# Fanghui Liu

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Centre for Discrete Mathematics and its Applications (DIMAP)
Department of Computer Science, University of Warwick, Coventry, CV4 7AL, UK

### **Research Interest** (foundations of modern machine learning, efficient algorithm design)

My research is always contributing to how to handle nonlinearity at a theoretical level and how to efficiently approximate nonlinearity at a practical level under theoretical guidelines, which is a longstanding question over science, technology and engineering.

- Mathematical foundations of ML: Generalization, Statistical/computational efficiency, Overparameterized models, RKHS/Barron spaces
- Scaling and efficient ML: Large-scale approximation, Fine-tuning (lead founder of the first fine-tuning workshop at NeurIPS'24)
- Trustworthy ML: Fundamental limits of robustness, Efficient adversarial algorithm design

### **Professional Experience**

University of Warwick

Oct. 2023 - present

Coventry, UK

Assistant Professor at Department of Computer Science

- Faculty member of Centre for Discrete Mathematics and its Applications (DIMAP)
- Affiliated member of Theory and Foundations Group (FoCS)

• EPFL
Postdoc Researcher at LIONS Lab

Oct. 2021 - Sept. 2023

Lausanne, Switzerland

• Hosted by Prof. Volkan Cevher

• KU Leuven
Postdoc Researcher at EAST-STADIUS

Oct. 2019 - Sept. 2021

Leuven, Belgium

Hosted by Prof. Johan A.K. Suykens

University of Stuttgart

Jun. 2025 - Sept. 2025

Visiting researcher at Institute for Stochastics and Applications (supported by DAAD)

Stuttgart, Germany

Hosted by Prof. Ingo Steinwart

#### Education

Shanghai Jiao Tong University

PhD in Pattern Recognition and Intelligence Systems

Sept. 2014 - Jun. 2019

Shanghai, China

Harbin Institute of Technology
 Bachelor in Control Science and Engineering

Sept. 2010 - Jun. 2014

Harbin, China

#### **Awards**

- 2024 AAAI New Faculty Award
- 2024 Warwick Staff Higher Performance Award (for exceptional performance and contribution)
- 2024 IEEE Senior Member
- 2024 DAAD AI-NeT Fellowship on Safety and Security in AI
- 2023 Rising Stars in AI Symposium at KAUST
- 2023 ELLIS Member
- 2023 ICLR 2023 Notable Reviewer (62/5322)
- 2019 Outstanding Doctoral Dissertation Award by China Society of Image and Graphics (CSIG) (only ten graduates in China)
- 2016, 2017, 2018 National Scholarship for Doctoral Students (top ~0.2%) by Ministry of Education, China

#### **Research Grants**

- 2025 Germany DAAD: Short-term scholarship program of AI-NeT
- **2024** Royal Society: **Kan Tong Po Visiting Fellowships award** (KTP R1 24101) "Orthogonal fine-tuning of large models in linear complexity"
- 2023 Alan Turing Institute: UK-IT Trustworthy AI Exchange Programme

#### Invited Talks and Seminars (with slides)

Be aware of model capacity when talking about generalization
 2025.06 INRIA, Paris.
 (Host: Prof. Francis Bach)
 2025.06 LSE Statistics and Data Science Seminar.
 (Host: Prof. Zoltán Szabó)
 2025.05 Oxford Statistics Seminar.
 (Host: Prof. Patrick Rebeschini)
 2025.03 Seminar on data science and applied mathematics, HKUST Math.
 2025.02 ITA'25 Workshop

Bridging theory and practice: One-step full gradient can suffice for low-rank fine-tuning in LLMs 2025.05 Deepmind. (Host: Dr. Ilja Kuzborskij) 2025.04 CS Colloquium, City University of Hong Kong. (Host: Prof. Chen Liu) 2025.04 CS department seminar, HKUST. (Host: Prof. James Kwok) 2025.02 CS department seminar, UCLA. (Host: Prof. Quanquan Gu)

Learning with norm-based neural networks: function spaces and computational-statistical gaps

 2024.12 University of Wisconsin-Madison, CS department.
 2024.12 Northwestern University, IE&MS Department.
 2024.11 RTPU (formerly 'TU Kaiserslautern'), ML group.
 2024.11 University of Stuttgart, Math Department.
 2024.11 Ludwig-Maximilians-Universität München.
 2024.09 INRIA Paris.

 (Host: Prof. Marius Kloft)

 (Host: Prof. Gitta Kutyniok)
 (Host: Prof. Francis Bach)

Over-parameterization in neural networks: double descent, function spaces, curse of dimensionality
 2024.05 External seminar series, Gatsby Unit, UCL.
 2024.02 MaLGa Seminar, University of Genoa.
 (Host: Prof. Lorenzo Rosasco)

On the convergence of encoder-only shallow Transformers
 2024.02 MILD Seminar , UBC. (Host: Prof. Christos Thrampoulidis)

#### Publications and Preprints (Google Scholar) xxx\* indicates equal contribution; xxx indicates corresponding author(s)

#### Preprints and submitted papers

- 1. Yichen Wang, Yudong Chen, Lorenzo Rosasco, <u>Fanghui Liu</u>. The shape of generalization through the lens of norm-based capacity control.
- 2. Zhongjie Shi, **Fanghui Liu**, Yuan Cao, Johan A.K. Suykens. *Can overfitted deep neural networks in adversarial training generalize? An approximation viewpoint*.
- 3. Honam Wong, Wendao Wu, **Fanghui Liu**, Yiping Lu. Benign overfitting in fixed dimension via physics-informed learning with smooth inductive bias.

#### Accepted papers (with the following five representative publications at first)

1. Yuanhe Zhang, Fanghui Liu, Yudong Chen. LoRA-One: One-step full gradient could suffice for fine-tuning large language models, provably and efficiently. International Conference on Machine Learning (ICML), 2025. (Spotlight)

[TLDR: We show how theory on subspace alignment improves algorithm design for fine-tuning LLMs.]

2. **Fanghui Liu**, Leello Dadi, Volkan Cevher. *Learning with norm constrained, over-parameterized, two-layer neural networks*, Journal of Machine Learning Research (JMLR), 2024.

[TLDR: We (optimally) trade-off sample complexity and dimension dependence in high-dimensional ML.]

3. **Fanghui Liu**, Johan A.K. Suykens, Volkan Cevher. *On the double descent of random features models trained with SGD*, Advances in Neural Information Processing Systems (NeurIPS), 2022.

[TLDR: Study the role of SGD in double descent, interplay with data-parameter-compute (scaling law).]

4. **Fanghui Liu**, Luca Viano, Volkan Cevher. *Understanding deep neural function approximation in reinforcement learning via*  $\epsilon$ -greedy exploration, Advances in Neural Information Processing Systems (NeurIPS), 2022.

[TLDR: The first theoretical framework for (non-lazy) deep neural function approximation in online RL.]

5. **Fanghui Liu**, Xiaolin Huang, Yudong Chen, Johan A.K. Suykens. *Random features for kernel approximation: A survey on algorithms, theory, and beyond*, IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI), 2021.

[TLDR: The first comprehensive survey on random features with huge potential on linear attention, fine-tuning.]

- 6. Zhenyu Zhu, **Fanghui Liu**, Volkan Cevher. *How gradient balances features: A dynamical analysis for two-layer neural networks*. International Conference on Learning Representations (ICLR), 2025.
- 7. Yihang Chen, Fanghui Liu, Taiji Suzuki, Volkan Cevher. *High-dimensional kernel methods under covariate shift: data-dependent implicit regularization*, International Conference on Machine Learning (ICML), 2024.
- 8. Elias Abad Rocamora, Yongtao Wu, **Fanghui Liu**, Grigorios Chrysos, Volkan Cevher. *Revisiting character-level adversarial attacks for language models*, International Conference on Machine Learning (ICML), 2024.
- 9. Yihang Chen, **Fanghui Liu**, Yiping Lu, Grigorios Chrysos, Volkan Cevher. *Generalization of deep ResNets in the mean-field regime*, International Conference on Learning Representations (ICLR), 2024. (spotlight)
- 10. Yongtao Wu, **Fanghui Liu**, Carl-Johann Simon-Gabriel, Grigorios Chrysos, Volkan Cevher. *Robust NAS under adversarial training: benchmark, theory, and beyond,* International Conference on Learning Representations (ICLR), 2024.
- 11. Elias Abad Rocamora, **Fanghui Liu**, Grigorios Chrysos, Pablo M. Olmos, Volkan Cevher. *Efficient local linearity regularization to overcome catastrophic overfitting*, International Conference on Learning Representations (ICLR), 2024.
- 12. Tao Li, Weisen Jiang, **Fanghui Liu**, Xiaolin Huang, James Kwok. *Scalable learned model soup on a single GPU: An efficient subspace training strategy*, European Conference on Computer Vision (ECCV), 2024.
- 13. Yongtao Wu, Fanghui Liu, Grigorios Chrysos, Volkan Cevher. *On the convergence of shallow Transformers*, Advances in Neural Information Processing Systems (NeurIPS), 2023.
- 14. Jiayuan Ye, Zhenyu Zhu, **Fanghui Liu**, Reza Shokri, Volkan Cevher. *Initialization matters: Privacy-utility analysis of over-parameterized neural networks*, Advances in Neural Information Processing Systems (NeurIPS), 2023.
- 15. **Fanghui Liu**, Luca Viano, Volkan Cevher. *What can online reinforcement learning benefit from general coverage conditions?* International Conference on Machine Learning (ICML), 2023.
- 16. Zhenyu Zhu, **Fanghui Liu**, Grigorios Chrysos, Francesco Locatello, Volkan Cevher. *Benign overfitting in deep neural networks under lazy training*, International Conference on Machine Learning (ICML), 2023.
- 17. Zhenyu Zhu, Fanghui Liu, Grigorios Chrysos, Volkan Cevher. *Generalization properties of NAS under activation and skip connection search*, Advances in Neural Information Processing Systems (NeurIPS), 2022.
- 18. Zhenyu Zhu, **Fanghui Liu**, Grigorios Chrysos, Volkan Cevher. *Robustness in deep learning: The good* (width), the bad (depth), and the ugly (initialization), Advances in Neural Information Processing Systems (NeurIPS), 2022.
- 19. Yongtao Wu, Zhenyu Zhu, **Fanghui Liu**, Grigorios Chrysos, Volkan Cevher. *Extrapolation and spectral bias of neural nets with Hadamard product: a polynomial net study*, Advances in Neural Information Processing Systems (NeurIPS), 2022.
- 20. Elias Abad Rocamora, Mehmet Fatih Sahin, **Fanghui Liu**, Grigorios Chrysos, Volkan Cevher. *Sound and complete verification of polynomial networks*, Advances in Neural Information Processing Systems (NeurIPS), 2022.

- 21. Fanghui Liu\*, Lei Shi\*, Xiaolin Huang, Jie Yang, Johan A.K. Suykens. Generalization properties of hyper-RKHS and its applications, Journal of Machine Learning Research (JMLR), 2021.
- 22. Fanghui Liu\*, Lei Shi\*, Xiaolin Huang, Jie Yang, Johan A.K. Suykens. Analysis of regularized least squares in reproducing kernel Krein spaces, Machine Learning, 2021.
- 23. Fanghui Liu, Xiaolin Huang, Yudong Chen, Johan A.K. Suykens. Towards a unified quadrature framework for large scale kernel methods, IEEE Transactions on Pattern Analysis and Machine Intelligence (TPAMI), 2021.
- 24. Fanghui Liu, Zhenyu Liao, Johan A.K. Suykens. Kernel regression in high dimensions: Refined analysis beyond double descent, International Conference on Artificial Intelligence and Statistics (AISTATS), 2021.
- 25. Fanghui Liu, Xiaolin Huang, Yingyi Chen, Johan A.K. Suykens. Fast learning in reproducing kernel Krein spaces via generalized measures, International Conference on Artificial Intelligence and Statistics (AISTATS), 2021.
- 26. Fanghui Liu, Xiaolin Huang, Chen Gong, Jie Yang, Li Li. Learning data-adaptive nonparametric kernels, Journal of Machine Learning Research (JMLR), 2020.
- 27. Fanghui Liu, Chen Gong, Xiaolin Huang, Tao Zhou, Jie Yang, Dacheng Tao. Robust visual tracking revisited: From correlation filter to template matching, IEEE Transactions on Image Processing (TIP), 2018.

#### **Professional services**

- Area Chair NeurIPS'25, ICLR'25, AISTATS'25, AAMAS'25
- Workshop organizer Co-founded NeurIPS'24 workshop: Fine-Tuning in Modern Machine Learning: Principles and Scalability with Grigorios Chrysos (UW-Madison), Beidi Chen (CMU), Rebekka Burkholz (CISPA), Saleh Soltan (Amazon), Angeliki Giannou (UW-Madison), Masashi Sugiyama (RIKEN/UTokyo), Volkan Cevher (EPFL)
- Seminar organizer Co-founded Warwick Foundation of AI seminar
- Tutorials
  - ♦ 2024 "Scaling and reliability foundations in machine learning"
  - at IEEE International Symposium on Information Theory (ISIT'24)
  - with Volkan Cevher, Grigorios Chrysos, and Leena Chennuru Vankadara
  - ♦ 2023 "Deep learning theory for computer vision researchers"
  - at IEEE/CVF Computer Vision and Pattern Recognition Conference (CVPR'23)
  - with Volkan Cevher and Grigorios Chrysos
  - ♦ 2023 "Neural networks: the good, the bad, and the ugly"
  - at IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP'23)
  - with Johan Suykens and Volkan Cevher
- Journal reviewers Mathematics of Computation, Annals of Statistics (AoS), Journal of Machine Learning Research (JMLR) etc.
- Funding Reviewers EPSRC, British Council

#### Teaching Experience

• University of Warwick (Instructor)	
CS147: Discrete Mathematics and its application 2	(Spring 2024, 2025)
CS416: Optimization methods	(Spring 2025)

EPFL (Teaching assistant)

EE-556 Mathematics of data: from theory to computation (Fall 2022)

#### Students

•	University at Warwick
	Yuanhe Zhang (PhD student, co-supervised v

with Prof. Chenlei Leng) (Sept. 2024 - present) Joao Felipe Lobo Pevidor (Incoming PhD student, 2025.09 - )

Alumni:

Yichen Wang (visiting student from SJTU, now PhD@UW-Madison) (Sept. 2024 - Dec. 2024) Alex John Caldarone (summer intern, now Master@EPFL) (Jun. 2024 - Aug. 2024)

At Warwick, I have supervised 6 undergraduate thesis and 4 master thesis.

## • EPFL (daily supervisor of bachelor/master thesis, intern projects, and PhD projects)

Elias Abad Rocamora (bachelor/master thesis, PhD project, now PhD@EPFL)	(Jan. 2022 - Feb. 2024)
Yihang Chen (master thesis, intern project, now PhD@UCLA)	(Feb. 2023 - Feb. 2024)
Zhenyu Zhu (master thesis, intern/PhD projects, now PhD@EPFL)	(Oct. 2021 - Sept. 2024)
Yongtao Wu (intern project, PhD projects, now PhD@EPFL)	(Jan. 2022 - Feb. 2024)
Edmund Hofflin (master thesis, now PhD@Oxford)	(Jan. 2023 - Jun. 2023)

• KU Leuven (daily supervisor of master thesis)

Zachary Jones (master thesis, now PhD@CMAP, Paris) (Oct. 2018 - Jun. 2019)