

COMP319 Algorithms

Lecture 3

Simple Sorting Methods

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Simple sorting methods

Insertion/selection/bubble sort



정렬의 정의

SORTING, DEFINITION

The Sorting Problem

- **Input:**

- A sequence of n numbers a_1, a_2, \dots, a_n

- **Output:**

- (Ascending, 오름차순) A permutation (reordering) a_1', a_2', \dots, a_n' of the input such that $a_1' \leq a_2' \leq \dots \leq a_n'$
- (Descending, 내림차순) $a_1' \geq a_2' \geq \dots \geq a_n'$

정렬 알고리즘의 개요

- 많은 정렬 알고리즘 존재
 - 단순하지만 비효율적인 방법 -- 삽입정렬, 선택정렬, 버블정렬 등
 - 복잡하지만 효율적인 방법 -- 퀵정렬, 힙정렬, 합병정렬, 기수정렬 등
- 정렬 알고리즘의 평가
 - 효율성: 비교회수 및 이동회수
 - 비교와 이동 회수는 비례하지 않음
- 모든 경우에 최적인 알고리즘은 없음
 - 응용에 맞추어 선택
 - 숫자와 문자열 비교, 숫자와 구조체 이동 고려

Sorting Algorithm Comparison

- Insertion/Selection/Bubble sort
 - Advantages: using less extra memory
 - Disadvantages: $T(n) = T(n-1) + cn \rightarrow O(n^2)$
- *Merge sort*
- *Quicksort*
- *Heapsort*

삽입 정렬

INSERTION SORT

삽입정렬 Insertion Sort

- 삽입정렬은 정렬되어 있는 부분에 새로운 레코드를 적절한 위치에 삽입하는 과정을 반복



삽입정렬의 과정



Insertion sort

Figure 8-17

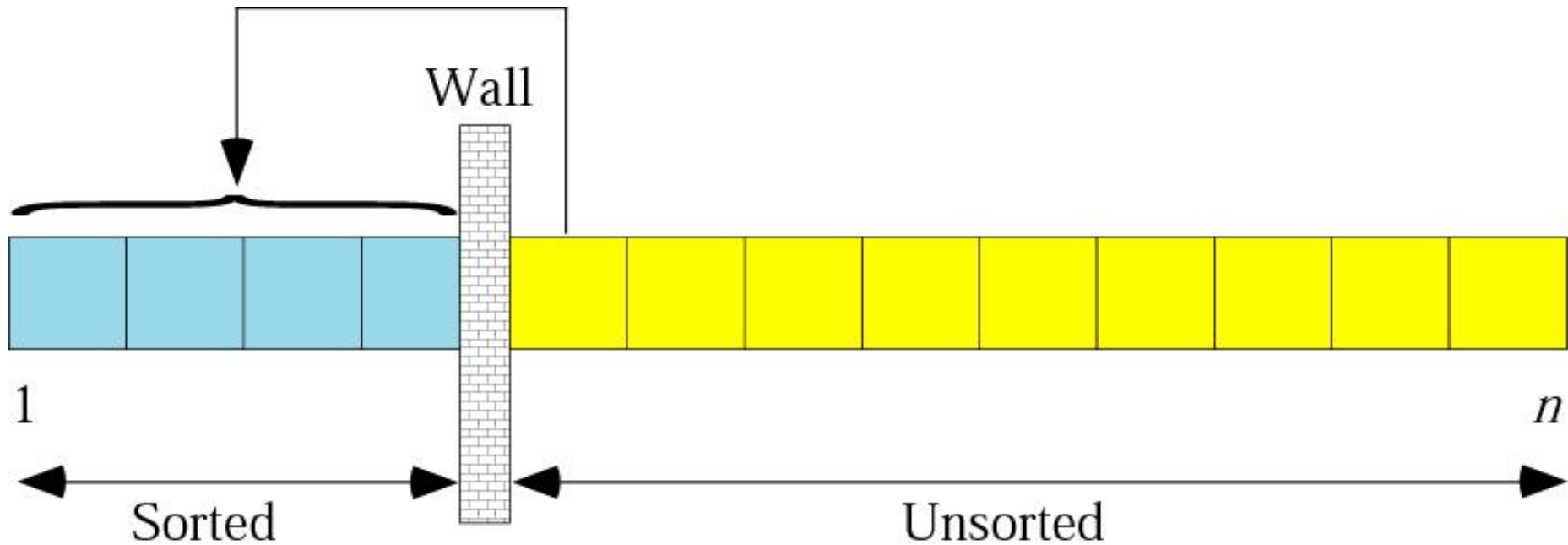
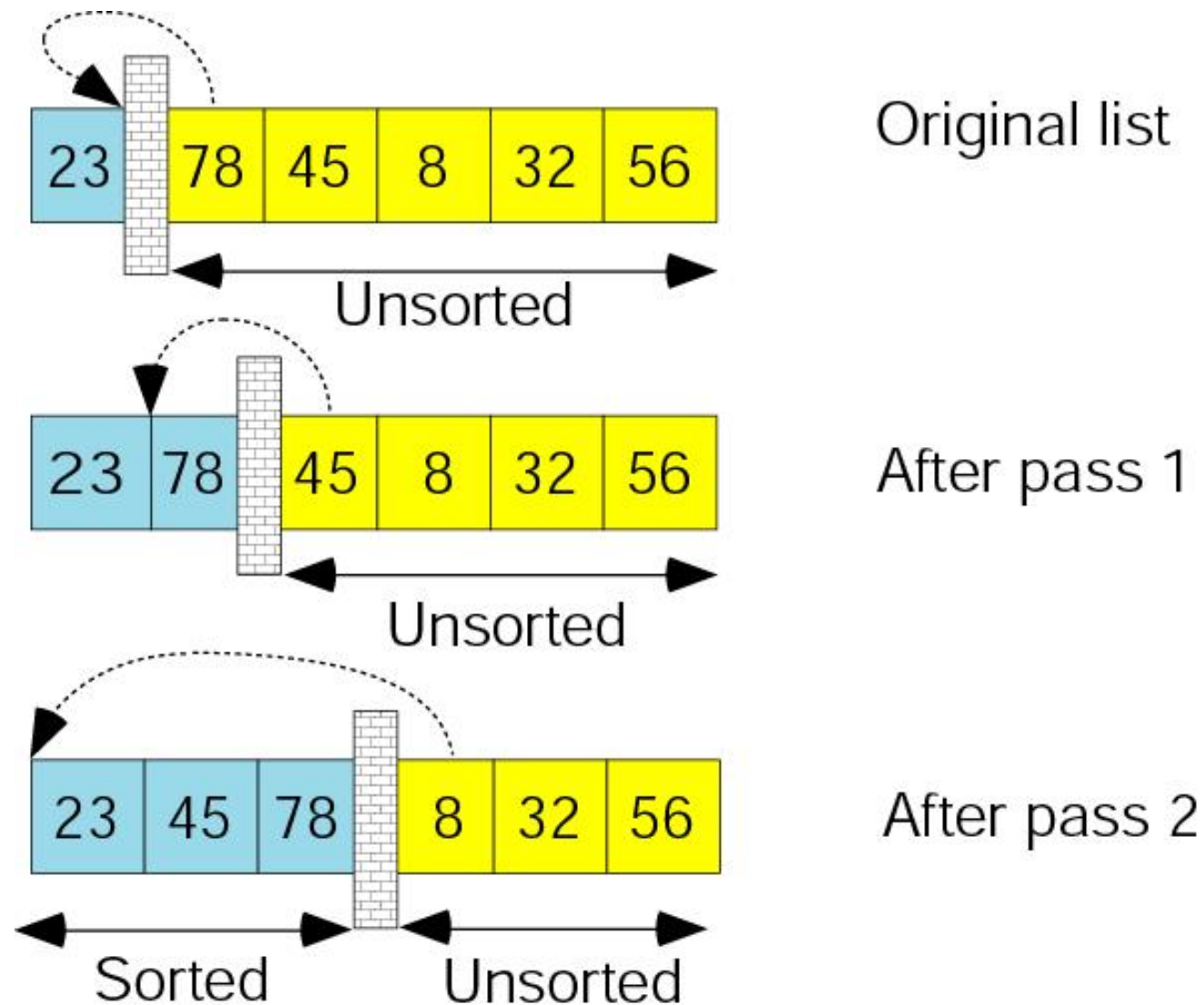


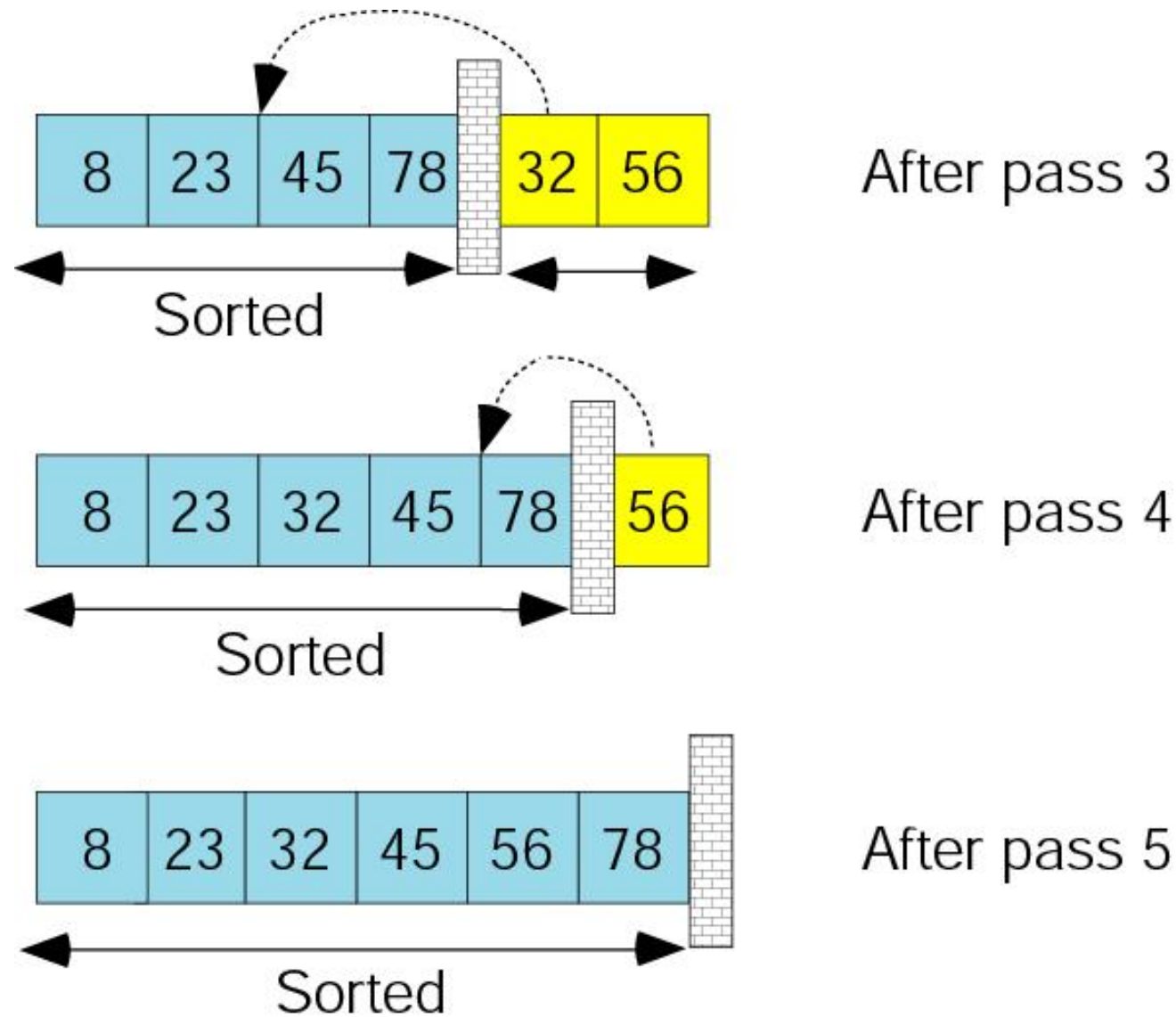
Figure 8-18: part I

Example of insertion sort



Example of insertion sort

Figure 8-18: part II



Pseudo code: Insertion Sort

```
/* Pseudo code: not an actual code,  
   index starts from 1 */  
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

An Example: Insertion Sort

30	10	40	20
1	2	3	4

$i = \emptyset$	$j = \emptyset$	$\text{key} = \emptyset$
$A[j] = \emptyset$	$A[j+1] = \emptyset$	




```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

An Example: Insertion Sort

30	10	40	20
1	2	3	4

$i = 2$	$j = 1$	$\text{key} = 10$
$A[j] = 30$	$A[j+1] = 10$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

An Example: Insertion Sort

30	30	40	20
1	2	3	4

$i = 2$	$j = 1$	$\text{key} = 10$
$A[j] = 30$	$A[j+1] = 30$	

```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```



An Example: Insertion Sort

30	30	40	20
1	2	3	4

$i = 2$	$j = 1$	$\text{key} = 10$
$A[j] = 30$	$A[j+1] = 30$	

```
InsertionSort(A, n) {  
  for i = 2 to n {  
    key = A[i]  
    j = i - 1;  
    while (j > 0) and (A[j] > key) {  
      A[j+1] = A[j]  
      j = j - 1  
    }  
    A[j+1] = key  
  }  
}
```



An Example: Insertion Sort

30	30	40	20
1	2	3	4

$i = 2$	$j = 0$	$\text{key} = 10$
$A[j] = \emptyset$	$A[j+1] = 30$	

```

InsertionSort(A, n) {
  for i = 2 to n {
    key = A[i]
    j = i - 1;
    while (j > 0) and (A[j] > key) {
      A[j+1] = A[j]
      j = j - 1
    }
    A[j+1] = key
  }
}

```



An Example: Insertion Sort

30	30	40	20
1	2	3	4

$i = 2$	$j = 0$	$\text{key} = 10$
$A[j] = \emptyset$	$A[j+1] = 30$	

```

InsertionSort(A, n) {
  for i = 2 to n {
    key = A[i]
    j = i - 1;
    while (j > 0) and (A[j] > key) {
      A[j+1] = A[j]
      j = j - 1
    }
    A[j+1] = key
  }
}

```



An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 2$	$j = 0$	$\text{key} = 10$
$A[j] = \emptyset$	$A[j+1] = 10$	

```

InsertionSort(A, n) {
  for i = 2 to n {
    key = A[i]
    j = i - 1;
    while (j > 0) and (A[j] > key) {
      A[j+1] = A[j]
      j = j - 1
    }
    A[j+1] = key
  }
}

```



An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 3$	$j = 0$	$\text{key} = 10$
$A[j] = \emptyset$	$A[j+1] = 10$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 3$	$j = 0$	$\text{key} = 40$
$A[j] = \emptyset$	$A[j+1] = 10$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 3$	$j = 0$	$\text{key} = 40$
$A[j] = \emptyset$	$A[j+1] = 10$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 3$	$j = 2$	$\text{key} = 40$
$A[j] = 30$	$A[j+1] = 40$	



```
InsertionSort(A, n) {  
  for i = 2 to n {  
    key = A[i]  
    j = i - 1;  
    while (j > 0) and (A[j] > key) {  
      A[j+1] = A[j]  
      j = j - 1  
    }  
    A[j+1] = key  
  }  
}
```

An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 3$	$j = 2$	$\text{key} = 40$
$A[j] = 30$	$A[j+1] = 40$	

```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```



An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 3$	$j = 2$	$\text{key} = 40$
$A[j] = 30$	$A[j+1] = 40$	

```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```



An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 4$	$j = 2$	$\text{key} = 40$
$A[j] = 30$	$A[j+1] = 40$	



```
InsertionSort(A, n) {  
  for i = 2 to n {  
    key = A[i]  
    j = i - 1;  
    while (j > 0) and (A[j] > key) {  
      A[j+1] = A[j]  
      j = j - 1  
    }  
    A[j+1] = key  
  }  
}
```

An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 4$	$j = 2$	$\text{key} = 20$
$A[j] = 30$	$A[j+1] = 40$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 4$	$j = 2$	$\text{key} = 20$
$A[j] = 30$	$A[j+1] = 40$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 4$	$j = 3$	$\text{key} = 20$
$A[j] = 40$	$A[j+1] = 20$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

An Example: Insertion Sort

10	30	40	20
1	2	3	4

$i = 4$	$j = 3$	$\text{key} = 20$
$A[j] = 40$	$A[j+1] = 20$	

```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```



An Example: Insertion Sort

10	30	40	40
1	2	3	4

$i = 4$	$j = 3$	$\text{key} = 20$
$A[j] = 40$	$A[j+1] = 40$	



```
InsertionSort(A, n) {  
  for i = 2 to n {  
    key = A[i]  
    j = i - 1;  
    while (j > 0) and (A[j] > key) {  
      A[j+1] = A[j]  
      j = j - 1  
    }  
    A[j+1] = key  
  }  
}
```

An Example: Insertion Sort

10	30	40	40
1	2	3	4

$i = 4$	$j = 3$	$\text{key} = 20$
$A[j] = 40$	$A[j+1] = 40$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

An Example: Insertion Sort

10	30	40	40
1	2	3	4

$i = 4$	$j = 3$	$\text{key} = 20$
$A[j] = 40$	$A[j+1] = 40$	

```
InsertionSort(A, n) {  
  for i = 2 to n {  
    key = A[i]  
    j = i - 1;  
    while (j > 0) and (A[j] > key) {  
      A[j+1] = A[j]  
      j = j - 1  
    }  
    A[j+1] = key  
  }  
}
```



An Example: Insertion Sort

10	30	40	40
1	2	3	4

$i = 4$	$j = 2$	$\text{key} = 20$
$A[j] = 30$	$A[j+1] = 40$	

```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
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            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```



An Example: Insertion Sort

10	30	40	40
1	2	3	4

$i = 4$	$j = 2$	$\text{key} = 20$
$A[j] = 30$	$A[j+1] = 40$	

```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```



An Example: Insertion Sort

10	30	30	40
1	2	3	4

$i = 4$	$j = 2$	$\text{key} = 20$
$A[j] = 30$	$A[j+1] = 30$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

An Example: Insertion Sort

10	30	30	40
1	2	3	4

$i = 4$	$j = 2$	$\text{key} = 20$
$A[j] = 30$	$A[j+1] = 30$	

```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```



An Example: Insertion Sort

10	30	30	40
1	2	3	4

$i = 4$	$j = 1$	$\text{key} = 20$
$A[j] = 10$	$A[j+1] = 30$	

```
InsertionSort(A, n) {  
  for i = 2 to n {  
    key = A[i]  
    j = i - 1;  
    while (j > 0) and (A[j] > key) {  
      A[j+1] = A[j]  
      j = j - 1  
    }  
    A[j+1] = key  
  }  
}
```




An Example: Insertion Sort

10	30	30	40
1	2	3	4

$i = 4$	$j = 1$	$\text{key} = 20$
$A[j] = 10$	$A[j+1] = 30$	

```
InsertionSort(A, n) {  
  for i = 2 to n {  
    key = A[i]  
    j = i - 1;  
    while (j > 0) and (A[j] > key) {  
      A[j+1] = A[j]  
      j = j - 1  
    }  
    A[j+1] = key  
  }  
}
```



An Example: Insertion Sort

10	20	30	40
1	2	3	4

$i = 4$	$j = 1$	$\text{key} = 20$
$A[j] = 10$	$A[j+1] = 20$	

```
InsertionSort(A, n) {  
  for i = 2 to n {  
    key = A[i]  
    j = i - 1;  
    while (j > 0) and (A[j] > key) {  
      A[j+1] = A[j]  
      j = j - 1  
    }  
    A[j+1] = key  
  }  
}
```



An Example: Insertion Sort

10	20	30	40
1	2	3	4

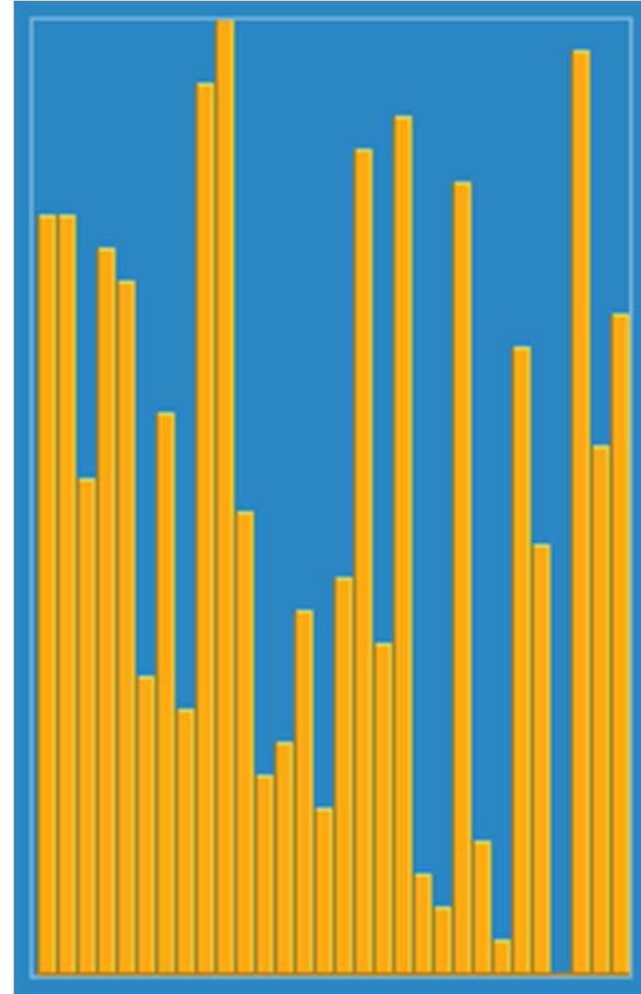
$i = 4$	$j = 1$	$\text{key} = 20$
$A[j] = 10$	$A[j+1] = 20$	

```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

Done!

Animating Insertion Sort

6 5 3 1 8 7 2 4



Picture credit: Wikipedia, https://en.wikipedia.org/wiki/Insertion_sort

Slide credit: J. Lillis, UIC's CS 201 Data Structures and Discrete Mathematics I

Insertion Sort C code

```
/* source: https://ko.wikipedia.org/ */  
void insertion_sort ( int *data, int n ) {  
    int i, j, remember;  
    for ( i = 1; i < n; i++ ) {  
        remember = data[(j=i)];  
        while ( --j >= 0 && remember < data[j] ) {  
            data[j+1] = data[j];  
            data[j] = remember;  
        }  
    }  
}
```

선택 정렬

SELECTION SORT

선택정렬 Selection Sort

- 선택정렬(selection sort): 정렬이 안된 숫자들중에서 최소값을 선택하여 배열의 첫번째 요소와 교환
- 방법 1: 2개의 리스트 고려

리스트 1

(5, 3, 8, 1, 2, 7)

(5, 3, 8, 2, 7)

(5, 3, 8, 7)

(5, 8, 7)

...

리스트 2

()

(1)

(1, 2)

(1, 2, 3)

...

설명

초기 상태

리스트 1의 가장 작은 원소를 선택 => 리스트2로 이동

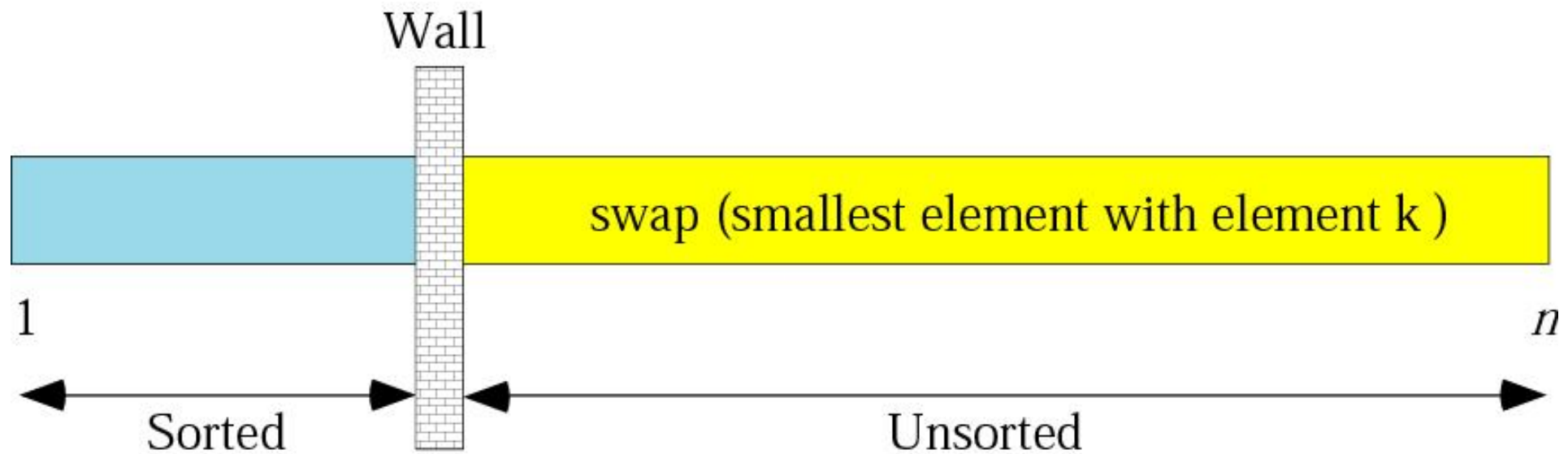
선택정렬(selection sort)

- 방법 2: 한 개의 리스트(배열)로 해결
 1. 가장 작은 원소를 첫번째 배열 원소와 교환
 2. 두번째 작은 원소를 두번째 배열 원소와 교환
 3. ...



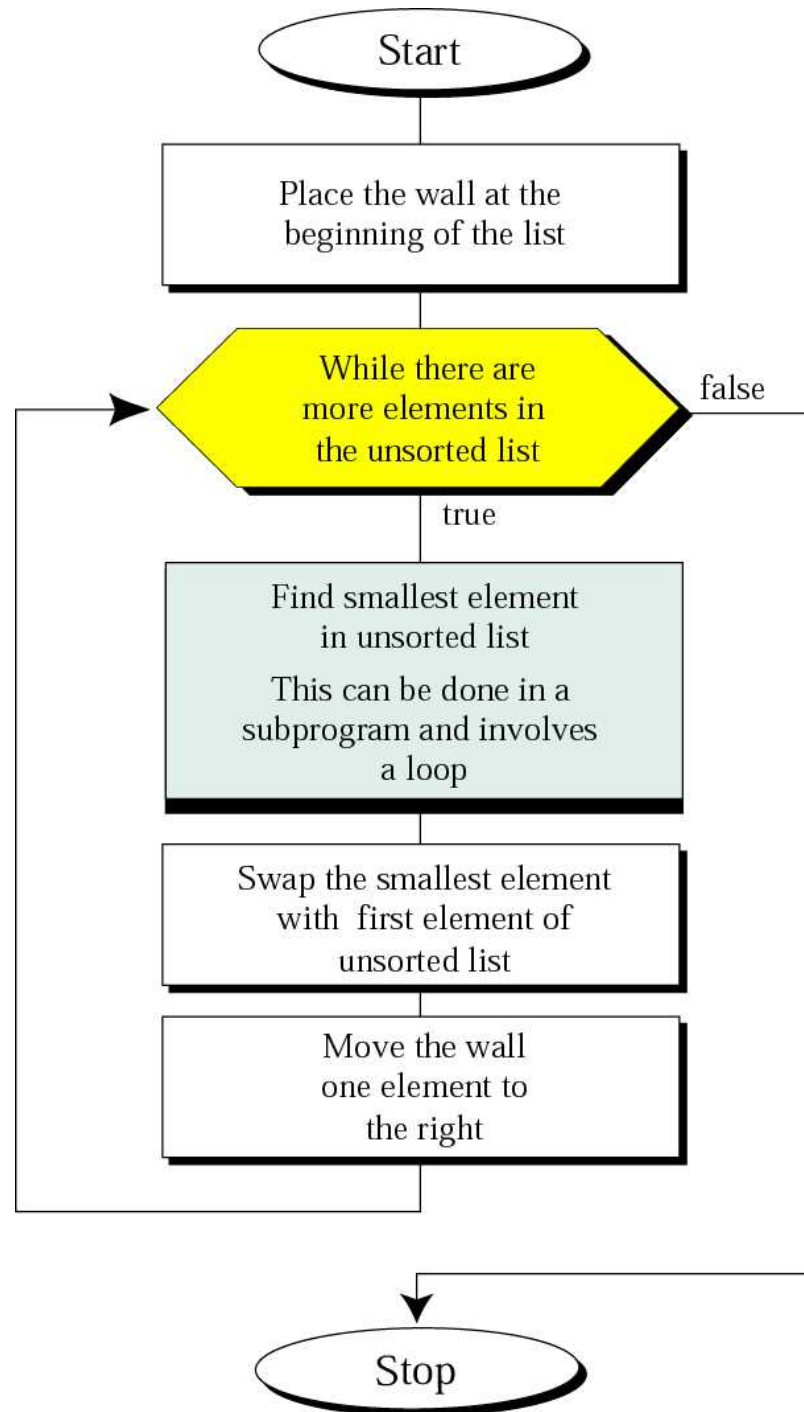
Selection sort

Figure 8-12

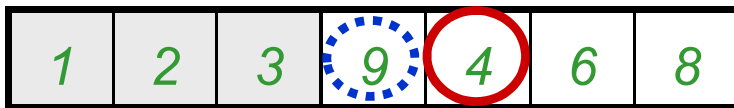


Selection sort algorithm

Figure 8-14



Example



정렬할 배열이 주어짐

가장 작은 수를 찾는다 (1)

1을 맨 왼쪽 수(8)와 자리 바꾼다

맨 왼쪽 수를 제외한 나머지에서 가장 큰 수를 찾는다 (2)

2를 맨 왼쪽 수(4)와 자리 바꾼다

맨 왼쪽 두 수를 제외한 나머지에서 가장 큰 수를 찾는다 (3)

...

정렬이 완료된 최종 배열

Selection Sort

Alg.: SELECTION-SORT(A)

$n \leftarrow \text{length}[A]$

for $j \leftarrow 1$ to $n - 1$

do $\text{smallest} \leftarrow j$

for $i \leftarrow j + 1$ to n

do if $A[i] < A[\text{smallest}]$

then $\text{smallest} \leftarrow i$

exchange $A[j] \leftrightarrow A[\text{smallest}]$

8	4	6	9	2	3	1
---	---	---	---	---	---	---

Analysis of Selection Sort

Alg.: SELECTION-SORT(A)

$n \leftarrow \text{length}[A]$

for $j \leftarrow 1$ to $n - 1$

do $\text{smallest} \leftarrow j$

$\approx n^2/2$

comparisons

for $i \leftarrow j + 1$ to n

do if $A[i] < A[\text{smallest}]$

$\approx n$

exchanges

then $\text{smallest} \leftarrow i$

exchange $A[j] \leftrightarrow A[\text{smallest}]$

cost times

c_1 1

c_2 n

c_3 $n-1$

c_4 $\sum_{j=1}^{n-1} (n - j + 1)$

c_5 $\sum_{j=1}^{n-1} (n - j)$

c_6 $\sum_{j=1}^{n-1} (n - j)$

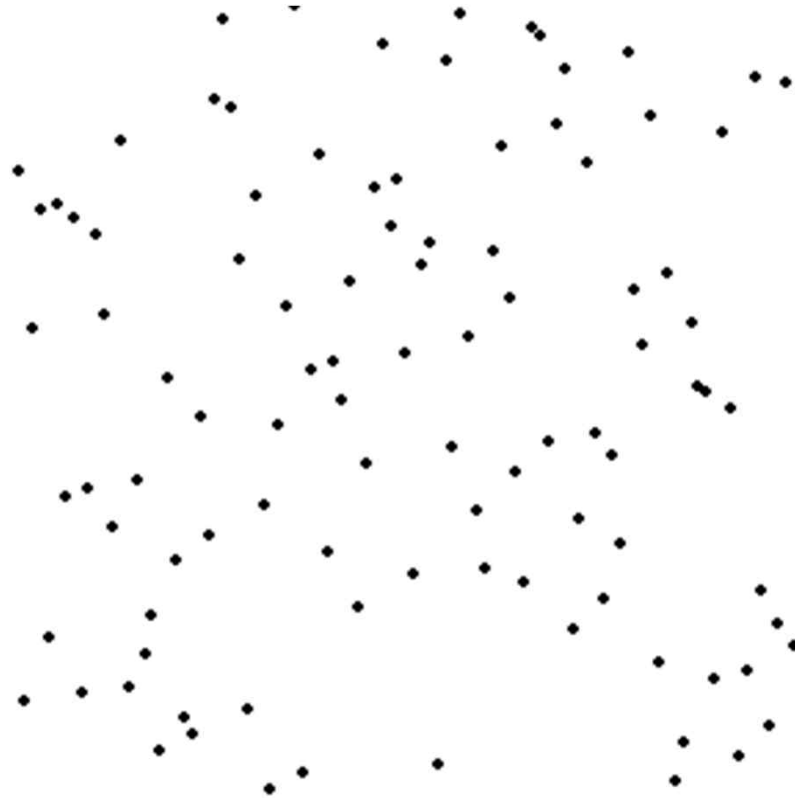
c_7 $n-1$

$$T(n) = c_1 + c_2 n + c_3 (n - 1) + c_4 \sum_{j=1}^{n-1} (n - j + 1) + c_5 \sum_{j=1}^{n-1} (n - j) + c_6 \sum_{j=2}^{n-1} (n - j) + c_7 (n - 1)$$

$$\propto n^2$$

Animating Selection Sort

	8
	5
	2
	6
	9
	3
	1
	4
	0
	7



Picture credit: Wikipedia, https://en.wikipedia.org/wiki/Selection_sort

Slide credit: J. Lillis, UIC's CS 201 Data Structures and Discrete Mathematics I

Selection Sort C code

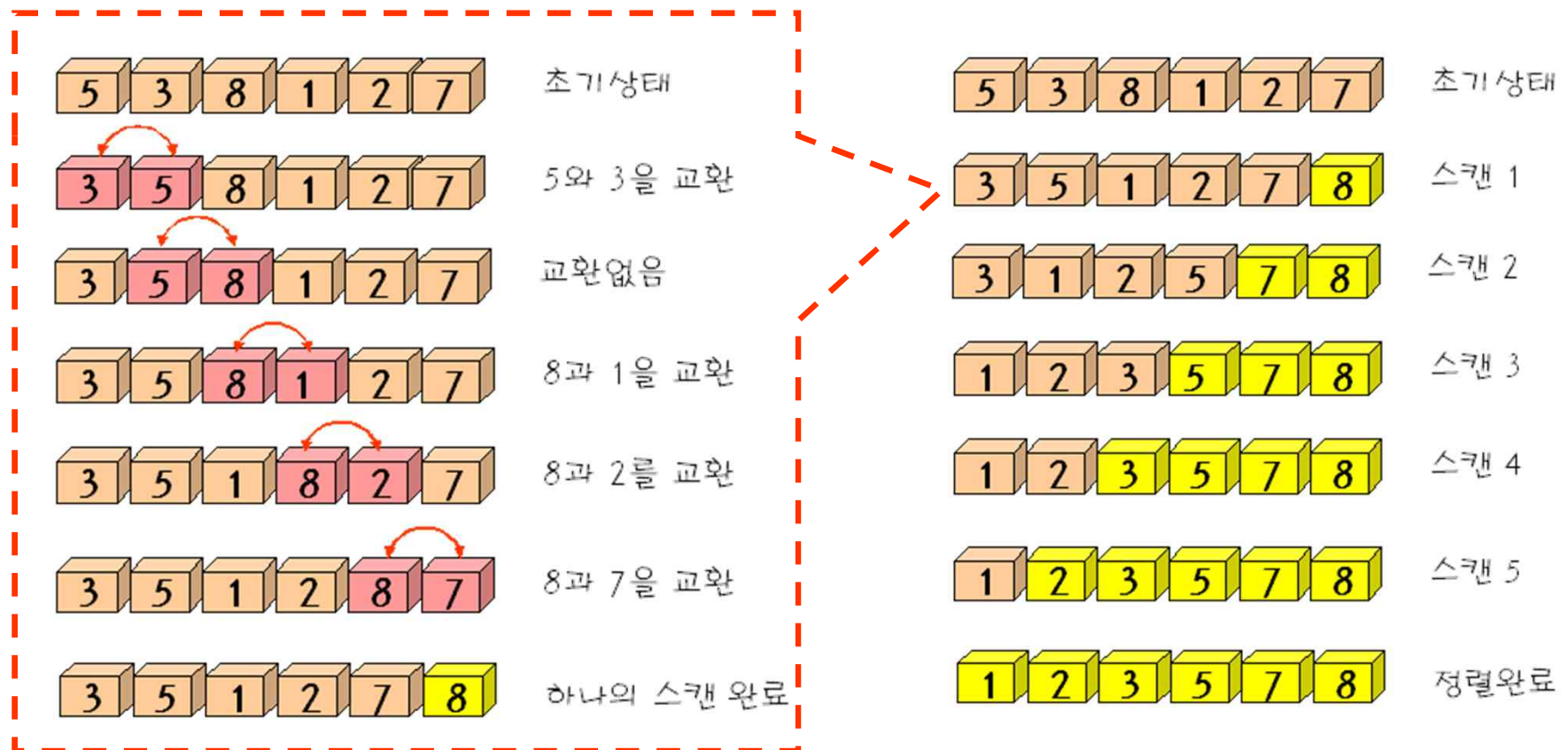
```
/* source: https://ko.wikipedia.org/ */  
void selectionSort(int *list, const int n) {  
    int i, j, indexMin, temp;  
    for (i = 0; i < n - 1; i++) {  
        indexMin = i;  
        for (j = i + 1; j < n; j++) {  
            if (list[j] < list[indexMin]) {  
                indexMin = j;  
            }  
        }  
        temp = list[indexMin];  
        list[indexMin] = list[i];  
        list[i] = temp;  
    }  
}
```

거품 정렬

BUBBLE SORT

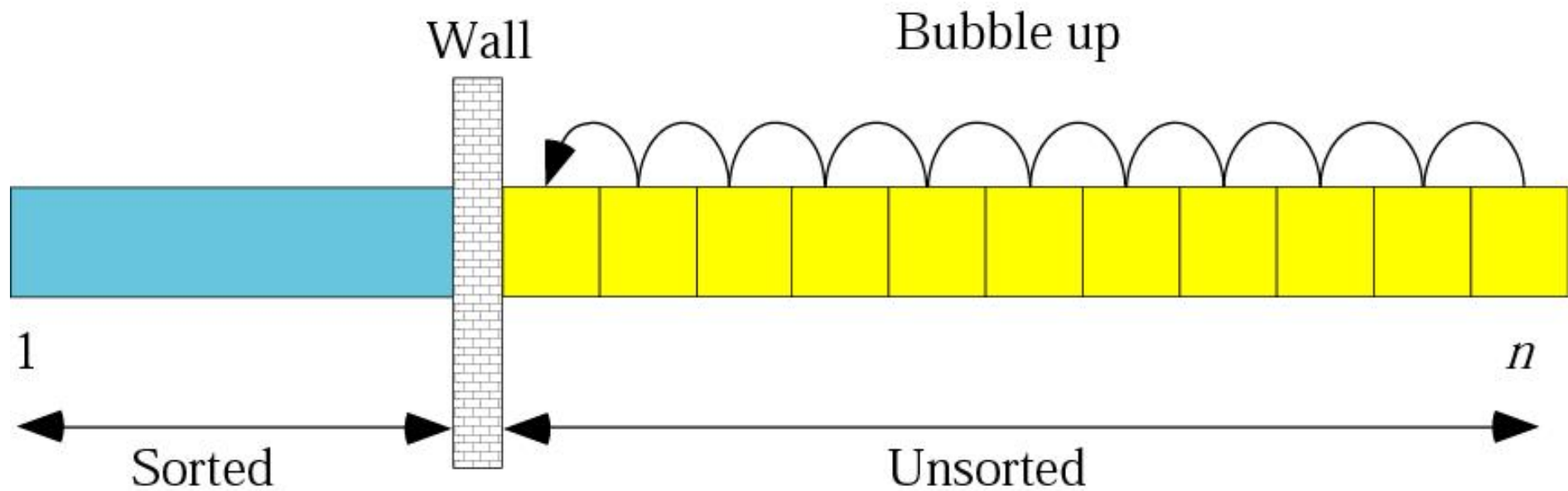
거품정렬(bubble sort)

- 인접한 레코드가 순서대로 되어 있지않으면 교환
- 전체가 정렬될때까지 비교/교환계속



Bubble sort

Figure 8-15



버블정렬의 작동 예

정렬할 배열이 주어진다

3	31	48	73	8	11	20	29	65	15
---	----	----	----	---	----	----	----	----	----

왼쪽부터 시작해 이웃한 쌍들을 비교해 나간다

3	31	48	73	8	11	20	29	65	15
---	----	----	----	---	----	----	----	----	----

순서대로 되어 있지 않으면 자리 바꾼다

3	31	48	8	73	11	20	29	65	15
---	----	----	---	----	----	----	----	----	----

3	31	48	8	11	73	20	29	65	15
---	----	----	---	----	----	----	----	----	----

3	31	48	8	11	20	73	29	65	15
---	----	----	---	----	----	----	----	----	----

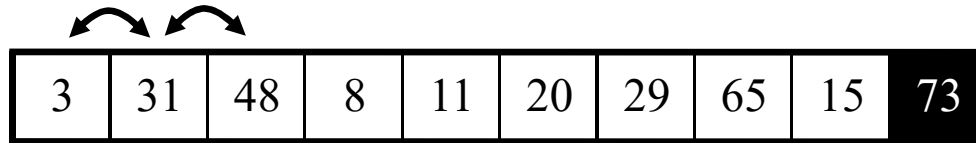
...

3	31	48	8	11	20	29	65	15	73
---	----	----	---	----	----	----	----	----	----

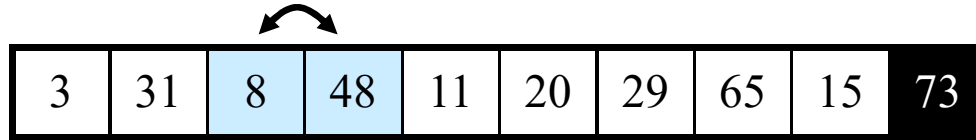
맨 오른쪽 수(73)를 대상에서 제외한다

3	31	48	8	11	20	29	65	15	73
---	----	----	---	----	----	----	----	----	----

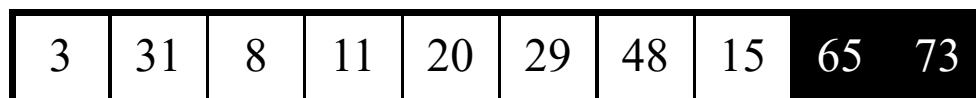
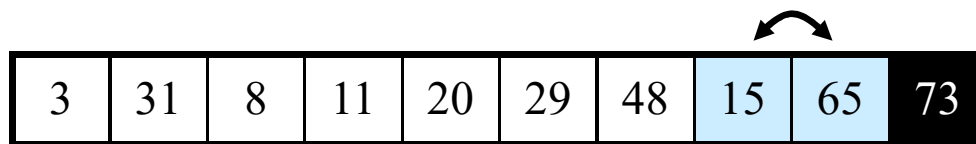
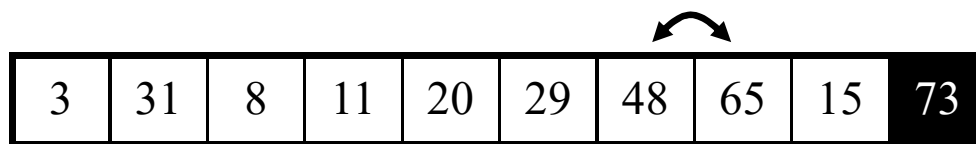
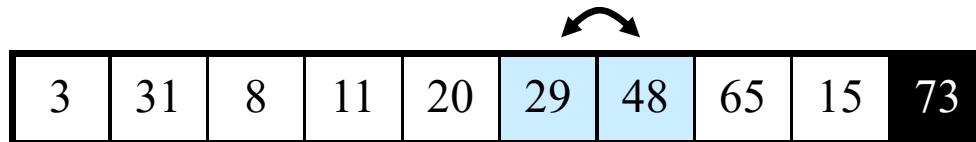
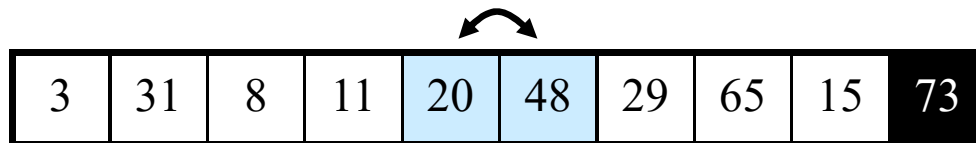
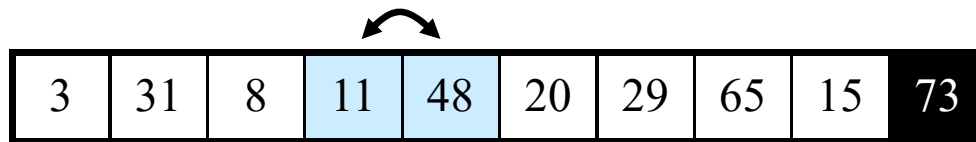
버블정렬의 작동 예



왼쪽부터 시작해 이웃한 쌍들을 비교해간다



순서대로 되어 있지 않은 경우에는 자리 바꾼다



맨 오른쪽 수(65)를 대상에서 제외한다

앞의 작업을 반복하면서 계속 제외해 나간다

...

3	8	11	15	20	29	31	48	65	73
---	---	----	----	----	----	----	----	----	----

두개짜리 배열의 처리를 끝으로 정렬이 완료된다

3	8	11	15	20	29	31	48	65	73
---	---	----	----	----	----	----	----	----	----

3	8	11	15	20	29	31	48	65	73
---	---	----	----	----	----	----	----	----	----

버블정렬의 작동 예

Bubble Sort

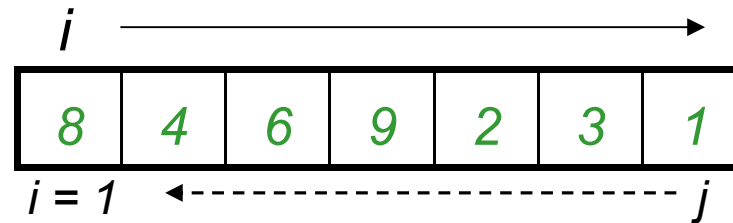
Alg.: BUBBLESORT(A)

for $i \leftarrow 1$ to $\text{length}[A]$

do for $j \leftarrow \text{length}[A]$ downto $i + 1$

do if $A[j] < A[j - 1]$

then exchange $A[j] \leftrightarrow A[j - 1]$



Easier to implement, but slower than Insertion sort

Bubble-Sort Running Time

Alg.: BUBBLESORT(A)

for $i \leftarrow 1$ **to** $\text{length}[A]$ c_1

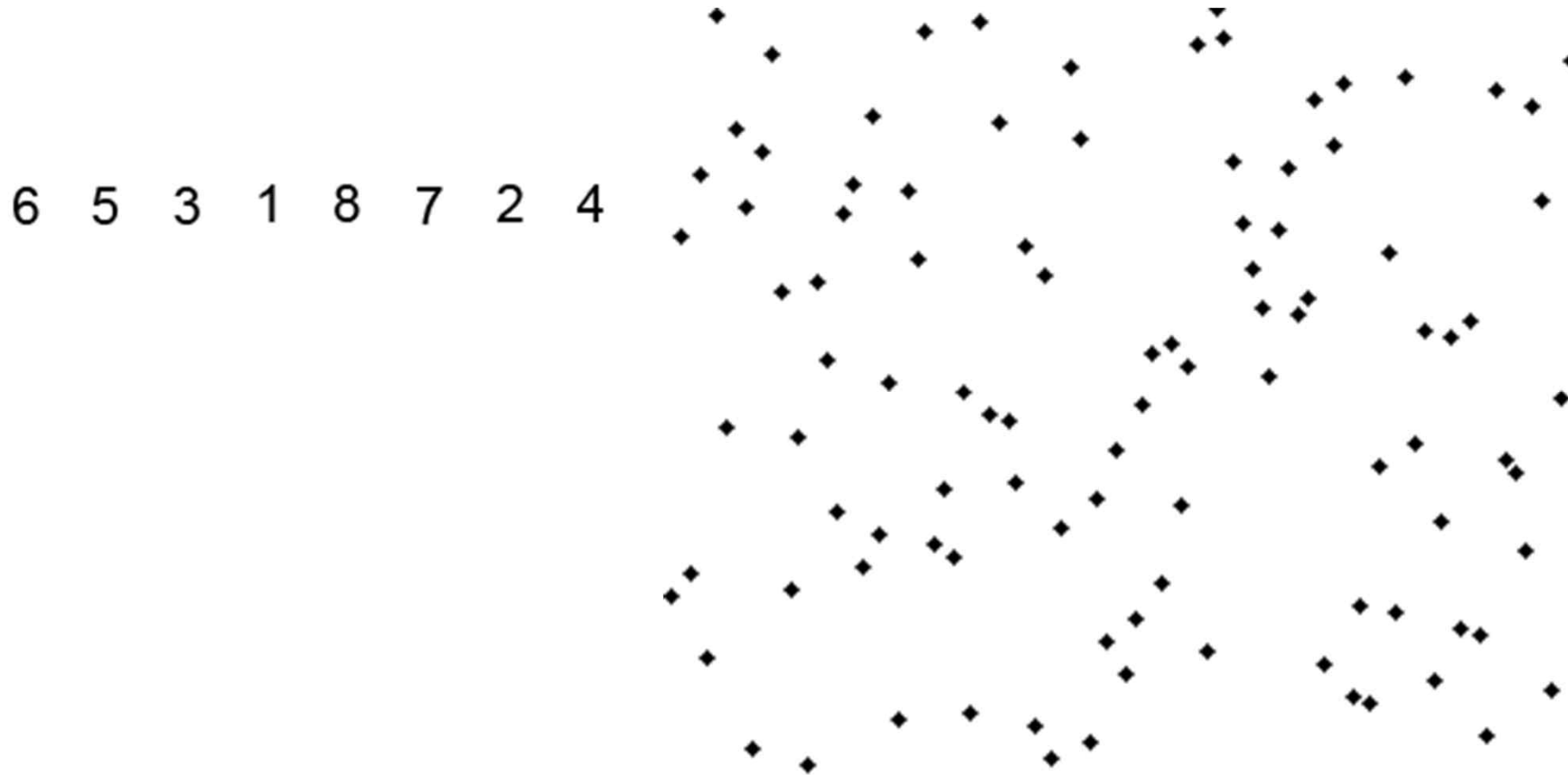
do for $j \leftarrow \text{length}[A]$ **downto** $i + 1$ c_2

Comparisons: $\approx n^2/2$ **do if** $A[j] < A[j - 1]$ c_3

Exchanges: $\approx n^2/2$ **then exchange** $A[j] \leftrightarrow A[j - 1]$ c_4

$$\begin{aligned}
 T(n) &= c_1(n + 1) + c_2 \sum_{j=1}^n (n - j + 1) \\
 &\quad + c_3 \sum_{j=1}^n (n - j) + c_4 \sum_{j=1}^n (n - j) \\
 &\approx c_1 n + (c_2 + c_3 + c_4) \sum_{j=1}^n (n - j) \\
 &= c_1 n + (c_2 + c_3 + c_4) \cdot \frac{n(n-1)}{2} \\
 &\propto n^2
 \end{aligned}$$

Animating Bubble Sort



Picture credit: Wikipedia, https://en.wikipedia.org/wiki/Selection_sort

Slide credit: J. Lillis, UIC's CS 201 Data Structures and Discrete Mathematics I

Bubble Sort C code

```
/* source: https://ko.wikipedia.org/ */  
int bubble_sort(int arr[], int n) {  
    int i, j, temp;  
    for (i=n-1; i>0; i--) {  
        for (j=0; j<i; j++) {  
            if (arr[j] > arr[j+1]) {  
                temp = arr[j];  
                arr[j] = arr[j+1];  
                arr[j+1] = temp;  
            }  
        }  
    }  
    return *arr;  
}
```

Sorting Algorithm Comparison

- Insertion/Selection/Bubble sort
 - Advantages: easy to understand, by increasing SORTED REGION one item at a time
 - Disadvantages: n^2 에 비례하는 연산량
 - $T(n) = T(n-1) + cn \rightarrow O(n^2)$... next class
- *Merge sort*
- *Quicksort*
- *Heapsort*

Simple sorting methods

END OF LECTURE 3