

Entropy of subshifts: a sharp computational threshold phenomenon.

Silvere Gangloff, Benjamin Hellouin

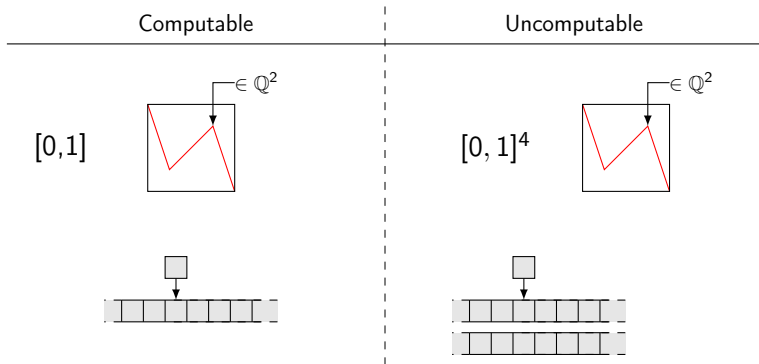
10 décembre 2018

Effect of quantified irreducibility on the computability of subshifts entropy, Gangloff, Hellouin, Discr. Cont. Dyn. Sys. (2018)

Milnor (2002) : is the entropy of a dynamical system computable?

Milnor (2002) : is the entropy of a dynamical system computable?

Examples of results : Koiran 2001, Jeandel 2014, Delvenne and Blondel 2004.



Entropy of subshifts :

Subshift : set of $\cdots \boxed{1\ 0\ 1\ 0\ 0\ 1\ 0\ 1} \cdots$; forbidden : $\boxed{1\ 1}$

Possible : $\boxed{0\ 0\ 0}$ $\boxed{1\ 0\ 0}$ $\boxed{0\ 1\ 0}$ $\boxed{0\ 0\ 1}$ $\boxed{1\ 0\ 1}$; $N_3 = 5$, $N_n = 2^{n(h+o(1))}$.

Entropy of subshifts :

Subshift : set of $\cdots \boxed{1\ 0\ 1\ 0\ 0\ 1\ 0\ 1} \cdots$; forbidden : $\boxed{1\ 1}$

Possible : $\boxed{0\ 0\ 0}$ $\boxed{1\ 0\ 0}$ $\boxed{0\ 1\ 0}$ $\boxed{0\ 0\ 1}$ $\boxed{1\ 0\ 1}$; $N_3 = 5$, $N_n = 2^{n(h+o(1))}$.

Entropy :

$$h = \lim \frac{\log(N_n)}{n} \stackrel{Th}{=} \inf \frac{\log(N_n)}{n}$$

Entropy of subshifts :

Subshift : set of $\cdots \boxed{1\ 0\ 1\ 0\ 0\ 1\ 0\ 1} \cdots$; forbidden : $\boxed{1\ 1}$

Possible : $\boxed{0\ 0\ 0}$ $\boxed{1\ 0\ 0}$ $\boxed{0\ 1\ 0}$ $\boxed{0\ 0\ 1}$ $\boxed{1\ 0\ 1}$; $N_3 = 5$, $N_n = 2^{n(h+o(1))}$.

Entropy :

$$h = \lim_{n \rightarrow \infty} \frac{\log(N_n)}{n} = \inf_{Th} \frac{\log(N_n)}{n}$$

Π_1 -computable :



Entropy of subshifts :

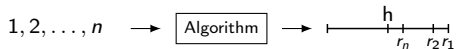
Subshift : set of $\cdots \boxed{1\ 0\ 1\ 0\ 0\ 1\ 0\ 1} \cdots$; forbidden : $\boxed{1\ 1}$

Possible : $\boxed{0\ 0\ 0}$ $\boxed{1\ 0\ 0}$ $\boxed{0\ 1\ 0}$ $\boxed{0\ 0\ 1}$ $\boxed{1\ 0\ 1}$; $N_3 = 5$, $N_n = 2^{n(h+o(1))}$.

Entropy :

$$h = \lim_{n \rightarrow \infty} \frac{\log(N_n)}{n} = \inf_{Th} \frac{\log(N_n)}{n}$$

Π_1 -computable :



Computable \equiv comp. speed

About multidimensional SFT :

Definition :

set of

...

0	1	0	1
0	0	1	0
0	0	0	1
1	0	1	0

...

⋮

where are forbidden (**finite set**) :

...

1	1
---	---

1
1

...

About multidimensional SFT :

Definition :

set of \dots

0	1	0	1
0	0	1	0
0	0	0	1
1	0	1	0

 \dots where are forbidden (**finite set**) :

1	1	<table><tr><td>1</td></tr><tr><td>1</td></tr></table>	1	1
1				
1				

2010 :

Entropy values $\equiv \Pi_1$ -computable (Hochman, Meyerovitch)

About multidimensional SFT :

Definition :

set of \dots

0	1	0	1
0	0	1	0
0	0	0	1
1	0	1	0

 \dots where are forbidden (**finite set**) :

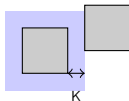


2010 :

Entropy values $\equiv \Pi_1$ -computable (Hochman, Meyerovitch)

2015 :

Block gluing (Pavlov, Schraudner) : \subset computable



About multidimensional SFT :

Definition :

set of \dots

0	1	0	1
0	0	1	0
0	0	0	1
1	0	1	0

 \dots where are forbidden (**finite set**) :

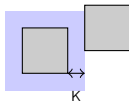


2010 :

Entropy values $\equiv \Pi_1$ -computable (Hochman, Meyerovitch)

2015 :

Block gluing (Pavlov, Schraudner) : \subset computable



2017 :

Gap function $K \rightarrow f(n)$ (G., Sablik) : f -block gluing

Decidable subshifts : a computational threshold for entropy

Decidable :



Forbidden patterns \neq finite set

Decidable subshifts : a computational threshold for entropy

Decidable :



Forbidden patterns \neq finite set

Theorem[G.,Hellouin] : define $\Sigma(f) = \sum_n f(n)/n^2$, assumed computable.

1. $\Sigma(f) < +\infty$: entropy computable
2. $\Sigma(f) = +\infty$: possible values $\equiv \Pi_1$ -computable.

Decidable subshifts : a computational threshold for entropy

Decidable :



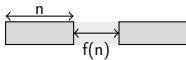
Forbidden patterns \neq finite set

Theorem[G.,Hellouin] : define $\Sigma(f) = \sum_n f(n)/n^2$, assumed computable.

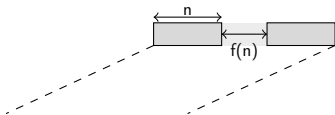
1. $\Sigma(f) < +\infty$: entropy computable
2. $\Sigma(f) = +\infty$: possible values $\equiv \Pi_1$ -computable.

Question : $\Sigma(f)$ not computable ?

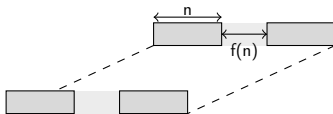
Under the threshold : $\Sigma(f) < +\infty \Rightarrow h$ computable



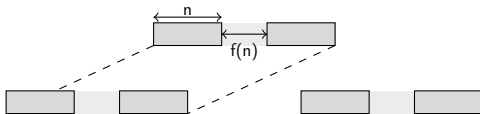
Under the threshold : $\Sigma(f) < +\infty \Rightarrow h$ computable



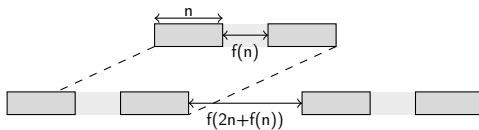
Under the threshold : $\Sigma(f) < +\infty \Rightarrow h$ computable



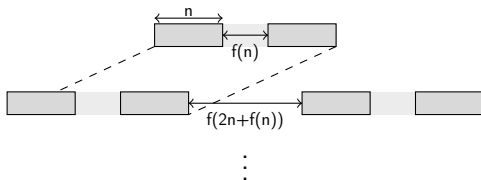
Under the threshold : $\Sigma(f) < +\infty \Rightarrow h$ computable



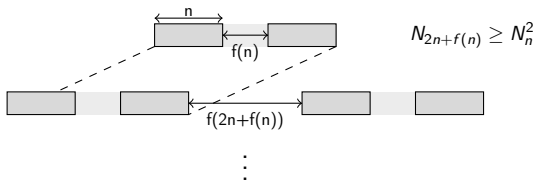
Under the threshold : $\Sigma(f) < +\infty \Rightarrow h$ computable



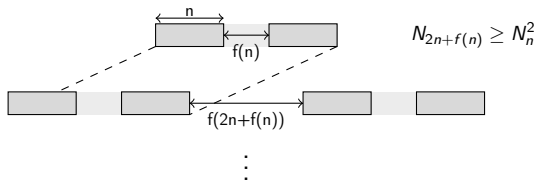
Under the threshold : $\Sigma(f) < +\infty \Rightarrow h$ computable



Under the threshold : $\Sigma(f) < +\infty \Rightarrow h$ computable



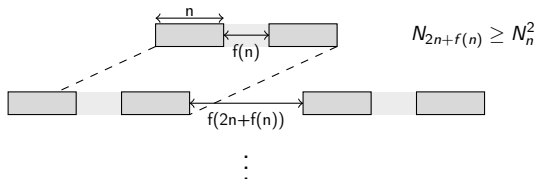
Under the threshold : $\Sigma(f) < +\infty \Rightarrow h$ computable



$$N_{2n+f(n)} \geq N_n^2$$

$$N_{2n+f(n)} \leq |\mathcal{A}|^{f(n)} \cdot N_{2n}$$

Under the threshold : $\Sigma(f) < +\infty \Rightarrow h$ computable



$$N_{2n+f(n)} \leq |\mathcal{A}|^{f(n)} \cdot N_{2n}$$

Repetition+log :

$$\frac{\log(N_n)}{n} - |\mathcal{A}| \cdot \sum_n^{+\infty} \frac{f(2^k)}{2^k} \leq h \leq \frac{\log(N_n)}{n}$$

Above the threshold I. Objects : $\Sigma(f) = +\infty$

Objective : realization of any Π_1 -comp. number.

Bounded density shifts : $(p_n)_n \in \mathbb{N}^{\mathbb{N}}$ growing, forbidden :

0	1	0	1	1	0	0	1
---	---	---	---	---	---	---	---

$> p_n$

Above the threshold I. Objects : $\Sigma(f) = +\infty$

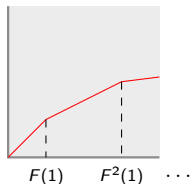
Objective : realization of any Π_1 -comp. number.

Bounded density shifts : $(p_n)_n \in \mathbb{N}^{\mathbb{N}}$ growing, forbidden :

0	1	0	1	1	0	0	1
---	---	---	---	---	---	---	---

$> p_n$

$F(n) \equiv 2n + f(n)$; (p_n) discretised of :



Above the threshold I. Objects : $\Sigma(f) = +\infty$

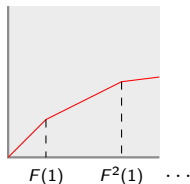
Objective : realization of any Π_1 -comp. number.

Bounded density shifts : $(p_n)_n \in \mathbb{N}^{\mathbb{N}}$ growing, forbidden :

0	1	0	1	1	0	0	1
---	---	---	---	---	---	---	---

$> p_n$

$F(n) \equiv 2n + f(n)$; (p_n) discretised of :



Properties :

1. (p_n) comp. \Rightarrow decidability;

1	1	0	1	0	1
---	---	---	---	---	---

Above the threshold I. Objects : $\Sigma(f) = +\infty$

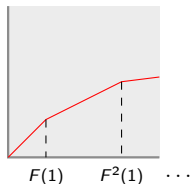
Objective : realization of any Π_1 -comp. number.

Bounded density shifts : $(p_n)_n \in \mathbb{N}^{\mathbb{N}}$ growing, forbidden :

0	1	0	1	1	0	0	1
---	---	---	---	---	---	---	---

$> p_n$

$F(n) \equiv 2n + f(n)$; (p_n) discretised of :



Properties :

1. (p_n) comp. \Rightarrow decidability;

1	1	0	1	0	1
---	---	---	---	---	---

Above the threshold I. Objects : $\Sigma(f) = +\infty$

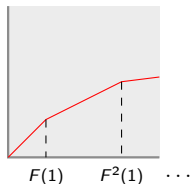
Objective : realization of any Π_1 -comp. number.

Bounded density shifts : $(p_n)_n \in \mathbb{N}^{\mathbb{N}}$ growing, forbidden :

0	1	0	1	1	0	0	1
---	---	---	---	---	---	---	---

$> p_n$

$F(n) \equiv 2n + f(n)$; (p_n) discretised of :



Properties :

1. (p_n) comp. \Rightarrow decidability;

0

1	1	0	1	0	1
---	---	---	---	---	---

 0

Above the threshold I. Objects : $\Sigma(f) = +\infty$

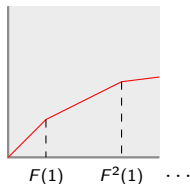
Objective : realization of any Π_1 -comp. number.

Bounded density shifts : $(p_n)_n \in \mathbb{N}^{\mathbb{N}}$ growing, forbidden :

0	1	0	1	1	0	0	1
---	---	---	---	---	---	---	---

$> p_n$

$F(n) \equiv 2n + f(n)$; (p_n) discretised of :



Properties :

1. (p_n) comp. \Rightarrow decidability; 0 0

1	1	0	1	0	1
---	---	---	---	---	---

 0 0

Above the threshold I. Objects : $\Sigma(f) = +\infty$

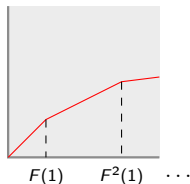
Objective : realization of any Π_1 -comp. number.

Bounded density shifts : $(p_n)_n \in \mathbb{N}^{\mathbb{N}}$ growing, forbidden :

0	1	0	1	1	0	0	1
---	---	---	---	---	---	---	---

$> p_n$

$F(n) \equiv 2n + f(n)$; (p_n) discretised of :



Properties :

1. (p_n) comp. \Rightarrow decidability; 0 0 0

1	1	0	1	0	1
---	---	---	---	---	---

 0 0 0

Above the threshold I. Objects : $\Sigma(f) = +\infty$

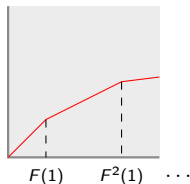
Objective : realization of any Π_1 -comp. number.

Bounded density shifts : $(p_n)_n \in \mathbb{N}^{\mathbb{N}}$ growing, forbidden :

0	1	0	1	1	0	0	1
---	---	---	---	---	---	---	---

$> p_n$

$F(n) \equiv 2n + f(n)$; (p_n) discretised of :



Properties :

1. (p_n) comp. \Rightarrow decidability; 0 0 0

1	1	0	1	0	1
---	---	---	---	---	---

 0 0 0
2. f -gluing when $p_{F(n)} \geq 2p_n + 4$.

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

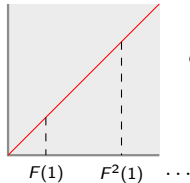
Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



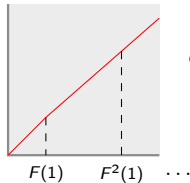
Current entropy :
 $h > \alpha_1 + 2^{-1}$

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



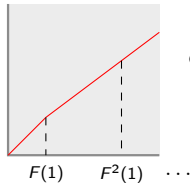
Current entropy :
 $h > \alpha_1 + 2^{-1}$

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



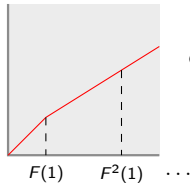
Current entropy :
 $h > \alpha_1 + 2^{-1}$

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



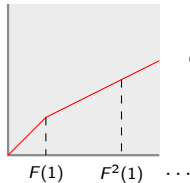
Current entropy :
 $h > \alpha_1 + 2^{-1}$

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



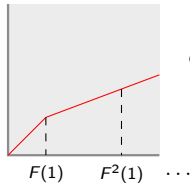
Current entropy :
 $h > \alpha_1 + 2^{-1}$

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



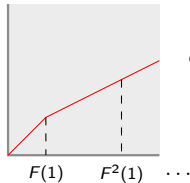
Current entropy :
 $h < \alpha_1 + 2^{-1}$

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



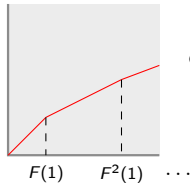
Current entropy :
 $h > \alpha_2 + 2^{-2}$

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



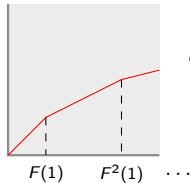
Current entropy :
 $h > \alpha_2 + 2^{-2}$

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



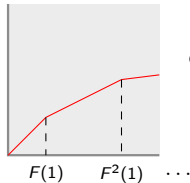
Current entropy :
 $h > \alpha_2 + 2^{-2}$

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



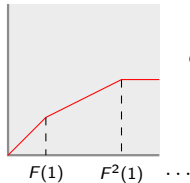
Current entropy :
 $h > \alpha_2 + 2^{-2}$

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



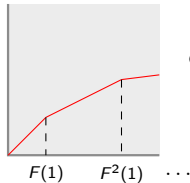
Current entropy :
 $h < \alpha_2 + 2^{-2}$

Above the threshold II. Strategy : $\Sigma(f) = +\infty$

Set $\alpha_n \rightarrow \alpha$, Π_1 -comp.

Start from $p_n = n$ and choose successively the **minimal slopes**, s.t. :

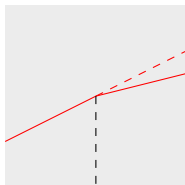
1. $p_{F(n)} \geq 2p_n + 4$
2. possible entropies after partial choices : $\geq \alpha$.



Current entropy :
 $h > \alpha_3 + 2^{-3}$

Above the threshold III. Tracking tools : $\Sigma(f) = +\infty$

Entropy change : $\beta = (\beta_1, \beta_2, \dots)$ slopes :



$F^N(1)$

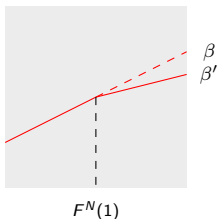
$$0 \geq \Delta h \geq -H(1/F^N(1))$$

$$H(\epsilon) = \epsilon \log(\epsilon) + (1 - \epsilon) \log(1 - \epsilon)$$

(by bounding preimages of a transformation)

Above the threshold III. Tracking tools : $\Sigma(f) = +\infty$

Entropy change : $\beta = (\beta_1, \beta_2, \dots)$ slopes :



$$0 \geq \Delta h \geq -H(1/F^N(1))$$

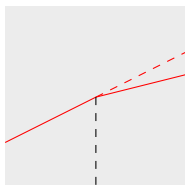
$$H(\epsilon) = \epsilon \log(\epsilon) + (1 - \epsilon) \log(1 - \epsilon)$$

(by bounding preimages of a transformation)

Tracking works : if $h_{lim} > \alpha$,

Above the threshold III. Tracking tools : $\Sigma(f) = +\infty$

Entropy change : $\beta = (\beta_1, \beta_2, \dots)$ slopes :



$F^N(1)$

$$0 \geq \Delta h \geq -H(1/F^N(1))$$

$$H(\epsilon) = \epsilon \log(\epsilon) + (1 - \epsilon) \log(1 - \epsilon)$$

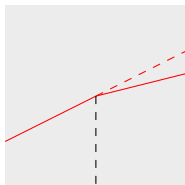
(by bounding preimages of a transformation)

Tracking works : if $h_{lim} > \alpha$,

1. for n large : $p_{F(n)} < 2p_n + 4$.

Above the threshold III. Tracking tools : $\Sigma(f) = +\infty$

Entropy change : $\beta = (\beta_1, \beta_2, \dots)$ slopes :



$$0 \geq \Delta h \geq -H(1/F^N(1))$$

$$H(\epsilon) = \epsilon \log(\epsilon) + (1 - \epsilon) \log(1 - \epsilon)$$

(by bounding preimages of a transformation)

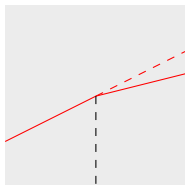
$$F^N(1)$$

Tracking works : if $h_{lim} > \alpha$,

1. for n large : $p_{F(n)} < 2p_n + 4$.
2. Repetition+limit : $\inf(p_n/n) \cdot \Sigma(f) < +\infty$

Above the threshold III. Tracking tools : $\Sigma(f) = +\infty$

Entropy change : $\beta = (\beta_1, \beta_2, \dots)$ slopes :



$$0 \geq \Delta h \geq -H(1/F^N(1))$$

$$H(\epsilon) = \epsilon \log(\epsilon) + (1 - \epsilon) \log(1 - \epsilon)$$

(by bounding preimages of a transformation)

$$F^N(1)$$

Tracking works : if $h_{lim} > \alpha$,

1. for n large : $p_{F(n)} < 2p_n + 4$.
2. Repetition+limit : $\inf(p_n/n) \cdot \Sigma(f) < +\infty$
3. $\Sigma(f) = +\infty$: $\inf(p_n/n) = h_{lim} = 0$.