Introduction to database management systems

Iztok Savnik, FAMNIT

Slides

- Raghu Ramakrishnan, Johannes Gehrke, Database Management Systems, McGraw-Hill, 3rd ed., 2007.
- Slides from "Cow Book": R.Ramakrishnan, http://pages.cs.wisc.edu/~dbbook/

Basic information

- Lecturer: Iztok Savnik
- Asistent: Uroš Sergaš
- Exercises: Auditorial + laboratory
- Appointement: After the lecture, mail
- Grading:
 - Written exam
 - Homework
 - Quizzes

Literature

Textbook

Raghu Ramakrishnan, Johannes Gehrke,
Database Management Systems, McGraw-Hill, 3rd ed., 2007.

Transparencies

– "Cow Book": R.Ramakrishnan, http://pages.cs.wisc.edu/~dbbook/

Additional literature

Abraham Silberschatz, Henry F. Korth, S.
Sudarshan, Database System Concepts, 3rd ed.,
McGraw-Hill, 1997.

Grading

- Written exam 40%
 - 4 exercises ~ 90-120 min
 - Exercises close to those from tutorials and lectures
 - > 50%!
- Homework 40%
 - 3 homework ~ 3 topics
 - Topics from lectures and exercises
 - > 50%!
- Oral exam 20%
 - After written exam and homework
 - 3 questions from tutorials and lectures
 - Final grade is formed

What Is a DBMS?



- A very large, integrated collection of data.
- Models real-world <u>enterprise</u>.
 - Entities (e.g., students, courses)
 - Relationships (e.g., Madonna is taking CS564)
- A <u>Database Management System (DBMS)</u> is a software package designed to store and manage databases.

Files vs. DBMS

- Application must stage large datasets between main memory and secondary storage (e.g., buffering, page-oriented access, 32-bit addressing, etc.)
- Special code for different queries
- Must protect data from inconsistency due to multiple concurrent users
- Crash recovery
- Security and access control

Why Use a DBMS?



- Data independence and efficient access.
- Reduced application development time.
- Data integrity and security.
- Uniform data administration.
- Concurrent access, recovery from crashes.

Why Study Databases??

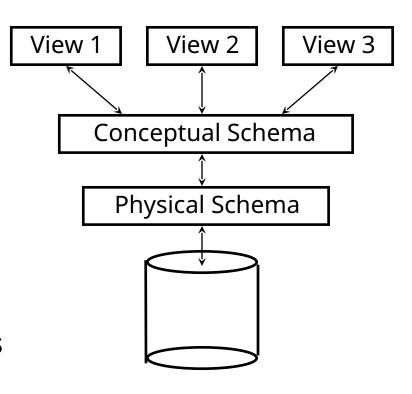
- Shift from <u>computation</u> to <u>information</u>
 - at the "low end": scramble webspace (a mess!)
 - at the "high end": scientific applications
- Datasets increasing in diversity and volume.
 - Digital libraries, Interactive video, Human Genome project, Knowledge graphs, Sky survey, etc.
 - ... need for DBMS exploding
- DBMS encompasses most of CS
 - OS, languages, theory, AI, multimedia, logic

Data Models

- A <u>data model</u> is a collection of concepts for describing data.
- A <u>schema</u> is a description of a particular collection of data, using a given data model.
- The <u>relational model of data</u> is the most widely used model today.
 - Main concept: <u>relation</u>, basically a table with rows and columns.
 - Every relation has a <u>schema</u>, which describes the columns, or fields.

Levels of Abstraction

- Many <u>views</u>, single <u>conceptual (logical)</u> <u>schema</u> and <u>physical</u> <u>schema</u>.
 - Views describe how users see the data.
 - Conceptual schema defines logical structure
 - Physical schema describes the files and indexes used.



Schemas are defined using DDL; data is modified/queried using DML.

Example: University Database

- Conceptual schema:
 - Students(sid: string, name: string, login: string, age: integer, gpa:real)
 - Courses(cid: string, cname:string, credits:integer)
 - Enrolled(sid:string, cid:string, grade:string)
- Physical schema:
 - Relations stored as unordered files.
 - Index on first column of Students.
- External Schema (View):
 - Course_info(cid:string,enrollment:integer)

Data Independence *

- Applications insulated from how data is structured and stored.
- <u>Logical data independence</u>: Protection from changes in *logical* structure of data.
- Physical data independence: Protection from changes in physical structure of data.

One of the most important benefits of using a DBMS!

Concurrency Control

- Concurrent execution of user programs is essential for good DBMS performance.
 - Because disk accesses are frequent, and relatively slow, it is important to keep the cpu humming by working on several user programs concurrently.
- Interleaving actions of different user programs can lead to inconsistency: e.g., check is cleared while account balance is being computed.
- DBMS ensures such problems don't arise: users can pretend they are using a single-user system.

Transaction: An Execution of a DB Program

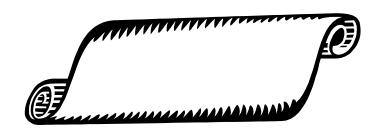
- Key concept is <u>transaction</u>, which is an <u>atomic</u> sequence of database actions (reads/writes).
- Each transaction, executed completely, must leave the DB in a <u>consistent state</u> if DB is consistent when the transaction begins.
 - Users can specify some simple <u>integrity constraints</u> on the data, and the DBMS will enforce these constraints.
 - Beyond this, the DBMS does not really understand the semantics of the data. (e.g., it does not understand how the interest on a bank account is computed).
 - Thus, ensuring that a transaction (run alone) preserves consistency is ultimately the user's responsibility!

Scheduling Concurrent Transactions

- DBMS ensures that execution of {T1, ..., Tn} is equivalent to some <u>serial</u> execution T1' ... Tn'.
 - Before reading/writing an object, a transaction requests a lock on the object, and waits till the DBMS gives it the lock. All locks are released at the end of the transaction. (<u>Strict 2PL</u> locking protocol.)
 - Idea: If an action of Ti (say, writing X) affects Tj (which perhaps reads X), one of them, say Ti, will obtain the lock on X first and Tj is forced to wait until Ti completes; this effectively orders the transactions.
 - What if Tj already has a lock on Y and Ti later requests a lock on Y? (<u>Deadlock!</u>) Ti or Tj is <u>aborted</u> and restarted!

Ensuring Atomicity

- DBMS ensures *atomicity* (all-or-nothing property) even if system crashes in the middle of a Xact.
- Idea: Keep a <u>log</u> (history) of all actions carried out by the DBMS while executing a set of Xacts:
 - Before a change is made to the database, the corresponding log entry is forced to a safe location.
 (WAL protocol; OS support for this is often inadequate.)
 - After a crash, the effects of partially executed transactions are <u>undone</u> using the log. (Thanks to WAL, if log entry wasn't saved before the crash, corresponding change was not applied to database!)



The Log

- The following actions are recorded in the log:
 - Ti writes an object: The old value and the new value.
 - Log record must go to disk <u>before</u> the changed page!
 - Ti commits/aborts: A log record indicating this action.
- Log records chained together by Xact id, so it's easy to undo a specific Xact (e.g., to resolve a deadlock).
- Log is often duplexed and archived on "stable" storage.
- All log related activities (and in fact, all CC related activities such as lock/unlock, dealing with deadlocks etc.) are handled transparently by the DBMS.

Databases make these folks happy ...

- End users and DBMS vendors
- DB application programmers
 - E.g., smart webmasters
- Database administrator (DBA)
 - Designs logical /physical schemas
 - Handles security and authorization
 - Data availability, crash recovery
 - Database tuning as needs evolve

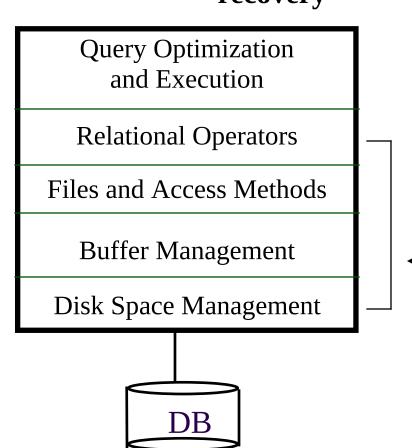
Must understand how a DBMS works!



Structure of a DBMS

These layers must consider concurrency control and recovery

- A typical DBMS has a layered architecture.
- The figure does not show the concurrency control and recovery components.
- This is one of several possible architectures; each system has its own variations.



Sylabus (short)

- 1. Introduction
- 2. Relational data model
 - Relational algebra
 - Relational calculus
- 3. Relational query languages
 - SQL
 - QBE
- 4. Storage system
 - Disks
 - Memory management
 - Indexes
- 5. Query evaluation
 - Physical relational ops
 - Query optimization

- 6. Transactions
 - Concurrency control
 - Recovery
- 7. Relational database design
 - Logical design
 - Physical design
- 8. Conceptual data models
 - Data model ER
 - Extended ER model
- 9. New database systems
 - NoSQL systems
 - Key/value, document, columnar, and graph stores

Summary

- DBMS used to maintain, query large datasets.
- Benefits include recovery from system crashes, concurrent access, quick application development, data integrity and security.
- Levels of abstraction give data independence.
- A DBMS typically has a layered architecture.
- DBAs hold responsible jobs are well-paid!
- DBMS R&D is one of the broadest, most exciting areas in CS.

