

# Sorting In Linear Time

# Sorting

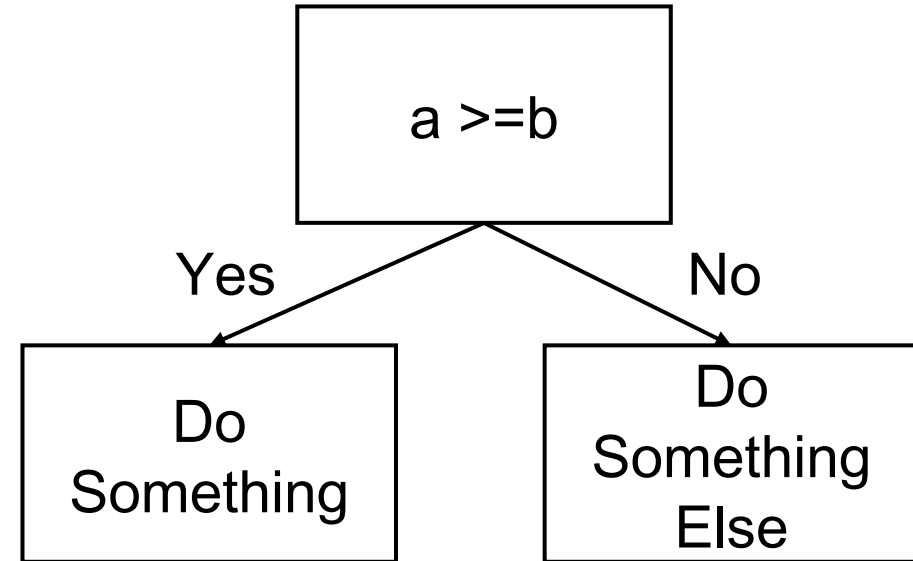
- Sort  $n$  integers in  $O(n \log n)$ 
  - Merge Sort, Heap Sort in the worst case
  - Quick Sort on average
- Compare elements to determine the sorted order
  - Called **comparison sorts**

# Lower Bounds for Sorting

- Comparison sorts gain order information from comparisons
  - Doesn't inspect values
  - Given  $a_i$  and  $a_j$ , test  $a_i < a_j$ ,  $a_i \leq a_j$ ,  $a_i = a_j$ ,  $a_i \geq a_j$ , or  $a_i > a_j$
- For lower bound analysis,
  - All elements are distinct

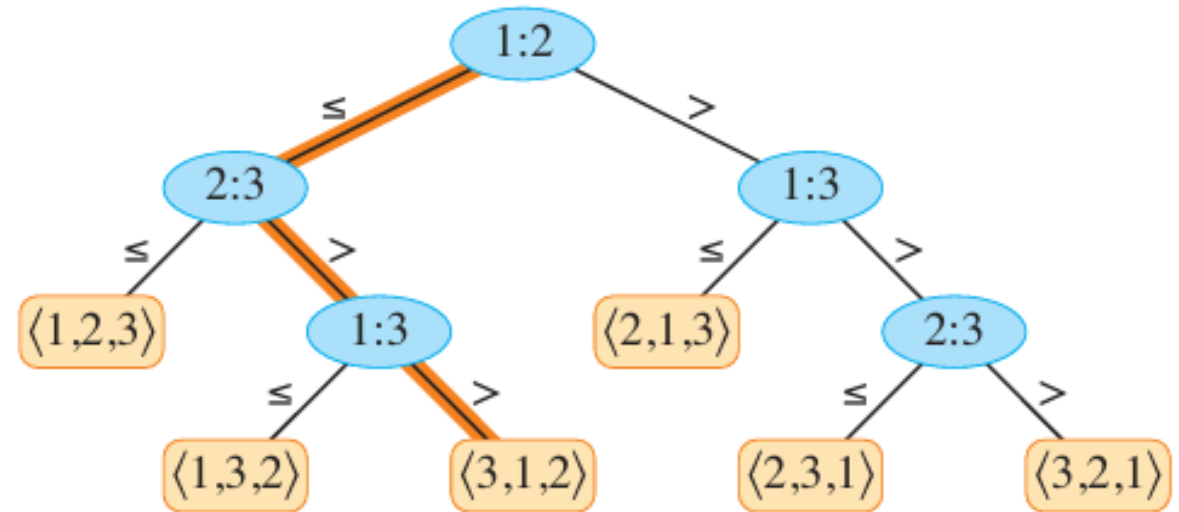
# Lower Bounds for Sorting

- The decision tree model
  - A full binary-tree
  - Node is either a leaf or has both children
  - Node represents the comparisons between two elements



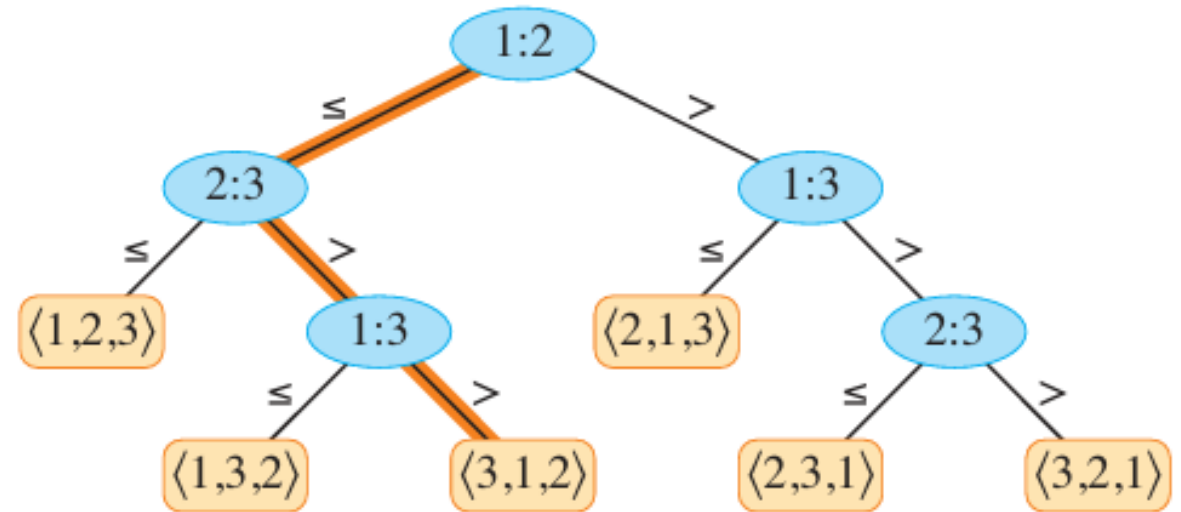
# Lower Bounds for Sorting

- The decision tree model
  - Leaf indicates a permutation of  $n$  elements
  - Internal nodes are annotated as  $i:j$
  - Internal node  $i:j$  indicates a comparison  $a_i \geq a_j$



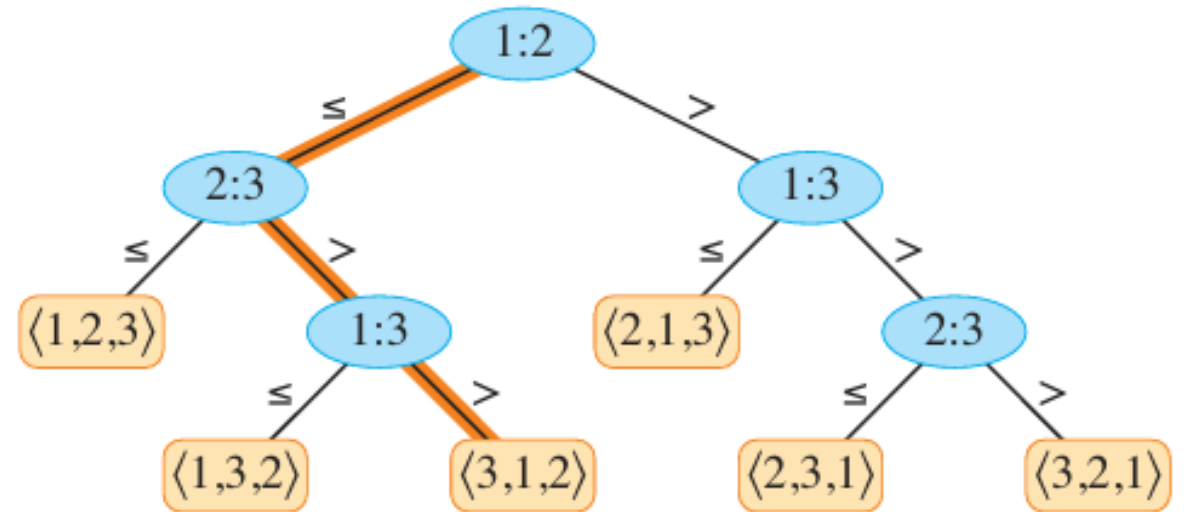
# Lower Bounds for Sorting

- Any correct sorting algorithm must be able to produce each permutation of its input
- Each of the  $n!$  permutations on  $n$  elements must appear as at least one of the leaves



# Lower Bounds for Sorting

- Worst-case comparisons
  - Longest simple path length from the root to any of its reachable leaves
  - Equals the height of the decision tree
- Can we estimate a bound of the height of such decision trees?



# Lower Bounds for Sorting

- Any comparison sort algorithm requires  $\Omega(n \log n)$  comparisons in the worst case.

- Proof:

- A binary tree of height  $h$  has no more than  $2^h$  leaves

$$n! \leq 2^h$$

$$\Theta(n \log n) = \log(n!) \leq h$$

- Merge sort and Heapsort are asymptotically optimal algorithms



# Counting Sort

- Assumes that inputs are integers in the range 0 to  $k$
- For an input  $x$ ,
  - Determine the number of elements less than or equal to  $x$
  - Place element  $x$  directly into its position in the output array
- Runs in  $\Theta(n + k)$
- How to handle duplicates?

# Counting Sort

COUNTING-SORT( $A, n, k$ )

```
1  let  $B[1:n]$  and  $C[0:k]$  be new arrays
2  for  $i = 0$  to  $k$ 
3       $C[i] = 0$ 
4  for  $j = 1$  to  $n$ 
5       $C[A[j]] = C[A[j]] + 1$ 
6  //  $C[i]$  now contains the number of elements equal to  $i$ .
7  for  $i = 1$  to  $k$ 
8       $C[i] = C[i] + C[i - 1]$ 
9  //  $C[i]$  now contains the number of elements less than or equal to  $i$ .
10 // Copy  $A$  to  $B$ , starting from the end of  $A$ .
11 for  $j = n$  downto 1
12      $B[C[A[j]]] = A[j]$ 
13      $C[A[j]] = C[A[j]] - 1$  // to handle duplicate values
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A							
2	5	3	0	2	3	0	3

C					
2	0	2	3	0	1

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A							
2	5	3	0	2	3	0	3

C (old)					
2	0	2	3	0	1

C (current state)					
2	2	4	7	7	8

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C (current state)						
2	2	4	6	7	8	

B							
						3	

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C (current state)						
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B							
	0					3	



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```

A							
2	5	3	0	2	3	0	3

C (current state)						
1	2	4	6	7	8	

B							
	0					3	

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```

A							
2	5	3	0	2	3	0	3

C (current state)					
0	2	2	4	7	7

B							
0	0	2	2	3	3	3	5

# Counting Sort

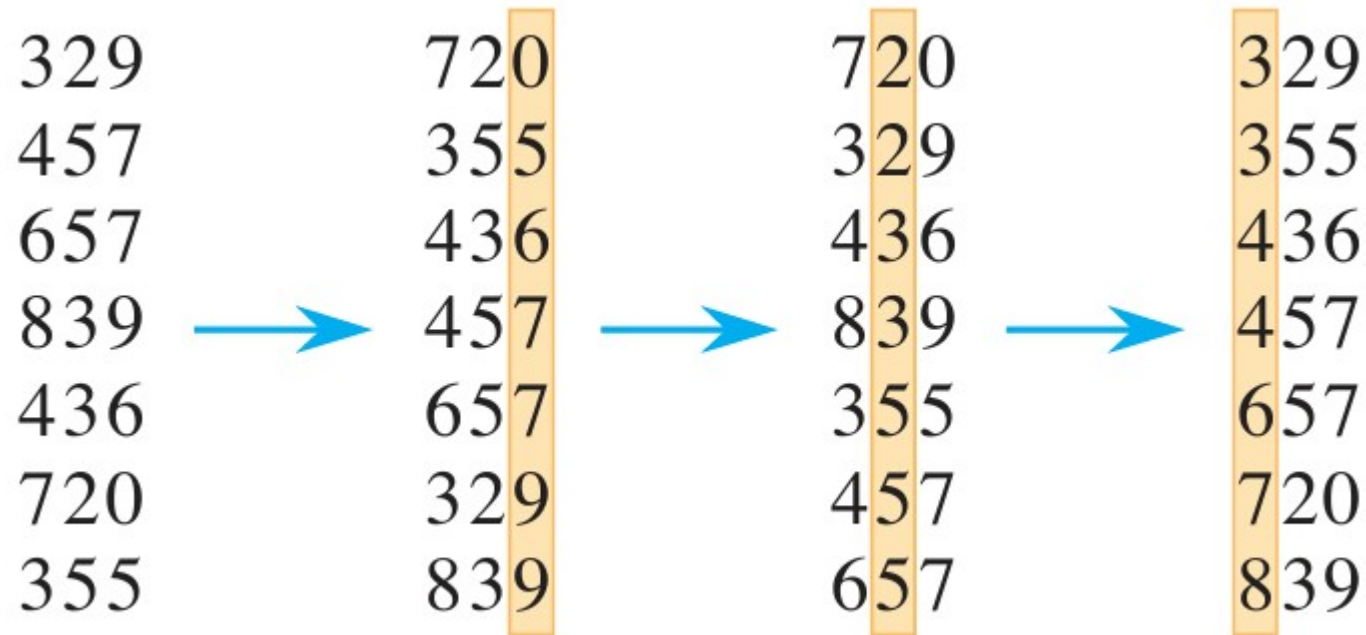
- Counting sort is stable
  - Elements with the same value appear in the output array in the same order as they do in the input array.

# Radix Sort

- We can sort the elements
  - By most significant (leftmost) digit
  - Then sort the resulting bins recursively
  - Finally combine the bins in order.
  - Generates many intermediate bins to track

# Radix Sort

- Let's start by the least significant bit
- For example,



# Radix Sort

**RADIX-SORT( $A, n, d$ )**

1   **for**  $i = 1$  **to**  $d$

2       use a stable sort to sort array  $A[1 : n]$  on digit  $i$

Radix-sort sorts  $n$   $d$ -digit number in  $O(d(n + k))$

# Bucket Sort

- Assumes that the input is uniformly distributed in a range
- Divides the range into  $n$  equal-sized subintervals, or **buckets**
- Distributes the inputs into the buckets
- The inputs are uniformly distributed
- Expected number of input per bucket is low
- Sort the numbers in each bucket
- Go through the buckets in order, listing the elements in each

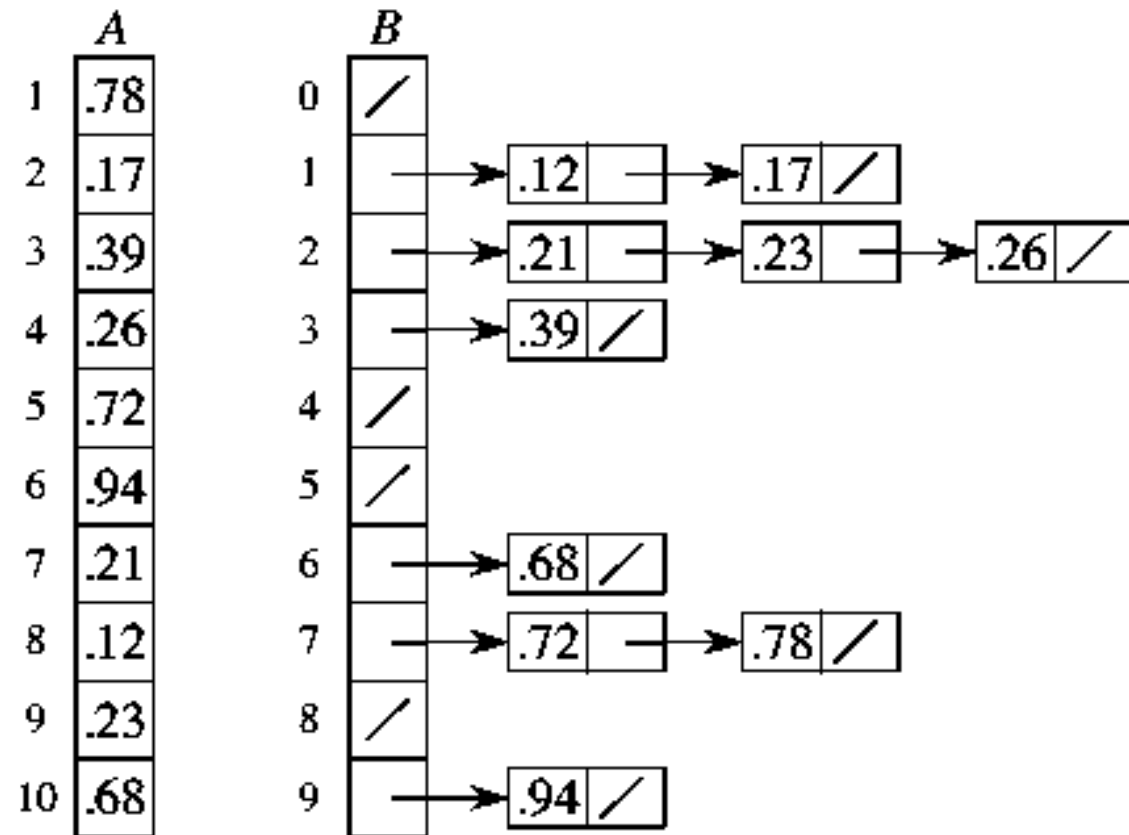


# Bucket Sort

BUCKET-SORT( $A, n$ )

- 1    **let**  $B[0:n-1]$  be a new array
- 2    **for**  $i = 0$  **to**  $n-1$
- 3        make  $B[i]$  an empty list
- 4    **for**  $i = 1$  **to**  $n$
- 5        insert  $A[i]$  into list  $B[\lfloor n \cdot A[i] \rfloor]$
- 6    **for**  $i = 0$  **to**  $n-1$
- 7        sort list  $B[i]$  with insertion sort
- 8    concatenate the lists  $B[0], B[1], \dots, B[n-1]$  together in order
- 9    **return** the concatenated lists

# Bucket Sort



• Runs in  $O(n)$

# Reference

- Sorting in Linear Time
  - CLRS 4<sup>th</sup> Ed. Chapter 8