# Due: Monday, Dec 7, beginning of lecture

**General Hint:** The Kleene Normal Form Theorem (KNFT) is useful for the solutions for several of the following problems.

1. Do Exercise 11, page 79 in the Notes. (A function f(x) is computable iff its graph is recursively enumerable. To show the 'if' direction, first give an informal algorithm for computing f from an enumeration of the tuples in graph(f). Then formalize the argument by showing that f is recursive, using the least number operator  $\mu$ .)

#### **Solution:**

 $(\Rightarrow)$  Suppose that f(x) is computable by program  $\{e\}$ . Then by the KNFT its graph can be defined as follows:

$$R_f(x,y) = (y = f(x)) = \exists w (T(e,x,w) \land U(w) = y)$$

Thus  $R_f$  satisfies the definition of r.e. using the recursive relation S(x, y, w) defined by

$$S(x, y, w) = (T(e, x, w) \land U(w) = y)$$

- ( $\Leftarrow$ ) Informal proof: To compute f(x), enumerate all pairs (z, y) in the graph of f, until a pair of the form (x, y) appears. Then set f(x) = y. Note that if f(x) is not defined, then this procedure never halts (which is fine).
- ( $\Leftarrow$ ) Formal proof: Suppose  $R_f(x,y) = (y = f(x))$  is r.e. We will show that f is recursive.

By definition of r.e. there is a recursive relation S(x, y, w) such that

$$(y = f(x)) = \exists w S(x, y, w)$$

We use the pairing functions and inverses J, K, L (page 77) to show f is recursive:

$$f(x) = K(\mu z S(x, K(z), L(z))$$

2. Let ODD be the set of all odd integers  $n \geq 0$ . Define

$$A = \{x \mid range(\{x\}_1) \subseteq ODD\}$$

Is A r.e.? Is  $A^c$  r.e.? Justify your answers, using the special case of the S-m-n Theorem (page 73 of the Notes.)

## Solution:

First we show that A is not r.e. by showing  $K^c \leq_m A$ . We want a total computable f such that

$$x \in K^c \Leftrightarrow f(x) \in A$$

This is equivalent to

$$\{x\}_1(x) = \infty \Leftrightarrow range(\{f(x)\}_1) \subseteq ODD$$

We use the S-m-n Theorem to define f as follows:

$$\{f(x)\}_1(y) = g(x,y) = 0 \cdot \mu w T(x,x,w)$$

Thus if  $x \in K^c$  then  $range(\{f(x)\}_1) = \emptyset$  so  $f(x) \in A$ , and if  $x \in K$  then  $0 \in range(\{f(x)\}_1)$  so  $f(x) \notin A$ .

Now we show that  $A^c$  is r.e. Note that  $x \in A^c$  iff there is at least one even number in the range of  $\{x\}_1$ . Therefore, by the Kleene Normal Form Theorem and the inverse pairing functions K, L (Notes page 77) we have

$$x \in A^c \Leftrightarrow \exists y (T(x, K(y), L(y)) \land EVEN(U(L(y))))$$

where EVEN(z) holds iff z is an even number. Since the relation R(x, y) defined after  $\exists y$  is recursive, it follows that  $A^c$  is r.e.

3. Let R(x,y) be a recursive relation. Give a primitive recursive relation S(x,z) such that for all  $x \in \mathbb{N}$ 

$$\exists y R(x,y) \Leftrightarrow \exists z S(x,z)$$

Justify your answer.

## Solution:

Since R is computable, by the Kleene Normal Form Theorem there is a number e such that

$$R(x,y) = U(\mu z T_2(e,x,y,z))$$

Since the number z coding a halting computation of a Register Machine always exceeds the inputs x, y to the machine it follows that

$$\exists y R(x,y) \Leftrightarrow \exists y \exists z \big( T_2(e,x,y,z) \land U(z) = 0 \big) \\ \Leftrightarrow \exists z \exists y \leq z \big( T_2(e,x,y,z) \land U(z) = 0 \big)$$

Thus let  $S(x,z) = \exists y \le z (T_2(e,x,y,z) \land U(z) = 0).$ 

4. Show how to handle the  $\exists \le$  case in the proof of the MAIN LEMMA (Exercise 6, page 104). (See Lemma A.)

#### Solution:

Suppose  $A =_{syn} \exists x \leq tB(x)$  where B(x) is a bounded formula, and suppose that A is in **TA**. We are to show  $\mathbf{RA} \subset A$ ; that is

$$\mathbf{R}\mathbf{A}_{\leq} \vdash \exists x (x \leq t \land B(x)) \tag{1}$$

Since A is a sentence, t is a closed term (no variables), so by Lemma A,  $\mathbf{R}\mathbf{A} \leq \vdash t = s_n$  for some  $n \in \mathbb{N}$ . Since A is true, it follows that  $B(s_k)$  is true for some  $k \leq n$ . Hence

by the induction hypothesis in the proof of the MAIN LEMMA (bottom of page 102),  $\mathbf{R}\mathbf{A} \leq \vdash B(s_k)$ . Also  $\mathbf{R}\mathbf{A} \leq \vdash s_k \leq s_n$  by the base case of the induction. Hence

$$\mathbf{R}\mathbf{A}_{\leq} \vdash (s_k \leq t \land B(s_k))$$

Thus (1) follows.

5. Show that  $\mathbf{PA} \vdash \forall x \forall y \ x + y = y + x$ . Your proof should follow the style of Example 2, page 98 of the Notes.

**Solution:** First we show

**Lemma 1: PA** proves  $\forall x \ 0 + x = x$ .

**Proof:** We show 0 + x = x by induction on x.

BASIS: x = 0

$$0 + 0 = 0$$
 by P3

Induction Step:  $x \leftarrow sx$ 

$$0 + sx = s(0 + x)$$
 P4  
=  $sx$  Induction Hypothesis

**Lemma 2: PA** proves  $\forall x \forall y (s(y+x) = sy + x)$ .

**Proof:** We show s(y+x) = sy + x by induction on x with parameter y.

BASIS: x = 0

$$\begin{array}{rcl} s(y+0) & = sy & \mathrm{P3} \\ & = sy+0 & \mathrm{P3} \end{array}$$

Induction Step:  $x \leftarrow sx$ 

$$s(y+sx) = ss(y+x)$$
 P4  
=  $s(sy+x)$  Induction Hypothesis  
=  $sy+sx$  P4

Now we show x + y = y + x by induction on y, with parameter x.

BASIS: y = 0

$$x + 0 = x$$
 P3  
=  $0 + x$  Lemma 1

Induction Step:  $y \leftarrow sy$ 

$$x + sy = s(x + y)$$
 P4  
=  $s(y + x)$  Induction Hypothesis  
=  $sy + x$  Lemma 2