# On exact computation of square ice entropy

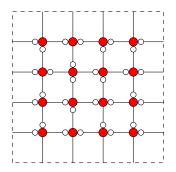
Silvère Gangloff

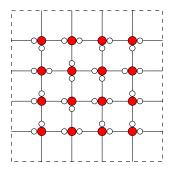
LIP, ENS Lyon

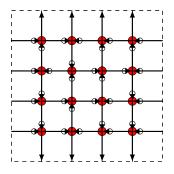
March 6, 2019

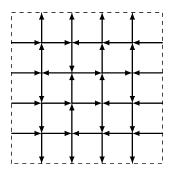
I. Representations of square ice

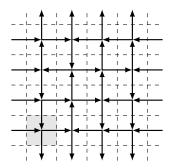
## Square ice model [Pauling-Lieb]:

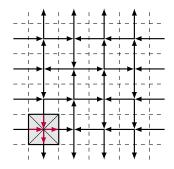




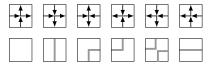




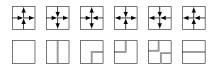


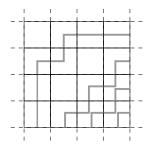


## Discrete curves subshift $[X^s]$ :



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S.Gangloff, M. Sablik, *Quantified block gluing, aperiodicity and entropy of multidimensional SFT* , 2017.

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### Entropy value ?

E.H. Lieb, Residual entropy of square ice, Physical Review, 1967.

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→ Proof under some hypothesis

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### Entropy value ?

E.H. Lieb, Residual entropy of square ice, Physical Review, 1967.

#### → Proof under some hypothesis

S. Gangloff, A proof that square ice entropy is  $\frac{3}{2} \log_2(4/3)$ , 2019.

II. Subshifts of finite type and entropy

Ex: Hard square shift, or hard core model.

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0	0	0	0	0
0	0	0	1	0
	0			1
0	0	0	1	0
1	0	0	0	0

Ex: Hard square shift, or hard core model.

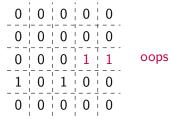
0	0	0	0	0
0	0	0	1	0
	0			1
1	0	0	1	0
0	0	0	0	0

Ex: Hard square shift, or hard core model.

0	0	0	0	0
0	0	0	1	0
0	0	0	0	1
1	0	1	0	0
0	0	0	0	0

Ex: Hard square shift, or hard core model.

Forbidden patterns  $\begin{bmatrix} 1\\1 \end{bmatrix}$  et  $\boxed{1 \mid 1}$ .



Ex: Hard square shift, or hard core model.

0	0	0	0	0
0	0	0	1	0
0	0	0	0	1
1	0	1	0	0
0	0	0	0	0

 $\mathcal{N}_N(X)$ : number of size N square patterns observable in the system.



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1 0		0	0	
L L	1 1 1	1		

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	1		0	
  -  -	0	1		

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	0	-  - -  -	1	
1	1	1	0	
L	-	_ '_	-	-

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	0	0	-1
ĪLL	1	1	

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	1	0	
ĪLL	1		1

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	1			0	
ī	_		ī	_	-1
1	1				
L	_	_	ı_	_	$-^{\dagger}$

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FILL	0	1	
111	1	1	

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Г 1 1	1	 1	-
ĪLL	0	 1	

 $\mathcal{N}_N(X)$ : number of size N square patterns observable in the system.

	1			1	
1	-		ı	-	- 1
1	1		ı	Τ	- 1
L	_	_	_	_	_1

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## Free tiles

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$$\begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$$

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Free tiles	Hard core
$\mathcal{N}_2(X) = 2^{2^2}$ $\mathcal{N}_N(X) = 2^{N^2}$	$\mathcal{N}_2(X) = 7$

 $\mathcal{N}_N(X)$ : number of size N square patterns observable in the system.

Free tiles	Hard core
$\mathcal{N}_2(X)=2^{2^2}$	$\mathcal{N}_2(X)=7$
$V_N(X)=2^{N^2}$	$\mathcal{N}_N(X) = 2^{N^2(h(X) + o(1))}$

$$h(X) = \inf_{N} \frac{\log_2(\mathcal{N}_N(X))}{N^2}$$

Free tiles	Hard core	Square ice [Lieb 67]
h = 1	$h \ge 1/2$	$h = \frac{3}{2}\log_2(4/3)$

$$h(X) = \inf_{N} \frac{\log_2(\mathcal{N}_N(X))}{N^2} = \inf_{N} \frac{\log_2(\mathcal{N}_N^{loc}(X))}{N^2}$$

Free tiles	Hard core	Square ice [Lieb 67]
h = 1	$h \ge 1/2$	$h = \frac{3}{2} \log_2(4/3)$

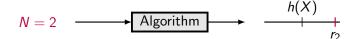
$$h(X) = \inf_{N} \frac{\log_2(\mathcal{N}_N(X))}{N^2} = \inf_{N} \frac{\log_2(\mathcal{N}_N^{loc}(X))}{N^2}$$

Free tiles Hard core Square ice [Lieb 67] 
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  $h \ge 1/2$   $h = \frac{3}{2} \log_2(4/3)$ 



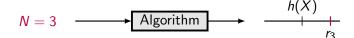
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Free tiles Hard core Square ice [Lieb 67] 
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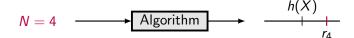
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Free tiles Hard core Square ice [Lieb 67] 
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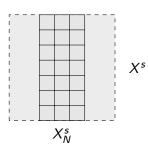
II. Lieb transfer matrices approach

## Entropy of square ice:

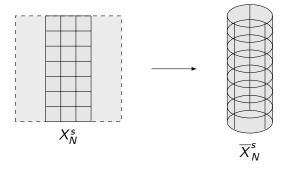
$$h(X^s) = \lim_{M,N} \frac{\log_2(\mathcal{N}_{M,N}(X^s))}{MN}.$$

## Stripes subshifts:

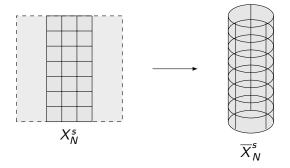
$$h(X^s) = \lim_{N} \frac{h(X_N^s)}{N}$$



## Cylindric stripes subshifts:



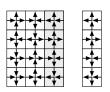
## Cylindric stripes subshifts:

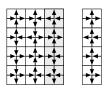


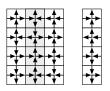
$$h(X^s) = \lim_{N} \frac{h(\overline{X}_N^s)}{N}$$

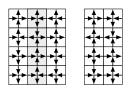


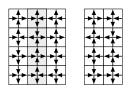


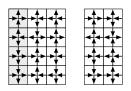


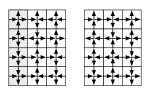


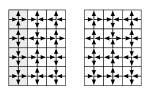


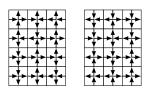


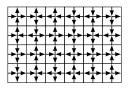


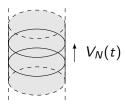


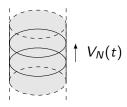




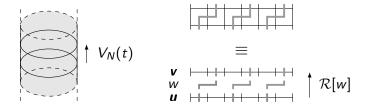


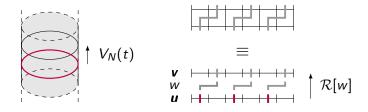


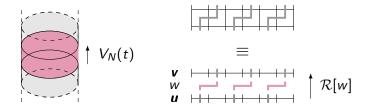


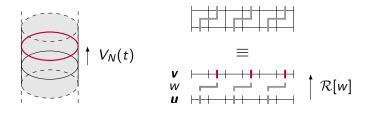


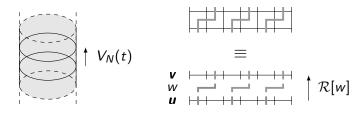






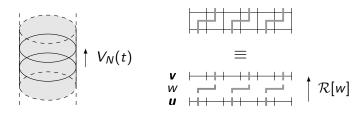






$$V_N(t)[\boldsymbol{u},\boldsymbol{v}] = \sum_{\boldsymbol{u} \mathcal{R}[w]\boldsymbol{v}} t^{|w|}.$$

where |w|=# of  $\square$  and  $\square$ 

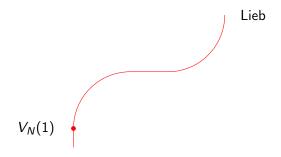


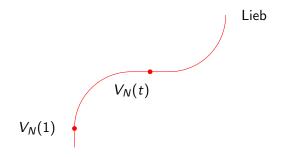
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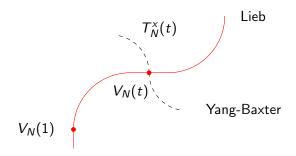
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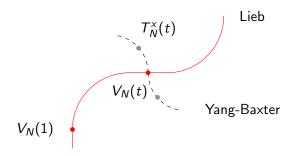
$$h(X^s) = \lim_{N} \frac{\log_2(\lambda_{\max}(V_N(1)))}{N}$$

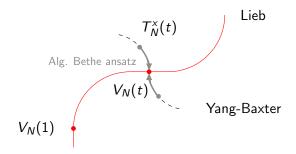
 $V_N(1)$  •

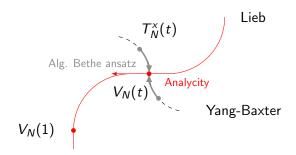








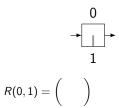


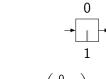


III. Yang-Baxter transfer matrices

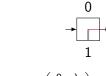
R-matrices and monodromy matrices:



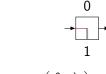




$$R(0,1)=\left( egin{array}{cc} 0 & \\ \end{array} 
ight)$$



$$R(0,1) = \begin{pmatrix} 0 & \lambda \\ & \end{pmatrix}$$



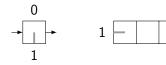
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$$R(0,1) = \left( \begin{array}{cc} 0 & \lambda \\ 0 & 0 \end{array} \right)$$



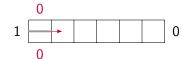
$$R(0,1) = \left(\begin{array}{cc} 0 & \lambda \\ 0 & 0 \end{array}\right)$$



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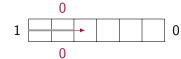
0





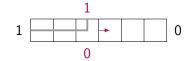
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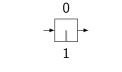


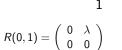
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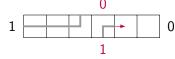


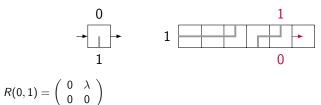


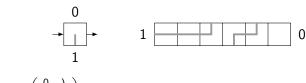
$$R(0,1) = \left(\begin{array}{cc} 0 & \lambda \\ 0 & 0 \end{array}\right)$$







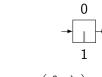


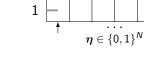


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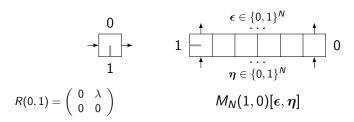




 $\boldsymbol{\epsilon} \in \{0,1\}^{N}$ 

0

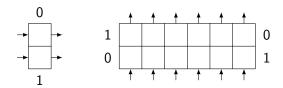
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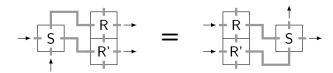
#### Yang-Baxter transfer matrices:

$$T_N[\epsilon, \eta] = \sum_{u \in \{0,1\}} M_N(u, u)[\epsilon, \eta].$$

### Composition of these matrices and condition for commutation:



### Yang-Baxter equation:



**Trigonometric** *R*-matrices: for  $t \in (0, \sqrt{2}]$ ,  $2 - t^2 = -\cos(\mu_t)$ :

$$R_{\mu_t}^{\mathsf{x}} = \frac{1}{\sin(\mu_t/2)} \left( \begin{array}{cccc} \sin(\mu_t - x) & 0 & 0 & 0 \\ 0 & \sin(x) & \sin(\mu_t) & 0 \\ 0 & \sin(\mu_t) & \sin(x) & 0 \\ 0 & 0 & 0 & \sin(\mu_t - x) \end{array} \right).$$

**Bethe ansatz:** if  $(p_i)_i$  is solution of:

$$Np_{j} = 2\pi j - (n+1)\pi - \sum_{k=1}^{n} \Theta_{t}(p_{j}, p_{k})$$

then we have a candidate eigenvector for the eigenvalue:

$$\prod_{k=1}^{n} L_{t}(e^{ip_{k}}) + \prod_{k=1}^{n} M_{t}(e^{ip_{k}}).$$

 $\rightarrow$  **Known:** existence and analycity in t.

• Simplification of equations when  $t = \sqrt{2}$ .

- **1** Simplification of equations when  $t = \sqrt{2}$ .
- ② For some  $H_N$  diagonalised,  $V_N(\sqrt{2})H_N = H_N V_N(\sqrt{2})$ .

- **1** Simplification of equations when  $t = \sqrt{2}$ .
- **2** For some  $H_N$  diagonalised,  $V_N(\sqrt{2})H_N = H_N V_N(\sqrt{2})$ .
- **3** Perron-Frobenius theorem.

- **1** Simplification of equations when  $t = \sqrt{2}$ .
- **2** For some  $H_N$  diagonalised,  $V_N(\sqrt{2})H_N = H_N V_N(\sqrt{2})$ .
- 3 Perron-Frobenius theorem.
- 4 Indentification around  $\sqrt{2}$ , on  $(0, \sqrt{2})$  by analycity.

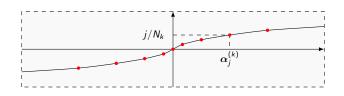
IV. Asymptotics

Asymptotics of counting functions:

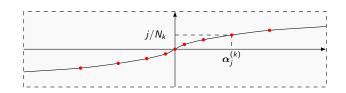
Asymptotics of counting functions:  $n_k/N_k \rightarrow 1/2$ .

$$\xi_t^{(k)}: \alpha \mapsto \frac{1}{2\pi} \kappa_t(\alpha) + \frac{n_k + 1}{2N_k} + \frac{1}{2\pi N_k} \sum_{i=1}^{n_k} \theta_t(\alpha, \alpha_j^{(k)})$$

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$$\lim_{N} \frac{\log_2(\lambda_{\max}(V_N(1))}{N} = \lim_{k} \frac{1}{N_k} \sum_{i=1}^{n_k} f(\alpha_j^{(k)}) = \int_{\mathbb{R}} f(\alpha) \rho_t(\alpha) d\alpha.$$

 $\bullet \ \, \mathsf{Extend} \,\, \xi_t^{(k)} \,\, \mathsf{on} \,\, \mathsf{a} \,\, \mathsf{stripe} \,\, \mathsf{including} \,\, \mathbb{R} :$ 

**1** Extend  $\xi_t^{(k)}$  on a stripe including  $\mathbb{R}$ :

 $\mathbb{R}$ 

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$$\xi_t^{(0)}|_{\mathcal{K}}$$

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$$\xi_{t}^{(2)}|\kappa$$

**1** Extend  $\xi_t^{(k)}$  on a stripe including  $\mathbb{R}$ :

$$\xi_t^{(+\infty)}|\kappa$$

**1** Extend  $\xi_t^{(k)}$  on a stripe including  $\mathbb{R}$ :

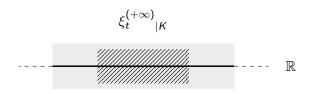
$$\xi_t^{(+\infty)}|\kappa$$

**1** Extend  $\xi_t^{(k)}$  on a stripe including  $\mathbb{R}$ :

$$\xi_{\mathbf{t}}^{(+\infty)}|_{\mathcal{K}}$$

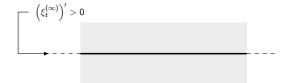
- **2** Assume  $(\xi_t)^{\nu(k)} \to \xi_t^{(+\infty)}$  on any compact K.
- 3  $\xi_t^{(+\infty)}$  verifies an integral equation with unique solution  $\rho_t$ .

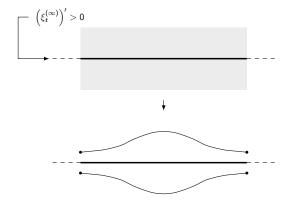
**1** Extend  $\xi_t^{(k)}$  on a stripe including  $\mathbb{R}$ :

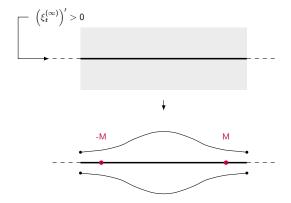


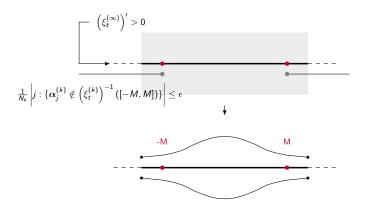
- **2** Assume  $(\xi_t)^{\nu(k)} \to \xi_t^{(+\infty)}$  on any compact K.
- 3  $\xi_t^{(+\infty)}$  verifies an integral equation with unique solution  $\rho_t$ .
- **4** Thus,  $\xi_t^{(k)} \to \xi_t^{(\infty)}$ .

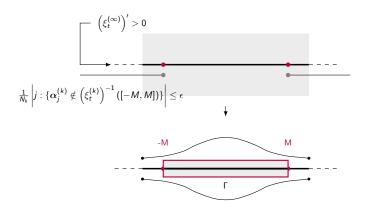
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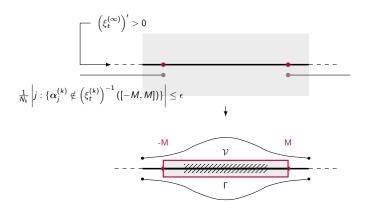


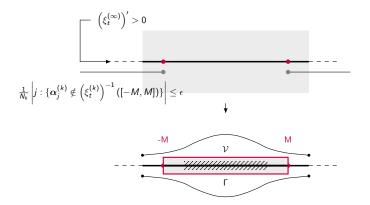






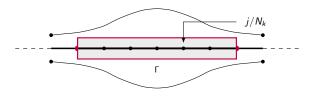






The functions have distinct values on  $\mathcal V$  and  $\Gamma$ . Thus they are bihilomorphisms onto  $\mathcal V$ .

### Lace integral expression of $\xi_t^{(k)}$ :



By residues theorem:

$$\xi_t^{(k)}(\alpha) = \frac{1}{2\pi}\kappa_t(\alpha) + \frac{n_k + 1}{2N_k} + \oint_{\Gamma} \theta_t\left(\left(\xi_t^{(k)}\right)^{-1}(\alpha)\right) \frac{e^{2i\pi sN_k}}{e^{2i\pi sN_k} - 1} ds + O(\epsilon).$$

Fredholm integral equation: Limit and change of variable:

$$\xi_t^{(\infty)}(\alpha) = \frac{1}{2\pi} \kappa_t(\alpha) + \frac{1}{4} + \int_0^{+\infty} = \theta_t(\alpha) \left(\xi_t^{(\infty)}\right)'(\alpha) d\alpha.$$

Solution by Fourier transforms.

Final computation:

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$$h(X^s) = \int_{\mathbb{R}} \log_2(2|\sin(\kappa_t(\alpha))/2|) . \rho_t(\alpha) d\alpha.$$

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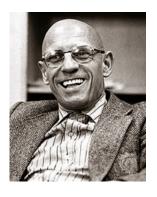
$$h(X^s) = \int_{\mathbb{R}} \log_2(2|\sin(\kappa_t(\alpha))/2|).\rho_t(\alpha)d\alpha.$$

Through an expression of  $ho_t = \left(\xi_t^{(\infty)}\right)'$  and lace integrals computations:

$$h(X^s) = \frac{3}{2}\log_2(4/3).$$

## V. Comments

### Why mathematical physics are hard to read for mathematicians?



Archaeology of Knowledge, 1969

Concept of discursive formation

Mathematics and mathematical physics are distinct discursive formations; different conceptions of units of meaning, etc.

#### Further research:

• Extensions: eight-vertex model [Baxter], dimer model [Lieb]...



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**2** Hard core model ? Tridimensional ice ? Kari-Culik tilings ?



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3 Transformation of entropy by subshifts operators?

