

**CALIFORNIA STATE LIBRARY  
LIBRARY SERVICES AND TECHNOLOGY ACT (LSTA)  
FISCAL YEAR 2017/2018  
STATEWIDE GRANT APPLICATION**

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**ELEMENT 1: BASIC INFORMATION (please see application instructions for additional information)**

**Applicant Information**

<b>1. Library/Organization</b>	<b>2. Library's DUNS Number</b>		
The Regents of the University of California, Riverside	62-779-7426		
<b>3. Legal Business Name</b> ( <i>must match name registered with Federal Employer Identification Number (FEIN)</i> )			
The Regents of the University of California			
<b>4. Project Coordinator Name</b>	<b>5. Project Coordinator Title</b>		
Brian Geiger	Director, CBSR		
<b>6. Email Address</b>	<b>7. Business Phone Number</b>		
bgeiger@ucr.edu	951-827-7007		
<b>8. Mailing Address</b>	<b>City</b>	<b>State</b>	<b>Zip</b>
200 University Office Bldg, UC	Riverside	CA	92521

**Project Information**

**9. Project Title** The California Digital Newspaper Collection- Year 11

**10. LSTA Funds Requested** \$325,179

**11. Cash Match & In-Kind** \$51,100

**12. Total Project Cost** \$376,279

**13. California's LSTA Goals** (*Check one goal that best describes the project*)

- |   |  |
|---|--|
| <input type="checkbox"/> Literate California                      | <input type="checkbox"/> Bridging the Digital Divide     |
| <input type="checkbox"/> 21 <sup>st</sup> Century Skills          | <input type="checkbox"/> Information Connections         |
| <input type="checkbox"/> 22 <sup>nd</sup> Century Tools           | <input type="checkbox"/> Community Connections           |
| <input checked="" type="checkbox"/> Content Creation/Preservation | <input type="checkbox"/> Ensuring Library Access for All |

**14. Primary Audience for project** (*Select all that apply.*)

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Adults                             | <input type="checkbox"/> Pre-School Children         |
| <input checked="" type="checkbox"/> Families                           | <input type="checkbox"/> Rural Populations           |
| <input type="checkbox"/> Immigrants/Refugees                           | <input type="checkbox"/> School Age Children         |
| <input type="checkbox"/> Intergenerational Groups (Excluding Families) | <input checked="" type="checkbox"/> Senior Citizens  |
| <input type="checkbox"/> Library Staff , Volunteers and/or Trustees    | <input checked="" type="checkbox"/> Statewide Public |
| <input type="checkbox"/> Low Income                                    | <input type="checkbox"/> Suburban Populations        |
| <input type="checkbox"/> Non/Limited English Speaking Persons          | <input type="checkbox"/> Unemployed                  |
| <input type="checkbox"/> People with Disabilities                      | <input type="checkbox"/> Urban Populations           |
| <input type="checkbox"/> People with Limited Functional Literacy       | <input type="checkbox"/> Young Adults and Teens      |

## **ELEMENT 2: PROJECT BACKGROUND AND SUMMARY**

**Describe how this project was identified as a need, how it relates to your library's strategic plan, what will be accomplished if this project is implemented, and how you will know whether your project is successful. Summary should relate to activities in the timeline (Element 4) and include statistical information to support the project.**

Newspapers are the most used primary source for local and state history in public libraries. They are used by all ages and ethnic groups. In 2007 the Center for Bibliographical Studies and Research (CBSR) at the University of California, Riverside (UCR) created the California Digital Newspaper Collection (CDNC). Over the last 10 years the CDNC has grown to more than 5 million pages. The archive consistently gets over 100,000 unique visitors per month, and since user text correction (UTC) was implemented more than 4,900 researchers have registered and corrected more than 7.5 million lines of text. The CDNC has partnered with dozens of local libraries and historical societies around the state to help them digitize their papers.

The CDNC has participated in the National Digital Newspaper Program (NDNP), a joint venture of the National Endowment for the Humanities (NEH) and the Library of Congress (LC), since 2005. The NDNP develops and maintains technical specifications and best practices for newspaper digitization that we follow. The CDNC has also received 10 LSTA awards to digitize important historical newspapers. The CBSR requests LSTA funds for a eleventh year to digitize an additional 237,473 newspaper pages and add them to the CDNC.

When the CDNC first started we worked with Gary Kurutz to create a list of "core titles" that included important regional, gold rush era, and foreign language titles. Thanks in part to LSTA funding, the CDNC has digitized many of the titles on that list. There are now more than 20 out-of-copyright (pre-1923) newspaper titles in the CNDC, including the San Francisco Call, 1890-1913; the Los Angeles Herald, 1873-1910; the Imperial Valley Press, 1901-1911; the Amador Ledger, 1852-1911; the Sacramento Union, 1851-1899; and the Californian, the state's first newspaper published in 1846. The full list of titles and dates is available at <http://cdnc.ucr.edu>.

In short, the CDNC now has good coverage up through 1923 for major metropolitan areas and gold rush towns. Yet many counties remain unrepresented in the archive. We estimate that the master negative microfilm archive we manage, the CNMA, contains over 40 million newspaper pages, of which only about 4 million have been digitized. Moreover, that 40 million pages is only a fraction of the total for the state because not all titles are represented in the CNMA. There are no San Diego titles, for example. The proposed project will be year 4 of a multi-year effort, if funded, to digitize at least one paper for every California county. In 2017/18 we will focus on Santa Clara, Nevada, and Sutter counties, among others.

In addition, the CBSR has an agreement with Ancestry.com to allow them to digitize some of the microfilm in the CNMA. In return the CBSR receives copies of the data that it can make publicly accessible via the CDNC 3 years after Ancestry produces it. To date the CBSR has produced over 4 million pages through this project. We anticipate receiving at least another 600,000 pages in 2017/18 and have requested a storage array and drives to store this data.

We will also microfilm about 8,000 pages of newsprint the Center has acquired over the past few years. 2 titles, the California Voice and the National Voice, 1894-1947, have never been preserved and are only available in limited runs at a few institutions. The Library of Congress has already filmed 1948-1963. Our collection will complement theirs. The others titles are small, unique runs donated by the Kansas Historical Society.

The CSL has the best collection of California newspapers in existence. The Library microfilmed a large part of the collection to preserve these newspapers and make them more accessible in the California History Room and through interlibrary loan. The titles the CBSR is digitizing with LSTA funds are based in part on the CSL negative microfilm. Hosting these newspapers at the CDNC provides even wider access to these essential resources.

### ELEMENT 3: PARTNERSHIPS

Please list all formal partners for your project here. Please attach (under Element 7) a copy of your signed agreement with each partner, which outlines the role the partner will play and the resources the partner will contribute.

Partner Name	Organization Type (see instructions for valid entries)	Legal Type (see instructions for valid entries)	Role on Project	Resources That Partner Will Contribute (materials/funds/staff)

## **ELEMENT 4: PLANNING AND EVALUATION**

**Please answer each area concisely and completely. For section A-F limit responses to four pages.**

**A. Project Intent (Check only one that best describes the project)**

**Lifelong Learning**

- Improve users' formal education
- Improve users' general knowledge and skills

**Information Access**

- Improve users' ability to discover information
- Improve users' ability to obtain information resources

**Institutional Capacity**

- Improve the library workforce
- Improve the library's physical and technology infrastructure
- Improve library's operations

**Economic & Employment Development**

- Improve users' ability to use resources and apply information for employment support
- Improve users' ability to use and apply business resources

**Human Services**

- Improve users' ability to apply information that furthers their personal, family, or household finances
- Improve users' ability to apply information that furthers their personal or family health & wellness
- Improve users' ability to apply information that furthers their parenting and family skills

**Civic engagement**

- Improve users' ability to participate in their community
- Improve users' ability to participate in community conversation around topics of concern

**B. Project Purpose – Short statement which answers the questions: we will do what, for whom, for what expected benefit(s).**

We are digitizing important historic California newspapers and providing free access to them at the California Digital Newspaper Collection. Californians of all ages can search and browse these primary resources from their homes, or public, university and school libraries. Digitizing the microfilm reels will make these unique windows into our shared history available statewide, not just at select repositories, and allow residents to explore parts of the Golden State's past that were previously inaccessible. We will also preserve on microfilm unique and rare newspapers.

**C. Anticipated Project Outputs – Measures of services and/or products to be created/provided.**

Roughly 237,473 pages of significant California newspapers will be digitized and added to the California Digital Newspaper Collection.

Approximately 600,000 new pages from Ancestry.com will be archived.

About 8,000 pages of newsprint microfilmed.

**D. Anticipated Project Outcome(s) – What change is expected in the target audience's skills, knowledge, behavior, attitude, and/or status/life condition? How will you measure these outcomes? (for examples see attachment B of the application instructions)**

At least 40% of registered CDNC users who self-identify as genealogists will report a better understanding of their lineage. (Online survey of registered users).

At least 40% of registered CDNC users who have corrected text will report a better understanding of how computer-generated text is created and made searchable. (Online survey of registered users).

CDNC will see at least 10% increase in use by researchers in counties for which we recently digitized newspapers. (Analysis of Google Analytics)

**E. Briefly describe how this project will be financially supported in the future.**

This year we will implement "premium features" in the CDNC. All content will be freely searchable and available, and researchers can choose whether to pay for additional features like downloading high-resolution images and saving collections of articles. We hope to raise at least \$5,000 annually from these premium features.

The CDNC Advisory Board met for the first time in November 2016. We will meet again in November 2017 to discuss funding opportunities.

The CDNC should have a dedicated development officer by summer 2017.

**F. Activity Information.** Activities are action(s) through which the intent or objective of a project are accomplished. Four activity types have been identified, each with select methods to help you describe how you will carry out this project. Indicate activity types that require a significant commitment of resources to the project (representing 10% or more of total project resources).

1.  **Instruction** - Involves an interaction for knowledge or skill transfer and how learning is delivered or experienced. (*Check all that apply and provide a description including whether the format will be in-person, virtual, or both*)
  - Program - Formal interaction and active user engagement (e.g., a class on computer skills).
  - Presentation - Formal interaction and passive user engagement (e.g., an author's talk),
  - Consultation - Informal interaction with an individual or group of individuals (library staff or other professional) who provide expert advice or reference services to individuals, units, or organizations.
  - Other

Description: The Director, Brian Geiger, will visit libraries in person and at the annual California Library Association meeting and regularly consults with institutions over the phone.

2.  **Content** - Involves the acquisition, development, or transfer of information and how information is made accessible. (*Check all that apply and provide a description including whether the format will be physical, digital, or both*)
  - Acquisition - Selecting, ordering, and receiving materials for library or archival collections by purchase, exchange, or gift, which may include budgeting and negotiating with outside agencies (i.e. publishers, vendors) to obtain resources. May also include procuring software or hardware for the purposes of storing and/or retrieving information or enabling the act of experiencing, manipulating, or otherwise interacting with an information resource.
  - Creation - Design or production of an information tool or resource (e.g., digital objects, curricula, manuals). Includes digitization or the process of converting data to digital format for processing by a computer.
  - Description - Apply standardized descriptive information and/or apply such information in a standardized format to items or groups of items in a collection for purposes of intellectual control, organization, and retrieval.
  - Lending - Provision of a library's resources and collections through the circulation of materials (general circulation, reserves). May also refer to the physical or electronic delivery of documents from a library collection to the residence or place of business of a library user, upon request.
  - Preservation - Effort that extends the life or use life of a living or non-living collection, the individual items or entities included in a collection, or a structure, building or site by reducing the likelihood or speed of deterioration.
  - Other

Description: Digitize California newspapers from master negative microfilm and preserve newsprint on master negative microfilm.

3.  **Planning & Evaluation** - Involves design, development, or assessment of operations, services, or resources and when information is collected, analyzed, and/or disseminated. (*Check all that apply and provide a description including whether the format will be in-house or third-party*)
- Retrospective - Research effort that involves historical assessments of the condition of a project, program, service, operation, resource and/or user group.
  - Prospective - Research effort that projects or forecasts a future condition of a project, program, service, operation, resource, and/or user group.

Description:

4.  **Procurement** – May only be used for projects with an Institutional Capacity Intent. Acquiring or leasing facilities; purchasing equipment/supplies, hardware/software, or other materials (not content) that support general library infrastructure. (*Provide a description*)

Description: Purchase disk array and drives and storage rack to store and present content and host digitization software.

## **ELEMENT 5: GRANT TIMELINE/ACTIVITIES**

Show each major project activity and when it will be started and/or completed throughout the project. The timeline should correspond to the activities described in Planning and Evaluation. Please put an X in each pertaining month.

## ELEMENT6: BUDGET

The budget should clearly identify the amounts requested and from what sources.

Budget Category	LSTA	Cash Match & In-Kind	Total
<b>Salaries/Wages/Benefits</b>			
CDNC Director	\$0	\$51,100	\$51,100
IT Administrator	\$101,182	\$0	\$101,182
Data Analyst	\$44,781	\$0	\$44,781
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
<b>Subtotal</b>	<b>\$145,963</b>	<b>\$51,100</b>	<b>\$197,063</b>

**Description:** The IT Administrator will manage the digitization work: He has been working on newspaper digitization and with the docWorks software specifically since 2009. He will work 3/4 time on LSTA digitization. \$134,909 / 75% is \$101,182.

The Data Analyst will help with the current digitization work, catch up the backlog of data from previous projects, and help get the data on servers backed up to tapes. She has been managing our NDNP newspaper digitization for the past 2 years and is very familiar with the docWorks software. She will work half-time on LSTA digitization. \$89,561 / 50% is \$44,781 annually.

The Director will work 686 hours @ \$74.49 (.33 FTE) managing the grant. He has been managing the CDNC since 2007.

Consultant Fees			
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**Description:**

Budget Category	LSTA	Cash Match & In-Kind	Total
<b>Travel</b>			
CDNC Advisory Board	\$500	\$0	\$500
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
<b>Subtotal</b>	<b>\$500</b>	<b>\$0</b>	<b>\$500</b>

**Description:** The second annual meeting of the CDNC advisory board will likely take place in Sacramento in November. The Director will fly to Sacramento. Estimated costs include airfare, car rental, and meals. Estimates based on similar travel in November 2016.

Supplies/Materials			
24 Seagate Constellation 6tb drives @ \$212	\$5,088	\$0	\$5,088
Qlogic FC HBA card	\$1,403	\$0	\$1,403
Promise SFP fibre channel	\$146	\$0	\$146
Tripp Lite 42U server cabinet	\$1,060	\$0	\$1,060
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
<b>Subtotal</b>	<b>\$7,697</b>	<b>\$0</b>	<b>\$7,697</b>

**Description:** The 24 Seagate 6tb drives will be installed in the storage array and will provide us a total of around 130 tb of storage (a small amount of storage is lost on each drive when it is formatted).

HBA card allows drive array, see equipment, to connect to server

Promise SFP connects fibre cable from server to array

Our one server cabinet is now full. We will need an additional cabinet for more storage.

Budget Category	LSTA	Cash Match & In-Kind	Total
<b>Equipment (\$5,000 or more per unit)</b>			
Promise VTrak E830FS	\$8,800	\$0	\$8,800
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
<b>Subtotal</b>	\$8,800	\$0	\$8,800

**Description:** The Promise VTrak storage array will be used to store and make accessible the data we receive as part of the proposed digitization project. It will also be used to store and serve the data we receive from Ancestry.com and store data from BMI Imaging. We anticipate receiving at least 1 million pages in 2016/17: 350,000+ LSTA, 600,000+ Ancestry, 100,000 NDNP, and at least 100,000 from BMI.

Services			
Backstage PO to scan 122,500 frames @ \$0.12/frame	\$14,700	\$0	\$14,700
Digital Divide Data PO to digitize 245,000 pages @ \$0.325/page	\$79,625	\$0	\$79,625
docWorks maintenance fee	\$9,992	\$0	\$9,992
Veridian maintenance fee	\$9,600	\$0	\$9,600
Backstage PO to microfilm 8,000 pages of newsprint @ \$0.56/page	\$4,480	\$0	\$4,480
Veridian upgrade fee	\$13,500	\$0	\$13,500
	\$0	\$0	\$0
	\$0	\$0	\$0
	\$0	\$0	\$0
<b>Subtotal</b>	\$131,897	\$0	\$131,897

**Description:** Backstage Library Works will scan film to create TIFF images. There are usually 2 pages per "frame". Digital Divide Data processes TIFFs in docWorks, which is installed at UCR, to create derivative files that are imported into the CDNC. Backstage will also microfilm newsprint the Center has collected over the last few years.

docWorks software is used to process the TIFF images we receive from the scanning vendor.

Veridian software is used to host and present the data in the CDNC. Over two years we must upgrade the license from 3 to 6 million pages, in large part because we can now host Ancestry.com data. The \$13,500 is the second half of the total upgrade fee.

<b>Project Total</b>	\$294,857	\$51,100	\$345,957
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<b>Indirect Cost Rate Applied</b>	<b>10.6 % Indirect Cost</b>	\$30,322	\$0	\$30,322
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Check one:

No Indirect       Federally negotiated indirect cost rate \*       Indirect proposed cost rate \*

\* please attach supporting documentation if required

**Description:** Our federally negotiated rate for "Other Sponsored Activities – Off Campus" is 10.6% This is applied using the Modified Total Direct Costs (MTDC) to everything but "Equipment", a total of \$285,581 (\$294,381-\$8,800).

<b>Grand Total</b>	\$325,179	\$51,100	\$376,279
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## **ELEMENT 7: ATTACHMENTS**

**If you have additional resources that support your grant, please attach after this page**

## **ELEMENT 8: INTERNET CERTIFICATION**

**Check the Appropriate Library Type**

**Public Library**       **Academic**       **K-12**       **Multi-Type**       **Special/Other**

As the duly authorized representative of the applicant public library, public elementary school library or public secondary school library applying for LSTA funding, I hereby certify that the library is (*check only one of the following boxes*)

**A.  An individual applicant that is CIPA compliant.**

The applicant library, as a public library, a public elementary school library or public secondary school library, has complied with the requirements of Section 9134(f)(1) of the Library Services and Technology Act.

**B.  Representing a group of applicants. Those applicants that are subject to CIPA requirements have certified they are CIPA compliant.**

All public libraries, public elementary school libraries, and public secondary school libraries, participating in the application have complied with the requirements of Section 9134(f)(1) of the Library Services and Technology Act. The library submitting this application has collected Internet Safety Certifications from all other applicants who are subject to CIPA requirements. The library will keep these certifications on file with other application materials, and if awarded funds, with other project records.

**C.  Not Subject to CIPA Requirements.**

The CIPA requirements do not apply because no funds made available under this LSTA grant program will be used to purchase computers used to access the Internet or to pay for direct costs associated with accessing the Internet.

## **SIGNATURE**

**I have read and support this LSTA Grant Application.**

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**Library/Organization**

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**Project Name**

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**Library Director Name**

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**Title**

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**Library Director Signature**

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**Date**

COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN:

DATE: 02/12/2015

ORGANIZATION:

FILING REF.: The preceding  
agreement was dated  
01/30/2009

University of California (UCR)  
Riverside Campus  
, CA

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

**SECTION I: Facilities And Administrative Cost Rates**

RATE TYPES:    FIXED    FINAL    PROV. (PROVISIONAL)    PRED. (PREDETERMINED)  
EFFECTIVE PERIOD

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE (%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
PRED.	07/01/2013	06/30/2015	52.00	On-Campus	Organized Research
PRED.	07/01/2015	06/30/2016	54.00	On-Campus	Organized Research
PRED.	07/01/2016	06/30/2018	55.00	On-Campus	Organized Research
PRED.	07/01/2018	06/30/2019	55.50	On-Campus	Organized Research
PRED.	07/01/2013	06/30/2019	26.00	Off-Campus	Organized Research
PRED.	07/01/2013	06/30/2015	58.50	On-Campus	Instruction
PRED.	07/01/2015	06/30/2019	51.00	On-Campus	Instruction
PRED.	07/01/2013	06/30/2019	26.00	Off-Campus	Instruction
PRED.	07/01/2013	06/30/2015	44.00	On-Campus	Other Sponsored Activities
PRED.	07/01/2015	06/30/2019	51.50	On-Campus	Other Sponsored Activities
PRED.	07/01/2013	06/30/2015	18.00	Off-Campus	Other Sponsored Activities
PRED.	07/01/2015	06/30/2019	10.60	Off-Campus	Other Sponsored Activities
PROV.	07/01/2019	Until Amended	Use same rates and conditions as those cited for fiscal year ending June 30, 2019.		

\*BASE

Modified total direct costs, consisting of all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of \$25,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs.

INSTITUTION:  
University of California (UCR)  
Riverside Campus

AGREEMENT DATE: February 12, 2015

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**SECTION II: SPECIAL REMARKS**

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**TREATMENT OF FRINGE BENEFITS:**

The fringe benefits are charged using the rate(s) listed in the Fringe Benefits Section of this Agreement. The fringe benefits included in the rate(s) are listed below.

**TREATMENT OF PAID ABSENCES:**

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

**DEFINITION OF EQUIPMENT:**

Equipment is defined as tangible nonexpendable personal property having a useful life of more than one year, and an acquisition cost of \$5,000 or more per unit.

**Treatment of Other Fringe Benefits:**

This organization charges the actual cost of each fringe benefit direct to Federal projects. However, it budgets fringe benefits on project proposals by using a composite rate. Following is the list of fringe benefits at the University:

FICA, WORKERS COMPENSATION, RETIREMENT SYSTEM, LIFE/HEALTH/DENTAL/DISABILITY AND UNEMPLOYMENT INSURANCE.

**DEFINITION OF ON-CAMPUS, OFF-CAMPUS AND SPECIAL RATES:**

**DEFINITION OF OFF-CAMPUS RATE:**

The off-campus rate is applicable to those projects conducted at facilities not owned or leased by the University. However, if the project is conducted in leased space and lease costs are directly charged to the project, then the off-campus rate must be used.

**PROJECTS CONDUCTED ENTIRELY ON-CAMPUS OR ENTIRELY OFF-CAMPUS:**

Projects conducted entirely on-campus or entirely off-campus will be applied the on-campus or off-campus rate respectively.

**PROJECTS CONDUCTED PARTIALLY OFF-CAMPUS AND PARTIALLY ON-CAMPUS:**

If the project involves work at both on-campus and off-campus sites, either the on-campus or off-campus rate generally should be applied, consistent with where the majority of the work is to be performed. Salary cost is generally accepted as a measure of work performed in terms of the total project.

**USE OF BOTH ON-CAMPUS AND OFF-CAMPUS RATES:**

The use of both on-campus and off-campus rates for a given project may be justified if both of the respective rates can clearly be identified with a significant portion of salaries and wages of the project. For purposes of this provision, significant is defined as approximately 25% or more of the total costs and a project's total salary and wage costs exceed \$250,000.

**OTHER SPECIAL RATES:**

These rates apply only to the facility or program to which they are identified. If any additional special rates become necessary the establishment of such rates should be coordinated through the cognizant negotiation agency.

**NEXT PROPOSAL DUE DATE**

A proposal based on actual costs for fiscal year ending 06/30/18 will be due no later than 12/31/19.

INSTITUTION:  
University of California (UCR)  
Riverside Campus

AGREEMENT DATE: February 12, 2015

**SECTION III: GENERAL**

**A. LIMITATIONS:**

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

**B. ACCOUNTING CHANGES:**

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

**C. FIXED RATES:**

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

**D. USE BY OTHER FEDERAL AGENCIES:**

The rates in this Agreement were approved in accordance with the authority in Office of Management and Budget Circular A-21, and should be applied to grants, contracts and other agreements covered by this Circular, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

**E. OTHER:**

If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs.

BY THE INSTITUTION:

University of California (UCR) Riverside Campus

(INSTITUTION)



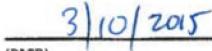
(SIGNATURE)

Nathan Brostrom

(NAME)

Executive Vice President - Chief Financial Officer

(TITLE)



(DATE)

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)



(SIGNATURE)

Arif Karim

(NAME)

Director, Cost Allocation Services

(TITLE)

2/12/2015

(DATE) 0229

HHS REPRESENTATIVE: Helen Fung

Telephone: (415) 437-7820

UNIVERSITY OF CALIFORNIA, RIVERSIDE  
FACILITIES AND ADMINISTRATIVE COST PROPOSAL  
FISCAL YEAR ENDING JUNE 30, 2014 TO JUNE 30, 2019

EXHIBIT A

	ORGANIZED RESEARCH											
	JULY 1, 2013 THROUGH JUNE 30, 2015		JULY 1, 2015 THROUGH JUNE 30, 2016		JULY 1, 2016 THROUGH JUNE 30, 2018		JULY 1, 2018 THROUGH JUNE 30, 2019		ON-CAMPUS		OFF-CAMPUS	
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS
BUILDING DEPRECIATION		6.2%		6.8%		7.0%		7.1%				
EQUIPMENT DEPRECIATION		2.5%		2.0%		2.2%		2.3%				
INTEREST		5.9%		9.0%		9.2%		9.3%				
OPERATIONS & MAINTENANCE		9.5%		9.0%		9.2%		9.3%				
LIBRARY		1.9%		1.2%		1.4%		1.5%				
GENERAL ADMINISTRATION	7.0%		5.9%		5.9%		5.9%		5.9%			
DEPARTMENT ADMINISTRATION	15.8%		16.8%		16.8%		16.8%		16.8%			
SPONSORED PROJECT ADMINISTRATION	2.8%		3.2%		3.2%		3.2%		3.2%			
STUDENT SERVICE ADMINISTRATION	0.4%		0.1%		0.1%		0.1%		0.1%			
ADMINISTRATION COMPONENTS	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%
TOTAL		52.0%	26.0%		54.0%	26.0%		55.0%	26.0%		55.5%	26.0%
INSTRUCTION & DEPARTMENTAL RESEARCH												
	JULY 1, 2013 THROUGH JUNE 30, 2015		JULY 1, 2015 THROUGH JUNE 30, 2016		JULY 1, 2016 THROUGH JUNE 30, 2018		JULY 1, 2018 THROUGH JUNE 30, 2019		ON-CAMPUS		OFF-CAMPUS	
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS
	5.3%		5.9%		4.4%		4.8%		11.0%			
BUILDING DEPRECIATION		1.6%		0.5%		1.5%		1.9%				
EQUIPMENT DEPRECIATION		3.0%		3.5%		3.7%		8.3%				
INTEREST		6.8%		5.8%		11.6%		15.8%				
OPERATIONS & MAINTENANCE		15.8%		9.3%		4.8%		3.9%				
LIBRARY												
GENERAL ADMINISTRATION	4.6%		4.1%		10.3%		4.8%					
DEPARTMENT ADMINISTRATION	9.6%		12.0%		3.0%		1.2%					
SPONSORED PROJECT ADMINISTRATION	4.4%		2.3%		3.9%		4.2%					
STUDENT SERVICE ADMINISTRATION	7.4%		7.6%		0.8%		0.4%					
ADMINISTRATION COMPONENTS	26.0%	26.0%	26.0%	26.0%	18.0%	18.0%	10.6%		10.6%	10.6%	10.6%	10.6%
TOTAL		58.5%	26.0%		51.0%	26.0%		44.0%	18.0%		51.5%	10.6%

ADMINISTRATIVE COMPONENTS ARE CAPPED AT 26.0% IN ACCORDANCE WITH OMB A-21, DATED JULY 28, 1993.

CONCUR:

Mark R. Wright  
(SIGNATURE)

Executive Vice President - Chief Financial Officer

TITLE

3/11/2015

DATE