Our Custodial Relationships



Hightower does not take custody of its clients' assets. We guide your choice of third-party custodians to hold and protect your money. Instead of limiting your custodial choice to a single legacy or captive relationship, Hightower has forged partnerships with several reliable, secure, and independent firms with deep financial experience.

SEPARATION OF SERVICES = CHECKS & BALANCES

The functions of the three service providers above are separate and independent to provide for a system of checks and balances.

When the investment advisor is independent of the other service providers, it minimizes or eliminates potential conflicts of interest.

1. BROKER/DEALER TRADE EXECUTION

2. CUSTODIAN ASSET PROTECTION

3. INVESTMENT ADVISOR / MONEY MANAGERS

Investment Advisors & Custodians

Investment Advisors

• Assess the financial needs and goals of clients

Custodians

• Safeguard financial assets

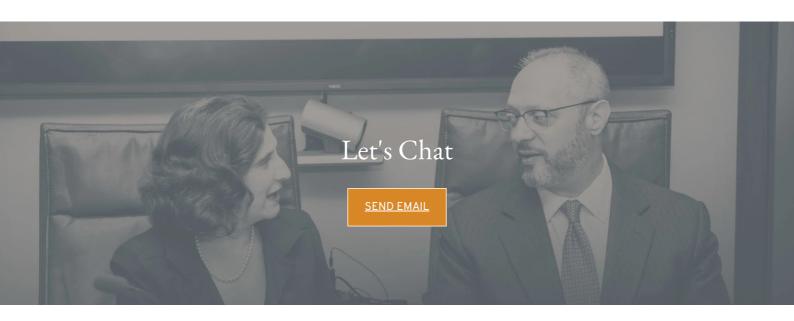
- Provide portfolio management and investment recommendations to meet these financial goals
- Educate clients on investment options
- Monitor and update client goals and appropriate investment solutions
- Arrange settlement for purchases and sales of securities
- Issue statements and transaction confirmations



charles SCHWAB Pershing®

Awards & Accolades

Forbes Inc. 500 Barron's FT



405 Lexington Ave, 42nd Floor New York, NY 10174

Overview Team Structure

Resources

- Custodians
- Resources

Phone: (917) 286-2706 Fax: (917) 591-4906 Toll free: (800) 894-6040

- Fiduciary Standard
- Services
- Financial Planning
- Executive Compensation
- <u>Insurance</u>
- <u>Lending</u>
- Operational Support

<u>Investments</u>

- Our Custodians

- <u>Trade Execution</u>

- Trust Services

- Reporting

<u>FAQs</u>

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