optimal_values[-1])
print("action pos:",actions)
```

optimal\_v: -10 action pos: None





Q6

States:

Every car space(14 cells) in the middle is a state

# Action:

- 1.Move one cell down
- 2. Move two cell down
- 3. Move diagonal down(down left or down right)

# Reward:

10 in P1 cell or P2 cell

- -1 for any other cells in the two lane
- -100 for drive outside two lanes

## Transition:

1, since it is a deterministic environment, an action will result in a definite state

γ: 0.9

Other parameter for Q learning:

 $\epsilon$  = 0.2

 $\alpha = 0.8$ 

Q7

A1:Move one cell down: 6.2 A2:Move two cell down: 6.2

A3:Move diagonal down(down left or down right):6.2

Q8

## States:

Every car cell spaces and the position of the two pedestrians combination is a state, that is 14\*(8+1)\*(8+1) states(+1 for out of sight)

## Action:

- 1. Move one cell down
- 2. Move two cell down
- 3. Move diagonal down(down left or down right)

## Reward:

10 for car in P1 cell or P2 cell

- -1 for any other car cells
- -1000 for hitting pedestrian

#### Transition:

1, since it is a deterministic environment, an action will result in a definite state

γ: 0.9

Other parameter for Q learning:

 $\epsilon$  = 0.2

a = 0.8

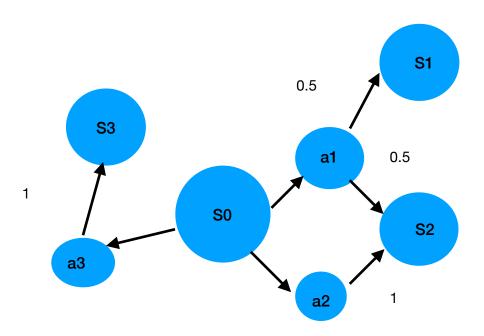
Q9

A1:Move one cell down: -901 A2:Move two cell down: -901

A3:Move diagonal down(down left or down right):4.58

Q12

1.



2. Q-value function: Q(s0, a) = 3s0 + a

Reward function:  $R(s0) = \alpha$ , R(s1) = 0,  $R(s2) = 4\beta$ ,  $R(s3) = 6\beta$ 

$$Q(s0, a1) = \alpha + \beta$$

 $Q(s0, a1) = R(s0) + \gamma(0.5R(s1) + 0.5R(s2)) = R(s0) + 0.25R(s1) + 0.25R(s2) = \alpha + 0.25(0) + 0.25(4\beta) = \alpha + \beta$ 

 $Q(s\dot{0},a2) = \alpha + 2\beta$ 

 $Q(s0,a2) = R(s0) + \gamma R(s2) = R(s0) + 0.5R(s2) = \alpha + 0.5(4\beta) = \alpha + 2\beta$ 

$$Q(s0,a3) = \alpha + 3\beta$$
  
 $Q(s0,a3) = R(s0) + \gamma R(s3) = R(s0) + 0.5R(s3) = \alpha + 0.5(6\beta) = \alpha + 3\beta$ 

3. There are no  $\alpha$ ,  $\beta$  values in the linear Q approximation function that can represent the Q-values at s0 since these Q-values do not represent a linear relationship.

Reward function: 
$$R(s0) = \alpha$$
,  $R(s1) = -4\beta$ ,  $R(s2) = 8\beta$ ,  $R(s3) = 18\beta$   
 $Q(s0,a1) = R(s0) + 0.25R(s1) + 0.25R(s2) = \alpha + 0.25(-4\beta) + 0.25(8\beta) = \alpha + \beta$   $Q(s0,a2) = R(s0) + 0.5R(s2) = \alpha + 0.5(8\beta) = \alpha + 4\beta$   
 $Q(s0,a3) = R(s0) + 0.5R(s3) = \alpha + 0.5(18\beta) = \alpha + 9\beta$ 

4. Q-value function:  $Q(s0, a) = \alpha + a2\beta$ Reward function:  $R(s0) = \alpha$ ,  $R(s1) = -4\beta$ ,  $R(s2) = 8\beta$ ,  $R(s3) = 18\beta$   $Q(s0,a1) = \alpha + \beta = \alpha + \beta$  $Q(s0,a2) = \alpha + 22\beta = \alpha + 4\beta$ 

This approximation function matches the Q-values from above.

 $Q(s0,a3) = \alpha + 32\beta = \alpha + 9\beta$