## Principles of (Functional) Programming (4190.306)

Chung-Kil Hur (허충길)

Department of Computer Science and Engineering Seoul National University

## **Syllabus**

- >Lecture
  - Mon & Tue, 9:00 ~ 10:50 (302-208)
  - <a href="https://github.com/snu-sf-class/pp201602">https://github.com/snu-sf-class/pp201602</a>
- >Instructor
  - Chung-Kil Hur
  - http://sf.snu.ac.kr/gil.hur/
- ➤ Teaching Assistant
  - Youngju Song
  - http://sf.snu.ac.kr/youngju.song/
- **>** Grading
  - Attendance: 5%
  - Assignments: 25%
  - Midterm exam: 30%
  - Final exam: 40%



## Imperative vs. Functional Programming

#### >Imperative Programming

- Computation by memory reads/writes
- Sequence of read/write operations
- Repetition by loop
- More procedural
- Easier to write efficient code

# i = n; while (i > 0) { sum = sum + i; i = i - 1; }

sum = 0;

#### >Functional Programming

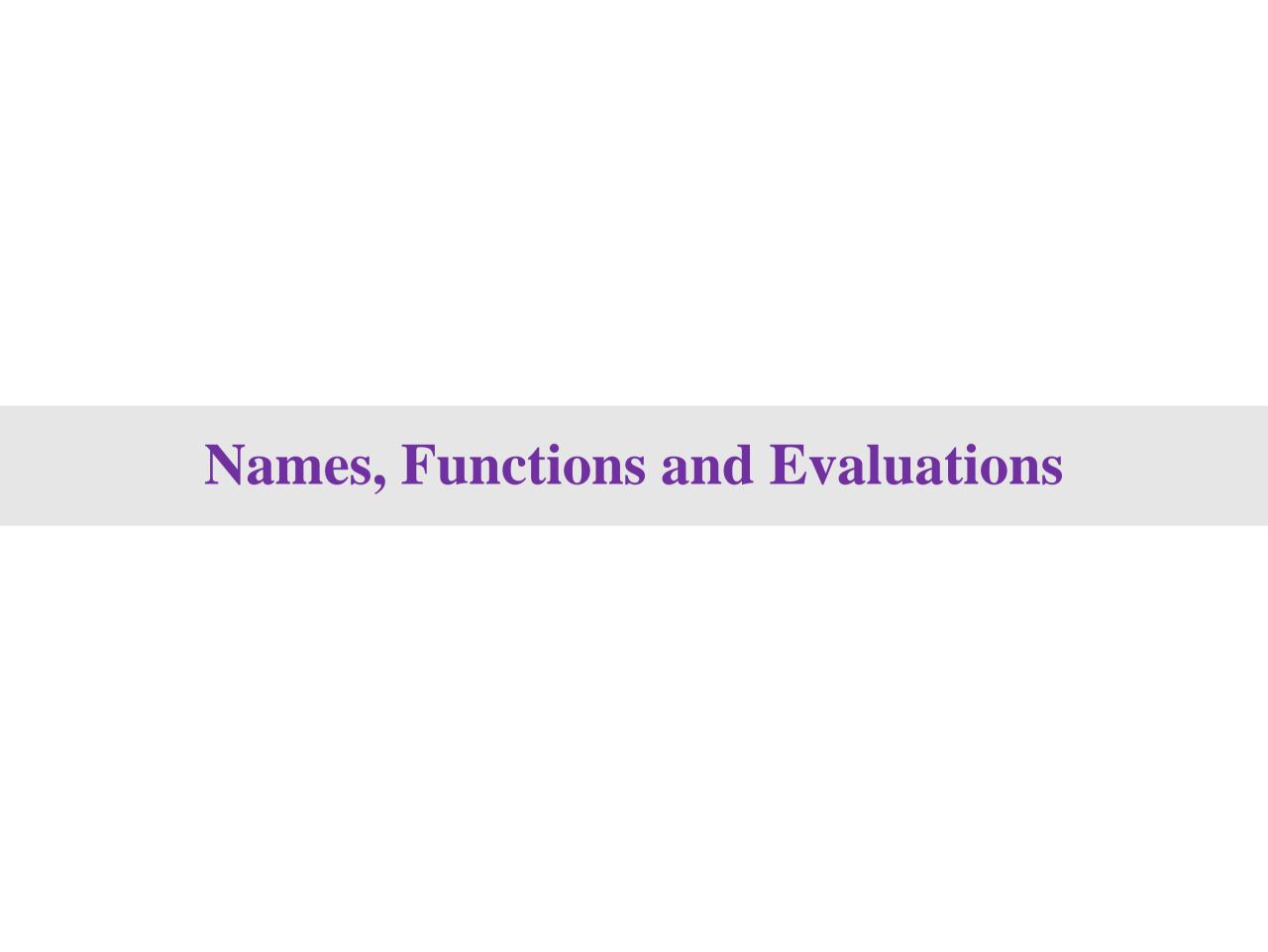
- Computation by function application
- Composition of function applications
- Repetition by recursion
- More declarative
- Easier to write safe code

```
def sum(n) =
   if (n <= 0)
     0
   else
     n + sum(n-1)</pre>
```

## **Both Imperative & Functional Style Supported**

- ➤ Many languages support both imperative & functional style
  - More imperative: Java, Javascript, C++, Python, ...
  - More functional: OCaml, SML, Lisp, Scheme, ...
  - Middle: Scala
  - Purely functional: Haskell

- ➤ Why Scala?
  - Equally well support both imperative & functional style
  - A lot of advanced features
  - Compatible with Java



## Values, Expressions, Names

- >Types and Values
  - A type is a set of values
  - Int: {-2147483648,...,-1,0,1, ...,2147483647} //32-bit integers
  - Double: 64-bit floating point numbers // real numbers in practice
  - Boolean: {true, false}
  - •
- > Expressions
  - Composition of values, names, primitive operations
- ➤ Name Binding (= Programming)
  - Binding expressions to names
- **Examples**

```
def a = 1 + (2 + 3)
def b = 3 + a * 4
```

#### **Evaluation**

#### >Evaluation

- Reducing an expression into a value
- Strategy
- 1. Take a name or an operator (outer to inner)
- 2. (name) Replace the name with its associated expression
- 3. (name) Evaluate the expression
- 4. (operator) Evaluate its operands (left to right)
- 5. (operator) Apply the operator to its operands

#### **Examples**

$$5+b \sim 5+(3+a*4) \sim ... \sim 32$$

#### **Functions and Substitution**

- >Functions
  - Expressions with Parameters
  - Binding functions to names

```
def f(x: Int): Int = x + a
```

- >Evaluation by substitution
  - •
  - (function) Evaluate its operands (left to right)
  - (function)
    Replace the function application by the expression of the function
    Replace its parameters with the operands

$$5+f(f(3)+1) \sim 5+f((3+a)+1) \sim ... \sim 5+f(10) \sim 5+(10+a) \sim ... \sim 21$$

## Simple Recursion

#### > Recursion

- Use X in the definition of X
- Powerful mechanism for repetition
- Nothing special but just rewriting

```
def sum(n) =
  if (n <= 0)
  else
     n + sum(n-1)
sum(2) \sim if (2 <= 0) 0 else (2 + sum(2-1)) \sim
2+sum(1) \sim 2+(if (1<=0) 0 else (1+sum(1-1))) \sim
2+(1+sum(0)) \sim 2+(1+(if (0<=0) 0 else (0+sum(0-1))))
\sim 2+(1+0) \sim 3
```

## Termination/Divergence

#### Evaluation may not terminate

- **≻**Termination
  - An expression may reduce to a value
- **≻**Divergence
  - An expression may reduce forever

```
def loop: Int = loop
```

```
loop ~ loop ~ loop ~ ...
```

## Evaluation strategy: Call-by-value, Call-by-name

- ➤ Call-by-value
  - Evaluate the arguments first, then apply the function to them
- ➤ Call-by-name
  - Just apply the function to its arguments, without evaluating them.

```
def square (x: Int) = x * x
[cbv]square(1+1) ~ square(2) ~ 2*2 ~ 4
[cbn]square(1+1) ~ (1+1)*(1+1) ~ 2*(1+1) ~ 2*2 ~ 4
```

## CBV, CBN: Differences

- ➤ Call-by-value
  - Evaluates arguments once
- ➤ Call-by-name
  - Do not evaluate unused arguments
- **>** Question
  - Do both always result in the same value?

## Scala's evaluation strategy

- ➤ Call-by-value
  - By default
- ➤ Call-by-name
  - Use "=>"

```
def one(x: Int, y: =>Int) = 1
```

one(loop, 1+2)

one(1+2, loop)

## Scala's name binding strategy

- ➤ Call-by-value
  - Use "val" (also called "field") e.g. val x = e
  - Evaluate the expression first, then binding the name to it
- ➤ Call-by-name
  - Use "def" (also called "method") e.g. def x = e
  - Just bind the name to the expression, without evaluating it
  - Mostly used to define functions

```
def a = 1 + 2 + 3
val a = 1 + 2 + 3 // 6
def b = loop
val b = loop

def f(a: Int, b: Int): Int = a*b - 2
```

## **Conditional Expressions**

- ➤If-else
  - if (b)  $e_1$  else  $e_2$
  - b : Boolean expression
  - $e_1$ ,  $e_2$ : expressions of the same type
- Rewrite rules:
  - •if (true)  $e_1$  else  $e_2 \rightarrow e_1$
  - •if (false)  $e_1$  else  $e_2 \rightarrow e_2$

```
def abs(x: Int) = if (x \ge 0) x else -x
```

## **Boolean Expressions**

- ➤Boolean expression
  •true, false
  •!b
  •b && b
  •b || b
  - •e <= e, e >= e, e < e, e > e, e == e, e != e

#### > Rewrite rules:

- •!true → false
- •!false → true
- true && b  $\rightarrow$  b
- false && b → false
- •true || b → true
- false  $| | b \rightarrow b$

```
true && (loop == 1) \sim loop == 1 \sim loop == 1
```

## Exercise: and, or

```
➤ Write two functions
  • and (x,y) == x \&\& y
  \bullet or(x,y) == x | y
  • Do not use &&,
  and(false,loop==1)
  ~ if (false) loop==1 else false
  ~ false
  and(true,loop==1)
  ~ if (true) loop==1 else false
  \sim loop==1 \sim loop==1 ...
```

## **Exercise:** square root calculation

```
Calculate square roots with Newton's method
def isGoodEnough(guess: Double, x: Double) =
  ??? // guess*guess is 99% close to x
def improve(guess: Double, x: Double) =
  (guess + x/guess) / 2
def sqrtIter(guess: Double, x: Double): Double =
  ??? // repeat improving guess until it is good
enough
def sqrt(x: Double) =
  sqrtIter(1, x)
sqrt(2)
```



#### **Blocks in Scala**

- Is an expression
- Allow nested name binding
- Allow arbitrary order of "def"s, but not "val"s (think about why)

#### Scope of names

```
>Block
  val t = 0
  def square(x: Int) = t + x * x
 val x = square(5)
  val r = {
    val t = 10
    val s = square(5)
    t + s
  val y = t + r
```

- A definition inside a block is only accessible within the block
- A definition inside a block shadows definitions of the same name outside the block
- A definition inside a block is accessible unless it is shadowed
- A function is evaluated under the environment where it is defined, not the environment where it is invoked.

## **Rewriting for blocks**

```
1: val t = 0
2: def f(x: Int) = t + g(x)
3: def g(x: Int) = x*x
4: val x = f(5)
5: val r = {
6: val t = 10
7: val s = f(5)
8: t + s }
9: val y = t + r
>Evaluation by rewriting
[f=(x)t+g(x),g=(x)x*x],1 \sim [...,t=0],2 \sim [...],3 \sim [...],4
\sim [..., x=25], 5 \sim [...]:[], 6 \sim [...]:[t=10], 7
\sim [...]:[...,s=25],8 \sim [...,r=35],9 \sim [...,y=35],10
4: [f=...,g=...,t=0]: [x=5], t+g(x) \sim ... \sim [...]: [...], 25
7: [f=...,g=...,t=0]: [x=5],t+g(x) \sim ... \sim [...]: [...],25
```

#### **Semi-colons and Parenthesis**

#### >Block

- Can write two definitions/expressions in a single line using;
- Can write one definition/expression in two lines using (), but can omit () when clear

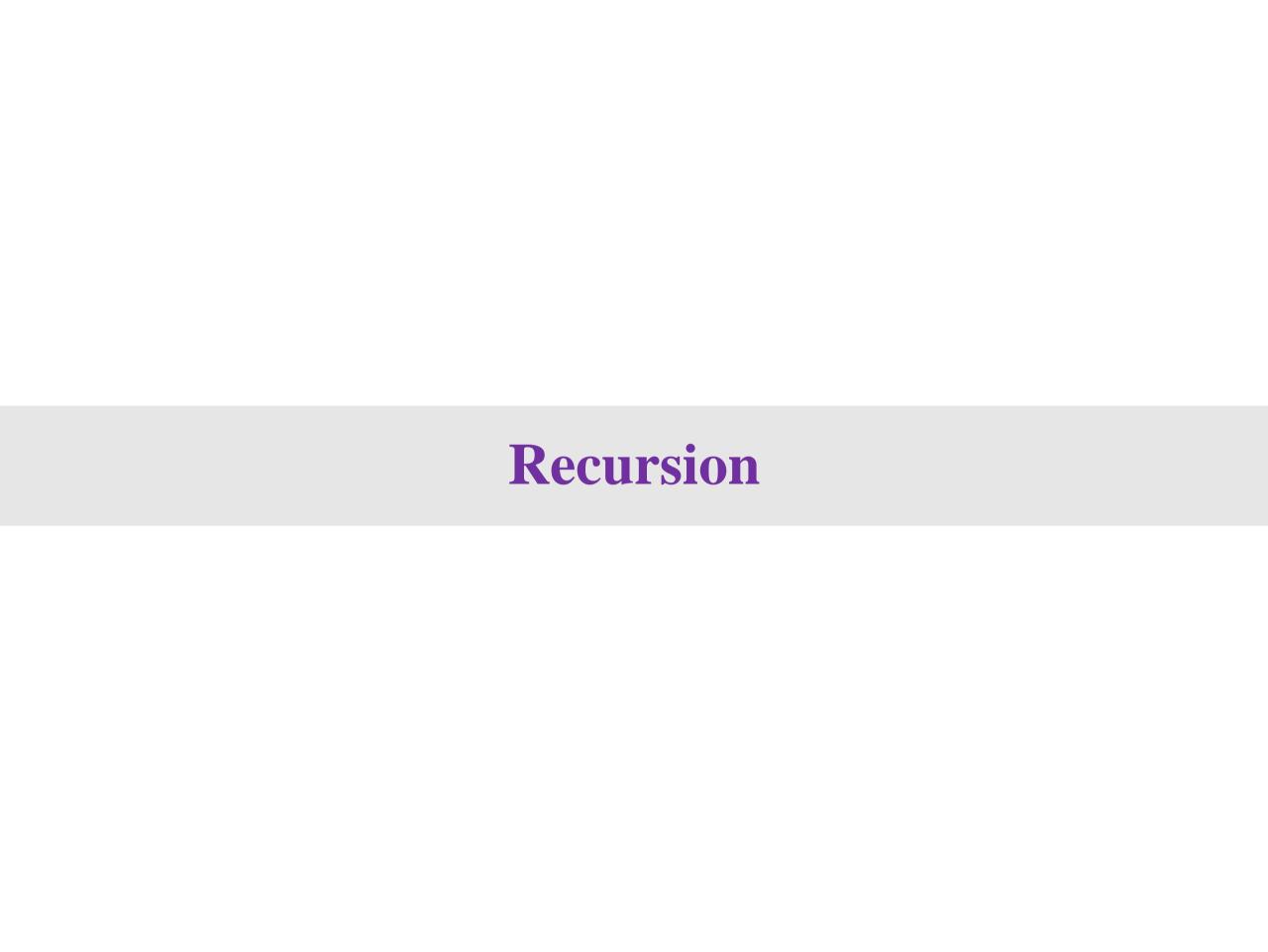
```
// ok
val r = {
  val t = 10; val s = square(5); t +
  s }
// Not ok
val r = {
  val t = 10; val s = square(5); t
  + S }
// ok
val r = {
  val t = 10; val s = square(5); (t
  + s) }
```

## **Exercise: Writing Better Code using Blocks**

```
➤ Make the following code better
def isGoodEnough(guess: Double, x: Double) =
  guess*guess/x > 0.999 \& guess*guess/x < 1.001
def improve(guess: Double, x: Double) =
  (guess + x/guess) / 2
def sqrtIter(guess: Double, x: Double): Double = {
  if (isGoodEnough(guess,x)) guess
  else sqrtIter(improve(guess,x),x)
def sqrt(x: Double) =
  sqrtIter(1, x)
sqrt(2)
```

#### **Solution**

```
def sqrt(x: Double) = {
  def sqrtlter(guess: Double, x: Double): Double = {
    if (isGoodEnough(guess,x)) guess
   else sqrtlter(improve(guess,x),x)
  def isGoodEnough(guess: Double, x: Double) = {
   val ratio = guess * guess / x
    ratio > 0.999 && ratio < 1.001
  def improve(guess: Double, x: Double) =
    (guess + x/guess) / 2
  sqrtIter(1, x)
sqrt(2)
```



#### Recursion needs care

- >Summation function
  - Write a summation function sum such that sum(n) = 1+2+...+n
  - Test
    sum(10),sum(100),sum(1000),sum(10000),
    sum(100000), sum(1000000)
  - What's wrong? (Think about evaluation)

## **Recursion: Try 1**

```
def sum(n: Int): Int =
  if (n <= 0) 0 else (n+sum(n-1))</pre>
```

#### **Recursion: Tail Recursion**

```
import scala.annotation.tailrec

def sum(n: Int): Int = {
    @tailrec def sumItr(res: Int, m: Int): Int =
    if (m <= 0) res else sumItr(m+res,m-1)
    sumItr(0,n)
}</pre>
```



#### **Functions as Values**

#### > Functions

- Functions are normal values of function types  $(A_1,...,A_n => B)$ .
- They can be copied, passed and returned.
- Functions that take functions as arguments or return functions are called higher-order functions.
- Higher-order functions increase code reusability.

## **Examples**

```
def sumLinear(n: Int): Int =
  if (n <= 0) 0 else n + sumLinear(n-1)

def sumSquare(n: Int): Int =
  if (n <= 0) 0 else n*n + sumSquare(n-1)

def sumCubes(n: Int): Int =
  if (n <= 0) 0 else n*n*n + sumCubes(n-1)</pre>
```

Q: How to write reusable code?

## **Examples**

```
def sum(f: Int=>Int, n: Int): Int =
  if (n \le 0) 0 else f(n) + sum(f, n-1)
def linear(n: Int) = n
def square(n: Int) = n * n
def cube(n: Int) = n * n * n
def sumLinear(n: Int) = sum(linear, n)
def sumSquare(n: Int) = sum(square, n)
def sumCubes(n: Int) = sum(cube, n)
```

## **Anonymous Functions**

#### ➤ Anonymous Functions

```
• Syntax
  (x_1: T_1,...,x_n:T_n) => e
  or
  (x_1,...,x_n) => e
def sumLinear(n: Int) = sum((x:Int)=>x, n)
def sumSquare(n: Int) = sum((x:Int)=>x*x, n)
def sumCubes(n: Int) = sum((x:Int)=>x*x*x, n)
Or simply
def sumLinear(n: Int) = sum((x)=>x, n)
def sumSquare(n: Int) = sum((x)=>x*x, n)
def sumCubes(n: Int) = sum((x)=>x*x*x. n)
```

#### **Exercise**

```
def sum(f: Int=>Int, a: Int, b: Int): Int =
   if (a <= b) f(a) + sum(f, a+1, b) else 0

def product(f: Int=>Int, a: Int, b: Int): Int =
   if (a <= b) f(a) * product(f, a+1, b) else 1</pre>
```

DRY (Do not Repeat Yourself) using a higher-order function, called "mapReduce".

### **Exercise**

```
def mapReduce(combine:(Int,Int)=>Int,inival: Int,
              f: Int=>Int, a: Int, b: Int): Int = {
  if (a <= b) combine(f(a), mapReduce(combine, inival, f, a+1, b))</pre>
  else inival
def sum(f: Int=>Int, a: Int, b: Int): Int =
  mapReduce((x,y)=>x+y,0,f,a,b)
def product(f: Int=>Int, a: Int, b: Int): Int =
  mapReduce((x,y)=>x*y.1.f.a.b)
```

### Parameterized expression vs. values

- Functions defined using "def" are not values but parameterized expressions.
- Anonymous functions are values.
- But, parameterized expressions are implicitly converted to values.
- Explicit conversion: f \_
- Anonymous functions can be seen as syntactic sugar:

```
(x:T)=>e
is equivalent to
{ def __noname(x:T)=>e; __noname __}
```

- One can even write a recursive anonymous function in this way.
- Q: what's the difference between param. exps and function values? A: functions values are "closures" (ie, param. exp. + env.)
- Q: how to implement call-by-name?
  - A: The argument expression is converted to a closure.



### Motivation

```
def sum(f: Int=>Int, a: Int, b: Int): Int =
  if (a \le b) f(a) + sum(f, a+1, b) else 0
def linear(n: Int) = n
def square(n: Int) = n * n
def cube(n: Int) = n * n * n
def sumLinear(a: Int, b: Int) = sum(linear, a, b)
def sumSquare(a: Int, b: Int) = sum(square, a, b)
def sumCubes(a: Int, b: Int) = sum(cube, a, b)
We want the following. How?
def sumLinear = sum(linear)
def sumSquare = sum(square)
def sumCubes = sum(cube)
```

### **Solution**

```
def sum(f: Int=>Int): (Int,Int)=>Int = {
    def sumF(a: Int, b: Int): Int =
        if (a <= b) f(a) + sumF(a+1, b) else 0
        sumF
}

def sumLinear = sum(linear)
def sumSquare = sum(square)
def sumCubes = sum(cube)</pre>
```

### **Benefits**

```
def sumLinear = sum(linear)
def sumSquare = sum(square)
def sumCubes = sum(cube)

sumSquare(3,10) + sumCubes(5,20)

We don't need to define the wrapper functions.
sum(square)(3,10) + sum(cube)(5,20)
```

## Multiple Parameter List

```
def sum(f: Int=>Int): (Int,Int)=>Int = {
    def sumF(a: Int, b: Int): Int =
        if (a <= b) f(a) + sumF(a+1, b) else 0
        sumF
}</pre>
```

We can also write as follows.

```
def sum(f: Int=>Int): (Int,Int)=>Int = (a,b) => if (a <= b) f(a) + sum(f)(a+1, b) else 0
```

Or more simply:

```
def sum(f: Int=>Int)(a: Int, b: Int): Int =
  if (a <= b) f(a) + sum(f)(a+1, b) else 0</pre>
```

# **Currying and Uncurrying**

A function of type

$$(T_1,T_2,...,T_n) = > T$$

can be turned into one of type

$$T_1 = > T_2 = > ... = > T_n = > T$$

- This is called "currying" named after Haskell Brooks Curry.
- The opposite direction is called "uncurrying".

## **Currying using Anonymous Functions**

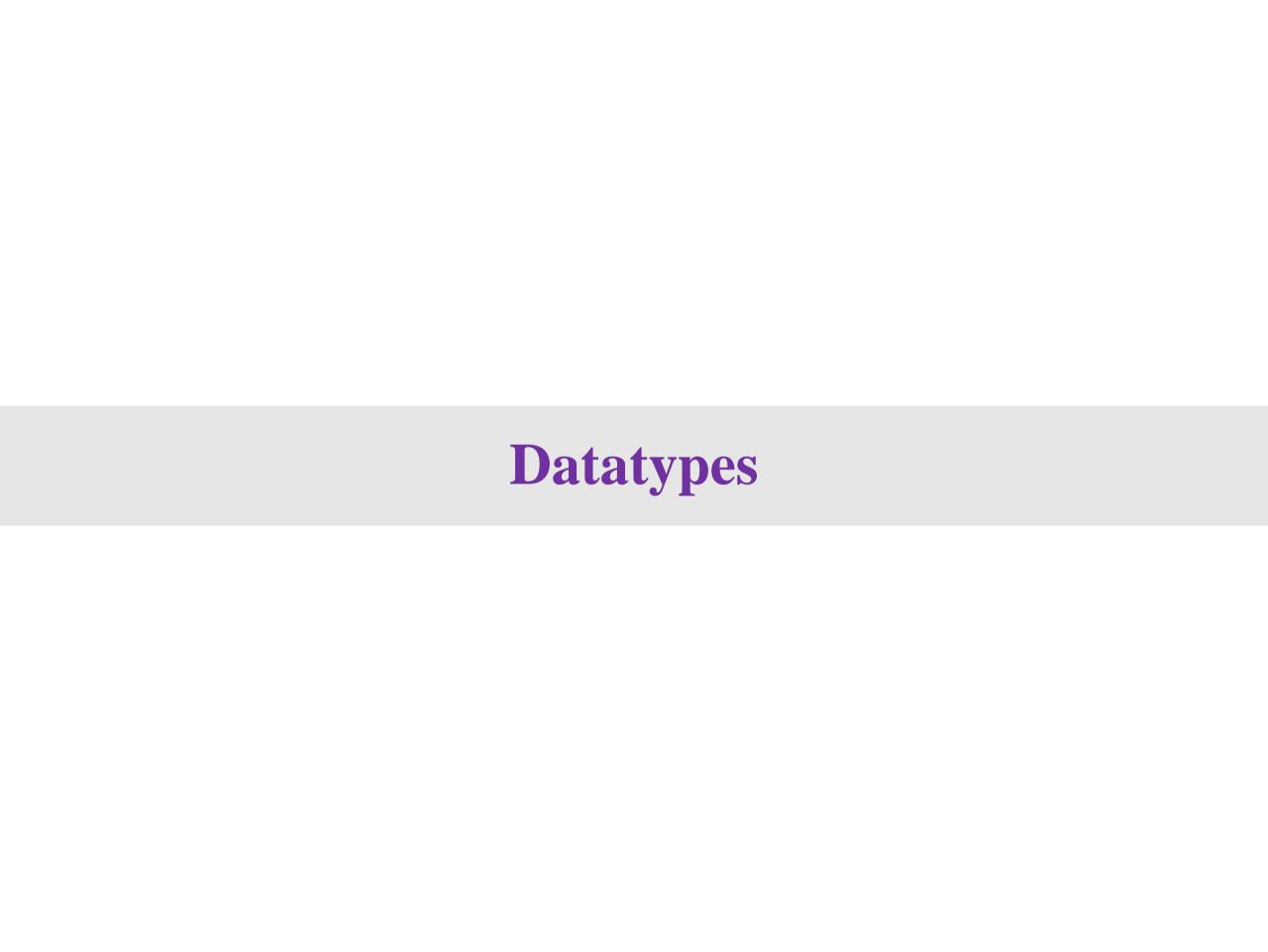
```
def foo(x: Int, y: Int, z: Int)(a: Int, b: Int) =
  x + y + z + a + b
val f1 = (x: Int, z: Int, b: Int) => foo(x, 1, z)(2, b)
val f2 = foo(_:|nt,1,_:|nt)(2, _:|nt)
val f3 = (x: Int, z: Int) => (b: Int) => foo(x, 1, z)(2, b)
f1(1,2,3)
f2(1,2,3)
f3(1,2)(3)
```

### **Exercise**

Curry the mapReduce function.

### **Solution**

```
def mapReduce(combine:(Int,Int)=>Int,inival: Int)
             (f: Int=>Int) (a: Int, b: Int): Int = {
  if (a <= b) combine(f(a), mapReduce(combine, inival)(f)(a+1,b))</pre>
  else inival
// need to make a closure since mapReduce is param. code.
def sum = mapReduce((x,y)=>x+y,0) _
// val is better than def. Think about why.
val product = mapReduce((x,y)=>x*y,1) __
```



# Types so far

Types have introduction operations and elimination ones.

- Introduction: how to construct elements of the type
- Elimination: how to use elements of the type

### >Primitive types

- Int, Boolean, Double, String, ...
- Intro for Int: ...,-2,-1,0,1,2,
- Elim for Int: +,-,\*,/,<,<=,...

### >Function types

- Int=>Int, (Int=>Int)=>(Int=>Int), ...
- Intro: (x:T)=>e
- Elim: f(v)

# **Tuples**

- > Tuples
  - Intro:
  - (1,2,3) : (Int, Int, Int)
  - (1,"a"): (Int, String)

#### Elim:

- (1, "a", 10). 1 = 1
- $(1, "a", 10)._2 = "a"$
- $(1, "a", 10)._3 = 10$

Only up to length 22

### **Record Types: Examples**

```
object foo {
  val a = 3
  def b = a + 1
  def f(x: Int) = b + x
foo. f(3)
def g(x: {val a: Int; def b: Int; def f(x:Int): Int}) =
  x.f(3)
g(foo)
```

### Record Types: Scope and Type Alias

```
val gn = 0
object foo {
 val a = 3
  def b = a + 1
  def f(x: Int) = b + x + gn
foo. f(3)
type Foo = {val a: Int; def b: Int; def f(x:Int):Int}
def g(x: Foo) = \{
 val gn = 10
 x.f(3)
g(foo)
```

## **Algebraic Datatypes**

### > Ideas

```
• T = C of T * ... * T

| C of T * ... * T

| ...

| C of T * ... * T
```

#### Intro:

```
Name("Chulsoo Kim"), Name("Younghee Lee"), Age(16), DOB(2000,3,10), Height(171.5), ...
```

## **Algebraic Datatypes: Recursion**

> Recursive ADT

# Algebraic Datatypes In Scala

```
> Attr
 sealed abstract class Attr
 case class Name(name: String) extends Attr
 case class Age(age: Int) extends Attr
 case class DOB(year: Int, month: Int, day: Int) extends Attr
 case class Height(height: Double) extends Attr
 def a : Attr = Name("Chulsoo Kim")
 def b : Attr = DOB(2000.3.10)
>IList
 sealed abstract class |List
 case object | Nil extends | List
 case class | Cons(hd: Int, tl: | List) extends | List
 def x : IList = /Cons(2, /Cons(1, INiI))
```

### **Exercise**

```
IOption = INone
       | ISome of Int
BTree = Leaf
      | Node of Int * BTree * BTree
sealed abstract class |List
case object | Nil extends | List
case class | Cons(hd: Int, tl: | List) extends | List
def x : IList = /Cons(2, /Cons(1, INiI))
```

### **Solution**

```
sealed abstract class | Option
case object | None extends | Option
case class | Some(some: Int) extends | Option
```

```
sealed abstract class BTree
case object Leaf extends BTree
case class Node(value: Int, left: BTree, right: BTree)
extends BTree
```

## **Pattern Matching**

- > Pattern Matching
  - A way to use algebraic datatypes

```
e match {
  case C1(...) => e1
  ...
  case Cn(...) => en
}
```

# Pattern Matching: An Example

```
def length(xs: |List) : |Int =
    xs match {
    case |Ni| => 0
    case |Cons(x, t|) => 1 + |length(t|)
    }
length(x)
```

## **Advanced Pattern Matching**

➤ Advanced Pattern Matching e match { case  $P1 \Rightarrow e1$ case Pn => en • One can combine constructors and use \_ and | in a pattern. (E.g) case ICons(x, INil) | ICons(x, ICons(\_, INil)) => ... • The given value e is matched against the first pattern P1. If succeeds, evaluate e1. If fails, e is matched against P2. If succeeds, evaluate e2. If fails, ...

• The compiler checks exhaustiveness.

## Advanced Pattern Matching: An Example

```
def secondElmt(xs: |List) : |Option =
  xs match {
    case | Ni | | /Cons(_, | Ni | ) => | None
    case |Cons(\_, |Cons(x, \_))| \Rightarrow |Some(x)|
Vs.
def secondElmt2(xs: |List) : |Option =
  xs match {
    case | Ni | | /Cons(_, | Ni | ) => | None
    case |Cons(\_, |Cons(x, |Ni|))| => |Some(x)|
    case _ => | None
```

# Pattern Matching on Int

```
def factorial(n: Int) : Int =
  n match {
    case 0 \Rightarrow 1
    case _ => n * factorial(n-1)
def fib(n: Int) : Int =
  n match {
    case 0 | 1 => 1
    case \_ => fib(n-1) + fib(n-2)
```

# Pattern Matching with If

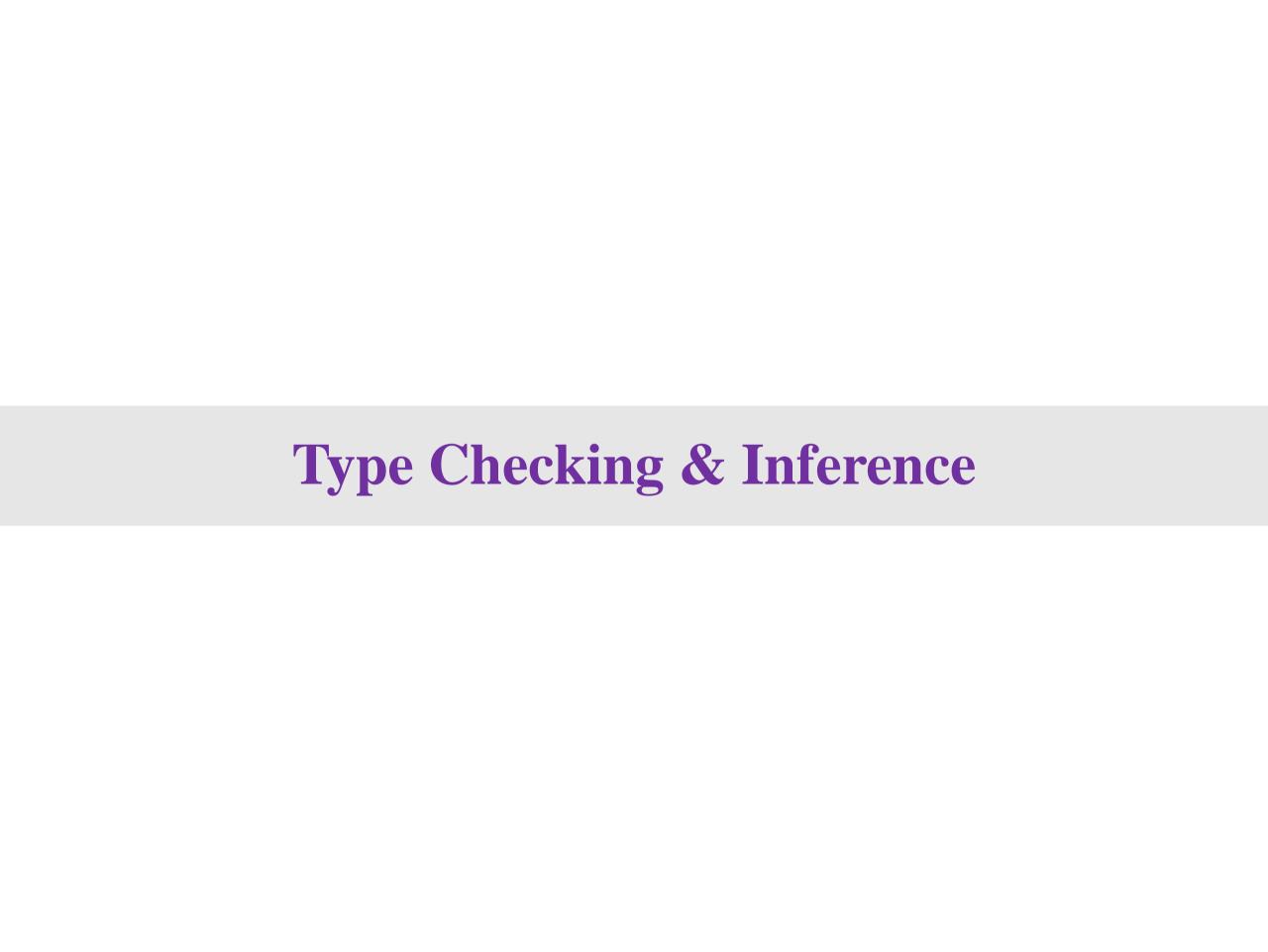
```
def f(n: Int) : Int =
  n match {
    case 0 | 1 => 1
    case _ if (n <= 5) => 2
    case _ => 3
def f(t: BTree) : Int =
  t match {
    case Leaf \Rightarrow 0
    case Node(n, _, _) if (n \le 10) => 1
    case Node( , , ) \Rightarrow 2
```

### **Exercise**

Write a function find(t: BTree, x: Int) that checks whether x is in t.

### **Solution**

```
def find(t: BTree, i: Int) : Boolean =
  t match {
    case Leaf => false
    case Node(n, | t, rt) =>
      if (i == n) true
      else if (i < n) find(lt, i)</pre>
      else find(rt, i)
def t : BTree = Node(5, Node(4, Node(2, Leaf, Leaf), Leaf),
  Node(7. Node(6. Leaf. Leaf). Leaf))
find(t, 7)
```



## What Are Types For?

> Typed Programming

```
def id1(x: Int): Int = x
def id2(x: Double): Double = x
```

- At run time, type information is erased (ie, id1 = id2)
- $\triangleright$  Untyped Programming def id(x) = x
  - Do not care about types at compile time.
  - But, many such languages check types at run time paying cost.
  - Without run-time type check, errors can be badly propagated.
- > Why is compile-time type checking for?
  - Can detect type errors at compile time.
  - Increase Readability (Give a good abstraction).
  - Soundness: Well-typed programs raise no type errors at run time.

### Type Checking and Inference

> Type Checking

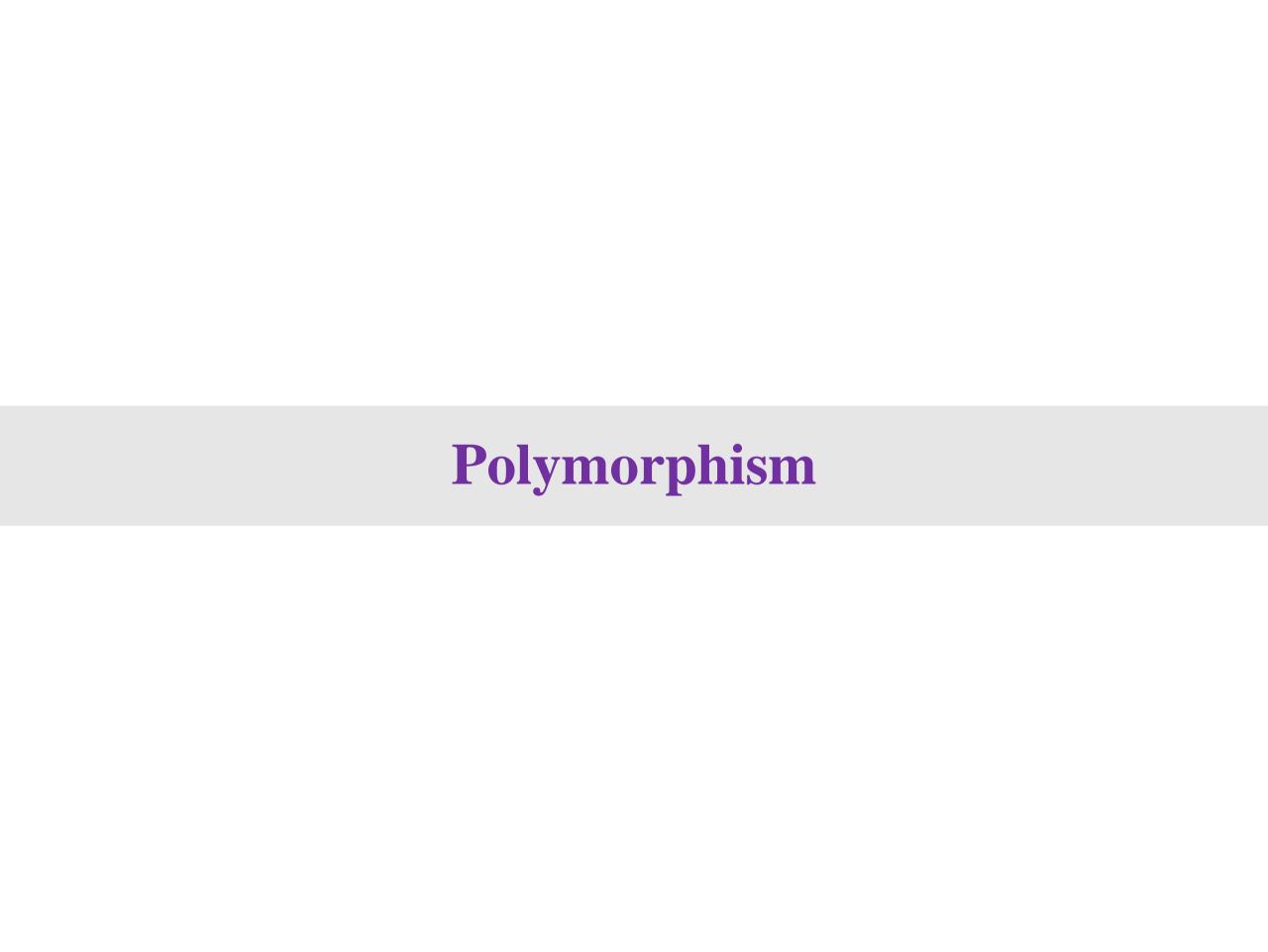
```
x_1:T_1, x_2:T_2, ..., x_n:T_n \vdash e : T
• def f(x: Boolean): Boolean = x > 3
=> Type error
• def f(x: Int): Boolean = x > 3
=> OK. f: (x: Int)Boolean
```

> Type Inference

$$x_1:T_1$$
,  $x_2:T_2$ , ...,  $x_n:T_n \vdash e : ?$   
• def f(x: Int) = x > 3  
=> OK by type inference. f: (x: Int)Boolean

• Too much type inference is not good. Why?

You can run how type checking & inference work in 4190.310 Programming Languages



### Parametric Polymorphism: Functions

**Problem** 

```
def id1(x: Int): Int = x
def id2(x: Double): Double = x
```

- Can we avoid DRY?
- Polymorphism to the rescue!
- ➤ Parametric Polymorphism

```
def id[A](x: A) : A = x
```

- The type of id is [A](x:A)A
- id is a parametric expression.
- id[T] \_ is a value of type T=>T for any type T.

[We will learn other kinds of polymorphism later.]

### **Examples**

```
def id[A](x:A) = x
id(3)
id("abc")
def applyn[A](f: A => A, n: Int, x: A): A =
  n match {
    case 0 \Rightarrow x
    case \_ => f(applyn(f, n - 1, x))
applyn((x:Int)=>x+1, 100,3)
applyn((x:String)=>x+"!", 10, "gil")
applyn(id[String], 10, "hur")
def foo[A,B](f: A=>A, x: (A,B)) : (A,B) =
  (applyn[A](f, 10, x. 1), x. 2)
foo[String, Int]((x:String)=>x+"!",("abc".10))
```