

## 第五章 自由旋量场量子化

自然界中的粒子，按照它们在洛伦兹变换下性质的不同，分为标量粒子，如 $\pi^0, \pi^\pm, K^\pm, \bar{K}, \bar{\pi}^0, \bar{\pi}^\pm$ ，自旋为 $S=0$ ；旋量粒子，如 $e, \nu_e, g, N$ ，自旋为 $\frac{1}{2}, \frac{3}{2}, \dots$ ；矢量粒子，如光子，胶子，中间玻色子，自旋为1。相应地，用来描述这些粒子的场分为标量场，旋量场，矢量场。

上一章介绍了自旋为零的标量场，在按照对易关系量正则化后，标量场满足算符演化的海森堡方程，真空能量为正，而粒子数表象下服从玻色-爱因斯坦统计，从而多个标量粒子能够同时处同一个量子态。本章将介绍自旋为 $\frac{1}{2}$ 的旋量场。若按照对易关系进行正则量子化，人们发现将出现真空能为负，而粒子数表象下服从玻色-爱因斯坦统计，从而同一个量子态上可以存在多个费米子的情况。但是，这与泡利不相容原理，与费米子实际上服从费米-狄拉克统计显然矛盾。1928年，Jordan 和 Wigner 提出费米子按照反对易关系进行正则量子化的方案，成功解决了上述矛盾。

# 一. 经典旋量场(狄拉克场)

参见上册 P32, "§3.4 自由旋量场·自由 Dirac 方程一节", 定义  $\beta = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ,  $\alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix}$

1.  $\gamma$  引入  $\gamma_0 = \gamma^0 = \beta = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ,  $\vec{\gamma} = \beta \vec{\alpha} = \begin{pmatrix} 0 & \vec{\alpha} \\ -\vec{\alpha} & 0 \end{pmatrix}$  本征值  $\pm 1$

$$\text{则 } \left\{ \begin{array}{l} \gamma^0 = (\gamma^0, \vec{\gamma}) \\ \gamma_\mu = (\gamma_0, -\vec{\gamma}) \end{array} \right.$$

$$\gamma^5 = \gamma_5 = i \gamma^0 \gamma^1 \gamma^2 \gamma^3 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

伽玛矩阵  
性质

$$\left\{ \begin{array}{l} \gamma_0^+ = \gamma_0 = \gamma_0^{-1}, \text{ 公正厄米} \\ \gamma_i^+ = -\gamma_i = \gamma_i^{-1}, \text{ 公正反厄米} \\ \gamma_5^+ = \gamma_5 = \gamma_5^{-1}, \text{ 公正厄米} \end{array} \right.$$

$$\text{其中 } G_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, G_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, G_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

$$\left\{ \begin{array}{l} \{\gamma^\mu, \gamma^\nu\} = \gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2g^{\mu\nu} \text{ 反对易} \\ \{\gamma^\mu, \gamma^5\} = 0 \end{array} \right.$$

## 2. Dirac 方程

$$E^2 - \vec{p}^2 - m^2 = (E + \sqrt{\vec{p}^2 + m^2})(E - \sqrt{\vec{p}^2 + m^2}) \stackrel{\text{Dirac}}{=} (E + \vec{\alpha} \cdot \vec{p} + \beta m)(E - \vec{\alpha} \cdot \vec{p} - \beta m)$$

用算符表示,  $H = \vec{\alpha} \cdot \vec{p} + \beta m = -i \vec{\alpha} \cdot \nabla + \beta m$ , 作用于  $\psi(x)$ .

$$i \frac{\partial}{\partial t} \psi(x) = (-i \vec{\alpha} \cdot \nabla + \beta m) \psi(x). \quad (\text{Dirac 方程})$$

左乘  $\gamma^0 = \beta$

$$(\gamma^0 i \partial_0 + i \vec{\gamma} \cdot \nabla - m) \psi(x) = 0.$$

$$\text{即 } \boxed{(i \gamma^\mu \partial_\mu - m) \psi(x) = 0 \text{ 或 } (i \not{d} - m) \psi(x) = 0}$$

取厄米共轭, 右乘  $\gamma_0$ , 记  $\bar{\psi} = \psi^\dagger \gamma_0$ .

$$\bar{\psi}(x) (-i \gamma^0 \partial_0 + i \gamma^i \partial_i - m) = 0$$

$$\bar{\psi}(x) (-i \gamma^0 \partial_0 - \gamma^i \partial_i - m) = 0$$

$$\text{即 } \boxed{\bar{\psi}(x) (i \gamma^\mu \partial_\mu + m) \psi(x) = 0 \text{ 或 } \bar{\psi}(x) (i \not{p} + m) = 0}$$

\* Dirac 方程描述  $S = \frac{1}{2}$  费米子, 泡利不相容.  $\Psi_{4x1}$

\* 真空态: 负能态被填满, 正能态空着, 故真空真有负能  $\frac{m}{2}$ . 不可观测.

\* 正能态: 负能态填满, 正能态有粒子. 可观测.

\* 空穴态: 负能态有空着, 正能态都空着. 可观测. 负能量解释!

$$E^2 = \vec{p}^2 + m^2 \rightarrow E = \pm \sqrt{\vec{p}^2 + m^2}$$

## 二. 经典旋量场的量子化 ( $s = (2k+1)\frac{\hbar}{2}$ )

### 1. 经典旋量场

$$L(x) = \bar{\psi}(x)(i\gamma^\mu \partial_\mu - m)\psi(x), \quad \text{独立量 } \psi, \bar{\psi} (\bar{\psi}, \bar{\psi})$$

利用作用量  $S = \int_{t_1}^{t_2} d^4x L(x)$  取极值原理  $\delta S = 0$ , 可得狄拉克方程.

证明:

对场量取轨道度分

$$\begin{aligned} \psi(x) &\rightarrow \psi(x) + \delta\psi(x), & \delta\psi(x)|_{t_1} = 0, \quad \delta\psi(x)|_{t_2} = 0. \\ \bar{\psi}(x) &\rightarrow \bar{\psi}(x) + \delta\bar{\psi}(x), & \delta\bar{\psi}(x)|_{t_1} = 0, \quad \delta\bar{\psi}(x)|_{t_2} = 0. \end{aligned}$$

$$S = \int_{t_1}^{t_2} d^4x L(x) \text{ 变为}$$

$$S \rightarrow S + SS = \int_{t_1}^{t_2} d^4x (\bar{\psi}(x) + \delta\bar{\psi}(x)) (i\gamma^\mu - m) (\psi(x) + \delta\psi(x))$$

$$= \int_{t_1}^{t_2} d^4x \bar{\psi}(x) (i\gamma^\mu - m) \psi(x) + \int_{t_1}^{t_2} d^4x [\delta\bar{\psi}(x) (i\gamma^\mu - m) \psi(x) + \delta\bar{\psi}(x) (i\gamma^\mu - m) \delta\psi(x)]$$

$$\therefore SS = + \int_{t_1}^{t_2} d^4x \delta\bar{\psi}(x) (i\gamma^\mu - m) \psi(x) + \int_{t_1}^{t_2} d^4x \bar{\psi}(x) (-i\gamma^\mu - m) \delta\psi(x) + \int_{t_1}^{t_2} d^4x \partial_\mu (\bar{\psi} \gamma^\mu \delta\psi(x))$$

$$\text{其中 } \star = i \int_{t_1}^{t_2} d^4x \nabla \cdot (\bar{\psi} \gamma^\mu \delta\psi(x)) + i \int_{t_1}^{t_2} d^4x \frac{\partial}{\partial t} (\bar{\psi}(x) \gamma^\mu \delta\psi(x))$$

$$= i \int_{t_1}^{t_2} d^4x \partial_t (\bar{\psi}(x) \gamma^\mu \delta\psi(x)) + i \int_{t_1}^{t_2} d^4x \frac{\partial}{\partial t} (\bar{\psi}(x) \gamma^\mu \delta\psi(x))$$

利用周期边界条件.

$$\int d^3x \nabla \cdot \vec{A} = \iint dy dz \left( \frac{\partial A_1}{\partial x}(x, y, z, t) + \frac{\partial A_2}{\partial y} + \frac{\partial A_3}{\partial z} \right)$$

$$= \iint dy dz \left. A_1(x, y, z, t) \right|_{x=-\frac{L}{2}}^{x=\frac{L}{2}} + \iint dz dx \left. A_2(x, y, z, t) \right|_{y=-\frac{L}{2}}^{y=\frac{L}{2}} + \iint dx dy \left. A_3(x, y, z, t) \right|_{z=-\frac{L}{2}}^{z=\frac{L}{2}} = 0$$

而固定端点的度分得

$$\int_{t_1}^{t_2} d^4x \frac{\partial}{\partial t} (\bar{\psi}(x) \gamma^\mu \delta\psi(x)) = \int dX \left. \bar{\psi}(x) \gamma^\mu \delta\psi(x) \right|_{t=t_1}^{t=t_2} = 0.$$

$$\therefore \star = 0.$$

根据在物理轨道上, 作用量取极值原理  $SS|_{\text{物理轨道}} = 0$ , 则

$$0 = SS = \int_{t_1}^{t_2} d^4x \delta\bar{\psi}(x) (i\gamma^\mu - m) \psi(x) + \int_{t_1}^{t_2} d^4x \bar{\psi}(x) (-i\gamma^\mu - m) \delta\psi(x) = 0.$$

又轨道度分时,  $\delta\psi$  和  $\delta\bar{\psi}$  为任意独立选取. 故

$$(i\gamma^\mu - m) \psi(x) = 0 \quad \bar{\psi}(x) (i\gamma^\mu + m) = 0.$$

## 2. Jordon-Wigner 量子化

$$\mathcal{L}(x) = \bar{\psi}(x)(i\partial_x - m)\psi(x) = \bar{\psi}(x)(i\gamma^0\partial_0 + i\vec{\alpha}\cdot\vec{\nabla} - m)\psi(x)$$

引入正则动量

$$\pi(x) = \frac{\partial \mathcal{L}}{\partial \dot{\psi}} = i\psi^\dagger(x) \quad (\text{由于 } \bar{\psi}(x) \text{ 的时间导数, 故 } \bar{\psi}(x) \text{ 不是正则坐标})$$

1) 哈密顿量

$$H = \int (\pi \dot{\psi} - \mathcal{L}) d^3x = \int [i\psi^\dagger \dot{\psi} - \bar{\psi}(x)(i\gamma^0\partial_0 + i\vec{\alpha}\cdot\vec{\nabla} - m)\psi(x)] d^3x = \int (\psi^\dagger(-i\vec{\alpha}\cdot\vec{\nabla} + \beta m)) d^3x$$

$$\mathcal{H} = \psi^\dagger(-i\vec{\alpha}\cdot\vec{\nabla} + \beta m)\psi$$

假定  $\psi$ ,  $\pi$  为厄米算符, 满足正则反对易关系

$$\{\psi_\alpha(\vec{x}, t), \psi_\beta^\dagger(\vec{x}', t)\} = \delta_{\alpha\beta} \delta^3(\vec{x} - \vec{x}')$$

$$\{\psi_\alpha(\vec{x}, t), \psi_\beta(\vec{x}', t)\} = 0, \quad \{\psi_\alpha^\dagger(\vec{x}, t), \psi_\beta^\dagger(\vec{x}', t)\} = 0$$

则可推出海森堡方程

利用  $[A, B, C] = A[B, C] - [A, C]B$

$$\begin{aligned} [H, \psi(\vec{x}, t)] &= \int d^3x' [\psi^\dagger(\vec{x}', t)(-i\vec{\alpha}\cdot\vec{\nabla} + \beta m)\psi(\vec{x}', t), \psi(\vec{x}, t)] = \int d^3x' [\psi^\dagger(\vec{x}', t), \psi(\vec{x}', t)] (-i\vec{\alpha}\cdot\vec{\nabla} + \beta m) \psi(\vec{x}', t) \\ &= - \int d^3x' \{\psi^\dagger(\vec{x}', t), \psi(\vec{x}', t)\} (-i\vec{\alpha}\cdot\vec{\nabla}' + \beta m) \psi(\vec{x}', t) = -(-i\vec{\alpha}\cdot\vec{\nabla} + \beta m)\psi(\vec{x}, t) \\ &= -i\partial_0\psi(\vec{x}, t) = -i\dot{\psi}(\vec{x}, t). \end{aligned}$$

$$\therefore \dot{\psi}(\vec{x}, t) = i[H, \psi(\vec{x}, t)]$$

$$\begin{aligned} [H, \psi^\dagger(\vec{x}, t)] &= \int d^3x' [\psi^\dagger(\vec{x}', t)(-i\vec{\alpha}\cdot\vec{\nabla} + \beta m)\psi(\vec{x}', t), \psi^\dagger(\vec{x}, t)] = \int d^3x' \psi^\dagger(\vec{x}', t) \{(-i\vec{\alpha}\cdot\vec{\nabla}' + \beta m)\psi(\vec{x}', t), \psi^\dagger(\vec{x}', t)\} \\ &= \int d^3x' \psi^\dagger(\vec{x}', t) \left( \{(-i\vec{\alpha}\cdot\vec{\nabla}' + \beta m)\psi(\vec{x}', t), \psi^\dagger(\vec{x}', t)\} + \beta m \{\psi(\vec{x}', t), \psi^\dagger(\vec{x}', t)\} \right) \\ &= \int d^3x' \psi^\dagger(\vec{x}', t) (\nabla' \cdot (-i\vec{\alpha}\delta(\vec{x} - \vec{x}')) + \beta m \delta(\vec{x} - \vec{x}')) \\ &= \nabla \cdot \int d^3x' \psi^\dagger(\vec{x}', t) i\vec{\alpha} \delta(\vec{x} - \vec{x}') + \psi^\dagger(\vec{x}, t) \beta m \\ &= \psi^\dagger(\vec{x}, t) (i\vec{\alpha} \cdot \nabla + \beta m) = -\psi^\dagger(\vec{x}, t) i\vec{\partial}_0. \end{aligned}$$

$$\therefore \dot{\psi}^\dagger(\vec{x}, t) = i[H, \psi^\dagger(\vec{x}, t)]$$

综上, 海森堡方程

$$\begin{cases} \dot{\psi}(\vec{x}, t) = i[H, \psi(\vec{x}, t)] \\ \dot{\psi}^\dagger(\vec{x}, t) = i[H, \psi^\dagger(\vec{x}, t)] \end{cases}$$

## 2). 动量算符.

$$T_{\mu\nu} = i \bar{\psi} \gamma_\mu \partial_\nu \psi, \quad P_\nu = \int d^3x T_{0\nu} = i \int d^3x \bar{\psi}^\dagger \partial_\nu \psi$$

$$\vec{P} = i \int d^3x \bar{\psi}^\dagger \partial^i \psi = -i \int d^3x \bar{\psi}^\dagger \nabla \psi$$

则  $[P, \psi(\vec{x})] = \int d^3x' [-i \bar{\psi}^\dagger(\vec{x}; t) \nabla' \psi(\vec{x}; t), \psi(\vec{x}, t)] = \int d^3x' \{i \bar{\psi}^\dagger(\vec{x}; t), \psi(\vec{x}, t)\} \nabla' \psi(\vec{x}; t)$   
 $= \int d^3x' i \delta(\vec{x} - \vec{x}') \nabla' \psi(\vec{x}', t) = i \nabla \psi(\vec{x}, t)$

$$[P, \psi^\dagger(\vec{x})] = \int d^3x' [-i \bar{\psi}^\dagger(\vec{x}; t) \nabla' \psi(\vec{x}; t), \bar{\psi}^\dagger(\vec{x}, t)] = \int d^3x' (-i \bar{\psi}^\dagger(\vec{x}; t)) \{ \nabla' \psi(\vec{x}; t), \bar{\psi}^\dagger(\vec{x}, t) \}$$
  
 $= -i \int d^3x' \bar{\psi}^\dagger(\vec{x}; t) \nabla' \{ \psi(\vec{x}', t), \bar{\psi}^\dagger(\vec{x}, t) \} = -i \int d^3x' \bar{\psi}^\dagger(\vec{x}, t) \nabla' \delta(\vec{x} - \vec{x}')$   
 $= i \nabla \int d^3x' \bar{\psi}^\dagger(\vec{x}', t) \delta(\vec{x} - \vec{x}') = i \nabla \bar{\psi}^\dagger(\vec{x}, t)$

综上  $\begin{cases} \nabla \psi(\vec{x}, t) = i[-\vec{P}, \psi(\vec{x}, t)] \\ \nabla \bar{\psi}^\dagger(\vec{x}, t) = i[-\vec{P}, \bar{\psi}^\dagger(\vec{x}, t)] \end{cases}$

考虑到  $P_\mu = i \frac{\partial}{\partial x^\mu} = i \partial_\mu = i(\frac{\partial}{\partial t}, \nabla)$ , 且  $P_\mu = (H, -\vec{P})$ , 故

$$\begin{cases} \frac{\partial}{\partial x^\mu} \psi(\vec{x}, t) = i[P_\mu, \psi(\vec{x}, t)] \\ \frac{\partial}{\partial x^\mu} \bar{\psi}^\dagger(\vec{x}, t) = i[P_\mu, \bar{\psi}^\dagger(\vec{x}, t)] \end{cases}$$

旋量场在时空平移下的变化性质  
解为  $\psi(x+b) = e^{iPb} \psi(x) e^{-iPb}$

## 3). 守恒荷 (U(1) 变换)

旋量场  $\psi$  满足内禀空间的 U(1) 规范变换不变性。

$$\psi(x) \rightarrow \psi'(x) = e^{i\alpha} \psi(x)$$

$$\bar{\psi}(x) \rightarrow \bar{\psi}'(x) = \bar{\psi}(x) e^{-i\alpha}$$

利用作用量变分原理  $S S = 0$ , 得  $\partial_m j^m = 0$ , 从而引入流密度。

$$\text{守恒流 } j^m = \bar{\psi} \gamma^m \psi$$

$$\text{守恒荷 } Q \equiv \int d^3x j^0 = \int d^3x \bar{\psi} \gamma^0 \psi = \int d^3x \bar{\psi}^\dagger \psi$$

注: 上式乘  $e$ , 得  $e j^m$  为 电流 电荷-密度, 而  $eQ$  为电荷。

上式与  $\psi$  场的费米数守恒律相关。

### 三. 傅立叶展开 (李政道 P<sub>32</sub>)

利用空间  $V$  内的系统,  $\psi(\vec{x}, t)$  满足周期性边界条件, 狄拉克方程, 引导  $\psi$  傅立叶展开.

1. 在周期性边界条件下, 得满足正交归一、完备性的本征函数.

$$\left\{ e^{i\vec{p} \cdot \vec{x}} \mid \vec{p}_n = \vec{n} \frac{2\pi}{L}, \vec{n} = 0, \pm 1, \dots \right\}$$

2. 旋量场满足周期边界, 可傅立叶展开.

$$1). \psi(\vec{x}, t) = \sum_{\vec{p}} S_{\vec{p}}(t) \frac{e^{i\vec{p} \cdot \vec{x}}}{\sqrt{V}}, \quad S_{\vec{p}}(t) \text{ 为 } 4 \times 1 \text{ 矩阵, 矩阵元为算符, } \begin{matrix} \text{希尔伯特空间} \\ \text{空间} \end{matrix} \quad \boxed{4 \times 4}$$

2) 对给定  $\vec{p}$ , 在旋量空间中引进一组  $C$  数基矢  $U_{\vec{p}, s}$ ,  $U_{-\vec{p}, s}$ , 满足是  $(\vec{\alpha} \cdot \vec{p} + \beta m)$  和  $\vec{\tau} \cdot \vec{p}$  的本征态, 即

$$\begin{cases} (\vec{\alpha} \cdot \vec{p} + \beta m) U_{\vec{p}, s} = E_p U_{\vec{p}, s} \\ (\vec{\alpha} \cdot \vec{p} + \beta m) U_{-\vec{p}, s} = -E_p U_{-\vec{p}, s} \end{cases} \quad \begin{cases} \vec{\tau} \cdot \vec{p} U_{\vec{p}, s} = 2S U_{\vec{p}, s} \\ \vec{\tau} \cdot \vec{p} U_{-\vec{p}, s} = 2S U_{-\vec{p}, s} \end{cases}$$

其中  $\begin{cases} E_p = \sqrt{\vec{p}^2 + m^2} > 0 \\ \vec{\tau} = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix} \end{cases}$ , 而  $\hat{\vec{p}} = \frac{\vec{p}}{|p|}$ ,  $S = \pm \frac{1}{2}$  称为螺旋度.

\* 由于  $U_{\vec{p}, s}$ ,  $U_{-\vec{p}, s}$  相应的本征值不同, 故二者正交,  $U_{\vec{p}, s}^\dagger U_{\vec{p}, s} = \frac{E_p}{m} \delta_{ss}$   
 \*  $U_{\vec{p}, s}, U_{-\vec{p}, s}$  归一化后, 满足完备性  $U_{\vec{p}, s}^\dagger U_{-\vec{p}, s} = 1$

3). 由于  $U_{\vec{p}, s}$ ,  $U_{-\vec{p}, s}$  构成正交归一完备基矢, 故可将  $S_{\vec{p}}(t)$  按这组基矢展开.

$$S_{\vec{p}}(t) = \sum_{s=\pm\frac{1}{2}} (a_{\vec{p}, s}(t) U_{\vec{p}, s} + b_{-\vec{p}, s}^\dagger U_{-\vec{p}, s}), \quad a_{\vec{p}, s}(t), b_{-\vec{p}, s}^\dagger \text{ 为希尔伯特空间算符}$$

故  $\begin{cases} \psi(\vec{x}, t) = \frac{1}{\sqrt{V}} \sum_{\vec{p}, s} (a_{\vec{p}, s}(t) U_{\vec{p}, s} e^{i\vec{p} \cdot \vec{x}} + b_{-\vec{p}, s}^\dagger(t) U_{-\vec{p}, s} e^{-i\vec{p} \cdot \vec{x}}) \\ \psi^+(\vec{x}, t) = \frac{1}{\sqrt{V}} \sum_{\vec{p}, s} (a_{\vec{p}, s}^\dagger(t) U_{\vec{p}, s}^\dagger e^{-i\vec{p} \cdot \vec{x}} + b_{-\vec{p}, s}(t) U_{-\vec{p}, s}^\dagger e^{i\vec{p} \cdot \vec{x}}) \end{cases}$  不是旋量

3.  $\psi(x)$  满足狄拉克方程的解:

$$(i\gamma^\mu \partial_\mu - m)\psi(x) = 0, \quad \text{即 } (\gamma^\mu i\partial_\mu + i\vec{\gamma} \cdot \vec{\nabla} - m) \sum_{\vec{p}} S_{\vec{p}}(t) e^{\frac{i\vec{p} \cdot \vec{x}}{\sqrt{V}}} = 0$$

$$\Rightarrow \sum_{\vec{p}} [(\gamma^\mu i S_{\vec{p}}(t) e^{\frac{i\vec{p} \cdot \vec{x}}{\sqrt{V}}} + (i\vec{\gamma} \cdot \vec{p} - m) S_{\vec{p}}(t) e^{\frac{i\vec{p} \cdot \vec{x}}{\sqrt{V}}})] = 0$$

左乘  $\vec{P}$ , 移项

$$\sum_{\vec{p}} i \dot{S}_{\vec{p}}(t) = \sum_{\vec{p}} (\vec{\alpha} \cdot \vec{p} + \beta m) S_{\vec{p}}(t) = \sum_{\vec{p}} \frac{E_{\vec{p}}}{\hbar} S_{\vec{p}}(t), \quad \text{其中 } \frac{E_{\vec{p}}}{\hbar} = \sqrt{\vec{p}^2 + m^2}$$

$$\therefore i \frac{\partial}{\partial t} S_{\vec{p}}(t) = E_{\vec{p}} S_{\vec{p}}(t), \quad S_{\vec{p}}(t) = C e^{-i \frac{E_p}{\hbar} t}, \quad \text{从而 } a_{\vec{p},s}(t) = a_{\vec{p},s} e^{-i \frac{E_p}{\hbar} t}$$

$$\text{故 } \left\{ \begin{array}{l} \psi(\vec{x}, t) = \frac{1}{\sqrt{V}} \sum_{\vec{p},s} (a_{\vec{p},s} U_{\vec{p},s} e^{-ipx} + b_{\vec{p},s}^+ V_{\vec{p},s} e^{+ipx}) \\ \psi^+(\vec{x}, t) = \frac{1}{\sqrt{V}} \sum_{\vec{p},s} (a_{\vec{p},s}^+ U_{\vec{p},s}^+ e^{+ipx} + b_{\vec{p},s} V_{\vec{p},s}^+ e^{-ipx}) \end{array} \right.$$

$$b_{\vec{p},s}(t) = b_{\vec{p},s} e^{-i \frac{E_p}{\hbar} t}$$

$$\begin{aligned} \text{其中 } px &= E_p t - \vec{p} \cdot \vec{x} \\ \vec{p} &= \vec{p}_n = \vec{n} \frac{2\pi}{L}, \\ \vec{n} &= 0, \pm 1, \pm 2, \dots \end{aligned}$$

考虑归一化，狄拉克场改写为

$$\psi(\vec{x}, t) = \sum_{\vec{p},s} \frac{m}{\sqrt{V E_p}} (C_{\vec{p},s} U_{\vec{p},s} e^{-ipx} + d_{\vec{p},s}^+ V_{\vec{p},s} e^{ipx})$$

$$\psi^+(\vec{x}, t) = \sum_{\vec{p},s} \frac{m}{\sqrt{V E_p}} (C_{\vec{p},s}^+ U_{\vec{p},s}^+ e^{ipx} + d_{\vec{p},s} V_{\vec{p},s}^+ e^{-ipx})$$

麦克斯韦进

$$\vec{p} = \vec{p}_n = \vec{n} \frac{2\pi}{L}$$

动量分立

当  $L \rightarrow \infty, V \rightarrow \infty$  时, 动量由分立  $\rightarrow$  连续

$$\sum_{\vec{p}} \rightarrow \int \frac{V}{(2\pi)^3} d^3 p, \quad U_{\vec{p},s}^{\text{麦}} = \frac{1}{\sqrt{2m}} U_s(\vec{p}), \quad V_{\vec{p},s}^{\text{麦}} = \frac{1}{\sqrt{2m}} V_s(\vec{p})$$

$$\text{引入 } C_s^{\text{黄}}(\vec{p}) = \sqrt{2 E_p} V C_{\vec{p},s}, \quad d_s^{\text{黄}}(\vec{p}) = \sqrt{2 E_p} V d_{\vec{p},s}$$

$$\psi(\vec{x}, t) = \int \frac{d^3 p}{(2\pi)^3} \sum_s V \sqrt{\frac{m}{V E_p}} \left( C_{\vec{p},s}^{\text{麦}} \frac{1}{\sqrt{2m}} U_s(\vec{p}) e^{-ipx} + d_{\vec{p},s}^{\text{麦}} \frac{1}{\sqrt{2m}} V_s(\vec{p}) e^{ipx} \right)$$

$$= \int \frac{d^3 p}{(2\pi)^3} \sum_s \sqrt{\frac{V}{2 E_p}} \left( C_{\vec{p},s}^{\text{麦}} U_s^{\text{黄}}(\vec{p}) e^{-ipx} + d_{\vec{p},s}^{\text{麦}} V_s^{\text{黄}}(\vec{p}) e^{ipx} \right)$$

$$= \int \frac{d^3 p}{(2\pi)^3} \frac{1}{2 E_p} \sum_s \left( \sqrt{\frac{V}{2 E_p}} (C_{\vec{p},s}^{\text{麦}} U_s^{\text{黄}}(\vec{p}) e^{-ipx} + d_{\vec{p},s}^{\text{麦}} V_s^{\text{黄}}(\vec{p}) e^{ipx}) \right)$$

$$\therefore \psi(\vec{x}, t) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{2 E_p} \sum_s (C_s^{\text{黄}}(\vec{p}) U_s(\vec{p}) e^{-ipx} + d_s^{\text{黄}}(\vec{p}) V_s(\vec{p}) e^{ipx})$$

$$\vec{p} = -\infty, \infty$$

动量连续

$$\psi^+(\vec{x}, t) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{2 E_p} \sum_s (C_s^{\text{黄}}(\vec{p}) U_s^{\text{黄}}(\vec{p}) e^{ipx} + d_s^{\text{黄}}(\vec{p}) V_s^{\text{黄}}(\vec{p}) e^{-ipx})$$

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3. 动量空间的振幅算子  $\{C_{\vec{p},s}, d_{\vec{p},s}^+, C_{\vec{p},s}^+, d_{\vec{p},s}\}$ .

$$\begin{cases} C_{\vec{p},s} = \sqrt{\frac{m}{V E_{\vec{p}}}} \int e^{i \vec{p} \cdot \vec{x}} U_{\vec{p},s}^\dagger \psi(x) d^3x & K \text{ 分立} \\ d_{\vec{p},s}^+ = \sqrt{\frac{m}{V E_{\vec{p}}}} \int e^{-i \vec{p} \cdot \vec{x}} U_{\vec{p},s}^+ \psi(x) d^3x & \text{ 越志进} \end{cases}$$

$$\begin{cases} C_{\vec{p},s}^+ = \sqrt{\frac{m}{V E_{\vec{p}}}} \int e^{-i \vec{p} \cdot \vec{x}} \psi^\dagger(x) U_{\vec{p},s} d^3x \\ d_{\vec{p},s} = \sqrt{\frac{m}{V E_{\vec{p}}}} \int e^{i \vec{p} \cdot \vec{x}} \psi^\dagger(x) U_{\vec{p},s}^+ d^3x \end{cases}$$

当  $L \rightarrow \infty, V \rightarrow \infty$  时.

$$\text{引入 } C_s(\vec{p}) = \sqrt{2E_p V} C_{\vec{p},s}^+, \quad d_s^+(\vec{p}) = \sqrt{2E_p V} d_{\vec{p},s}^+$$

$$\cancel{C_s(\vec{p})} \quad C_{\vec{p},s} = \sqrt{\frac{m}{V E_{\vec{p}}}} \int e^{i \vec{p} \cdot \vec{x}} \frac{1}{\sqrt{2m}} U_s(\vec{p}) \psi(x) d^3x = \frac{1}{\sqrt{2E_p V}} \int e^{i \vec{p} \cdot \vec{x}} U_s(\vec{p}) \psi(x) d^3x \equiv \frac{C_s(\vec{p})}{\sqrt{2E_p V}}$$

$$C_s(\vec{p}) = \int d^3x e^{-i \vec{p} \cdot \vec{x}} \psi(x) U_s(\vec{p})$$

$$\begin{cases} C_s(\vec{p}) = \int d^3x e^{+i \vec{p} \cdot \vec{x}} U_s^+(\vec{p}) \psi(x) & K \text{ 连续} \\ d_s^+(\vec{p}) = \int d^3x e^{-i \vec{p} \cdot \vec{x}} U_s^+(\vec{p}) \psi(x) & \text{ 真清} \end{cases}$$

$$\begin{cases} C_s^+(\vec{p}) = \int d^3x e^{-i \vec{p} \cdot \vec{x}} \psi^\dagger(x) U_s(\vec{p}) \\ d_s(\vec{p}) = \int d^3x e^{i \vec{p} \cdot \vec{x}} \psi^\dagger(x) U_s^+(\vec{p}) \end{cases}$$

## 四. 动量空间的场算符

$$\begin{cases} \psi(\vec{x}, t) = \sum_{\vec{p}, s} \sqrt{\frac{m}{V E_p}} (C_{\vec{p}, s} U_{\vec{p}, s} e^{-ipx} + d_{\vec{p}, s}^\dagger U_{\vec{p}, s}^* e^{ipx}) \\ \psi^\dagger(\vec{x}, t) = \sum_{\vec{p}, s} \sqrt{\frac{m}{V E_p}} (C_{\vec{p}, s}^\dagger U_{\vec{p}, s}^* e^{ipx} + d_{\vec{p}, s} U_{\vec{p}, s} e^{-ipx}) \end{cases}$$

其中  $\begin{cases} C_{\vec{p}, s} = \sqrt{\frac{m}{V E_p}} \int e^{ipx} U_{\vec{p}, s}^\dagger \psi(x) d^3x \\ d_{\vec{p}, s}^\dagger = \sqrt{\frac{m}{V E_p}} \int e^{-ipx} U_{\vec{p}, s}^* \psi(x) d^3x \end{cases}$

由于  $\psi(\vec{x}, t), \psi^\dagger(\vec{x}, t)$  可以量子化，故相应的  $C_{\vec{p}, s}, d_{\vec{p}, s}^\dagger, C_{\vec{p}, s}^\dagger, d_{\vec{p}, s}$  也可以量子化。

可证：

$$\begin{aligned} & \{C_{\vec{p}, s}, C_{\vec{p}', s'}^\dagger\} = \delta_{\vec{p}\vec{p}'} \delta_{ss'}, \\ & \{d_{\vec{p}, s}, d_{\vec{p}', s'}^\dagger\} = \delta_{\vec{p}\vec{p}'} \delta_{ss'}, \text{ 其他对易子为 } 0. \\ \xrightarrow{\text{分立}} & H = \sum_{\vec{p}, s} E_{\vec{p}} [C_{\vec{p}, s}^\dagger C_{\vec{p}, s} + d_{\vec{p}, s}^\dagger d_{\vec{p}, s} - 1] \\ ? & \vec{P} = \sum_{\vec{p}, s} \vec{p} [C_{\vec{p}, s}^\dagger C_{\vec{p}, s} + d_{\vec{p}, s}^\dagger d_{\vec{p}, s}] \\ ? & Q = \sum_{\vec{p}, s} [C_{\vec{p}, s}^\dagger C_{\vec{p}, s} - d_{\vec{p}, s}^\dagger d_{\vec{p}, s}] \end{aligned}$$

$$\begin{aligned} & \{C_s(\vec{p}), C_{s'}^\dagger(\vec{p}')\} = (2\pi)^3 2E_p \delta_{ss'} \delta^3(\vec{p} - \vec{p}') \\ & \{d_s(\vec{p}), d_{s'}^\dagger(\vec{p}')\} = (2\pi)^3 2E_p \delta_{ss'} \delta^3(\vec{p} - \vec{p}') \\ \xrightarrow{\text{连续}} & H = \int \frac{d^3 p}{(2\pi)^3} \frac{E_p}{2E_p} \sum_{s=1,2} [C_s^\dagger(\vec{p}) C_s(\vec{p}) + d_s^\dagger(\vec{p}) d_s(\vec{p})] \\ & \vec{P} = \int \frac{d^3 p}{(2\pi)^3} \frac{\vec{p}}{2E_p} \sum_{s=1,2} [C_s^\dagger(\vec{p}) C_s(\vec{p}) + d_s^\dagger(\vec{p}) d_s(\vec{p})] \\ & Q = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{2E_p} \sum_{s=1,2} [C_s^\dagger(\vec{p}) C_s(\vec{p}) - d_s^\dagger(\vec{p}) d_s(\vec{p})] \end{aligned}$$

证明：

$$\begin{aligned} (1) \{C_{\vec{p}, s}, C_{\vec{p}', s'}^\dagger\} &= \left\{ \sqrt{\frac{m}{V E}} \int e^{ipx} U_{\vec{p}, s}^\dagger \psi(\vec{x}, t) d^3x, \sqrt{\frac{m}{V E'}} \int e^{-ip'x'} \psi^\dagger(\vec{x}', t) U_{\vec{p}', s'} d^3x' \right\} \\ &= \frac{m}{\sqrt{V E E'}} \int d^3x d^3x' e^{i(px - p'x')} U_{\vec{p}, s}^\dagger U_{\vec{p}', s'} \{ \psi^\dagger(\vec{x}, t), \psi^\dagger(\vec{x}', t) \} = \delta^{\alpha\beta} \delta(\vec{x} - \vec{x}') \\ &= \frac{m}{\sqrt{V E E'}} \int d^3x e^{i(p - p')x} U_{\vec{p}, s}^\dagger U_{\vec{p}', s'} \stackrel{\text{正交}}{=} \frac{m}{\sqrt{V E E'}} U_{\vec{p}, s}^\dagger U_{\vec{p}', s'} \delta_{\vec{p}\vec{p}'} e^{i(E-E')t} \\ &= \frac{m}{\sqrt{V E E'}} e^{i(E-E')t} \delta_{\vec{p}\vec{p}'} U_{\vec{p}, s}^\dagger U_{\vec{p}', s'} = \delta_{\vec{p}\vec{p}'} \delta_{ss'} \\ &\quad \text{② } \beta(\vec{p} \cdot \vec{p} - m)U = \beta(\vec{p}' \cdot \vec{p} - \vec{p} \cdot \vec{p}')U = (\vec{p} \cdot \vec{p} - \beta m)U = 0, \therefore (\vec{p} \cdot \vec{p} + \beta m)U = EU \\ (2) H &= \int \psi^\dagger(\vec{x}) (-i\vec{p} \cdot \nabla + \beta m) \psi(\vec{x}) d^3x = \int d^3x \sum_{\vec{p}, s} \frac{m}{V E} [C_{\vec{p}, s}^\dagger U_{\vec{p}, s}^\dagger e^{ipx} + d_{\vec{p}, s} U_{\vec{p}, s} e^{-ipx}] (-i\vec{p} \cdot \nabla + \beta m) \sum_{\vec{p}', s'} \frac{m}{V E'} [C_{\vec{p}', s'}^\dagger U_{\vec{p}', s'}^\dagger e^{-ip'x'} + d_{\vec{p}', s'} U_{\vec{p}', s'} e^{ip'x'}] \\ &\quad \text{利用 } (-i\vec{p} \cdot \nabla + \beta m)U_{\vec{p}, s}^\dagger e^{-ipx} = (\vec{p} \cdot \vec{p} + \beta m)U_{\vec{p}, s}^\dagger e^{-ipx}, (-i\vec{p} \cdot \nabla + \beta m)U_{\vec{p}', s'} e^{ip'x'} = (-\vec{p}' \cdot \vec{p}' + \beta m)U_{\vec{p}', s'} e^{ip'x'} \\ &\quad = E_{\vec{p}, s} U_{\vec{p}, s}^\dagger e^{-ipx} - i\vec{p} \cdot \nabla U_{\vec{p}, s}^\dagger e^{-ipx} - i\vec{p} \cdot \nabla U_{\vec{p}', s'} e^{ip'x'} + E_{\vec{p}', s'} U_{\vec{p}', s'} e^{ip'x'} = -E_{\vec{p}, s} U_{\vec{p}, s}^\dagger e^{-ipx} - E_{\vec{p}', s'} U_{\vec{p}', s'} e^{ip'x'} \end{aligned}$$

$$\begin{aligned} & H = \int d^3x \sum_{\vec{p}, s} \sum_{\vec{p}', s'} \frac{m}{V E E'} [C_{\vec{p}, s}^\dagger U_{\vec{p}, s}^\dagger e^{ipx} + d_{\vec{p}, s} U_{\vec{p}, s} e^{-ipx}] [C_{\vec{p}', s'}^\dagger U_{\vec{p}', s'}^\dagger e^{-ip'x'} - d_{\vec{p}', s'} U_{\vec{p}', s'} e^{ip'x'}] \\ & \cancel{H} = \int d^3x \sum_{\vec{p}, s} \sum_{\vec{p}', s'} \frac{m}{V E E'} [C_{\vec{p}, s}^\dagger C_{\vec{p}', s'}^\dagger U_{\vec{p}, s}^\dagger U_{\vec{p}', s'}^\dagger e^{i(p-p')x} + C_{\vec{p}, s}^\dagger d_{\vec{p}', s'}^\dagger U_{\vec{p}, s}^\dagger U_{\vec{p}', s'}^\dagger e^{i(p+p')x} + d_{\vec{p}, s}^\dagger C_{\vec{p}', s'}^\dagger U_{\vec{p}, s}^\dagger U_{\vec{p}', s'}^\dagger e^{-i(p-p')x} + d_{\vec{p}, s}^\dagger d_{\vec{p}', s'}^\dagger U_{\vec{p}, s}^\dagger U_{\vec{p}', s'}^\dagger e^{-i(p+p')x}] \\ & = \sum_{\vec{p}, s} \sum_{\vec{p}', s'} \frac{m}{V E E'} [C_{\vec{p}, s}^\dagger C_{\vec{p}', s'}^\dagger U_{\vec{p}, s}^\dagger U_{\vec{p}', s'}^\dagger e^{i(p-p')x} - d_{\vec{p}, s}^\dagger d_{\vec{p}', s'}^\dagger U_{\vec{p}, s}^\dagger U_{\vec{p}', s'}^\dagger e^{-i(p+p')x}] \\ & = \sum_{\vec{p}, s} m [C_{\vec{p}, s}^\dagger C_{\vec{p}, s}^\dagger U_{\vec{p}, s}^\dagger U_{\vec{p}, s}^\dagger - C_{\vec{p}, s}^\dagger d_{\vec{p}, s}^\dagger U_{\vec{p}, s}^\dagger U_{\vec{p}, s}^\dagger + d_{\vec{p}, s}^\dagger C_{\vec{p}, s}^\dagger U_{\vec{p}, s}^\dagger U_{\vec{p}, s}^\dagger - d_{\vec{p}, s}^\dagger d_{\vec{p}, s}^\dagger U_{\vec{p}, s}^\dagger U_{\vec{p}, s}^\dagger] = \sum_{\vec{p}, s} E [C_{\vec{p}, s}^\dagger C_{\vec{p}, s}^\dagger - d_{\vec{p}, s}^\dagger d_{\vec{p}, s}^\dagger] \end{aligned}$$

# 五粒子数表象

$$\text{引入 } N = C_{\vec{p},s}^+ C_{\vec{p},s}$$

$$\bar{N} = d_{\vec{p},s}^+ d_{\vec{p},s}$$

1. 性质：

$$[N, C^+] = [C^+ C, C^+] = C^+ C C^+ - \cancel{C^+ C^+ C} = C^+ C C^+ = C^+ (1 - C^+ C) = C^+ \cancel{C C C} = C^+$$

$$[N, C] = [C^+ C, C] = C^+ \cancel{C C} - C C^+ C = -C(1 - C C^+) = -C + C C C^+ = -C$$

$$N^2 = C^+ C C^+ C = C^+ C (1 - C^+ C) C = C^+ C = N, \quad \bar{N} \text{ 亦有类似性质.}$$

2. 取  $N$  对扁化表象  $|n\rangle$ ,  $\bar{N}$  对角化表象  $|\bar{n}\rangle$ .

$$N|n\rangle = n|n\rangle,$$

$$\bar{N}|\bar{n}\rangle = \bar{n}|\bar{n}\rangle.$$

$$\text{则 } N^2|n\rangle = N|n\rangle$$

$$\bar{N}^2|\bar{n}\rangle = \bar{N}|\bar{n}\rangle$$

$$\text{故 } n^2 = n \text{ 即 } n=0, 1; \quad \bar{n}^2 = \bar{n}, \text{ 即 } \bar{n}=0, 1$$

$\therefore N$  或  $\bar{N}$  的本征值只有两个：0, 1，这表明一个量子态上最多只能容纳一个粒子  
从而服从泡利不相容原理，费米狄拉克统计。

3. 产生湮灭算符.

$$\begin{cases} NC^+|n\rangle = (C^+ + C^+ N)|n\rangle = (n+1)C^+|n\rangle, & \text{故 } C^+|n\rangle \text{ 仍为 } N \text{ 本征态, } C^+ \text{ 称产生算符} \\ NC|n\rangle = (-C + C N)|n\rangle = (n-1)C|n\rangle & C|n\rangle \text{ 仍为 } N \text{ 本征态, } C \text{ 称湮灭算符.} \end{cases}$$

$$\begin{cases} \bar{N}d^+|\bar{n}\rangle = (d^+ + d^+ \bar{N})|\bar{n}\rangle = (\bar{n}+1)d^+|\bar{n}\rangle & \text{故 } d^+|\bar{n}\rangle \text{ 仍为 } \bar{N} \text{ 本征态, } d^+ \text{ 称反粒子产生算符} \\ Nd|\bar{n}\rangle = (-d + d \bar{N})|\bar{n}\rangle = (\bar{n}-1)d|\bar{n}\rangle & d|\bar{n}\rangle \text{ 仍为 } \bar{N} \text{ 本征态, } d \text{ 称反粒子湮灭算符.} \end{cases}$$

4. 角解释.

$$\begin{aligned} 1) & \begin{cases} C_{\vec{p},s}^+ |0\rangle & \text{一个动量为 } \vec{p}, \text{ 自旋为 } s \text{ 的单粒子本征态;} \\ d_{\vec{p},s}^+ |0\rangle & \text{一个动量为 } \vec{p}, \text{ 自旋为 } s \text{ 的反粒子本征态;} \end{cases} \begin{cases} C_{\vec{p},s}^+ C_{\vec{p},s}^+ |0\rangle = 0 & \text{不可能有相同粒子} \\ d_{\vec{p},s}^+ d_{\vec{p},s}^+ |0\rangle = 0 & \text{处于同一个量子态.} \end{cases} \end{aligned}$$

$$3) C_{\vec{p},s}^+ C_{\vec{p}',s'}^+ |0\rangle = -C_{\vec{p}',s'}^+ C_{\vec{p},s}^+ |0\rangle \quad \text{两个费米子交换位置时, 系统状态出一个“负号”, 费米狄拉克统计.}$$

$$4) \text{由 } Q \text{ 和 动量空间表示. } Q = \sum_{\vec{p}} (C_{\vec{p},s}^+ C_{\vec{p},s}^+ - d_{\vec{p},s}^+ d_{\vec{p},s}^+), \quad Q = \sum_{\vec{p},s} [C_{\vec{p},s}^+ C_{\vec{p},s}^+ - d_{\vec{p},s}^+ d_{\vec{p},s}^+],$$

$$[Q, C_{\vec{p},s}^+] = \left[ \sum_{\vec{p}'s'} (C_{\vec{p}',s'}^+ C_{\vec{p},s}^+ - d_{\vec{p}',s'}^+ d_{\vec{p},s}^+), C_{\vec{p},s}^+ \right] = \sum_{\vec{p}'s'} (C_{\vec{p}',s'}^+ \{ C_{\vec{p},s}^+, C_{\vec{p},s}^+ \}) = \sum_{\vec{p}'s'} C_{\vec{p}',s'}^+ \delta_{\vec{p}\vec{p}'} \delta_{ss'} = C_{\vec{p},s}^+$$

$$[Q, d_{\vec{p},s}^+] = \dots - d_{\vec{p},s}^+$$

$$\therefore Q C_{\vec{p},s}^+ |0\rangle = [Q, C_{\vec{p},s}^+] |0\rangle = C_{\vec{p},s}^+ |0\rangle, \quad C_{\vec{p},s}^+ |0\rangle \text{ 是 } Q \text{ 的本征值为 } +1 \text{ 的态. } Q \text{ 偶电荷}$$

$$Q d_{\vec{p},s}^+ |0\rangle = [Q, d_{\vec{p},s}^+] |0\rangle = -d_{\vec{p},s}^+ |0\rangle, \quad d_{\vec{p},s}^+ |0\rangle \text{ 是 } Q \text{ 的本征值为 } -1 \text{ 的态. } \text{ 反粒子.}$$