

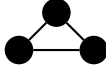
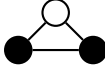
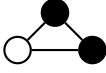
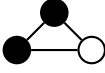
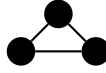
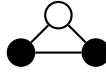
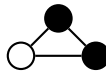
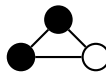
# Homework 7

Obliviate

May 2021

1 Solution:

By **Exercise 2**, Alice always chooses a vertex cover. Then we can write the payoff matrix of this game as follows.

<div style="display: inline-block; transform: rotate(-45deg); transform-origin: center;"> <div style="display: flex; align-items: center;"> <div style="width: 50px; height: 50px; border: 1px solid black; margin: 0 auto;"></div> <div style="margin-left: 10px;">             Bob  Alice           </div> </div> </div>					
		1	1/2	1/2	1/2
		1/2	1	1/4	1/4
		1/2	1/4	1	1/4
		1/2	1/4	1/4	1

We use a mix strategy to obtain the optimal solution. By symmetry, the probability, denoted by  $p$ , that each vertex cover of size 2 is chose by Alice is equal. The same thing, denoted by  $q$ , holds for Bob.

Then we can compute the value of this game:

$$\begin{pmatrix} 1-3p & p & p & p \end{pmatrix} \begin{pmatrix} 1 & 1/2 & 1/2 & 1/2 \\ 1/2 & 1 & 1/4 & 1/4 \\ 1/2 & 1/4 & 1 & 1/4 \\ 1/2 & 1/4 & 1/4 & 1 \end{pmatrix} \begin{pmatrix} 1-3q \\ q \\ q \\ q \end{pmatrix} = \frac{1}{2} + \left( \frac{1}{\sqrt{2}} - \frac{3}{\sqrt{2}}p \right) \left( \frac{1}{\sqrt{2}} - \frac{3}{\sqrt{2}}q \right)$$

If  $p$  is greater or less than  $\frac{1}{3}$ ,  $q$  can choose to be less or greater than  $\frac{1}{3}$  and the total value then becomes less than  $\frac{1}{2}$ .

If  $q$  is greater or less than  $\frac{1}{3}$ ,  $p$  can choose to be greater or less than  $\frac{1}{3}$  and the total value then becomes greater than  $\frac{1}{2}$ .

Hence both Alice and Bob will choose  $\frac{1}{3}$ .

Set  $p = q = \frac{1}{3}$  and then we can get the value  $\frac{1}{2}$ .

## 2 Solution:

For any set  $A$  that isn't a vertex cover, we can always find a better response for Alice. Since  $A$  isn't a vertex cover, we can always find an edge that points at both ends of it are not covered, and call the two points  $u$  and  $v$ . Then consider another strategy  $A'$ :  $A'$  covers all the points in  $A$  and  $u, v$ .

Bob always choose a vertex cover, so any strategy for Bob must cover at least one of points  $u$  and  $v$ . For strategies that only cover  $u$  or  $v$ , the payoff of  $A'$  is the same as  $A$ . For strategies that cover both  $u$  and  $v$ , the payoff of  $A'$  is larger than  $A$ . Therefore,  $A$  is always not the best response. Let  $x$  be an optimal mixed strategy for Alice, then if  $A$  isn't a vertex cover,  $x_A = 0$ . That is to say,  $x_A > 0$  then  $A$  is a vertex cover.

## 3 Solution:

$\alpha$  is the solution of  $f(\alpha) = \alpha^k - (\alpha^{k-1} + \dots + \alpha + 1) = 0$ . When  $k = 1$ ,  $f(\alpha) = \alpha - 1$  has only one positive solution  $\alpha = 1$ . Then we only consider the case when  $k > 1$ .

Because  $f(1) = 1 - k < 0$  and  $f(0) = -1 < 0$ , 1 and 0 aren't solutions. So we have  $f(\alpha) = \alpha^k - \frac{1-\alpha^k}{1-\alpha} = 0, \alpha \neq 1$ . Let  $g(\alpha) = (\alpha - 1)f(\alpha) = \alpha^{k+1} - 2 \times \alpha^k + 1 = 0$ . And  $g'(\alpha) = (k+1)\alpha^k - 2k\alpha^{k-1}$ .

$$g'(\alpha) \begin{cases} < 0, & 0 < \alpha < \frac{2k}{k+1} \\ = 0, & \alpha = \frac{2k}{k+1} \\ > 0, & \alpha > \frac{2k}{k+1} \end{cases}$$

Obviously,  $1 < \frac{2k}{k+1} < 2$  and  $g(1) = 0, g(2) = 1 > 0$ . So there is at least one positive solution  $x \in (1, 2)$  of  $g(\alpha) = 0$ . And this is also the only solution of  $f(\alpha) = 0$ .

## 4 Solution:

If  $k = 1, \forall n \geq 1, L_1(n) = \alpha_k^n = 1$ . Next, we only consider the case when  $k > 1$ .

When  $2 \leq n \leq k$ , we have  $L_k(n) = 2^{n-2}$ . And  $\frac{1}{4} = \frac{2^{n-2}}{2^n} < \frac{L_k(n)}{\alpha_k^n} < \frac{L_k(k)}{1} = 2^{k-2}$ . For  $m > k$ , if we have  $\forall n < m, \frac{L_k(n)}{\alpha_k^n} \in (\frac{1}{4}, 2^{k-2})$ , then:

$$\frac{L_k(m)}{\alpha_k^m} = \frac{\sum_{i=1}^k L_k(m-i)}{\sum_{i=1}^k \alpha_k^{m-i}} \in \left(\frac{1}{4}, 2^{k-2}\right)$$

That is to say, we can prove  $\forall n \geq 2, \frac{L_k(n)}{\alpha_k^n} \in (\frac{1}{4}, 2^{k-2})$  by induction. So  $L_k(n) \in \Theta(\alpha_k^n)$ .

## 5 Solution:

$F'$  is a formula whose shortest clause has size  $k$ , and this means all the clauses in  $F'$  have size  $k$ . So any variable that is assigned during  $F$  to  $F'$  doesn't occur in the clauses of  $F'$ . That is to say, the assignment of those variables is feasible and doesn't make a difference to the recursive call  $\text{lazyBB}(F')$ . This is to say,  $F' \subseteq F$ . So if  $F'$  can't be satisfiable,  $F$  can't be satisfiable either.

if  $F'$  is satisfiable, this also means there's no contradiction for the assignment of the variables from  $F$  to  $F'$ , so  $F$  is satisfiable, too.

In conclusion,  $F$  is satisfiable if and only if  $F'$  is satisfiable.

## 6 Solution:

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**Algorithm 1** Determine whether a given  $k$ -CNF formula is satisfiable

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1: procedure MODIFIED-LAZYBB( $F$ )
2:   if  $F$  is empty, i.e., already satisfied then
3:     return  $F$ 
4:   else if  $F$  contains  $\square$  then
5:     return  $\square$ 
6:   else
7:     choose a shortest clause  $C = (u_1 \vee \dots \vee u_\ell)$ 
8:     if  $\ell = k$  then
9:       return  $F$ 
10:    else
11:      for  $i = 1, \dots, \ell$  do
12:         $F_i := F|_{u_1=\dots=u_{i-1}=0, u_i=1}$ 
13:        // set  $u_i$  to true and all previous literals to false
14:        if MODIFIED-LAZYBB( $F_i$ )  $\neq \square$  then
15:          return  $F$ 
16:        end if
17:      end for
18:      return  $\square$ 
19:    end if
20:  end if
21: end procedure
22: procedure  $k$ -SAT( $F$ )
23:   if  $F$  contains  $\square$  then
24:     return unsatisfiable
25:   else
26:     choose an arbitrary variable  $x$ 
27:     let  $F_1 = \text{MODIFIED-LAZYBB}(F|_{x=1})$ 
28:     if  $F_1$  is empty, i.e., already satisfied then
29:       return satisfiable
30:     else if  $F_1$  contains  $\square$  then
31:       return  $k$ -SAT( $F|_{x=0}$ )
32:     else
33:       //  $F_1$  contains only  $k$ -clauses
34:       return  $k$ -SAT( $F_1$ )
35:     end if
36:   end if
37: end procedure

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MODIFIED-LAZYBB tries to reduce  $F$  to an exactly- $k$ -CNF  $F'$  by assigning values to variables.

$k$ -SAT choose an arbitrary variable and set it true to obtain  $F'$ . It then applies MODIFIED-LAZYBB( $F'$ ). If succeeded, we will obtain  $F''$  and by exercise 5,  $F'$  is satisfiable if and only if  $F''$  is satisfiable. Otherwise the variable we choose should be false.

After each recursion of  $k$ -SAT, the number of variables in  $F$  will decreased by at least 1. And the complexity of MODIFIED-LAZYBB is  $\Theta(\alpha_{k-1}^n \cdot \text{poly}(|F|))$  since during the procedure, the length of the shortest clause is less than  $k$ .

Hence the complexity of this algorithm is  $\Theta(\alpha_{k-1}^n \cdot \text{poly}(|F|))$ .

## 7 Solution:

For each clause  $C$ , there are exactly  $2^{n-k}$  configurations of variables that dissatisfy  $C$ .

So there are at most  $m2^{n-k}$  configurations that dissatisfy  $F$ , while the number of total configurations is  $2^n$ .

If  $m < 2^k$ , then there must exist a configuration that satisfies  $F$ .

## 8 Solution:

According to Hall's Theorem, if we can prove that  $\forall S \subseteq \{C_1, C_2, \dots, C_m\}, |S| \leq |N(S)|$ , then the incidence graph has a matching of size  $m$ . ( $N(S)$  means the set of neighbours of  $S$  in  $\{x_1, x_2, \dots, x_n\}$ )

We have  $\Delta(F) \leq k$ , and this means for any  $x \in \{x_1, x_2, \dots, x_n\}$ ,  $\deg(x) \leq k$ . We also have  $F$  is an exactly- $k$ -CNF formula, and this means for any  $C \in \{C_1, C_2, \dots, C_m\}$ ,  $\deg(C) = k$ . Since  $\sum_{C \in S} \deg(C) = \sum_{x \in N(S)} \deg(x)$ ,  $|S| \leq |N(S)|$ . Using Hall's Theorem, we can prove that the incidence graph has a matching of size  $m$ .

## 9 Solution:

The incidence graph is a binary graph. And it has a matching of size  $m$ . So for every clause  $C \in F$ , it is matched to a variable  $x_C$ . We define  $x_C$  is true if  $C$  contains  $x_C$ , and  $x_C$  is false otherwise. For the variables which aren't matched, we can choose their value freely. Every clause has at least one literals making the clause true. So  $F$  is satisfiable.