# Notes: Algebraic Groups SS 20, ETH Z

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#### 1 Introduction

Let k be an algebraically closed field.

**Definition 1.** For  $I \subseteq k[X] := k[X_1, \dots, X_n]$ , we define its **vanishing set** by

$$V(I) := \{ p \in k^n \mid \forall f \in I : f(p) = 0 \}.$$

A set  $S \subset k^n$  is called **algebraic**, if

$$S = V(I)$$

for some  $I \subseteq k[X]$ .

**Example 1.** The group  $\mathsf{GL}_n(k)$  is not an algebraic subset of  $k^{n \times n}$ . But, we can identify it with an algebraic subset of  $(k^{n \times n})^2$  by

$$\mathsf{GL}_n(k) \cong \left\{ (x,y) \in k^{n \times n} \mid xy = 1_n \right\} = V(X \cdot Y - 1_n).$$

**Definition 2.** Let  $\iota : \mathsf{GL}_n(k) \hookrightarrow k^{n \times n^2}$  be the injection

$$A \mapsto (A, A^{-1}).$$

A linear algebraic group over k is a subgroup  $U \subseteq \mathsf{GL}_n(k)$  s.t.  $\iota(k)$  is an algebraic subset of  $k^{2n^2}$ .

I.e., a linear algebraic group is a matrix-group which can be defined by polynomials over the entries of a matrix and its inverse.

**Example 2.** The following groups are linear algebraic groups:

- 1. The multiplicative group  $\mathcal{G}_m(k) := k^{\times} = k \setminus \{0\} = \mathsf{GL}_1(k)$ .
- 2. The general linear group  $\mathsf{GL}_n(k)$ .
- 3. The special linear group

$$\mathsf{SL}_n(k) := \{ A \in \mathsf{GL}_n(k) \mid \det(A) = 1 \}.$$

4. The orthogonal group

$$\mathcal{O}_n(k) := \left\{ A \in \mathsf{GL}_n(k) \mid A^T \cdot A = 1 \right\}.$$

5. The special orthogonal group

$$SO_n(k) := \mathcal{O}_n(k) \cap SL_n(k).$$

6. The upper triangle-matrix group

$$\left\{ \begin{pmatrix} a_{1,1} & \cdots & a_{1,n} \\ & \ddots & \vdots \\ & & a_{n,n} \end{pmatrix} \mid a_{i,j} \in k \right\} \cap \mathsf{GL}_n(k).$$

7. The normed upper triangle-matrix group

$$\left\{ \begin{pmatrix} 1 & \cdots & a_{1,n} \\ & \ddots & \vdots \\ & & 1 \end{pmatrix} \mid a_{i,j} \in k \right\} \cap \mathsf{GL}_n(k).$$

8. The group of n-th roots of unity

$$\mu_n(k) := \{ x \in k \mid x^n = 1 \}.$$

9. The additive group (k, +) is not a subgroup of  $\mathsf{GL}_n(k)$ , but it can be identified with the linear algebraic group

$$\left\{ \begin{pmatrix} 1 & a \\ & 1 \end{pmatrix} \mid a \in k \right\} \subset \mathsf{GL}_2(k)$$

10. For  $k = \mathbb{C}$ , the unit sphere and the unitary groups are NOT linear algebraic groups.

#### 2 Algebraic Groups and Hopf Algebras

**Definition 3.** A morphism  $f: X \to Y$  of algebraic sets  $X \subset k^m, Y \subset k^n$  is a map which is coordinatewise described by polnomials.

**Definition 4.** An **algebraic group** is an algebraic set  $G \subset k^n$  together with a fixed element  $e \in G$  and morphisms  $m: G \times G \to G, i: G \to G$  s.t. (G, m, i, e) is a group.

A morphism of algebraic groups is a morphism of algebraic sets that is also a group homomorphism.

**Definition 5.** Let  $V \subset k^n$  be any subset. Then, we define the vanishing ideal of V by

$$I(V) := \{ f \in k[x] \mid f(V) = 0 \}.$$

**Definition 6.** For a commutative ring R we define the **radical** of an ideal  $I \subseteq R$  by

$$\sqrt{I} := \{ r \in R \mid r^m \in I \text{ for some } m \in \mathbb{N}_0 \}.$$

R is called **reduced**, if  $\sqrt{0} = 0$ .

**Lemma 1** (Zariskis Lemma). Let  $L \supseteq k$  be fields. If L is finitely generated as a k-algebra, then the extension  $L \supseteq k$  is finite, i.e., L is a finitely-generated k-vector space.

**Theorem 1** (Hilberts Nullstellensatz). For any ideal  $I \subseteq k[x]$ , we have

$$I(V(I)) = \sqrt{I}.$$

*Proof.* It is easy to see that

$$I\subset \sqrt{I}\subset I(V(I)).$$

Now, let  $f \in I(V(I))$  and assume – for the sake of contradiction – that  $f \notin \sqrt{I}$ . Since  $\sqrt{I}$  is the intersection of its upper prime ideals, there is a prime ideal  $p \supset I$ , s.t.  $f \notin p$ . Now, define the zero divisor-free ring

$$R := (k[x]/p)[f^{-1}].$$

And let  $\phi: k[x] \to R$  be the corresponding ring homomorphism.

Let  $m \subseteq R$  be a maximal ideal in R. Then, R/m is a field, which contains k and is finitely generated as k-algebra. According to Zariski's lemma, R/m is a finite (ergo algebraic) extension of k. Since k is algebraically closed, we have R/m = k. Let  $\pi_m : R \to k$  be the corresponding ring homomorphism.

Now, for  $x_1, \ldots, x_n$ , set

$$t_i := \pi_m(\phi(x_i)).$$

Then,  $t = (t_1, \dots, t_n) \in k^n$ . We now have

1.  $t \in V(I)$ : For each  $g \in I$ , we have  $\phi(g) = 0$ . On the other hand

$$g(t) = g(\pi_m \circ \phi(x)) = \pi_m \circ \phi(g) = 0.$$

2.  $f(t) \neq 0$ :  $\phi(f)$  is invertible in R, therefore  $\phi(f) \neq 0$  and  $\phi(f) \notin m$ . Ergo

$$f(t) = \pi_m \circ \phi(f) \neq 0.$$

Ergo, there is a point  $t \in V(I)$  s.t.  $f(t) \neq 0$ . This yields a contradiction, since we assumed  $f \in I(V(I))$ .

**Definition 7.** For an algebraic set  $X \subset k^n$ , we define its **coordinate ring** by

$$k[X] := k[x_1, \dots, x_n]/I(X).$$

**Lemma 2.** For a morphism  $f: X \to Y$  of algebraic sets define the following homomorphism of k-algebras.

$$f^*: k[Y] \longrightarrow k[X]$$
  
 $p \longmapsto p \circ f.$ 

We have a contravariant functor  $\_*$  from the categories of algebraic sets over k to the category of k-algebras:

$$X \longmapsto k[X]$$

$$\operatorname{Hom}(X,Y) \longmapsto \operatorname{Hom}_k(k[Y],k[X])$$

$$f \longmapsto f^*.$$

Lemma 3. We have

$$k[X \times Y] \cong k[X] \otimes k[Y].$$

Proof.

$$k[X] \otimes k[Y] = k[x]/I(X) \otimes_k k[y]/I(Y) = k[x,y]/I(X) \otimes k[y] + k[x] \otimes I(Y).$$

But

$$V(I(X)\otimes k[y]+k[x]\otimes I(Y))=V(I(X)\otimes k[y])\cap V(k[x]\otimes I(Y))=X\times Y.$$

**Theorem 2.** Every finitely generated reduced k-algebra A is isomorphic to some k[X] for some algebraic X.

*Proof.* Choose some  $\pi: k[x_1, \ldots, x_n] \twoheadrightarrow A$  and set  $X := V(\ker \pi)$ . Then  $\ker \pi = I(X)$ , since  $\pi$ 's kernel is radical since A is reduced.

Corollary 1. The contravariant functor  $\_^* : \mathcal{C}_{algSets} \to \mathcal{C}_{k-alg.s}$  gives an antiequivalence of categories.

**Lemma 4.** An algebraic set X is isomorphic to some algebraic subset of Y iff there is an epimorphism  $k[Y] \rightarrow k[X]$ .

**Lemma 5.** Let  $G \subset k^n$  be an algebraic group. Then, we have maps

$$m: G \times G \longrightarrow G$$
$$i: G \longrightarrow G$$
$$e: * \longrightarrow G.$$

They induce dual maps in the category of k-algebras:

$$\Delta := m^* : k[G] \longrightarrow k[G] \otimes_k k[G]$$
$$\iota := i^* : k[G] \longrightarrow k[G]$$
$$\varepsilon := e^* : k[G] \longrightarrow k$$

**Definition 8.** A **Hopf-algebra** over k is a (reduced?!) k-algebra together with maps  $\Delta, \varepsilon, \iota$  as above s.t. the following holds:

$$(\Delta \otimes \operatorname{Id})\Delta = (\operatorname{Id} \otimes \Delta)\Delta$$
$$s^* \circ (\iota \otimes \operatorname{Id})\Delta = s^* \circ (\operatorname{Id} \otimes \iota)\Delta = \varepsilon$$
$$(\varepsilon \otimes \operatorname{Id})\Delta = (\operatorname{Id} \otimes \varepsilon)\Delta = \operatorname{Id}$$

where  $s: G \to G \times G, g \mapsto (g, g)$  is the diagonal map.

A morphism of Hopf-algebras is a homomorphism of k-algebra  $F: A \to B$  s.t.

$$\Delta \circ F = (F \otimes F) \circ \Delta.$$

**Theorem 3.** The contravariant functor  $\_*$  gives an anti-equivalence of the categories of algebraic groups and the categories of finitely generated Hopf-algebras over k.

**Example 3.** 1. Let  $G = \mathcal{G}_a = (k, +)$ . Then, k[G] = k[x], since I(x) = 0. Then, we have

$$\Delta(x) = x \otimes 1 + 1 \otimes x$$
$$\iota(x) = -x$$
$$\varepsilon(x) = 0.$$

2. Let  $G = \mathcal{G}_m = \{(a, a^{-1}) \mid a \neq 0\} \cong k^{\times}$ . Then,  $k[G] = k[x, y]/(xy - 1) = k[x, x^{-1}]$ . Then, we have

$$\Delta(x) = x \otimes x$$
$$\iota(x) = x^{-1}$$
$$\varepsilon(x) = 1.$$

3. Let  $G = \mathsf{GL}_n(k)$ . Then,  $k[G] = k[x,y]/(xy-1_n) = k[x_{i,j},\frac{1}{\det}]$ . Then, we have

$$\Delta(x_{i,j}) = \sum_{k} x_{i,k} \otimes x_{k,j}$$

$$\Delta(\frac{1}{\det(x)}) = \frac{1}{\det(x)} \otimes \frac{1}{\det(x)} \iota(x_{i,j}) \qquad = (x^{-1})_{i,j}$$

$$\varepsilon(x_{i,j}) = \delta_{i,j}.$$

#### 2.1 An Aside on the General Group

Let  $G = \mathsf{GL}_n(k) = \{(x, y) \mid xy = \mathrm{Id}_n\}$ . Since we have

$$x^{-1} = \frac{1}{\det(x)} \cdot \operatorname{adj}(x)$$

where the adjoint adj(x) can be expressed by polnomials in the entries of x, we have isomorphisms

$$k[x,y]/(xy-1_n) \longrightarrow k[x,1/\det(x)] = k[x,t]/(\det(x) \cdot t = 1)$$
  
 $(x,y) \longmapsto (x,\det(y))$ 

and

$$k[x, 1/\det(x)] \longrightarrow k[x, y]/(xy - 1_n)$$
  
 $(x, t) \longmapsto (x, t \cdot \operatorname{adj}(x)).$ 

Lemma 6.

$$k[GL_n(k)] \cong k[x_{i,j}, \frac{1}{\det(x)}].$$

**Lemma 7.** Let V be a finite-dimensional k-vector space. If we choose a basis for V, we get an isomorphism GL(V). Hence, GL(V) is an algebraic group whose structure is up to a unique isomorphism independent of the choice of basis.

#### 3 Actions

Remark 1. Let  $G \curvearrowright M$  be a group action of algebraic sets, then the morphism

$$G \times M \longrightarrow M$$

yields an homomorphism

$$\Delta: k[M] \to k[G] \otimes k[M].$$

This turns k[M] to a **comodule** of the Hopf-Algebra k[G].

**Definition 9.** Let V be vector space and G an algebraic group. A morphism  $r_V$ :  $G \to \mathsf{GL}(V)$  of groups is called **representation** of G, if there is a linear map

$$\Delta: V \to V \otimes_k k[G] (= \mathsf{Hom}_{alg}(G, V))$$

s.t. we have for each  $v \in V$  and  $g \in G$ 

$$r_V(g) \cdot v = \sum_i v_i \cdot f_i(g)$$

where  $\Delta v = \sum_{i} v_i \otimes f_i$ .

That is, V is a comodule for k[G].

A map  $\phi: V \to W$  is called **equivariant** for two representations  $r_V, r_W$  of G, if

$$\phi(r_V(g)v) = r_W(g)\phi(v)$$

for all g, v.

**Example 4.** Let  $G = \mathsf{GL}_n(k), \ V = k^n$  and  $r_V$  be the canonical representation. For an orthonormal basis  $(b_i)_{i=1,\dots,n}$ , we for example can set

$$\Delta v = \sum_{i=1}^{n} b_i \otimes f_i$$

where

$$f_i(A) := b_i^T A v.$$

Then, we have

$$r_V(A) \cdot v = A \cdot v = \sum_{i=1}^n b_i \cdot b_i^T A v = \Delta(v)(A).$$

**Example 5.** Let M be a right G-set. Then, G also acts on k[M], therefore we have a map

$$\rho: G \to \mathsf{GL}(k[M])$$

by, for  $v \in k[M]$ ,

$$(\rho(g)v)(m) := v(m.g).$$

Further, we have an algebra morphism

$$\Delta: k[M] \to k[M] \otimes k[G] = k[M \times G]$$

with

$$(\Delta v)(m,g) = v(m.g).$$

With  $\Delta v = \sum_{i} v_i \otimes f_i$ 

$$\rho(g)v(m) = v(mg) = \Delta v(m,g) = \sum_{i=1}^{n} f_i(g)v_i(m).$$

Ergo, g is a representation of G.

When M = G with action given by the right translation, then  $\rho : G \to \mathsf{GL}(k[G])$  is called the **right regular representation** of G.

**Lemma 8.** Let G be an algebraic group and V a finite-dimensional k-vector space. Then  $\rho: G \to GL(V)$  is morphism of algebraic groups iff it is a representation.

**Definition 10.** Let G be an algebraic group and V a representation of G. A subspace  $W \subset V$  is called **invariant** or **subrepresentation**, if we have W.G = W.

**Lemma 9.** The following are equivalent:

- 1. W is invariant.
- 2.  $\Delta(W) \subseteq W \otimes k[G]$ .

**Lemma 10.** Any representation V is a filtered union of its finite-dim. subrepresentations:

- 1. Each  $v \in V$  is contained in some fin.-dim. subrep.
- 2. Any two finite-dim. subrep. are contained in some bigger fin.-dim. subrep.

**Theorem 4.** Every algebraic group G is isomorphic to a linear algebraic group.

*Proof.* Let  $\rho: G \to \mathsf{GL}(k[G])$  be the right regular representation. k[G] is a finitely-generated k-algebra. Then, there is a finite-dim. subrepresentation  $V \subseteq k[G]$  s.t. V generates k[G] as k-algebra. Then

$$\phi: G \longrightarrow \mathsf{GL}(V)$$

is morphism of algebraic groups.

Consider the dual map

$$\phi^*: k[\mathsf{GL}(V)] \to k[G].$$

We need to show that  $\phi^*$  is surjective. It is enough to show that  $V \subset \mathsf{Img}\phi^*$ . Define

$$l: V \subset k[G] \longrightarrow k$$
$$f \longmapsto f(e).$$

Let  $f \in V$  and set  $a(g) := l(g \cdot f)$  for  $g \in \mathsf{GL}(V)$ . Then  $a \in k[\mathsf{GL}(V)]$  is regular. Further,

$$\phi^*(a)(g) = a(\rho(g)) = l(\rho(g)f) = f(eg) = f(g).$$

Therefore,  $f = \phi^*(a) \in \mathsf{Img}(\phi^*)$ . Since V generates k[G], the surjectivity of  $\phi^*$  follows.

**Theorem 5.** Let H be an algebraic subgroup of an algebraic group G. There is a finite-dim. representation V of G and a line  $L \subset V$  s.t. H is the stabilizer in G of L, i.e.

$$H = \{ g \in G \mid L.g = g \}.$$

*Proof.* Let V be like in the previous proof. Consider

$$I \hookrightarrow k[G] \twoheadrightarrow k[H].$$

We can now set  $L' := V \cap I$ . We then have for  $g \in G$ .

$$I.g \subseteq I \iff g \in H.$$

Now, in general L' is not of dimension one. Set  $d = \dim(L')$  and consider the one-dimensional subspace  $L := \Lambda^d(L') \subseteq \Lambda^d(V)$ . G acts on  $\Lambda^d(V)$  in the natural way.

It is clear, that H stabilizes L. For the other direction, let  $g \notin H$  and let  $e_1, \ldots, e_n$  be a basis of V s.t.  $L' = \langle e_1, \ldots, e_d \rangle$ . Then,

$$L = \langle e_1 \wedge \ldots \wedge e_d \rangle$$

and, since g does not stabilize L', w.l.o.g. we can assume  $e_1.g = e_{d+1}$ . Then, we have  $g(e_1 \wedge \ldots \wedge e_d) = g(e_1) \wedge \ldots \wedge g(e_d) =: v$ . Now, v cannot be zero and it cannot lie L because  $e_1.g = e_{d+1}$ . Therefore,  $g \notin H$  does not stabilize L.

**Theorem 6.** Let H be a normal algebraic subgroup of an algebraic group G. Then, there is a finite-dimensional  $\rho: G \to GL(V)$  s.t.  $H = \ker(\rho)$ .

*Proof.* Let V, L and  $\phi: G \to \mathsf{GL}(V)$  be like in the preceding theorem. Set

$$V_H := \{ v \in V \mid H.v \subset \langle v \rangle \}.$$

Then,  $V_H$  is G-invariant, since

$$h.(q.v) = (hq).v = (qh').v = q.(h'v) = q.(\kappa \cdot v) = \kappa \cdot q.v$$

for all  $g \in G, h \in H, v \in V_H$  and fitting  $h' \in H, \kappa \in k^{\times}$ . W.l.o.g. we have  $V = V_H$ . V is not trivial, because  $L \subset V$ .

Let  $\chi$  range through all homorphism  $H \to k^{\times}$ , then we have

$$V = \bigotimes_{\mathbf{Y}} V_{\mathbf{Y}}$$

where

$$V_{\chi} = \{ v \in V \mid h.v = \chi(h) \cdot v \}.$$

Then each  $g \in G$  permutes those eigenspaces by

$$g.V_{\chi} = V_{\chi(g^{-1} \ g)}.$$

Now, let  $W := \bigoplus_{\chi} \operatorname{End}(V_{\chi}) \subset \operatorname{End}(V)$ . For  $g \in G$  and  $\chi \in \operatorname{End}(V)$ , define

$$\widetilde{\gamma}: G \longrightarrow \mathsf{GL}(\mathsf{End}(V))$$

$$q \longmapsto \widetilde{\gamma}(q): [\lambda \mapsto \phi(q) \circ \lambda \circ \phi(q)^{-1}].$$

The action  $\widetilde{\gamma}(g)$  stabilizes W, since each  $\phi(g)$  just permutes the  $V_{\chi}$  and  $\phi(g)^{-1}$  permutes them back. Therefore, we have a subrepresentation

$$\gamma:G\to \mathsf{GL}(W).$$

We now have to show

$$\ker(\gamma) = H.$$

Since elements of H don't permute  $V_{\chi}$ , we have  $\gamma(H) = \mathrm{Id}$ .

One the other side, let  $g \in G$  with  $\gamma(g) = \mathrm{Id}$ . Then, we can choose the projection  $\pi: V \twoheadrightarrow L$  in W and get

$$\phi(g)\circ\pi=\pi\circ\phi(g).$$

Therefore, g leaves each L invariant. But now, we have  $g \in H$ .

#### 4 Connected Components

**Lemma 11.** Let  $I_1, I_2, I_{\lambda} \subset k[x]$  be ideals, then

$$V(I_1 \cap I_2) = V(I_1) \cup V(I_2)$$
$$V(\bigcup_{\lambda} I_{\lambda}) = \bigcap_{\lambda} V(I_{\lambda}).$$

**Definition 11.** A topological space X is called **connected**, if any of the following equivalent condition holds:

- There is no pair of non-empty closed subsets  $Z_1, Z_2 \subseteq X$ , s.t.  $X = Z_1 \dot{\cup} Z_2$ .
- There is no pair of non-empty open closed subsets  $U_1, U_2 \subseteq X$ , s.t.  $X = U_1 \dot{\cup} U_2$ .
- $\bullet$  Each nonempty open subset of X is dense.

**Definition 12.** A topological space X is called **irreducibel**, if any of the following equivalent condition holds:

- There is no pair of proper closed subsets  $Z_1, Z_2 \subseteq X$ , s.t.  $X = Z_1 \cup Z_2$ .
- For each pair  $U_1, U_2 \subseteq X$  of non-empty open subsets we have  $U_1 \cap U_2 \neq \emptyset$ .
- $\bullet$  Each nonempty open subset of X is dense.

**Example 6.** V(xy) is connected but not irreducible.

Lecture from 03.03.2020

Recall: Last time we introduced the **Zariski-Topology** on X.

There, algebraic sets equal closed sets.

We called a set X irreducible iff each open subset lies dense in X.

**Lemma 12.** For an algebraic set X, the following are equivalent:

- (1) X is irreducible.
- (2)  $k[X] = k[x_1, \dots, x_n]/I(X)$  is an (integral) domain.
- (3) I(X) is a prime ideal.

The proof of  $(2) \iff (3)$  is a basic algebraic result.

**Lemma 13.** An open base for the Zariski-Topology on an algebraic set X is given by sets:

$$D(f) := \{ p \in X \mid f(p) \neq 0 \}$$

for each  $f \in k[X]$ . We call the D(f) basic open sets.

*Proof.* Suppose  $U \subseteq X$  is nonempty and open. Set

$$Z := X \setminus U$$

then Z is closed. Thus

$$Z = \{x \in X \mid f(x) = 0 \ \forall f \in I\} = V(I)$$

for some ideal  $I \subseteq k[X]$ . Let  $p \in U$ , then there is an  $f \in Z$  s.t.

$$f(p) \neq 0$$
.

Also,  $D(f) \cap Z = 0$ , thus  $p \in D(f) \subseteq U$ .

Proof: Lemma 1. It is clear that (2) is equivalent to (3).

(1) is equivalent to

 $\forall$  nonempty, open  $U_1,U_2\subset X:U_1\cap U_2\neq\emptyset$ 

 $\stackrel{\text{Lemma }}{\iff} {}^2 \forall$  nonempty, basic open  $D(f_1), D(f_2) \subset X : D(f_1) \cap D(f_2) \neq \emptyset$ 

Since  $D(f_1) \cap D(f_2) = D(f_1 f_2)$ , this is equivalent to the statement

$$\forall f_1, f_2 \in k[X]: f_1, f_2 \neq 0 \implies f_1 f_2 \neq 0.$$

Which states that k[X] is a domain.

**Lemma 14.** Let X be an algebraic set. We have bijections

$$\{closed\ subsets\ Z\subseteq X\}\leftrightarrow \{\ radical\ ideals\ I\subset k[X]\}$$

and

 $\{irreducible, closed subsets Z \subseteq X\} \leftrightarrow \{prime ideals I \subset k[X]\}$ 

and

$$\{points\ of\ X\} \leftrightarrow \{maximum\ ideals\ I\subset k[X]\}.$$

**Lemma 15** (Primary Decompositions, Atiyah, Macdonald Ch. 4). For an ideal I we call  $P \supseteq I$  a **minimal prime** of I if P is a prime ideal and we have for each prime ideal Q:

$$P \supseteq Q \supseteq I \implies P = Q.$$

Any radical ideal I of  $k[x_1, \ldots, x_n]$  has only finitely many **minimal** primes  $P_1, \ldots, P_r$ . Inparticular,

$$I = \bigcap_{i=1}^{r} P_i$$

and for each i

$$P_j \not\supseteq \bigcap_{i:j \neq i} P_j$$
.

**Definition 13.** An (irreducible) component Z of X is a maximal irreducible closed subset, i.e., an irreducible closed  $Z \subseteq X$  s.t. there does not exist an irreducible closed  $Y \subset X$  s.t.  $Y \supsetneq Z$ .

Then, we have the bijection

{irreducible components of X}  $\leftrightarrow$  { minimal primes of I(X)}.

**Lemma 16.** Any algebraic set X has finitely many irreducible components  $Z_1, \ldots, Z_r$ . We have

$$X = Z_1 \cup \ldots \cup Z_r$$

and for each i

$$Z_i \not\subset \bigcup_{j:j\neq i} Z_j.$$

**Example 7.** 1. Let  $X = V(x \cdot y) \subset k^2$ . Then  $X = Z_1 \cup Z_2$  where  $Z_1 = V(x), Z_2 = V(y)$ .

X is connected, but not irreducible (D(x) does not lie dense in X).

2. Let X be a **finite** algebraic set. It is easy to check that every subset of X is closed:

$$\{p\} = V(x_1 - p_1, \dots, x_n - p_n)$$

for each  $p \in X$ . Further

$$X = \{p_1\} \cup \ldots \cup \{p_r\}.$$

Moreover: Any function  $f: X \to k$  is regular (i.e. given by polynomials).

**Lemma 17.** We call an element  $e \in k[X]$  idempotent iff  $e^2 = e$ .

Let X be an algebraic set. Then

 $X \ connected \iff the \ only \ idempotents \ e \in k[X] \ are \ 0 \ and \ 1$  $\iff k[X] \not\cong A \times B \ for \ any \ k-algebras \ A, B.$ 

Lemma 18. Morphisms of algebraic sets are continuous.

*Proof.* Let  $\phi: X \to Y$  be a morphism. It suffices to show that for all closed  $Z \subset Y$  that  $\phi^{-1}(Z) \subset X$  is closed.

But, if

$$Z = V_Y(S) := \{ q \in Y \mid f(q) = 0 \forall f \in S \}$$

for some ideal  $S \subset k[Y]$ , then

$$\phi^{-1}(Z) = V_X(\phi^*(S)) = \{\phi^*(f) = f \circ \phi \mid f \in S\}.$$

**Lemma 19.** Isomorphisms of algebraic sets are homeorphisms. In particular, any isomorphism of algebraic sets  $\phi: X \to X$  permutes the irreducible components  $Z_1, \ldots, Z_r$  of X:

$$\forall i \exists j : \phi(Z_i) = Z_j.$$

**Theorem 7.** Let G be an algebraic group.

- (i) There is a unique irreducible component  $G^0$  of G with  $e \in G^0$ .
- (ii) Every irreducible component Z of G is a coset  $gG^0$  of G for some  $g \in Z$ .
- (iii)  $G^0$  is a normal algebraic subgroup of G.
- (iv)  $G^0$  is of finite index, i.e.

$$[G:G^0] = \#(G/G^0) < \infty.$$

(v) The irreducible components are also the connected components.

*Proof.* Let  $G = Z_1 \cup ... \cup Z_r$  be the decomposition into components. We may assume that  $e \in Z_1$ .

Recall that  $Z_1 \not\subset \bigcup_{j\geq 2} Z_j$ . Then, there is an  $x\in Z_1\setminus \bigcup_{j\geq 2} Z_j$ . Thus, for all algebraic set isomorphisms  $\phi:G\to G$ , we have by some previous lemma that  $\phi(x)$  is likewise contained in some unique component of G. For example, we may take  $\phi$  to be

$$\phi_g: G \to G$$
$$y \longmapsto gy$$

for any  $g \in G$ . Then, for all  $g \in G$ , the element  $gx = \phi_g(x)$  is contained in only one component of G. Ergo, each  $g \in G$  is contained in exactly one component.

- (i) Take g = e.
- (iii)  $G^0$  is an algebraic subset, by construction. Denote by  $m: G \times G \to G$  and  $i: G \to G$  the continuous multiplication and inversion map on G. Why is  $G^0$  a subgroup? We need to show

$$m(G^0 \times G^0) \subseteq G^0.$$
  
 $i(G^0) \subseteq G^0.$ 

We know that  $i(G^0)$  is some component of G, since i is an isomorphism. But it contains the identity e, since  $e^{-1} = e$ . Therefore,  $i(G^0) = G^0$ .

If  $g \in G$ , then  $gG^0$  is some component of G. Suppose  $g \in G^0$ . Then  $gG^0 \cap G^0 \supseteq \{g\}$ , therefore  $gG^0 = G^0$ . Ergo,  $G^0$  is closed under multiplication.

Why is  $G^0$  a normal? If  $g \in G$ , then  $gG^0g^{-1}$  is a component that contains e, therefore  $G^0 = gG^0g^{-1}$ .

(Alternative proof that  $m(G^0 \times G^0) = G^0$ : Consider

- any continuous image of an irreducible set is irreducible.
- $\bullet\,$  the closure of any irreducible set is irreducible.

Ergo  $\overline{m(G^0 \times G^0)}$  is a closed irreducible set containing e. Ergo,  $\overline{m(G^0 \times G^0)} = G^0$ .

(ii) Let  $Z \subset G$  be a component. Let  $g \in Z$ . Then  $g \in (gG^0 \cap Z)$ , so  $gG^0 = Z$ .

- (iv) This follows from some previous lemma.
- (v) This is left as a topological exercise. It is true whenever the irreducible components do not intersect.

It now follows:

$$\{ \text{finite algebraic groups} \} \longleftrightarrow \{ \text{finite groups} \}$$

where the above arrow is an equivalence of categories.

**Example 8.** • Let  $G = \{g_1, \dots, g_r\}$  be a finite algebraic group. Then,

$$G^0 = \{e\}.$$

• Without proofs:

$$G \in \{ \mathsf{GL}_n(k), \mathsf{SO}_n(k), \mathsf{SL}_n(k) \} \implies G^0 = G.$$

Further,

$$G = O_n(k) \implies G^0 = \mathsf{SO}_n(k).$$

And if -1 = 1 i.e.  $\mathsf{char} k = 2$ , then  $[G : G^0] = 1$ . Otherwise  $[G : G^0] = 2$ .

### 5 Jordan Decomposition

As usual,  $k = \overline{k}$  is an algebraically closed field.

**Definition 14.** Let V be a finite-dimensional vector space.

An element  $x \in \text{End}(V)$  is **semisimple**, if it is diagonalizable, i.e. it has a basis of eigenvectors, or equivalently, if the minimal polynomial of x is square-free.

Then, there is a decomposition  $V = \bigoplus_{i=1}^r V_i$  and distinct elements  $\lambda_1, \ldots, \lambda_n \in k$  s.t.

$$x|_{V_i} = \lambda_i$$
.

If  $\dim(V_i) = n_i$ , then

char polynomial of 
$$x = \prod_{i=1}^{r} (T_i - \lambda_i)^{n_i} \in k[T]$$

and

minimal polynomial of 
$$x = \prod_{i=1}^{r} (T_i - \lambda_i) \in k[T].$$

(Where the minimal polynomial of x is defined as the least degree monic polynomial  $m \in k[T]$  s.t. m(x) = 0.)

Remark 2. Let  $m(T) \in k[T]$  be the minimal polynomial of  $x \in k^{n \times n}$ .

The theorem of Cayley and Hamilton states that we have for each  $p \in k[T]$ :

$$p(x) = 0 \implies m|p.$$

**Definition 15.**  $x \in End(V)$  is **nilpotent** if  $x^n = 0$  for some n. x is **unipotent**, if x - 1 is nilpotent.

**Lemma 20.** x is nilpotent iff the characteristic polynomial of x is  $T^{\dim(V)}$ . (Use Cayley-Hamilton for one of the directions).

**Lemma 21.** If x is semisimple and nilpotent, then x = 0. If x is semisimple and unipotent, then x = 1.

**Lemma 22.** If x, y are commuting elements, that are semisimple resp. unipotent resp. nilpotent, then so is xy.

*Proof.* It is easy to see, that this is true for nilpotent x, y. Now, let x, y be unipotent and commuting. Then, we have

$$xy - 1 = (x + 1)(y - 1) + (x - y).$$

Since x, y commute, (x+1)(y-1) must be nilpotent. (x-y) must be nilpotent because the sum of commuting nilpotent elements must be nilpotent. Because everything commutes, also xy - 1 as the sum of two commuting, nilpotent elements must be nilpotent.

Now, let  $A, B \in k^{n \times n}$  be two diagonalizable and commuting matrices. Let  $\lambda_1, \ldots, \lambda_r$  be different eigenvectors of A and let  $E_i$  be the corresponding eigenspaces. We then have

$$A \cdot (BE_i) = BAE_i = \lambda_i \cdot BE_i.$$

Ergo, each  $E_i$  is invariant under B. Since  $B_{|E_i}$  stays diagonalizable, we can simply choose a basis of eigenvectors  $b_1, \ldots, b_n \in \bigcup_i E_i$  of B. Since each  $b_i$  lies in a  $E_j$ , those vectors are also eigenvectors for A. Therefore,  $b_1, \ldots, b_n$  is basis of eigenvectors for both matrices.

**Theorem 8** (Goal). For all algebraic groups G and for all  $g \in G$ , there exist unique group elements  $g_s, g_u \in G$  s.t.

$$g = g_s g_u = g_u g_s$$

and for all finite-dimensional representations  $\rho: G \to GL(V)$ ,  $\rho(g_s)$  is semisimple and  $\rho(g_u)$  is unipotent.

**Example 9.** If 
$$g = \begin{pmatrix} \lambda & 1 & 0 \\ & \lambda & 1 \\ & & \lambda \end{pmatrix} \in G = \mathsf{GL}_3(k)$$
, then  $g_s = \begin{pmatrix} \lambda & 0 & 0 \\ & \lambda & 0 \\ & & \lambda \end{pmatrix}$ ,  $g_u = \begin{pmatrix} 1 & \lambda^{-1} & 0 \\ & 1 & \lambda^{-1} \\ & & 1 \end{pmatrix}$ .

Lecture from 09.03.2020

**Theorem 9** (Goal Theorem). Let G an algebraic group. For all  $g \in G$  there is exactly one pair  $g_s, g_u \in G$  s.t.

$$g = g_s g_u = g_u g_s$$

and for all finite-dimensional representations  $r: G \to GL_n(V)$ , the element  $r(g_s)$ resp.  $r(g_u)$  is semisimple resp. unipotent.

Last time, we saw:

ullet If g,h are commuting and semisimple resp. commuting and unipotent then so is gh.

• If g is semisimple and unipotent, then g = 1.

**Proposition 1.** Let V be a finite-dimensional vector space and  $g \in GL(V)$ . There exist unique elements  $g_s, g_u \in GL(V)$  s.t.

$$g = g_s g_u = g_u g_s$$

and  $g_s$  is semisimple and  $g_u$  is unipotent. Moreover,  $g_s, g_u \in k[g] = \{\sum_{i=1}^m a_i g^i \mid a_i \in k\} \subseteq \mathit{End}(V)$ .

*Proof.* Existence (Sketch): Say

$$g = \begin{pmatrix} \lambda & 1 & 0 \\ & \lambda & 1 \\ & & \lambda \end{pmatrix}$$

then take

$$g_s = \begin{pmatrix} \lambda & \\ & \lambda & \\ & & \lambda \end{pmatrix}, \quad g_u = \begin{pmatrix} 1 & \lambda^{-1} & 0 \\ & 1 & \lambda^{-1} \\ & & 1 \end{pmatrix}.$$

For  $\lambda \in k$ , define the **generalized**  $\lambda$ -eigenspace of g by

$$V_{\lambda} := \{ v \in V \mid \exists n \in \mathbb{N}_0 : (g - \lambda)^n v = 0 \}.$$

Then

$$V = \bigoplus_{\lambda \in k} V_{\lambda}.$$

Here  $V_{\lambda} = \text{sum of domains of all Jordan blocks with } \lambda \text{s on the diagonal.}$  (It follows from the Jordan Decomposition for matrices that such a decomposition exist.)

Let's define  $g_s \in GL(V)$  by

$$g_s|_{V_\lambda} = \lambda \cdot \mathrm{Id}.$$

Note that  $gV_{\lambda} \subset V_{\lambda}$ , hence g commutes with  $g_s$ , hence  $g, g_s$  commutes with  $g_u := gg_s^{-1}$ . Then,  $g = g_s g_u = g_u g_s$ .

Write  $\det(T-g) = \prod_{\lambda} (T-\lambda)^{n(\lambda)}$ ,  $n(\lambda) = \dim(V_{\lambda})$ . Since the polynomials  $T-\lambda$  for  $\lambda \in k$  are coprime, the chinese remainder theorem implies that there is a  $Q \in k[T]$  s.t.

$$Q \equiv \lambda \mod (T - \lambda)^{n(\lambda)}$$

for each  $\lambda \in k$ .

We claim that

$$Q(g) = g_s$$
.

Indeed, since  $gV_{\lambda} \subseteq V_{\lambda}$ , we have

$$Q(g)V_{\lambda} \subseteq V_{\lambda}$$
.

So, it suffices to show for all  $v \in V_{\lambda}$ 

$$Q(g)v = g_s v = \lambda v.$$

Note that, by Cayley-Hamilton,

$$V_{\lambda} = \left\{ v \in V \mid (g - \lambda)^{n(\lambda)} v = 0. \right\}$$

Write

$$Q = \lambda + R \cdot (T - \lambda)^{n(\lambda)}$$

for some  $R \in k[T]$ . Since  $(g - \lambda)^{n(\lambda)}v = 0$ , deduce that  $Q(g)v = \lambda v$ , as required. If  $P \equiv \lambda^{-1} \mod (T - \lambda)^{n(\lambda)}$ , then  $P(g) = g_s^{-1}$ . Therefore,

$$g_u = g \cdot P(g)$$

for  $T \cdot P(T) \in k[T]$ .

Uniqueness: Suppose given some other decomposition

$$g = g_s' g_u' = g_u' g_s'$$

with  $g'_s$  semisimple and  $g'_u$  unipotent. Then  $g'_s$  commutes with  $g'_s$  and  $g'_u$ , hence with g, hence also with any element in k[g]. Ergo,  $g'_s$  commutes with  $g_s$  and  $g_u$ . Similarly,  $g'_u$  commutes with  $g_s$  and  $g_u$ .

Consider

$$h := g_s' g_s^{-1} = g_s' g_u' (g_u')^{-1} g_s^{-1} = g(g_u')^{-1} g_s^{-1} = g_u(g_u')^{-1}.$$

Then  $h = g'_s g_s^{-1}$  is a product of semisimple elements and  $h = g_u(g'_u)^{-1}$  is a product of unipotent elements. By proceeding lemmas, h is semisimple and unipotent, ergo trivial. It follows  $g'_s = g_s$  and  $g'_u = g_u$ .

Corollary 2. Let  $g \in GL(V)$ , let  $W \subset V$  be any g-invariant subspace, i.e.  $gW \subseteq W$ .

Then, W is  $g_s$ -invariant and  $g_u$ -invariant.

*Proof.* This is clear, since  $g_s$  and  $g_u$  are algebraically generated by g over g.

**Lemma 24.** Let  $\phi: V \to W$  be a linear map between finite-dimensional vector spaces.

Let  $\alpha \in GL(W)$  and  $\beta \in GL(W)$  s.t.

$$V \xrightarrow{\alpha} V$$

$$\downarrow^{\phi} \qquad \downarrow^{\phi}$$

$$W \xrightarrow{\beta} W,$$

i.e.  $\phi \circ \alpha = \beta \circ \phi$ .
Then,

$$\phi \circ \alpha_s = \beta_s \circ \phi,$$
$$\phi \circ \alpha_u = \beta_u \circ \phi.$$

*Proof.* Write  $V = \bigoplus_{\lambda \in k} V_{\lambda}$ ,  $W = \bigoplus_{\lambda \in k} W_{\lambda}$  where  $V_{\lambda}$  are the generalized  $\alpha$ -eigenspaces and  $W_{\lambda}$  are the generalized  $\beta$ -eigenspaces.

We claim that

$$\phi(V_{\lambda}) \subset W_{\lambda}$$
.

Indeed, let  $v \in V_{\lambda}$ , then

$$(\beta - \lambda)^n \phi(v) = \phi((\alpha - \lambda)^n v) = 0.$$

Since  $(\alpha - \lambda)^n v = 0$ , the claim follows.

Since,  $\alpha_s|_{V_{\lambda}} = \lambda \mathrm{Id}$  and  $\beta_s|_{W_{\lambda}} = \lambda \mathrm{Id}$ , deduce that

$$\phi \circ \alpha_s = \beta_s \circ \phi.$$

Indeed, both sides are given on  $V_{\lambda}$  by  $\lambda \cdot \phi$ . Thus

$$\phi \circ \alpha_u = \phi \circ \alpha \alpha_s^{-1}$$

$$= \beta \beta_s^{-1} \circ \phi$$

$$= \beta_u \circ \phi.$$

**Lemma 25.** Let  $\alpha \in GL(V)$ ,  $\beta \in GL(W)$ . Then the **tensor**  $\alpha \otimes \beta \in GL(V \otimes W)$  is defined by

$$(\alpha \otimes \beta)(u \otimes v) = \alpha(u) \otimes \beta(v).$$

Then, we have

$$(\alpha \otimes \beta)_s \stackrel{(1)}{=} \alpha_s \otimes \beta_s$$
$$(\alpha \otimes \beta)_u \stackrel{(2)}{=} \alpha_u \otimes \beta_u.$$

*Proof.* It suffices to prove (1), since

$$(\alpha \otimes \beta)_u = (\alpha \otimes \beta) \circ (\alpha \otimes \beta)_s^{-1}$$

$$\stackrel{(1)}{=} (\alpha \otimes \beta) \circ (\alpha_s \otimes \beta_s)^{-1}$$

$$= \alpha \alpha_s^{-1} \otimes \beta \beta_s^{-1}$$

$$= \alpha_u^{-1} \otimes \beta_u^{-1}$$

For (1), consider

$$V = \bigoplus_{\lambda \in k} V_{\lambda},$$
$$W = \bigoplus_{\lambda \in k} W_{\lambda}.$$

It follows

$$V \otimes W = \bigoplus_{\lambda, \mu \in k} V_{\lambda} \otimes W_{\mu}.$$

Now,

$$\alpha_s \otimes \beta_s|_{V_\lambda \otimes W_\mu} = \lambda \mu \cdot \mathrm{Id}.$$

Ergo,  $\alpha_s \otimes \beta_s$  is semisimple. By Proposition, we reduce to checking that  $\alpha_u \otimes \beta_u$  is unipotent. Indeed,

$$\alpha_u \otimes \beta_u - 1 = (\alpha_u - 1) \otimes (\beta_u - 1) + 1 \otimes (\beta_u - 1) + (\alpha_u - 1) \otimes 1$$

is nilpotent (You can also check that  $\alpha_u \otimes \beta_u = (\alpha_u \otimes 1) \circ (1 \otimes \beta_u)$  is unipotent.)  $\square$  **Example 10.** Let  $1 \in \mathsf{GL}(V)$ . Then  $1_s = 1$  and  $1_u = 1$ .

**Summary**: Let G be an algebraic group. Let  $r_V: G \to \mathsf{GL}(V)$  be a finite-dimensional representation. Also, fix  $g \in G$ .

Let  $\lambda_V := r_V(g)_s$  (or  $r_V(g)_u$ ).

We get a family of operators  $\lambda_V \in \mathsf{End}(V)$  with the following properties:

- (i) if V = k and  $r_V(g') = 1$  for all  $g' \in G$ , then  $\lambda_V = 1$ .
- (ii) for any two representations in V and W, we have

$$\lambda_{V\otimes W}=\lambda_V\otimes\lambda_W.$$

(iii) for all G-equivariant  $\phi: V \to W$  we have

$$\phi \circ \lambda_V = \lambda_W \circ \phi$$
.

**Theorem 10.** Let G be an algebraic group. Let  $\lambda_V \in End(V)$  (i.e.  $V = (r_V, V)$  is a finite-dim. representation of G) be a family of operations satisfying (i), (ii), (iii). Then, there is exactly one  $g \in G$  s.t.  $\lambda_V = r_V(g)$  for all V.

Note, that this theorem implies our goal theorem.

Applying the theorem to  $\lambda_V = r_V(g)_s$  implies

$$\exists_1 g_s \in G : r_V(g_s) = r_V(g)_s$$

and

$$\exists_1 g_u \in G : r_V(g_u) = r_V(g)_u.$$

Proof of Goal Theorem. There exist unique  $g_s, g_u \in G$  s.t.

$$g \stackrel{(*)}{=} g_u g_s = g_s g_u,$$

Then,  $r_V(g) = r_V(g_s)r_V(g_u) = r_V(g_u)r_V(g_s)$ .

Since  $r_V(g_u)$  is unipotent and  $r_V(g_s)$  is semisimple, it follows  $r_V(g_u) = r_V(g)_u$  and  $r_V(g_s) = r_V(g)_s$ .

To deduce (\*), take any  $r_V: G \hookrightarrow \mathsf{GL}(V)$ . We know for each V

$$r_V(g) = r_V(g_s)r_V(g_u) = r_V(g_u)r_V(g_s).$$

Proof of Theorem 10. We first extend the assignment

$$V \mapsto \lambda_V$$

to all representations of G.

Say  $V = \bigcup_j W_j$  where each  $W_j$  is a finite-dimensional G-invariant subspace. Try to define  $\lambda_V \in \operatorname{End}(V)$  by

$$\lambda_V|_{W_i} := \lambda_{W_i}$$
.

For this to be well-defined, we need to show for each i, j

$$\lambda_{W_i}|_{W_i \cap W_j} \stackrel{(*)}{=} \lambda_{W_j}|_{W_i \cap W_j}.$$

**Proof of** (\*): Apply assumption (iii) to the G-equivariant linear maps

$$W_i \cap W_j \stackrel{\phi}{\hookrightarrow} W_i,$$
  
 $W_i \cap W_j \stackrel{\phi'}{\hookrightarrow} W_j.$ 

Then,

$$\lambda_{W_i}|_{W_i \cap W_j} = \lambda_{W_i} \circ \phi$$

$$\stackrel{(iii)}{=} \phi \circ \lambda_{W_i \cap W_j}$$

$$= \phi' \circ \lambda_{W_i \cap W_i}$$

and

$$\lambda_{W_i}|_{W_i\cap W_i} = \lambda_{W_i} \circ \phi' = \phi' \circ \lambda_{W_i\cap W_i}.$$

Recall here that any finite-dimensional G-invariant  $W \subset V$  is a representation.  $\square$ 

 $<sup>\</sup>overline{\phantom{a}}^0$ Not necessarily finite-dimensional, but may be written as a filtered union of finite-dimensional G-invariant subspaces of W.

Let G be an algebraic group.

Lecture from 11.03.2020

**Easy Exercise**: If  $V_1, V_2$  are representations  $r_1, r_2$  of G, then  $V_1 \otimes V_2$  is also a representation with

$$r = r_1 \otimes r_2 : G \to \mathsf{GL}(V_1 \otimes V_2)$$

given by

$$r(g)(v_1 \otimes v_2) = (r_1(g)v_1) \otimes (r_2(g)v_2).$$

*Proof.* Given  $\Delta_j: V_j \to V_j \otimes k[G]$ , define

$$\Delta: V_1 \otimes V_2 \longrightarrow V_1 \otimes V_2 \otimes k[G]$$

by: if

$$\Delta_1 u = \sum_i u_i \otimes f_i, \quad \Delta_2 v = \sum_j v_j \otimes h_j,$$

then

$$\Delta(u \otimes v) = \sum_{i} \sum_{j} u_i \otimes v_j \otimes f_i h_j.$$

Set A := k[G], then

 $r_A := \text{right regular representation with } r_A(g)f(x) = f(xg).$ 

The map

$$A \otimes A \xrightarrow{m} A$$
$$f_1 \otimes f_2 \longmapsto f_1 f_2$$

defines a morphism of representations

$$(A, r_A) \otimes (A, r_A) \rightarrow (A, r_A).$$

Indeed,

$$m((r_A \otimes r_A)(g)(f_1 \otimes f_2))(x) = f_1(xg)f_2(xg),$$
  
=  $f_1f_2(xg) = r_A(g)(m(f_1 \otimes f_2))(x),$ 

since 
$$f_1(\_g) \otimes f_2(\_g) = (r_A \otimes r_A)(g)(f_1 \otimes f_2)$$
.  
Ergo  $m \circ (r_A \otimes r_A)(g) = r_A(g) \circ m$ .

Recall: We stated to prove the following theorem

**Theorem 11.** Let  $\lambda_V \in End(V)$  be given s.t. for all finite-dim. rep.s V of G s.t.:

- (i)  $\lambda_k = 1$
- (ii)  $\lambda_{V \otimes W} = \lambda_V \otimes \lambda_W$
- (iii) for all morphisms of rep.s  $\phi: V \to W$  we have

$$\phi \circ \lambda_V = \lambda_W \circ \phi.$$

Then, there is exactly one  $g \in G$  s.t.  $\lambda_V = r_V(g)$  for all V.

Proof. Last time, we saw that any such family  $V \mapsto \lambda_V$  extends to **all** rep.s V of G. Let's note also that, if  $(V_0, r_0)$  is any representation of G with trivial action, i.e. r(g) = 1 for all g, then  $\lambda_{V_0} = 1$ . Indeed, let  $v \in V_0$ . We must check that  $\lambda_{V_0} v = v$ . Since the action is trivial, any subsapce of  $V_0$  is G-invariant.

Consider the map

$$\phi: k \longrightarrow V_0$$
$$\alpha \longmapsto \alpha v$$

where  $v = \phi(1)$ . Then,  $\phi$  is a morphism of rep.s because the action is trivial. Thus,

$$\lambda_V v = (\lambda_V \circ \phi)(1) \stackrel{(iii)}{=} (\phi \circ \lambda_k)(1) \stackrel{(i)}{=} \phi(1) = v.$$

Consider  $\lambda_A \in \operatorname{End}(A)$ . Then,

$$\lambda_{A\otimes A}=\lambda_A\otimes\lambda_A.$$

It is an easy exercise to see that  $m:(A,r_A)\otimes(A,r_A)\to(A,r_A)$  is a morphism of rep.s.

By (iii) it follows,  $m \circ (\lambda_A \otimes \lambda_A) = \lambda_A \circ m$ , i.e.

$$\lambda_A(f_1f_2) = \lambda_A(f_1)\lambda_A(f_2)$$

for all  $f_1, f_2 \in A$ . Thus,  $\lambda_A$  is an algebra morhism (check, using the morphism  $k \hookrightarrow A$ , that  $\lambda_A(1) = 1$ ).

Thus,  $\lambda_A = \phi^*$  for some unique morphism  $\phi$  of algebraic sets  $\phi: G \to G$ . We claim that  $\phi$  commutes left multiplication i.e.

$$\phi(hx) = h\phi(x)$$

for all  $h, x \in G$ . Indeed, let's consider the map

$$A \longrightarrow A$$
$$f \longmapsto f(h \cdot \underline{\hspace{0.1cm}}).$$

This induces a morphism

$$(A, r_A) \xrightarrow{\psi} (A, r_A).$$

By (ii),  $\psi \circ \lambda_A = \lambda_A \circ \psi$ .

Since  $\lambda_A = \phi^*$ , this implies the claim.

Now, set  $g := \phi(e)$ . Then for all  $h \in G$ ,

$$\phi(h) = \phi(he) = hg.$$

Thus,  $\lambda_A = \phi^* = r_A(g)$ .

(It remains to show that

$$\lambda_V = r_V(g)$$

for each finite-dim. rep. V.)

Let V = (V, r) be any rep. This induces a map

$$\Delta: V \longrightarrow V \otimes A$$
.

If  $\Delta v = \sum v_i \otimes f_i$ , then

$$hv = \sum f_i(h_i) \otimes v_i.$$

Let

$$\varepsilon: V \otimes A \longrightarrow V$$
$$v \otimes f \longmapsto f(1)v.$$

It follows  $\varepsilon \circ \Delta : V \to V$  is the identity map.

Let  $(V_0, r_0)$  be the representation of G with  $V_0 := V$  and  $r_0$  the trivial action.

Then,  $\Delta: V \to V_0 \otimes A$  is a morphism of representations.

(Indeed, if  $\Delta v = \sum v_i \otimes f_i$ , then

$$\Delta(r(h)v) \stackrel{?}{=} (r_0(h) \otimes r_A(h)) \Delta v$$

since

$$\Delta v = \sum v_i \otimes f_i$$

$$\iff xv = \sum f_i(x_i)v_i \ \forall x \in G$$

$$\iff xhv = \sum f_i(xh)v_i \ \forall x, h \in G.$$

Since r(h)v = hv, it follows

$$\Delta(hv) = \sum v_i \otimes f_i(\cdot h) \implies (?).)$$

We want to show

$$\lambda_V = r_V(g).$$

We have

$$\Delta \circ \lambda_V \stackrel{(iii)}{=} \lambda_{V_0 \otimes A} \circ \Delta$$

$$\stackrel{(ii)}{=} \lambda_{V_0} \otimes \lambda_A$$

$$= 1 \otimes \lambda_A = 1 \otimes r_A(g).$$

This implies

$$\Delta \circ \lambda_V = (1 \otimes r_A(g)) \circ \Delta$$

but also

$$\Delta \circ r_V(g) = (1 \otimes r_A(g)) \circ \Delta.$$

Because of the injectivity of  $\Delta$  it now follows

$$\lambda_V = r_V(g).$$

Corollary 3. Let  $\phi: G \to H$  be any morphism of algebraic groups. Then, for all  $g \in G$ 

$$\phi(g)_s = \phi(g_s)$$
$$\phi(g)_u = \phi(g_u).$$

*Proof.* Let V be any **faithful** representation of H, i.e.  $r_V : H \to \mathsf{GL}(V)$  is injective, (for a finite-dim. V).

Then,  $r_V \circ \phi$  is a rep. of G. To prove (i), it suffices to show

$$r_V(\phi(g)_s) = r_V(\phi(g_s))$$

since H operates faithfully on V.

We know that

$$r_V(\phi(g)_s) = r_V(\phi(g))_s$$

(characterizing property of  $h_s$  for  $h \in H$ ). On the other hand,

$$r_V(\phi(g_s)) = (r_V \circ \phi)(g_s) = r_V(\phi(g))_s.$$

Therefore, claim (i) follows. (ii) works analogously.

**Definition 16.** Let  $g \in G$  where G is an algebraic group. We call g semisimple, if  $g = g_s$ .

We call g unipotent, if  $g = g_u$ .

**Lemma 26.** For  $g \in G$ , the following are equivalent:

- (i) g is semisimple.
- (ii)  $r_V(g)$  is semisimple for all finite-dim. rep. V.
- (iii)  $r_V(g)$  is semisimple for at least one faithful f.d. rep. V of G.

We get an analogous lemma for unipotent group elements.

*Proof.* We have

(i) 
$$\iff$$
  $g = g_s$ 

Def. of  $g_s$  by goal thm.  $r_V(g) = r_V(g)_s \forall$  f.d.  $V$ 
 $\iff$   $r_V(g)$  is semisimple

 $\iff$   $(ii)$   $\implies$   $(iii)$ .

On the other hand,

$$(iii) \implies \exists \text{ faithful f.d. } V \text{ s.t. } r_V(g) = r_V(g)_s = r_V(g_s) \implies g = g_s.$$

#### 6 Non-Commutative Algebra

**Definition 17.** A ring R (for now) is unital, associative but not necessarily commutative.

**Example 11.** The ring of matrices over some field or ring.

**Definition 18.** A **left ideal**  $I \subset R$  is a subset that is an abelian subgroup of (R, +) s.t.  $ra \in I$  for all  $r \in R$ ,  $a \in I$ .

A **right ideal**  $I \subset R$  is a subset that is an abelian subgroup with

$$IR \subset I$$
.

A two-sided ideal I is a subset that is a left and a right ideal of R.

It is easy to check that for any homomorphism of rings  $\phi: R \to S$ , Kern $\phi$  is a two-sided ideal. Also, if  $J \subset R$  is any two-sided ideal, then there exists a unique ring structure on R/J s.t. the projection  $R \to R/J$  is a ring homomorphism.

**Definition 19.** A **left module** M for R is an abelian group equipped with a ring homomorphism

$$R \stackrel{\alpha}{\longrightarrow} \operatorname{End}(M)$$

where End(M) acts on the left of M. We write

$$rm := \alpha(r)m$$
.

We have

$$(r_1r_2)(m) = r_1(r_2(m)).$$

If R acts on M by the left, we write

$$R \curvearrowright M$$
.

**Example 12.**  $M_n(k) \curvearrowright k^n$  where  $k^n$  is the space of column vectors. If  $k^n$  denotes the space of row vectors, we have  $k^n \curvearrowleft M_n(k)$ .

**Definition 20.** A (left) submodule  $N \subset M$  is an algebraic subgroup s.t.

$$RN \subset N$$
.

It follows that N is itself is a left module.

**Definition 21.** A (left) module M of R is **simple** (or irreducible) if it has exactly the two submodules:  $0 = \{0\}$  and M.

**Definition 22.** A ring R is a **division ring** (aka skew field) if it satisfies any of the following equivalent requirements:

- (i)  $R^{\times} = R \setminus \{0\} \text{ where}^1 \ R^{\times} = \{r \in R \mid \exists a, b \in R : ar = rb = 1.\}$
- (ii) R has no nontrivials left or right ideals.

**Definition 23.** If  $R \curvearrowright M$ , then we can define

$$\operatorname{End}_R(M) := \left\{ \phi \in \operatorname{End}(M) \mid \phi(rm) = r\phi(m) \ \forall r \in R, m \in M \right\}.$$

Note, that  $\operatorname{End}_R(M)$  is a ring.

**Lemma 27** (Schur's Lemma). If M is simple, then  $End_R(M)$  is a division ring.

**Lemma 28.** Let k be a field. Then,  $M_n(k)$  has no nontrivial twosided ideals.

**Theorem 12** (Jacobson Density Theorem (Double Commutant Theorem)). Suppose M is a simple left module which is finitely generated as a right D-module for  $D = End_R(M)$ .

Assume that R acts faithfully on M, i.e.  $R \to \operatorname{End}_R(M)$  is injective. Then, the map  $R \to \operatorname{End}_D(M)$  is an isomorphism.

<sup>&</sup>lt;sup>1</sup>If ar = rb = 1, then a = arb = b.

Recap:

- Basics: definitions, Hopf-algebras, ...

– Jordan decomposition

- Primer on non-commutative algebra

• Jacobson density theorem

- Unipotent groups

- Tori

We had last week

$$\operatorname{End}_D(M) := \{ \phi \in \operatorname{End}(M) \mid \phi \circ d = d \circ \phi \ \forall d \in D \} .$$

Let k be an algebraically closed field, V a non-trivial finite-dimensional k-vector space and let G be a subgroup of  $\mathsf{GL}(V)$  that acts **irreducibly** on V, i.e., V is G-**irreducible**, i.e., the only G-invariant subspaces of V are 0 and V.

Set

$$D := \left\{ d \in \operatorname{End}_k(V) \mid dg = gd \ \forall g \in G \right\} = \operatorname{span}(G) = \left\{ \sum_{i=1}^n c_i g_i \mid c_i \in k, g_i \in G, n \in \mathbb{N}_0 \right\}.$$

Then,

$$D = \operatorname{End}_R(V)$$

where R is the k-subalgebra of End(V) that is generated by G.

**Lemma 29** (Schur's Lemma). We understand  $k \hookrightarrow End(V)$  as the inclusion of operations which operate by scalar multiplication

$$k \xrightarrow{\cong} \{\phi : V \to V \mid \phi : v \mapsto t \cdot v \text{ for some } t \in k\}.$$

Let V be G-irreducible. Then, we have

$$D \cong k$$
.

Lecture from 16.03.2020 (Corona-Madness started here...) *Proof.* Let  $d \in D$ . Since  $V \neq 0$ , there is an eigenspace  $V_{\lambda} \neq 0$  for d. Observe that  $V_{\lambda}$  has to be G-invariant:

if  $g \in G$  and  $v \in V_{\lambda}$ , then  $gv \in V_{\lambda}$ , since

$$dgv = gdv = g(\lambda v) = \lambda gv.$$

Since  $V_{\lambda}$  is a non-trivial G-invariant subspace and V is irreducible under G, we have

$$V_{\lambda} = V$$
.

Ergo  $d = \lambda$  in the sense of  $k \hookrightarrow \text{End}(V)$ .

Consequence of the Jacobson Density Theorem:  $R = \text{End}_k(V)$ , i.e., G generates all linear operations on V, if V is G-irreducible.

We will prove this after a lemma.

Lemma 30. Let V be G-irreducible.

Let  $n \in \mathbb{N}$ . Set

$$V^n := V \oplus V \oplus \ldots \oplus V = V_1 \oplus \ldots \oplus V_n$$

where each  $V_i = V$ .

Let  $v = (v_1, \dots, v_n) \in V^n$  and set

$$Rv := \{(rv_1, \dots, rv_n) \mid r \in R\} = \text{span}\{(gv_1, \dots, gv_n) \mid g \in G\}.$$

Then,  $Rv \neq V^n$  iff the  $v_j$  are linearly dependent over k.

Consequence: Take  $n := \dim(V)$ . Let  $\{e_1, \ldots, e_n\}$  be a basis of V and set

$$e := (e_1, \dots, e_n) \in V^n.$$

Since the  $(e_i)_i$  are linearly independent, the lemma states that  $Re = V^n$ . Now, let  $x \in \mathsf{End}_k(V)$ . Choose  $r \in R$  s.t.

$$re = (xe_1, \dots, xe_n).$$

Then  $re_i = xe_i$  for all i, thus x = r. Hence,  $R = \text{End}_k(V)$ .

*Proof.* For  $v = (v_1, \ldots, v_n) \in V^n$  choose  $J \in \{1, \ldots, n\}$  as large as possible with

$$Rv + V_1 + V_2 + \ldots + V_{J-1} =: U \neq V^n$$
.

Such an J does exist, since we know that  $Rv \neq V^n$ .

Then,  $V_J \not\subseteq U$ , otherwise we may increase J. Also, U is invariant by the diagonal action of G on  $V^n$ . Thus,  $V_J \cap U \subseteq V_J$  is a proper G-invariant subspace of the G-irreducible  $V_J \cong V$ . Therefore,  $V_J \cap U = 0$ .

On the other hand, by maximality of J, we have

$$U \oplus V_I = V^n$$
.

Ergo, the map (composition)

$$V \cong V_J \hookrightarrow V^n \twoheadrightarrow V^n/U$$

is a G-equivariant isomorphism, since U is G-invariant.

Let  $z:V^n/U\stackrel{\cong}{\to} V$  be the inverse isomorphism. Let l be the G-equivariant map given by

$$V^n \xrightarrow{l} V$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad V$$

$$V^n/U$$

and let  $l_j$  be the G-equivariant maps by restricting l on  $V_j$ . Then  $l_j \in D \cong k$ . Say  $l_j = t_j \in k$ . Then,

$$l(w) = t_1 w_1 + \dots t_n w_n.$$

Since z is an isomorphism, l is nonzero and  $(t_1, \ldots, t_n) \neq (0, \ldots, 0)$ . Since  $l|_U = 0$ , we can deduce for all  $u \in U$ 

$$t_1u_1 + \ldots + t_nu_n = 0.$$

But  $v \in Rv \subseteq U$ , so we may conclude – as required – that the  $(v_i)_i$  are linearly dependent (l(v) = 0).

# 7 Unipotent Groups

Let G be a subgroup of  $\mathsf{GL}(V)$  where V is a finite-dimensional vector space and k an algebrically closed field.

**Definition 24.** We say that G is **unipotent** if one of the following equivalent conditions hold for each  $g \in G$ :

- g is unipotent (i.e.  $(g-1)^n = 0$  for some  $n \in \mathbb{N}$ ).
- all eigenvalues of g are 1.
- g is conjugate to  $\begin{pmatrix} 1 & \star & \star \\ 0 & \ddots & \star \\ 0 & 0 & 1 \end{pmatrix}.$

**Theorem 13.** Any unipotent subgroup of  $GL_n(k)$  is conjugate to a subgroup of

$$U_n := \left\{ \begin{pmatrix} 1 & \star & \star \\ 0 & \ddots & \star \\ 0 & 0 & 1 \end{pmatrix} \right\} = \left\{ U \in M_n(k) \mid U_{i,j} = \begin{cases} 0, & \text{if } i > j \\ 1, & \text{if } i = j \\ \text{arbitrary, otherwise.} \end{cases} \right\}.$$

**Definition 25.** For two subgroups G, H of some common supergroup, define their **commutator** by

$$[G,H] := \langle ghg^{-1}h^{-1} \mid g \in G, h \in H \rangle.$$

A group G is called **nilpotent**, if one of its commutators is trivial, i.e. if we set

$$G_0 := G \text{ and } G_{i+1} := [G_i, G],$$

then G is called nilpotent iff there is an  $j \in \mathbb{N}$  with  $G_j = 1$ .

Corollary 4. Any unipotent subgroup of GL(V) is nilpotent.

**Definition 26.** A group G is called **solvable**, if  $G^{(n)} = 1$  for some n where

$$G^{(0)} := G,$$
  
 $G^{(i+1)} := [G^{(i)}, G^{(i)}].$ 

Note that nilpotent groups are solvable, since  $G^{(i)} \subset G_i$ .

Notation 1. In the following, we will write G' := [G, G].

**Definition 27.** Let  $n := \dim(V)$ . A **complete flag** is a maximal strictly increasing chain of subspaces

$$0 = V_0 \subsetneq V_1 \subsetneq \ldots \subsetneq V_n = V.$$

Any complete flag is of the form

$$V_i := \operatorname{span}\{e_1, \dots, e_i\}$$

for some basis  $e_1, \ldots, e_n$  of V.

Let B be the basis of some flag  $0 = V_0 \subsetneq V_1 \subsetneq \ldots \subsetneq V_n = V$ . For  $x \in \mathsf{End}(V)$ , we have that x is upper-triangle with respect to B iff x leaves each member  $V_i$  of the flag invariant, i.e.  $xV_i \subseteq V_i$ .

**Proposition 2** (Key Proposition). Let G be a unipotent subgroup of GL(V). Then there is a complete flag  $V_0 \subsetneq V_1 \subsetneq \ldots \subsetneq V_n$  consisting of G-invariant subspaces, i.e., each  $V_i$  is G-invariant.

*Proof.* Recall, that G is a unipotent subgroup of  $\mathsf{GL}_n(V)$ . We will give an induction on  $n = \dim V$ .

If n = 0, there is nothing to show.

Let  $n \geq 1$ . We may assume that V is G-irreducible. Because, if not, there is a G-invariant subspace  $0 \neq W \subset V$  s.t. W and V/W have dimension < n. Then there exist complete G-invariant flags in W and V/W and the claim – that there is a complete G-invariant flag in V – follows by the induction hypothesis.

By Jacobson Density Theorem, we have

$$R := \operatorname{span}(G) = \operatorname{End}(V) := \operatorname{End}_k(V).$$

Since G is unipotent, we have for each  $g \in G$ 

$$trace(q) = n$$
.

Ergo, for  $g, h \in G$ 

$$trace(gh) = trace(h)$$

and

$$\operatorname{trace}((g-1)h) = \operatorname{trace}(gh) - \operatorname{trace}(h) = 0.$$

Since span(G) = End(V), it now in particularly follows for all  $g \in G, \phi \in End(V)$ 

$$\operatorname{trace}((g-1)\phi) = 0.$$

Since the above holds for all  $\phi \in \text{End}(V)$ , it must hold

$$q - 1 = 0$$

for all  $g \in G$  (take for example the elementary matrices  $\phi = E_{i,j}$ ). Ergo, G is trivial. Then, any complete flag is trivially G-invariant.

Remark 3. This gives the group analogue of Engel's Theorem.

Proof Goal Theorem. Let B be a basis of V s.t. G leaves each subspace in the corresponding flag invariant. Then, G is upper-triangle with respect to this basis.

On the other hand, each  $g \in G$  is unipotent, hence its diagonal (i.e. eigenvalues) are all 1. Thus, with respect to B

$$G \subseteq \left\{ \begin{pmatrix} 1 & \star & \star \\ 0 & \ddots & \star \\ 0 & 0 & 1 \end{pmatrix} \right\} = U_n.$$

Remark 4. Tori are of the form  $(k^{\times})^n$ . In the case  $k = \mathbb{C}$ ,  $(\mathbb{C}^{\times})^n$  are the complexification of  $U(1)^n$ . This equals tori in top. sense.

$$\begin{pmatrix} 1 & \mathbb{Z} \\ 0 & 1 \end{pmatrix} \subseteq \mathsf{GL}_2(\mathbb{C})$$

is a non-algebraic unipotent group.

Exercise. (to be discussed next time)

it would have sufficed to prove the Goal theorem in the special case that G is algebraic.

Corollary of Proof: If  $G \subset \mathsf{GL}(V)$  (with  $V \neq 0$ ) is unipotent and V is G-irreducible, then G = 1, dim V = 1.

Lecture from 18.03.2020

Answer to last Exercise: Recall that the main point was to show that any unipotent subgroup  $G \subseteq GL(V)$  leaves invariant some complete flag  $\mathcal{F} = (V_0 \subset V_1 \ldots)$ . But by some homework (problem 1), the group

$$\mathsf{GL}(V)_{\mathcal{F}} := \{ g \in \mathsf{GL}(V) \mid g\mathcal{F} = \mathcal{F} \}$$

is algebraic.

**Proof:** If  $\mathcal{F}$  is the standard flag with  $V_i = \operatorname{span}(e_1, \ldots, e_i)$  for the standard basis  $\{e_1, \ldots, e_n\}$ , then

$$\mathsf{GL}(V)_{\mathcal{F}} = \{ A \in \mathsf{GL}(V) \mid A \text{ is upper-triangle} \}.$$

The condition that A is upper triangle can be realized by polynomials.  $\Box$  Thus,

$$G \text{ fixes } \mathcal{F}$$

$$\iff G \subseteq \mathsf{GL}(V)_{\mathcal{F}}$$

$$\iff \overline{G} \subseteq \mathsf{GL}(V)_{\mathcal{F}}$$

$$\iff \overline{G} \text{ fixes } \mathcal{F}.$$

Now, the Zariski-Closure  $\overline{G}$  of any group G is an algebraic group (shown in some homework).

Further, if G is unipotent, then  $\overline{G}$  is unipotent.

## 8 Tori

**Definition 28.** A **torus** is an algebraic group that is isomorphic to  $\mathcal{G}_m^n$  for some  $n \in \mathbb{N}_0$  where  $\mathcal{G}_m = k^{\times} = \mathsf{GL}_1(k)$  is the unit group of k.

We think of  $\mathcal{G}_m^n \subseteq \mathsf{GL}_n(k)$  as the subgroup of diagonal matrices.

**Lemma 31.** Let G be a commutative algebraic group. Then the following are equivalent:

- (i) each  $g \in G$  is semisimple.
- (ii) for each finite-dimensional representation V of G and for each  $g \in G$ , the operator  $r_V(g)$  is diagonalizable.
- (iii) for all finite-dimensional representations V of G, there is a basis of common eigenvectors for  $r_V(G)$ , i.e. a basis s.t.

$$r_V(G) \subseteq \mathcal{G}_m^n$$
.

(iv) G is isomorphic to an algebraic subgroup of a torus.

*Proof.* We show:

- (i)  $\iff$  (ii): This follows from the Jordan decomposition and definition of semisimple.
- (ii)  $\implies$  (iii) : This is homework. Note that any commutative subset S of  $\mathsf{GL}(V)$  consisting of semisimple operators may be diagonalized simultaneously.
- (iii)  $\Longrightarrow$  (iv) : Take any faithful representation V of G and diagonalize it simultaneously. Then,  $G \cong r_V(G) \subseteq \mathcal{G}_m^n$ .
- (iv)  $\implies$  (i) : Any diagonal matrix is semisimple.

**Definition 29.** A commutative algebraic group G is called **diagonalizable**, if it satisfies one of the above equivalent conditions.

**Definition 30.** A character  $\chi$  of an algebraic group G is an element  $\chi \in \mathsf{Hom}_{\mathsf{alg.grp.}}(G, k^{\times})$ , i.e., a homomorphism  $\chi : G \to k^{\times}$  of algebraic groups.

Notation 2. For an algebraic group G, set  $\mathfrak{X}(G) := \mathsf{Hom}_{\mathsf{alg.grp.}}(G, k^{\times})$ .

Also denote now by  $\mathcal{O}(X) := k[T]/I(X)$  the coordinate ring of an algebraic set X (rather than k[X]).

#### Lemma 32. There is a bijection

$$\mathfrak{X}(G) = \{ characters \ \chi \ of \ G \} \longleftrightarrow \{ x \in \mathcal{O}(G)^{\times} \mid \Delta(x) = x \otimes x \}.$$

*Proof.* Note, that any  $x \in O(G)^{\times}$  can be thought of as a map  $x : G \to k^{\times} \subset k$ . We have

$$\mathsf{Hom}_{\mathrm{alg.grp.}}\left(G,\mathcal{G}_{m}\right) = \left\{\phi \in \mathsf{Hom}_{\mathrm{alg.sets}}\left(G,\mathcal{G}_{m}\right) \mid \phi(gh) = \phi(g)\phi(h) \; \forall g,h\right\} \\ = \left\{\phi \in \mathsf{Hom}_{k-\mathrm{alg.}}\left(\mathcal{O}(\mathcal{G}_{m}),\mathcal{O}(G)\right) \mid (\phi \otimes \phi) \circ \Delta = \Delta \circ \phi\right\}.$$

Recall:  $\mathcal{O}(\mathcal{G}_m) \cong k[t, \frac{1}{t}]$  with  $\Delta(t) = t \otimes t$ .

Thus for any k-algebra A,  $\operatorname{\mathsf{Hom}}_{k-\operatorname{alg.}}(\mathcal{O}(\mathcal{G}_m),A) \stackrel{A}{\cong}^{\times} \operatorname{via}$ 

$$[t \mapsto a, (t^{-1} \mapsto a^{-1})] \longleftrightarrow a.$$

Thus,

$$\mathsf{Hom}_{\mathrm{alg.grp.}}\left(G,\mathcal{G}_{m}\right)\cong\left\{ a\in\mathcal{O}(G)^{\times}\mid a\otimes a=\Delta(a)\right\} .$$

Therefore, it suffices to test the condition  $(\phi \otimes \phi) \circ \Delta = \Delta \circ \phi$  on the generators  $t, t^{-1}$  of  $\mathcal{O}(\mathcal{G}_m)$ . Now, the above isomorphism is given by

$$\phi \mapsto a = \phi(t)$$

which is equivalent or regarding  $\chi: G \to \mathcal{G}_m$  as a map  $\chi: G \to k$ .

**Example 13.** Let  $G = \mathcal{G}_m$ , then  $\mathcal{O}(G) = k[t, \frac{1}{t}]$ .

Which  $x = \sum_{m \in \mathbb{Z}} c_m t^m \in \mathcal{O}(G)$  – with almost all  $c_m = 0$ , but not all of them – have the property

$$\Delta(x) = x \otimes x?$$

We have

$$x \otimes x = \sum_{m,n \in \mathbb{Z}} c_m c_n t^m \otimes t^n,$$
$$\Delta(x) = \sum_{m \in \mathbb{Z}} c_m t^m \otimes t^m.$$

Those sums equal, if

$$c_m c_n = o$$
 for all  $m \neq n$ ,  
 $c_m^2 = c_m$  for all m.

By those conditions, it follows

$$x = t^m$$
.

Therefore

$$\mathfrak{X}(G) = \{\chi_m \mid m \in \mathbb{Z}\} \cong \mathbb{Z}$$

with

$$\chi_m(y) = y^m$$
.

**Example 14.** Let  $T \cong \mathcal{G}_m^n$  be a torus. Then,

$$\mathfrak{X}(T) = \{ \chi_m \mid m \in \mathbb{Z}^n \} \cong \mathbb{Z}^n$$

where  $\chi_m(y) = y^m = y_1^{m_1} \cdots y_n^{m_n}$ .

**Note:** For each algebraic group  $G, \mathfrak{X}(G)$  is naturally an abelian group:

$$(\chi_1 \cdot \chi_2)(g) := \chi_1(g) \cdot \chi_2(g).$$

Given a morphism of algebraic groups  $f:G\to H,$  we get a morphism of abelian groups

$$f^*: \mathfrak{X}(H) \longrightarrow \mathfrak{X}(G)$$
  
 $\chi \longmapsto \chi \circ f =: f^*(\chi).$ 

This induces a contravariant functor from the category of algebraic groups to the category of abelian groups.

**Lemma 33.** Let G be a diagonalizable algebraic group. Then,  $\mathfrak{X}(G)$  is a k-vector space basis for  $\mathcal{O}(G)$ .

**Example 15.** Let  $G = \mathcal{G}_m^n$  be a torus. Then, we have the embedding

$$\mathfrak{X}(G) \longrightarrow \mathcal{O}(G)$$
  
 $\chi_{(m_1,\ldots,m_n)} \longmapsto t^{(m_1,\ldots,m_n)}.$ 

The lemma is obvious in this case: each elment of  $\mathcal{O}(G) = k[t_1, \dots, t_n, t_1^{-1}, \dots, t_n^{-1}]$  can be written uniquely as a linear combination of monomials.

*Proof.* (i)  $\mathfrak{X}(G)$  spans  $\mathcal{O}(G)$ :

Choose an embedding  $G \subset \mathcal{G}_m^n$  of algebraic groups. Then, by restriction, we get

$$\mathcal{O}(\mathcal{G}_m^n) \twoheadrightarrow \mathcal{O}(G).$$

Since the  $\chi_m, m \in \mathbb{Z}^n$ , span  $\mathcal{O}(\mathcal{G}_m^n)$ , their images  $\chi_m|_G \in \mathfrak{X}(G)$  span  $\mathcal{O}(G)$ .

#### (ii) $\mathfrak{X}(G)$ is linearly independent:

Suppose otherwise and let  $\phi_1, \ldots, \phi_m$  be a linearly dependent subset of  $\mathfrak{X}(G)$  with  $m \geq 1$  chosen minimally, with  $c_1, \ldots, c_m \in k^{\times}$  s.t.

$$\sum_{i=1}^{m} c_i \phi_i = 0.$$

We distinguish the following cases:

m=1: In this case, we have  $\phi_1=0$ , but  $\phi_1(1)=1$ , a contradiction.

m>1: We can assume  $\phi_1\neq\phi_2$ , so there is an  $h\in G$  s.t.  $\phi_1(h)\neq\phi_2(h)$ . Then,

$$\phi_1(h)\sum_{i=1}^m c_i\phi_i = 0,$$

but also for all  $h, g \in G$ 

$$\sum_{i=1}^{m} c_i \phi_i(hg) = \sum_{i=1}^{m} c_i \phi_i(h) \phi_i(g) = 0.$$

This implies

$$\sum_{i=1}^{m} c_i \phi_i(h) \phi = 0.$$

Ergo

$$\sum_{i=1}^{m} c_j(\phi_i(h) - \phi_1(h))\phi_i = \sum_{i=2}^{m} c_j(\phi_i(h) - \phi_1(h))\phi_i = 0.$$

Now,  $\phi_i(h) - \phi_1(h)$  is zero if i = 1 and non-zero, if i = 2. Therefore, this yields a shorter linear dependency for the elements

$$\phi_2,\ldots,\phi_m,$$

which contradicts our requirement.

**Definition 31.** Let M be an abelian group. The **group algebra** on M is the k-algebra k[M] (not a coordinate ring!) defined as follows:

k[M] := the k-vector space with basis M

$$:= \left\{ \sum_{m \in M} c_m \cdot m \mid c_m \in k, \text{ almost all } c_m = 0 \right\},\,$$

where the multiplication on k[M] extends that on M:

$$(\sum_{m \in M} c_m m)(\sum_{n \in M} d_n n) = \sum_{m,n \in M} c_m d_n m n.$$

Corollary 5. For a diagonalizable G, we have

$$\mathcal{O}(G) \cong k[\mathfrak{X}(G)].$$

**Fact:** For an abelian group M, there is exactly one Hopf algebra structure on k[M] given by  $\Delta(m) = m \otimes m$  for all  $m \in M$ .

With this definition, the above isomorphism is one of Hopf algebras.

**Lemma 34.** If G, H are diagonalizable algebraic groups, then

$$\operatorname{\mathsf{Hom}}_{\operatorname{alg.grp.s}}(G,H) \xrightarrow{f \mapsto f^*} \operatorname{\mathsf{Hom}}_{\operatorname{grp.s}}(\mathfrak{X}(H),\mathfrak{X}(G))$$

is a bijection.

Proof.

$$\begin{split} \operatorname{Hom}\left(G,H\right) & \cong \operatorname{Hom}_{\operatorname{Hopf-alg.}}\left(\mathcal{O}(H),\mathcal{O}(G)\right) \\ & \cong \left\{\phi \in \operatorname{Hom}_{k-\operatorname{alg.}}\left(\mathcal{O}(H),\mathcal{O}(G)\right) \mid (\phi \otimes \phi) \circ \Delta = \Delta \circ \phi\right\}. \end{split}$$

Since  $\operatorname{\mathsf{Hom}}_{k-\operatorname{alg.}}(\mathcal{O}(H),\mathcal{O}(G)) \cong \operatorname{\mathsf{Hom}}(k[\mathfrak{X}(H)],k[\mathfrak{X}(G)])$ , this reduces to the following lemma:

**Lemma 35.** Let  $M_1, M_2$  be two abelian groups. Then

$$\operatorname{Hom}(M_1, M_2) \stackrel{\cong}{\longrightarrow} \operatorname{Hom}_{\operatorname{Hopf-alg.}}(k[M_1], k[M_2])$$
  
$$\phi \longmapsto \left[ \sum c_m m \mapsto \sum c_m \phi(m) \right].$$

*Proof.* We have to show that

$$M = \left\{ x \in K[M]^{\times} \mid \Delta(x) = x \otimes x \right\}.$$

Then, by this, it follows for each  $\phi \in \mathsf{Hom}_{\mathsf{Hopf-alg.}}(k[M_1], k[M_2])$ ,

$$\phi(M_1) \subseteq M_2$$
.

Ergo,  $\phi|_{M_1} \in \text{Hom}(M_1, M_2)$ . Therefore, the surjectivity of the claimed bijection is shown. The injectivity is clear, since M generates k[M] as a k-algebra.

To show

$$M = \left\{ x \in K[M]^{\times} \mid \Delta(x) = x \otimes x \right\},\,$$

let

$$x = \sum c_m m \in K[M]^{\times}$$
$$\Delta(x) = \sum c_m m \otimes m$$
$$x \otimes x = \sum c_m c_n m \otimes n.$$

If  $\Delta(x) = x \otimes x$ , then it follows

$$x = m$$

for some  $m \in M$ .

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**Recall:** We have seen that for diagonalizable algebraic groups G, H

$$\mathsf{Hom}\,(G,H)\cong\mathsf{Hom}\,(\mathfrak{X}(H),\mathfrak{X}(G))$$
.

If G is diagonalizable, then

$$\mathcal{O}(G) \cong k[\mathfrak{X}(G)].$$

Theorem 14. The functor

$$G \longrightarrow \mathfrak{X}(G)$$
$$f \longmapsto f^*$$

defines an equivalence of categories:

 $\{diagonalizable\ alg.\ groups\}\cong\{finite-dim.\ abelian\ groups\ with\ no\ char(k)-torsion\}.$ 

This amounts to the bijection above between Hom-spaces and the following lemma.

- **Lemma 36.** (i) Let G be a diagonalizable alg. group. Then,  $\mathfrak{X}(G)$  is a finitely generated abelian group with no char(k)-torsion.
- (ii) Let  $\Gamma$  be a finitely generated abelian group with no char(k)-torsion. Then, there is a diagonalizable algebraic group G s.t.  $\mathfrak{X}(G) \cong \Gamma$ .

*Proof.* We will use the following facts:

• Let  $n \in \mathbb{N}$ . Then,  $t^n - 1$  is square-free in k[t] iff the ideal  $(t^n - 1)$  is radical in k[t] iff  $t^n - 1$  has not repetitive root iff either  $\operatorname{char}(k) = 0$  or  $\operatorname{char}(k) = p > 0$  and  $p \not| n$ .

(Proof: Galois Theory, seperable/inseperable extensions.)

• Let  $M := \mathbb{Z}/n\mathbb{Z}$ . Then, the k-group-algebra generated by M

$$k[M] \cong k[t]/(t^n - 1)$$

is reduced iff either char(k) = 0 or  $char(k) = p > 0, p \not| n$ .

• If  $M_1, M_2$  are abelian groups, then we have the following isomorphism of Hopf algebras

$$k[M_1] \otimes_k k[M_2] \xrightarrow{\cong} k[M_1 \oplus M_2]$$
  
 $m_1 \otimes m_2 \longmapsto m_1 m_2$ 

where  $M_1 \oplus M_2 \cong M_1 \times M_2$ .

- (i) Embed  $G \hookrightarrow T := \mathcal{G}_m^n$  for some n. Then, we have a surjection  $\mathbb{Z}^n \cong \mathfrak{X}(T) \twoheadrightarrow \Xi(G)$ . Ergo,  $\mathfrak{X}(G)$  is finitely generated. Suppose  $\operatorname{char}(k) = p > 0$ . Let  $\chi \in \mathfrak{X}(G)$  with  $\chi^p = 1$ . Then, for all  $g \in G$ ,  $\chi^p(g) = \chi(g^p) = 1$ . The unit group  $k^{\times}$  has not p-torsion, therefore  $G \hookrightarrow T = (k^{\times})^n$  has also no p-torsion. Therefore, the frobenius  $g \mapsto g^p$  is an isomorphism on G. Therefore,  $\chi = 1$  is a trivial character. Ergo  $\mathfrak{X}(G)$  has no p-torsion.
- (ii) Let  $\Gamma$  be a finitely generated abelian group with no char(k)-torsion. Then,

$$\Gamma \cong \mathbb{Z}^r \oplus \mathbb{Z}/n_1\mathbb{Z} \oplus \ldots \oplus \mathbb{Z}/n_l\mathbb{Z}$$

where  $char(k) \not| n_1, \ldots, n_l$ . We may reduce to the cases:

- (a)  $\Gamma = \mathbb{Z}$ : take  $G = \mathcal{G}_m$ , then  $\Xi(G) \cong \mathbb{Z} \cong \Gamma$ .
- (b)  $\Gamma = \mathbb{Z}/n\mathbb{Z}$  with  $\operatorname{char}(k) =: p \not| n$ : take  $G := \mu_n := \{ y \in k^{\times} \mid y^n = 1 \}$ . Then, since  $p \not| n$ ,  $(t^n - 1)$  is radical. So,

$$\mathcal{O}(\mu_n) \stackrel{\text{Nullstellensatz}}{=} k[t]/(t^n - 1) \stackrel{\text{as Hopf algebras}}{\cong} k[\Gamma]$$

where t gets mapped to the generator of  $\Gamma$ .

Corollary 6. We have the bijection

 $\{\mathit{tori}\} \cong \{ \mathit{ finitely generated free abelian groups} (\cong \mathbb{Z}^n) \}.$ 

Remark 5.

{algebraic group schemes/k}  $\stackrel{\text{not necessarily natural}}{\cong}$  { f.g. Hopf algebras}.

by

$$G \mapsto \mathcal{O}(G)$$

 $\quad \text{and} \quad$ 

{diagonalizable algebraic group schemes/k} \cong \{ \text{ f.g. abelian groups} \}.

by

$$G \mapsto \mathfrak{X}(G)$$
.

Where  $\mu_p$  in the left hand term gets mapped to  $\mathcal{O}(\mu_p) = k[t]/(t^p-1)$  with p = chark.

# 9 Trigonalization

We say a representation  $r: G \to \mathsf{GL}(V)$  of a group G on a finite-dimensional k-vectorspace V is **trigonalizable** if it admits a basis with respect to which r(V) is upper-triangular:

$$r(G) \subseteq \left\{ \begin{pmatrix} * & \dots & * \\ 0 & \ddots & \vdots \\ 0 & 0 & * \end{pmatrix} \right\}$$

**Definition 32.** We call a subgroup  $G \subseteq \mathsf{GL}(V)$  **trigonalizable**, if the identity representation is.

**Lemma 37.** Let G be an algebraic group. The following are equivalent:

- (i) Every finite-dimensional representation  $r: G \to \mathsf{GL}(V)$  is trigonalizable.
- (ii) Every irreducible representation of G is 1-dimensional.
- (iii) G is isomorphic to an algebraic subgroup of

$$B_n := \left\{ \begin{pmatrix} * & \dots & * \\ 0 & \ddots & \vdots \\ 0 & 0 & * \end{pmatrix} \right\} \subseteq GL_n(k).$$

(iv) There is a normal unipotent algebraic subgroup U of G s.t. G/U is diagonalizable.

*Proof.* We prove as follows:

(i)  $\Longrightarrow$  (ii): Let V be an irreducible representation. Then,  $V \neq 0$ . Choose a basis  $e_1, \ldots, e_n$  of V s.t.

$$r(G) \subseteq B_n$$
.

Then,  $r(G)e_1 \subseteq ke_1$ , so  $V_0 := ke_1$  is G-invariant. Ergo  $V = V_0$  is 1-dimensional.

(ii)  $\Longrightarrow$  (i): Let V be a f.d. representation. We show by induction on  $\dim(V)$  that  $r:G\to \mathsf{GL}(V)$  is trigonalizable:

In the cases  $\dim(V) = 0, 1$ , there is nothing to show.

In the case  $\dim(V) \geq 2$ , assume that V is not irreducible. Then, there is a G-invariant  $V_0$  with  $0 \neq V_0 \neq V$ .

By the induction hypothesis,  $V_0$  and  $V/V_0$  are trigonalizable. Ergo, V is trigonalizable.

(**Recall:** we used this criterion above in the proof that unipotent groups are trigonalizable by showing that every ??? of each G is trivial.)

(i)  $\implies$  (iii): Choose a faithful representation V of G. Then,  $G \cong r(G)$ . Since r is trigonalizable, there is a basis of V s.t.

$$r(G) \subseteq B_n \subseteq \mathsf{GL}_n(k)$$
.

(iii)  $\implies$  (ii): Suppose  $G \subseteq B_n \subseteq \mathsf{GL}_n(k)$ . Set

$$A_n := \left\{ \begin{pmatrix} * & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & * \end{pmatrix} \right\} \subseteq \mathsf{GL}_n(k),$$

$$U_n := \left\{ \begin{pmatrix} 1 & \dots & * \\ 0 & \ddots & \vdots \\ 0 & 0 & 1 \end{pmatrix} \right\} \subseteq \mathsf{GL}_n(k) \text{ normal algebraic subgroup of } B_n,$$

 $U := G \cap U_n$  normal unipotent algebraic subgroup of G.

Let V be an irreducible representation of G, then V is not zero. Consider the subspace of V fixed by U

$$V^U := \{ v \in V \mid r(u)v = v \forall u \in U \}.$$

Then, we get a representation

$$r|_U:U\longrightarrow \mathsf{GL}(V).$$

Then, r(U) is a unipotent algebraic group of  $\mathsf{GL}(V)$ . Ergo,

$$r(U) \subseteq \left\{ \begin{pmatrix} 1 & \dots & * \\ 0 & \ddots & \vdots \\ 0 & 0 & 1 \end{pmatrix} \right\}.$$

Ergo,  $V^U \neq 0$ . Since U is normal in G, the subspace  $V^U$  of V is G-invariant: if  $v \in V^U, g \in G$ , then for all  $u \in U$  we have

$$r(u)r(q)v = r(q)r(q^{-1}uq)v = r(q)v$$

since  $v \in V^U$ . Ergo  $r(g)v \in V^U$ .

Since V is irreducible,  $V = V^U$ , i.e. U acts trivially on V. Ergo, r descends to a representation of the group G/U.

But  $G/U \hookrightarrow B_n/U_n \cong A_n$ . Therefore, G/U and r(G) are commutative. Moreover, for all  $g \in G$ ,  $r(g) \in \mathsf{GL}(V)$  is semisimple:

if  $g = g_s g_u$ , then  $g_u \in U$ , because  $U_n$  is the group of unipotent elements of  $B_n$ . Hence,  $r(g) = r(g_s)r(g_u) = r(g_s)$  is semisimple.

It follows that r(G) is commutative and consists of semisimple elements. By some HW: r(G) is trigonalizable. It is easy to show now that V is one-dimensional. (Since V is irreducible and  $ke_1$  is G-invariant.)

**Definition 33.** G is **trigonalizable**, if it satisfies one of the above equivalent conditions.

Later, we will see, that if G is connected, then being trigonalizable implies being solvable.

# 10 Commutative Groups

Let G be an algebraic group. Denote by  $G_s$  resp.  $G_u$  the subsets of semisimple resp. unipotent elements of G.

Then,  $G_u$  is always algebraical i.e. closed: if  $G \hookrightarrow \mathsf{GL}_n(k)$ , then  $G_u = \{g \mid (g-1)^n = 0\}$ .  $G_u$  does not need to be closed under multiplication (for example, take  $G = \mathsf{SL}_2(k)$ ,

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$
 and  $\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ ).

 $G_s$  needs not to be algebraic: for example, take  $G = \mathsf{SL}_2(k)$  and if  $G_s$  were algebraic, then

$$\left\{\lambda \in k^{\times} \mid \begin{pmatrix} \lambda & 1 \\ 0 & \lambda^{-1} \end{pmatrix} \in G_s \right\} = \left\{\lambda \mid \lambda \neq \lambda^{-1} \right\}$$

but the last set is not algebraic. Also,  $G_s$  does not need to be a group.

We have the a surjective map of sets

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$$G_s \times G_u \longrightarrow G$$
  
 $(g_1, g_2) \longmapsto g_1 g_2.$ 

**Example 16** (Non-Example). Take generic  $g \in G_s, h \in G_u$  for  $G = \mathsf{SL}_2(k)$ . Then, g, h do not commute and we have

$$((gh)_s, (gh_u)) \neq (g, h)$$

because Jordan components don't commute.

**Theorem 15.** Let G be a commutative algebraic group. Then:

- (i)  $G_s, G_u$  are closed subgroups and the multiplicative map  $G_s \times G_u \to G$  is an isomorphism of algebraic groups.
- (ii) G is trigonalizable. Moreover, for each finite dimensional representation  $r: G \to GL(V)$  there is a basis s.t.

$$r(G_s) \subseteq \left\{ \begin{pmatrix} * & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & * \end{pmatrix} \right\} \qquad r(G_u) \subseteq \left\{ \begin{pmatrix} 1 & * & * \\ 0 & \ddots & * \\ 0 & 0 & 1 \end{pmatrix} \right\}.$$

- (iii)  $G_s$  is diagonalizable.
- *Proof.* (ii) Let V be any irreducible representation of G. We have seen that commuting semisimple operators may be simultaneously diagonalizable, then

$$V = \bigoplus_{\chi: G_s \to \mathcal{G}_m} V_{\chi}$$

where

$$V_{\chi} = \{ v \in V \mid r(h)v = \chi(h)v \ \forall h \in G_s \}.$$

Since G is commutative, each subspace  $V_{\chi}$  is G-invariant  $(r(h)r(g)v = r(g)r(h)v = r(g)\chi(h)v = \chi(h)r(g)v)$ .

Since V is irreducible, we must have  $V = V_{\chi}$  for some  $\chi$ .

Recall that  $G \cong G_s \times G_u$  as abstract groups. We have seen that  $r(G_s) \subseteq \mathcal{G}_m^n$ . We proved a while ago that any unipotent group, such as  $G_u$ , is trigonalizable. Ergo, V is trigonalizable. Since V is irreducible, we have dim V = 1.

If we apply the same argument without assuming that V is irreducible, then we see that V is the coproduct of  $V_{\chi}$ 's as above and that each  $V_{\chi}$  admits a basis s.t.

$$r(G_s)|_{V_\chi} \subseteq \left\{ \begin{pmatrix} * & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & * \end{pmatrix} \right\} \qquad r(G_u)|_{V_\chi} \subseteq \left\{ \begin{pmatrix} 1 & * & * \\ 0 & \ddots & * \\ 0 & 0 & 1 \end{pmatrix} \right\}.$$

This yields the same conclusion for V.

(i) We have to show that  $G_s$  and  $G_u$  are closed and  $j: G_s \times G_u \to G$  is an isomorphism of groups. Take any faithful representation

$$G \xrightarrow{\cong,r} r(G) \subseteq \mathsf{GL}(V)$$

and apply (ii). Then we have

$$r(G) \subseteq \left\{ \begin{pmatrix} * & * & * \\ 0 & \ddots & * \\ 0 & 0 & * \end{pmatrix} \right\} =: B$$

$$B_u = \left\{ \begin{pmatrix} 1 & * & * \\ 0 & \ddots & * \\ 0 & 0 & 1 \end{pmatrix} \right\},$$

$$r(G_s) \subseteq \left\{ \begin{pmatrix} * & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & * \end{pmatrix} \right\} =: A.$$

In fact,  $r(G_s) = r(G) \cap A$ , because if  $g \in G$  with  $r(g) \in A$ , then r(g) is semisimple, so  $g \in G_s$ .

Therefore,  $G_s$  is closed in G. Ergo,  $G_s$  and  $G_u$  are closed subgroups.

Then, the map j is a morphism of algebraic groups.

We need to show that  $j^{-1}$  is a morphism of algebraic groups. For this, it suffices to verify that the projection  $G \to G_s$  is a morphism. But this map is given under r by the morphism:

$$t := \begin{pmatrix} a_1 & * & * \\ 0 & \ddots & * \\ 0 & 0 & a_n \end{pmatrix} \longmapsto \begin{pmatrix} a_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & a_n \end{pmatrix} =: t_s.$$

This suffices because if  $g = g_s g_u$ , then  $g_u = g_s^{-1} g$ , so if the map  $g \mapsto g_s$  is a morphism, so is  $g \mapsto g g_s^{-1} = g_u$ , hence so is  $g \mapsto (g_s, g_u)$ .

(iii) We have seen that  $G_s$  is a closed subgroup. Hence  $G_s$  is a commutative algebraic group where elements are semisimple. Ergo,  $G_s$  is diagonalizable.

11 Connected Solvable Groups

**Theorem 16** (Lie-Kolchin). Let G be a connected solvable algebraic group. Then G is trigonalizable.

(By comparison, recall that we have seen so far that, if G is commutative or unipotent, then G is trigonalizable.) We can reformulate this theorem as: Any connected solvable subgroup of GL(V) stabilizes some complete flag  $\mathcal{F} = (V_0 \subsetneq \ldots \subsetneq V_n)$ .

Generalization (Borel's Fixed Point Theorem): Any connected algebraic group G acting on a projective variety X has a fixed point in X.

We get a relation between complete flags and projective varieties.

*Proof.* Induct on the number n s.t.  $G^{(n)} = 1$ .

For n = 0, there is nothing to show.

If n = 1, (G, G) = 1, then G is commutative, ergo trigonalizable.

Let  $n \geq 2$ . Then, we have  $G' := (G, G) \neq 1$ . We will show the following lemma:

**Lemma 38.** Let  $G \subseteq GL(V)$  be a subgroup.

If G is connected, then the group G' with the induced topology is connected ( $\iff$  the Zariski Closure of G' is connected).

*Proof.* We have the following facts:

- An increasing union of connected spaces is connected.
- A continuous image of a connected space is connected.

We have

$$G' = \langle (g, h) := ghg^{-1}h^{-1} \mid g, h \in G \rangle$$
  
=  $\bigcup_{j \ge 0} \bigcup_{g_1, h_1, \dots, g_j, h_j \in G} \{ (g_1, h_1) \cdots (g_j, h_j) \}.$ 

Since

$$\bigcup_{g_1,h_1,...,g_j,h_j \in G} \{(g_1,h_1)\cdots(g_j,h_j)\} = \text{Img}\phi_j$$

for some continuous map  $\phi_j: G^{2j} \to G$ , the claim follows. Ergo, G' is connected.  $\square$ 

Remark 6. It is equivalent to show that (\*) any subgroup G of GL(V) – s.t. G is connected and solvable – is trigonalizable in GL(V).

Indeed, the theorem implies (\*): the Zariski closure of G is a connected algebraic group that is solvable (which extends by continuity). If Zcl(G) is trigonalizable, then also G is trigonalizable.

On the other hand: (\*) implies the theorem, since if G is given as in the theorem, apply (\*) to  $r(G) \subseteq \mathsf{GL}(V)$ .

*Proof of Theorem.* If  $G^{(n)} = 1$ , then  $(G')^{(n-1)} = G^{(n)} = 1$ . By induction, we may assume that G' satisfies the following:

For all finite dimensional representations  $r: G \to \mathsf{GL}(V)$ , r(G') is trigonalizable. Our aim is to show that any irreducible representation V of G has dimension 1.

The induction hypothesis implies that r(G') is trigonalizable. In particular, there exists an eigenspace  $V_{\chi} \subseteq V$  for G' for some character  $\chi : G' \to k^{\times}$ . Since G' is normal in G we know that G acts from the left on

{eigenspaces 
$$V_{\chi}$$
 in  $V$  for  $G'$ }.

Ergo,  $\bigoplus_{\chi:G'\to k^\times} V_\chi$  is G-invariant. Since V is G-irreducible, we have

$$V = \bigoplus_{\chi: G' \to k^{\times}} V_{\chi} = \bigoplus_{\chi \in \mathfrak{X}'} V_{\chi}$$

for some finite subset  $\mathfrak{X}' = \{\chi \mid V_{\chi} \neq 0\}$  of  $\mathsf{Hom}(G', \mathcal{G}_m)$ , since V is finite dimensional.

Claim: Let  $h \in G'$ . Then, the map

$$G \longrightarrow \mathsf{GL}(V)$$
  
 $g \longmapsto r(ghg^{-1})$ 

has a finite image.

*Proof.* Denote by  $\chi \mapsto \chi^g$  the action of  $g \in G$  in  $\text{Hom}(G', \mathcal{G}_m)$  given by  $\chi^g(h) := \chi(ghg^{-1})$ . This is an action, since G' is normal.

Note, that  $\mathfrak{X}' \subseteq \mathsf{Hom}(G', \mathcal{G}_m)$  is a finite subset.

Also note, that the action  $\chi \mapsto \chi^g$  descends to an action  $G \curvearrowright \mathfrak{X}'$ .

Now, let  $\mathfrak{X}' = \{\chi_1, \ldots, \chi_r\}$ . The matrix r(h) is totally determined by the values  $\chi_1(h), \ldots, \chi_r(h)$ . Then, the element  $r(ghg^{-1})$  is totally determined by the values  $\chi_1^g(h), \ldots, \chi_r^g(h)$ . It follows

$$\#\left\{r(ghg^{-1})\mid g\in G\right\}\leq r!.$$

The following lemma is easy to show:

**Lemma 39.** Let G be an algebraic set. Then, G is connected iff for each finite algebraic set X, and for each morphism  $f: G \to X$  of algebraic sets, we have that f is constant.

Claim with the Lemma implies that the map  $g \mapsto t(ghg^{-1})$  is constant. This implies that  $r(ghg^{-1}) = r(h)$  for all  $g \in G, h \in G'$ . Ergo, G stabilizes each eigenspace  $V_{\chi}$  for G'. Ergo,  $V = V_{\chi_0}$ , since V is irreducible.

**Lemma 40.** Let G be any group with a finite dimensional representation  $r: G \to GL(V)$ . Then, the subspaces  $V_{\chi}$  for  $\chi \in Hom(G, k^{\times})$  are linearly independent, i.e., the map

$$\oplus V_{\chi} \longrightarrow V$$

is injective.

*Proof.* The spaces  $V_{\chi}$  are G-invariant. Suppose, there exist distinct  $\chi_1, \ldots, \chi_n$  of non-zero  $v_j \in V_{\chi_j}$  s.t.  $\sum_j v_j = 0$ .

We may assume that n, the number of  $v_j$ , is minimal. W.l.o.g.,  $n \geq 2$ .

Choose  $g \in G$  s.t.  $\chi_1(g) \neq \chi_2(g)$ . Use that  $0 = g \sum_j v_j = \sum_j gv_j$  and take the linear combination as in the proof of linear independence of characters to contradict the minimality of n.

$$(g - \chi_1(g) \text{ is not zero, but reduces } \sum_j v_j \text{ by one summand.})$$

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Finishing Proof of Theorem. Since  $G' = \langle ghg^{-1}h^{-1} \mid g, h \in G \rangle$ , so  $\det(r(G')) = 1$ . On the other hand, for each  $g \in G'$ , we have

$$r(g) = \begin{pmatrix} \chi_0(g) & & \\ & \ddots & \\ & & \chi_0(g) \end{pmatrix}$$

since  $V = V_{\chi_0}$ . This implies

$$1 = \det(r(q)) = \chi_0(q)^d$$
.

Ergo,  $\chi_0$  defines a morphism

$$\chi_0: G' \longrightarrow \mu_d \subseteq \mathcal{G}_m.$$

But G' is connected and  $\mu_d$  is finite. Since  $\chi_0$  is a morphism,  $\chi_0$  must be constant, ergo the trivial character.

As a consequence, we get r(G') = 1 on  $V = V_{\chi_0}$ .

**Lemma 41.** Let G be an algebraic group,  $r: G \to GL(V)$  a representation.  $v \in V$  shall be a simultaneous non-zero eigenvector for r(G).

Then, for each  $g \in G$ , there is a value  $\chi(g) \in k^{\times}$  s.t.

$$r(g)v =: \chi(g)v.$$

Then, the mapping  $\chi: G \to \mathcal{G}_m$  is a morphism of algebraic groups.

Therefore, r descends to a representation of the commutative group

$$\overline{r}: G/G' \longrightarrow \mathsf{GL}(V).$$

Ergo, r(G/G') = r(G) is commutative and therefore trigonalizable (because of irreducibility).

**Example 17** (Non-Example). • Take  $G = D_4 \hookrightarrow \mathsf{GL}_2(\mathbb{C})$  which is solvable and has an irreducible and faithful representation over  $\mathbb{C}^2$ .

• Consider the solvable group

$$G = \left\langle \begin{pmatrix} \pm 1 & \\ & \pm 1 \end{pmatrix}, \begin{pmatrix} & 1 \\ 1 & \end{pmatrix} \right\rangle$$

which is a finite subgroup of  $\mathsf{GL}_2(\mathbb{C})$ , s.t.  $\mathbb{C}^2$  defines an irreducible representation of G.

**Lemma 42** (Form of Schur's Lemma). Let S be any commutative subset of GL(V) for a finite-dimensional  $0 \neq V$  over an algebrically closed field k. Let V be S-irreducible. Then, dim V = 1.

*Proof.* There is nothing to show if S is empty.

Let  $s \in S$  and denote by  $V_{\lambda} \subseteq V$  the  $\lambda$ -eigenspace for s. Then, since S is commutative,  $V_{\lambda}$  is S-invariant. Therefore,  $V = V_{\lambda}$  for one  $\lambda \in k^{\times}$ .

Thus, every  $s \in S$  acts by scaling, therefore every subspace of V is S-invariant. Since V is invariant, we get  $\dim V = 1$ .

Corollary 7. Let G be a connected algebraic group. Then, G is solvable iff G is trigonalizable.

**Proposition 3.** If G is trigonalizable, then  $G_u$  is a normal algebraic subgroup.

*Proof.* We have

$$G \hookrightarrow B := \left\{ \begin{pmatrix} * & \dots & * \\ & \ddots & \vdots \\ & & * \end{pmatrix} \right\} \subseteq \mathsf{GL}_n(k).$$

B has the normal subgroup  $U := \left\{ \begin{pmatrix} 1 & \dots & * \\ & \ddots & \vdots \\ & & 1 \end{pmatrix} \right\}$  and we have  $G_u = G \cap U$ . Now,

U is the kernel of the multiplicative morphism

$$\begin{pmatrix} a_1 & \dots & * \\ & \ddots & \vdots \\ & & a_n \end{pmatrix} \longmapsto \begin{pmatrix} a_1 & \\ & & \\ & & a_n \end{pmatrix}.$$

Corollary 8. If G is connected and solvable, then  $G_u$  is a normal algebraic subgroup.

# 12 Semisimple Elements of nilpotent Groups

**Theorem 17.** Let G be a connected nilpotent algebraic group. Then, we have

$$G_s \subseteq Z(G)$$

where Z(G) denotes the **center** of G, i.e.

$$Z(G) = \{ g \in G \mid \forall h \in G : gh = hg \}.$$

**Theorem 18** (Lie-algebraic Analogue). Let V be a finite-dimensional vectorspace. Let  $\mathfrak{g}$  be the Lie-Subalgebra of End(V), i.e.  $\mathfrak{g}$  is a subspace s.t. we have for each  $x, y \in \mathfrak{g}$ 

$$[x,y] := xy - yx \in \mathfrak{g}.$$

Assume that  $\mathfrak{g}$  is nilpotent, i.e. there is an  $n \in \mathbb{N}_0$  s.t.

$$[x_1, [x_2, [\dots, [x_{n-1}, x_n]]]] = 0$$

for all  $x_1, \ldots, x_n \in \mathfrak{g}$ .

Then, any semisimple (semisimple in End(V) that is)  $x \in \mathfrak{g}$  is **central** in  $\mathfrak{g}$ , i.e. [x,y]=0 for each  $y \in \mathfrak{g}$ .

Remark 7. The Lie-algebraic Analogue implies the general theorem if – for example –  $k=\mathbb{C}$ .

*Proof.* Let  $g \in G_s$ . We want to show  $Z_G(g) = G$ .

Fact from the theory of Lie-Algebras: For the Lie-Algebra  $Lie Z_G(g)$  we have

$$\operatorname{Lie} Z_G(g) = \ker(\operatorname{\mathsf{Ad}}(g))$$

where Ad is the map

$$\mathsf{Ad}: G \longrightarrow \mathsf{GL}(\mathfrak{g})$$
$$x \longmapsto qxq^{-1}.$$

Since G is connected, it suffices to verify

$$\ker(\mathsf{Ad}(g)) = \mathfrak{g}$$

i.e. Ad(g) = 1.

Since g is semisimple, we have for suitable basis

$$g = \begin{pmatrix} a_1 & & \\ & \ddots & \\ & & a_n \end{pmatrix}$$

with  $a_j \in \mathbb{C}^{\times}$ . This is  $\exp(x)$  for a suitable diagonal matrix  $x \begin{pmatrix} x_1 & & \\ & \ddots & \\ & & x_n \end{pmatrix} \in \mathsf{GL}_n(\mathbb{C}).$ 

Fact: We may assume that  $x \in \mathfrak{g} := \text{Lie}(G)$ .

Since G is nilpotent, it can be shown that  $\mathfrak{g}$  is nilpotent.

By the theorem, x is central in  $\mathfrak{g}$ . By the properties of exp we have

$$\mathsf{Ad}(g) = \exp(\operatorname{ad}(g)) = 1$$

ergo ad(x) = 0 where  $ad : \mathfrak{g} \to \mathfrak{g}$  is defined by

$$ad(x) \cdot y := [x, y].$$

*Proof.* If  $\mathfrak{g}$  is nilpotent, then  $ad(x) \in \mathsf{End}(\mathfrak{g})$  is nilpotent.

Since x is semisimple, ad(x) is semisimple, because ad(x) is the restriction to  $\mathfrak{g}$  of the map

$$\operatorname{End}(V) \longrightarrow \operatorname{End}(V)$$
 
$$y \longmapsto [x,y]$$

and, if  $e_1, \ldots, e_n$  are a basis of eigenvectors for x, then  $E_{i,j}$  is a basis of eigenvectors for  $\ell$ .

So, ad(x) is nilpotent and semisimple, therefore ad(x) = 0.

*Proof Theorem.* Let G be a connected nilpotent algebraic group,  $G \stackrel{\mathsf{GL}}{\hookrightarrow} (V)$ .

Let  $g \in G_s$ , we want to show that  $g \in Z(G)$ .

Assume otherwise, then we have a  $h \in G$  s.t.  $(g,h) = ghg^{-1}h^{-1} \neq 1$ .

Since G is connected and nilpotent (ergo solvable), we know by Lie-Kolchin that G stabilizes some complete flag  $V_0 \subset \ldots \subset V_n$ .

We have  $g|_{V_i}, h|_{V_i} \in \mathsf{GL}(V_i)$ . They commute, if i = 0, but not if i = n.

So, there is an i s.t.  $g|_{V_i}$ ,  $h|_{V_i}$  commute but  $g|_{V_{i+1}}$ ,  $h|_{V_{i+1}}$  don't commute. W.l.o.g.  $V = V_{i+1}$ ,  $g = g|_{V_{i+1}}$ ,  $h = h|_{V_{i+1}}$ . Set  $a := g|_{V_i}$ ,  $b := h|_{V_i} \in \mathsf{GL}(V_i)$ . a will be semisimple, since g is.

Since g is semisimple, there is an eigenvector  $v \in V_{i+1}$  for g s.t.

$$V_{i+1} = V_i \oplus \langle v \rangle$$
.

We have an isomorphism of vector spaces

$$\mathsf{End}(V_{i+1}) \cong \mathsf{End}(V_i) \oplus \mathsf{Hom}\left(\langle v \rangle, V_i\right) \oplus \mathsf{Hom}\left(V_i, \langle v \rangle\right) \oplus \mathsf{End}(\langle v \rangle)$$

with

$$\operatorname{End}(\langle v \rangle) \cong k \text{ and } \operatorname{Hom}(\langle v \rangle, V_i) \cong V_i.$$

So, we can write  $g|_{V_{i+1}}, h|_{V_{i+1}}$  write as

$$g = \begin{pmatrix} a & \\ & * \in k \end{pmatrix}$$
 and  $h = \begin{pmatrix} b & c \in V_i \\ & * \end{pmatrix}$ .

We may replace g, h with scalar multiples to reduce to the case that \* = 1. Then, So, we can write  $g|_{V_{i+1}}, h|_{V_{i+1}}$  write as

$$g = \begin{pmatrix} a \\ 1 \end{pmatrix}$$
 and  $h = \begin{pmatrix} b & c \\ 1 \end{pmatrix}$ .

Then,

$$h \neq ghg^{-1} = \begin{pmatrix} b & ac \\ & 1 \end{pmatrix}.$$

Ergo,  $c \neq ac$ , i.e.  $c \notin \ker(a-1)$ . Define

$$h_1 := h^{-1}ghg^{-1} = \begin{pmatrix} 1 & b^{-1}(a-1)c \\ & 1 \end{pmatrix}.$$

We claim that  $h_1$  does not commute with g. This claim implies the theorem, since we can iterate the claim to obtain elements  $h_i$  by  $h_{i+1} := h_i^{-1}gh_ig^{-1}$ . Then,  $h_i$  does not commute with g. But G is nilpotent, therefore  $h_i = 1$  for some large enough i.

We can prove the claim as follows: By some calculation as for h and g, we see, that  $h_1$  and g don't commute iff  $b^{-1}(a-1)c \notin \ker(a-1)$ . This is equivalent to

$$\iff (a-1)b^{-1}(a-1)c \neq 0$$

$$\iff b^{-1}(a-1)^2c \neq 0$$

$$\iff (a-1)^2c \neq 0$$

$$\iff c \notin \ker((a-1)^2).$$

But a being semisimple implies a-1 being semisimple, therefore

$$\ker((a-1)^2) = \ker(a-1).$$

So  $h_1, g$  don't commute iff  $c \in \ker(a-1)$  iff h, g don't commute.

Lecture from 06.04.2020

## 13 Algebraic Geometry

### 13.1 Projective Algebraic Sets

Let V be a finite-dimensional vector space. Then  $\mathcal{G}_m = k^{\times}$  acts on V by scalar multiplication.  $\{0\}$  is a  $\mathcal{G}_m$ -invariant subspace of V. We are interested on the orbits of  $\mathcal{G}_m$  on  $V \setminus \{0\}$ .

Define the **projective space** over V by

$$\mathbb{P}V := \mathcal{G}_m \setminus (V - 0) = (V - 0) / \sim \cong \{ \text{lines in } V \}$$

where for  $a, b \in V - 0$  we set

$$a \sim b : \iff \exists \lambda \in k^{\times} : \lambda a = b.$$

If  $V = k^{n+1}$ , we denote the *n*-dimensional projective space by  $\mathbb{P}^n := \mathbb{P}V$ .

Given  $a = (a_0, a_1, \dots, a_n) \in k^{n+1} - 0$ , we denote the  $\sim$ -class of a by

$$[a] = [a_0, \dots a_n] \in \mathbb{P}^n.$$

Define S to be the graded algebra of polynomials in k

$$S := k[x_0, \dots, x_n] = \bigoplus_{d \ge 0} S_d$$

where each  $S_d$  is the space of homogenous polynomials of degree d, i.e.

$$S_d = \bigoplus_{i_1, \dots, i_d \in \{0, \dots, n\}} k \cdot x_{i_1} \cdots x_{i_d}.$$

We identify k with the space of constant polynomials  $S_0 \subseteq S$ .

We have

$$S_d = \left\{ f \in S \mid f(\lambda X) = \lambda^d f(X) \ \forall \lambda \in k^{\times} \right\}.$$

Given  $f \in S_d$ , the set

$$\left\{a \in k^{n+1} \mid f(a) = 0\right\}$$

is  $\mathcal{G}_m$ -invariant. In other words, given  $a \in \mathbb{P}^n$  and  $f \in S^d$ , it is well-defined to state f(a) = 0 and  $f(a) \neq 0$ .

**Definition 34.** A projective algebraic subset  $X \subseteq \mathbb{P}^n$  is a set of the form

$$X = V(\Sigma) := V_{\mathbb{P}^n}(\Sigma)$$

where  $\Sigma$  is a collection of homogenous elements of S, where

$$V_{\mathbb{P}^n}(\Sigma) := \{ a \in \mathbb{P}^n \mid f(a) = 0 \ \forall f \in \Sigma \}.$$

#### Facts:

• Hilbert's basis theorem states

$$V(\Sigma) = V(f_1, \ldots, f_m)$$

for some finite collection  $f_1, \ldots, f_m \in \Sigma$ .

• It is useful to extend the meaning of "f(a) = 0" for  $a \in \mathbb{P}^n$  to general elements  $f \in S$  by requiring that f(a') = 0 for each  $a' \in [a]$ .

If we write  $f = \sum_{d \geq 0} f_d$ ,  $f_d \in S_d$ , then we have

$$f(a) = 0 \iff f_d(a) = 0 \ \forall d \ge 0.$$

Therefore, we can extend the definition of  $V(\Sigma)$  to any  $\Sigma \subseteq S$ .

- We have  $V(\Sigma) = V((\Sigma))$  where  $(\Sigma)$  is the ideal generated by some finite subset of  $\Sigma$ .
- We call an ideal  $I \subseteq S$  homogenous if it is the direct sum of its d-homogeneous components, i.e.

$$I = \sum_{d>0} I_d$$

where  $I_d = \{ f \in I \mid f \text{ is homogenous of degree } d \}.$ 

I is homogeneous iff it is generated by homogeneous elements.

ullet We have the following Null stellen satz:

For any  $X \subseteq \mathbb{P}^n$ , set I(X) to be the ideal generated by all homogeneous polynomials of S vanishing on X.

Let  $I \subseteq S$  be a homogeneous ideal which is not equal to  $(x_0, \ldots, x_n)$ . Then, we have

$$I(V_{\mathbb{P}^n}(I)) = \sqrt{I}.$$

Example 18 (Anti-example). The second property is necessary:

Set  $I = (x_0, \ldots, x_n)$ . Then  $V_{k^{n+1}}(I) = 0$ . Therefore,  $V_{\mathbb{P}^n}(I) = \emptyset$ . However,

$$I(V_{\mathbb{P}^n}(I)) = S.$$

• The above point induces a bijection between algebraic subsets of  $\mathbb{P}^n$  and radical ideals  $I \subset S$  which are not  $(x_0, \ldots, x_n)$ .

For i = 0, ..., n, set  $D(x_i) := \{a \in \mathbb{P}^n \mid a_i \neq 0\}$ .  $D(x_i)$  is an open set homeomorphic to  $k^n$  by mapping

$$\phi_i: a \longmapsto (\frac{a_0}{a_i}, \dots, \frac{a_{i-1}}{a_i}, \frac{a_{i+1}}{a_i}, \dots, \frac{a_n}{a_i}).$$

The  $D(x_i)$  cover  $\mathbb{P}^n = \bigcup_i D(x_i)$ .

Given a projective algebraic subset  $X \subset \mathbb{P}^n$ , define  $X^{(i)} \subset k^n$  by

$$X^{(i)} := \phi_i(X \cap D(x_i)).$$

If  $X = V_{\mathbb{P}^n}(I)$ , then

$$X^{(i)} = V_{k^n}(I^{(i)})$$

where

$$I^{(i)} := \{ f^{(i)} \mid f \in I \}$$

where  $f^{(i)}(t_1,\ldots,t_n):=f(t_1,\ldots,t_{i-1},1,t_i,\ldots,t_n)$ . Thus,  $X^{(i)}$  is an algebraic subset of  $k^n$ .

**Definition 35.** The **Zariski topology** on  $\mathbb{P}^n$  is defined by setting the set of closed sets to be the set of projective algebraic sets.

#### Facts:

- $D(x_i)$  is open in  $\mathbb{P}^n$ , since  $D(x_i) = \mathbb{P}^n V(x_i)$ .
- The bijections  $D(x_i) \cong k^n$  are homeomorphims.

**Definition 36.** A quasi-projective algebraic set Y is an open subset of a projective algebraic set  $X \subseteq \mathbb{P}^n$ .

**Example 19.** Any algebraic set in  $k^n$  is quasi-projective.

**Definition 37.** A quasi-projective variety is defined as an irreducible quasi-projective algebraic set.

Lemma 43 (Products). Define the Segre-embedding by

$$S^{n,m}: \mathbb{P}^n \times \mathbb{P}^m \longrightarrow \mathbb{P}^{nm+n+m}$$
$$(a,b) \longmapsto [(a_ib_j)_{i,j=0,\dots,n}].$$

We have:

- 1.  $S^{n,m}$  is injective.
- 2.  $S^{n,m}$  has a closed image.

3. 
$$k^n \times k^m \cong D(z_{00}) \cap S^{n,m}(\mathbb{P}^n \times \mathbb{P}^m) = S^{n,m}(D(x_0) \times D(y_0)).$$

**Definition 38.** For quasi-projective algebraic sets  $X \subset \mathbb{P}^n, Y \subset \mathbb{P}^m$ , we define their product by

$$X \times Y := S^{n,m}(X,Y) \subseteq \mathbb{P}^{nm+n+m}$$
.

Then,  $X \times Y$  is a quasi-projective algebraic subset of  $\mathbb{P}^{nm+n+m}$ .

### 13.2 Flag Varieties

Definition 39. We define the Grassmannian manifold by

$$G(n,d) := \{W \subset k^n \mid W \text{ is a } d\text{-dimensional subvectorspace}\}.$$

Then, we have the **Plücker-embedding** by

$$P_d: G(n,d) \longrightarrow \mathbb{P}\left(\bigwedge^d k^n\right) = \mathbb{P}^{\binom{n}{d}}$$
$$W \longmapsto [w_1 \wedge w_d]$$

where  $w_1, \ldots, w_d$  is a basis of W.

**Lemma 44.**  $P_d$  is injective and has a closed image. Therefore, we can see G(n, d) as a projective algebraic set.

**Definition 40.** Let V be a finite-dimensional vector space of dimension n. Set

$$Gr_d(V) := \{d\text{-dim. subspaces of } V\} \cong G(n, d).$$

Define further the flag manifold to be

$$\operatorname{Flag}(V) := \{ \operatorname{complete flags} \mathcal{F} = (0 = V_0 \subseteq V_1 \subseteq \ldots \subseteq V_n = V) \}.$$

Then, we have a map

$$P_v : \operatorname{Flag}(V) \longrightarrow \operatorname{Gr}_0(V) \times \ldots \times \operatorname{Gr}_n(V)$$
  
$$\mathcal{F} \longmapsto (V_0, \ldots, V_n).$$

**Lemma 45.**  $P_V$  has a closed image and is injective.

Thus, we can see Flag(V) as a projective algebraic set.

**Lemma 46.**  $Gr_d(V)$  and Flag(V) are both irreducible, hence projetive alg. varieties. Flag(V) is called the **variety of complete flags**.

### 13.3 Local Rings and Function Fields

**Definition 41.** An **affine variety** is an irreducible algebraic subset of  $k^n$ .

**Definition 42.** If X is an affine variety, then the coordinate ring  $\mathcal{O}(X)$  is a domain. Define the **function field** of X by

$$k(X) := \operatorname{Frac}(\mathcal{O}(X)) := \left\{ \frac{a}{b} \mid a, b \in \mathcal{O}(X), b \neq 0 \right\}.$$

**Definition 43.** Let  $p \in X$ . We define the local ring of  $\mathcal{O}(X)$  at p by

$$\mathcal{O}_{X,p} := \left\{ \frac{a}{b} \mid a \in O(X), 0 \neq b \in O(X), b(p) \neq 0 \right\} \subset k(X).$$

We have an **evaluation** map

$$\operatorname{eval}_p: \mathcal{O}_{X,p} \longrightarrow k$$

$$\frac{a}{b} \longmapsto \frac{a(p)}{b(p)}.$$

**Lemma 47.** Let X be an affine variety. Then

$$\mathcal{O}(X) = \bigcap_{p \in X} \mathcal{O}_{X,p}.$$

**Definition 44.** Let  $X \subset \mathbb{P}^n$  be a projective variety. Denote by  $I_{\mathbb{P}}(X)$  its homogenous vanishing ideal.

Define its **function field** by

$$k(X) := R/M$$
.

where

$$R := \left\{ \frac{f}{g} \mid f, g \in k[x_0, \dots, x_n] \text{ homogen., deg } f = \deg g, g \notin I_{\mathbb{P}}(X) \right\},$$

$$M := \left\{ \frac{f}{g} \in R \mid f \in I_{\mathbb{P}}(X) \right\}.$$

**Lemma 48.** M is a maximal ideal in R and R/M is a field.

**Lemma 49.** If X is a projective variety, then  $X^{(i)} \subset k^n$  is an affine variety. If  $X^{(i)} \neq \emptyset$ , then

$$k(X) \cong k(X^{(i)}).$$

**Definition 45.** Let X be a projective variety. For  $p \in X$ , we define its **local ring** at p by

$$\mathcal{O}_{X,p} := \left\{ \frac{f}{g} \in k(X) \mid g(p) \neq 0 \right\} \subset k(X).$$

**Lemma 50.** For a projective variety X, we have:

- 1. For  $p \in X^{(i)}$ :  $\mathcal{O}_{X,p} \cong \mathcal{O}_{X^{(i)},p}$ .
- 2. For  $p \in X^{(i)} \cap X^{(j)}$ :  $\mathcal{O}_{X^{(j)},p} \cong \mathcal{O}_{X^{(i)},p}$ .

**Definition 46.** If  $X \subset \mathbb{P}^n$  is quasi-projective variety, there is a minimal projective variety  $\overline{X} \subset \mathbb{P}^n$  which contains X as an open subset.

Then, we can set

$$k(X) := k(\overline{X})$$
  
 $\mathcal{O}_{X,p} := \mathcal{O}_{\overline{X},p}.$ 

## 13.4 Regular Functions and Morphisms

**Definition 47.** Let X be quasi-projective variety. Let  $U \subseteq X$  be open. Then, we define the **ring of regular functions** on U by

$$\mathcal{O}(U) := \bigcap_{P \in U} \mathcal{O}_{X,P} \subseteq k(X).$$

**Definition 48.** Let X, Y be two quasi-projective varieties. A map  $f: X \to Y$  is called a **morphism**, if f is continuous and we have

$$f^*\mathcal{O}(U) := \{h \circ f \mid h \in \mathcal{O}(U)\} \subseteq \mathcal{O}(f^{-1}(U)).$$

Remark 8. Let X, Y be affine varieties and  $f: X \to Y$  be a map. Then we have

$$f^*\mathcal{O}(U) \subseteq \mathcal{O}(f^{-1}(U))$$

iff f is given by polynomials.

**Lemma 51.** Let X be a quasi-projective variety and let  $p \in X$ .

Then there is an open neighborhood U of p in X s.t. U is isomorphic (as quasi-projetive varieties) to an affine variety  $U' \subset k^n$ .

*Proof.* Let Y be a projective variety s.t. X lies open in Y. By replacing  $X \hookrightarrow Y$  with  $X^{(i)} \hookrightarrow Y^{(i)}$ , we may assume that X is an open subset of an affine variety Y in  $k^n$ .

Since the sets D(f) give an open basis of  $k^n$ , there is a  $f \in O(Y)$  s.t.

$$p \in D_Y(f) := \{ y \in Y \mid f(y) \neq 0 \} \subset X.$$

Now,  $D_Y(f)$  is affine, because the map

$$D_Y(f) \longrightarrow \left\{ (q, r) \in k^{n+1} \mid q \in Y, f(q)r = 1 \right\}$$
$$q \longmapsto \left( q, \frac{1}{f(q)} \right)$$

is an isomorphism of quasi-projective varieties.

#### 13.5 Dimensions

**Definition 49.** Let X be a quasi-projective variety. We define its **dimension** as the transcendency degree of its function field, i.e.

$$\dim(X) := \operatorname{tr.-deg}_k(k(X)).$$

Remark 9. If X is affine, then

$$\dim(X) = \operatorname{tr.-deg}_{k}(k(X))$$

$$= \dim_{\operatorname{Krull}}(\mathcal{O}(X))$$

$$= \sup \{ n \in \mathbb{N}_{0} \mid P_{0} \subsetneq P_{1} \subsetneq \dots P_{n}, P_{i} \text{ prime in } \mathcal{O}(X) \}$$

$$= \sup \{ n \in \mathbb{N}_{0} \mid Z_{n} \subsetneq \dots Z_{0}, Z_{i} \text{ closed, irreducible in } X \}$$

Remark 10. If  $U \subset X$  is open, then k(U) = k(X) and  $\dim(U) = \dim(X)$ .

**Lemma 52.** Let  $\phi: X \to Y$  be a surjective morphism of quasi-projective varieties. Then,

$$\dim X \ge \dim Y$$
.

*Proof.*  $\phi$  induces an inclusion

$$\phi^* : k(Y) \longrightarrow k(X)$$
$$[(U_i, \alpha_i)_i] \longmapsto [(\phi^{-1}(U_i), \alpha_i \circ \phi)].$$

This map is indeed injective, since  $\phi$  is surjective. Therefore, the claim follows.  $\square$ 

**Lemma 53.** Let X be a quasi-projective variety and Y a proper, closed subvariety. Then,

$$\dim(Y) < \dim(X)$$
.

*Proof.* By going from X to its closure  $\widetilde{X}$  and from there to  $\widetilde{X}^{(i)}$ , we can assume that X is affine.

Then,  $I_X(Y)$  is a non-trivial prime ideal in  $\mathcal{O}(X)$ . Therefore, we have

$$\dim_{\mathrm{Krull}}(\mathcal{O}(Y)) = \dim_{\mathrm{Krull}}(\mathcal{O}(X)/I_X(Y)) \le \dim_{\mathrm{Krull}}(\mathcal{O}(X)),$$

since A is a finitely generated k-algebra and a domain.

**Lemma 54.** Let X be an affine variety and  $f \in \mathcal{O}(X)$  be non-zero.

Then, the set

$$V_X(f) := \{ p \in X \mid f(p) = 0 \}$$

is a proper, closed subset of X and we can decompose it into irreducible components

$$V_X(f) = Z_1 \cup \ldots \cup Z_l$$
.

For each of those  $Z_i$ , we have

$$\dim(Z_i) = \dim(X) - 1.$$

*Proof.* The  $Z_i$  correspond to minimal prime ideals  $P_i$  in  $\mathcal{O}(X)$  which contain (f). Since, they are minimal, we have

$$height(P_i) = 1.$$

**Lemma 55.** Let X be an quasi-projective algebraic set. Then – as in the affine case – we may write

$$X = Z_1 \cup \ldots \cup Z_l$$

where each  $Z_i \subseteq X$  is an **irreducible component**, i.e. a maximal closed irreducible subset

We then define

$$\dim(X) := \max_{i} \dim(Z_{i}).$$

**Lemma 56.** Let  $\phi: X \xrightarrow{Y}$  be a morphism of quasi-projective varieties. Further, let  $\phi$  be **dominant**, i.e.,  $Img\phi$  is dense.

Then, for all  $p \in Img(\phi)$ , we have the following for the fiber of  $\phi$  along p:

$$\dim(\phi^{-1}(p)) \ge \dim(X) - \dim(Y).$$

### 13.6 Images of Morphisms

**Lemma 57.** Let Y be a quasi-projective set. Then for each  $p \in Y$ , there is an open, affine neighborhood  $Y_0 \subset Y$  which contains Y.

*Proof.* Denote by  $\overline{Y}$  the algebraic closure of Y in  $\mathbb{P}^n$ . Assume that  $p_i \neq 0$ . Then, the affine sets  $Y^{(i)} = Y \cap D(x_i)$  and  $\overline{Y}^{(i)} = \overline{Y} \cap D(x_i)$  lie dense in Y and  $\overline{Y}$ .

Now,  $Y^{(i)}$  is open in  $\overline{Y}^{(i)}$ . Since the  $D_{\overline{Y}^{(i)}}(f)$ ,  $f \in \mathcal{O}(\overline{Y}^{(i)})$ , give a basis of the topology of  $\overline{Y}^{(i)}$ , there is an  $f \in \mathcal{O}(\overline{Y}^{(i)})$  s.t.

$$p \in D_{\overline{Y}^{(i)}}(f) \subseteq Y^{(i)} \subset Y.$$

The neighborhood  $D_{\overline{V}^{(i)}}(f)$  is, in particular, affine.

**Lemma 58.** Let Y be a quasi-projective algebraic set. Then the diagonal

$$\Delta Y := \{ (y, y) \mid y \in Y \}$$

is closed in  $Y \times Y$ .

*Proof.* If we cover Y by affine open subsets, then, we can reduce the claim to the case, where Y is affine, i.e. closed in  $k^n$ .

Then,  $\Delta Y = (Y \times Y) \cap \Delta k^n \subset k^n \times k^n$ . Since  $Y \times Y$  is algebraic, it suffices to show that  $\Delta k^n$  is algebraic. And, indeed,

$$\Delta k^n = \{(x, y) \mid x - y = 0\}.$$

**Theorem 19** (Thm2). Let X be a projective variety and Y be a quasi-projective variety. Then, the projection

$$\pi_{Y}: X \times Y \longrightarrow Y$$

is **closed**, i.e.  $\pi_Y(Z)$  is closed for each  $Z \subseteq X \times Y$  closed.

*Proof.* Since  $X \hookrightarrow \mathbb{P}^n$  is a closed map (since X is closed in  $\mathbb{P}^n$ ), it suffices to show the claim for  $X = \mathbb{P}^n$ .

Actually, at this point, we are done, since  $\mathbb{P}^n$  with the Zariski-topology is topologically quasi-compact.

**Theorem 20** (Thm1). Let X be a projective variety and Y be a quasi-projective variety. Then, for each morphism  $\phi: X \to Y$ , the image  $\phi(X)$  is closed in Y.

*Proof.* First, we show that

$$\Gamma := \{(x, y) \in X \times Y \mid \phi(x) = y\}$$

is closed in  $X \times Y$ . In fact, we have

$$\Gamma = (\phi \times 1)^{-1}(\Delta Y)$$

where  $\Delta Y \subseteq Y \times Y$  is closed.

Now, we can consider the chain

$$X \xrightarrow{\operatorname{Id} \times \phi} X \times Y \xrightarrow{\pi_Y} Y.$$

We have  $\phi(X) = \pi_Y(\Gamma)$ . Since  $\pi_Y$  and  $\Gamma$  are closed, the claim follows.

**Example 20.** 1. The condition that X is a *projective* variety is necessary. Consider

$$\pi_x : \{(x,y) \mid xy = 1\} \longrightarrow k.$$

The image  $k^{\times} = \pi_x(\{(x,y) \mid xy = 1\})$  is not closed in k.

2. Let  $Y = k \subset_o \mathbb{P}^1$ . Then any morphism  $\phi: X \to k$  is constant.

This is, because  $\phi(X)$  must be closed in  $\mathbb{P}^1$ , ergo a finite set. Now, this finite set cannot contain multiple elements. Otherwise, X would not be irreducible.

**Corollary 9.** Let X be a projective variety and Y be an affine variety. Then, any morphism  $X \to Y$  is constant.

*Proof.* We have the chain

$$X \longrightarrow Y \longrightarrow k^m \xrightarrow{\pi_i} k.$$

For each  $\pi_i$  this chain must be constant.

**Theorem 21** (Thm3). Let  $\phi: X \to Y$  be a morphism of quasi-projective varieties. Assume that  $\phi$  is **dominant**, i.e.  $\phi(X)$  is dense in Y.

Then,  $\phi(X)$  contains a nonempty open (hence dense) subset of Y.

Corollary 10. Let  $\phi: G \to H$  be a morphism of algebraic groups. Then,  $\phi(G)$  is closed.

*Proof.* Since G can be reduced to finite many irreducible components and since  $\phi(G) = \bigcup_i \phi(g_i)\phi(G^o)$ , it suffices to show the claim in the case where  $G = G^o$  is irreducible.

Set  $Y = \overline{\phi(G)}$ . Y is irreducible and closed. Further, Y is a subgroup of H.

We are finished, if we can show  $\phi(G) = \overline{\phi(G)}$ .

By the previous theorem,  $\phi(G)$  contains a nonempty open subset U of Y, hence  $\phi(G)$  is dense in Y. Now, assume there are any  $h \in Y - \phi(G)$ . The map  $y \mapsto hy$  is an isomorphism, hence  $h\phi(G)$  lies dense in Y. Ergo

$$\phi(G) \cap (h\phi(G)) \neq \emptyset.$$

Take  $u_1, u_2 \in \phi(G)$  s.t.

$$u_1 = hu_2.$$

Then, it follow  $h = u_1 u_2^{-1} \in \phi(G)$ . A contradiction.

## 13.7 Borel's Fixed Point Theorem (special case)

**Theorem 22.** Let G be a connected solvable algebraic subgroup of GL(V), where V is a finite-dimensional non-trivial vector space.

Then, G acts algebraically on  $\mathbb{P}(V)$ .

Let  $X \subseteq be$  a non-empty, closed G-stable subset. Then, G has a fixed point in X.

*Proof.* We prove this by an induction on  $n = \dim(V)$ :

- n = 1: In this case,  $\mathbb{P}(V)$  contains only one element.
- n=2:

We have  $\mathbb{P}V \cong \mathbb{P}^1$ . If  $X = \mathbb{P}(V)$ , then there is a complete invariant flag  $0 \subset \langle v \rangle \subset V$  which is G-stable.

Then, [v] is fixed by G.

If X is finite, let  $x \in X$ . Then, G.x is a connected subset of X, hence  $G.x = \{x\}$ .

•  $n \geq 3$ : Take again a complete G-stable flag  $0 \subset \langle v \rangle \subset \ldots \subset V$ . If  $[v] \in X$ , we are done.

Otherwise, consider the morphism

$$\phi: X \longrightarrow \mathbb{P}(V/\langle v \rangle).$$

Since  $\langle v \rangle$  is G-invariant, G acts on  $\mathbb{P}(V/\langle v \rangle)$  and  $\phi$  is G-equivariant.

The image  $\phi(X)$  is closed by a theorem in the preceding subsection. By the induction hypothesis, there is a fixed point  $[w + \langle v \rangle] \in \phi(X) \subseteq \mathbb{P}(V/\langle v \rangle)$ .

In particular,  $[w + \langle v \rangle]$  has a preimage [w] in X. Consider the subset

$$W:=\langle w,v\rangle\subseteq V.$$

W is G-stable and we have  $\mathbb{P}W \cap X \neq \emptyset$ . Since  $\mathbb{P}W \cap X$  is closed in  $\mathbb{P}W \cong \mathbb{P}^2$ , it follows from a previous case that there is a G-fixed point in  $\mathbb{P}W \cap X$ .

#### 13.8 Orbits

**Definition 50.** Let G be an algebraic group and Y a quasi-projective variety. An **action**  $G \curvearrowright Y$  is an action described by a morhism<sup>2</sup>

$$\phi: G \times Y \longrightarrow Y$$
.

**Lemma 59.** Let G be an algebraic group which acts on a quasi-projective algebraic set Y. For an orbit  $O \subset Y$ , we have that O is open in  $\overline{O}$ .

*Proof.* Let  $G_i$  be an irreducible component of G. For a point  $p \in O$ , the map

$$G_i \longrightarrow \overline{G_i.p}$$
  
 $g \longmapsto g.p$ 

is dominant. Ergo,  $G_i.p$  contains a nonempty open subset of  $\overline{G_i.p}$ . Ergo, the set O = G.p contains a nonempty open subset U of  $\overline{O} = \overline{G.p}$ .

Now, for  $q \in O$ , there is some isomorphism  $g \in G$  s.t.  $q \in q.U$ . Ergo, O is open.

**Definition 51.** If O is a G-orbit in a quasi-projective variety Y, we can consider it to be a quasi-projective set. Therefore, the notion of the dimension of an orbit O is well-defined.

**Lemma 60** (Minimal Orbit Lemma). Let G be an algebraic group. Let Y be a quasi-projective variety s.t. Y is projective or affine.

Let O be a G-orbit in Y s.t. the dimension of O is minimal among all G-orbits in Y.

Then, O is closed.

*Proof.* Since the action of an element of G does not change the dimension of a quasi-projective set, we can reduce the claim to the case that G is connected.

Then, O is irreducible. Further  $\overline{O}$  is reduced and, because of the previous lemma,  $\overline{O} - O$  is closed. It is easy to see, that G operates on  $\overline{O} - O$ .

Let Z be an irreducible component of  $\overline{O} - O$ . Since Z is a proper closed subset of  $\overline{O}$ , we have

$$\dim(Z) < \dim(\overline{O}) = \dim(O).$$

Since O is dimensionally minimal, we must have  $Z = \emptyset$ . Ergo,  $O = \overline{O}$ .

Corollary 11. Let G be an algebraic group. Let Y be a quasi-projective variety s.t. Y is projective or affine.

Then G has a closed orbit in Y.

<sup>&</sup>lt;sup>2</sup>If G is connected,  $\phi$  shall be a morphism of quasi-projective varieties. Otherwise, we just require that  $G^o \times Y \to Y$  is a morphism of quasi-projective varieties.

## 13.9 Borel's Fixed Point Theorem (General Case)

**Theorem 23.** Let G be a connected solvable algebraic group which acts on a projective variety X.

Then, there exists a G-fixed point in X.

*Proof.* Since orbits of minimal dimensions are closed, we can replace X by a G-orbit. That is, we can assume that G acts transitively on X.

For  $p \in X$ , the G-stabilizer set

$$Stab_G(p) = \{ g \in G \mid g.p = p \}$$

is a closed subgroup in G, since it is the preimage of p under the continuous map  $g \mapsto g.p.$ 

We showed earlier, that there exist a finite-dimensional representation  $\rho: G \to \mathsf{GL}(V)$  with a one-dimensional subspace  $L \subset V$  s.t.

$$G_p = \{ g \in G \mid gL = L \} .$$

Let  $q = [L] \in \mathbb{P}(V)$ . Then G operates on  $\mathbb{P}V$  and

$$G_q := \operatorname{Stab}_G(q) = \{ g \in G \mid g.q = q \} = G_p.$$

Now, define

$$Y := G.q \subset \mathbb{P}V$$
  
$$Z := G.(p,q) \subset X \times \mathbb{P}V.$$

Y and Z are quasi-projective varieties, since G is connected. We then have a G-equivariant diagram of quasi-projective varieties:

$$X \Longleftarrow Z \xrightarrow{\pi} Y$$

via

$$X \longleftarrow X \times \mathbb{P}(V) \stackrel{\pi}{\longrightarrow} \mathbb{P}(V).$$

Since X is projective,  $\pi$  is closed. Since  $G_p = G_q$ , the maps are bijective. Since all maps are bijective an G-equivariant, we need only to show that Y has a fixed point.

Since  $\pi$  is closed, the existence of a G-fixed point Y follows by the closedness of Z, because of Borel's special fixed point theorem.

The closedness of Z in  $X \times \mathbb{P}(V)$  follows, if we can show that Z is an orbit of minimal dimension in  $X \times \mathbb{P}(V)$ . Indeed, we have.

• If  $O \subset X \times \mathbb{P}(V)$  is a G-orbit, the projection  $O \to X$  is G-equivariant and surjective, because X is a G-orbit. Since X,Y are quasi-procetive varieties, it then follows

$$\dim(X) \le \dim(O)$$
.

• The map  $X \to Z$  is bijective, hence

$$\dim(*) \ge \dim(Z) - \dim(X).$$

Ergo

$$\dim(Z) \leq \dim(X).$$

### 13.10 Generic Openness

**Proposition 4.** Let  $\phi: X \to Y$  be a dominant morphism of quasi-projective varieties.

Then, there is an open nonempty set  $U \subset X$ , s.t.,  $\phi_{|U}$  is open, that is, it maps open sets to open sets.

Corollary 12. Let G be a connected algebraic group.

Then,  $[G,G] = \langle aba^{-1}b^{-1} \mid a,b \in G \rangle$  is a closed subgroup of G.

*Proof.* For  $a, b \in G$ , set

$$[a, b] = aba^{-1}b^{-1}.$$

For  $n \geq 0$ , define

$$\phi_n: G^{2n} \longrightarrow G$$

$$(a_1, b_1, \dots, a_n, b_n) \longmapsto [a_1, b_1] \cdots [a_n, b_n].$$

Let  $Z_n := \overline{\mathsf{Img}\phi_n}$ . Then, we have an ascending chain

$$Z_1 \subseteq Z_2 \subseteq \dots$$

Each  $Z_n$  is closed and irreducible, because  $G^{2n}$  is connected.

Then, at some point the chains of  $Z_i$ 's must become stationary, because  $\dim(G) < \infty$ , because  $\mathcal{O}(G)$  is a fintely generated k-algebra.

Let N be s.t.

$$Z_N = Z_{N+1} = \dots$$

Since  $[G, G] = \bigcup_n \mathsf{Img}(\phi_n)$ , we have then

$$Z_N = \bigcup_n Z_n = \overline{[G, G]}.$$

Since  $\phi_n:G^{2n}\to Z_n$  is dominant and since  $G^{2n}$  and  $Z_n$  are quasi-projective varieties,  $\text{Img}\phi_n=[G,G]$  contains a nonempty open subset U.

Now, let  $h \in \overline{[G,G]}$ . Then,  $hU \cap U \neq \emptyset$ , since both are nonempty open and  $\overline{[G,G]}$  is irreducible. Therefore, we have  $u_1, u_2 \in U \subseteq [G,G]$  with

$$hu_1=u_2.$$

Ergo, h lies in [G, G].

## 14 Homogenous Spaces

**Definition 52.** Let G be a connected algebraic group. A homogenous space for G is a quasi-projective variety X equipped with a **transitive** action  $G \curvearrowright X$ .

Let G be now disconnected. Then, we only demand that X is a finite union of irreducible components. Still G needs to act transitively on X.

A morphism of G-homogenous spaces is a **morphism** of quasi-projective varieties/sets which is G-equivariant.

Corollary 13. If  $\phi: X \to Y$  is a morphism of G-homogenous spaces, then  $\phi$  is an open map.

*Proof.* It suffices, if we show this statement for an irreducible X. Note, that  $\phi$  must be surjective, ergo dominant.

By a previous proposition, X must contain an open nonempty subset U s.t.  $\phi_{|U}$  is an open map. Since G acts transitively on X, we can cover X with such open sets gU.

**Proposition 5.** Let G be an algebraic group and H a closed subgroup. Then, there is a homogenous space X for G and a point  $p \in X$  s.t.

$$H = \operatorname{Stab}_G(p)$$

and the map

$$G/H \longrightarrow X$$
 $qH \longmapsto q.p$ 

is a bijection.

*Proof.* There is a faithful representation  $\rho: G \to \mathsf{GL}(V)$  with V finite-dimensional s.t. there is a one-dimensional subspace  $L \subset V$  with

$$H = \left\{g \in G \mid gL = L\right\}.$$

Set  $p := [L] \in \mathbb{P}(V)$ . Then, we can set

$$X := G.p.$$

Then, X is an orbit of G, ergo a quasi-projective set/variety.

## 14.1 Quotients

**Definition 53.** A (left) **quotient** of an algebraic group G by a closed group H is a pair  $(X, \rho)$  s.t.

- (1) X is a quasi-projective variety.
- (2)  $\rho: G \to X$  is a morphism with

$$\rho(hg) = \rho(g)$$

for all  $h \in H, g \in G$ .

Further, we demand that a quotient is **initial** in the category of all objects satisfying the above conditions. I.e. for each pair  $(X', \rho')$  there must be a unique morphism  $\phi$  s.t. the following diagram commutes:

$$G \downarrow^{\rho} \stackrel{\rho'}{\searrow} X \xrightarrow{----} X'$$

Remark 11. Set theoretically, we just have X = G/H.

**Lemma 61.** Let  $(X, \rho)$  satisfy conditions (1) and (2) from the above definition. Suppose further

- (i)  $\{fibers\ of\ \rho\} = \{left\ H\text{-}cosets\ of\ G\},\$
- (ii) X is a G-homogenous space and  $\rho$  is G-equivariant,
- (iii) for each open  $U \subset X$  the pullback map

$$\rho^*: \mathcal{O}(U) \longrightarrow \mathcal{O}(\rho^{-1}(U))$$
$$f \longmapsto f \circ \rho$$

defines an isomorphism

$$\mathcal{O}(U) \cong \left\{ f \in \mathcal{O}(\rho^{-1}U) \mid f(Hg) = f(g) \right\} =: \mathcal{O}(\rho^{-1}(U))^{H}.$$

Then,  $(X, \rho)$  is a quotient of G by H.

*Proof.* We have to show that  $(X, \rho)$  is initial. Let  $(X', \rho')$  be another object satisfying (1), (2). Because of (i), we have a unique settheoretic map  $\phi: X \to X'$  s.t. the diagram

$$G \downarrow^{\rho} \stackrel{\rho'}{\searrow} X \xrightarrow{----} X'$$

commutes. We need to check that  $\phi$  is a morphism:

- $\phi$  is continuous, since  $\rho'$  is continuous and  $\rho$  is open (since X is a G-homogenous space). Therefore,  $\phi = \rho' \circ \rho^{-1}$  is continuous.
- Let  $U' \subset X'$  be open. We need to show

$$\phi^* \mathcal{O}(U') \subseteq \mathcal{O}(\phi^{-1}U').$$

Let  $f \in \mathcal{O}(U')$  and set  $U := \phi^{-1}U'$ . Since  $\rho'$  is a morphism, we have

$${\rho'}^*(f) \in \mathcal{O}({\rho'}^{-1}U').$$

Because of (iii), we have

$$\mathcal{O}(U) \cong \mathcal{O}(\rho^{-1}U)^H$$
.

Therefore, it suffices to show

$${\rho'}^*(f) \in \mathcal{O}(\rho^{-1}U)^H.$$

And, indeed

$$f\circ\rho'(hg)=f\circ\rho'(g)$$

for  $g \in G, h \in H$ .

**Lemma 62.** Suppose chark = 0. Any injective morphism of quasi-projective varieties with dense image is **birational**, i.e., induces, via pullback an isomorphism

$$k(X) \cong k(Y)$$
.

**Theorem 24.** Let G be an algebraic group with a closed subgroup H.

• A quotient  $(X, \rho)$  exists and X is a homogenous space for G s.t.  $H = \operatorname{Stab}_G(p)$  for some  $p \in X$ .

• If  $\operatorname{char}(k) = 0$ , then each G-homogenous space X together with a point  $p \in X$  s.t.  $H = \operatorname{Stab}_G(p)$  gives a quotient of G by H, where  $\rho(g) = g.p.$ 

*Proof.* We only prove the theorem for the case  $\operatorname{char} k = 0$ . We construct X as in a previous proposition, i.e. X = G.p for a point  $p \in \mathbb{P}(V)$  s.t.  $H = \operatorname{Stab}_G(p)$ .

It is then clear, that conditions (i) and (ii) of the previous lemma are met. We only need to show

$$\rho^* \mathcal{O}(U) = \mathcal{O}(\rho^{-1}U)^H.$$

Naturally,  $\rho^*\mathcal{O}(U)$  is contained in  $\mathcal{O}(\rho^{-1}U)^H$ .

Let  $f \in \mathcal{O}(\rho^{-1}U)^H$ . W.l.o.g., we can assume that U is affine. Consider the diagram

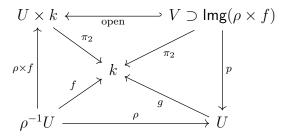
$$\rho^{-1}U \xrightarrow{f} k$$

$$\downarrow^{\rho} \qquad g$$

$$U$$

 $g := f \circ \rho^{-1}$  is well-defined, because f is H-invariant. We need to show, that g is regular, i.e.  $g \in \mathcal{O}(\rho^{-1}U)$ .

We can blow up the diagram as follows:



Then V is a quasi-projective variety and p is dominant and injective, hence birational. Therefore, we have

$$k(U) \cong k(V).$$

Since X is homogenous space for G, it is smooth. On a smooth quasi-projective variety, every rational function that fails to be regular must have a pole.

In particular, we do have  $\pi_2 \in \mathcal{O}(V)$  and therefore

$$g = p^*(\pi_2) \in \mathcal{O}(U).$$

**Example 21** (Non-Example). The proof of the theorem does not hold, if char(k) = p > 0.

Consider,

$$G := \mathcal{G}_a$$
$$H := 1$$
$$V = k^2$$

and

$$G \longrightarrow \mathsf{GL}(V)$$
$$x \longmapsto \begin{pmatrix} 1 & x^{p^n} \\ & 1 \end{pmatrix}$$

for some  $n \in \mathbb{N}_0$ .

For  $q = [1, 0] \in \mathbb{P}(V)$ , we have

$$X := G.q = \{[1, x^{p^n}] \mid x \in k\} \cong k.$$

Define  $\rho$  by by

$$\rho: G \longrightarrow X$$
 
$$g \longmapsto g.q.$$

Then,  $(\rho, X)$  fulfills the conditions of the above theorem, but it is NOT a quotient for  $n \ge 1$ .

Indeed, for  $n_1 \geq n_2$ , we have non-isomorphic maps

$$X_{n_2} \longrightarrow X_{n_1}$$
$$x \longmapsto x^{p^{n_1 - n_2}}.$$

# 15 Borel and Parabolic Groups

Let G be a connected algebraic group.

**Definition 54.** A subgroup  $B \subset G$  is called **Borel**, if B is maximal among all connected solvable closed subgroups.

Since  $\dim(G) < \infty$ , Borel subgroups exist.

**Definition 55.** A subgroup  $P \subset G$  is called **parabolic**, if the quasi-projective variety G/P is **projective**, i.e. closed in  $\mathbb{P}^n$ .

**Lemma 63.** Let G connected, P parabolic, B Borel. Then, P contains some conjugate of B.

*Proof.* B acts on the projective variety G/P. According to Borel's fixed point theorem, there is a fixed point  $gP \in G/P$  s.t.

$$bqP = qP$$

for each  $b \in B$ . Ergo

$$g^{-1}bg \in P$$

for each  $b \in B$ .

**Theorem 25.** Let G be connected.

Any two Borel subgroups are conjugate.

*Proof.* Take a faithful representation  $G \hookrightarrow \mathsf{GL}(V)$  with a finite-dimensional V. Let  $\mathcal{F} = \mathrm{Flag}(V)$  be the flag variety of V.

Choose  $F \in \mathcal{F}$  s.t. the orbit G.F has a minimal dimension. Then, G.F is closed, hence projective. If we set

$$H := \operatorname{Stab}_G(F),$$

then H is parabolic. Therefore, each Borel group B has a conjugate in H. Since B is connected, its conjugate is contained in an irreducible component  $H^o$  of the neutral element.

Since H is solvable<sup>3</sup>,  $H^o$  is a connected, solvable, closed subrgoup. Ergo  $H^o$  is the conjugate of B.

**Proposition 6.** Let G be connected. Then, each Borel group is parabolic.

 $<sup>^{3}</sup>$ Why is H solvable?

*Proof.* Let B be a Borel subgroup of G.

Take a representation  $G \to \mathsf{GL}(V)$  with a finite-dimensional V s.t. there is a one-dimensional  $L \subseteq V$  s.t.

$$B = \{ g \in G \mid gL = L \} .$$

B acts on V/L. Since B is connected and solvable there must be a complete B-invariant flag  $\overline{F}$  in V/L. We can lift  $\overline{F}$  to a complete flag  $F = (L = V_1 \subset \ldots \subset V_n)$  of V. Then, it is easy to see

$$B = \operatorname{Stab}_G(F)$$
.

Choose  $F' \in \text{Flag}(V)$  s.t. the orbit G.F' has a minimal dimension. Then, G.F' is closed, hence projective. If we set

$$H := \operatorname{Stab}_G(F'),$$

we have (by conjugating)

$$B = H^o$$
.

Consider the map

$$G/B = G/H^o \rightarrow G/H$$
.

This map has finite fibers, because  $[H:B] < \infty$ . Ergo

$$\dim(G/B) \le \dim(G/H).$$

Ergo, G/B is of minimal dimension, hence closed. Hence, B is parabolic.

**Corollary 14.** Let P be an algebraic subgroup of a connected algebraic group G. Then, P is parabolic iff it contains a Borel group.

*Proof.* The direction to the right is known.

Let P contain a Borel group B. Consider the maps

$$G/B \twoheadrightarrow G/P \hookrightarrow \mathbb{P}^n$$
.

Since B is parabolic, G/B is closed. Therefore, the morphism  $G/B \to \mathbb{P}^n$  has a closed image. But its image is exactly G/P. Ergo, P is parabolic.

**Corollary 15.** Let B be an algebraic subgroup of a connected algebraic group G. Then, B is Borel iff it is a minimal parabolic subgroup.

**Example 22.** If  $G = GL_n(k)$ , then

$$B = \left\{ \begin{pmatrix} * & \dots & * \\ 0 & \ddots & \vdots \\ 0 & 0 & * \end{pmatrix} \right\}$$

is a Borel group.

Let  $n = n1 + \ldots + n_r$  and set

$$P_{(n_1,\dots,n_r)} := \left\{ \begin{pmatrix} \mathsf{GL}_{n_1}(k) & * & * \\ 0 & \ddots & * \\ 0 & 0 & \mathsf{GL}_{n_r}(k) \end{pmatrix} \right\}.$$

Each  $P_{(n_1,...,n_r)}$  is closed, since it is the stabilizer of an incomplete flag. In fact, each parabolic group is conjugate to one of those  $P_{(n_1,...,n_r)}$ . If  $P \neq G$  is parabolic, P is called a **proper** parabolic subgroup.

**Example 23.** •  $G = \mathsf{SL}_n(k)$ : In this case parabolic groups are like in the above case, but inside of  $\mathsf{SL}_n(k)$ .

•  $G = \mathsf{SO}_n(k)$ : Then, we can embed G in  $\mathsf{GL}(V)$ . Let  $\langle \cdot \mid \cdot \rangle$  be (any?) symmetric bilinear form.

A subspace  $W \subset V$  is called **isotopic** iff  $\langle \cdot | \cdot \rangle_{|W \times W} \equiv 0$ .

Then, we have the equivalence

{Borel Group  $B \subset G$ }  $\Leftrightarrow$  {maximal isotropic flags  $\mathcal{F}$  in V}.

•  $G = \mathsf{SP}_{2n}$ : The symplectic group is defined by

$$\mathsf{SP}_{2n} := \left\{ A \in \mathsf{GL}_{2n}(k) \mid A^T \cdot \begin{pmatrix} 1 \\ -1 \end{pmatrix} \cdot A = \begin{pmatrix} 1 \\ -1 \end{pmatrix} \right\}.$$

Embed again G in  $\mathsf{GL}(V)$ .

Let  $\langle \cdot | \cdot \rangle$  be a **symplectic** form on V, i.e.,  $\langle \cdot | \cdot \rangle$  is bilinear, alternating  $(\langle v | v \rangle = 0)$  and nonsingular, i.e.  $\langle v | _{-} \rangle \equiv 0 \iff v = 0$ .

Then, again, we have the equivalence

{Borel Group  $B \subset G$ }  $\Leftrightarrow$  {maximal isotropic flags  $\mathcal{F}$  in V}.

Further, we can take a basis  $e_1, \ldots, e_n, f_1, \ldots, f_n$  of V with

$$\langle e_i \mid e_j \rangle = \langle f_i \mid f_j \rangle = 0$$
  
 $\langle e_i \mid f_j \rangle = \delta_{i,j}.$ 

Then, one can for example set

$$V_j = \operatorname{span}\{e_1, \dots, e_j\}$$

to get a flag  $V_0 \subset V_1 \subset \dots$ 

Vice versa, one can convert each maximal isotropic flag to such a symplectic basis.

#### 15.1 Radicals

Let G be a connected algebraic group.

**Definition 56.** The **radical** R(G) of G is defined as the intersection of all Borel subgroups of G i.e.

$$R(G) := \bigcap_{B \subset G \text{ Borel}} B.$$

The **unipotent radical** is defined by

$$R_u(G) := R(G)_u = \{ \text{unipotent elements of } R(G) \}.$$

**Lemma 64.** Let G be a connected algebraic group.

R(G) is the largest connected solvable normal algebraic subgroup of G.

*Proof.* It is clear that R(G) is connected, solvable, normal and algebraic.

We need to show that each connected solvable normal algebraic subgroup H of G is contained in R(G).

Clearly, H is contained in one Borel group B. Since H is normal, we have for each  $g \in G$ 

$$H = gHg^{-1} \subset gBg^{-1}.$$

Since  $gBg^{-1}$  is a Borel group and all Borel groups are conjugated, it follows H is contained in each Borel group, ergo it is contained in R(G).

**Definition 57.** We call G semisimple iff R(G) = 1.

We call G reductive iff  $R_u(G) = 1$  (iff R(G) is a torus).

**Example 24.** • Let  $n \ge 1$  and  $G = \mathsf{GL}_n(k)$ . G is reductive, but not semisimple:

G has two Borel groups:

$$B = \left\{ \begin{pmatrix} * & * \\ & * \end{pmatrix} \right\}.$$

$$B' = \left\{ \begin{pmatrix} * \\ * & * \end{pmatrix} \right\}.$$

Ergo, we have for the radical

$$R(G) \subset B \cap B' = \left\{ \begin{pmatrix} * \\ * \end{pmatrix} \right\} =: T.$$

But, now we have

$$\left\{t \in T \mid gtg^{-1} \in T \ \forall g \in G\right\} = k^{\times}.$$

Ergo,

$$R(G) = k^{\times}.$$

Let  $G = \mathsf{SL}_n(k)$ . G is semisimple and reductive: As above, one can compute

$$Z = G \cap \left\{ \begin{pmatrix} \lambda & & \\ & \ddots & \\ & & \lambda \end{pmatrix} \right\}.$$

However, Z is not connected. In particular

$$R(G) = Z^o = 1.$$

 $G = \mathcal{G}_m^n$  is a torus: It is easy to see that R(G) = G in this case.

G is solvable (and connected): Trivially, we have then R(G) = G.

G is unipotent: In this case, we know that G is solvable. Further, we even have  $R_u(G) = G$ .

If G is  $SO_n$  or  $SP_{2n}$ , then  $R(G) = R_u(G) = 1$ .

# 16 Reductivity

Let G be a connected algebraic group which acts on an affine variety X.

**Definition 58.** A quotient of X by G is a pair  $(Y, \rho)$  s.t.

- 1. Y is an affine variety
- 2. and  $\rho: X \to Y$  is a morphism which is constant on G-orbits.

Further, we demand that a quotient is initial in the category of all objects which fulfill the above conditions. I.e.

Remark 12. • Such quotients need not to exist.

• Even when such quotients exist, they don't need to describe orbits. I.e.,  $G \setminus X$  must not be related to Y.

**Example 25.** Consider the action of  $G = \mathcal{G}_m$  on  $X = k^1$ . This action has to orbits: the open orbit  $k \setminus \{0\}$  and the closed orbit  $\{0\}$ .

Then the quotient of X by G is given by  $(Y, \rho) = (\{0\}, x \mapsto 0)$ .

Note, if  $f: X \to k$  is regular and constant on G-orbits, then f is constant on X, because  $k \setminus \{0\}$  lies dense in k.

**Definition 59.** Let G be a connected algebraic group.

We call G geometrically reductive if we have for each finite-dimensional representation V of G:

 $\forall v \in V^G \ \exists f: V \to k: \ f \text{ is a homogenous $G$-invariant polynomial s.t. } f(v) \neq 0$ 

where

$$V^G = \{ v \in V \mid g.v = v \ \forall g \in G \}.$$

Remark 13. G is geometrically reductive iff for each affine X on which G operates and for each pair of closed G-invariant disjoint subsets  $W_1, W_2 \subset X$  there is an  $f \in \mathcal{O}(X)^G$  s.t.

$$f_{|W_1} \equiv 1,$$
  
$$f_{|W_2} \equiv 0.$$

Is this easy to see? I only see the backwards direction (take  $X = V, W_1 = 0, W_2 = v$ ).

**Theorem 26.** Let G be a connected algebraic group.

Then, G is reductive iff G is geometrically reductive.

**Theorem 27.** Let G be a connected algebraic group which is geometrically reductive and acts on an affine set X.

Then, there is a quotient  $(Y, \rho)$  of X by G.

Moreover,  $\rho$  induces a bijection

$$\{closed\ G\text{-}orbits\ in\ X\} \Longleftrightarrow Y.$$

**Definition 60.** Let G be a connected algebraic group.

We call G linearly reductive if we have for each finite-dimensional representation V of G:

 $\forall v \in V^G \setminus \{0\} \ \exists f : V \to k : f \text{ is a linear } G\text{-invariant polynomial s.t. } f(v) \neq 0.$ 

Remark 14. Naturally, linear reductivity implies geometrical reductivity. The converse does hold iff chark = 0.

Remark 15.  $\mathsf{GL}_n(k)$  is linear reductive.

Remark 16. G is linear reductive iff every finite-dimensional representation V of G is completely **reducible**, i.e.

$$V = \bigoplus_{i} V_{i}$$

where each  $V_i$  is irreducible.

# 17 Union of Borel Subgroups

**Theorem 28.** Let G be a connected algebraic group. Then,

$$G = \bigcup_{B \ Borel} B.$$

Because of Jordan Decomposition, it is clear that the theorem holds for  $\mathsf{GL}_n(k)$ . We will prove it only for the case  $k = \mathbb{C}$ .

**Lemma 65.** Let k be any (not necessarily algebraically closed) field. Let B be some Borel subgroup.

Then,  $X := \bigcup_{g \in G} gBg^{-1}$  is closed in G.

*Proof.* Our intuition is as follows:

 $gBg^{-1}$  only depends on  $gB \in G/B$ . Since B is Borel, ergo parabolic, G/B is projective, ergo somewhat 'compact'. Then,  $X = \bigcup_{g \in G} gBg^{-1}$  is a union of 'compactly-many' closed sets.

Now, the actual proof works as follows: We want to use that  $G/B \times G \to G$  is a closed map. Consider the chain

$$G \times B \xrightarrow{\phi(g,b)=(g,gbg^{-1})} G \times G \longrightarrow G/B \times G \longrightarrow G.$$

X is the image of the composition  $(g,b) \mapsto gbg^{-1}$ . It therefore suffices to show that the image of

$$\pi \times \operatorname{Id}: G \times G \longrightarrow G/B \times G$$

is closed.

Set

$$Y := (\pi \times \mathrm{Id})(\phi(G \times B)).$$

If we can show, that  $(\pi \times \mathrm{Id})^{-1}(Y)$  is closed, then Y is closed, because  $\pi \times \mathrm{Id}$  is, as a morphism of homogenous spaces, open. However, we have

$$(\pi \times \mathrm{Id})^{-1}(Y) = \mathsf{Img}\phi.$$

Now,  $\mathsf{Img}\phi$  is closed, since morphisms of algebraic groups have closed images.  $\square$ 

Lemma 66. Let  $k = \mathbb{C}$ .

Then,  $X = \bigcup_{g \in G} gBg^{-1}$  is dense in G.

Proof idea. We want to show  $\overleftarrow{X} = G$ .

Since G is connected, it would suffice to show that X contains an Euclidean neighborhood of  $1 \in G$ .

Let  $\mathfrak{g} := \text{Lie}(G)$  be the Lie-algebra of G. A Borel-subalgebra  $\mathfrak{b} \subset \mathfrak{g}$  is a maximal solvable subalgebra.

Then, one can show, that for each Borel-subalgebra  $\mathfrak{b} \subset \mathfrak{g}$  there is a Borel-subgroup  $B \subset G$  s.t.  $\mathfrak{b} = \text{Lie}(B)$ .

Is easy to see, that each  $x \in \mathfrak{g}$  is contained in some Borel-subalgebra, since  $\mathbb{C} \cdot x$  is a solvable subalgebra.

With the two above facts, it follows that X contains a small euclidean neighborhood of 1.

# 18 Splitting Solvable Groups

Let B be a connected solvable algebraic group. (Then, B is trigonalizable.)

Then,  $U := B_u$  is a unipotent normal algebraic subgroup (since  $U = R_u(B)$ , since B = R(B)).

**Lemma 67.** The group B/U is a torus.

*Proof.* We have an injective morphism

$$B \hookrightarrow \left\{ \begin{pmatrix} * & \dots & * \\ & \ddots & \vdots \\ & & * \end{pmatrix} \right\}$$

with

$$U = B \cap \left\{ \begin{pmatrix} 1 & \dots & * \\ & \ddots & \vdots \\ & & 1 \end{pmatrix} \right\}.$$

Therefore, we get an injection

$$B/U \hookrightarrow \left\{ \begin{pmatrix} * & \dots & * \\ & \ddots & \vdots \\ & & * \end{pmatrix} \right\} / \left\{ \begin{pmatrix} 1 & \dots & * \\ & \ddots & \vdots \\ & & 1 \end{pmatrix} \right\} = \left\{ \begin{pmatrix} * & & \\ & \ddots & \\ & & * \end{pmatrix} \right\}.$$

Ergo, B/U is diagonalizable. Since B is connected, B/U is connected, too. It follows that B/U is a torus.

**Theorem 29.** Let B be a connected solvable algebraic group.

Then, there is a torus  $T \subset B$  s.t. the composition

$$T \hookrightarrow B \twoheadrightarrow B/U$$

is an isomorphism.

Before, we can prove the therenm we need some lemmata:

**Lemma 68.** Suppose chark = 0. Let T be a torus.

Then, there is an  $s \in T$  s.t.

$$\overline{\langle s \rangle} = T.$$

s is called the **generator** of T.

Remark 17. The lemma does not hold, if chark > 0.

*Proof.* Recall that we have the following correspondence:

$$\{\text{tori}\} \stackrel{T \mapsto \mathfrak{X}(T)}{\longleftrightarrow} \{\text{f.g. free } \mathbb{Z}\text{-modules}\}.$$

and in particular for each torus T:

So, we have  $\overline{\langle s \rangle}$  iff

$$\chi(s) \neq 1$$

for each  $1 \neq \chi \in \mathfrak{X}(T)$ .

W.l.o.g.  $T = (k^{\times})^n$ . Then

$$\mathfrak{X}(T) = \{ \chi_m \mid m \in \mathbb{Z}^n \}$$

with

$$\chi_m(t_1,\ldots,t_n)=t_1^{m_1}\ldots t_n^{m_n}.$$

We can then pick

$$s = (2, 3, 5, 7, \ldots).$$

**Lemma 69.** If chark = 0, then any bijective morphism of algebraic groups is an isomorphism of algebraic groups.

Remark 18. This does not need to hold for non-zero charateristic. If chark = p, then

$$k \longrightarrow k$$
 $x \longmapsto x^p$ 

is bijective without being isomorphic.

*Proof of Theorem.* We only show the theorem in case chark = 0.

Let B be a connected solvable algebraic group.

We need to show, that there is a torus  $T \subset B$  s.t. the composition

$$T \hookrightarrow B \twoheadrightarrow B/U$$

is an isomorphism where

$$U=B_u$$
.

We know, that B/U is a torus. Take  $s' \in B/U$  s.t.

$$\overline{\langle s' \rangle} = B/U.$$

Take a preimage  $g \in B$  s.t.  $\pi(g) = s'$ .

We can decompose g = su into a semisimple and a unipotent element. We then have

$$\phi(g) = \phi(s) \cdot \phi(u) = \phi(s),$$

since  $\phi(u)$  must be unipotent, ergo trivial.

Set

$$T = \overline{\langle s \rangle}.$$

Since s is semisimple, T must be diagonalizable. Ergo

$$T \cap U = 1$$
.

Ergo, the chain

$$T \hookrightarrow B \twoheadrightarrow B/U$$

must be bijective, hence an isomorphism, since chark = 0.

The theorem gives the structure of a semidirect product of algebraic groups:

$$B = U \rtimes T$$

(where  $T \curvearrowright U$  by conjugation.)

**Definition 61.** Let  $G_1, G_2$  be algebraic groups. Let  $G_2$  act algebraically on  $G_1$  via  $b: G_2 \to \operatorname{Aut}(G_1)$  s.t. the map

$$G_2 \times G_1 \longrightarrow G_1$$
  
 $(g_2, g_1) \longmapsto b(g_2)(g_1)$ 

is a morphism.

Their semidirect group  $G_1 \rtimes_b G_2$  is an algebraic group which is:

- set-theoretically  $G_1 \times G_2$ ,
- group-theoretically the semidirect product  $G_1 \rtimes_b G_2$ . I.e. multiplication works by

$$(g_1, g_2) \cdot (h_1, h_2) = (g_1 \cdot b(g_2)(h_1), g_2h_2).$$

Remark 19. Even if we are given an algebraic group G with closed subgroups  $G_1, G_2$  s.t.

$$G = G_1 \rtimes G_2$$

as abstract groups, it does not need to be the case that

$$G_1 \rtimes G_2 \longrightarrow G$$

is an isomorphism. (However, it is the case, if chark = 0.)

### 18.1 An Aside

Let G be an algebraic group and H a normal algebraic subgroup.

Then, G/H is a quasi-projective variety equipped with a G-action. Ergo, we have an algebraic group structure on G/H.

**Theorem 30.** G/H is an affine algebraic group.

*Proof.* We need to show that G/H is affine.

We showed in a lemma long before, that there is a finite-dimensional representation  $V, \rho$  s.t.

$$H = \ker \rho$$
.

Therefore, we can simply set

$$G/H := \operatorname{Img}(\rho) \subset \operatorname{GL}(V)$$

which is closed as  $\rho$  is a morphism of algebraic groups.

### 18.2 Semisimple Elements of Solvable Groups

**Theorem 31.** Let  $B = U \rtimes T$  as before be a solvable connected algebraic group. Let  $s \in B$  be semisimple. Then s is conjugated to one element in T.

Corollary 16. Let G be a connected algebraic group. Then, every semisimple element of G is contained in some torus.

*Proof.* Let  $s \in G$  be semisimple and choose a Borel group  $B \subset G$  which contains s. B is of the form  $U \rtimes T$ , ergo  $s \in b^{-1}Tb$  for some  $b \in B$ .

Lemma 70.  $Suppose \operatorname{char} k = 0.$ 

(i) Let  $g \in GL_n(k)$  be unipotent and set  $G(g) := \overline{\langle g \rangle}$ . Then, we have the following isomorphism of algebraic groups

$$G(g) = \left\{ g^t \mid t \in k \right\} \cong k$$

where

$$g^{t} := \exp(t \cdot \log(g))$$
$$-\log(1 - X) = \sum_{n=1}^{\infty} \frac{X^{n}}{n}$$
$$\exp(Y) = \sum_{k=0}^{\infty} \frac{Y^{k}}{k!}.$$

- (ii) Any unipotent algebraic group is connected. (This does not hold if chark > 0.)
- (iii) Any unipotent commutative algebraic group is isomorphic to some vector space.

*Proof.* (i) We will not prove this, but the idea is that  $\mathbb{Z}$  is dense in k.

- (ii) Let  $g, h \in G$  be unipotent. Then the subgroups G(g), G(h) are connected and share a common point (e), ergo g, h are contained in the same component.
- (iii) Since all elements commute log gives an isomorphism into an additive group, on which k acts.

Proof of Theorem. We only prove the theorem in case  $\operatorname{char} k = 0$ . Let  $s \in B = U \rtimes T$  be semisimple. Since  $\operatorname{char} k = 0$ , U is connected. We induct on  $\dim(U)$ :

- $\dim(U) = 0$ : In this case U = 1 and  $s \in G = T$ .
- $\dim(U) = 1$ : This is the crucial case.

Write

$$s = ut$$

with  $u \in U$  and  $t \in T$ .

If u and t commute, then ut is a Jordan decomposition and we have u=1, ergo  $s \in T$ .

Assume therefore, that u, t don't commute. We claim:

**Claim:** For each  $h \in sU = Us$ , we have for the *B*-conjugacy class  $C(h) = \{ghg^{-1} \mid g \in B\}$ 

$$C(h) = sU$$
.

The claim implies the theorem, because we then have

$$t = su \in sU = C(s)$$

ergo  $t = gsg^{-1}$ .

#### **Proof of Claim:**

- First note, that B acts by conjugation on Us = sU. This is because G/U is commutative and U is normal. In fact, we have for  $g \in B, u \in U$ 

$$gsug^{-1} = s \cdot (s^{-1}gsug^{-1}) = s \cdot (s^{-1}gsg^{-1}) \cdot u'.$$

Now,  $(s^{-1}gsg^{-1})$  must lie in U because G/U is commutative.

- Since  $\dim(U) = 1$ , we have

$$U = \{ v^k \mid k \in K \} \cong k.$$

 $-h \in sU$  does not commute with u, since - otherwise -s, t would commute with u.

Ergo,  $h \neq u^{-1}hu$ , which means  $C(h) \supseteq \{h, u^{-1}hu\}$  contains at least two different elements.

- Note, that C(h) is a B-orbit and therefore connected and **locally closed** (that is a closed subset of an open subset of G). Since G/U is commutative, we have

$$C(h) \subset sU = hU \cong k$$
.

Now, the only connected, locally closed subset of k are singletons and complements of finite sets.

Since C(h) is not a singleton, we have

$$C(h) = sU - \Sigma$$

for a finite set  $\Sigma$ .

We claim that  $\Sigma$  is empty. Note, that B acts by conjugation on sU and C(h), ergo also on  $\Sigma$ . If we pick  $h' \in \Sigma \subset sU$ , then C(h') must be finite, connected and contain two different elements. This is a contradiction.

•  $\dim(U) \geq 2$ :

We want to reduce this case to the case  $\dim(U) = 1$ . We need therefore, to show a lemma:

**Lemma 71.** Let  $B = U \rtimes T$  as above and suppose again  $\operatorname{char} k = 0$ .

Then, there is an algebraic subgroup  $V \subset U$  s.t. V is normal in B and

$$\dim(U/V) = 1.$$

*Proof.* U is nilpotent, since it is unipotent. Consider the chain

$$U = U_0 \supset U_1 \supset \ldots \supset U_n \supset 1$$

where

$$U_{i+1} := [U_i, U].$$

Since U is normal in B, each  $U_i$  is also normal in B. In particular, B acts on each  $U_i$  by conjugation.

Now,  $U/U_1$  is unipotent and commutative, hence isomorphic to a vector space.

Further, T acts on  $U/U_1$  by conjugation. Note, that is diagonalizable, ergo reductive. Therefore,  $U/U_1$  must be completely reducible and we can decompose it

$$U/U_1 = \bigoplus_j V_j.$$

Since T is diagonalizable and each  $V_j$  is T-invariant, each  $V_j$  must be one-dimensional. Set

$$\overline{V} := \bigoplus_{j \ge 2} V_j.$$

And now set

$$V := \pi^{-1}(\overline{V}) = \left\{ u \in U \mid uU_1 \in \overline{V} \right\}.$$

Then, we have

$$U/V = (U/U_1)/(V/U_1) = (U/U_1)/\overline{V} \cong V_1 \cong k.$$

V is normal in U, since  $U_1$  is normal in U and T acts on V and  $\overline{V}$  by conjugation.

Let  $s \in B$  be semisimple and  $\dim(U) \geq 2$ . Choose  $V \subset U$  s.t.  $\dim(U/V) = 1$  and V is normal in B. Set

$$B' := B/V$$
$$U' := U/V.$$

Then, B' is a connected algebraic group with

$$(B')_u = U'$$

$$B'/U \cong B/U = T$$

$$B' = U' \rtimes T.$$

Since  $\dim(U') = 1$ , we know that  $\pi_V(s) \in B$  is contained in a conjugacy class of T. Let  $s' \in B$  be the conjugate of  $s \in B$  s.t.  $\pi_V(s') \in T$ . Then,

$$s' \in TV$$
.

But TV is a connected solvable algebraic group and we have

$$TV \cong V \rtimes T \subset U \times T$$
.

Since  $(TV)_u = V$  and  $\dim(V) = \dim(U) - 1$ , the induction hypothesis does also hold in TV. Ergo, s' is conjugated to some element in T, as we wanted.

## 19 All About Tori

#### 19.1 Maximal Tori

**Definition 62.** A maximal torus  $T \subset G$  is a torus that is not contained in any larger torus.

Since G has a finite dimension, it always has at least one maximal torus.

**Example 26.** If  $G = GL_n(k)$ , then  $k^{\times}$  is its maximal torus.

**Lemma 72.** Let G be a connected algebraic group. Let  $s \in G$  be semisimple. Then, there is a maximal torus in G s.t.

$$C(s) \cap T \neq \emptyset$$

where  $C(s) = \{gsg^{-1} \mid g \in G\}$ .

*Proof.* Choose a Borel group  $B \subset G$  s.t.  $s \in B$ . Then, we can decompose

$$B \cong U \rtimes T$$
.

T is a torus. By the previous theorem, we know that a conjugate of s is contained in T. The claim follows, if we enlarge T to a maximal torus in G.

**Theorem 32.** Let G be a connected algebraic group with a maximal torus T. Let  $s \in G$  be semisimple. Then,

$$C(s) \cap T \neq \emptyset$$
.

*Proof.* We only show the theorem in case chark = 0.

Since T is connected and solvable, it is contained in some Borel group B.

Choose further a Borel group  $B' = U \rtimes S$  s.t.  $s \in B'$  is conjugate to some element  $s' \in S$ .

Now, B' is conjugate to B. If we choose a generator  $t \in T$  s.t.

$$\overline{\langle t \rangle} = T,$$

then t is conjugated to some element  $t' \in S$ . The torus  $T' = \overline{\langle t' \rangle}$  generated by t' is again maximal, therefore

$$T'=S$$
.

Since  $s' \in T'$ , the claim follows.

Corollary 17. Let G be a connected algebraic group.

- (i) Any two maximal tori are conjugate.
- (ii) For each torus S and each maximal torus T exists a  $g \in G$  s.t.

$$gSg^{-1} \subset T$$
.

Proof. (i) follows, if we can show (ii).

Let S be torus with a generator s. Then there is a  $g \in G$  s.t.

$$gsg^{-1} \in T$$
.

Ergo

$$gSg^{-1} = \overline{\langle gsg^{-1} \rangle} \subset T.$$

Corollary 18. Let s be a central semisimple element of G (i.e. s commutates with each other element). Then s is contained in every maximal torus. In other words

$$Z(G)_s \subset \bigcap_{T \ max. \ torus} T.$$

*Proof.* This is clear, since a conjugate of s must be contained in each maximal torus and s commutes with each element.

Corollary 19. Let T be a torus in a connected group G. Then, T is maximal iff its dimension is maximal among all dimensions of tori in G.

### 19.2 Centralizers of Tori

**Lemma 73.** Let G be a connected algebraic group. Let  $S \subset T$  be a torus. Let  $g \in G$  be a semisimple element which commutes with each element of S.

Then,  $S \cup \{g\}$  is contained in some torus of G.

*Proof.* Set  $H := Z_G(g)^o$ . Then, H is a connected algebraic groups that contains S. Then,

$$g \in Z(H)_s \subset \bigcap_{T \text{ maximal tori in } H} T.$$

In particular, there must be some maximal torus of H which contains S.

**Theorem 33.** Let G be a connected algebraic group. Let  $S \subset T$  be a torus. Then,  $Z_G(S)$  is connected.

*Proof.* We assume chark = 0.

Let  $g \in Z_G(s)$ . Decompose  $g = g_s g_u$ . Then, we need too show the claim in case:

- (i) g is semisimple: By the previous lemma, there is a torus  $T \subset Z_G(S)^o$  which contains S and g.
- (ii) g is unipotent: Since k has characteristic zero, the group

$$\overline{\langle g \rangle} = g^k \cong \begin{cases} k, & g \neq 1 \\ 1, & g = 1 \end{cases}$$

is connected.

## 19.3 Low Dimensional Groups

**Lemma 74.** Let G be a connected algebraic group with a Borel subgroup B. If B is nilpotent, then G is solvable i.e. B = G.

*Proof.* We induct on  $\dim(B)$ :

•  $\dim(B) = 0$ : In this case, we have B = 1. Then, G = G/B must be projective and connected. Therefore, we must have

$$\mathcal{O}(G) = \mathcal{O}(\mathbb{P}^n)/I(G) = k$$

since  $\mathcal{O}(\mathbb{P}^n)=k$ . On the other side, G affine. Therefore, we have

$$G=1$$
.

Or:  $G = \bigcup_{g \in G} gBg^{-1}$ , since G is connected. Since B = 1, it follows G = 1.

•  $\dim(B) \ge 1$ : Since B is nilpotent, we have a descending chain

$$B = B_0 \supseteq \ldots \supseteq B_n \supseteq 1$$

where

$$B_{i+1} = [B, B_i].$$

Note, that each  $B_i$  is connected, since B is connected. Let  $Z(B) = \{b \in B \mid \forall g \in B : gb = bg\}$  be the center of B and let  $Z := Z(B)^o$  be the component of the neutral element.

Then, we have

$$B_n \subset Z$$
.

Ergo, Z is not the trivial subgroup.

We want to show

$$Z \subset Z(G)$$
.

Let  $z \in \mathbb{Z}$  and consider the morphism

$$\phi: G/B \longrightarrow G$$
 
$$gB \longmapsto gzg^{-1}.$$

 $\phi$  is well-defined, because  $z \in Z(B)$ . Since  $\phi$  is a morphism from a projective variety to an affine variety,  $\phi$  must be constant. Thus,

$$Z \subset Z(G)$$
.

In particular, Z is normal in G. We now get an inclusion of quotient groups

$$B/Z \hookrightarrow G/Z$$
.

It is clear that

$$\dim(B/Z) < \dim(B)$$
.

Further, B/Z is parabolic, since

$$(G/Z)/(B/Z) = G/B$$

is projective. Ergo, B/Z is Borel. By the induction hypothesis, we get

$$G/Z = B/Z$$
.

Ergo, B = G.

**Theorem 34.** Let G be connected with  $\dim(G) \leq 2$ . Then, G is solvable.

**Example 27** (Non-Example). The condition  $\dim(G) \leq 2$  is necessary. Consider e.g.  $G = \mathsf{SL}_2(k)$  which has a dimension of 3.

*Proof.* Let  $B \subset G$  be a Borel subgroup. We want to show

$$B=G$$
.

Assume otherwise. Then, B is of dimension 1. The key here is, that Borel groups of dimension 1 are nilpotent.

Decompose  $B = U \rtimes T$ , then we have:

•  $U \neq 1$ : Then,  $\dim(T) = \dim(B/U) = 0$ , ergo T = 1. Hence

$$B = U$$
.

Since unipotent groups are nilpotent, B is nilpotent.

• U = 1: In this case, we have

$$B=T$$
.

Now B as a torus is commutative, ergo nilpotent.

Now, the above lemma states

$$B = G$$

since B is nilpotent. Ergo, G is solvable.

Corollary 20. Let G be connected with  $\dim(G) = 1$ . Then, G is commutative.

*Proof.* Because of the theorem, G is solvable. Therefore, [G,G] is a closed proper subgroup of G. Hence,  $\dim([G,G])=0$ . Since [G,G] is connected, it follows [G,G]=1.

Remark 20. If G is commutative, it decomposes nicely into semisimple and unipotent elements

$$G = G_s \times G_u$$
.

So, if  $\dim(G) = 1$  and if G is connected, then  $G = G_s \cong \mathcal{G}_m$  is a torus, or  $G = G_u \cong \mathcal{G}_a$  is unipotent.

Further, we can consider

$$G = \left\{ \begin{pmatrix} * & * \\ & 1 \end{pmatrix} \right\}.$$

G is connected and of dimension 2. It decomposes

$$G = G_s \times G_u$$

into two groups of dimension 1.

## 19.4 Characterizing Nilpotent Groups via maximal Tori

**Lemma 75.** Let T be a diagonaliable algebraic group. Then,

$$T = \overline{\bigcup_{n \ge 1} T[n]}$$

where

$$T[n] := \{ t \in T \mid t^n = 1 \}.$$

*Proof.* If the claim is true for any  $T_1, T_2$ , then it is also true for  $T_1 \times T_2$ .

Therefore, we can reduce the claim to the cases where T is finite or  $T = \mathcal{G}_m$ .

A finite T is contained in some T[n].

Let  $T = \mathcal{G}_m$ . Then, we have

$$\mathcal{O}(T) = k[x, \frac{1}{x}].$$

We need to show for each  $f \in \mathcal{O}(T)$ :

$$f(\alpha) = 0 \ \forall \alpha \in k \in \mathbb{N}_0 \text{ s.t. } \alpha^n = 1 \Longrightarrow f = 0.$$

So, let  $f \in \mathcal{O}(T)$ . By multiplying with a large enough  $x^r$ , we can assume  $f \in k[x]$ . If  $f \neq 0$ , then f has finitely many roots. However the set

$$\{\alpha \in k \mid \exists n \in \mathbb{N}_0 : \alpha^n = 1\}$$

has infinitely many elements. Indeed we have

$$\#\left\{\alpha\in k\mid\alpha^n=1\right\}=n,$$

if chark = 0 or if gcd(chark, n) = 1.

Remark 21. If chark = 0 and if U is unipotent, then

$$U[n] = 1$$

for all n.

**Theorem 35.** Let G be a connected algebraic group. Then, the following are equivalent:

(i) G is nilpotent.

- (ii) Each maximal torus T of G satisfies  $T \subset Z(G)$ .
- (iii) G has a unique maximal torus.

*Proof.* We show:

- (i)  $\Longrightarrow$  (ii): We have shown, if G is nilpotent and connected, then each semisimple element is central.
- (ii)  $\implies$  (iii): Any two maximal tori are conjugated.
- (iii) + (ii)  $\Longrightarrow$  (i): Since each semisimple element is contained in some torus, we have that each semisimple element is central.

Let  $B = U \rtimes T' \subset G$  be a Borel subgroup. Since  $T' \subset T$  is central in G, we have  $B = U \times T'$ . So, B is nilpotent. We showed in this case that

$$G = B$$
.

(iii)  $\implies$  (ii): Let T be the maximal torus of G. We have to show that T is central.

Since T is unique, it must be normal in G. Then, G acts via conjugation on T and each T[n]. Therefore, we get a morphism for each  $t \in T[n]$ 

$$G \longrightarrow T[n]$$
$$g \longmapsto gtg^{-1}.$$

Since G is connected, this morphism must be constant, ergo trivial. Ergo, each T[n] is central in G. Since

$$T = \overline{\bigcup_{n \in \mathbb{N}} T[n]},$$

T must be central in G.

## 19.5 Weyl Groups

**Definition 63.** Let G be a connected algebraic group with a torus T. Define the **normalizer** of T in G by

$$N_G(T) := \{ g \in G \mid gT = Tg \}.$$

Then, the centralizer  $Z_G(T)$  is a normal subgroup in  $N_G(T)$ . Define the **Weyl group** of T as the quotient

$$W(G,T) := N_G(T)/Z_G(T).$$

If T is maximal, then the Weyl group W(G,T) is up to conjugation independent of T.

Proposition 7. (i)  $\#W < \infty$ .

(ii) For each tori  $S \subset G$ , we have

$$N_G(S)^o = Z_G(S)^o$$
.

*Proof.* It is clear, that (ii) implies (i).

Let  $S \subset G$  be a torus. We want to show

$$N_G(S)^o \subset Z_G(S)$$
.

Note, that  $N_G(S)^o$  acts by conjugation on S. Now, it is clear that

$$S = \overline{\bigcup_{n} S[n]}$$

where S[n] denotes the subgroup of n-th roots of unity.  $N_G(S)^o$  acts on each S[n]. As before, this gives for each  $s \in S[n]$  a group morphism  $\phi_s : N_G(S)^o \to S[n]$ . Since S[n] is finite and  $N_G(S)^o$  is connected,  $\phi_s$  must be trivial. Ergo

$$N_G(S)^o \subset Z_G(S)$$
.

Remark 22. In general, W(G,T) acts on T by conjugation and induces an inclusion

$$W(G,T) \hookrightarrow \operatorname{Aut}(T)$$
.

**Example 28.** Let  $G = \mathsf{GL}_n(k)$  with the maximal torus

$$T = \left\{ \begin{pmatrix} * & & \\ & \ddots & \\ & & * \end{pmatrix} \right\}.$$

Denote by S(n) the group of all permutation matrices of G. Then, we have

$$Z_G(T) = T$$

$$N_G(T) = T \cdot S(n)$$

$$W(G, T) \cong S(n).$$

## 19.6 Normalizers of Borel Subgroups

**Lemma 76.** Let G be a connected algebraic group,  $B \subset G$  a Borel subgroup and  $S \subset B$  any torus.

Then,  $Z_B(S)$  is a Borel subgroup of  $Z_G(S)$ .

*Proof.* We showed before, that  $Z_G(S)$  is connected, if G is connected. Set

$$U := B_u$$
.

• We claim

$$Z_G(S)B = \{g \in G \mid \forall s \in S : g^{-1}sg \in sU\} =: A.$$

It is easy to see, that

$$Z_G(S) \subset A$$
.

For  $b \in B$ , we have

$$b^{-1}sb \in sU$$
,

since B/U is commutative.

Now, let  $g \in A$ . Then,

$$g^{-1}Sg \subset SU \subset B$$
.

One can extend S to a maximal torus T of B. Then,

$$B = U \rtimes T \supset SU = U \rtimes S.$$

Since S is closed in T, SU is closed in B. Further,  $g^{-1}Sg$  and S are maximal tori in SU. Then, there is a  $b \in B$  s.t.

$$b(g^{-1}Sg)b^{-1} = S.$$

Set

$$z := gb^{-1}.$$

We need to show, that z lies in  $Z_G(S)$ .

Since B/U is commutative, we have for each  $s \in S$ 

$$z^{-1}sz = b(g^{-1}sg)b^{-1} \in g^{-1}sgU = sU,$$

since  $g \in A$ . Now, we have for each  $s \in S$ 

$$z^{-1}sz \in sU \cap S = \{s\}.$$

Ergo,  $z \in Z_G(S)$ .

• We showed that

$$Z_G(S)B = \{g \in G \mid \forall s \in S : g^{-1}sg \in sU\} = \{g \in G \mid \forall s \in S : [g, s] \in U\}.$$

Then,  $Z_G(S)B$  is closed. Since

$$\pi:G \twoheadrightarrow G/B$$

is an open and surjective map, it is easy to see that

$$Z_G(S)/Z_B(S) \cong \pi(Z_G(S))$$

is closed. Since  $Z_B(S)$  is closed,  $Z_B(S)$  is a parabolic subgroup of  $Z_G(S)$ . Since  $Z_B(S)$  is contained in B, it is solvable, hence a Borel subgroup.

Example 29.

**Theorem 36.** Let G be a connected algebraic group with a Borel subgroup  $B \subset G$ . Then,

$$N_G(B) = B.$$

*Proof.* We induct on  $\dim(G)$ :

- $\dim(G) = 0$ : In this case, we have G = 1.
- $\dim(G) = 1$ : We have seen, that in this case G is commutative.
- $\dim(G) \geq 2$ : Let T be a maximal torus in B. Let  $x \in N_G(B)$ . Then,  $xTx^{-1}$  is again a maximal torus in B. Since all maximal tori in B are related via B-conjugation, there is  $b \in B$  s.t.

$$xTx^{-1} = bTb^{-1}$$
.

We therefore replace x by  $b^{-1}x$  to achieve

$$xTx^{-1} = T.$$

Now, consider the map

$$\begin{split} \rho: T &\longrightarrow T \\ t &\longmapsto txt^{-1}x^{-1}. \end{split}$$

We distinguish two cases:

 $-\rho$  is not surjective: Since all tori are irreducible, we have then

$$\dim(\operatorname{Img}(\rho)) < T$$
$$\dim(\operatorname{Kern}(\rho)^{o}) > 0.$$

If we set  $S := \text{Kern}(\rho)^o$ , then S is a non-trivial torus in T.

Since  $S \subset \mathsf{Kern}(\rho)$ , x centralizes S and normalizes B. Hence, x normalizes  $Z_B(S)$ .

Because of the previous lemma,  $Z_B(S)$  is Borel subgroup of  $Z_G(S)$ . If  $Z_G(S) \neq G$ , then the indution hypothesis implies

$$x \in N_{Z_G(S)}(Z_B(S)) = Z_B(S) \subset B.$$

Otherwise, if  $Z_G(S) = G$ , then B/S is a Borel subgroup of G/S. So the induction hypothesis implies

$$xS \in N_{G/S}(B/S) = B/S,$$

ergo  $x \in B$ .

 $-\rho$  is surjective:

Then,

$$T = \operatorname{Img} \rho \subset [N_G(B), N_G(B)].$$

Set  $H := N_G(B)$ . We have to show

$$H = B$$
.

Choose a finite-dimensional representation

$$G \hookrightarrow \mathsf{GL}(V)$$

and a line  $L \subset V$  s.t.

$$H = \{ g \in G \mid gL = L \} .$$

Then, we have a morphism of algebraic groups

$$\gamma: H \longrightarrow \mathsf{GL}(L) = \mathcal{G}_m(k).$$

Since the right side is a torus, we have

$$\gamma_{|H_u} \equiv 1$$

$$\gamma_{|[H,H]} \equiv 1.$$

Ergo,  $\gamma(T) = 1$  and, since  $B = B_u \rtimes T$ ,  $\gamma(B) = 1$ .

Choose a non-zero element  $v \in L$  and consider

$$\phi:G/B\longrightarrow V$$

$$gB \longmapsto gv$$
.

Since G/B is a projective variety, while V is an affine variety,  $\phi$  must be constant. Therefore, we have for each  $g \in G$ 

$$gv \in L$$
.

Ergo, G = H and B is normal in G. But, now

$$G = \bigcup_{g \in G} gBg^{-1} = B.$$

Ergo 
$$H = B$$
.

# Corollary 21. We have a bijection:

$$G/B \longrightarrow \{Borel\ Subgroups\ of\ G\}$$
  
 $gB \longmapsto gBg^{-1}.$ 

## 19.7 Borel Subgroups Containing a Given Torus

Let G be a connected algebraic group with a maximal torus T. Set

$$\mathcal{B}^T := \{ B \subset G \text{ Borel } | T \subset B \}.$$

Then,  $N_G(T)$  acts on  $\mathcal{B}^T$  by conjugation.

**Example 30.** Let  $G = \mathsf{GL}_2(k)$  with  $T = \left\{ \begin{pmatrix} * & \\ & * \end{pmatrix} \right\}$ . Then,

$$\mathcal{B}^T = \left\{ \left(egin{matrix} * & * \ & * \end{matrix}
ight), \left(egin{matrix} * \ & * \end{matrix}
ight) 
ight\}.$$

**Lemma 77.** The action of  $Z_G(T)$  on  $\mathcal{B}^T$  by conjugation is trivial. Equivalently (since  $B = N_G(B)$ ),  $Z_G(T) \subset B$  for each  $B \in B^T$ .

*Proof.* We know, that  $Z_G(T)$  is connected, since T is a torus. Further, since  $T \subset Z_G(T)$  is central and a maximal torus, is must be the unique maximal torus in  $Z_G(T)$ . We showed before, that this is equivalent to  $Z_G(T)$  being nilpotent. Thus,  $Z_G(T)$  is contained in some Borel group  $B_0 \in \mathcal{B}^T$ .

Let  $B \in \mathcal{B}^T$  and choose  $g \in G$  s.t.

$$B = qB_0q^{-1}.$$

Since maximal tori in B are B-conjugated, we can choose  $g \in G$  s.t.  $g \in N_G(T)$ . (Otherwise, we can replace g bx bg s.t.  $bgTg^{-1}b^{-1} = T$ .)

One can show that

$$g \in N_G(T) \implies g \in N_G(Z_G(T)).$$

Thus

$$g^{-1}Z_G(T)g = Z_G(T) \subset B_0$$

which implies

$$Z_G(T) \subset gB_0g^{-1} = B.$$

Corollary 22. The action  $N_G(T) \curvearrowright \mathcal{B}^T$  induces am action by the Weyl group  $W(G,T) = N_G(T)/Z_G(T)$  on  $\mathcal{B}^T$ .

Corollary 23. In the proof, we could see that  $N_G(T)$  and W(G,T) act transitively on  $\mathcal{B}^T$ .

### Corollary 24.

$$\#\mathcal{B}^T \leq \#W < \infty.$$

**Theorem 37.** W acts simply-transitively on  $\mathcal{B}^T$ , i.e., for each  $B_1, B_2 \in \mathcal{B}^T$  there is exactly one  $g \in G$  s.t.

$$gB_1g^{-1} = B_2.$$

In particular,

$$\#\mathcal{B}^T = \#W.$$

*Proof.* Let  $B \in \mathcal{B}^T$ . We need to show

$$N_G(T) \cap N_G(B) \subset Z_G(T)$$
.

Note, that

$$N_G(T) \cap N_G(B) = N_G(T) \cap B = N_B(T).$$

Set  $U := B_u$ , then  $B = U \rtimes T$ .

Choose  $b \in N_B(T)$  with b = ut,  $u \in U$ ,  $t \in T$ . Then,

$$T = bTb^{-1} = uTu^{-1}$$
.

Since  $t \in Z_G(T)$ , it suffices to show that  $u \in Z_G(T)$ .

Let  $t \in T$  and set  $t' = utu^{-1} \in T$ . Since, we have an isomorphism

$$T \hookrightarrow B \twoheadrightarrow B/U$$

and B/U is commutative, t and t' must be equal in T. Ergo,  $u \in Z_G(T)$ .

Corollary 25. Since  $N_B(T) \subset Z_G(T)$  we have for each Borel group B and maximal torus T of G

$$W(G,T) = 1.$$

In particular,

$$\mathcal{B}^T = \{B\}.$$

**Proposition 8.** Let G be a connected non-solvable algebraic group (this implies  $\dim G \geq 3$ ). Let B be a Borel subgroup with a maximal torus T. Then,

$$\#W(G,T) \ge 2.$$

Moreover,

$$\#W = 2 \iff \dim(G/B) = 1.$$

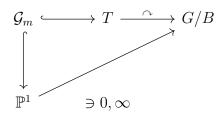
Sketch of Proof. We have a bijection

{Borel subgroups in 
$$G$$
}  $\longleftrightarrow$   $G/B$ .

This can be restricted to a bijection

$$\mathcal{B}^T \longleftrightarrow \{gB \in G/B \mid TgB = gB\}.$$

**Idea:** Show that T acts non-trivally on G/B, since G is non-solvable, and deduce that it has at least two different fixed points:



One can show, that 0 and  $\infty$  are mapped to different fixed points of G/B.

19.8 Groups of Semisimple Rank One

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