

## 3A Modes and State Transition

While the actual 3A algorithms are up to the HAL implementation, a high-level state machine description is defined by the HAL interface to allow the HAL device and the framework to communicate about the current state of 3A and trigger 3A events.

When the device is opened, all the individual 3A states must be STATE\_INACTIVE. Stream configuration does not reset 3A. For example, locked focus must be maintained across the configure() call.

Triggering a 3A action involves simply setting the relevant trigger entry in the settings for the next request to indicate start of trigger. For example, the trigger for starting an autofocus scan is setting the entry ANDROID\_CONTROL\_AF\_TRIGGER to ANDROID\_CONTROL\_AF\_TRIGGER\_START for one request; and cancelling an autofocus scan is triggered by setting ANDROID\_CONTROL\_AF\_TRIGGER to ANDROID\_CONTROL\_AF\_TRIGGER\_CANCEL. Otherwise, the entry will not exist or be set to ANDROID\_CONTROL\_AF\_TRIGGER\_IDLE. Each request with a trigger entry set to a non-IDLE value will be treated as an independent triggering event.

At the top level, 3A is controlled by the ANDROID\_CONTROL\_MODE setting. It selects between no 3A (ANDROID\_CONTROL\_MODE\_OFF), normal AUTO mode (ANDROID\_CONTROL\_MODE\_AUTO), and using the scene mode setting (ANDROID\_CONTROL\_USE\_SCENE\_MODE):

- In OFF mode, each of the individual Auto-focus(AF), auto-exposure (AE), and auto-whitebalance (AWB) modes are effectively OFF, and none of the capture controls may be overridden by the 3A routines.
- In AUTO mode, AF, AE, and AWB modes all run their own independent algorithms, and have their own mode, state, and trigger metadata entries, as listed in the next section.
- In USE\_SCENE\_MODE, the value of the ANDROID\_CONTROL\_SCENE\_MODE entry must be used to determine the behavior of 3A routines. In SCENE\_MODEs other than FACE\_PRIORITY, the HAL must override the values of ANDROID\_CONTROL\_AE/AWB/AF\_MODE to be the mode it prefers for the selected SCENE\_MODE. For example, the HAL may prefer SCENE\_MODE\_NIGHT to use CONTINUOUS\_FOCUS AF mode. Any user selection of AE/AWB/AF\_MODE when scene must be ignored for these scene modes.
- For SCENE\_MODE\_FACE\_PRIORITY, the AE/AWB/AFMODE controls work as in ANDROID\_CONTROL\_MODE\_AUTO, but the 3A routines must bias toward metering and focusing on any detected faces in the scene.

### Auto-focus settings and result entries

Main metadata entries:

ANDROID\_CONTROL\_AF\_MODE: Control for selecting the current autofocus mode. Set by the framework in the request settings.

AF\_MODE\_OFF: AF is disabled; the framework/app directly controls lens position.

AF\_MODE\_AUTO: Single-sweep autofocus. No lens movement unless AF is triggered.

AF\_MODE\_MACRO: Single-sweep up-close autofocus. No lens movement unless AF is triggered.

AF\_MODE\_CONTINUOUS\_VIDEO: Smooth continuous focusing, for recording video. Triggering immediately locks focus in current position. Canceling resumes continuous focusing.

AF\_MODE\_CONTINUOUS\_PICTURE: Fast continuous focusing, for zero-shutter-lag still capture. Triggering locks focus once currently active sweep concludes. Canceling resumes continuous focusing.

AF\_MODE\_EDOF: Advanced extended depth of field focusing. There is no autofocus scan, so triggering one or canceling one has no effect. Images are focused automatically by the HAL.

ANDROID\_CONTROL\_AF\_STATE: Dynamic metadata describing the current AF algorithm state, reported by the HAL in the result metadata.

#### IN THIS DOCUMENT

[Auto-focus settings and result entries](#)

[Auto-exposure settings and result entries](#)

[Auto-whitebalance settings and result entries](#)

[General state machine transition notes](#)

[AF state machines](#)

[AE and AWB state machines](#)

[Enabling manual control](#)

AF\_STATE\_INACTIVE: No focusing has been done, or algorithm was reset. Lens is not moving. Always the state for MODE\_OFF or MODE\_EDOF. When the device is opened, it must start in this state.

AF\_STATE\_PASSIVE\_SCAN: A continuous focus algorithm is currently scanning for good focus. The lens is moving.

AF\_STATE\_PASSIVE\_FOCUSED: A continuous focus algorithm believes it is well focused. The lens is not moving. The HAL may spontaneously leave this state.

AF\_STATE\_PASSIVE\_UNFOCUSED: A continuous focus algorithm believes it is not well focused. The lens is not moving. The HAL may spontaneously leave this state.

AF\_STATE\_ACTIVE\_SCAN: A scan triggered by the user is underway.

AF\_STATE\_FOCUSED\_LOCKED: The AF algorithm believes it is focused. The lens is not moving.

AF\_STATE\_NOT\_FOCUSED\_LOCKED: The AF algorithm has been unable to focus. The lens is not moving.

ANDROID\_CONTROL\_AF\_TRIGGER: Control for starting an autofocus scan, the meaning of which depends on mode and state. Set by the framework in the request settings.

AF\_TRIGGER\_IDLE: No current trigger.

AF\_TRIGGER\_START: Trigger start of AF scan. Effect depends on mode and state.

AF\_TRIGGER\_CANCEL: Cancel current AF scan if any, and reset algorithm to default.

Additional metadata entries:

ANDROID\_CONTROL\_AF\_REGIONS: Control for selecting the regions of the field of view (FOV) that should be used to determine good focus. This applies to all AF modes that scan for focus. Set by the framework in the request settings.

## Auto-exposure settings and result entries

---

Main metadata entries:

ANDROID\_CONTROL\_AE\_MODE: Control for selecting the current auto-exposure mode. Set by the framework in the request settings.

AE\_MODE\_OFF: Autoexposure is disabled; the user controls exposure, gain, frame duration, and flash.

AE\_MODE\_ON: Standard autoexposure, with flash control disabled. User may set flash to fire or to torch mode.

AE\_MODE\_ON\_AUTO\_FLASH: Standard autoexposure, with flash on at HAL's discretion for precapture and still capture. User control of flash disabled.

AE\_MODE\_ON\_ALWAYS\_FLASH: Standard autoexposure, with flash always fired for capture, and at HAL's discretion for precapture. User control of flash disabled.

AE\_MODE\_ON\_AUTO\_FLASH\_REDEYE: Standard autoexposure, with flash on at HAL's discretion for precapture and still capture. Use a flash burst at end of precapture sequence to reduce redeye in the final picture. User control of flash disabled.

ANDROID\_CONTROL\_AE\_STATE: Dynamic metadata describing the current AE algorithm state, reported by the HAL in the result metadata.

AE\_STATE\_INACTIVE: Initial AE state after mode switch. When the device is opened, it must start in this state.

AE\_STATE\_SEARCHING: AE is not converged to a good value and is adjusting exposure parameters.

AE\_STATE\_CONVERGED: AE has found good exposure values for the current scene, and the exposure parameters are not changing. HAL may spontaneously leave this state to search for a better solution.

AE\_STATE\_LOCKED: AE has been locked with the AE\_LOCK control. Exposure values are not changing.

AE\_STATE\_FLASH\_REQUIRED: The HAL has converged exposure but believes flash is required for a sufficiently bright picture. Used for determining if a zero-shutter-lag frame can be used.

AE\_STATE\_PRECAPTURE: The HAL is in the middle of a precapture sequence. Depending on AE mode, this mode may involve firing the flash for metering or a burst of flash pulses for redeye reduction.

ANDROID\_CONTROL\_AE\_PRECAPTURE\_TRIGGER: Control for starting a metering sequence before capturing a high-quality image. Set by the framework in the request settings.

PRECAPTURE\_TRIGGER\_IDLE: No current trigger.

PRECAPTURE\_TRIGGER\_START: Start a precapture sequence. The HAL should use the subsequent requests to measure good exposure/white balance for an upcoming high-resolution capture.

Additional metadata entries:

ANDROID\_CONTROL\_AE\_LOCK: Control for locking AE controls to their current values.

ANDROID\_CONTROL\_AE\_EXPOSURE\_COMPENSATION: Control for adjusting AE algorithm target brightness point.

ANDROID\_CONTROL\_AE\_TARGET\_FPS\_RANGE: Control for selecting the target frame rate range for the AE algorithm. The AE routine cannot change the frame rate to be outside these bounds.

ANDROID\_CONTROL\_AE\_REGIONS: Control for selecting the regions of the FOV that should be used to determine good exposure levels. This applies to all AE modes besides OFF.

## Auto-whitebalance settings and result entries

Main metadata entries:

ANDROID\_CONTROL\_AWB\_MODE: Control for selecting the current white-balance mode.

AWB\_MODE\_OFF: Auto-whitebalance is disabled. User controls color matrix.

AWB\_MODE\_AUTO: Automatic white balance is enabled; 3A controls color transform, possibly using more complex transforms than a simple matrix.

AWB\_MODE\_INCANDESCENT: Fixed white balance settings good for indoor incandescent (tungsten) lighting, roughly 2700K.

AWB\_MODE\_FLUORESCENT: Fixed white balance settings good for fluorescent lighting, roughly 5000K.

AWB\_MODE\_WARM\_FLUORESCENT: Fixed white balance settings good for fluorescent lighting, roughly 3000K.

AWB\_MODE\_DAYLIGHT: Fixed white balance settings good for daylight, roughly 5500K.

AWB\_MODE\_CLOUDY\_DAYLIGHT: Fixed white balance settings good for clouded daylight, roughly 6500K.

AWB\_MODE\_TWILIGHT: Fixed white balance settings good for near-sunset/sunrise, roughly 15000K.

AWB\_MODE\_SHADE: Fixed white balance settings good for areas indirectly lit by the sun, roughly 7500K.

ANDROID\_CONTROL\_AWB\_STATE: Dynamic metadata describing the current AWB algorithm state, reported by the HAL in the result metadata.

AWB\_STATE\_INACTIVE: Initial AWB state after mode switch. When the device is opened, it must start in this state.

AWB\_STATE\_SEARCHING: AWB is not converged to a good value and is changing color adjustment parameters.

AWB\_STATE\_CONVERGED: AWB has found good color adjustment values for the current scene, and the parameters are not changing. HAL may spontaneously leave this state to search for a better solution.

AWB\_STATE\_LOCKED: AWB has been locked with the AWB\_LOCK control. Color adjustment values are not changing.

Additional metadata entries:

ANDROID\_CONTROL\_AWB\_LOCK: Control for locking AWB color adjustments to their current values.

ANDROID\_CONTROL\_AWB\_REGIONS: Control for selecting the regions of the FOV that should be used to determine good color balance. This applies only to auto-whitebalance mode.

## General state machine transition notes

Switching between AF, AE, or AWB modes always resets the algorithm's state to INACTIVE. Similarly, switching between CONTROL\_MODE or CONTROL\_SCENE\_MODE if CONTROL\_MODE == USE\_SCENE\_MODE resets all the algorithm states to INACTIVE.

The tables below are per-mode.

## AF state machines

mode = AF\_MODE\_OFF or  
AF\_MODE\_EDOF

State	Transformation cause	New state	Notes
INACTIVE			AF is disabled

mode = AF\_MODE\_AUTO or  
AF\_MODE\_MACRO

State	Transformation cause	New state	Notes
-------	-------------------------	-----------	-------

INACTIVE	AF_TRIGGER	ACTIVE_SCAN	Start AF sweep Lens now moving
ACTIVE_SCAN	AF sweep done	FOCUSED_LOCKED	If AF successful Lens now locked
ACTIVE_SCAN	AF sweep done	NOT_FOCUSED_LOCKED	If AF successful Lens now locked
ACTIVE_SCAN	AF_CANCEL	INACTIVE	Cancel/reset AF Lens now locked
FOCUSED_LOCKED	AF_CANCEL	INACTIVE	Cancel/reset AF
FOCUSED_LOCKED	AF_TRIGGER	ACTIVE_SCAN	Start new sweep Lens now moving
NOT_FOCUSED_LOCKED	AF_CANCEL	INACTIVE	Cancel/reset AF
NOT_FOCUSED_LOCKED	AF_TRIGGER	ACTIVE_SCAN	Start new sweep Lens now moving
All states mode = AF_MODE_CONTINUOUS_VIDEO	mode change	INACTIVE	

State	Transformation cause	New state	Notes
INACTIVE	HAL initiates new scan	PASSIVE_SCAN	Start AF sweep Lens now moving
INACTIVE	AF_TRIGGER	NOT_FOCUSED_LOCKED	AF state query Lens now locked
PASSIVE_SCAN	HAL completes current scan	PASSIVE_FOCUSED	End AF scan Lens now locked
PASSIVE_SCAN	AF_TRIGGER	FOCUSED_LOCKED	Immediate transformation if focus is good Lens now locked
PASSIVE_SCAN	AF_TRIGGER	NOT_FOCUSED_LOCKED	Immediate transformation if focus is bad Lens now locked
PASSIVE_SCAN	AF_CANCEL	INACTIVE	Reset lens position Lens now locked
PASSIVE_FOCUSED	HAL initiates new scan	PASSIVE_SCAN	Start AF scan Lens now moving
PASSIVE_FOCUSED	AF_TRIGGER	FOCUSED_LOCKED	Immediate transformation if focus is good Lens now locked
PASSIVE_FOCUSED	AF_TRIGGER	NOT_FOCUSED_LOCKED	Immediate transformation if focus is bad Lens now locked
FOCUSED_LOCKED	AF_TRIGGER	FOCUSED_LOCKED	No effect
FOCUSED_LOCKED	AF_CANCEL	INACTIVE	Restart AF scan
NOT_FOCUSED_LOCKED	AF_TRIGGER	NOT_FOCUSED_LOCKED	No effect
NOT_FOCUSED_LOCKED	AF_CANCEL	INACTIVE	Restart AF scan
mode = AF_MODE_CONTINUOUS_PICTURE			

State	Transformation cause	New state	Notes
INACTIVE	HAL initiates new scan	PASSIVE_SCAN	Start AF scan Lens now moving

INACTIVE	AF_TRIGGER	NOT_FOCUSED_LOCKED	AF state query Lens now locked
PASSIVE_SCAN	HAL completes current scan	PASSIVE_FOCUSED	End AF scan Lens now locked
PASSIVE_SCAN	AF_TRIGGER	FOCUSED_LOCKED	Eventual transformation once focus good Lens now locked
PASSIVE_SCAN	AF_TRIGGER	NOT_FOCUSED_LOCKED	Eventual transformation if cannot focus Lens now locked
PASSIVE_SCAN	AF_CANCEL	INACTIVE	Reset lens position Lens now locked
PASSIVE_FOCUSED	HAL initiates new scan	PASSIVE_SCAN	Start AF scan Lens now moving
PASSIVE_FOCUSED	AF_TRIGGER	FOCUSED_LOCKED	Immediate transformation if focus is good Lens now locked
PASSIVE_FOCUSED	AF_TRIGGER	NOT_FOCUSED_LOCKED	Immediate transformation if focus is bad Lens now locked
FOCUSED_LOCKED	AF_TRIGGER	FOCUSED_LOCKED	No effect
FOCUSED_LOCKED	AF_CANCEL	INACTIVE	Restart AF scan
NOT_FOCUSED_LOCKED	AF_TRIGGER	NOT_FOCUSED_LOCKED	No effect
NOT_FOCUSED_LOCKED	AF_CANCEL	INACTIVE	Restart AF scan

## AE and AWB state machines

The AE and AWB state machines are mostly identical. AE has additional FLASH\_REQUIRED and PRECAPTURE states. So rows below that refer to those two states should be ignored for the AWB state machine.

mode = AE\_MODE\_OFF / AWB mode  
not AUTO

State	Transformation cause	New state	Notes
INACTIVE			AE/AWB disabled
mode = AE_MODE_ON_* / AWB_MODE_AUTO			
State	Transformation cause	New state	Notes
INACTIVE	HAL initiates AE/AWB scan	SEARCHING	
INACTIVE	AE/AWB_LOCK on	LOCKED	Values locked
SEARCHING	HAL finishes AE/AWB scan	CONVERGED	Good values, not changing
SEARCHING	HAL finishes AE scan	FLASH_REQUIRED	Converged but too dark without flash
SEARCHING	AE/AWB_LOCK on	LOCKED	Values locked
CONVERGED	HAL initiates AE/AWB scan	SEARCHING	Values locked
CONVERGED	AE/AWB_LOCK on	LOCKED	Values locked
FLASH_REQUIRED	HAL initiates AE/AWB scan	SEARCHING	Values locked

FLASH_REQUIRED	AE/AWB_LOCK on	LOCKED	Values locked
LOCKED	AE/AWB_LOCK off	SEARCHING	Values not good after unlock
LOCKED	AE/AWB_LOCK off	CONVERGED	Values good after unlock
LOCKED	AE_LOCK off	FLASH_REQUIRED	Exposure good, but too dark
All AE states	PRECAPTURE_START	PRECAPTURE	Start precapture sequence
PRECAPTURE	Sequence done, AE_LOCK off	CONVERGED	Ready for high-quality capture
PRECAPTURE	Sequence done, AE_LOCK on	LOCKED	Ready for high-quality capture

## Enabling manual control

Several controls are also involved in configuring the device 3A blocks to allow for direct application control.

The HAL model for 3A control is that for each request, the HAL inspects the state of the 3A control fields. If any 3A routine is enabled, then that routine overrides the control variables that relate to that routine, and these override values are then available in the result metadata for that capture. So for example, if auto-exposure is enabled in a request, the HAL should overwrite the exposure, gain, and frame duration fields (and potentially the flash fields, depending on AE mode) of the request. The list of relevant controls is:

Control name	Unit	Notes
android.control.mode	enum: OFF, AUTO, USE_SCENE_MODE	High-level 3A control. When set to OFF, all 3A control by the HAL is disabled. The application must set the fields for capture parameters itself. When set to AUTO, the individual algorithm controls in android.control.* are in effect, such as android.control.afMode. When set to USE_SCENE_MODE, the individual controls in android.control.* are mostly disabled, and the HAL implements one of the scene mode settings (such as ACTION, SUNSET, or PARTY) as it wishes.
android.control.afMode	enum	OFF means manual control of lens focusing through android.lens.focusDistance.
android.control.aeMode	enum	OFF means manual control of exposure/gain/frame duration through android.sensor.exposureTime / .sensitivity / .frameDuration
android.control.awbMode	enum	OFF means manual control of white balance.