

(1.0) **ADTs**

List. List(), Retrieve(L, i), Insert(L, b, i), Delete(L, i), Length(L) *optional*: Search(L, b), Concat(L_1, L_2), Plant(L_1, i, L_2), Split(L, i) *special cases*: Retrieve/Insert/Delete-First/Last.

Dictionary. Dictionary(), Insert(D, x), Delete(D, x), Search(D, k), Min(D), Max(D), Successor(D, x), Predecessor(D, x) (*for rank trees*): Select(D, k) [the k^{th} smallest element], Rank(D, x) [the position in sorted order].

Stack. (LIFO) Push(L, b) [=ins.-last], Top(L) [=ret.-last], Pop(L) [=del.-last]. (all $\mathcal{O}(1)$ using arrays)

Queue. (FIFO) Enqueue(L, b) [=ins.-last], Head(L) [=ret.-first], Dequeue(L) [=del.-first]. (all $\mathcal{O}(1)$ using circular arrays)

Deque. Queue + Stack

Priority Queue. Insert(x, Q), Min(Q), Delete-Min(Q), (optional): Decrease-Key(x, Q, Δ), Delete(x, Q)

Vector. Vector(m), Get(V, i), Set(V, i, val). (All $\mathcal{O}(1)$ using *legals* and *positions* arrays that reference each other)

```
function isGarbage(i) is
  if 0 < positions[i] < legals.size and legals[positions[i]] = i
  then
    return false
  end
  return true
end
```

Graph. Edge(i, j), Add-Edge(i, j), Remove-Edge(i, j), InDeg(i), OutDeg(i) etc.

(2.0) **Graphs**

Definition 1 (Topological sorting algo.). Input: directed graph / Output: numbering $(n_i)_{i=1}^n$ of the graph nodes where $\forall (i, j) \in E: n_i < n_j$.

Theorem 1. Topological Sorting exists iff the graph doesn't contain cycles.

```
k ← 0;
while there are sources do
  find source v;
  n_i ← k;
  k ← k + 1;
  remove v from the graph
end
```

if $k = n$ numbering completed, otherwise isn't possible. building "source queue" takes $\mathcal{O}(n)$, dequeuing source $\mathcal{O}(1)$, and enqueuing new sources to sources-queue $\mathcal{O}(d_{\text{out}}(i))$. Total $\mathcal{O}(n + m)$ for topological ordering.

Definition 2 (source). is a node that has no incoming edges.

Remark 1. any DAG has at least one source

(3.0) **Complexity**

Definition 3. Suppose there's a data structure with k types of operations $(T_i)_{i=1}^k$, then for sequence of operations $(op_i)_{i=1}^n$, then:

$$\text{time}(op_1 \dots op_n) \leq \sum_{i=0}^n \text{bound}(\text{type}(op_i))$$

Where (W.C. bound) $\text{worst}(T_i)$ is the maximal time for a single operation typed T_i , and (amortized bound) $\text{amort}(T_i)$ is a series of bounds for cost of every valid sequence $(op_i)_{i=1}^n$.

Amortization methods. aggregation (regular average), accounting (bank method), and potential function (defined to be the balance of the bank) that satisfies $\text{amort}(op_i) = \text{time}(op_i) + \Phi_i - \Phi_{i-1}$.

$$\sum_{i=0}^n x^i = \frac{x^{n+1} - 1}{x - 1} = \Theta(x^k) \quad (x \neq 1)$$

$$\sum_{i=1}^n \frac{1}{i} = H_n = \Theta(\log n)$$

$$\log n! = \Theta(n \log n)$$

$$\alpha + \beta = 1 \wedge T(n) \leq cn + T(\alpha n) + T(\beta n) \implies T(n) = \mathcal{O}(n)$$

$$\forall \alpha < 1: T(n) = T(\lfloor \alpha n \rfloor) + T(\lfloor (1 - \alpha)n \rfloor) + 1 = \mathcal{O}(n)$$

(3.1) **Asymptotic Notations**

$$f = \mathcal{O}(g) \iff \exists n_0, c > 0 \forall n \geq n_0: f(n) \leq cg(n)$$

$$f = \Omega(g) \iff \exists n_0, c > 0 \forall n \geq n_0: f(n) \geq cg(n)$$

$$f = \Theta(g) \iff f = \Omega(g) \wedge f = \mathcal{O}(g)$$

$$f = o(g) \iff \forall c \exists n_0 \forall n \geq n_0: f(n) \leq cg(n)$$

$$f = \omega(g) \iff \forall c \exists n_0 \forall n \geq n_0: f(n) \geq cg(n)$$

$$f = \Omega(g) \iff g = \mathcal{O}(f)$$

$$f_1 = \mathcal{O}(g_1) \wedge f_2 = \mathcal{O}(g_2)$$

$$\implies f_1(n) + f_2(n) = \mathcal{O}(\max(g_1(n), g_2(n)))$$

$$f = \mathcal{O}(g) \iff \limsup_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty$$

$$f = \Omega(g) \iff \limsup_{n \rightarrow \infty} \frac{f(n)}{g(n)} > 0$$

$$f = o(g) \iff \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

$$f = \omega(g) \iff \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

(3.2) **Master Theorem**

let $f: \mathbb{R} \rightarrow \mathbb{R}$ be an function, and let $a \geq 1, b > 1$ be constants, assuming $T: \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}$, $T(n) = a \cdot T\left(\frac{n}{b}\right) + f(n)$, then:

- $\exists \epsilon > 0. f(n) = \mathcal{O}(n^{\log_b a - \epsilon})$
 $\implies T(n) = \Theta(n^{\log_b a})$
- $f(n) = \Theta(n^{\log_b a})$
 $\implies T(n) = \Theta(n^{\log_b a} \cdot \log n)$
- $\exists \epsilon > 0. f(n) = \Omega(n^{\log_b a + \epsilon})$
 $\exists c < 1, n_0 \geq 0. \forall n \geq n_0. a \cdot f\left(\frac{n}{b}\right) \leq c \cdot f(n)$
 $\implies T(n) = \Theta(f(n))$

Note that $\frac{n}{b}$ could be $\lfloor \frac{n}{b} \rfloor$ nor $\lceil \frac{n}{b} \rceil$

(4.0) **Dictionaries**

General Trees

Definition 4 (full tree). all internal nodes have exactly i children.

Definition 5 (BST). satisfies: $\forall x \forall y$ if y is in the left subtree of x , then $y.\text{key} < x.\text{key}$, and vise-versa.

Definition 6 (Node's Height). is the maximal length of downward path between that node and a leaf.

Definition 7 (Node's Depth). is the length of the path up the tree to the root.

Theorem 2. minimal height of a tree is $\lfloor \log n \rfloor$

Definition 8 (Balanced BST). if $h = \mathcal{O}(\log n)$.

Theorem 3. for a given set of n distinct keys, there are $\frac{1}{n+1} \binom{2n}{n}$ (catalan number) BSTs.

Theorem 4. the expected search complexity in a random BST is $\leq (1 + 4 \log n)$.

Lemma 1. the heights of a binary tree containing ℓ leaves $\geq \log \ell$.

Tree walks. pre: head \rightarrow SLR, in: LSR, post: LRS

(4.2) **AVL trees**

Definition 9. $\text{BF}(v) = h(v.\text{left}) - h(v.\text{right})$

Definition 10 (AVL Tree). a BST where $\forall v \in V: |\text{BF}(v)| \leq 1$

Theorem 5. an AVL tree is balanced. Further more: $n \leq \log_{\Phi} n \approx 1.44 \log n$.

Rotations. see image

Definition 11 (Fibonacci Tree). F_i is:

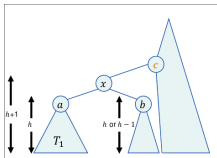
Theorem 6. an AVL tree with minimum edges is a fibonacci tree, has a size of $f_{h+3} - 1$.

Definition 12 (Rank Tree). a tree that maintains the size of each subtree, hence supports the rank & select operations in $\mathcal{O}(\log n)$.

Example. **Tree-Select**(T, k): start with $x \leftarrow T.\text{root}$, then let $r \leftarrow x.\text{left.size} + 1$, if $k = r$ halt, otherwise if $k < r$ return Select($x.\text{left}, k$) and if $k > r$ return Select($x.\text{right}, k - r$).

Theorem 7. if the information that a given attribute f defined for each node, can be computed merely from its direct children (local attribute), then we can maintain f in an AVL tree.

Remark 2. The theorem above is sufficient condition but not necessary.



– Tree-Rank(s) + 1

Definition 13 (Finger Tree). a tree that has a pointer to a specific node.

Split (yellow $< x < \text{red}$):

Theorem 8. in a finger tree Select(T, k) can be implemented in $\mathcal{O}(\log k)$.

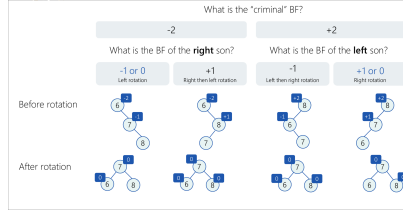
Theorem 9. Given a sorted array, we can create an AVL tree in $\mathcal{O}(n)$ on which $h = \lfloor \log n \rfloor$

Insertion Fix: if $|\text{BF}| = 2$ rotate and terminate, if $|\text{BF}| < 2$ and height hasn't change, terminate, otherwise recursively preform this fix for the parent. (the zero case in blue at the above image doesn't matter for insertions)

Deletion Fix: same as insertions, but with the case for son's BF = 0, and without terminating after rotation (since rotation may not restore the height of the subtree prior the insertion).

Amort. Bounds: in any sequence of insertions only/deletions only, the amortized cost of rebalancing if $\mathcal{O}(1)$ for $\Phi = \#$ balanced nodes, or $1\$$ on each balanced node.

Insertion Sort with Max. pointer: has a complexity of $\mathcal{O}(n \log(\lfloor \frac{n}{2} + 2 \rfloor))$ (see more info under 6.1)



B-trees

Definition 14 (B-tree). B-tree $(d, 2d)$ satisfies:

- each non-leaf expect for the root has $d \leq r \leq 2d$ children (hence $d - 1$ to $2d - 1$ keys);
- all leaves are at the same depth;
- the root has between 2 and $2d$ children (hence 1 to $2d - 1$ keys).

Definition 15 (B^+ -tree). a B-tree with keys only on leaves.

Definition 16 (B^* -tree). B-tree with nodes $\frac{2}{3}$ full (instead of $\frac{d}{2d} = \frac{1}{2}$ full).

Theorem 10. at depth h there are at least $2d^{h-1}$ nodes.

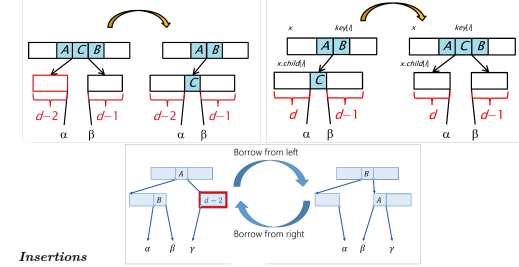
Theorem 11. a B-tree $(d, 2d)$ with n edges and h height fulfills $n \geq d^h$, $h \leq \log_d n$

Theorem 12. search in a b-tree requires $\mathcal{O}(\log_d n)$ I/Os, and $\mathcal{O}(\log_2 d \cdot \log_d n) = \mathcal{O}(\log n)$ operations in total.

Lemma 4. In a B-tree $\#$ leaves = $\#$ internal nodes + 1

Theorem 13. Ins./Del. rebalancing cost is W.C. $\mathcal{O}(\log n)$, and using button-up amort. (ins.+del.) $\mathcal{O}(1)$, using top-down $\Omega(\log_d n)$

Fuse see right; **Split** see left; **Borrow** see bottom



Insertions

Button-Up. Find and insert in the appropriate leaf. If the current node is overflowing: split. If the parent is overflowing: split (etc., recursively). Requires a total of $\mathcal{O}(d \log_d n)$ operations.

Top-Down. if a node is full, we will split it on the way down while searching.

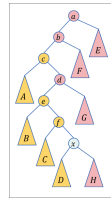
Button-Up non-leaf deletion. replace the item by its predecessor and delete the predecessor (must be a leaf).

Deletions

Button-Up leaf deletion. if the current node is underflowing, borrow and terminate and if not possible fuse and recursively check the if parent if underflowing.

Top-Down leaf deletion. while searching, checking if the items along the way contains d keys, otherwise borrow or fuse.

Top-Down non-leaf deletion. replace the node with its predecessor, while making sure that nodes along the way contains at least d keys.



(5.0) **Priority Queues**

(5.1) **Binary Heap**

Definition 17 (binary minimum binary heap). an almost perfect BST (only possibly misses nodes at the last level), and satisfies the heap order: the keys at the children of v are greater than they key in v .

Lemma 5. the height of binary heap is $\lfloor \log n \rfloor$

Heap to array. in a d -ary heap representation as an array (in brackets for binary, see image):

$$\text{Left}(i) = dk - (d - 2) \quad (2i) \quad \text{Right}(i) = dk + 1 \quad (2i + 1)$$

$$\text{Parent}(i) = \left\lfloor \frac{k+(d-2)}{d} \right\rfloor \quad \left(\left\lfloor \frac{i}{2} \right\rfloor \right)$$

Heapify-Down(i): if Parent(i) is bigger, then replace i with Parent(i), and recursively continue on Parent(i).

Heapify-Up(i): exchange with the smallest child until fixed.

Insert: insert on the last place in the array, then heapify up.

Delete: delete the required place in the array (the root) the replace it with the last one, then heapify down until fixed (in d -ary $\mathcal{O}(d \log_d n)$).

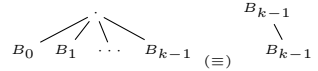
Dec-Key: decrease the key (assumes $\Delta \geq 0$) then heapify up.

Init: iterate over internal nodes bottom-up, and heapify-down each one.

HeapSort: create a min-heap from input, the do delete-min and put the deleted element at the last position of the array. Repeat n times. At the we get a reversely-sorted array (using min-heaps).

(5.2) **Binomial Trees**

Definition 18. B_k is a binomial tree of degree k if



Theorem 14. (1) The root of B_k has k children (2) B_k contain 2^k nodes (3) its depth is k (4) $\binom{k}{i}$ of the nodes of B_k are at level i .

Definition 19 (Binomial Min-Heap). a list of heap-ordered binomial trees, at most one of each degree, and a pointer to the root with the minimal key.

Remark 3. usually the trees are saved using a linked list.

Lemma 6. There are at most $\lfloor \log n \rfloor + 1$ trees.

Link: if two binomial trees x, y has the same degree, linking could be performed in $\mathcal{O}(1)$ by attaching y as a child of x and replacing the roots if needed.

Insert: insertion could be done the same way as binary incrementing, where linking=carrying.

Dec-Key: just heapify up as before.

Meld: link trees with the same degree, like binary addition.

Del-Min: the children of the deleted root are a binomial heap, Meld them into the main tree.

Lazy Binomial Trees adds just B_0 -s (allows melding in $\mathcal{O}(1)$), and consolidates when runs delete-min.

Consolidating (on del-min) is the process of taking the nodes and adding them into respected bins (numbered $0 \dots \lfloor \log n \rfloor$), and when two trees are in the same bin – linking them together and moving them into the next bin.

Definition 20. T_0 is $\#$ trees before Del-Min, T_1 after Del-Min, and L is the total $\#$ Links through consolidating.

Lemma 7. $L \leq T_0 + \log n$ (we have at most $\lfloor \log n \rfloor$ trees exposed on Del-Min)

Theorem 15. The cost of consolidating is $T_0 - 1 + \log n + L = \Theta(T_0 + \log n)$.

Theorem 16. Using $\Phi = \#$ trees we get $\Delta \Phi = T_1 - T_0$ hence amort. cost of consolidating is $\mathcal{O}(\log n)$.

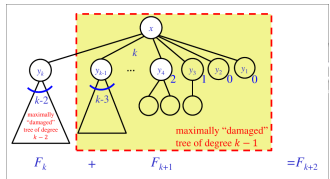
Lemma 8. incrementing a binary number has an amortized bound of $\mathcal{O}(1)$.

(5.3)

Fibonazi Heaps

Dec-Key: using cascading cuts: cut the node. Then if the parent marked as "LOSER" remove him too, otherwise mark it as a LOSER. Outcome: a parent with more than 2 children taken out, is taken out too.

Remark 4. a node in a fibonacci-heap has degree k if the root has k children (see image for a maximally damaged one).



Lemma 9. let x be a node with degree k , and let children $y_1 \dots y_k$ be its children (in the linking order), then y_i 's degree is at least $i-2$.

Lemma 10. A node with deg. k has at least $f_{k+2} \geq \phi^k$ descendants (including) .

Lemma 11. in a fib. heap all degrees are at most $\log_\phi n \leq 1.44 \log n$

Theorem 17. For a potential of $\Phi = \#trees + 2\#markednodes$, we get Dec-Key in amort. $\mathcal{O}(1)$.

Remark 5. actual cost of del-min is $T_0 + \log n$ and of dec-key is $+c$ (c no. newly created trees). With the potential above, for dec-key we get $\Delta = 2(2 - c)$.

(6.0) **Sorting**

(6.1) **Comparison-based sorting**

Definition 21 (Insertion Sort). at iteration $i \in [n]$, by induction we assume $A[1] \dots A[i-1]$ is sorted, $A[i]$ "bubble-up" until $A[1] \dots A[i]$ is sorted ($\mathcal{O}(i)$ per iteration).

Remark 6. can be optimized (in terms of exchanges, but not comparison) if $A[i]$ is saved separately.

Definition 22 (Online sort). a sorting algorithm that doesn't have the whole input at the beginning (e.g. insertion sort)

Theorem 18. insertion sort using AVL tree with insertion from the maximum, and $I > n$ inversions ($I \leq \binom{2n}{n}$) takes $\mathcal{O}(n \log \frac{I}{n})$.

Definition 23 (stable sort). a sorting algo. the preserves order of items with the same key.

Definition 24. a comparison-based algo. uses only two-key comparisons to decide on key position.

assumption. two keys can be compared in $\mathcal{O}(1)$, and an item can be moved in $\mathcal{O}(1)$.

Theorem 19. the W.C. and average case of any comparison-base sorting algo. runs in $\Omega(n \log n)$

Lemma 12. comparison trees are a full binary tree, and has $\geq n!$ leaves.

Theorem 20. the worst/best/average case in the comparison-based model is the max/min/average depths of the leaves.

(6.2) **Other sorting algos.**

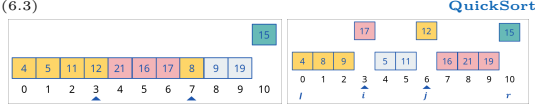
HeapSort: see 5.1.

Count Sort. For dataset A , assumes $\exists R \forall a \in A \leq R$ constant. Counts each element $a \in A$, takes a cumulative sum (a_i), then for all $a \in A$ puts a in a_i and decreases $a_i \leftarrow a_i - 1$. Takes $\mathcal{O}(n + R)$. Stable sort.

Bin Sort. similar to count sort, takes R bins and throws A into them, then collects them.

Radix sort. For a dataset A sized n , assumes $a \in A$ contains exactly d digit and each digit is bounded by b . Preforms count sort on the LSD \rightarrow MSD. [note: relies on count sort being stable]. Takes $\mathcal{O}(d(n + b))$.

Theorem 21. Radix sort is enough to make IBM.



Lomuto's Partition. see right image
Hoare's Partition. see left image

Remark 7. both in place $\mathcal{O}(n)$, lomuto's pivot in the right place while in hoare the pivot is on the extreme right.

Theorem 22. W.C. of quicksort if $\binom{n}{2} = \mathcal{O}(n^2)$.

Theorem 23. Average case of quicksort is $2(n + 1)H_n - 4n \approx 1.39n \log n$.

(11.0) **Selection**

Lists						
let mid := min{i, n - i} + 1						
	Ins/Del-Last	Ins/Del-First	Insert(i)	Retrieve(i)	Concat(n_1, n_2)	Split(i)
Arrays	$\mathcal{O}(1)$	$\mathcal{O}(n + i)$	$\mathcal{O}(n - i + 1)$	$\mathcal{O}(1)$	$\mathcal{O}(n_2 + 1)$	$\mathcal{O}(n - i + 1)$
	$\mathcal{O}(1)$	$\mathcal{O}(1)$	$\mathcal{O}(mid)$	$\mathcal{O}(1)$	$\mathcal{O}(\min\{n_1, n_2\})$	$\mathcal{O}(mid)$
	$\mathcal{O}(1)$	$\mathcal{O}(1)$	$\mathcal{O}(mid)$	$\mathcal{O}(mid)$	$\mathcal{O}(1)$	$\mathcal{O}(mid)$
	$\mathcal{O}(\log n)$	$\mathcal{O}(\log n)$	$\mathcal{O}(\log n)$	$\mathcal{O}(\log i + 1)$	$\mathcal{O}(\log(n_1 + n_2))$	$\mathcal{O}(\log n)$

(in a lazy doubly-linked list, amortized del./ins. $\mathcal{O}(1)$ and ret. $\mathcal{O}(i + 1)$)

Lemma 13. Two keys are compared at most once by quicksort.

Lemma 14. The probability that quicksort compares the i^{th} smallest key with the j^{th} where $i < j$ is $\frac{2}{j-i+1}$.

(prove by indicator if i, j compared)

(7.0) **Probability**

Definition 25 (Experiment). a case where we the result is uncertain.

Definition 26 (Sample Space). the set of all the expected outcomes of a given experiment.

Definition 27. an Event is a subset of the sample space. A singleton subset called a simple event.

Definition 28. Disjoint Events are events A, B that fulfills $A \cap B = \emptyset$.

Definition 29 (Probability Function). a function $P: S \rightarrow [0, 1]$ for S sample space, so that $\forall E, F$ disjoint: $P(E \cup F) = P(E) + P(F)$ and $P(S) = 1$.

Definition 30. the Conditional Probability of event E given the event F is $P(E | F) := \frac{P(E \cap F)}{P(F)}$.

Theorem 24. for disjoint events $(F_i)_{i=1}^n$, if $\bigcup F_i = E$ then $\forall E: P(E) = \sum_{i=0}^n P(E | F_i) \cdot P(F_i)$.

Definition 31. events E, F are independent if $P(E \cap F) = P(E) \cdot P(F)$ (iff $P(E | F) = P(E)$).

Definition 32 (Random Variable). a function $X: S \rightarrow \mathbb{R}$.

Definition 33. $X = x$ is the event on which $X(E) = x$, and its probability noted as $P(X = x)$.

Definition 34. the Expectation of a random variable X is $\mathbb{E}[x] = \sum x \cdot P(X = x)$.

Theorem 25. the expectation is linear for all constants, and additive for all random variables.

Definition 35. a random variable I is called an Indicator of an event A if $I = \begin{cases} 1 & \text{if } A \text{ occurs} \\ 0 & \text{if } A^c \text{ occurs} \end{cases}$

Lemma 15. $\mathbb{E}[I] = P(A)$.

Definition 36 (Uniform Distribution). of a random variable X occurs when $\exists c: \forall x \in \mathbb{R}: P(X = x) = c$.

Definition 37 (Geometric Distribution). satisfies $P(x = k) = (1 - p)^{k-1}p$, hence $\mathbb{E}[X] = \sum_{k=1}^{\infty} k(1 - p)^{k-1}p = \frac{1}{p}$.

Remark 8. geometric dist. is equal to having the probability of success p and for failure $p - 1$, and P is a rand. var. that is equal to the number of required experiments to get to an solution.

Theorem 26 (The Tail Formula). $\sum_{i=0}^m i \cdot P[X = i] = \sum_{i=1}^m P(x \geq i)$

Theorem 27 (Markov's Inequality). $P[X \leq 2\mathbb{E}[X]] \geq 0.5$

(8.0) **Selection**

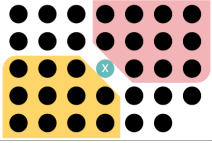
Definition 38. given n numbers, Select(n) is defined to return the k^{th} smallest key.

E.g. (width= $\lfloor \frac{1}{2} \lfloor \frac{n}{5} \rfloor \rfloor$, height= 3, total $\geq 3 \cdot \frac{n}{10} - 1 - 3$) This equals for the item in position k , assuming the array was sorted.

Definition 39 (Dynamic settings). assumes one-time building cost (e.g. Tree-Select).

Definition 40 (Static settings). not a dynamic setting

Theorem 28. Using min-heap + supporting heap the selection problem is solvable is $\mathcal{O}(n + k \log k)$.



Theorem 29. The expected number of items removed during each quickselect run is $\mathbb{E}[\#removed] = \frac{k}{2} \cdot \frac{k}{n} + \frac{n-k}{2} \cdot \frac{n-k}{n} \geq \frac{n}{4}$.

Theorem 30. The expected runtime of quickselect is $\mathcal{O}(n)$.

Theorem 31. MedofMed cost is W.C. $\mathcal{O}(n)$.

(9.0) **Hashing**

Direct Addressing. Create a bit vector with the universe size. e.g. Insert(D, x) iff $D[x.key] \leftarrow x$ etc.

(9.1)

Chaining

Lemma 16. There are $|m| |U|$ hashes in $h \in U \rightarrow [m]$, hence takes $|U| \log m$ to store.

Chaining. each cell points to a linked list of items.

Definition 41 (load factor). $\alpha := \frac{n}{m}$ where n is the universe, and m is the table size.

Lemma 17. the probability of a random two specific insertions colliding is geometric.

Theorem 32. the expected number of values in each cell is α .

Theorem 33. when $n = \Theta(m)$, with probability $\geq 1 - \frac{1}{n}$, each cell contains at most $\mathcal{O}(\frac{\log n}{\log n \log n})$ elements.

Theorem 34. Assuming the keys are distributed ideally (uniformly and independently), and assuming n keys were previously inserted, the expected complexity during search is $\alpha + 1$ for unsuccessful and $\frac{\alpha}{2} + 1$ for a successful search.

Open Addressing

Open Addressing. let $h: U \times [m] \rightarrow [m]$ be a hash function, we'll insert the key k in the first free position in the probing sequence.

Remark 9. make sure to use special marking (not null) for deleted items.

Theorem 35. Under ideal conditions (means $\forall k \in [n]: P((h(k, i)_{i=0}^{m-1}) = \frac{1}{m})$), the expected time for unsuccessful search is $\frac{1}{1-\alpha}$ and for successful search $\frac{1}{\alpha} \ln \frac{1}{1-\alpha}$.

Theorem 36. under linear probing, unsuccessful search takes $\frac{1}{2} (1 + (\frac{1}{1-\alpha})^2)$ and successful search $\frac{1}{2} (1 + \frac{1}{1-\alpha})$.

Remark 10. under linear probing, we can delete by recursively checking if item j can be moved to deleted cell i for all $h'(T[j]) \in [j+1, i]$.

Definition 42 (Linear Probing). a hash func $h(k, i) := (h'(k) + i) \bmod m$ (less cache misses + easy to calculate).

Definition 43 (Quadratic Probing). a hash func $h(k, i) := (h'(k) + ic_1 + c_2 i^2) \bmod m$.

Definition 44 (Double Probing). a hash func $h(k, i) := (h'(k) + ih''(k)) \bmod m$.

(9.3)

Hash Families

Definition 45. $|Col|$ is the number of collision for a given hash.

Definition 46. hash family is Universal if $\forall k_1 \neq k_2 \in U: P_{h \in H}(h(k_1) = h(k_2)) \leq \frac{1}{m}$.

Theorem 37. For all p prime, $h_{a,b}: [p] \rightarrow [m]$ defined as $h_{a,b}(x) = ((ax + b) \bmod p) \bmod m$, and $H_{p,m} := \{h_{a,b} | a \in [1, p], b \in [0, p]\}$ is a universal hash family.

Theorem 38. for each p prime, let $x_1 \neq x_2 \in [p]$. Then $\forall y_1 \neq y_2 \in [p] \exists! a, b \in [p], a \neq 0: y_1 \equiv_p ax_1 + b \wedge y_2 \equiv_p ax_2 + b$.

Theorem 39. for a table $m = 2^k$ so $h_a: U = [2^w] \rightarrow [2^k]$ where w is computer word size, h_a defined as $\left\lfloor \frac{ax \bmod 2^w}{2^{w-k}} \right\rfloor$, and H is almost universal.

Definition 47. if $\forall k_1 \neq k_2 \in U: P_{h \in H}(h(k_1) = h(k_2)) \leq \frac{2}{m}$ then U is called almost universal.

Theorem 40. using universal hash family, $\mathbb{E}[\text{collisions}] \leq \binom{n}{2}$.

Theorem 41. If $m = n$, then $P(|Col| < n) \geq \frac{1}{2}$

Theorem 42. If $m = n^2$, then $P(|Col| < 1) \geq \frac{1}{2}$

Remark 11. Theorems 40, 41 are derived from theorem 39 using Markov's inequality (see 7.0)

(9.4)

Init: choose random $h \in H_{p,n}$ (modular), compute the number of collision, until there are $< n$ collisions (expected 2 attempts). Then for each cell $i \in [n]$ let $n_i := \lfloor h^{-1}[\{i\}] \rfloor$, if $n_i > 1$ choose a random $h_i \in H_{p,n_i^2}$ until there are no collisions.

Total size $= 3 + n + 3n + \sum_i n_i^2 = 4n + 3 + \sum(2\binom{n_i}{2} + n_i)$ and since $|col| = \sum_i \binom{n_i}{2}$ we get a total of $\leq 7n + 3$.

(10.0) **Other**

Reduction. reduction (in our case) is the process of showing the a problem is at least as hard as another problem.

Information Bound. a bound derive by an argument that the algo. has to read a specified amount of the input, in order to get a decision. Notice that in comparison, reading isn't counted.

$$\sum_{i=1}^k \binom{k}{i} = 2^k \quad \binom{k}{i} = \binom{k-1}{i} + \binom{k-1}{i-1}$$

$$a_i = a_1 + (n-1)d \implies \sum_{i=0}^n a_i = \frac{n(a_1 + a_n)}{2}$$

$$a_i = a_1 q^{n-1} \implies \sum_{i=0}^n a_i = \frac{a_1(q^n - 1)}{q - 1}$$

Theorem 43. merging k sorted arrays with the total of n items can be done in $\mathcal{O}(n \log k)$

Theorem 44. Fibonacci closed form. $F_n = \frac{\phi^n - (\bar{\phi})^n}{\sqrt{5}}$ where

$$\phi = \frac{1 + \sqrt{5}}{2}$$

Postfix syntax algo. parse mathematical expressions. For each element e from left to right: (1) if e is operand then push e (2) if e binary operator, pop 2 elements x, y then push $e(x, y)$, and if e unary operator pop 1 element x and push $e(x)$ (see image). **Jensen's inequality.** $f(\frac{x_1 + x_2}{2}) \leq \frac{f(x_1) + f(x_2)}{2}$ if f convex.



(10.1) **Array Doubling**

Potential for doubling by $(1 + \alpha)$. $\Phi := \begin{cases} \frac{1+\alpha}{2} n - \frac{M}{\alpha} & n > \frac{M}{\alpha+1} \\ 0 & \text{else} \end{cases}$

yields to amort. bound $\mathcal{O}(\frac{1+\alpha}{\alpha} + 1)$

Potential for array doubling (including amort deletion: changing the size back when $n = \frac{M}{4}$).

$$\Phi = \begin{cases} 2n - M & \text{if } n \geq \frac{M}{2} \\ \frac{M}{2} - n & \text{if } n < \frac{M}{2} \end{cases}$$

De-Amortized array doubling. see image

