$\begin{array}{lll} \textbf{List.} & \texttt{List()}, & \texttt{Retrieve}(L,i), & \texttt{Insert}(L,b,i), \\ \texttt{Delete}(L,i), & \texttt{Length}(L) & optional: & \texttt{Search}(L,b), \\ \texttt{Concat}(L_1,L_2), & \texttt{Plant}(L_1,i,L_2), & \texttt{Split}(L,i) & special & cases: & \texttt{Retrieve/Insert/Delete-First/Last}. \\ \end{array}$ 

 $\begin{array}{lll} \textbf{Dictionary.} & \texttt{Dictionary()}, & \texttt{Insert}(D,X), \\ \texttt{Delete}(D,x), & \texttt{Search}(D,k), & \texttt{Min}(D)), & \texttt{Max}(D), \\ \texttt{Successor}(D,x), & \texttt{Predecessor}(D,x) & \textit{(for rank trees: )} \texttt{Select}(D,k) & \texttt{[the k$^{th}$ smallest element]}, \\ \texttt{Rank}(D,x) & \texttt{[the position in sorted order]}. \end{array}$ 

**Stack.** (LIFO) Push(L,b) [=ins.-last], Top(L) [=ret.-last], Pop(L) [=del.-last]. (all  $\mathcal{O}(1)$  using arrays)

Queue. (FIFO) Enqueue(L,b) [=ins-last], Head(L) [=ret.-first], Dequeue(L) [=del-first]. (all  $\mathcal{O}(1)$  using circular arrays)

Deque. Queue + Stack

**Vector.** Vector(m), Get(V,i), Set(V,i, val). (All  $\mathcal{O}(1)$  using legals and positions arrays that reference each other) d

```
\begin{array}{c|c} \textbf{function} \ \textbf{isGarbage} \ (i) \ \textbf{is} \\ & \textbf{if} \ 0 \leq \textbf{positions} [i] < \textbf{legals}.size \ \textbf{and} \\ & \textbf{legals} [\textbf{positions} [i]] = i \ \textbf{then} \\ & \textbf{legals} [\textbf{positions} [i]] = i \ \textbf{then} \\ & \textbf{end} \\ & \textbf{return} \ \textbf{true} \\ \textbf{end} \end{array}
```

 $\begin{aligned} & \textbf{Graph.} & & \textbf{Edge}(i,j), & \textbf{Add-Edge}(i,j), & \textbf{Remove-Edge}(i,j), & \textbf{InDeg}(i), & \textbf{OutDeg}(i) & \text{etc.} \end{aligned}$ 

**definition 1** (Topological sorting algo.). Input: directed graph / Output: numbering  $(n_i)_{i=1}^N$  of the graph nodes where  $\forall (i,j) \in E \colon n_i < n_j$ .

**theorm 1.** Topological Sorting exists iff the graph doesn't contain cycles

```
\begin{aligned} \mathbf{k} &\leftarrow 0; \\ \mathbf{while} \ there \ are \ sources \ \mathbf{do} \\ & | \ \text{find source v;} \\ & n_i \leftarrow k; \\ & k \leftarrow k+1; \\ & \text{remove } v \ \text{from the graph} \\ & \mathbf{end} \\ & \text{if } \mathbf{k} = n \ \text{numbering completed, otherwise} \\ & \text{isn't possible.} \end{aligned}
```

building "source queue" takes  $\mathcal{O}(n)$ , dequeuing source  $\mathcal{O}(1)$ , and enqueuing new sources to sources-

queue  $\mathcal{O}(d_{\text{out}}(i))$ . Total  $\mathcal{O}(n+m)$  for topological ordering.

**definition 2.** A *source* is a node that has no incoming edges.

remark 1. any DAG has at least one source

**definition 3.** Suppose there's a data structure with k types of operations  $(T_i)_{i=1}^k$ , then for sequence of operations  $(op)_{i=1}^n$ , then:

$$time(op_1 \dots op_n) \leq \sum_{i=0}^n bound(type(op_i))$$

Where (W.C. bound) worst( $T_i$ ) is the maximal time for a single operation typed  $T_i$ , and (amortized bound) amort( $T_i$ ) is the bound for the cost of every valid sequence  $(op_i)_{i=1}^n$ .

Amortization methods. aggregation (regular average), accounting (bank method), and potential function (defined to be the balance of the bank) that satisfies  $\operatorname{amort}(op_i) = \operatorname{time}(op_i) + \Phi_i - \Phi_{i-1}$ .

Potential for doubling by 
$$1+\alpha$$
.  $\Phi:=\begin{cases} \frac{1+\alpha}{\alpha}n-\frac{M}{\alpha} & n>\frac{M}{\alpha+1}\\ 0 & \text{else} \end{cases}$  yields to un amortized bound of  $\mathcal{O}\left(\frac{1+\alpha}{\alpha}+1\right)$  
$$\sum_{i=0}^n x^i = \frac{x^{n+1}-1}{x-1} = \Theta(x^n)(x\neq 1)$$
 
$$\sum_{i=1}^n \frac{1}{i} = H_n = \Theta(\log n)$$

# (3.1) Master Theorem

let  $f : \mathbb{R} \to \mathbb{R}$  be an function, and let  $a \leq 1, b > 1$  be constants, assuming  $T : \mathbb{R}_{\geq 0} \to \mathbb{R}$ ,  $T(n) = a \cdot T\left(\frac{n}{b}\right) + f(n)$ , then:

1. 
$$\exists \varepsilon > 0. f(n) = O(n^{\log_b a - \varepsilon})$$
  
 $\Longrightarrow T(n) = \Theta(n^{\log_b a})$ 

2. 
$$f(n) = \Theta(n^{\log_b a})$$
  
 $\implies T(n) = \Theta(n^{\log_b a} \cdot \log n)$ 

3. 
$$\exists \varepsilon > 0.f(n) = \Omega(n^{\log_b a + \varepsilon}) \land$$
  
 $\exists c > 1, n_0 \ge 0. \forall n \ge n_0.a \cdot f(\frac{n}{b}) \le c \cdot f(n)$   
 $\Longrightarrow T(n) = \Theta(f(n))$ 

Note that  $\frac{n}{h}$  could be  $\left\lfloor \frac{n}{h} \right\rfloor$  nor  $\left\lceil \frac{n}{h} \right\rceil$ 

# .....(4) .....

# Dictionaries

# (4.1) General Trees

**definition 4.** a in full tree all internal nodes have exactly i children.

**definition 5.** a *BST* satisfies:  $\forall x \forall y \text{ if } y \text{ is in the left subtree of } x \text{, then } y \text{, } key < x \text{, } key \text{, and vise-versa.}$ 

**definition 6.** height of a node = maximal length of downward path between that node and a leaf.

**definition 7.** *depth* of a node is the length of the path up the tree to the root.

**theorm 2.** minimal height of a tree is  $\lfloor \log n \rfloor$ 

**definition 8.** a BST is balanced if  $h = \mathcal{O}(\log n)$ 

**theorm 3.** for a given set of n distinct keys, there are  $\frac{1}{n+1}\binom{2n}{n}$  (catalan number) BSTs.

**theorm 4.** the expected search complexity in a random BST is  $\leq (1 + 4 \log n)$ .

**lemma 1.** the heights of a binary tree containing  $\ell$  leaves  $> \log \ell$ .

Tree walks. pre: SLR, in: LSR, post: LRS  $\,$ 

Postfix syntax algo. (...)

#### (4.2) AVL trees

**definition 9.** an AVL tree is a BST where  $\forall v \in V \colon |\mathrm{BF}(v)| \le 1$ 

**theorm 5.** and AVL tree is balanced. Further more:  $n \leq \log_{\Phi} n \approx 1.44 \log n$ .

**definition 10.** Fibonacci tree  $F_i$  is:



**theorm 6.** an AVL tree with minimum edges is a fibonacci tree, sized  $f_n = \frac{\Phi^n - \bar{\Phi}^n}{\sqrt{5}}$ ,  $\Phi = \frac{1+\sqrt{5}}{2}$ .

**definition 11.** a rank tree, is a tree that maintains the size of each subtree, hence supports the rank & select operations in  $\mathcal{O}(\log n)$ .

**theorm 7.** if the information that a given attribute f defined for each node, can be computed merely from its direct children (local attribute), then we can maintain f in an AVL tree.

remark 2. The theorem above is sufficient condition but not necessary.

**definition 12.** a *Finger Tree* is a tree that has a pointer to a specific node.

**theorm 8.** in a finger tree Select(T, k) can be implemented in  $\mathcal{O}(\log k)$ .

#### (4.3) **B-trees**

**definition 13.** a B-tree (d, 2d) satisfies:

- 1. each non-leaf expect for the root has  $d \le r \le 2d$  children (hence d-1 to 2d-1 keys);
- 2. all leaves are at the same depth;
- 3. the root has between 2 and 2d children (hence 1 to 2d 1 keys).

**definition 14.** a B<sup>+</sup>-tree is a B-tree with keys only on leafs.

**definition 15.** a B\*-tree is a B-tree with nodes  $\frac{2}{3}$  full (instead of  $\frac{d}{2d} = \frac{1}{2}$  full).

**definition 16.** a red-black tree is a (1,2) B-tree (BST).

**theorm 9.** at depth h there are at least  $2d^{h-1}$ 

**theorm 10.** a B-tree (d, 2d) with n edges and h height fulfills  $n > d^h$ ,  $h < \log_d n$ 

**theorm 11.** search in a b-tree requires  $\mathcal{O}(\log_d n)$  I/Os, and  $\mathcal{O}(\log_2 d \cdot \log_d n) = \mathcal{O}(\log n)$  operations in total.

**theorm 12.** Ins./Del. rebalancing cost is W.C.  $\mathcal{O}(\log n)$ , and using button-up amort. (ins.+del.)  $\mathcal{O}(1)$ , using top-down  $\Omega(\log_d n)$ 

#### Insertions

**Button-Up.** Find and insert in the appropriate leaf. If the current node is overflowing: split. If the parent is overflowing: split (etc., recursively). Requires a total of  $\mathcal{O}(d\log_d n)$  operations.

**Top-Down.** if a node is full, we will split it on the way down while searching.

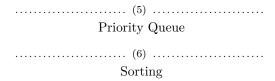
Button-Up non-leaf deletion. replace the item by its predecessor and delete the predecessor (must be a leaf).

#### Deletions

Button-Up leaf deletion. if the current node is underflowing, borrow and terminate and if not possible fuse and recursively check the if parent if underflowing.

**Top-Down leaf deletion.** while searching, checking if the items along the way contains d keys, otherwise borrow or fuse.

**Top-Down non-leaf deletion.** replace the node with its predecessor, while making sure that nodes along the way contains at least d keys.



# (6.1) Comparison-based sorting

**theorm 13.** insertion sort with I > n inversions  $(I \le {2n \choose n})$  takes  $\mathcal{O}\left(n \log \frac{I}{n}\right)$ .

**definition 17.** stable sort is a sorting algo. the preserves order of items with the same key.

**definition 18.** a comparison-based algo. uses only b. Preforms count sort on the LSD  $\rightarrow$  MSD. [note: two-key comparisons to decide on key position.

**assumption.** two keys can be compared in  $\mathcal{O}(1)$ , and an item can be moved in  $\mathcal{O}(1)$ .

**theorm 14.** the W.C. and average case of any comparison-base sorting algo. runs in  $\Omega(n \log n)$ 

lemma 2. comparison trees are a full binary tree, and has > n! leafs.

theorm 15. the worst/best/average case in the comparison-based model is the max/min/average depths of the leafs.

### (6.2) Other sorting algos.

Count sort. For dataset A, assumes  $\exists R \forall a \in A \leq$ R constant. Counts each element  $a \in A$ , takes a cumulative sum  $(a_i)$ , then for all  $a \in A$  puts a in  $a_i$ and decreases  $a_i \leftarrow a_i - 1$ . Takes  $\mathcal{O}(n+R)$ . Stable

Count sort. similar to count sort, takes R bins and throws A into them, then collects them.

**Radix sort.** For a dataset A sized n, assumes  $a \in A$ contains exactly d digit and each digit is bounded by relies on count sort being stable]. Takes  $\mathcal{O}(d(n+b))$ .

theorm 16. Radix sort is enough to make IBM.

# (6.3) QuickSort

Lomuto's Partition.

Hoare's Partition.

**theorm 17.** W.C. of quicksort if  $\binom{n}{2} = \mathcal{O}(n^2)$ .

**theorm 18.** Average case of quicksort is 2(n + $1)H_n - 4n \approx 1.39n \log n$ .

# .....(7) Probability

**definition 19.** an *Experiment* is a case where we the result is uncertain.

**definition 20.** the Sample Space is the set of all the expected outcomes of a given experiment.

**definition 21.** an *Event* is a subset of the sample space. A singleton subset called a *simple event*.

**definition 22.** Disjoint Events are events A, B that

fulfills  $A \cap B = \emptyset$ 

definition 23. a Probability Function is a function  $P: S \to [0,1]$  for S sample space, so that  $\forall E, F \text{ disjoint: } P(E \cup F) = P(E) + P(F) \text{ and }$ P(S) = 1.

**definition 24.** the conditional probability of event E given the event F is  $P(E \mid F) := \frac{P(E \cap F)}{P(F)}$ 

**theorm 19.** for disjoint events  $(F_i)_{i=1}^n$ , if  $\bigcup F_i = E$  then  $\forall E \colon P(E) = \sum_{i=0}^n P(E \mid F_i) \cdot P(F_i)$ .

**definition 25.** events E, F are independent if  $P(E \cap F) = P(E) \cdot P(F)$  (iff  $P(E \mid F) = P(E)$ ).

definition 26. a Random Variable if a function  $X \colon S \to \mathbb{R}$ .

**definition 27.** X = x is the event on which X(E) = x, and its probability noted as P(X = x).

**definition 28.** the Expectation of a random variable X is  $\mathbb{E}[x] = \sum_{x} x \cdot P(X = x)$ .

theorm 20. the expectation is linear for all constants, and additive for all random variables.

**definition 29.** a random variable I is called an Indicator of an event A if  $I = \begin{cases} 1 & \text{if } A \text{ occurs} \\ 0 & \text{if } A^c \text{ occurs} \end{cases}$ 

lemma 3.  $\mathbb{E}[I] = P(A)$ .

**definition 30.** Uniform Distribution of a random variable X occurs when  $\exists c : \forall x \in \mathbb{R} : P(X = x) = c$ .

definition 31. Geometric Distribution satisfies  $P(x = k) = (1 - p)^{k-1}p$ , hence  $\mathbb{E}[X] = \sum_{k=1}^{\infty} k(1 - p)^{k-1}p$  $p)^{k-1}p = \frac{1}{n}$ .

note: geometric dist. is equal to having the probability of succession p and for failure p-1, and P is a rand. var. that is equal to the number of required experiments to get to an solution.

| (8)            |
|----------------|
| Selection      |
| (9)<br>Hashing |
| (10)<br>Other  |

**Reduction.** reduction (in our case) is the process of showing the a problem is at least as hard as another problem.

# Lists $\ell et \ \operatorname{mid} := \min\{i, n-i\} + 1$

|                                | Arrays               | Circular Arr.                       | D-Linked                    | AVL List                       |
|--------------------------------|----------------------|-------------------------------------|-----------------------------|--------------------------------|
| Ins/Del-Last                   | $\mathcal{O}(1)$     | $\mathcal{O}(1)$                    | $\mathcal{O}(1)$            | $O(\log n)$                    |
| Ins/Del-First                  | $\mathcal{O}(n+i)$   | $\mathcal{O}(1)$                    | O(1)                        | $O(\log n)$                    |
| Ins(i)                         | O(n-i+1)             | $\mathcal{O}(\mathrm{mid})$         | $\mathcal{O}(\mathrm{mid})$ | $O(\log n)$                    |
| Retrieve(i)                    | $\mathcal{O}(1)$     | $\mathcal{O}(1)$                    | $\mathcal{O}(\mathrm{mid})$ | $O(\log(i) + 1)$               |
| $\mathtt{Concat}( n_1 , n_2 )$ | $O(n_2 + 1)$         | $\mathcal{O}(\min\{n_1, n_2\} + 1)$ | O(1)                        | $\mathcal{O}(\log(n_1 + n_2))$ |
| Split(i)                       | $\mathcal{O}(n-i+1)$ | $\mathcal{O}(\mathrm{mid})$         | $\mathcal{O}(\mathrm{mid})$ | $O(\log n)$                    |

(in a lazy doubly-linked list, amortized del./ins.  $\mathcal{O}(1)$  and ret.  $\mathcal{O}(i+1)$ )

Complexity Tables

# **Priority Queues**

|                               | Insert                  | Minimum                 | Delete-Min            | DecKey           | Delete                | Meld                  | Init             |
|-------------------------------|-------------------------|-------------------------|-----------------------|------------------|-----------------------|-----------------------|------------------|
| AVL tree                      | $O(\log n)$             | $\mathcal{O}(1)$        | $O(\log n)$           | $O(\log n)$      | $O(\log n)$           |                       | $O(n \log n)$    |
| Binary Stack                  | $O(\log n)$             | $\mathcal{O}(1)$        | $O(\log n)$           | $O(\log n)$      | $O(\log n)$           | $\mathcal{O}(n)$      | $\mathcal{O}(n)$ |
| W.C Binomial Stack            | $O(\log n)$             | $\mathcal{O}(1)$        | $O(\log n)$           | $O(\log n)$      | $O(\log n)$           | $\mathcal{O}(\log n)$ |                  |
| Amort. Binomial Stack*        | $\mathcal{O}(1)$        | $\mathcal{O}(1)_{W,C}$  | $\mathcal{O}(1)$      | $\mathcal{O}(1)$ | $\mathcal{O}(1)$      | $\mathcal{O}(\log n)$ |                  |
| Lazy Amort.<br>Binomial Stack | $\mathcal{O}(1)_{W,C}$  | $\mathcal{O}(1)_{W,C}$  | $\mathcal{O}(\log n)$ | $O(\log n)$      | $\mathcal{O}(\log n)$ | $\mathcal{O}(1)$      |                  |
| Amort. Fib. Stack:            | $\mathcal{O}(1)_{W.C.}$ | $\mathcal{O}(1)_{W.C.}$ | $\mathcal{O}(\log n)$ | $\mathcal{O}(1)$ | $\mathcal{O}(\log n)$ | $\mathcal{O}(1)$      |                  |

<sup>\*</sup>amortized for a sequence of operations from the same type.