

# Our Custodial Relationships



Hightower does not take custody of its clients' assets. We guide your choice of third-party custodians to hold and protect your money. Instead of limiting your custodial choice to a single legacy or captive relationship, Hightower has forged partnerships with several reliable, secure, and independent firms with deep financial experience.

## SEPARATION OF SERVICES = CHECKS & BALANCES

The functions of the three service providers above are separate and independent to provide for a system of checks and balances.

When the investment advisor is independent of the other service providers, it minimizes or eliminates potential conflicts of interest.

1. BROKER/DEALER TRADE  
EXECUTION

2. CUSTODIAN ASSET  
PROTECTION

3. INVESTMENT ADVISOR /  
MONEY MANAGERS

## Investment Advisors & Custodians

### Investment Advisors

- Assess the financial needs and goals of clients

### Custodians

- Safeguard financial assets

- Provide portfolio management and investment recommendations to meet these financial goals
- Educate clients on investment options
- Monitor and update client goals and appropriate investment solutions

- Arrange settlement for purchases and sales of securities
- Issue statements and transaction confirmations



*charles* SCHWAB

**Pershing**®

## Awards & Accolades

Forbes

Inc. 500

Barron's

FT

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