

# Divide And Conquer

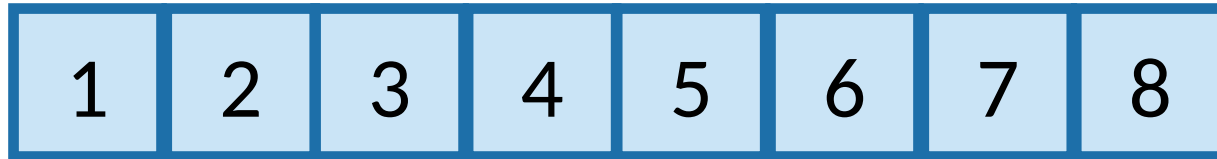
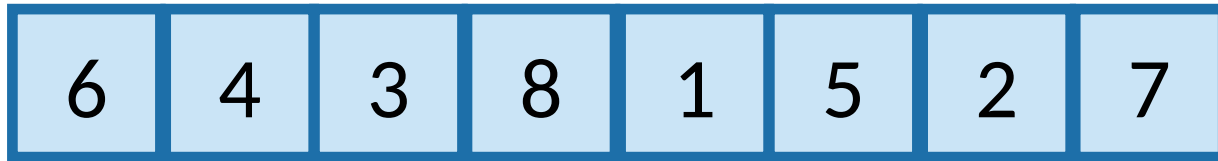
Merge Sort, Quick Sort

# Sorting

- Arrange an unordered list of elements in some order.
- Some common algorithms
  - Bubble Sort
  - Insertion Sort
  - Merge Sort
  - Quick Sort

# Sorting

- Important primitive
- For today, we'll pretend all elements are distinct.



Length of the list is  $n$

# Insertion Sort (Recap)

INSERTION-SORT( $A, n$ )

1   **for**  $i = 2$  **to**  $n$

2        $key = A[i]$

3       *// Insert  $A[i]$  into the sorted subarray  $A[1 : i - 1]$ .*

4        $j = i - 1$

5       **while**  $j > 0$  and  $A[j] > key$

6            $A[j + 1] = A[j]$

7            $j = j - 1$

8        $A[j + 1] = key$

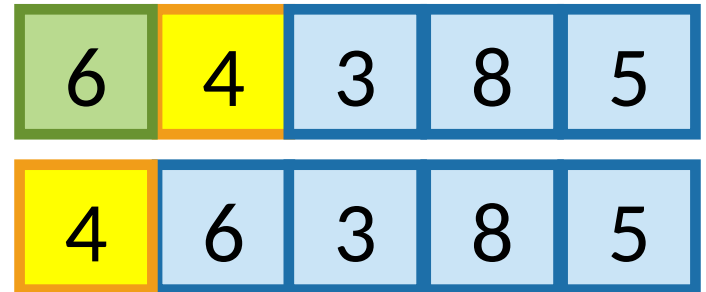
# Insertion Sort

## example

```
Insertion-Sort(A, n)
  for i = 1 to n - 1
    key = A[i]
    j = i - 1
    while j >= 0 and A[j] > key
      A[j + 1] = A[j]
      j = j - 1
    A[j + 1] = key
```



Start by moving A[1] toward the beginning of the list until you find something smaller (or can't go any further):



# Insertion Sort

example

```
Insertion-Sort(A, n)
  for i = 1 to n - 1
    key = A[i]
    j = i - 1
    while j >= 0 and A[j] > key
      A[j + 1] = A[j]
      j = j - 1
    A[j + 1] = key
```



Then move A[2]:

key = 3



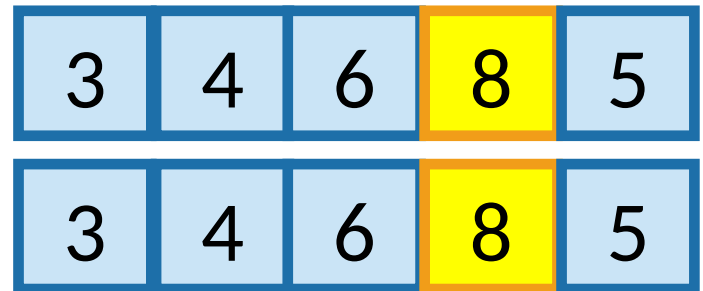
# Insertion Sort

example

```
Insertion-Sort(A, n)
  for i = 1 to n - 1
    key = A[i]
    j = i - 1
    while j >= 0 and A[j] > key
      A[j + 1] = A[j]
      j = j - 1
    A[j + 1] = key
```



Then move A[3]:  
key = 8



# Insertion Sort

example

```
Insertion-Sort(A, n)
  for i = 1 to n - 1
    key = A[i]
    j = i - 1
    while j >= 0 and A[j] > key
      A[j + 1] = A[j]
      j = j - 1
    A[j + 1] = key
```



Then move A[4]:  
key = 5

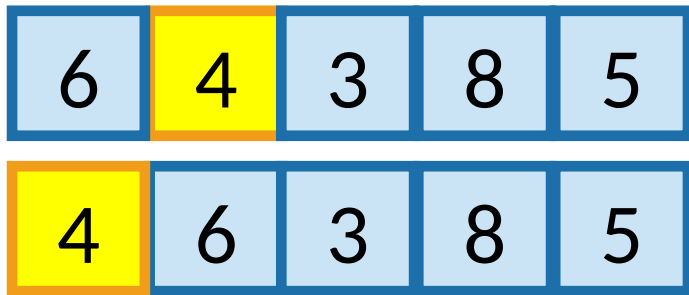




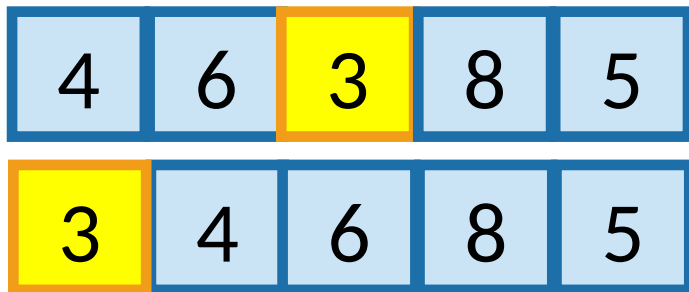
# Insertion Sort

example

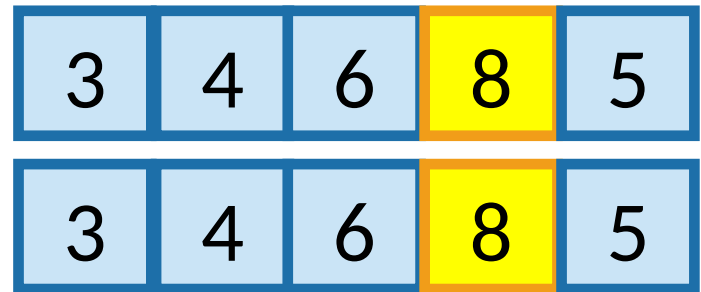
Start by moving  $A[1]$  toward the beginning of the list until you find something smaller (or can't go any further):



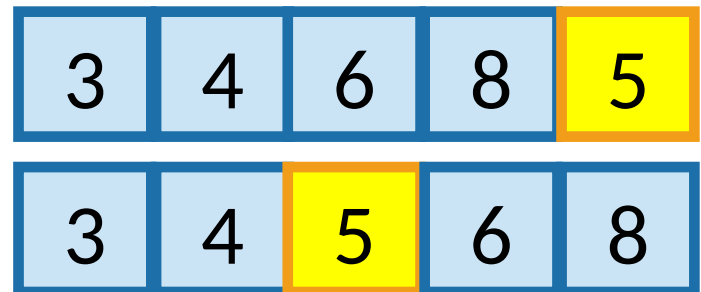
Then move  $A[2]$ :



Then move  $A[3]$ :



Then move  $A[4]$ :



Then we are done!

# Why does this work?

- Say you have a sorted list, 

3	4	6	8
---	---	---	---

, and another element 

5
---

.
- Insert 

5
---

 right after the largest thing that's still smaller than 

5
---

. (Aka, right after 

4
---

).
- Then you get a sorted list: 

3	4	5	6	8
---	---	---	---	---

This sounds like a job for...

**Proof By  
Induction!**

# Outline of a proof by induction

Let  $A$  be a list of length  $n$

- **Base case:**
  - $A[:1]$  is sorted at the end of the 0'th iteration. ✓
- **Inductive Hypothesis:**
  - $A[:i+1]$  is sorted at the end of the  $i^{\text{th}}$  iteration (of the outer loop).
- **Inductive step:**
  - For any  $0 < k < n$ , if the inductive hypothesis holds for  $i=k-1$ , then it holds for  $i=k$ .
  - Aka, if  $A[:k]$  is sorted at step  $k-1$ , then  $A[:k+1]$  is sorted at step  $k$  (previous slide)
- **Conclusion:**
  - The inductive hypothesis holds for  $i = 0, 1, \dots, n-1$ .
  - In particular, it holds for  $i=n-1$ .
  - At the end of the  $n-1^{\text{st}}$  iteration (aka, at the end of the algorithm),  $A[:n] = A$  is sorted.
  - That's what we wanted! ✓

# Worst-case Analysis

- In this class we will use worst-case analysis:
  - We assume that a “bad guy” produces a worst-case input for our algorithm, and we measure performance on that worst-case input.
- How many operations are performed by the insertion sort algorithm on the worst-case input?

# How fast is InsertionSort?

- Let's count the number of operations!

```
def InsertionSort(A):  
    for i in range(1, len(A)):  
        current = A[i]  
        j = i-1  
        while j >= 0 and A[j] > current:  
            A[j+1] = A[j]  
            j -= 1  
        A[j+1] = current
```

By my count\*...

- $2n^2 - n - 1$  variable assignments
- $2n^2 - n - 1$  increments/decrements
- $2n^2 - 4n + 1$  comparisons
- ...

\*A complete count of the operation will be insignificant from later discussion.

# In this class we will use...

- **Big-Oh notation!**
- Gives us a meaningful way to talk about the running time of an algorithm, independent of programming language, computing platform, etc., without having to count all the operations.

# Main idea:

Focus on how the runtime **scales** with  $n$  (the input size).

Some examples...

(Heuristically: only pay attention to the largest function of  $n$  that appears.)

Number of operations		Asymptotic Running Time	
Number of operations	Asymptotic Running Time	Number of operations	Asymptotic Running Time
$\frac{1}{10} \cdot n^2 + 100$	$O(n^2)$	$\frac{1}{10} \cdot n^2 + 100$	$O(n^2)$
$0.063 \cdot n^2 - .5n + 12.7$	$O(n^2)$	$0.063 \cdot n^2 - .5n + 12.7$	$O(n^2)$
$100 \cdot n^{1.5} - 10^{10000}\sqrt{n}$	$O(n^{1.5})$	$100 \cdot n^{1.5} - 10^{10000}\sqrt{n}$	$O(n^{1.5})$
$11 \cdot n \log(n) + 1$	$O(n \log(n))$	$11 \cdot n \log(n) + 1$	$O(n \log(n))$
Number of operations	Asymptotic Running Time	Number of operations	Asymptotic Running Time
$\frac{1}{10} \cdot n^2 + 100$	$O(n^2)$	$\frac{1}{10} \cdot n^2 + 100$	$O(n^2)$
$0.063 \cdot n^2 - .5n + 12.7$	$O(n^2)$	$0.063 \cdot n^2 - .5n + 12.7$	$O(n^2)$
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$\frac{1}{10} \cdot n^2 + 100$	$O(n^2)$	$\frac{1}{10} \cdot n^2 + 100$	$O(n^2)$
$0.063 \cdot n^2 - .5n + 12.7$	$O(n^2)$	$0.063 \cdot n^2 - .5n + 12.7$	$O(n^2)$
$100 \cdot n^{1.5} - 10^{10000}\sqrt{n}$	$O(n^{1.5})$	$100 \cdot n^{1.5} - 10^{10000}\sqrt{n}$	$O(n^{1.5})$
$11 \cdot n \log(n) + 1$	$O(n \log(n))$	$11 \cdot n \log(n) + 1$	$O(n \log(n))$

We say this algorithm is “asymptotically faster” than the others.



# Informal definition for $O(\dots)$

- Let  $T(n)$ ,  $g(n)$  be functions of positive integers.
  - Think of  $T(n)$  as a runtime: positive and increasing in  $n$ .
- We say “ $T(n)$  is  $O(g(n))$ ” if:
  - for all large enough  $n$ ,
  - $T(n)$  is at most some constant multiple of  $g(n)$ .

Here, “constant” means “some number that doesn’t depend on  $n$ .”

# Formal definition of $O(\dots)$

- Let  $T(n)$ ,  $g(n)$  be functions of positive integers.
  - Think of  $T(n)$  as a runtime: positive and increasing in  $n$ .
- Formally,

$$T(n) = O(g(n))$$

“If and only if”



“For all”



$$\exists c > 0, n_0 \text{ s.t. } \forall n \geq n_0,$$

“There exists”



$$T(n) \leq c \cdot g(n)$$

# $\Omega(\dots)$ means a lower bound

- We say “ $T(n)$  is  $\Omega(g(n))$ ” if, for large enough  $n$ ,  $T(n)$  is at least as big as a constant multiple of  $g(n)$ .

- Formally,

$$T(n) = \Omega(g(n))$$

$$\Leftrightarrow$$

$$\exists c > 0, n_0 \text{ s.t. } \forall n \geq n_0,$$

$$c \cdot g(n) \leq T(n)$$

Switched these!!

$\Theta(\dots)$  means both!

- We say “ $T(n)$  is  $\Theta(g(n))$ ” iff both:

$$T(n) = O(g(n))$$

and

$$T(n) = \Omega(g(n))$$

# Insertion Sort: running time

```
def InsertionSort(A):  
    for i in range(1, len(A)):  
        current = A[i]  
        j = i-1  
        while j >= 0 and A[j] > current:  
            A[j+1] = A[j]  
            j -= 1  
        A[j+1] = current
```

n-1 iterations  
of the outer  
loop

In the worst case, about  $n$  iterations of this inner loop

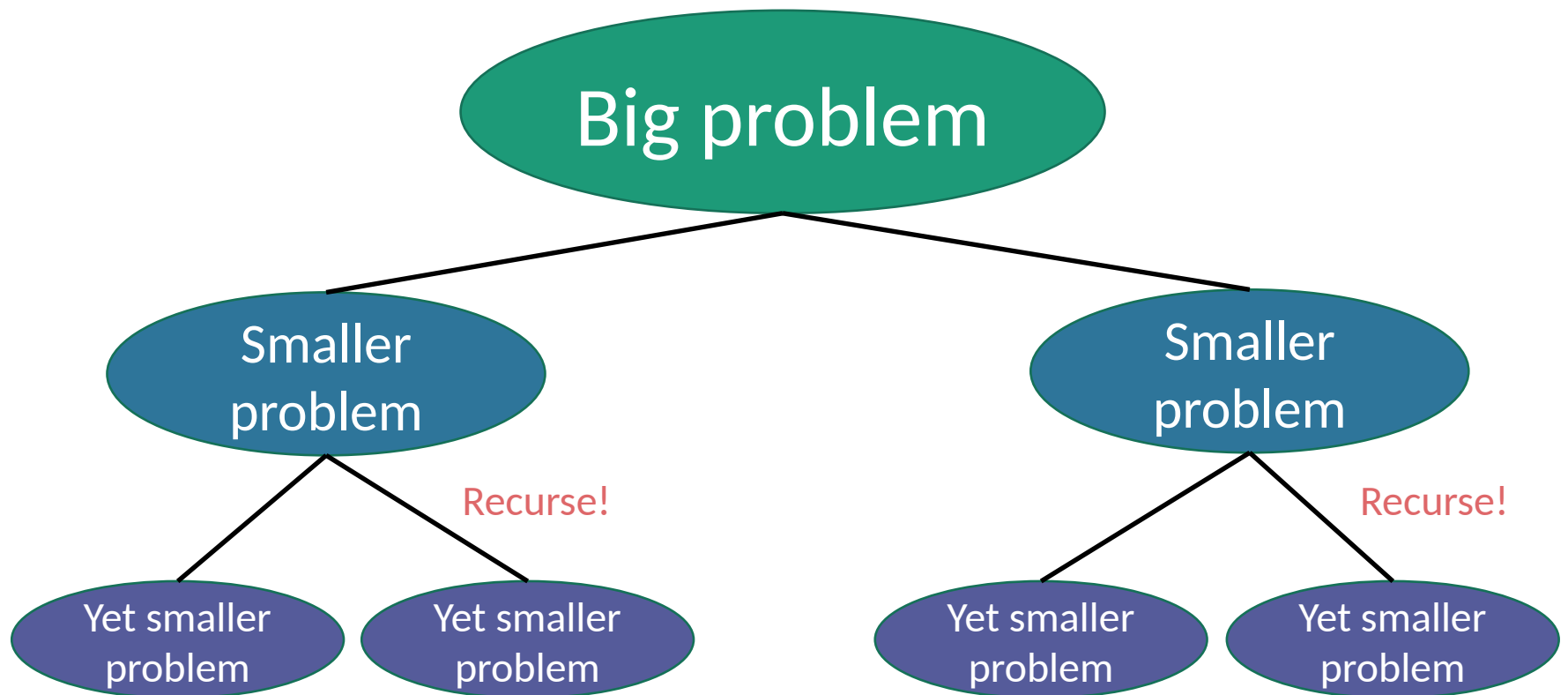
The running time of insertion sort is  $O(n^2)$ .

**InsertionSort** is an algorithm that correctly sorts an arbitrary  $n$ -element array in time  $O(n^2)$ .

Can we do better?

# Can we do better?

- MergeSort: a **divide-and-conquer** approach



# Divide-And-Conquer

- **Divide**

- Divide the problem into one or more smaller instances of the same problem.

- **Conquer**

- Solve them smaller problems recursively.

- **Combine**

- Merge/ combine the solutions to solve the original problem.



# Why insertion sort works? (Recap)

- Say you have a sorted list, 

3	4	6	8
---	---	---	---

, and another element 

5
---

.
- Insert 

5
---

 right after the largest thing that's still smaller than 

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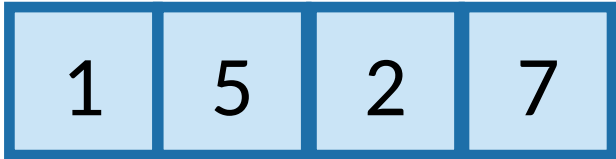
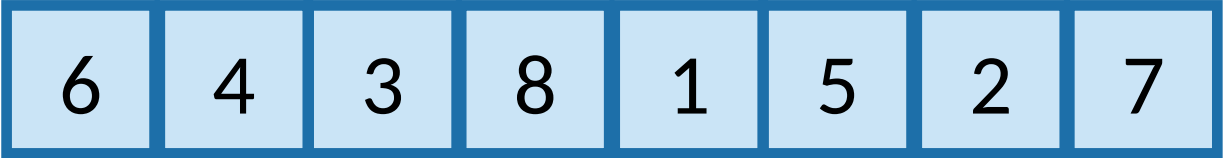
).
- Then you get a sorted list: 

3	4	5	6	8
---	---	---	---	---
- What if you have two sorted lists?  

3	4	6	8
---	---	---	---

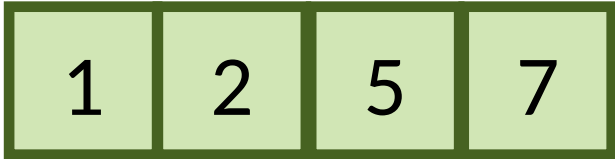
2	5	9	11
---	---	---	----

# MergeSort

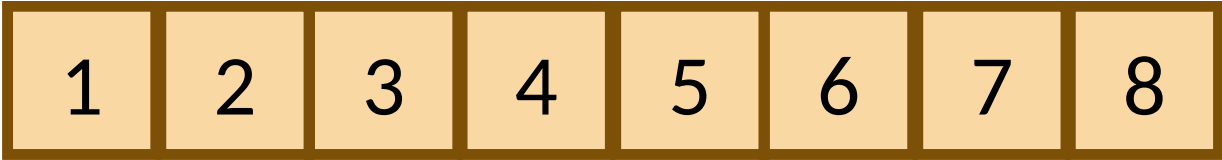


Recursive magic!

Recursive magic!



MERGE!



# MergeSort Pseudocode

**MERGESORT**(A):

- $n = \text{length}(A)$
- **if**  $n \leq 1$ :
  - **return** A

If A has length 1,  
It is already sorted!
- $L = \text{MERGESORT}(A[0 : n/2])$ 

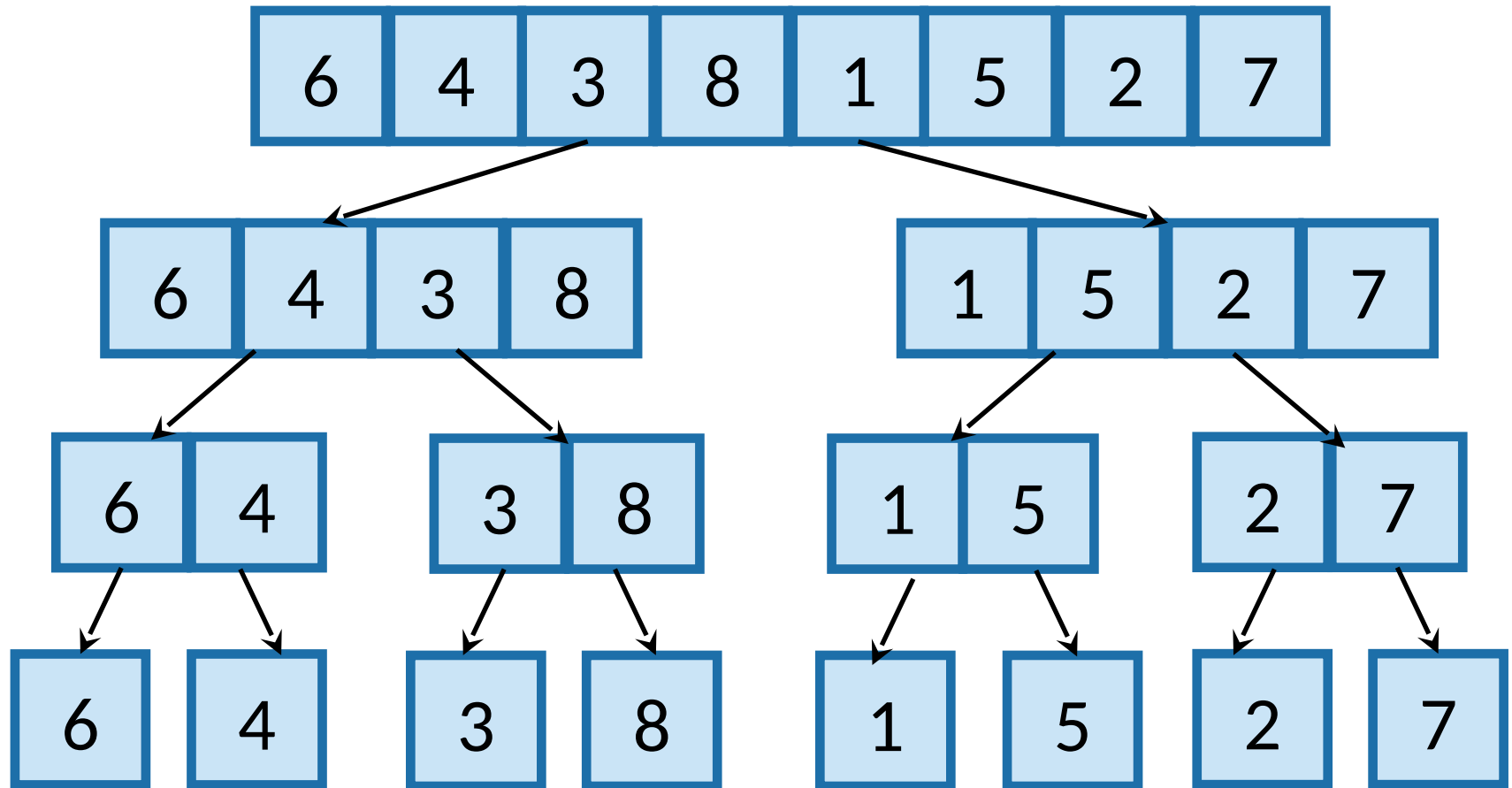
Sort the left half
- $R = \text{MERGESORT}(A[n/2 : n])$ 

Sort the right half
- **return** **MERGE**(L, R) 

Merge the two halves

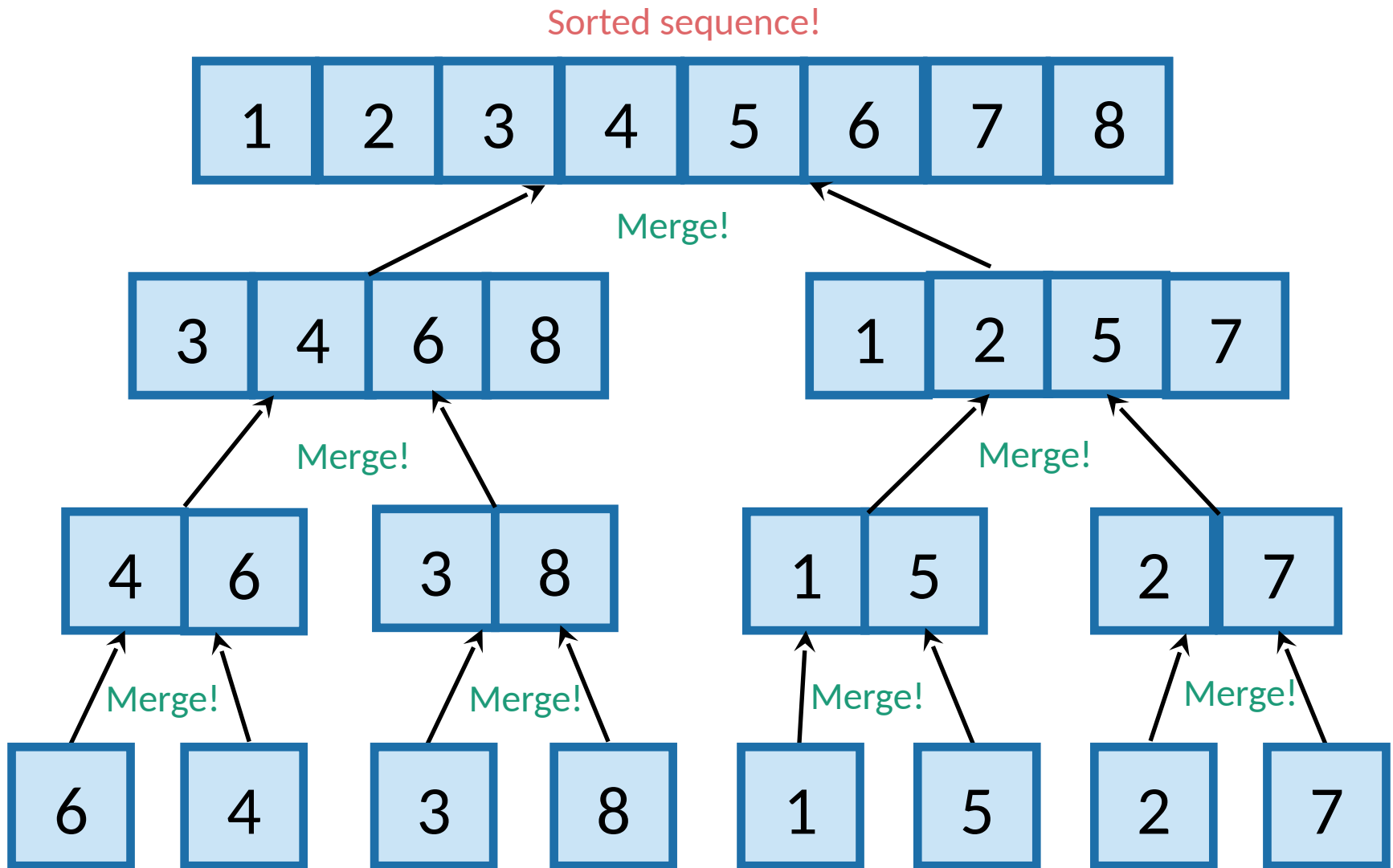
# What actually happens?

First, recursively break up the array all the way down to the base cases



This array of length 1 is sorted!

# Then, merge them all back up!



A bunch of sorted lists of length 1 (in the order of the original sequence).

# Does it work?

- Yet another job for proof by induction!!!
  - Try it yourself.

Assume that  $n$  is a power of 2  
for convenience.

# It's fast

## CLAIM:

MergeSort runs in time  $O(n \log(n))$

- Proof coming soon.
- But first, how does this compare to InsertionSort?
  - Recall InsertionSort ran in time  $O(n^2)$ .
  - $\log(n)$  grows much more slowly than  $n$
  - $n \log(n)$  grows much more slowly than  $n^2$

Assume that  $n$  is a power of 2  
for convenience.

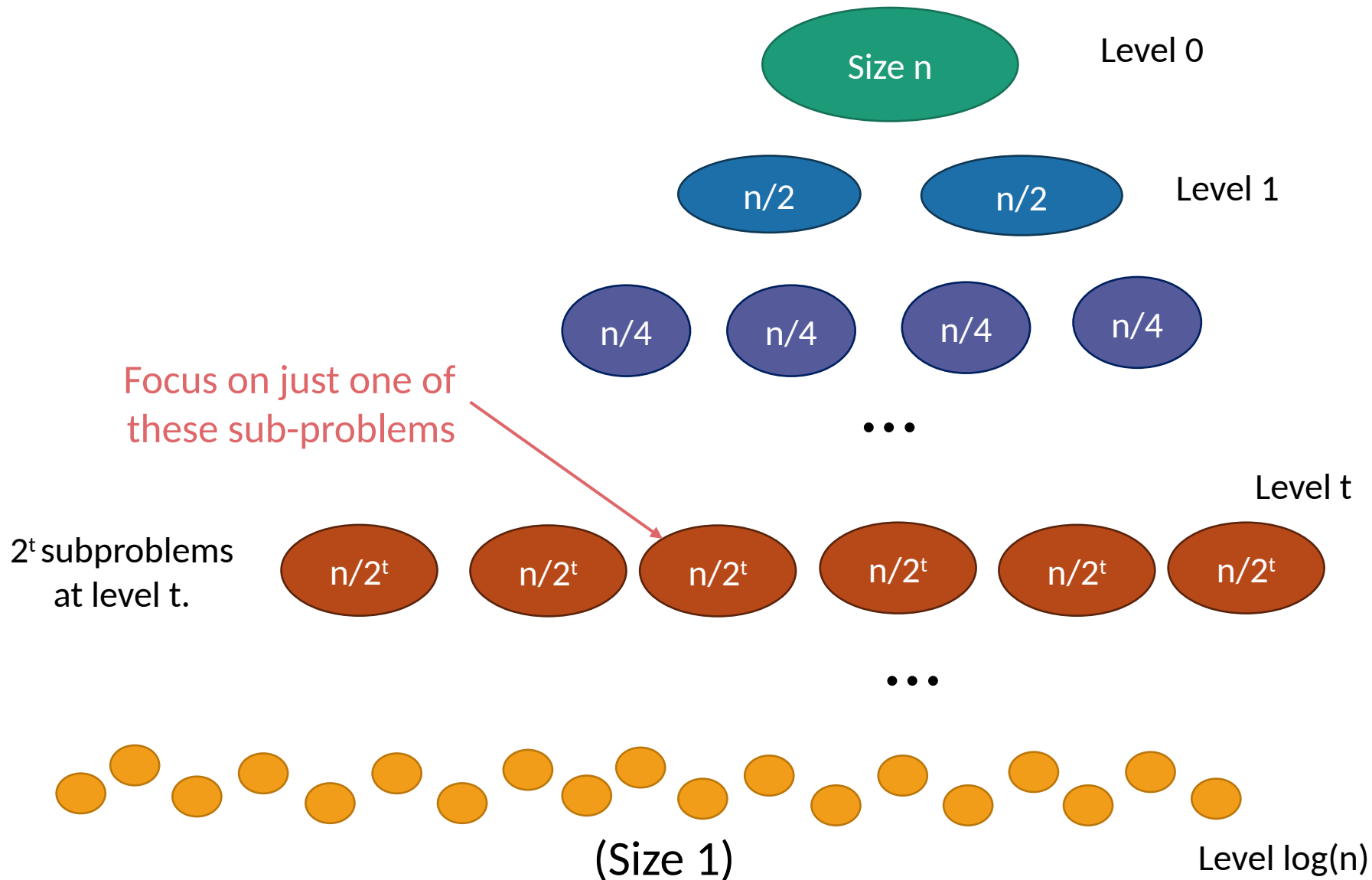
# Now let's prove the claim

• CLAIM:

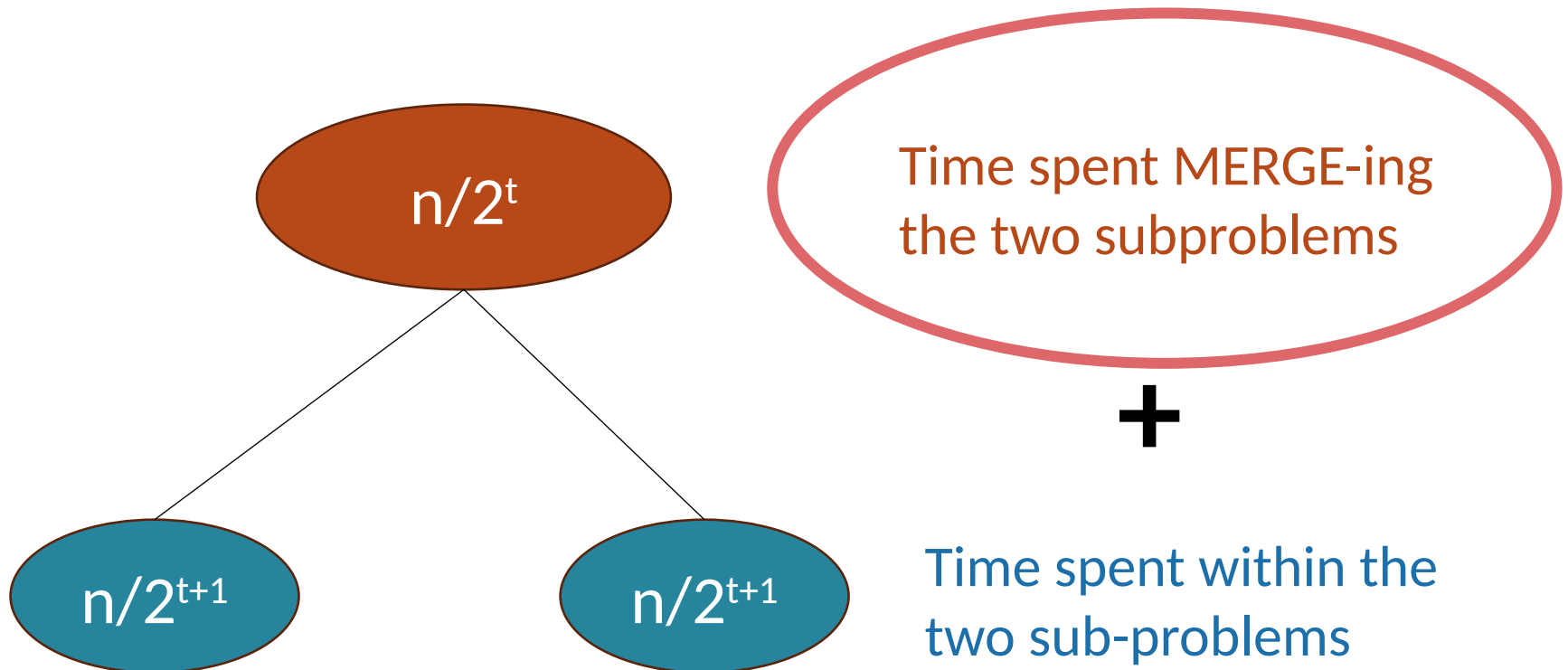
MergeSort runs in time  $O(n \log(n))$



# Let's prove the claim

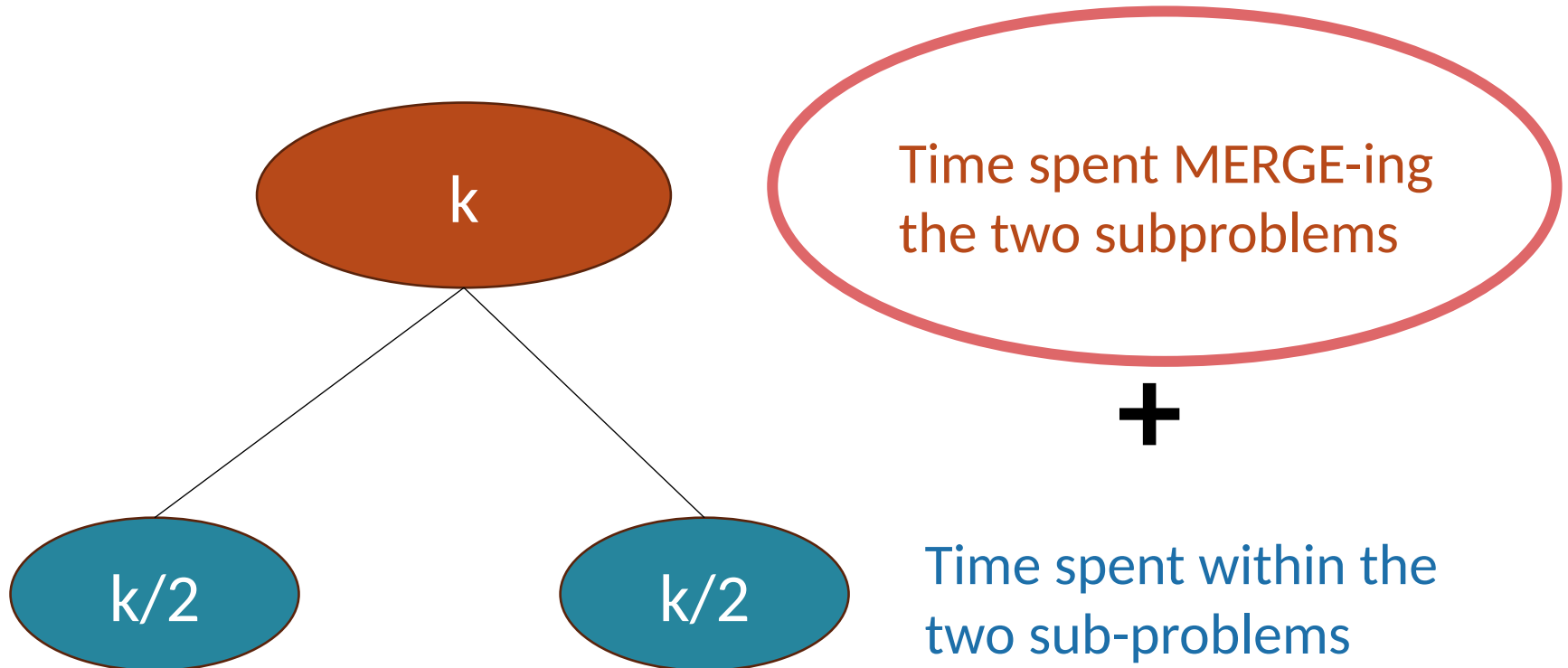


# How much work in this sub-problem?

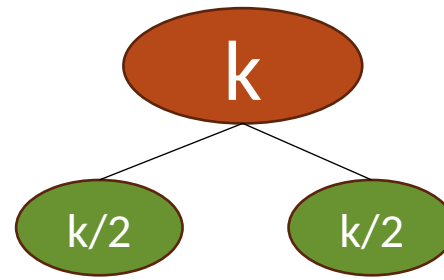


# How much work in this sub-problem?

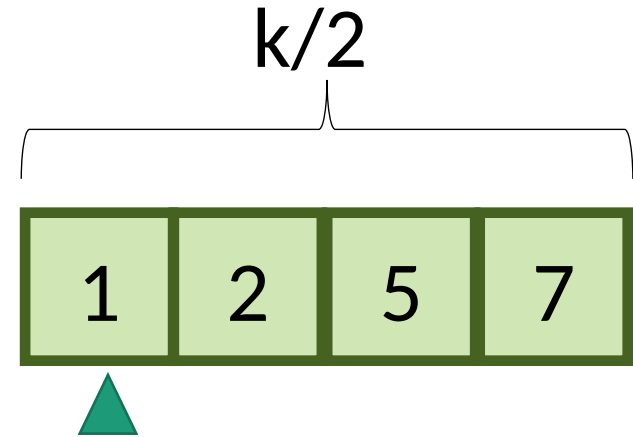
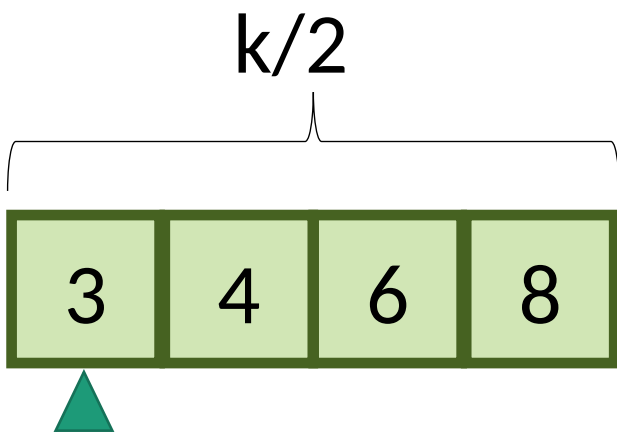
Let  $k=n/2^t$ ...



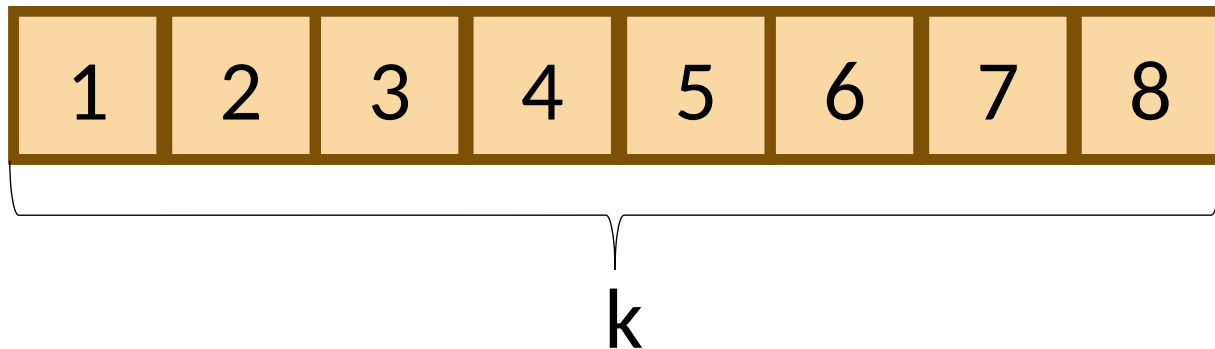
# How long does it take to MERGE?



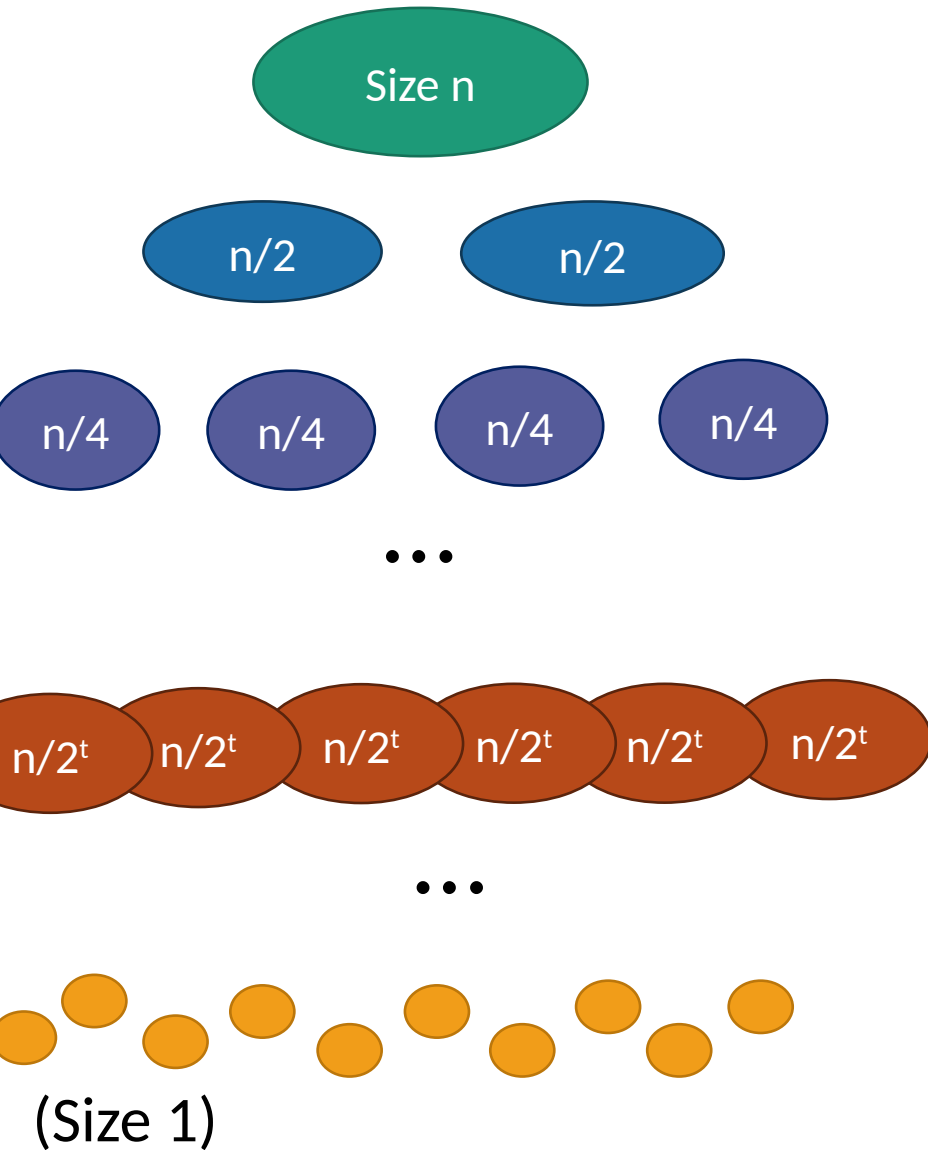
Answer: It takes time  $O(k)$ , since we just walk across the list once.



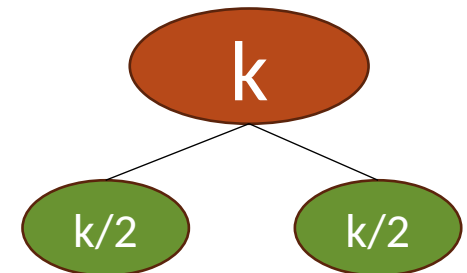
MERGE!



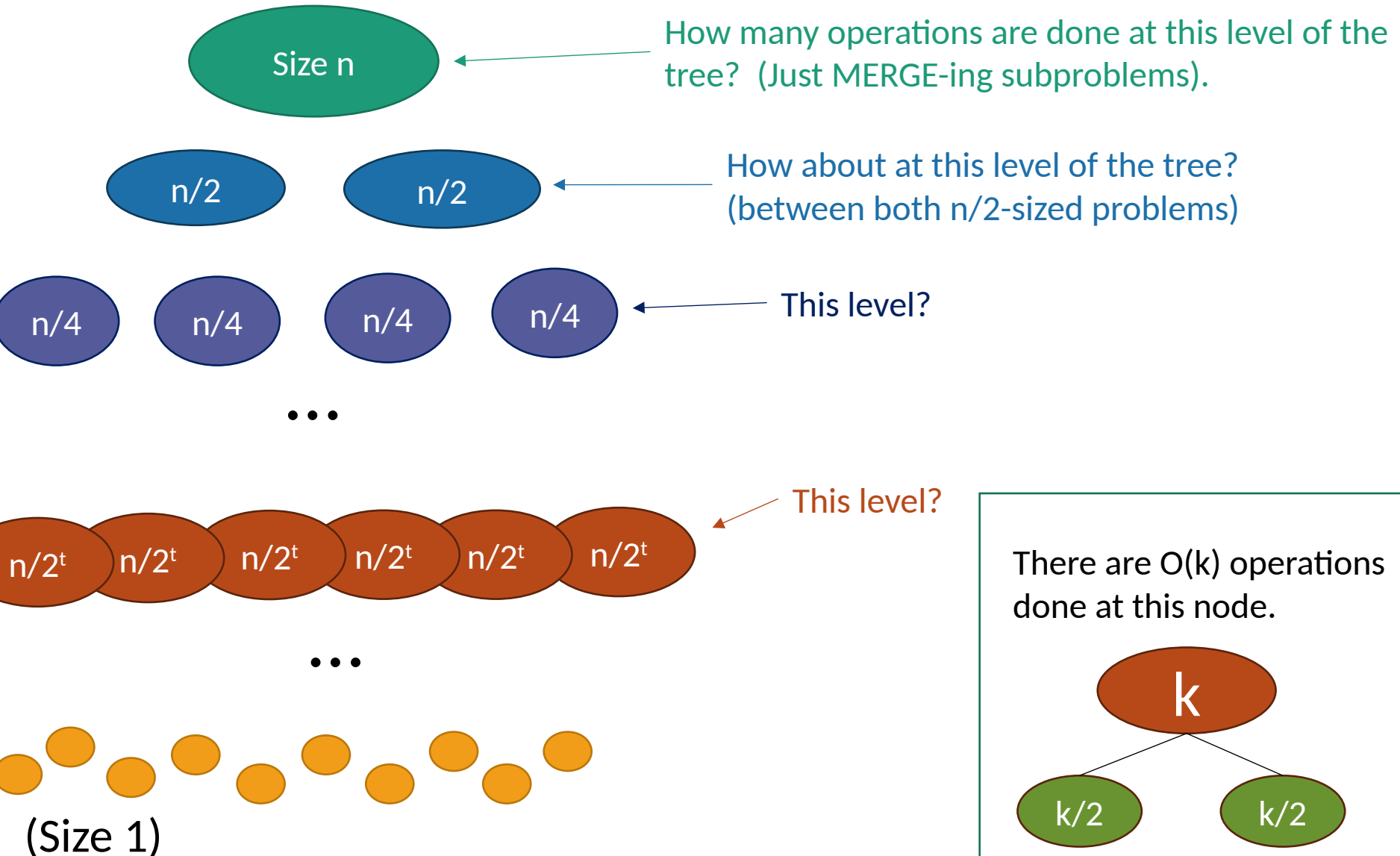
# Recursion tree



There are  $O(k)$  operations done at this node.

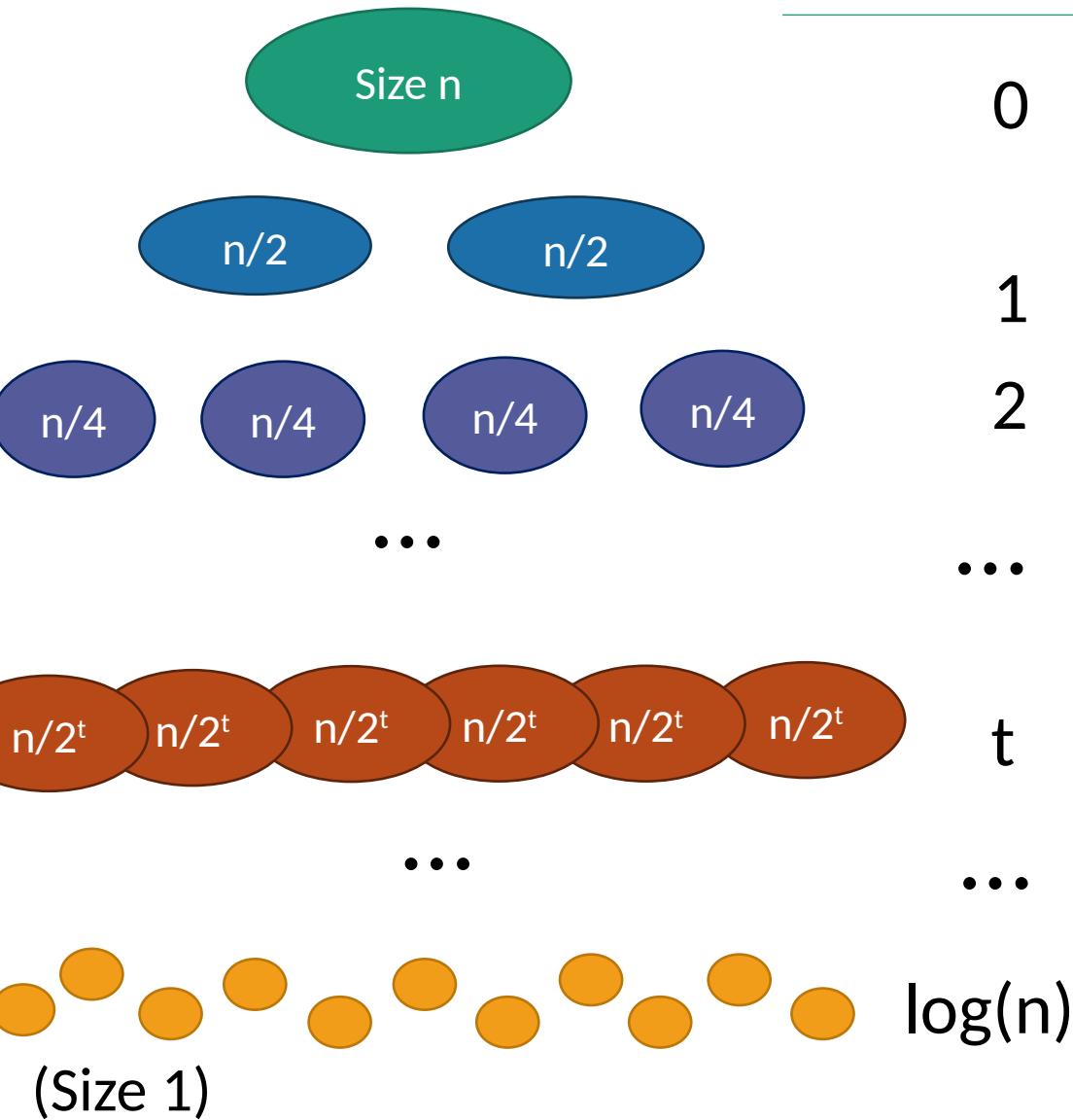


# Recursion tree



Work this out yourself!

# Recursion tree



Work this out yourself!

Level	# problems	Size of each problem	Amount of work at this level
0	1	n	O(n)
1	2	n/2	O(n)
2	4	n/4	O(n)
...	...	...	...
t	2 <sup>t</sup>	n/2 <sup>t</sup>	O(n)
...	...	...	...
log(n)	n	1	O(n)

# Total runtime...

- $O(n)$  steps per level, at every level
- $\log(n) + 1$  levels
- $O( n \log(n) )$  total!

That was the claim!



# What have we learned?

- MergeSort correctly sorts a list of  $n$  integers in time  $O(n \log(n))$ .
- That's (asymptotically) better than InsertionSort!

# Can we do better?

- Any deterministic compare-based sorting algorithm must make  $\Omega(n \log n)$  compares in the worst-case.
  - How to prove this?
- Is there any other way to sort an array efficiently?

# QuickSort

QuickSort: another **divide-and-conquer** approach

- **Divide**
  - Partition the array  $A[1:n]$  into two (possibly empty) subarrays  $A[1:q-1]$  (the low side) and  $A[q+1:n]$  (the high side)
  - Each element in the low side of the partition is  $\leq A[q]$   
Each element in the high side is of the partition  $\geq A[q]$ .
  - Compute the index  $q$  of the pivot as part of this partitioning procedure.
- **Conquer**
  - Recursively sort the subarrays  $A[1:q-1]$  and  $A[q+1:n]$
- **Combine**
  - Already sorted

# Pseudocode of QuickSort

```
QUICKSORT(A, p, r)
    if p < r
        q = PARTITION(A, p, r)
        QUICKSORT(A, p, q - 1)
        QUICKSORT(A, q+1, r)
```

# PARTITION

```
PARTITION(A, p, r)
```

```
    x = A[r]
```

```
    i = p - 1
```

```
    for j = p to r - 1
```

```
        if A[j] <= x
```

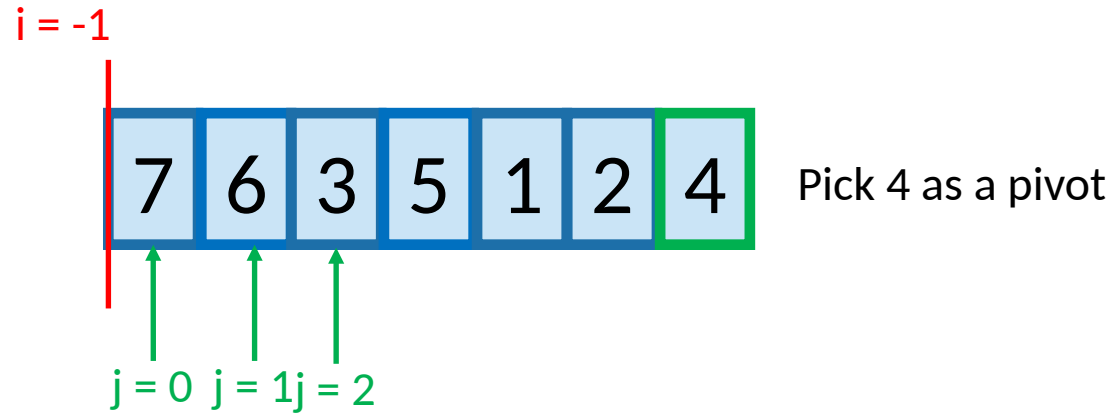
```
            i = i + 1
```

```
            exchange A[i] with A[j]
```

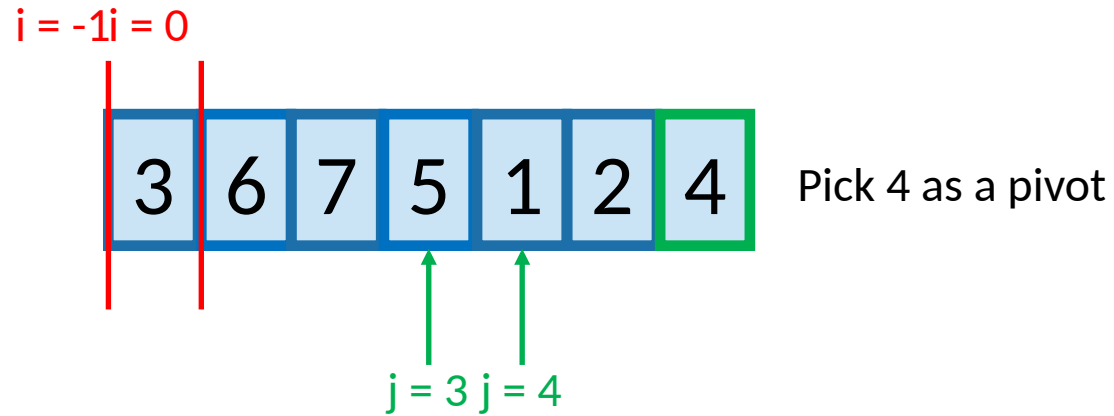
```
exchange A[i + 1] with A[r]
```

```
return i + 1
```

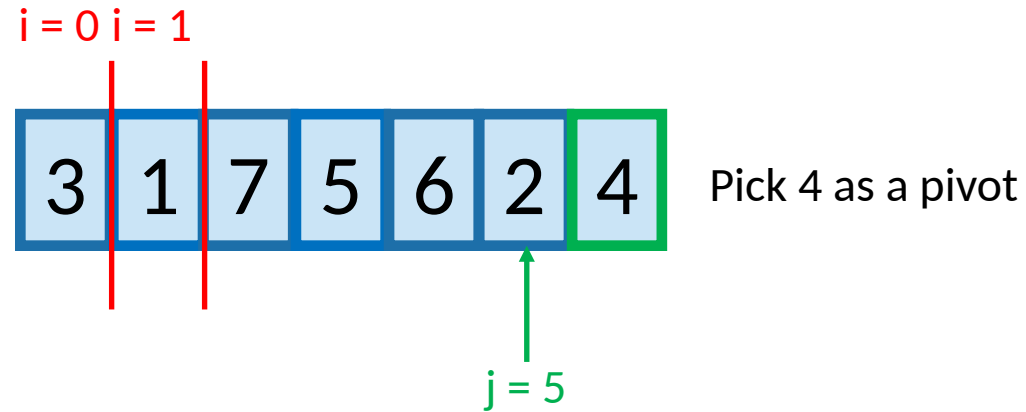
# Example of PARTITION



# Example of PARTITION

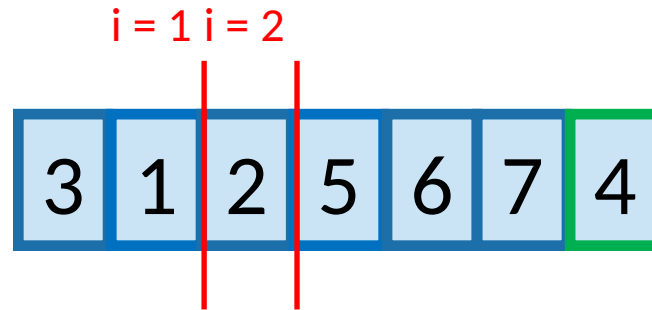


# Example of PARTITION



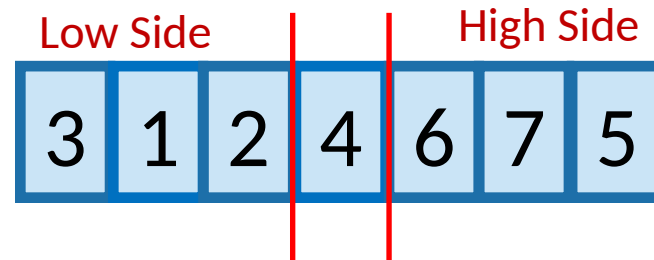


# Example of PARTITION



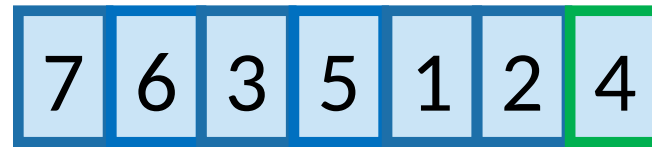
Pick 4 as a pivot

# Example of PARTITION



Set the pivot in place

# Example of recursive calls



Pick 4 as a pivot

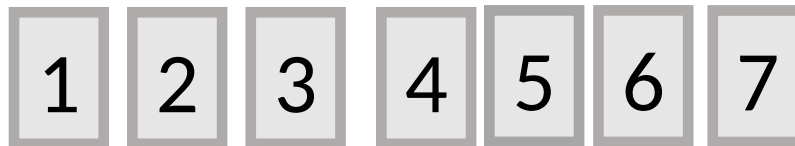


Partition on either side of 4

Recurse on [312]  
and pick 2 as a  
pivot.



Recurse on [67] and pick 7  
as a pivot.



# QuickSort Runtime Analysis

$T(n)$  = The worst-case running time on a problem of size  $n$

- Worst-case partitioning

$$T(n) = T(n - 1) + T(0) + \Theta(n)$$

- Best-case partitioning

$$T(n) = 2T(n/2) + \Theta(n)$$

# Recurrences

- An equation that describes a function in terms of its value on other, typically smaller, arguments.
  - Recursive Case
    - Involves the recursive invocation of the function on different (usually smaller) inputs
  - Base Case
    - Does not involve a recursive invocation

# Algorithmic Recurrences

- A recurrence  $T(n)$  is algorithmic if, for every sufficiently large threshold constant  $n_0 < n$ , the following two properties hold,
  1. For all  $n < n_0$ , we have  $T(n) = \Theta(1)$ .
  2. For all  $n \geq n_0$ , every path of recursion terminates in a defined base case within a finite number of recursive invocations.

# Solving Recurrences

- Substitution Method
  - Guess a solution
  - Use mathematical induction to prove the guess

# Substitution Method

$$T(n) = 2T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + \Theta(n)$$

- Guess
  - $T(n) = O(n \lg n)$
- We need to prove,
  - $T(n) \leq cn \lg n$  for all,  $n_0 \leq n$
  - For specific choice of  $c > 0$  and  $n_0 > 0$



# Substitution Method

- Inductive Hypothesis

- $T(n') \leq cn' \lg n'$  for all  $n_0 < n' < n$  and  $2n_0 \leq n$

$$T(n) = 2T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + \Theta(n)$$

$$T(n) \leq 2c \left\lfloor \frac{n}{2} \right\rfloor \lg \left(\left\lfloor \frac{n}{2} \right\rfloor\right) + \Theta(n)$$

$$T(n) \leq cn \lg \left(\frac{n}{2}\right) + \Theta(n)$$

$$T(n) \leq cn \lg(n) - cn \lg 2 + \Theta(n)$$

$$T(n) \leq cn \lg(n) - cn + \Theta(n)$$

$$T(n) \leq cn \lg(n) \quad \text{If } n_0 \text{ is sufficiently large and } cn \text{ dominates } \Theta(n)$$

# Substitution Method

- Base Case
  - $n_0 \leq n < 2n_0$
- Assuming
  - $n_0 = 2$
  - $c = \max(T(2), T(3))$
- We get  $T(n) \leq cn \lg n$

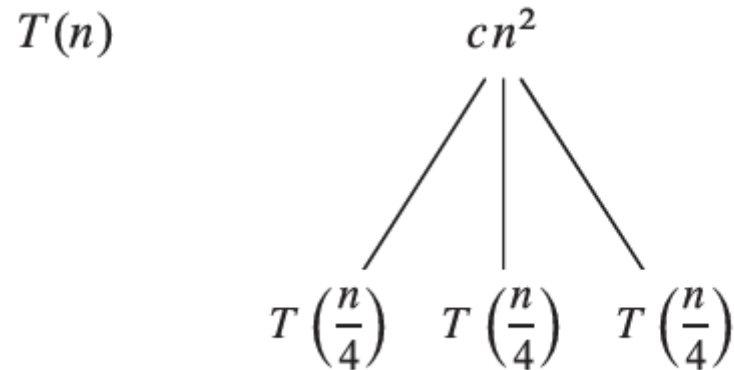
# Solving Recurrences

- Substitution Method
  - Guess a solution
  - Use mathematical induction to prove the guess
  - Making a good guess may be difficult
  - Need to be careful about common pitfalls.

# Recursion Tree

- Consider the recurrence

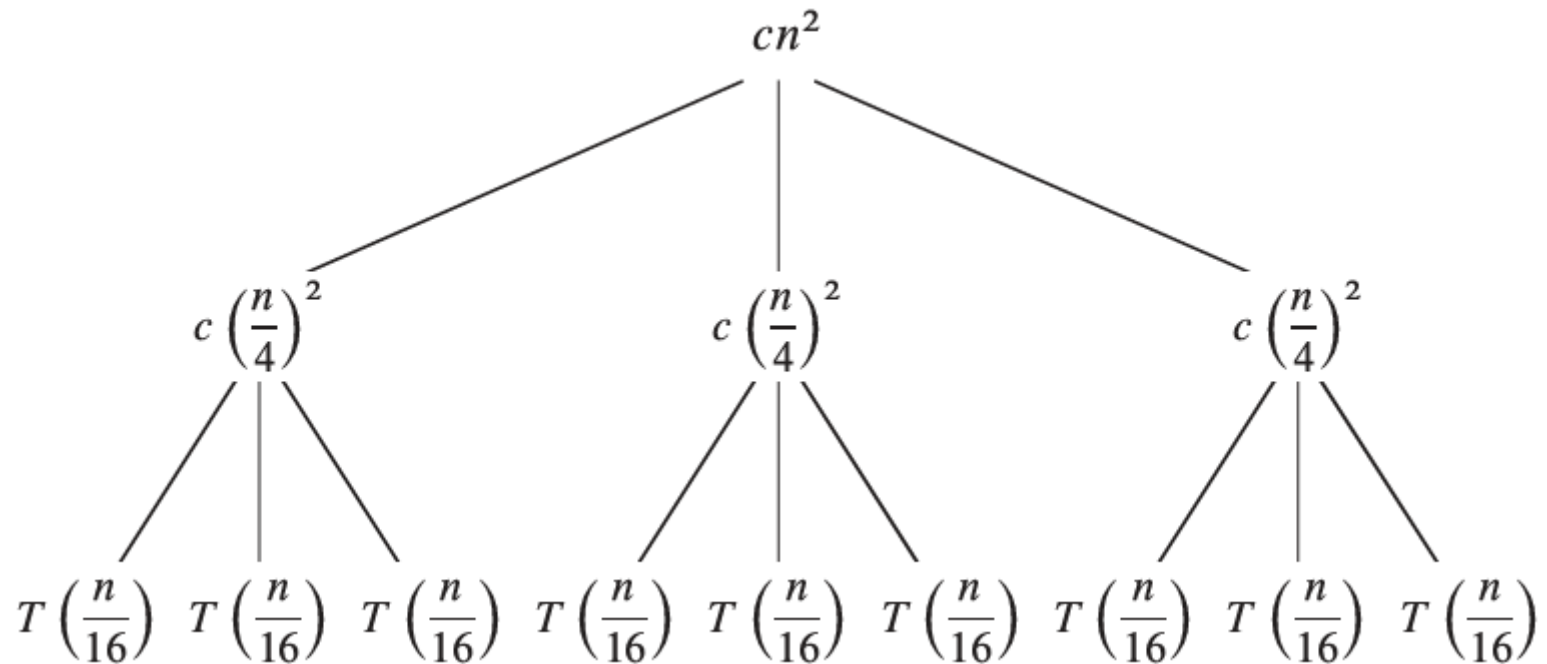
$$T(n) = 3 T\left(\frac{n}{4}\right) + \Theta(n^2)$$



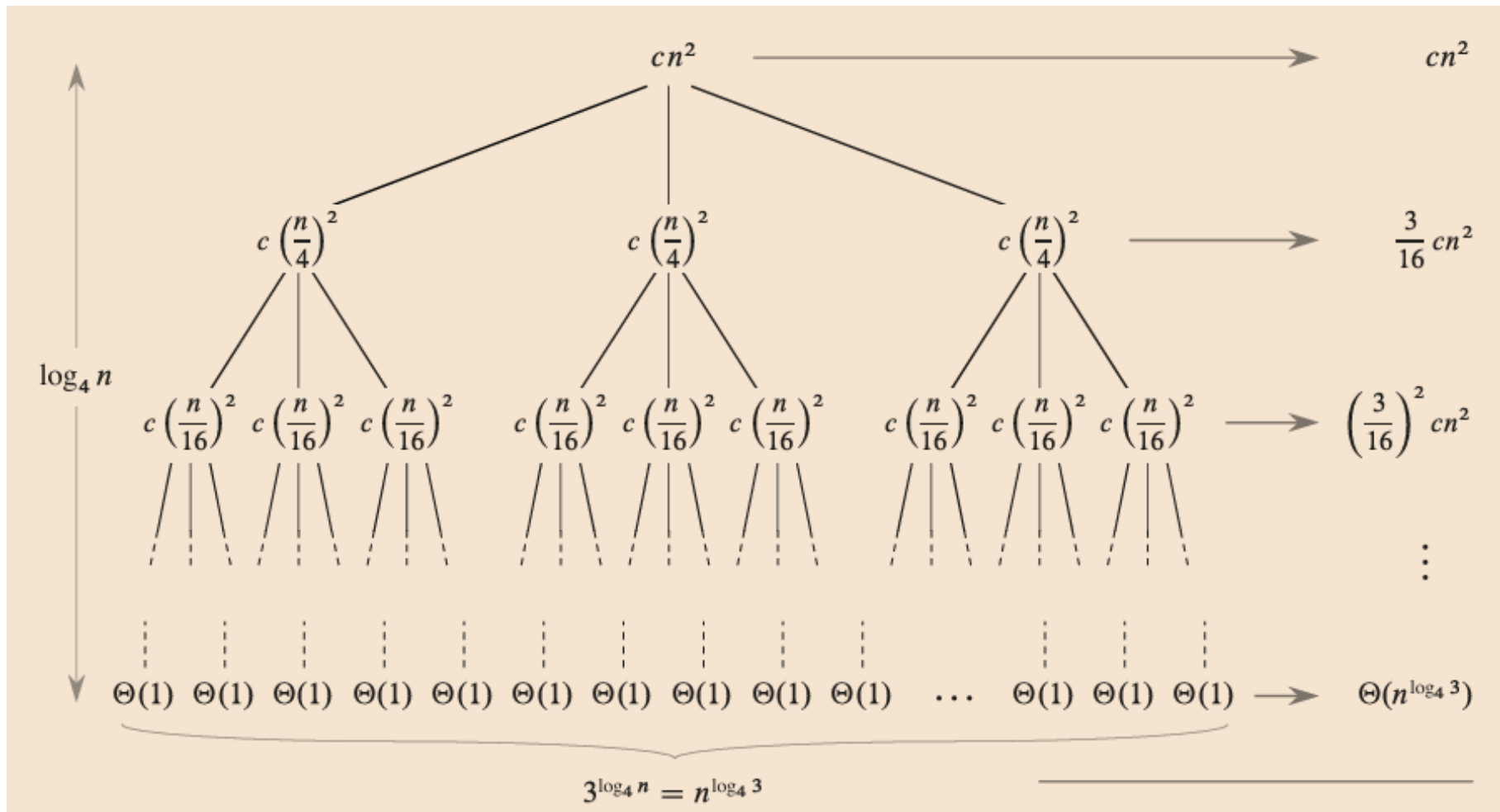
# Recursion Tree

- Consider the recurrence

$$T(n) = 3 T\left(\frac{n}{4}\right) + \Theta(n^2)$$



# Recursion Tree



# Recursion Tree

$$\begin{aligned}T(n) &= cn^2 + \frac{3}{16}cn^2 + \left(\frac{3}{16}\right)^2 cn^2 + \cdots + \left(\frac{3}{16}\right)^{\log_4 n} cn^2 + \Theta(n^{\log_4 3}) \\&= \sum_{i=0}^{\log_4 n} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3}) \\&< \sum_{i=0}^{\infty} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3}) \\&= \frac{1}{1 - (3/16)} cn^2 + \Theta(n^{\log_4 3}) \\&= \frac{16}{13} cn^2 + \Theta(n^{\log_4 3}) \\&= O(n^2)\end{aligned}$$

# Recursion Tree

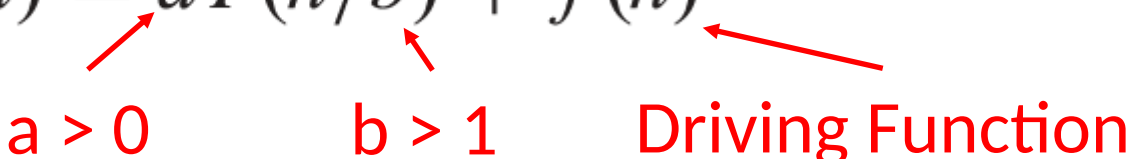
- Unbalanced recursion tree
  - Estimate the height of the tree
  - Estimate the cost from each level
  - Estimate the number of leaf nodes of the tree
  - Estimate the cost from all the leaf nodes over all the levels
- Example

$$T(n) = T(n/3) + T(2n/3) + \Theta(n)$$



# Master Theorem

- Let's consider the following recurrence relation,

$$T(n) = aT(n/b) + f(n)$$


$a > 0$

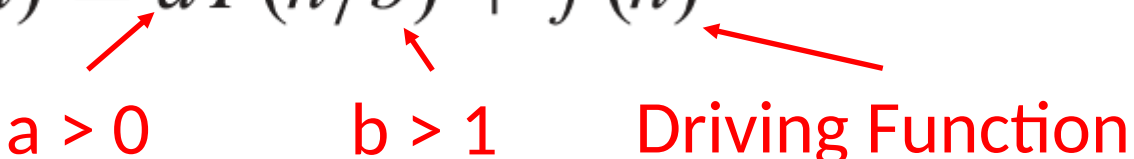
$b > 1$

Driving Function

- If  $f(n) = \mathcal{O}(n^c)$  and  $\log_b a > c$  then  $T(n) = \Theta(n^{\log_b a})$ .
- If  $f(n) = \Theta(n^c)$  and  $\log_b a = c$  then  $T(n) = \Theta(n^{\log_b a} \lg n)$ .
- (Fancy Version) If  $f(n) = \Theta(n^c \lg^k n)$  and  $\log_b a = c$  then  $T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$ .
- If  $f(n) = \Omega(n^c)$  and  $\log_b a < c$  then  $T(n) = \Theta(f(n))$ .  
Note: For this case,  $f(n)$  must also satisfy a *regularity condition* which states that there is some  $C < 1$  and  $n_0$  such that  $af(n/b) \leq Cf(n)$  for all  $n \geq n_0$ . This regularity condition is almost always true and we will not worry about it.

# Master Theorem

- Let's consider the following recurrence relation,

$$T(n) = aT(n/b) + f(n)$$


$a > 0$

$b > 1$

Driving Function

1. If there exists a constant  $\epsilon > 0$  such that  $f(n) = O(n^{\log_b a - \epsilon})$ , then  $T(n) = \Theta(n^{\log_b a})$ .
2. If there exists a constant  $k \geq 0$  such that  $f(n) = \Theta(n^{\log_b a} \lg^k n)$ , then  $T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$ .
3. If there exists a constant  $\epsilon > 0$  such that  $f(n) = \Omega(n^{\log_b a + \epsilon})$ , and if  $f(n)$  additionally satisfies the *regularity condition*  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$ . ■

# Master Theorem

$$T(n) = 9 T\left(\frac{n}{3}\right) + n$$

- $a = 9, b = 3$
- $f(n) = n = O(n^1) = O(n^c)$
- $\log_b a = \log_3 9 = 2 > c$

1. If  $f(n) = O(n^c)$  and  $\log_b a > c$  then  $T(n) = \Theta(n^{\log_b a})$ .

$$T(n) = \Theta(n^2)$$

# Master Theorem

$$T(n) = T\left(\frac{2n}{3}\right) + 1$$

- $a = 1, \quad b = \frac{2}{3}$
- $f(n) = 1 = O(n^0) = O(n^c)$
- $\log_b a = \log_{2/3} 1 = 0 = c$

2. If  $f(n) = \Theta(n^c)$  and  $\log_b a = c$  then  $T(n) = \Theta(n^{\log_b a} \lg n)$ .

$$T(n) = \Theta(n^0 \lg n) = \Theta(\lg n)$$

# Master Theorem

$$T(n) = 3T\left(\frac{n}{4}\right) + n \lg n$$

- $a = 3, \quad b = 4$
  - $f(n) = n \lg n > n = \Omega(n^1) = \Omega(n^c)$
  - $\log_b a = \log_4 3 < c$
  - Can we apply case 3?
3. If  $f(n) = \Omega(n^c)$  and  $\log_b a < c$  then  $T(n) = \Theta(f(n))$ .
- Need to satisfy the **regularity condition**.

# Master Theorem

$$T(n) = 3T\left(\frac{n}{4}\right) + n \lg n$$

- Regularity condition

Note: For this case,  $f(n)$  must also satisfy a *regularity condition* which states that there is some  $C < 1$  and  $n_0$  such that  $af(n/b) \leq Cf(n)$  for all  $n \geq n_0$ . This regularity condition is almost always true and we will not worry about it.

- $af\left(\frac{n}{b}\right) = \frac{3n}{4} \lg\left(\frac{3}{4}\right) \leq \frac{3}{4}n \lg n \leq Cf(n)$

$$T(n) = \Theta(n \lg n)$$

# Master Theorem

$$T(n) = 2T\left(\frac{n}{2}\right) + n \lg n$$

- Applying master theorem (case 2),

$$T(n) = \Theta(n \lg^2 n)$$

# MergeSort Runtime Analysis (Revisit)

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

- Applying master theorem (case 2),

$$T(n) = \Theta(n \lg n)$$



# QuickSort Runtime Analysis (Revisit)

- Best-case partitioning

$$T(n) = 2T(n/2) + \Theta(n)$$

- Applying master theorem,  $T(n) = \Theta(n \log n)$

- Worst-case partitioning

$$T(n) = T(n-1) + T(0) + \Theta(n)$$

- Expanding the recurrence (Rec. tree):  $T(n) = \Theta(n^2)$

# Multiplying Square Matrices

- A and B are square matrices
- Find out  $C = A * B$

MATRIX-MULTIPLY( $A, B, C, n$ )

```
1  for  $i = 1$  to  $n$                                 // compute entries in each of  $n$  rows
2      for  $j = 1$  to  $n$                                 // compute  $n$  entries in row  $i$ 
3          for  $k = 1$  to  $n$ 
4               $c_{ij} = c_{ij} + a_{ik} \cdot b_{kj}$  // add in another term of equation (4.1)
```

- Running Time  $\Theta(n^3)$

Can we do better?

# Multiplying Square Matrices

- Divide

Assuming  $n = 2^x$

$$A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \quad B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix} \quad C = \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$$

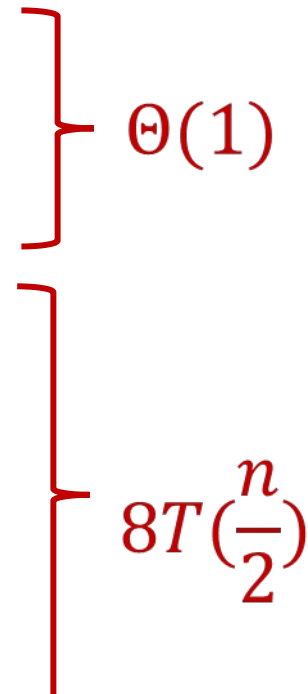
- Conquer

$$\begin{aligned} \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix} &= \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix} \\ &= \begin{pmatrix} A_{11} \cdot B_{11} + A_{12} \cdot B_{21} & A_{11} \cdot B_{12} + A_{12} \cdot B_{22} \\ A_{21} \cdot B_{11} + A_{22} \cdot B_{21} & A_{21} \cdot B_{12} + A_{22} \cdot B_{22} \end{pmatrix} \end{aligned}$$

# Multiplying Square Matrices

MATRIX-MULTIPLY-RECURSIVE( $A, B, C, n$ )

```
1  if  $n == 1$ 
2    // Base case.
3       $c_{11} = c_{11} + a_{11} \cdot b_{11}$ 
4    return
5  // Divide.
6  partition  $A, B$ , and  $C$  into  $n/2 \times n/2$  submatrices
       $A_{11}, A_{12}, A_{21}, A_{22}; B_{11}, B_{12}, B_{21}, B_{22};$ 
      and  $C_{11}, C_{12}, C_{21}, C_{22}$ ; respectively
7  // Conquer.
8  MATRIX-MULTIPLY-RECURSIVE( $A_{11}, B_{11}, C_{11}, n/2$ )
9  MATRIX-MULTIPLY-RECURSIVE( $A_{11}, B_{12}, C_{12}, n/2$ )
10 MATRIX-MULTIPLY-RECURSIVE( $A_{21}, B_{11}, C_{21}, n/2$ )
11 MATRIX-MULTIPLY-RECURSIVE( $A_{21}, B_{12}, C_{22}, n/2$ )
12 MATRIX-MULTIPLY-RECURSIVE( $A_{12}, B_{21}, C_{11}, n/2$ )
13 MATRIX-MULTIPLY-RECURSIVE( $A_{12}, B_{22}, C_{12}, n/2$ )
14 MATRIX-MULTIPLY-RECURSIVE( $A_{22}, B_{21}, C_{21}, n/2$ )
15 MATRIX-MULTIPLY-RECURSIVE( $A_{22}, B_{22}, C_{22}, n/2$ )
```



$\Theta(1)$

$8T\left(\frac{n}{2}\right)$

# Multiplying Square Matrices

- Running Time

$$T(n) = 8 T\left(\frac{n}{2}\right) + \Theta(1)$$

- Applying master theorem (case 1),

$$T(n) = \Theta(n^3)$$

Can we do better?

# Strassen's Algorithm

- Intuitions,
  - Addition is faster than multiplications.
    - $\Theta(n^2)$  vs  $\Theta(n^3)$
  - Replace multiplications with additions
    - Remember  $x^2 - y^2 = (x + y)(x - y)$

# Strassen's Algorithm

- Divide (same as before)
- Conquer
  - Perform 10 additions

$$S_1 = B_{12} - B_{22}$$

$$S_2 = A_{11} + A_{12}$$

$$S_3 = A_{21} + A_{22}$$

$$S_4 = B_{21} - B_{11}$$

$$S_5 = A_{11} + A_{22}$$

$$S_6 = B_{11} + B_{22}$$

$$S_7 = A_{12} - A_{22}$$

$$S_8 = B_{21} + B_{22}$$

$$S_9 = A_{11} - A_{21}$$

$$S_{10} = B_{11} + B_{12}$$

# Strassen's Algorithm

- Divide (same as before)
- Conquer
  - Perform 10 additions,  $S_1, S_2, \dots, S_{10}$
  - Perform 7 multiplications

$$P_1 = A_{11} \cdot S_1 \quad (= A_{11} \cdot B_{12} - A_{11} \cdot B_{22})$$

$$P_2 = S_2 \cdot B_{22} \quad (= A_{11} \cdot B_{22} + A_{12} \cdot B_{22})$$

$$P_3 = S_3 \cdot B_{11} \quad (= A_{21} \cdot B_{11} + A_{22} \cdot B_{11})$$

$$P_4 = A_{22} \cdot S_4 \quad (= A_{22} \cdot B_{21} - A_{22} \cdot B_{11})$$

$$P_5 = S_5 \cdot S_6 \quad (= A_{11} \cdot B_{11} + A_{11} \cdot B_{22} + A_{22} \cdot B_{11} + A_{22} \cdot B_{22})$$

$$P_6 = S_7 \cdot S_8 \quad (= A_{12} \cdot B_{21} + A_{12} \cdot B_{22} - A_{22} \cdot B_{21} - A_{22} \cdot B_{22})$$

$$P_7 = S_9 \cdot S_{10} \quad (= A_{11} \cdot B_{11} + A_{11} \cdot B_{12} - A_{21} \cdot B_{11} - A_{21} \cdot B_{12})$$



# Strassen's Algorithm

- Divide (same as before)
- Conquer
  - Perform 10 additions,  $S_1, S_2, \dots, S_{10}$
  - Perform 7 multiplications  $P_1, P_2, \dots, P_7$
  - Compute  $C_{ij}$  with  $S$  and  $P$  matrices

$$C_{11} = C_{11} + P_5 + P_4 - P_2 + P_6 \qquad C_{12} = C_{12} + P_1 + P_2$$

$$C_{21} = C_{21} + P_3 + P_4 \qquad C_{22} = C_{22} + P_5 + P_1 - P_3 - P_7$$

# Strassen's Algorithm Runtime Analysis

- Running Time

$$T(n) = 7 T\left(\frac{n}{2}\right) + \Theta(n^2)$$

- Applying master theorem (case 1),

$$T(n) = \Theta\left(n^{\log_2 7}\right) = \Theta(n^{2.80755\dots})$$

# Reference

- Introduction to Algorithms, CLRS, 4<sup>th</sup> edition.
  - Chapter 2 (Getting Started)
    - Section 2.1, 2.3
  - Chapter 4 (Divide-and-Conquer)
    - Sections 4.1 – 4.5
  - Chapter 7 (Quick Sort)
    - Sections 7.1 and 7.2
- Additional Resource:
  - [mastertheorem.pdf](#)