

Minicourse on *information, complexity and organisation in  
multidimensional symbolic dynamics*

## Exact computations of entropy for multidimensional SFT

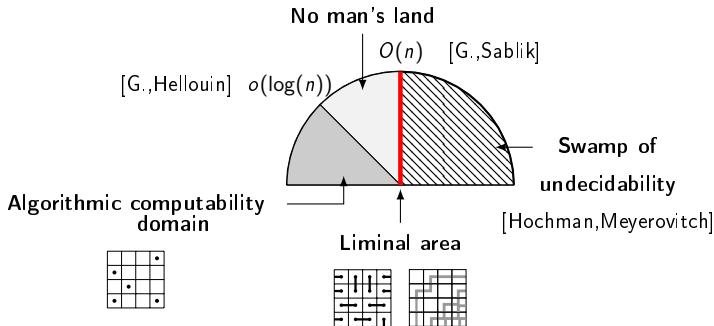
Silvere Gangloff

April 28, 2021

[sgangloff@agh.edu.pl](mailto:sgangloff@agh.edu.pl) ; [silvere.gangloff@gmx.com](mailto:silvere.gangloff@gmx.com)

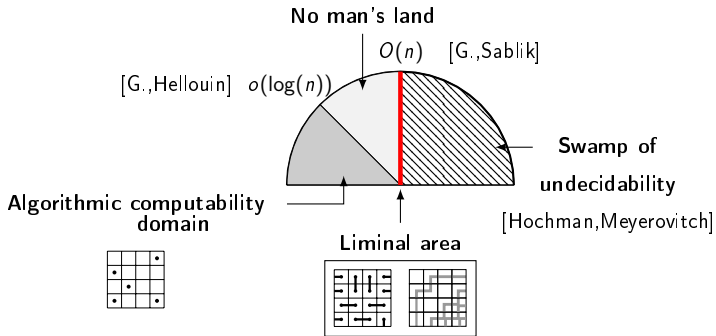
# Multidimensional SFT: a computational 'transition':

Reminder (third lecture):



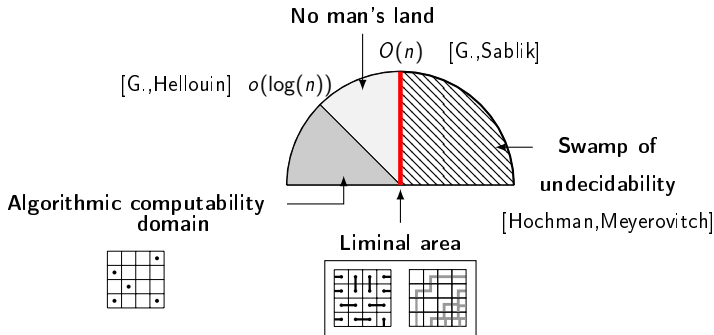
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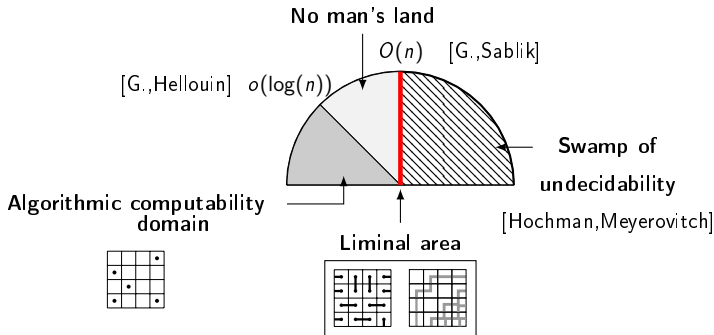
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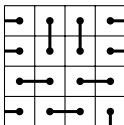
**Question:** what makes the entropy of subshifts in the liminal area computable ?

## Dimers model:

Subshift  $X_0$ :

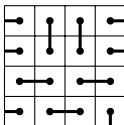
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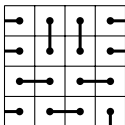


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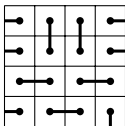


**Theorem**[[Kasteleyn\(1961\)](#)]:  $h(X_0) = \frac{G}{\pi}$ , where:

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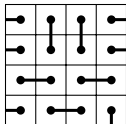


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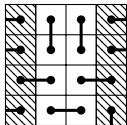
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(Called Catalan constant)

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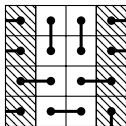


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W

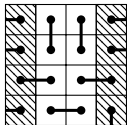
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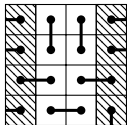


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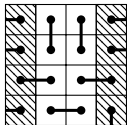
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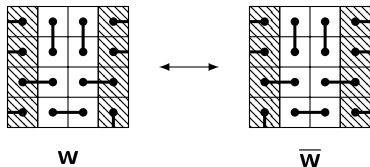
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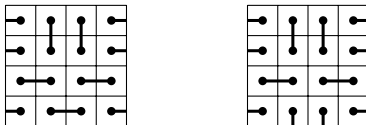
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### Examples:



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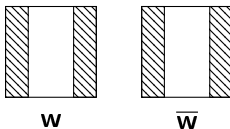
$$\sum_{\mathbf{w}} (N_n^{\mathbf{w}}(X_0))^2 \leq N_{2n,n}^c(X_0) \leq \left( \sum_{\mathbf{w}} N_n^{\mathbf{w}}(X_0) \right)^2.$$

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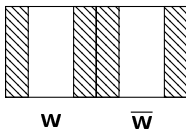


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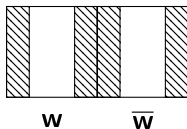


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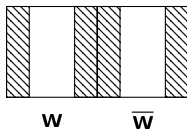
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Thus  $h_c(X_0) = h(X_0)$ .

In a similar way  $h_t(X_0) = h(X_0)$ .

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Consider  $n \geq 1$ ;

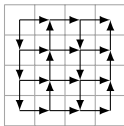
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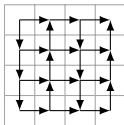
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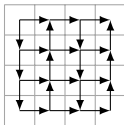
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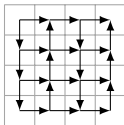
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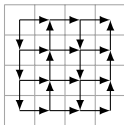
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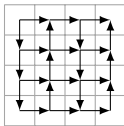


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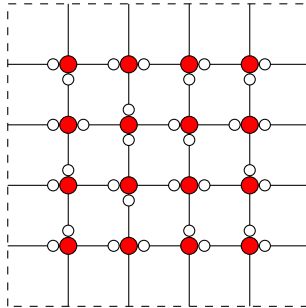


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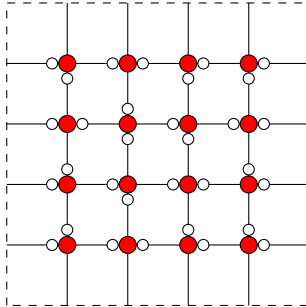
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Diagonalisation of  $K^{(n)} \rightarrow$  formula for  $N_n^t(X_0)$  as sum of trigonometric functions.

**Square ice:** Wang tiles representation:

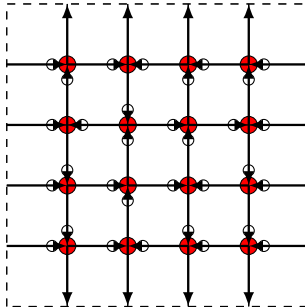


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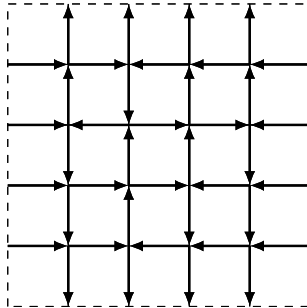




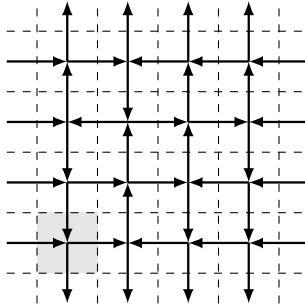
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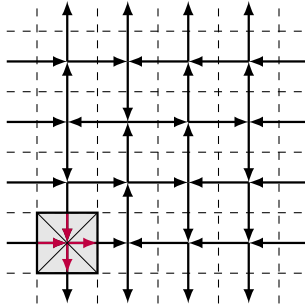
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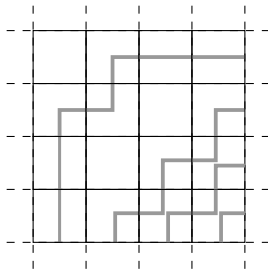
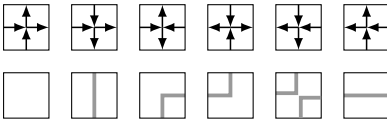
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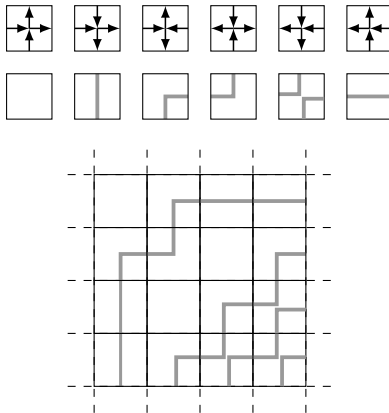
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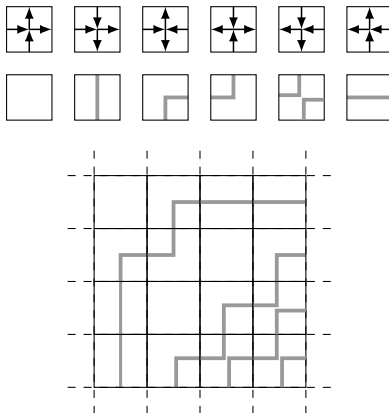


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E.H. Lieb, *Residual entropy of square ice*, Physical Review, 1967.

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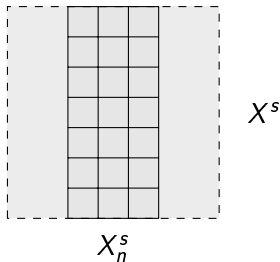
S. Gangloff, *A proof that square ice entropy is  $\frac{3}{2} \log_2(4/3)$* , 2019  
(based on the work of R.Baxter, K.Kozlowski).

**Square ice:** Computation of entropy:

$$h(X^s) = \lim_{m,n} \frac{\log_2(\mathcal{N}_{m,n}(X^s))}{mn}.$$

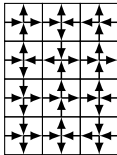
**Stripes subshifts:**

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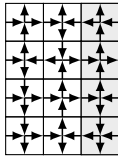




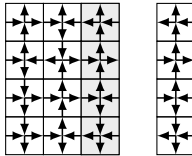
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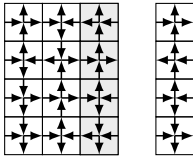
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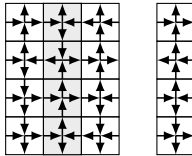
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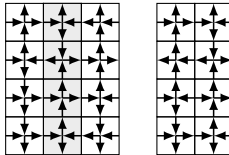
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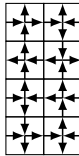
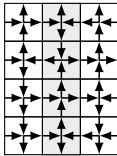
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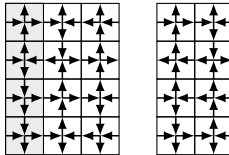
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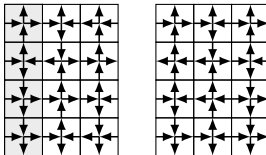


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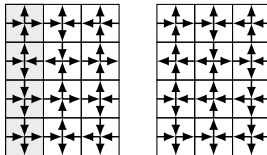




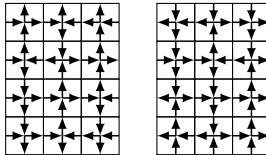
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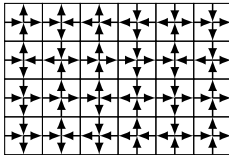
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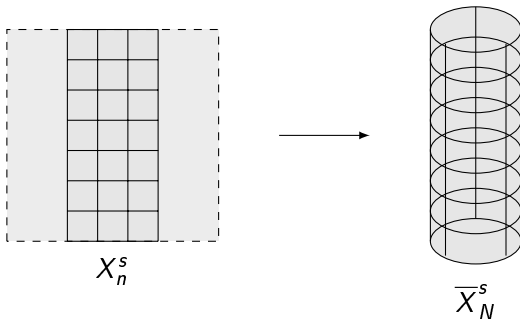
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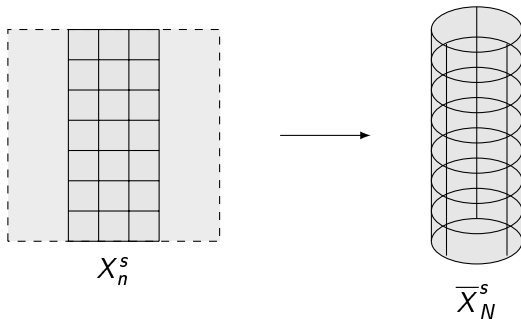
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**Square ice:** Cylindric subshifts:



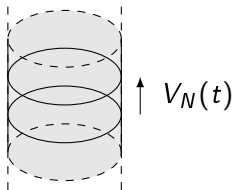
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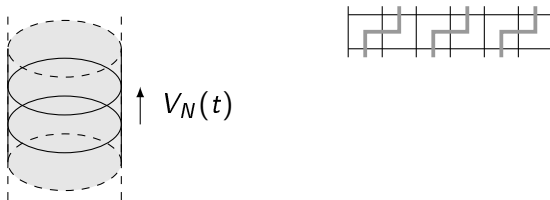
As a consequence of symmetry properties:

$$h(X^s) = \lim_N \frac{h(\overline{X}_N^s)}{N}$$

**Square ice:** Lieb transfer matrices:

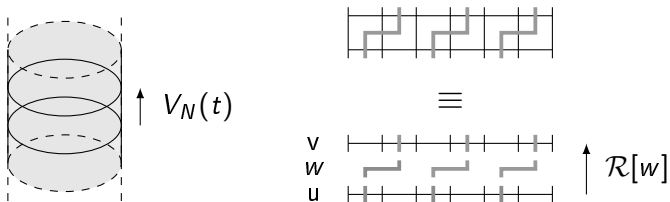


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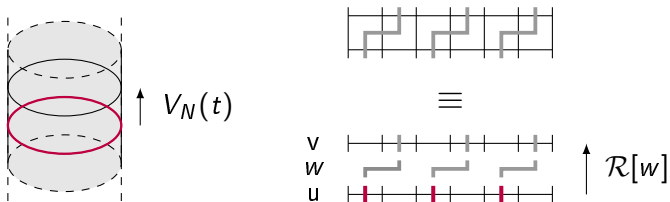




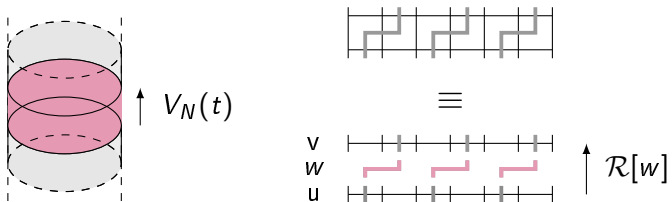
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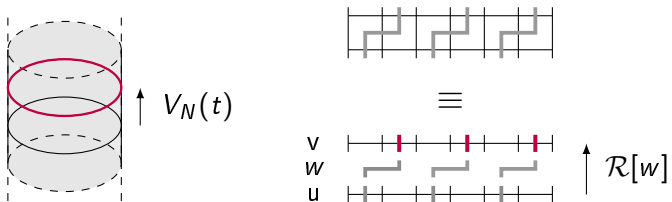
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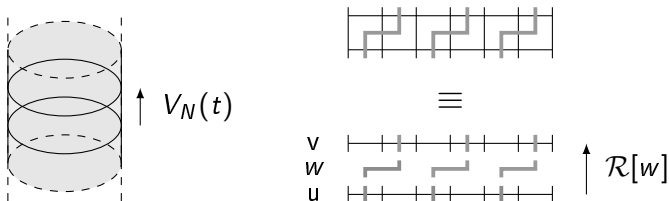
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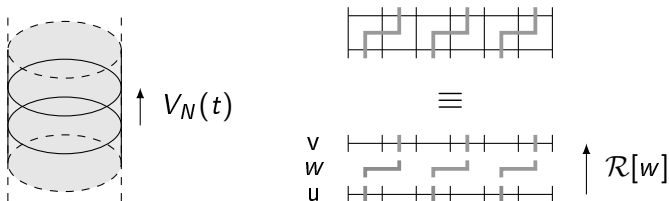
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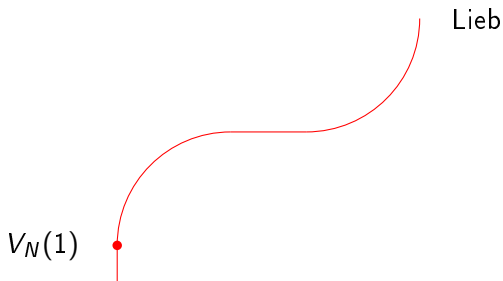
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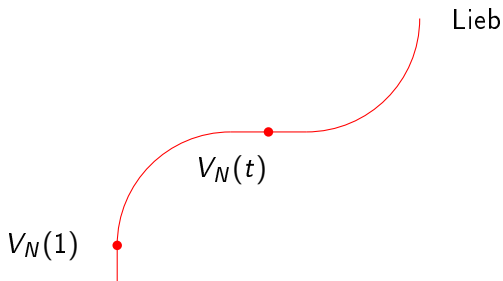
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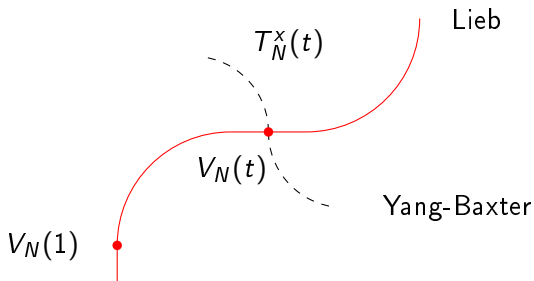




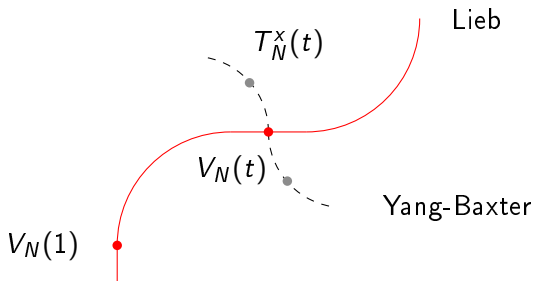
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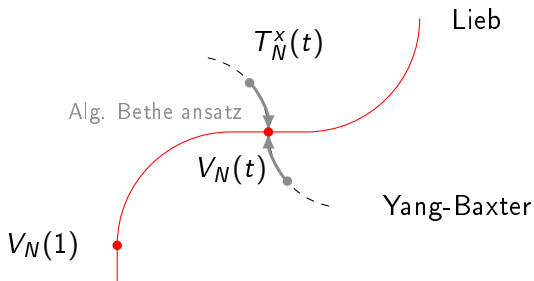
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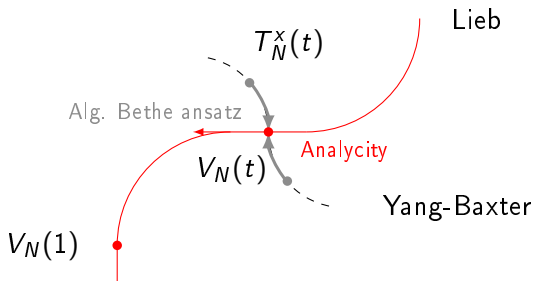
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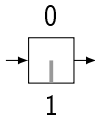
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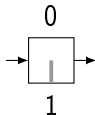


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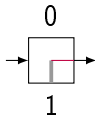
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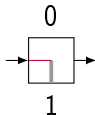
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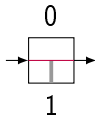
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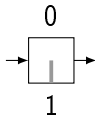
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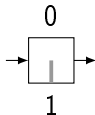
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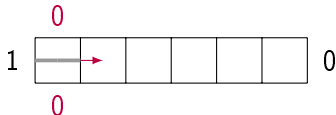
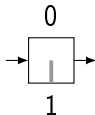
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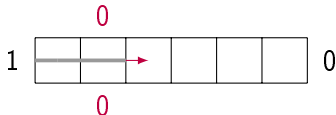
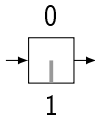
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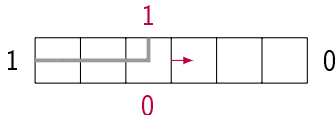
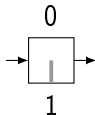


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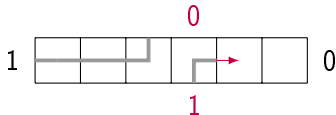
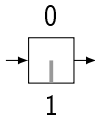
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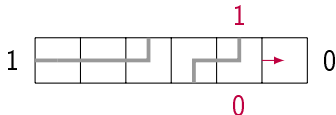
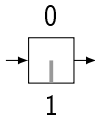
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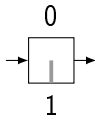
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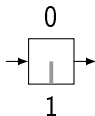
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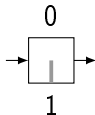
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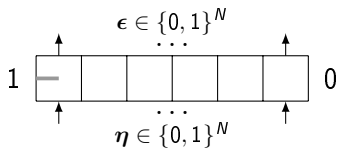


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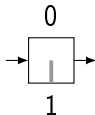
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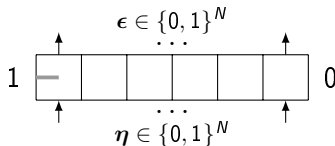
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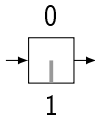
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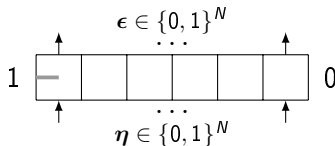
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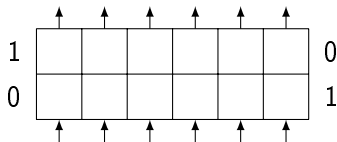
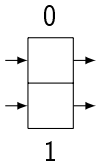


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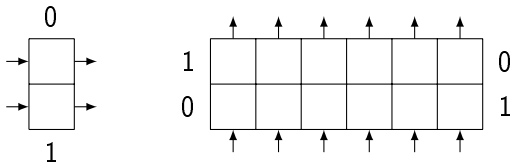
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$$T_N[\epsilon, \eta] = \sum_{u \in \{0,1\}} M_N(u, u)[\epsilon, \eta].$$

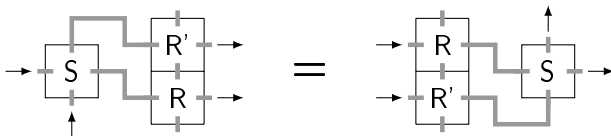
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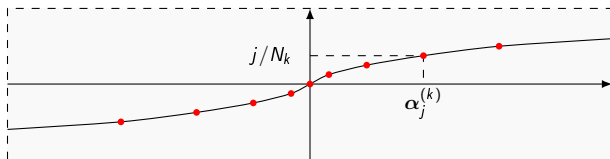
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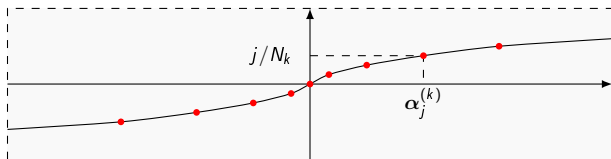
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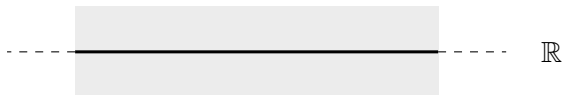
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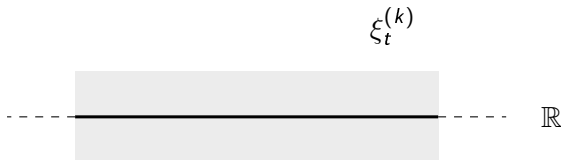
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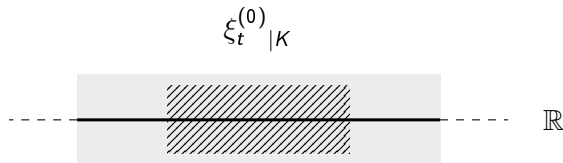
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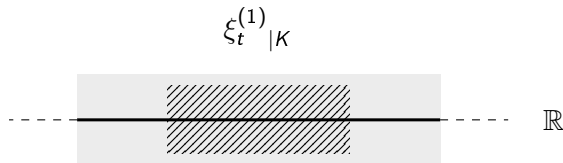


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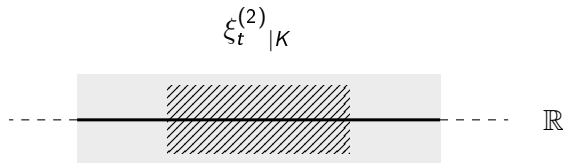
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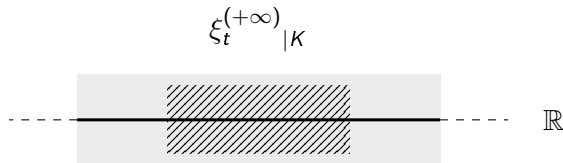
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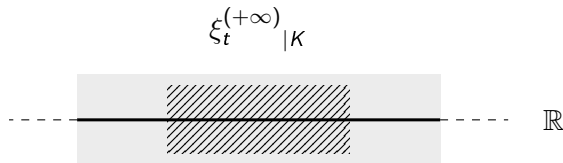
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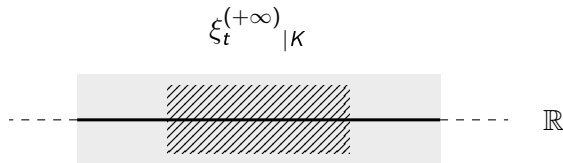
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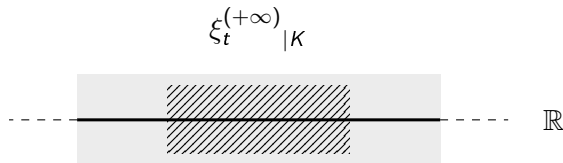
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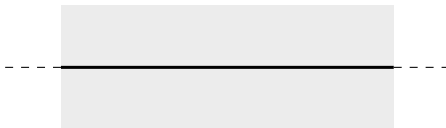
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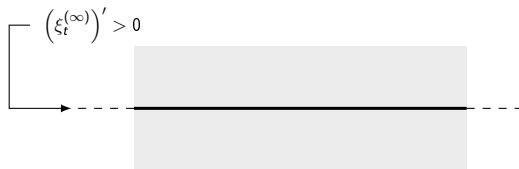


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**Square ice:** Rarefaction of the roots and  $\xi_t^{(k)}$   
biholomorphisms:  $\epsilon > 0$ :

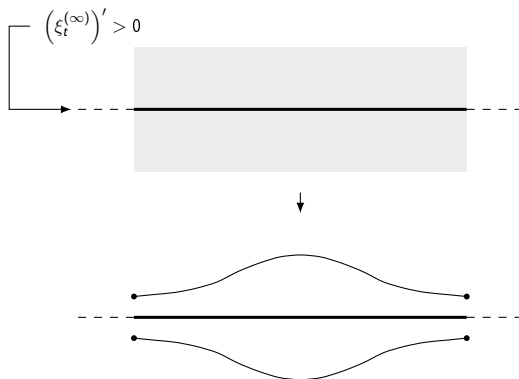


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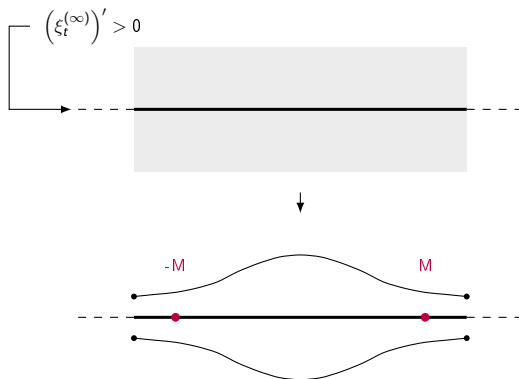




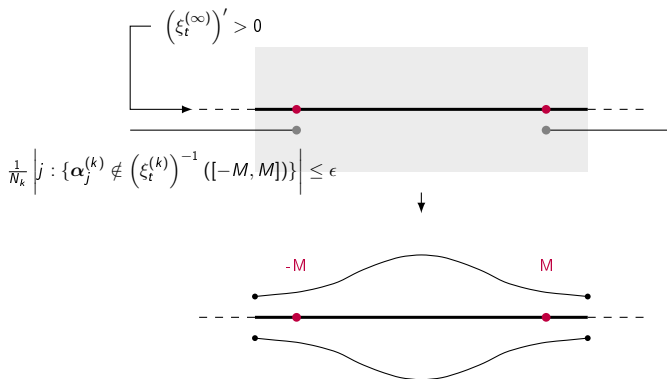
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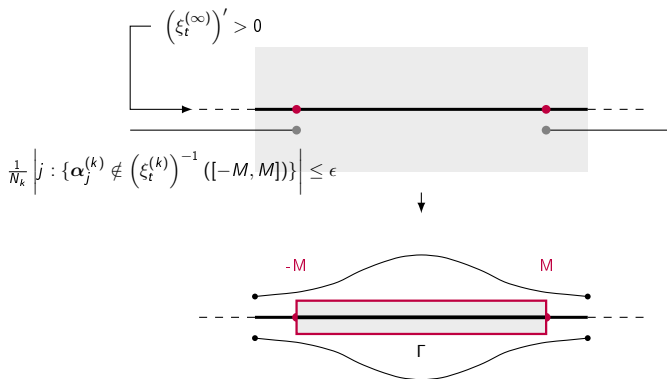
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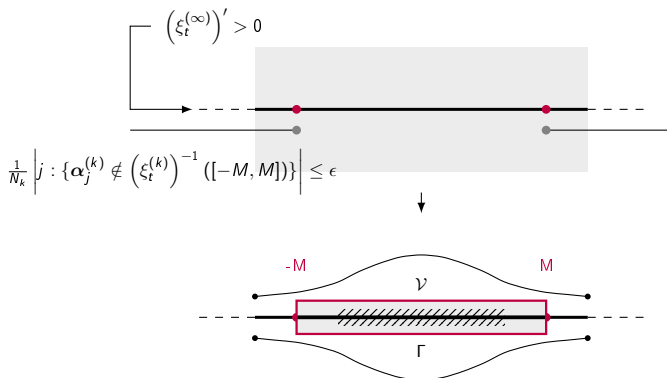
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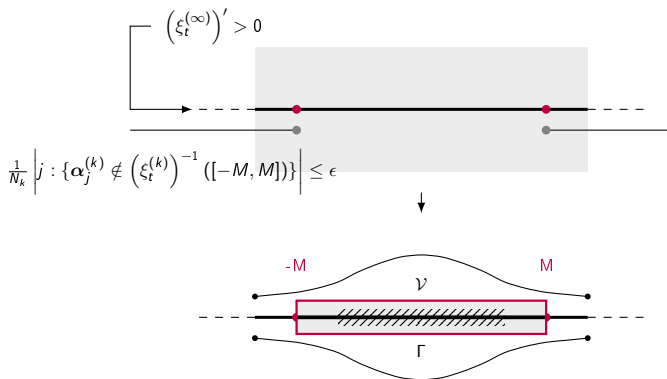
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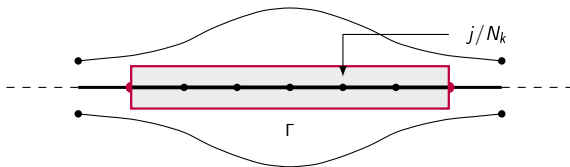


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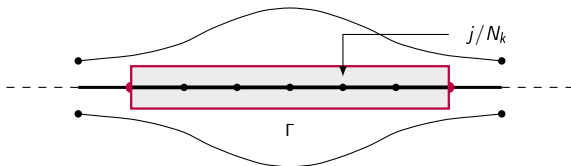


The functions have distinct values on  $\mathcal{V}$  and  $\Gamma$ . Thus they are biholomorphisms onto  $\mathcal{V}$ .

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By residues theorem:

$$\xi_t^{(k)}(\alpha) = \frac{1}{2\pi} \kappa_t(\alpha) + \frac{n_k + 1}{2N_k} + \oint_{\Gamma} \theta_t \left( \left( \xi_t^{(k)} \right)^{-1}(\alpha) \right) \frac{e^{2i\pi s N_k}}{e^{2i\pi s N_k} - 1} ds + O(\epsilon).$$



**Square ice:** Fredholm integral equation: Limit and change of variable:

$$\xi_t^{(\infty)}(\alpha) = \frac{1}{2\pi} \kappa_t(\alpha) + \frac{1}{4} + \int_0^{+\infty} \theta_t(\alpha) \left( \xi_t^{(\infty)} \right)'(\alpha) d\alpha.$$

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Solution by Fourier transforms.

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Through an expression of  $\rho_t = \left(\xi_t^{(\infty)}\right)'$  and lace integrals computations:

$$h(X^s) = \frac{3}{2} \log_2(4/3).$$

## Friedland's theorem:

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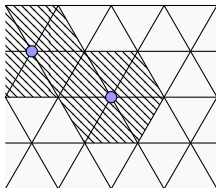
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**Question:** what are the possible values of entropy for symmetric bidimensional subshifts of finite type ?

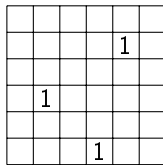
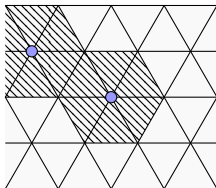


**Baxter's hard hexagons:** [Exactly solvable models in statistical physics]

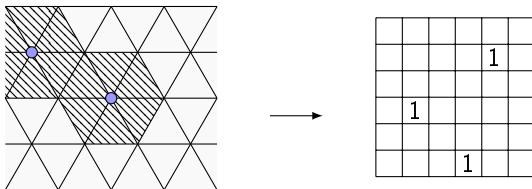


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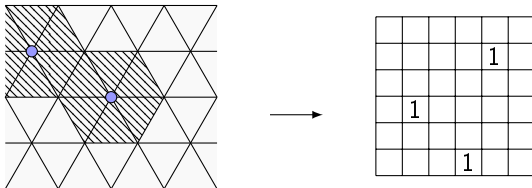


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Formula for entropy as sum of a series:

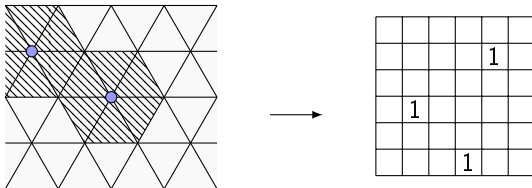
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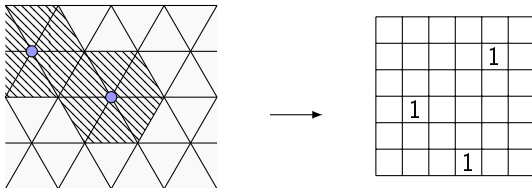
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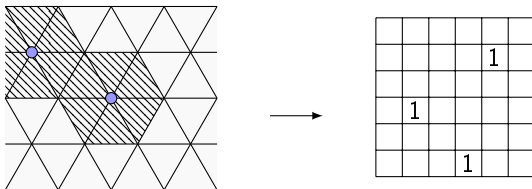
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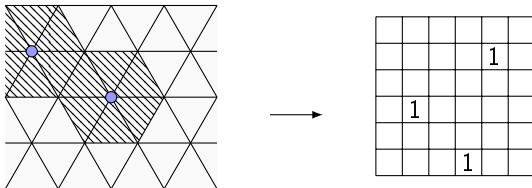
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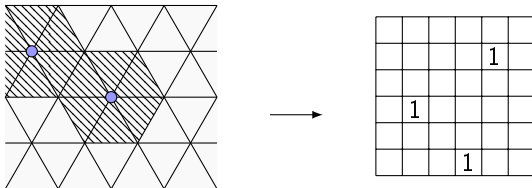


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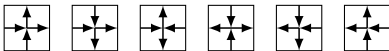


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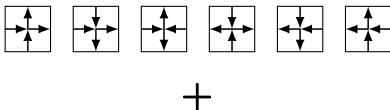
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Main problems: points **3, 4**.

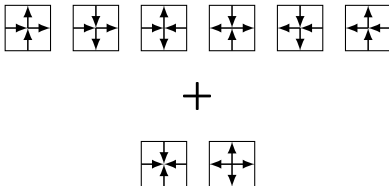
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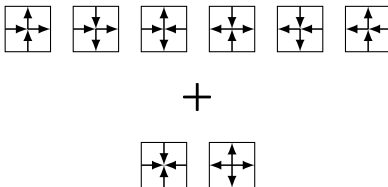
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Entropy computation: similar to square ice; analytical part non verified.

## Subsidiary questions:

**Question:** can we use similar methods to talk about invariant measures (for instance  $\times 2, \times 3$  conjecture) ?

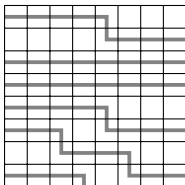
## Subsidiary questions:

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**Question:** can we find solutions to compute entropy/ Yang-Baxter equations for other subshifts of finite type ? *Example:* Kari-Culik tilings (know: positive entropy [[Durand, Gamard, Grandjean\(2017\)](#)]).

## Combinatorial methods

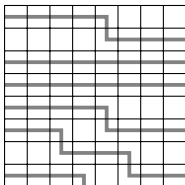
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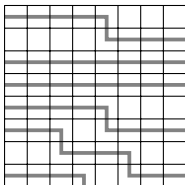
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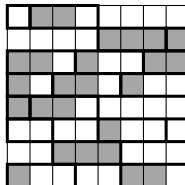
3	1	2	3				
				1	2	3	1
1	2	3	1	2	3	1	2
2	3	1	2	3	1	2	3
3	1	2	3				
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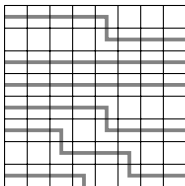


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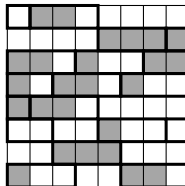


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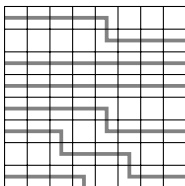
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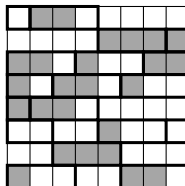
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**Question:** for what kind of subshifts can we compute entropy with similar methods ?

**Proof for  $r = 1$**

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Second layer is trivial: we consider only first and third.

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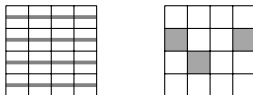
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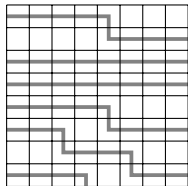
$$N_n(\Delta_1) \geq 2^{n^2}.$$

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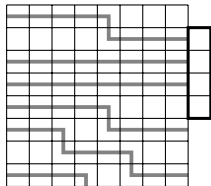
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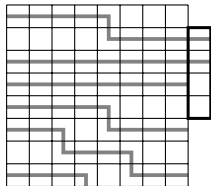
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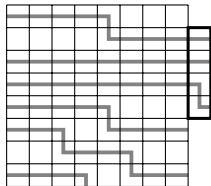
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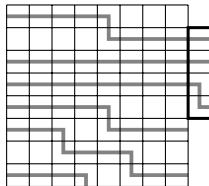
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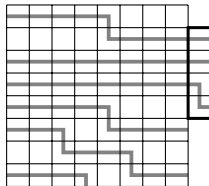
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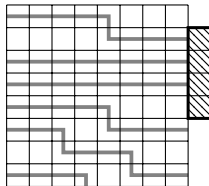
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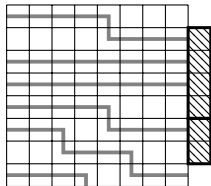
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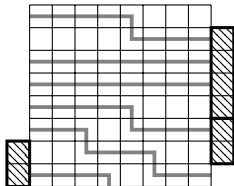
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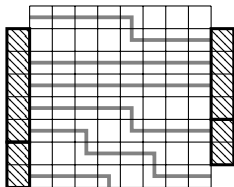
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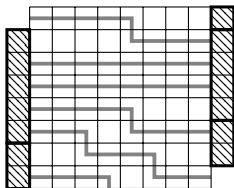
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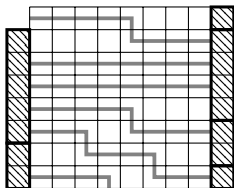
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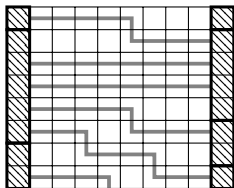
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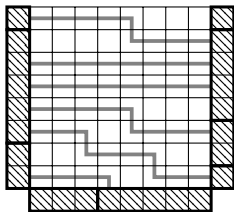
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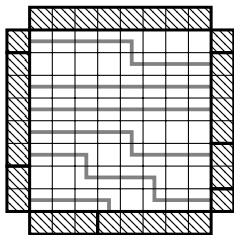
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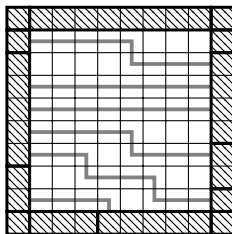
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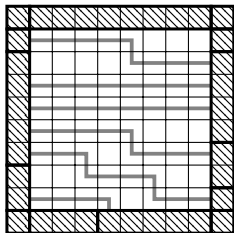
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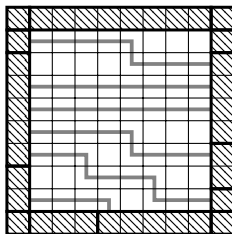
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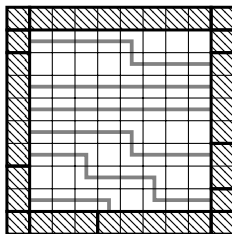


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3. Try Baxter's method for square ice; more precisely: do both transfer matrices of square ice have same maximal eigenvalues or not ?