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# DeepHalo Extension: Instance Segmentation of Dark Matter Halos

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## Abstract

1        Our aim is to instance segmentation on point cloud data using Graph Neural Net-  
2        works (GNNs). Employing DynamicEdgeConv as the primary tool, we endeavored  
3        to delineate distinct instances within the point cloud. We used snapshot 99 of  
4        TNG50-4-Dark catalogue. Initially, we explored the conversion of points to octree  
5        structures; however, this approach was deemed unnecessary. Despite our efforts,  
6        severe class imbalance thwarted our model's efficacy, leading to unreliable predic-  
7        tions. While several strategies were attempted to address this issue, none yielded  
8        satisfactory results. Ultimately, we employed focal sigmoid loss as the loss function  
9        and Intersection over Union (IOU) as the evaluation metric. Despite these efforts,  
10       significant challenges persist, highlighting the complexity of instance segmentation  
11       tasks in the context of heavily imbalanced datasets.

## 12    1    Introduction

### 13    1.1   Subfinders and Point Cloud Data

14    Subfinders in point cloud data refer to algorithms or techniques used to identify and extract specific  
15    features or substructures within a larger point cloud dataset. Point clouds are collections of data  
16    points in a three-dimensional coordinate system, often generated by 3D scanning technologies like  
17    LiDAR (Light Detection and Ranging) or photogrammetry.

18    In point cloud processing, subfinders play a crucial role in various applications such as object  
19    recognition, scene understanding, and geometric analysis.

20    An example of such a task involves object detection and segmentation. This entails employing  
21    subfinders to discern specific objects or substructures within a point cloud, including buildings,  
22    vehicles, trees, or other environmental elements. Through an analysis of the geometric properties  
23    and spatial interconnections among points, these algorithms effectively partition the point cloud into  
24    separate objects or areas of significance.

25    Instance segmentation and semantic segmentation are two fundamental tasks in computer vision,  
26    each serving distinct purposes in image analysis.

27    Semantic segmentation involves labeling each point in a point cloud with a corresponding class label,  
28    such as "object type 1," "object type 2" "object type 3," etc. The goal is to partition the point cloud  
29    into semantically meaningful regions, providing a holistic understanding of its content. Unlike object  
30    detection, semantic segmentation does not differentiate between individual instances of the same  
31    class; instead, it focuses on categorizing points based on their shared characteristics.

32    On the other hand, instance segmentation extends semantic segmentation by not only labeling each  
33    point with a class but also distinguishing between individual object instances within the same class.  
34    This means that in addition to identifying the category of each point (e.g., "object type"), instance  
35    segmentation algorithms also delineate the boundaries of each distinct object (e.g., differentiating  
36    between two separate objects of the same object type).

37 For our specific task, we are employing dark matter halos, which we instance segment into their  
38 sub-halos.

## 39 2 DATA

40 TNG-Illustris, short for "The Next Generation Illustris," is a cutting-edge cosmological simulation  
41 project aimed at modeling the evolution of the universe and the formation of cosmic structures, such  
42 as galaxies and galaxy clusters.

43 The TNG Illustris simulation incorporates advanced numerical techniques and high-performance  
44 computing to simulate the complex interplay of dark matter, gas, stars, and black holes over cosmic  
45 time scales.

### 46 2.1 TNG50-4-Dark

47 For our investigation, we have selected the 99th snapshot derived from the TNGdark-50-4 model,  
48 which represents a distinct variant or iteration within the TNG (The Next Generation) cosmological  
49 simulation series. This particular simulation is meticulously crafted to delve into the intricate  
50 properties and dynamic behaviors inherent to dark matter within the cosmic landscape.

### 51 2.2 Data Specification

- 52 • There are 100 snapshots stored for every run. These include all particles/cells in the whole  
53 volume.
- 54 • The snapshot data is structured based on group/subgroup memberships assigned by the FoF  
55 or Subfind algorithms, rather than spatial position. Within the snapshot files, particles are  
56 sorted by their group and subgroup assignments. The sorting order within each particle type  
57 is: GroupNumber, SubgroupNumber, BindingEnergy. Additionally, particles belonging to a  
58 group but not to any subgroups ("fuzz") are placed after the last subgroup.
- 59 • Each HDF5 snapshot comprises multiple groups, including "Header," "Parameters," "Con-  
60 figuration," and five "PartTypeX" groups. **We are using PartType1 only, i.e. dark matter  
61 only group.**

62 Features used for our model were positions, velocities and gravitational potential energy of each  
63 particle.

64 Overall, features from the snapshot used for both data preprocessing and machine learning are as  
65 follows:

- 66 • **Coordinates:** Spatial position within the periodic simulation domain of BoxSize. Comoving  
67 coordinate.
- 68 • **ParticleIDs:** The unique ID (uint64) of this gas cell. Constant for the duration of the  
69 simulation. May cease to exist (as gas) in a future snapshot due to conversion into a  
70 star/wind particle, accretion into a BH, or a derefinement event
- 71 • **Potential:** Gravitational potential energy.
- 72 • **Velocities:** Spatial velocity.

## 73 3 Methodologies

74 Initially, we formulated an array structure dedicated to each subhalo, wherein each array possesses a  
75 standardized shape denoted as (N, 8). In this context, the variable N symbolizes the count of data  
76 points encapsulated within the confines of the particular subhalo under consideration. Within each  
77 array instance, the eight distinct columns delineate specific parameters or attributes associated with  
78 the constituent data points.

- 79 • Coordinates in 3 directions
- 80 • Velocities in 3 directions

- 81 • Gravitational potential of the particle
- 82 • A label indicating the subhalo to which the particle belongs.

83 In our pursuit of employing Graph Neural Networks (GNNs) for semantic segmentation tasks on  
 84 point cloud data, we embarked on a crucial initial step: converting the raw point cloud data into a  
 85 graph format amenable to GNN processing. To accomplish this conversion, we turned our attention  
 86 to the exploration of octree structures, which offer a sophisticated framework for organizing and  
 87 representing point cloud data in a hierarchical manner.

88 Octree structures, renowned for their efficacy in partitioning three-dimensional space, present an  
 89 elegant solution for converting point cloud data into a graph format. By recursively subdividing the  
 90 spatial domain into octants, each potentially containing points, octrees enable the representation of  
 91 complex geometric structures with varying densities of data points. This hierarchical organization  
 92 not only facilitates efficient storage and retrieval of point cloud information but also lends itself  
 93 naturally to graph-based representations, where nodes correspond to octants and edges capture spatial  
 94 relationships between them.

95 In our endeavor to harness octree structures for point cloud conversion, we developed bespoke octree  
 96 conversion code tailored to our specific requirements. This bespoke solution allowed us to finely  
 97 control the octree generation process, accommodating nuances and intricacies inherent to our dataset  
 98 and segmentation objectives. By implementing our custom conversion code, we ensured flexibility  
 99 and adaptability, crucial factors in the pursuit of optimal performance in semantic segmentation tasks.

100 Furthermore, in our quest for comprehensive exploration and experimentation, we augmented our  
 101 efforts by integrating existing tools and libraries into our workflow. Among these resources, the  
 102 PyOctree library, curated by the esteemed Michael Hogg, emerged as a valuable asset. Leveraging  
 103 the functionalities provided by the PyOctree library augmented our capabilities, offering additional  
 104 perspectives and avenues for experimentation in our quest for refined semantic segmentation solutions.

105 Through the judicious combination of innovative custom code development and strategic integration  
 106 of external resources such as the PyOctree library, we fortified our approach to point cloud conversion,  
 107 laying a robust foundation for subsequent GNN-based semantic segmentation endeavors. Our  
 108 commitment to methodical exploration and integration exemplifies our dedication to pushing the  
 109 boundaries of knowledge and technology in the dynamic field of point cloud analysis and graph-based  
 110 machine learning.

111 However, our efforts took a turn when we discovered the DynamicEdgeConvWang et al. 2019 Team  
 112 2024 method.

- 113 • **Handling Irregular Data:** Point cloud data lacks the regular grid structure present in  
 114 images or volumetric data. DynamicEdgeConv addresses this challenge by dynamically  
 115 creating edges between neighboring points based on their spatial proximity.
- 116 • **Edge Features:** DynamicEdgeConv computes edge features by aggregating information  
 117 from neighboring points within a specified radius. Unlike traditional convolutional oper-  
 118 ations, which operate on fixed-size kernels, DynamicEdgeConv adapts the receptive field  
 119 dynamically based on the local point density.
- 120 • **Robust to Point Order:** DynamicEdgeConv is inherently invariant to the order of points  
 121 in the input point cloud, making it robust to permutations or random shuffling of point  
 122 coordinates.
- 123 • **Integration with CNNs:** DynamicEdgeConv can be seamlessly integrated into existing  
 124 CNN architectures designed for point cloud processing, such as PointNet and its variants. By  
 125 replacing traditional convolutional layers with DynamicEdgeConv layers, these architectures  
 126 gain the ability to capture local spatial relationships and semantic features more effectively.

127 DynamicEdgeConv rendered the octree conversion unnecessary for our purposes. DynamicEdge-  
 128 Conv provided an alternative approach that effectively addressed our requirements for semantic  
 129 segmentation on point cloud data, thus obviating the need for octree-based solutions.

## 130 4 The Model

131 :

132 We have established a PyTorch model, denoted as PointNetInstanceSeg, which encapsulates our  
133 architectural design for semantic segmentation tasks tailored to point cloud data. This model, crafted  
134 within the PyTorch framework, embodies our specialized implementation aimed at addressing the  
135 intricacies and challenges inherent to instance segmentation within the context of point cloud analysis.

136 PointNetInstanceSeg is a subclass of nn.Module, the base class for PyTorch neural network modules.  
137 This model is tailored for instance segmentation tasks on point clouds.

138 The model comprises two primary components:

139 1. DynamicEdgeConv layers: These are graph convolution layers specialized for point clouds. They  
140 compute features for each point based on its K nearest neighbors. The model includes two such  
141 layers, each followed by a SiLU (Sigmoid Linear Unit) activation function.

142 2. A fully connected (nn.Linear) layer: This layer predicts the instance mask for each point. It accepts  
143 64 input features and outputs 21 features.

144 The forward method defines the model’s forward pass. It accepts a data object with pos and edge\_index  
145 attributes. Traditionally, pos should represent point positions, but we have also included velocities  
146 and gravitational potential since those are also features that we use. edge\_index represents graph  
147 edges. These are passed through the DynamicEdgeConv layers and the fully connected layer, and the  
148 output is returned.

## 149 5 PROBLEMS

150 We encountered several challenges during our model training process. One major issue was the heavy  
151 class imbalance in the dataset, with the largest subhalo dominating and resulting in 80% accuracy  
152 initially. To address this, we experimented with weighted loss functions such as cross-entropy, using  
153 class frequencies as weights. Additionally, we attempted to improve results by one-hot encoding  
154 labels and switching to BCEwithlogits loss, but observed limited improvement.

155 We also explored binary segmentation as an alternative approach but found that the class imbalance  
156 persisted, making this avenue unviable. Eventually, we opted to employ the SMOTE technique to  
157 oversample the minority class and switched to focal sigmoid loss as a loss function, with IoU as a  
158 metric. While this approach yielded slightly improved results, the gains were modest.

159 The prediction were good for those halos that had small number of subhalos. But for those halos  
160 which had a large number of subhalos ( $>5$ ) the predictions were way off.

161 The utilization of SMOTE resulted in a notable increase in computational requirements. This  
162 substantially augmented the dataset size, leading to heightened computational demands during both  
163 training and evaluation phases.

164 During training, we faced computational constraints, particularly with longer epochs. Training for  
165 20 epochs took 5 hours, and attempting 100 epochs required compromising on model architecture  
166 by removing one DynamicEdgeConv layer to complete training. These challenges underscore the  
167 complexities of dealing with class imbalance and computational limitations in training deep learning  
168 models for instance segmentation tasks on heavily imbalanced datasets.

## 169 6 RESULTS

170 The outcomes obtained from the experimentation exhibit a notable degree of dissatisfaction. While  
171 certain predictions demonstrated perceptible validity for select halos, the overall consistency re-  
172 mained conspicuously deficient, thereby implying a plausible attribution of the outcomes to chance  
173 occurrences. Our comprehensive evaluation, conducted across 23 halos, yielded a mean Intersection  
174 over Union (IOU) score of  $0.775 \pm 0.998$ . However, the pronounced variance accompanying these  
175 results signifies a lack of reliability and statistical significance, thereby compromising the integrity of  
176 the evaluation.

177 The underlying rationale for the suboptimal predictive performance observed in halos characterized  
178 by a substantial number of subhalos is posited to stem from the issue of class imbalance. Specifically,  
179 the presence of a multitude of subhalos results in a diminutive allocation of data points per individual  
180 subhalo, exacerbating the challenge of effectively delineating the primary halo. This imbalance

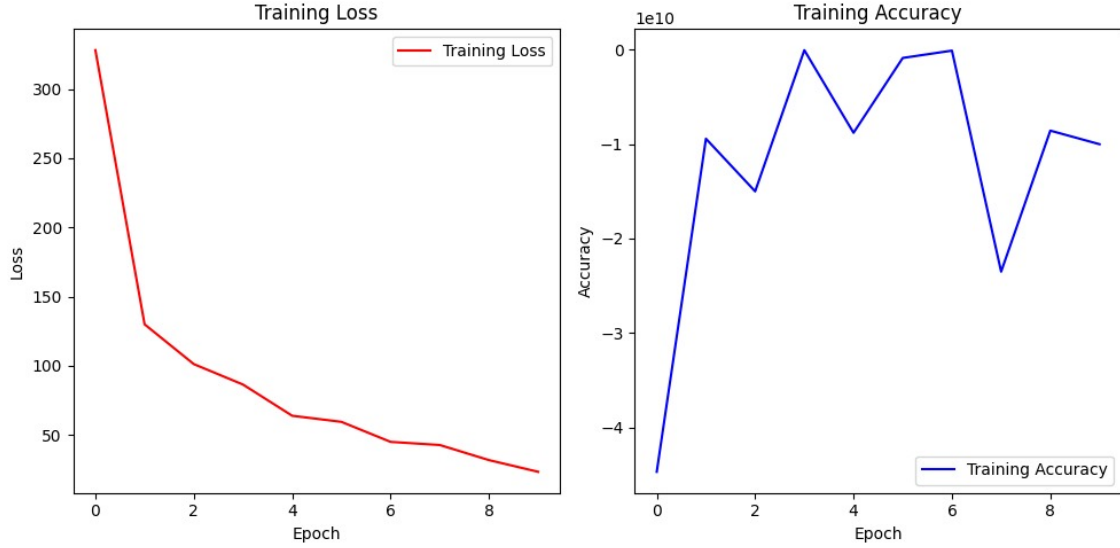


Figure 1: Training loss and accuracy

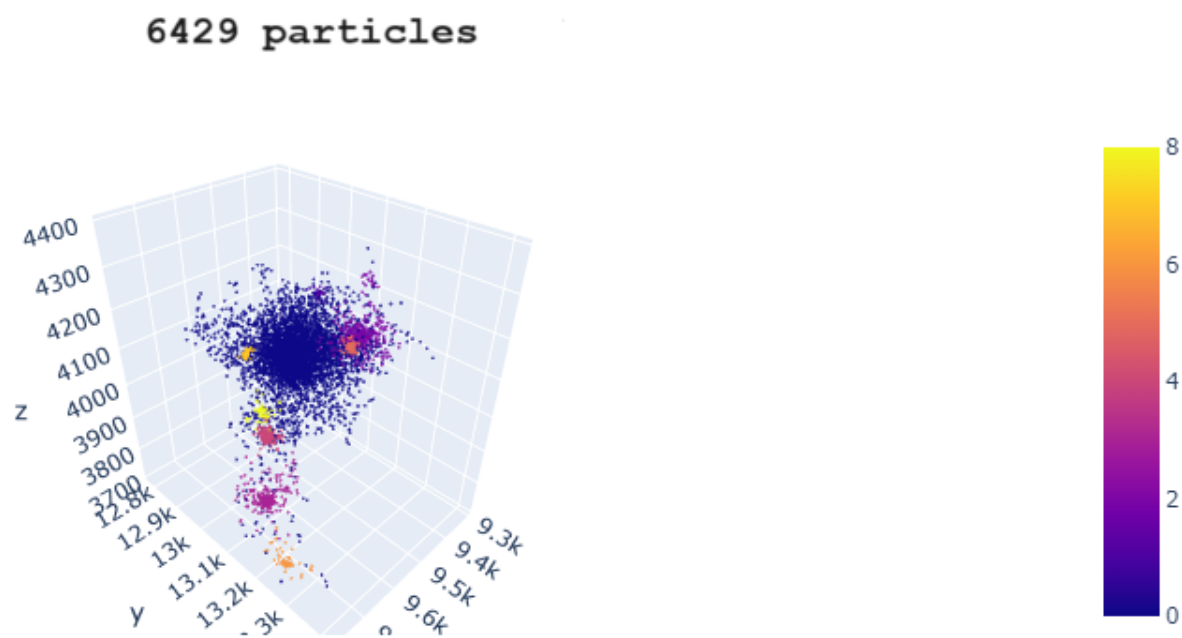
underscores a critical predicament; however, the imposition of heightened penalties for misclassifying the primary halo risks exacerbating the issue, potentially dissuading the machine learning model from predicting it altogether.

## 6.1 Future Plans

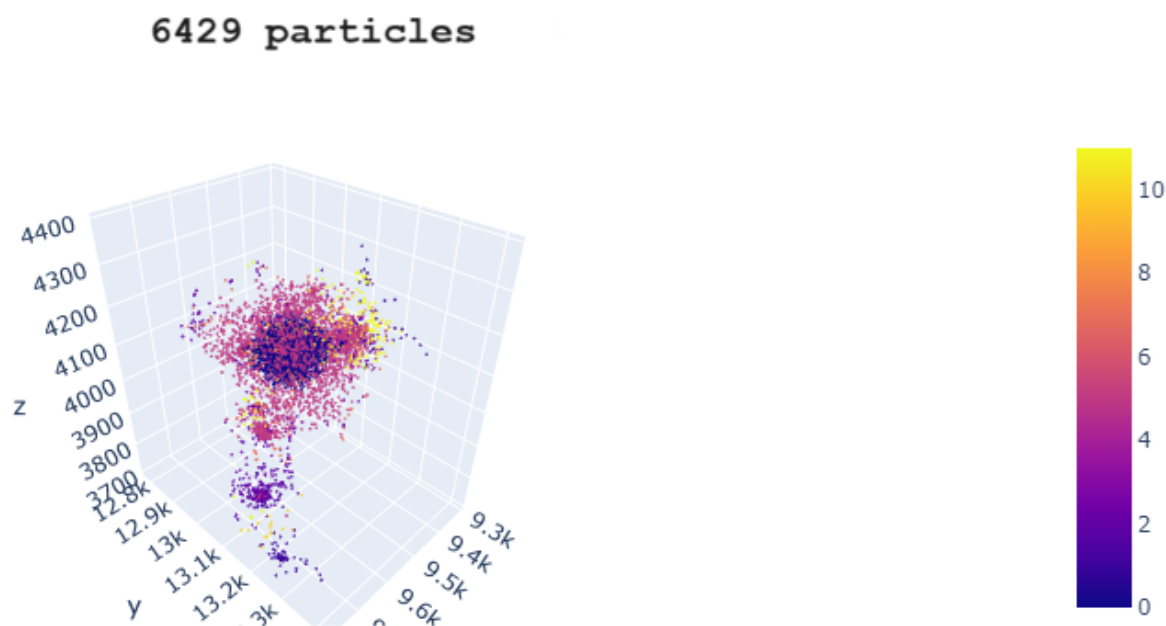
What needs to be done in the future is to overcome the significant class imbalance prevalent in the dataset without compromising the prediction of the primary halo. Initially, we explored various strategies, including weighted loss functions and binary segmentation approaches, but encountered limited success. Subsequently, we adopted the Synthetic Minority Over-sampling Technique (SMOTE) to rebalance the dataset, coupled with focal sigmoid loss as the loss function and Intersection over Union (IOU) as the evaluation metric. Despite these efforts, our results remained suboptimal, with instances of reasonable predictions being inconsistent. Furthermore, the computational demands escalated substantially due to the implementation of SMOTE, hindering efficient model training and evaluation. Our findings underscore the complexities inherent in instance segmentation tasks on heavily imbalanced datasets and highlight the need for further exploration of advanced methodologies and computational optimization techniques in this domain.

## References

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(a) ground truthRishav Das 2024



(b) predictedRishav Das 2024

Figure 2: Visual representation of ground truth and predicted labels