

Minicourse on *information, complexity and organisation in
multidimensional symbolic dynamics*

On the limit between the computable and the uncomputable

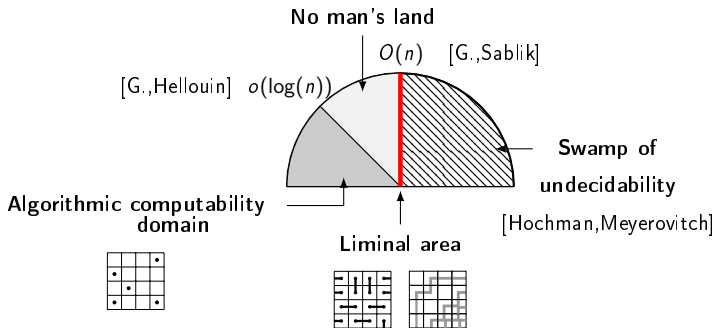
Silvere Gangloff

April 15, 2021

sgangloff@agh.edu.pl ; silvere.gangloff@gmx.com

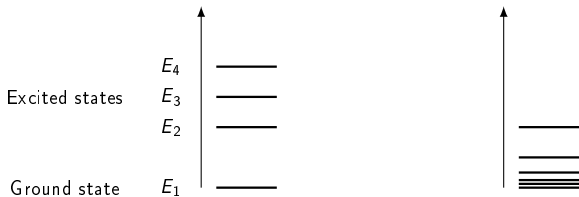
Multidimensional SFT: a computational 'transition':

Reminder (third lecture):



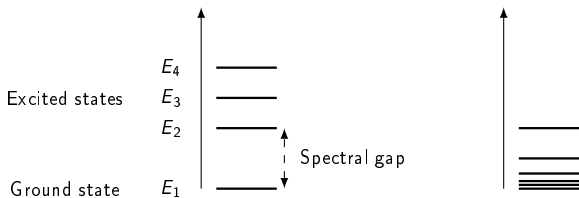
Uncomputability in quantum physics:

Energy states:



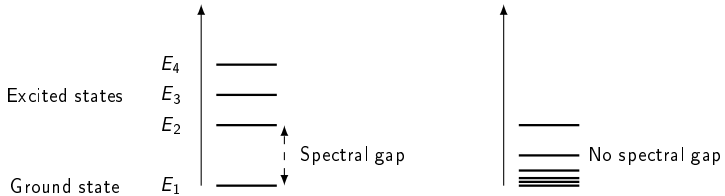
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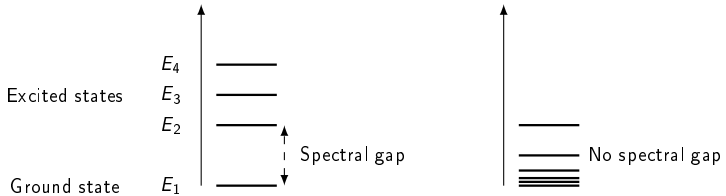
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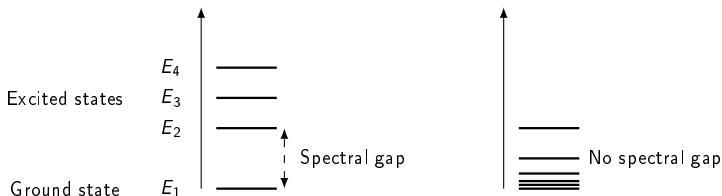
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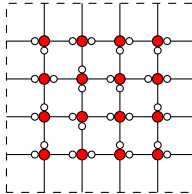


Cubitt,Perez-Garcia,Wolf(2015): *The spectral gap problem is undecidable.*

Kreinovich(2017): *Why Some Physicists Are Excited About the Undecidability of the Spectral Gap Problem and Why Should We*

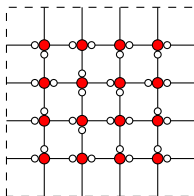
Uncomputability in quantum physics: Exactly solvable models

Square ice model [Pauling(1935)]:



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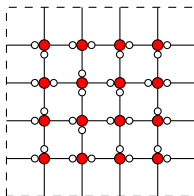
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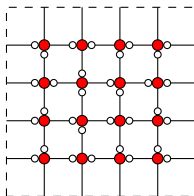


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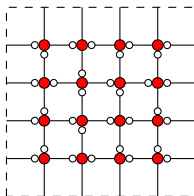


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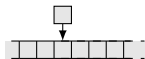
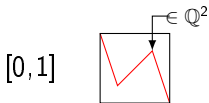
Questions:

1. When does uncomputability phenomena appear in the classes of models considered ?
2. How does 'organisation' emerge from simple interactions between elements of matter ?
3. Are the models for which uncomputability occur physically significant ? Can we formulate a restriction which ensures computability ?

Computability of (topological) entropy:

Milnor (2002): is the *entropy* of a dynamical system effectively computable ?

Computable



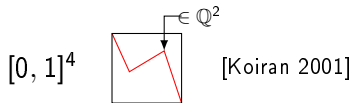
[Jeandel 2014]

Expansive

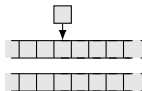
[D'amico Manzini Margara 2003]



Uncomputable



[Koiran 2001]



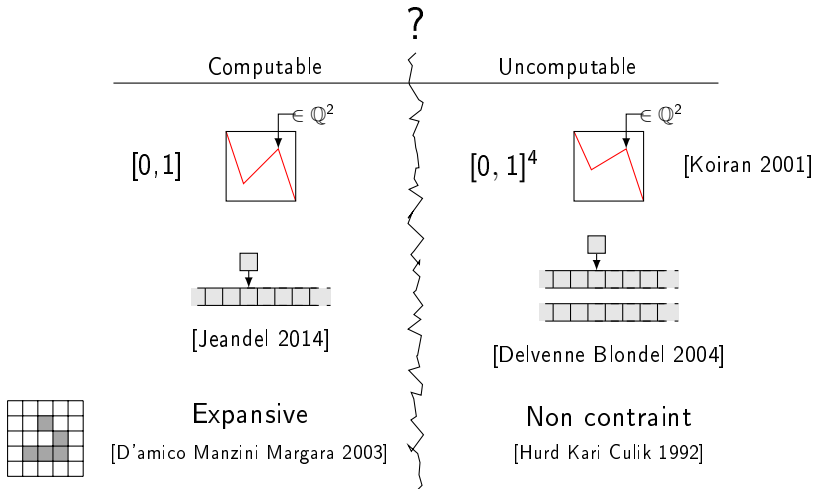
[Delvenne Blondel 2004]

Non constraint

[Hurd Kari Culik 1992]

Computability of (topological) entropy:

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Reminders:

Alphabet \mathcal{A} finite. **Patterns:** $(d=1)$ elements of $\mathcal{A}^{\mathbb{U}}$, $\mathbb{U} \subset \mathbb{Z}$.

Subshifts $(d=1)$: set of patterns \mathcal{F} .

$$X_{\mathcal{F}} = \{x \in \mathcal{A}^{\mathbb{Z}} : \forall \mathbb{U} \subset \mathbb{Z}, x|_{\mathbb{U}} \notin \mathcal{F}\}.$$

For every subshift X on alphabet \mathcal{A} there exists \mathcal{F} s.t. $X = X_{\mathcal{F}}$.

When \mathcal{F} finite : of finite type; when \mathcal{F} recursively enumerable (set of outputs of a computing machine): effective.

Reminders:

Language: $\mathcal{L}(X)$: set of patterns which appear in some $x \in X$.

Entropy(d=1): $N_n(X)$: number of words $w \in \mathcal{L}(X)$, $|w| = n$.

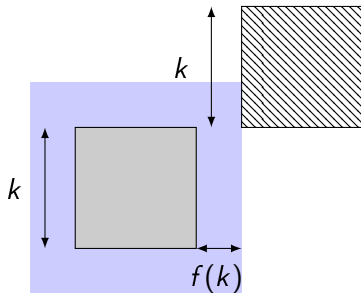
$$h(X) = \lim_{n \rightarrow +\infty} \frac{\log_2(N_n(X))}{n} = \inf_{\text{Th}} \lim_{n \rightarrow +\infty} \frac{\log_2(N_n(X))}{n}$$

Π_1 -computable: $x \in \mathbb{R}$: exists an algorithm $n \mapsto r_n$ with $r_n \downarrow x$.

Lemma: when X is effective, $h(X)$ is Π_1 -computable.

Reminders:

f -block gluing:



When $d = 1$: square patterns \rightarrow words.

Decidable subshifts: characterization of a threshold

Decidable:

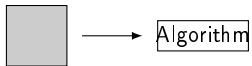
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Algorithm

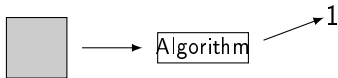
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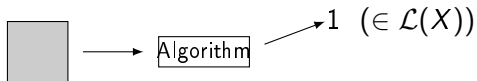
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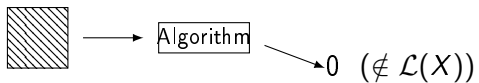
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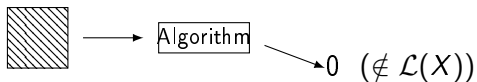
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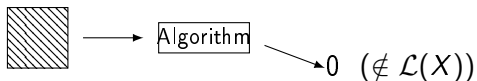
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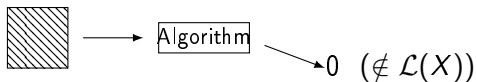


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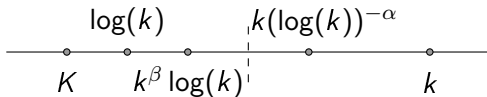
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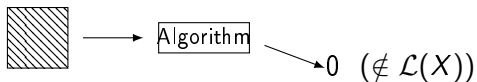
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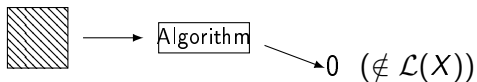
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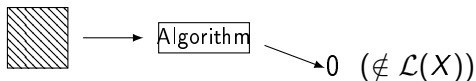
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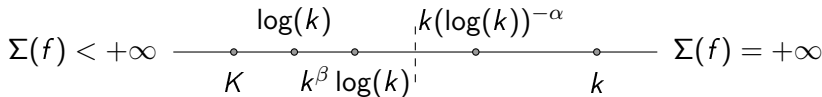
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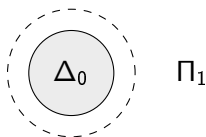


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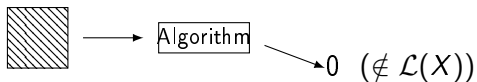


Set of entropies, f -block
gluing decidable subshifts



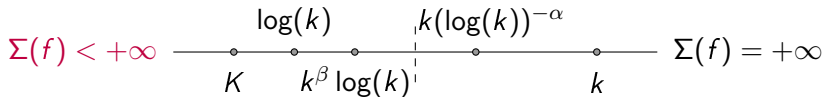
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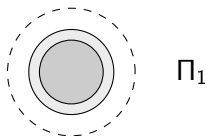


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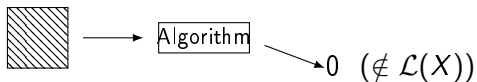


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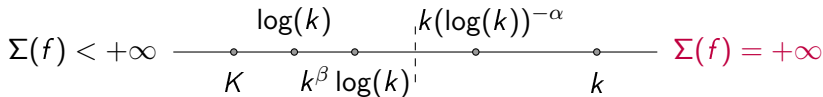
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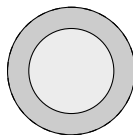


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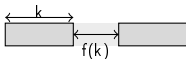
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Π_1

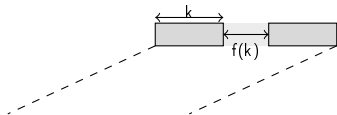
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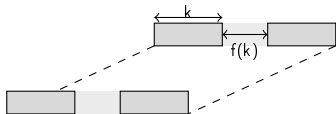
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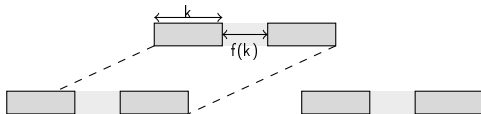
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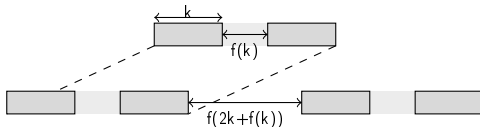
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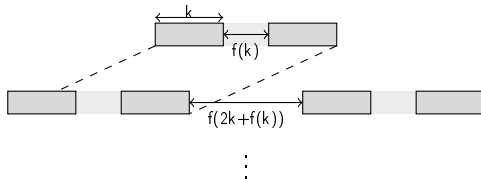
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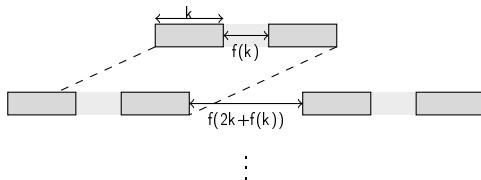
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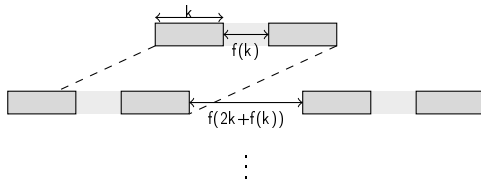
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$$\frac{\log(N_k(X))}{k} - |\mathcal{A}| \cdot \sum_k^{+\infty} \frac{f(2^l)}{2^l} \leq h \leq \frac{\log(N_k(X))}{k}$$

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$$\frac{\log(N_k(X))}{k} - |\mathcal{A}| \cdot \sum_k^{+\infty} \frac{f(2^l)}{2^l} \leq h \leq \frac{\log(N_k(X))}{k}$$

Since X is decidable, $k \mapsto N_k(X)$ is computable, hence h is computable.

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Known: obstruction \rightarrow let us prove realization

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Bounded density shifts.

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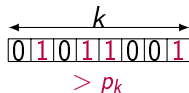
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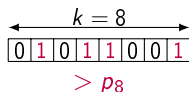
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$$\begin{array}{c} \overleftarrow{\hspace{1.5cm}} \overrightarrow{\hspace{1.5cm}} \\ k = 8 \\ \boxed{0 \mid 1 \mid 0 \mid 1 \mid 1 \mid 0 \mid 0 \mid 1} \\ > p_8 = 3 \end{array}$$

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$$\boxed{1} \boxed{1} \boxed{0} \boxed{1} \boxed{0} \boxed{1} \quad \text{Computed: } p_1, p_2, p_3, p_4, p_5, p_6.$$

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$$\begin{array}{c} \longleftrightarrow k=8 \longrightarrow \\ \boxed{0} \boxed{1} \boxed{0} \boxed{1} \boxed{1} \boxed{0} \boxed{0} \boxed{1} \\ > p_8 = 3 \end{array}$$

Since (p_k) is computable, $X_{\mathcal{F}}$ is decidable:

$$\begin{array}{c} \boxed{1} \boxed{1} \boxed{0} \boxed{1} \boxed{0} \boxed{1} \\ \swarrow \end{array} \quad \text{Computed: } p_1, p_2, p_3, p_4, p_5, p_6.$$

Above the threshold I. Objects: $\Sigma(f) = +\infty$

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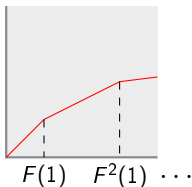
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Let $F(k) \underset{Def}{=} 2k + f(k)$;

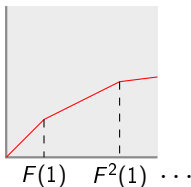
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f -block gluing $\Leftrightarrow \forall n, p_{F(n)} \geq 2p_n + 4$

Above the threshold II. Strategy: $\Sigma(f) = +\infty$

Let $\alpha \in \Pi_1$, $\alpha_k \downarrow \alpha$.

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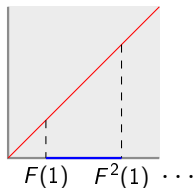
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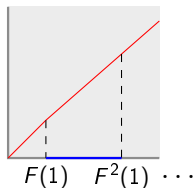
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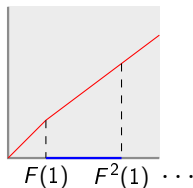
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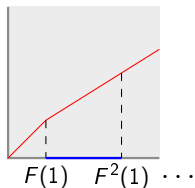
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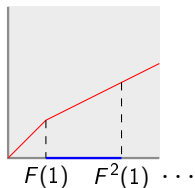
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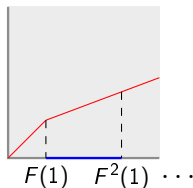
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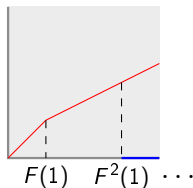
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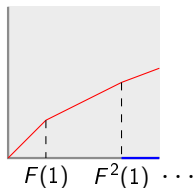
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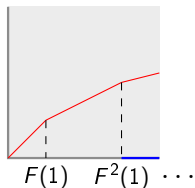
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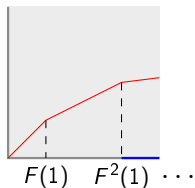
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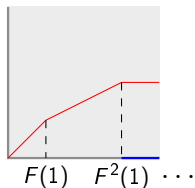
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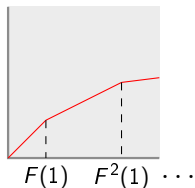
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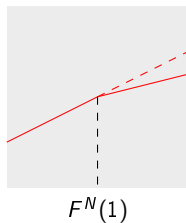
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Current entropy:
 $h > \alpha_3 + 2^{-3}$

Above the threshold III. Tracking tool: $\Sigma(f) = +\infty$

Entropy change: $\beta = (\beta_1, \beta_2, ..)$ slopes:



$$\beta \quad 0 \geq \Delta h \geq -H(1/F^N(1))$$

$$\beta' \quad H(\epsilon) = \epsilon \log(\epsilon) + (1 - \epsilon) \log(1 - \epsilon)$$

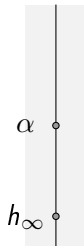
(by bounding preimages of a transformation)

Above the threshold III. Tracking: $\Sigma(f) = +\infty$

Let us assume that $h_\infty < \alpha$.

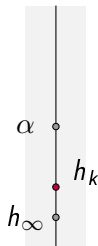
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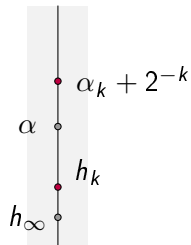
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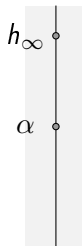
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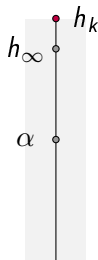
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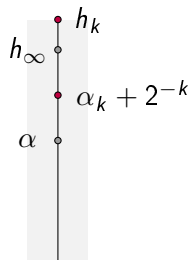
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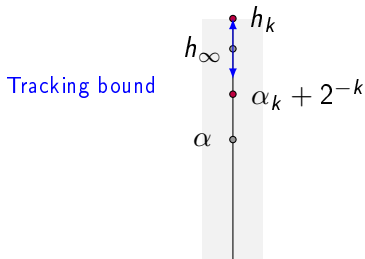
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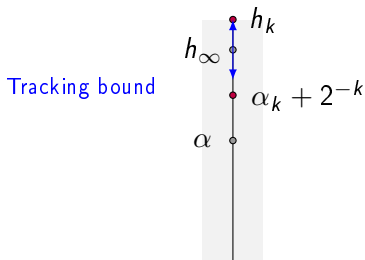
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Block gluing condition is minimal:

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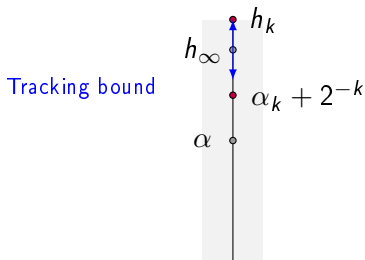
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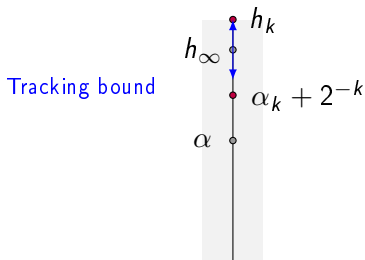


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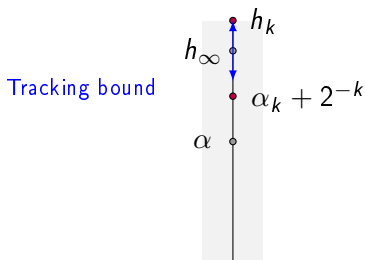


Block gluing condition is minimal: $\forall l \geq k, p_{F(l)} = 2p_l + 4$.

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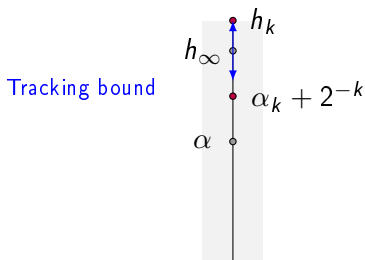
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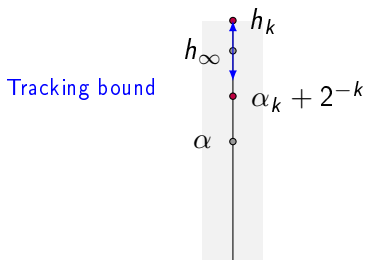
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$\Sigma(f) = +\infty$: $\inf \frac{p_l}{l} = h_\infty = 0 \rightarrow \text{contradiction}$.

Questions:

1. What happens when $\Sigma(f)$ is not computable ?

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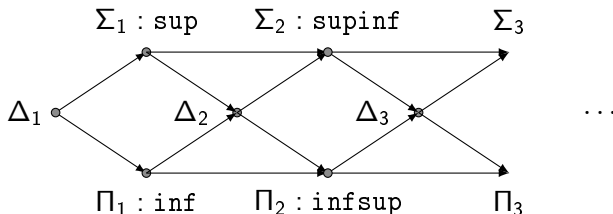
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Questions:

1. What happens when $\Sigma(f)$ is not computable ?
2. Computational threshold for the spectral gap ?
3. For other classes of dynamical systems ? [\rightarrow better understanding of the threshold phenomenon]

Computability in general:

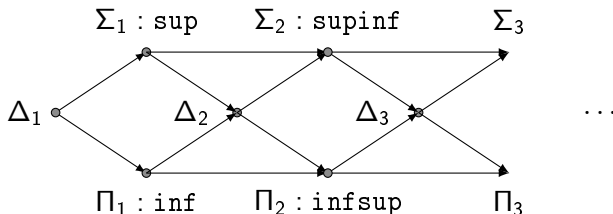
X.Zheng, K.Weihrauch: The arithmetical hierarchy of real numbers.



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For all m , $\Delta_m = \Sigma_m \cap \Pi_m$.

Theorem: for all m , $\Sigma_m \subsetneq \Delta_{m+1}$, $\Pi_m \subsetneq \Delta_{m+1}$, $\Delta_m \subsetneq \Sigma_m$, $\Delta_m \subsetneq \Pi_m$.

General metric dynamics:

Question: Classification of classes of dynamical systems according to possible values of entropy ?

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Theorem[[G., Herrera, Rojas, Sablik\(2019\)](#)]: the entropy of a topological **computable** dynamical system (X, f) is Σ_2 -computable.

General metric dynamics:

Question: Classification of classes of dynamical systems according to possible values of entropy ?

Theorem[G.,Herrera,Rojas,Sablik(2019)]: the entropy of a topological **computable** dynamical system (X, f) is Σ_2 -computable.

If in the arithmetical hierarchy, possible classes are: $\Delta_1, \Sigma_1, \Pi_1, \Delta_2, \Sigma_2$.

Definition of computable dynamical system

A topological dynamical system is some (X, f) , where X is 'computable' and f is 'computable'.

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Computable metric space: (X, d, \mathcal{S}) with (X, d) metric space, $\mathcal{S} = \{s_i : i \geq 0\}$ a countable dense subset of X (**ideal points**), s.t. exists an algorithm which on input (i, j, n) outputs $r \in \mathbb{Q}$ s.t.:

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Examples:

Definition of computable dynamical system

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Computable metric space: (X, d, \mathcal{S}) with (X, d) metric space, $\mathcal{S} = \{s_i : i \geq 0\}$ a countable dense subset of X (**ideal points**), s.t. exists an algorithm which on input (i, j, n) outputs $r \in \mathbb{Q}$ s.t.:

$$|d(s_i, s_j) - r| \leq 2^{-n}.$$

Examples:

1. $X = [0, 1]$, $\mathcal{S} = \mathbb{Q} \cap [0, 1]$, $d(x, y) = |x - y|$;

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2. $\mathcal{A}^{\mathbb{N}}$, $\# \in \mathcal{A}$, $\mathcal{S} = \{w \cdot \#^{\infty} : |w| < +\infty\}$,

$$d(x, y) = 2^{-\min(\{n \in \mathbb{N} : x_n \neq y_n\})}.$$

Definition of computable functions

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Definition: A function $f : X \rightarrow X$ is **computable** when there exists an algorithm which on input m enumerates $I_m \subset \mathbb{N}$ such that

$$f^{-1}(B_m) = \bigcup_{n \in I_m} B_n,$$

Characterization of computable functions on Cantor sets

Lemma: a function $\mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ is computable when there exists a non-decreasing computable function $\varphi : \mathbb{N} \rightarrow \mathbb{N}$ and an algorithm which provided as input the $\varphi(n)$ first elements of some $x \in \mathcal{A}^{\mathbb{N}}$ outputs the n first elements of $f(x)$.

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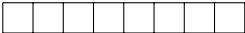


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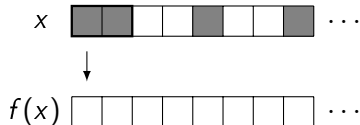
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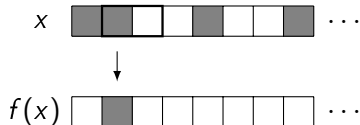
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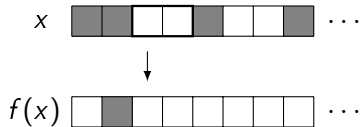
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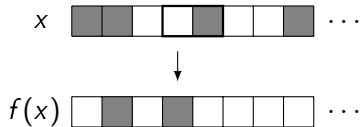
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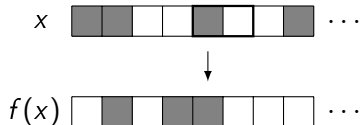
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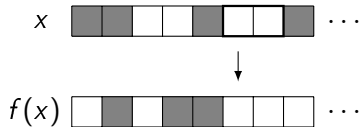
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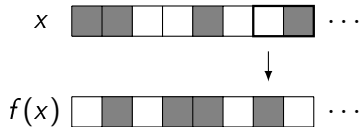
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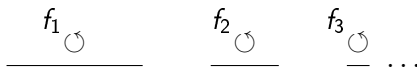
$$\begin{array}{ccc} f_1 \circlearrowleft & f_2 \circlearrowleft & f_3 \circlearrowleft \\ \hline & & \dots \end{array}$$

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5. f surjective with entropy $\sup_n h_n$.

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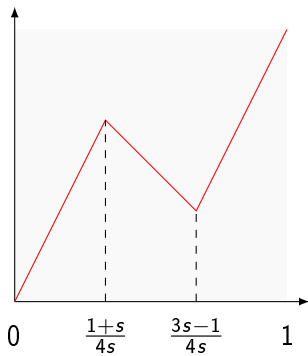
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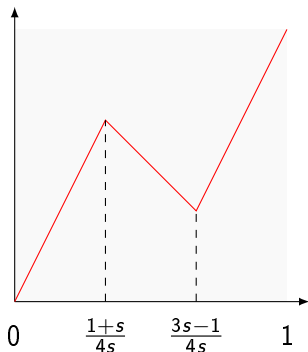
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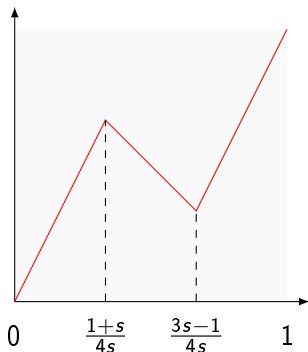
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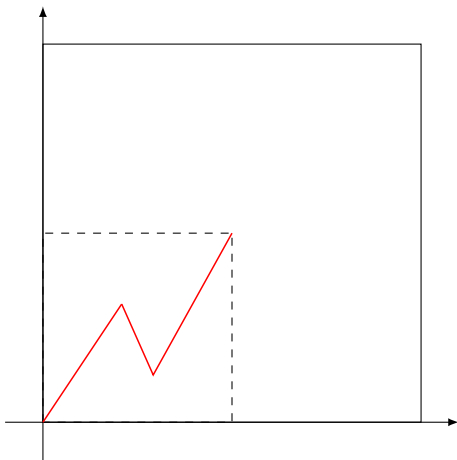


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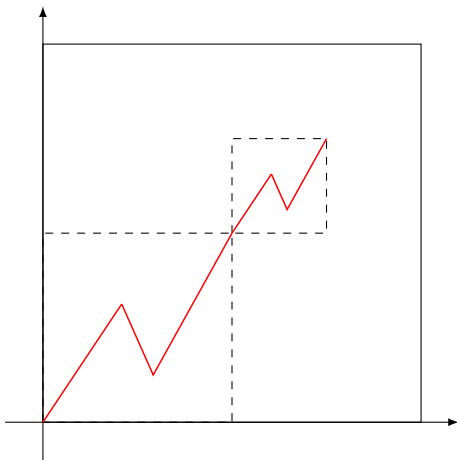
Thus for all $s \in \mathbb{Q}$, the entropy of $([0, 1], f_s)$ is s .

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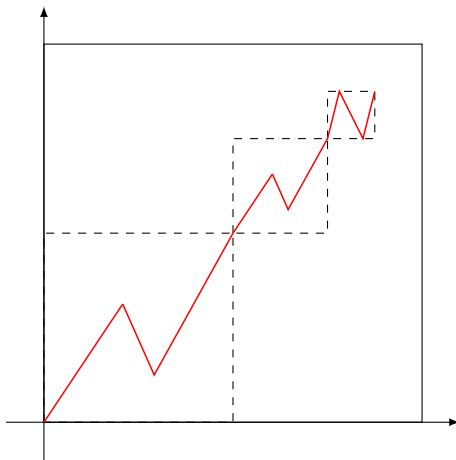
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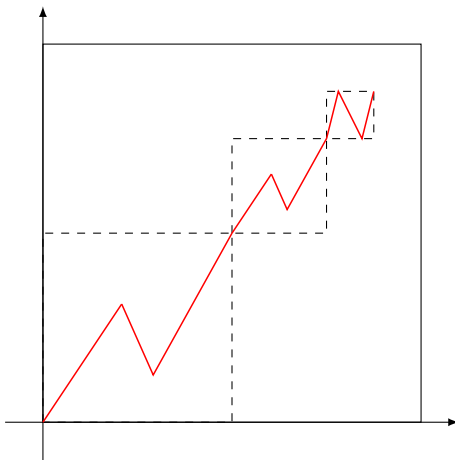
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3. Do you have other ideas of classes of systems and dynamical constraints ?