

Shor's Factoring Algorithm

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Important definitions

I. Prime number

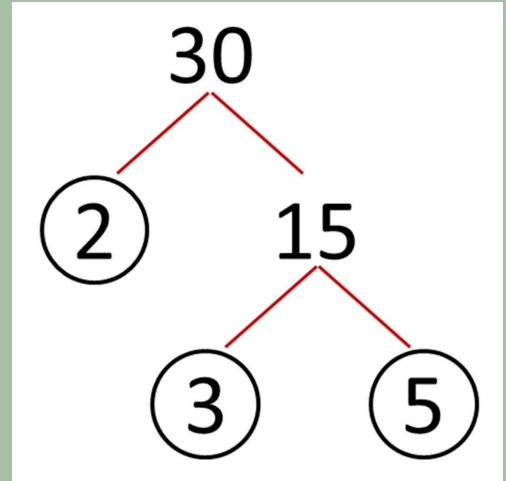
- A number that cannot be formed by multiplying two smaller numbers
- Only two distinct divisors: 1 and itself

II. Factoring

- Factoring a number into its prime factors is expressing the number as a product of prime numbers.

III. Co-prime numbers

- Two numbers that have no common positive divisors other than 1.
- $\text{GCD}(g, N) = 1$



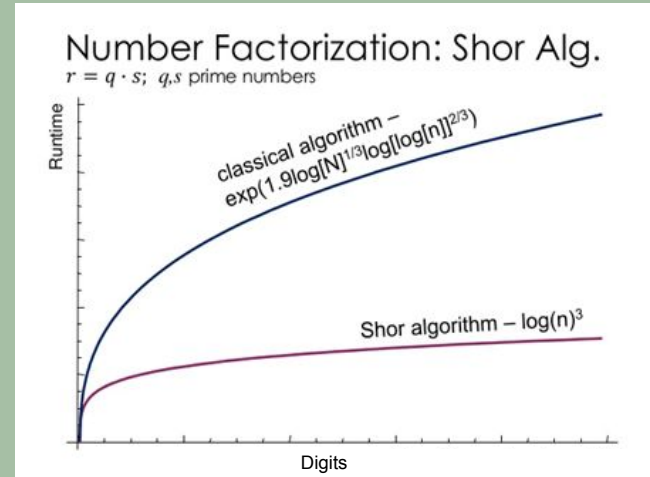
What is Shor's algorithm?

I. What is it?

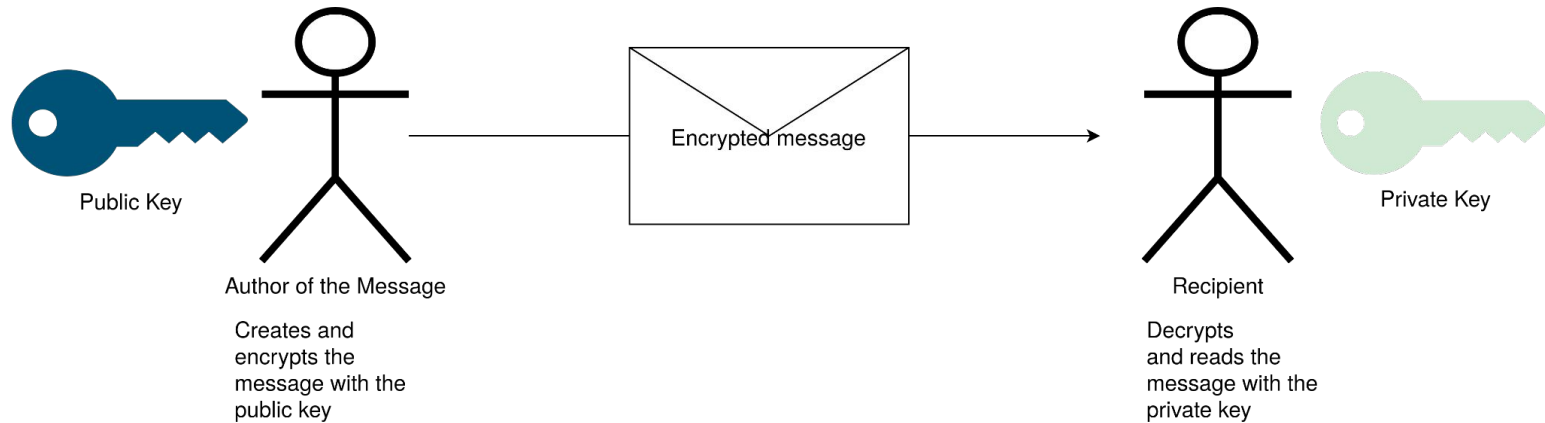
- Factorization algorithm
- Splits integers into prime factors
- Classical-Quantum Hybrid

II. Why do we care?

- Logarithmic runtime v polynomial runtime
- Applicable to real-world problems
 - Ex: RSA cryptography



RSA and factoring



RSA- 2048

2519590847565789349402718324004839857142928212620403202777713783604366202070
7595556264018525880784406918290641249515082189298559149176184502808489120072
8449926873928072877767359714183472702618963750149718246911650776133798590957
0009733045974880842840179742910064245869181719511874612151517265463228221686
9987549182422433637259085141865462043576798423387184774447920739934236584823
8242811981638150106748104516603773060562016196762561338441436038339044149526
3443219011465754445417842402092461651572335077870774981712577246796292638635
6373289912154831438167899885040445364023527381951378636564391212010397122822
120720357

Classical

- On classical computers Shor's Algorithm would not be the fastest way to factorize integers
- Loop through $0 - \sqrt{\text{num}}$.
- Add number to answers if the number is divisible ($\text{num} \% \text{generated num} = 0$)

```
algorithm Sieve of Eratosthenes is
  input: an integer  $n > 1$ .
  output: all prime numbers from 2 through  $n$ .

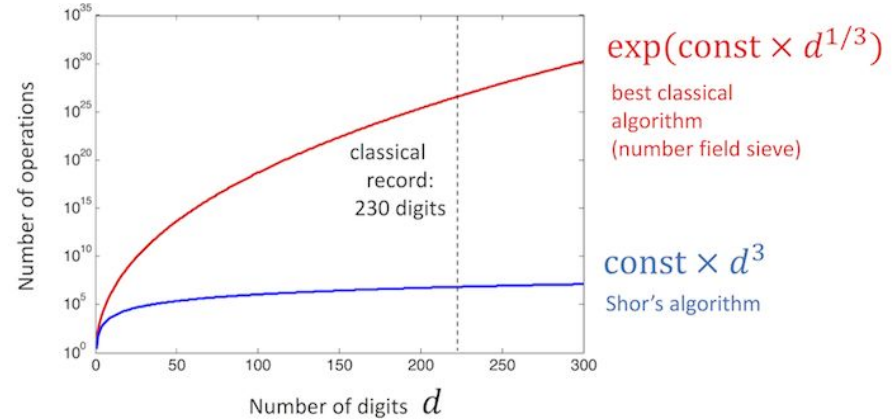
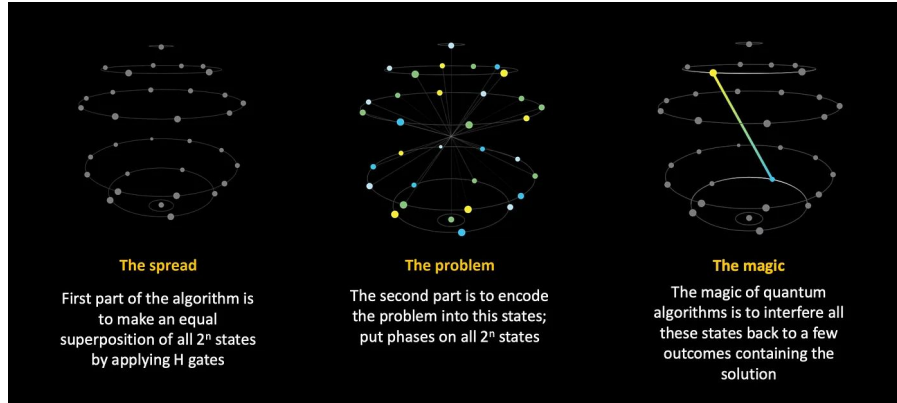
  let  $A$  be an array of Boolean values, indexed by integers 2 to  $n$ ,
  initially all set to true.

  for  $i = 2, 3, 4, \dots$ , not exceeding  $\sqrt{n}$  do
    if  $A[i]$  is true
      for  $j = i^2, i^2+i, i^2+2i, i^2+3i, \dots$ , not exceeding  $n$  do
        set  $A[j] := \text{false}$ 

  return all  $i$  such that  $A[i]$  is true.
```

Quantum

- I. Uses superposition to speed up computation
- II. Shor's Algorithm is Classical-Quantum Hybrid



Explaining Shor's Algorithm

1. We will explain how factoring N is related to period determination
2. We will explain how QPE contributes to this and how we utilize QC to create a hybrid Classical-Quantum Algorithm

Make a guess

Ensure that both the guess and the number fulfills the conditions

Find the period

Find the value of r that fulfills $a^r = 1 \pmod{N}$

Euclid's Algorithm

$21 \div 4 = 5$ remainder 1
 $4 \div 1 = 4$ remainder 0

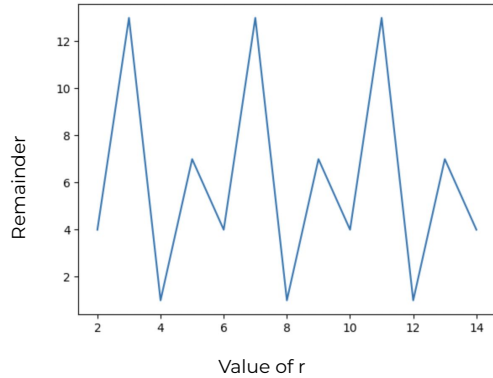
Therefore, $\text{GCD}(21, 4)$ is 1

The Math (Number Theory)

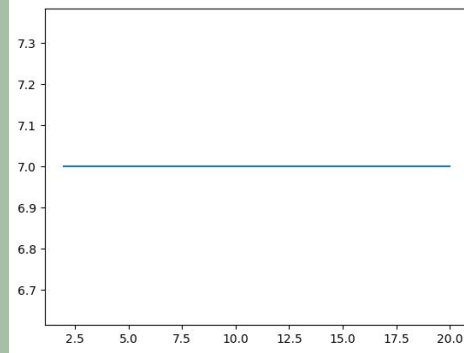
- I. G is coprime with N and $2 < a < N$
- II. Euler's Theorem
 - A. If a, N are coprime there is a least power so that $a^r \equiv 1 \pmod{N}$ (r is an order of $a \pmod{N}$)
- III. Modular Exponential Functions
$$f(r) = a^r \pmod{N}$$
$$(A, B) \rightarrow A * A * A * A \dots * A = m * B + 1$$
$$A^r = m * B + 1$$
$$a^r = m * N - 1 \mapsto a^r - 1 = m * N$$
$$a^r - 1 = (a^{r/2} - 1)(a^{r/2} + 1)$$
- IV. Euclid's Algorithm
 - A. $\gcd(a^{r/2} \pm 1, N)$

The period finding problem + conditions

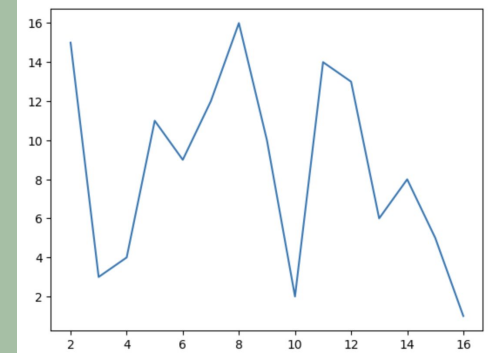
$N = 15$ (not prime)
 $a = 7$



$N = 21$ (Not coprime)
 $a = 7$



$N = 23$ (prime)
 $a = 7$



Make a guess

*** Condition: N , number we are trying to factor cannot be even or prime!

- Not 8 because you know a prime factor is 2, meaning the problem is solved
- Not 17 because only factors are 1 and 17

*** Condition: guess, g , is coprime with the number! $\text{GCD}(N, g) = 1$

If not, algorithm is complete

*** Condition: guess, g is within these parameters: $2 < g < N$

Assume our number $N = 15$

Our guess is 7

$\text{GCD}(15, 7) = 1 \leftarrow$ fulfills the condition

Find period

g is our guess, 7

r is the value we are trying to find (period)

N is the number we are trying to factor

$7^r \bmod 15 = 1$ ← this is what we are trying to do ($g^r \bmod N = 1$), solve for r

Find period $g^r \bmod N = 1$

$$1 * 7 \% 15 = 7 \quad \dots 1$$

$$7 * 7 \% 15 = 4 \quad \dots 2$$

$$4 * 7 \% 15 = 13 \quad \dots 3$$

$$13 * 7 \% 15 = 1 \quad \dots 4$$

Period, r , = 4

Repeat these steps until you get 1 as a remainder. The amount of steps it took was the period. This is the classical way to compute period, but this step takes very long with large numbers. Quantum computers, however, can complete this step very quickly

*** Condition: If r is odd, redo with a different guess!!!

Perfecting our Guess

To perfect our guess, we must find the value of $g^{r/2} \bmod N + 1$ and $g^{r/2} \bmod N - 1$.

We have $r = 4$, $g = 7$, $N = 15$

So to find the correct guess, you must do $7^{4/2} \bmod 15 + 1$ and $7^{4/2} \bmod 15 - 1$.

$7^2 \bmod 15 + 1$ and $7^2 \bmod 15 - 1$.

↓
5

↓
3

***Condition: If you get $N - 1$ as the answer to these equations, you must redo with a different guess

Use Euclid's algorithm for final answer

Euclid's algorithm is used to find GCD between two numbers.

Example:

$$123 / 36 = 3 \text{ remainder } 15$$

$$36 / 15 = 2 \text{ remainder } 6$$

$$15 / 6 = 2 \text{ remainder } 3$$

$$6 / \textcircled{3} = 2 \text{ remainder } 0$$

$$\text{GCD}(123, 36) = 3$$

With our numbers:

$$15 / \textcircled{5} = 0$$

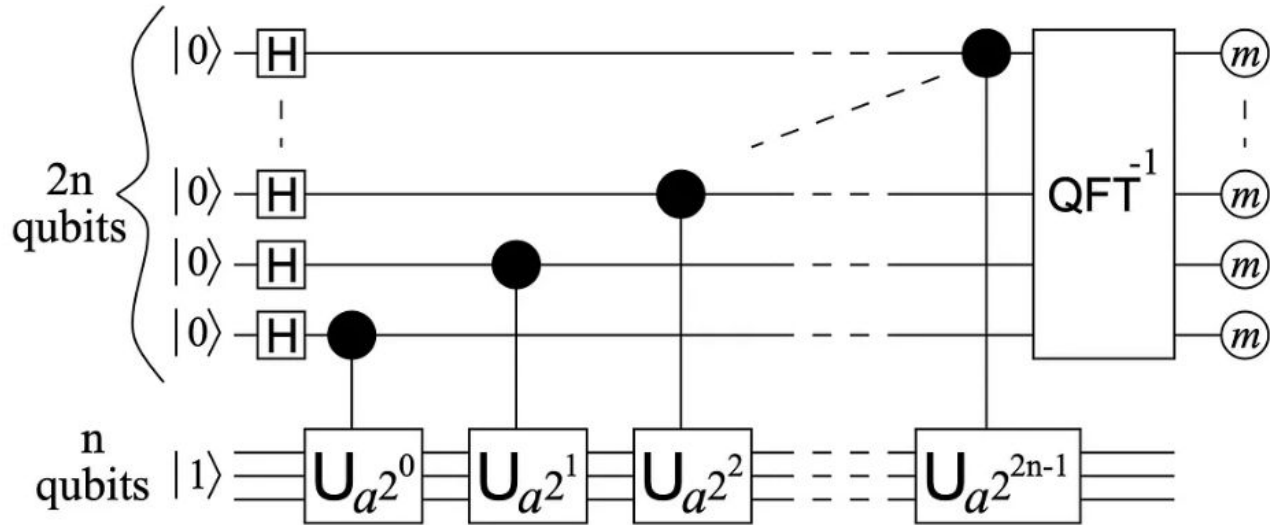
$$\text{GCD}(15, 5) = 5$$

$$15 / \textcircled{3} = 0$$

$$\text{GCD}(15, 3) = 3$$

So our final answers are 3 and 5! As long as you follow the preconditions, you should be able to derive the factors of any number using Shor's algorithm with a 60-80% success rate

Period Finding Circuit



Modular Multiplication Circuit

Unitary Gates

$$f(x) = ax \pmod{N}$$

$$U|y\rangle = |xy \pmod{N}\rangle$$

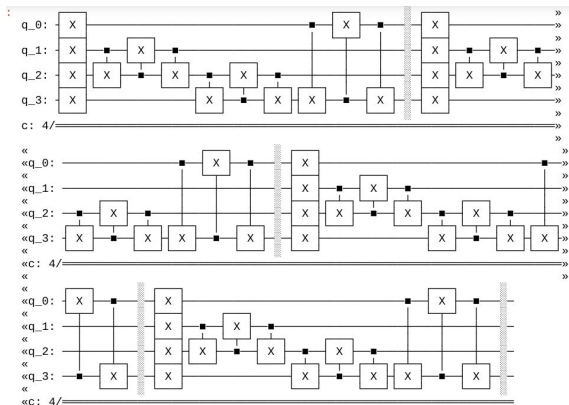
$$a=7, N=15$$

```
## Modular Multiplication Circuit
n = 4
circ = QuantumCircuit(n,n)

def unitary_7(circuit):
    for i in range(n):
        circuit.x(i)
    circuit = swap(circuit,1,2)
    circuit = swap(circuit,2,3)
    circuit = swap(circuit,0,3)
    circuit.barrier()
    return circuit

def swap(circuit, i, j):
    circuit.cx(i,j)
    circuit.cx(j,i)
    circuit.cx(i,j)
    return circuit

r = 4
for j in range(r):
    circ = unitary_7(circ)
circ.draw()
```



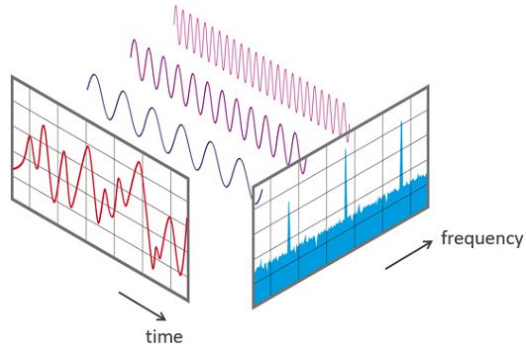
Our code and circuit

$$\text{period of } |x^a \pmod{N}\rangle = r$$

and

$$U|u_s\rangle = \exp\left[\frac{2\pi i s}{\underline{r}}\right] |u_s\rangle$$

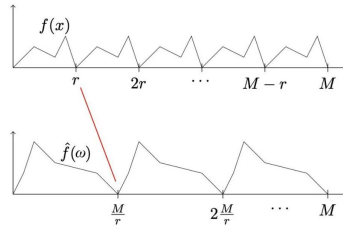
Fast Fourier Transform



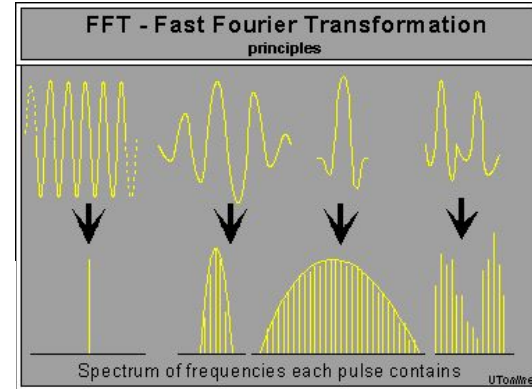
Time domain Vs
Frequency Domain

<https://www.nti-audio.com/en/support/know-how/fast-fourier-transform-fft>

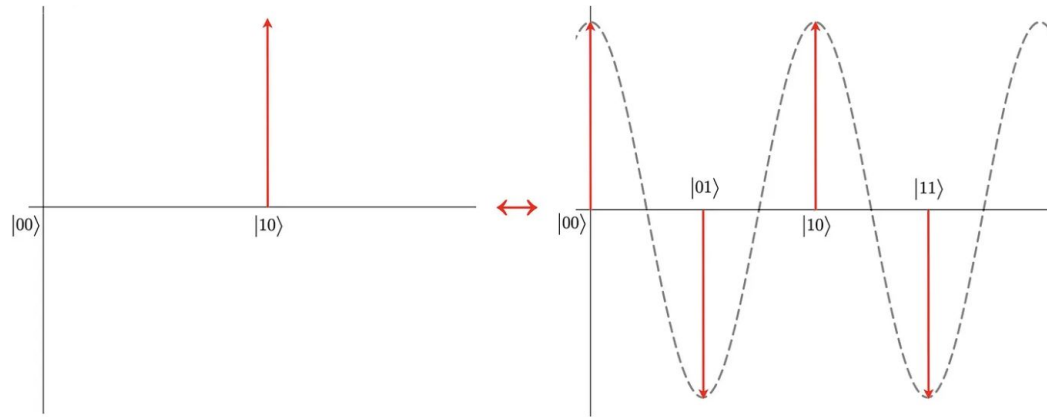
If the Period is R in the time domain then the period will be $\frac{1}{R}$ in the frequency domain



<https://jonathan-hui.medium.com/qc-quantum-fourier-transform-45436f90a43>



<https://www.ndt.net/ndtaz/content.php?id=163>



i.e., $|10\rangle$ will transform into the superposition below:

$$|10\rangle \leftrightarrow |00\rangle - |01\rangle + |10\rangle - |11\rangle$$

Quantum Fourier Transform/Phase Estimation

$$A\mathbf{v} = \lambda\mathbf{v}$$

$$\begin{matrix} & & \text{eigenvalue} \\ \begin{bmatrix} 1 & -3 & 3 \\ 3 & -5 & 3 \\ 6 & -6 & 4 \end{bmatrix} \begin{bmatrix} 1/2 \\ 1/2 \\ 1 \end{bmatrix} & = & 4 \begin{bmatrix} 1/2 \\ 1/2 \\ 1 \end{bmatrix} \\ \text{A} & & \text{eigenvector} \end{matrix}$$

Known

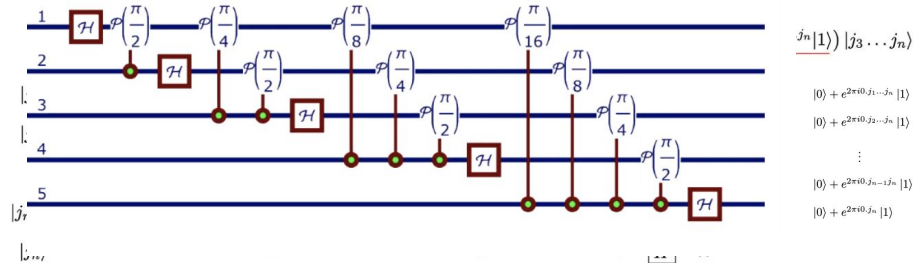
Estimate

$$U|\psi\rangle = e^{2\pi i\phi} |\psi\rangle$$

Transforms one quantum state into another encoded with the frequency spectrum of the original state

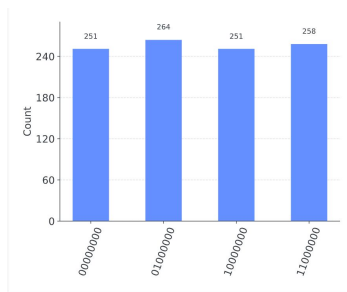
$$\hat{F} = \left(\sum_{j',k'} \frac{e^{2\pi i j' k' / N}}{\sqrt{N}} |k'\rangle \langle j'| \right), \quad \hat{F}^\dagger = \left(\sum_{j,k} \frac{e^{-2\pi i j k / N}}{\sqrt{N}} |j\rangle \langle k| \right)$$

$$\begin{aligned} \hat{F}^\dagger \hat{F} &= \frac{1}{N} \sum_{j,k,j',k'} e^{2\pi i (j'k' - jk) / N} |j\rangle \langle j'| \delta_{kk'} \\ &= \frac{1}{N} \sum_{j,k,j'} e^{2\pi i (j' - j) k / N} |j\rangle \langle j'| \\ &= \sum_{j,j'} |j\rangle \langle j'| \delta_{jj'} = \sum_j |j\rangle \langle j| = \hat{I}. \end{aligned}$$



<https://jonathan-hui.medium.com/qc-quantum-fourier-transform-45436f90a43>

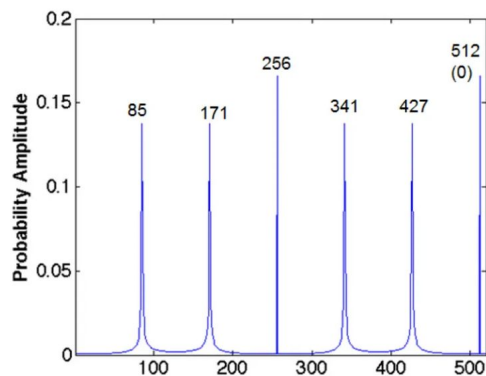
How do we get value for the period?



	Register Output	Phase
0	00000000(bin) = 0(dec)	0/256 = 0.00
1	11000000(bin) = 192(dec)	192/256 = 0.75
2	10000000(bin) = 128(dec)	128/256 = 0.50
3	01000000(bin) = 64(dec)	64/256 = 0.25

	Phase	Fraction	Guess for r
0	0.00	0/1	1
1	0.75	3/4	4
2	0.50	1/2	2
3	0.25	1/4	4

<https://learn.qiskit.org/course/ch-algorithms/shors-algorithm>



Measurement in factorizing 21 Source

<https://qudev.phys.ethz.ch/static/content/QSIT15/Shors%20Algorithm.pdf>

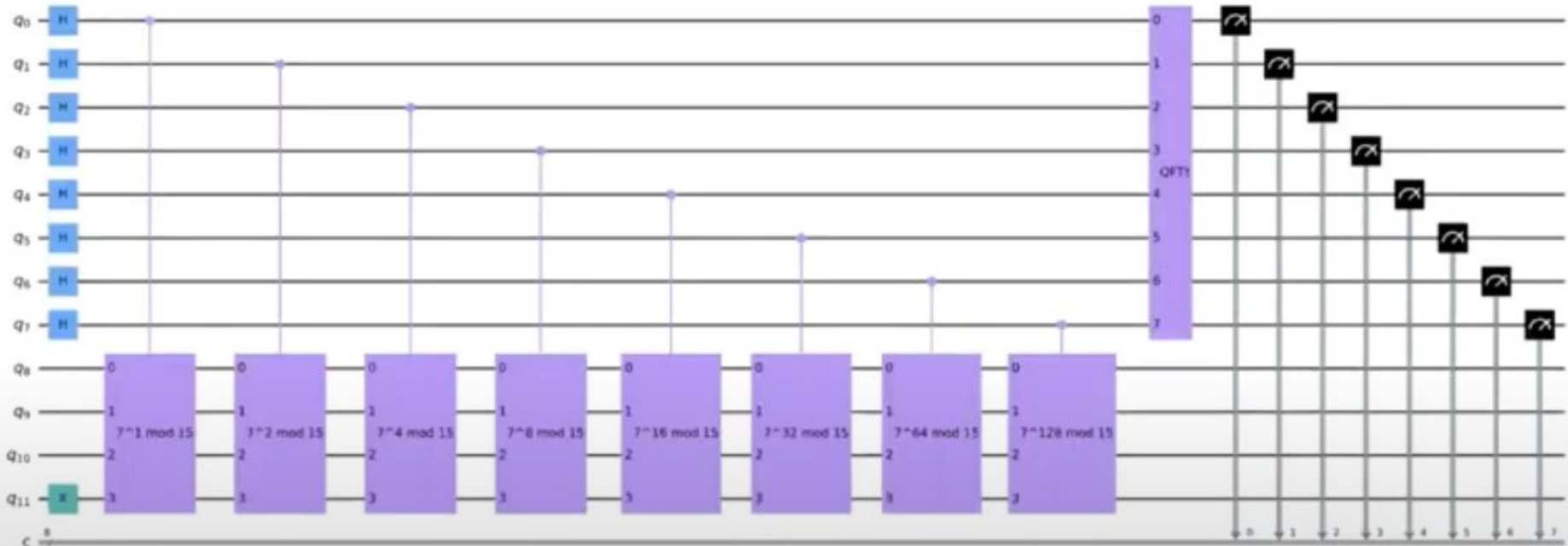
$$\frac{c}{q} = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \dots}}, \quad d_0 = a_0, \quad d_1 = 1 + a_0 a_1, \quad d_n = a_n d_{n-1} + d_{n-2}$$

$$r_0 = 1, \quad r_1 = a_1, \quad r_n = a_n r_{n-1} + r_{n-2}$$

$$\frac{427}{512} = 0 + \frac{1}{1 + \frac{1}{5 + \frac{1}{42 + \frac{1}{2}}}}, \quad d_0 = 0, \quad d_1 = 1, \quad d_2 = \underline{5}, \quad d_3 = 427$$

$$r_0 = 1, \quad r_1 = 1, \quad r_2 = \underline{6}, \quad r_3 = 512$$

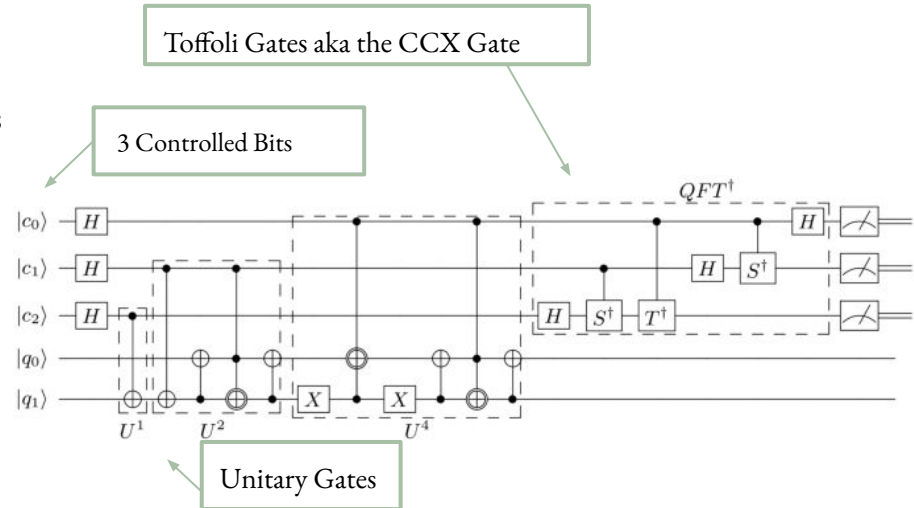
Qiskit Quantum Circuit for Shor's where $g = 7$ and $N = 15$



Factorization of 21 on IBM Quantum

Things to Keep in Mind:

- I. Complete Implementation of Shor's Algorithm is difficult
- II. To maximize efficiency the circuit has to be tailored to the number you are factoring
- III. There, as of now, is no universal circuit
- IV. Takes a lot of qubits and gates



Future

- Posed threat to encryption
- Quantum computing is still in its early stages
- The rise of post-quantum cryptography to protect communication
 - Google, NIST, Microsoft etc



Thank you for
listening!



Any questions?

Sources

- <https://quantum-computing.ibm.com/composer/docs/iqx/guide/shors-algorithm>
- <https://www.nature.com/articles/s41598-021-95973-w>
- <https://jonathan-hui.medium.com/qc-phase-estimation-in-shors-algorithm-acef265ebe50#:~:text=Phase%20estimation%20simply%20means%20estimate,phase%20value%20of%20the%20eigenvalue.>
- <https://medium.com/mit-6-s089-intro-to-quantum-computing/a-general-implementation-of-shors-algorithm-da1595694430>
- <https://www.quantiki.org/wiki/shors-factoring-algorithm#:~:text=Shor's%20algorithm%20is%20a%20quantum,a%20sufficiently%20large%20quantum%20computer.>
- <https://scottaaronson.blog/?p=208>