## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM8-K

#### CURRENT REPORT

|   |   | 5(d) of the Securities Exclember 16, 2021  (Date of earliest event reported | _  |                             |            |
|---|---|---|--|-----------------------------|------------|
| Commission<br>File Number                             | Name of Registrant; State or Other Jurisdi<br>and Telephone Number  | ction of Incorporation; Address of  | Frincipal Executive Offices;                   | IRS Employer Identification | Number     |
| 001-16169   | EXELON CORPORATION  |   |  |                             | 23-2990190 |
|   | (a Pennsylvania corporation)<br>10 South Dearborn Street<br>P.O. Box 805379<br>Chicago, Illinois 60680-5379<br>(800) 483-3220 |   |  |                             |            |
| 333-85496   | EXELON GENERATION COMPANY   | •   |  | 2                           | 23-3064219 |
|   | (a Pennsylvania limited liability con<br>300 Exelon Way<br>Kennett Square, Pennsylvania 193<br>(610) 765-5959                 | . "   |  |                             |            |
| Check the appropriate bo provisions:                  | x below if the Form 8-K filing is intended to sim-  | ultaneously satisfy the filing o  | obligation of the registran                    | t under any of the followi  | ng         |
| □ Written communic                                    | ations pursuant to Rule 425 under the Securities  | ,   |  |                             |            |
| •   | oursuant to Rule 14a-12 under the Exchange Ac<br>nt communications pursuant to Rule 14d-2(b) u                                | ,   | FR 240.14d-2(b))                               |                             |            |
|   | nt communications pursuant to Rule 13e-4(c) u   | 0 (   | ( //   |                             |            |
| 0 1   | suant to Section 12(b) of the Act:  |   |  |                             |            |
| Title of each class  EXELON CORPORATION               |   | Trading Symbol(s)   | Name of each excha                             | ange on which registered    |            |
| Common Stock, without par value                       |   | EXC   | The Nasdaq                                     | daq Stock Market LLC        |            |
| Indicate by check mark w<br>chapter) or Rule 12b-2 o  | hether any of the registrants are emerging grow<br>the Securities Exchange Act of 1934 (§240.12b                              | rth companies as defined in l<br>-2 of this chapter). Emerging              | Rule 405 of the Securities<br>growth company □ | s Act of 1933 (§230.405 o   | of this    |
| If an emerging growth co<br>or revised financial acco | mpany, indicate by check mark if any of the regis<br>inting standards provided pursuant to Section 1                          | strants have elected not to us<br>3(a) of the Exchange Act. □               | e the extended transition                      | period for complying wit    | th any new |
|   |   |   |  |                             |            |
|   |   |   |  |                             |            |
|   |   |   |  |                             |            |

## Section 8 - Other Events Item 8.01. Other Events

Exelon Corporation (Exelon) and Exelon Generation Company, LLC (Generation) announced on December 16, 2021 that the New York State Public Service Commission accepted a Joint Proposal dated November 23, 2021 submitted by parties to a proceeding concerning the corporate transfer of New York-based nuclear plants that will occur as a part of Exelon's proposed separation of its utilities businesses and Generation and its associated businesses. The NYPSC's action satisfies one of the conditions to the pending separation. Acopy of the Joint Proposal was previously filed on November 24, 2021 as an exhibit to Exelon's and Generation's Current Report dated November 23, 2021.

# Section 9 - Financial Statements and Exhibits Item 9.01. Financial Statements and Exhibits

(d) Exhibits.

Exhibit No. Description

99.1 Press release

99.2 <u>Joint Proposal (File No. 001-16169, Form 8-K dated November 23, 2021, Exhibit 99.1)</u>

101 Cover Page Interactive Data File - the cover page XBRL tags are embedded within the Inline XBRL document.

104 The cover page from this Current Report on Form 8-K, formatted as Inline XBRL.

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This combined Current Report on Form 8-K is being furnished separately by Exelon Corporation and Exelon Generation Company, LLC (Registrants). Information contained herein relating to any individual Registrant has been furnished by such Registrant on its own behalf. Neither Registrant makes any representation as to information relating to the other Registrant.

This Current Report contains certain forward-looking statements within the meaning of the Private Securities Litigation Reform Act of 1995 that are subject to risks and uncertainties, including, among others, those related to the timing, manner, tax-free nature and expected benefits associated with the potential separation of Exelon's competitive power generation, and customer-facing energy business from its six regulated electric and gas utilities. Words such as "could," "may," "expects," "anticipates," "will," "targets," "goals," "projects," "intends," "plans," "believes," "seeks," "estimates," "predicts," and variations on such words, and similar expressions that reflect our current views with respect to future events and operational, economic, and financial performance, are intended to identify such forward-looking statements.

The factors that could cause actual results to differ materially from the forward-looking statements made by the Registrants include those factors discussed herein as well as the items discussed in (1) the Registrants' 2020 Annual Report on Form 10-K in (a) Part I, ITEM 1A Risk Factors, (b) Part II, ITEM 7. Management's Discussion and Analysis of Financial Condition and Results of Operations, and (c) Part II, ITEM 8. Financial Statements and Supplementary Data: Note 19, Commitments and Contingencies; (2) the Registrants' Third Quarter 2021 Quarterly Report on Form 10-Q in (a) Part II, ITEM 1A Risk Factors, (b) Part I, ITEM 2. Management's Discussion and Analysis of Financial Condition and Results of Operations, and (c) Part I, ITEM 1. Financial Statements: Note 15, Commitments and Contingencies; and (3) other factors discussed in filings with the Securities and Exchange Commission by the Registrants.

Investors are cautioned not to place undue reliance on these forward-looking statements, whether written or oral, which apply only as of the date of this Current Report. None of the Registrants undertakes any obligation to publicly release any revision to its forward-looking statements to reflect events or circumstances after the date of this Current Report.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, each Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### EXELON CORPORATION

/s/ Carter Culver

Carter Culver Assistant Secretary Exelon Corporation

### EXELON GENERATION COMPANY, LLC

/s/ Carter Culver

Carter Culver
Assistant Secretary
Exelon Generation Company, LLC

December 16, 2021

### **EXHIBIT INDEX**

| Exhibit No. | <u>Description</u>  |
|-------------|---|
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