

01:XXX:XXX - Homework n

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Contents

<b>1</b>	<b>Content</b>	<b>2</b>
<b>2</b>	<b>Review</b>	<b>5</b>
2.1	Proof of content (helpful info) that needs to be known . . . . .	5
2.1.1	1.9 . . . . .	5
2.1.2	1.10 . . . . .	6
2.1.3	Cayley Hamilton Theorem . . . . .	6
2.1.4	Corollary 1 of 1.10 . . . . .	6
2.1.5	Corollary 2(a,b,c) . . . . .	7
2.1.6	Proof of Theorem 1 and 2 near end of 13 notes . . . . .	8
2.1.7	RREF being unique . . . . .	8
2.1.8	Column Correspondence Principle . . . . .	9
2.1.9	1.11 (pg 50) . . . . .	10
2.1.10	2.2 (pg 68) . . . . .	10
2.1.11	2.3 (pg 70) . . . . .	11
2.1.12	2.6 and corollary (pg 73) . . . . .	11
2.1.13	2.11 (pg 89) . . . . .	12
2.1.14	2.14 (pg 92) . . . . .	12
2.1.15	Brain Teaser 1 . . . . .	13
2.1.16	Brain Teaser 2 . . . . .	13
2.1.17	Brain Teaser 3 . . . . .	13
<b>3</b>	<b>Problems</b>	<b>14</b>

# 1 Content

IN CLASS BE an expert on HW Problems:

Know little and big theorems

Look at lecture 13!

How to do RREF theory without replacement theorem

It was in 2 stages: First prove corollary 2(a)(b)(c). Then find a linear transformation to show that  $F^n$  is isomorphic to  $V$  and then use the replacement theorem to show that  $V$  is finite dimensional.

**This could be asked on the exam.**

To prove Theorem 2.6 Do uniqueness first:

*Proof.* Assume  $T : V \rightarrow W$  is a lin transformation.

if  $\exists T(v_i) = w_i$  for all  $i$ .

Then  $\forall a_i \in F$

$$T(a_1v_1 + \cdots + a_nv_n) = a_1w_1 + \cdots + a_nw_n$$

□

IE if  $T$  exists then it is unique.

Then do existence:

*Proof.* Let  $x \in V$

$$x = a_1v_1 + \cdots + a_nv_n$$

Define  $T : V \rightarrow W$  by  $T(x) = a_1w_1 + \cdots + a_nw_n$

$T$  is linear since  $T(x + y) = T(x) + T(y)$  and  $T(cx) = cT(x)$

$$T(v_i) = w_i \text{ for all } i$$

□

**Know all proofs in Chapter 6** Especialy gram schmidt Theorem 6.1: Let  $V$  be an inner product space. then for all  $x, y, z \in V$  and  $c \in F$  we have

- $\langle x, y + z \rangle = \langle x, y \rangle + \langle x, z \rangle$
- $\langle x, cy \rangle = \bar{c} \langle x, y \rangle$
- $\langle 0, x \rangle = \langle x, 0 \rangle = 0$
- $\langle x, x \rangle = 0$  if and only if  $x = 0$
- if  $\langle x, y \rangle = \langle x, z \rangle$  then  $y = z$

Theorem 6.2 Theorem 6.3:  $S = \{v_1 \dots v_k\}$  and an orthogonal set of non zero vectors. For all  $y \in \text{span}(S)$  we have  $y = \sum_{i=1}^k \frac{\langle y, v_i \rangle}{\langle v_i, v_i \rangle} v_i$

- Sec. 2.5:
- Chapter 3:
- Chapter 4:
- Chapter 5:
- Chapter 7:

Cayley hamilton:

Replacement Theorem:

3 "brain teasers":

- Puzzle #1: Must similar matrices have the same RREF? In class I said the answer is no. Can you find a 2x2 counterexample and prove that it really is a counterexample?
- Puzzle #2 (new; a converse question): Must row-equivalent matrices be similar? Try to prove or find a 2x2 counterexample.
- Puzzle #3: Suppose that  $F = \mathbb{F}_2$  instead of  $\mathbb{R}$ . Again, exactly as above,  $e_1 + e_2$  and  $e_1 - e_2$  are eigenvectors for  $A$  with eigenvalues 1 and  $-1$ , respectively, and so, again,  $A$  is similar to the diagonal matrix with diagonal entries 1 and  $-1$ . But in  $\mathbb{F}_2$ ,  $-1 = 1$ , so  $A$  is similar to the identity matrix. But the only matrix similar to the identity matrix is of course the identity matrix, so  $A$  *can't* be similar to the identity matrix. That was the weird conclusion (contradiction) that we "derived" in class. Where was the fallacy in this argument? And, also, *is*  $A$  diagonalizable or not over  $\mathbb{F}_2$ ? elements  $e_2$  and  $e_1$  of  $R^2$ ):

**Note:** The following are 100% on the exam

Formulate and then prove the Replacement Theorem (for a vector space  $V$  over a field  $F$ ).

Formulate and then prove the Cayley-Hamilton Theorem (for a linear operator  $T$  on an  $n$ -dimensional vector space  $V$  over a field  $F$ ). When you need to invoke theorems in your proof, clearly state such theorems and give a very brief sketch of the proof of each of them. (Theorems 5.20 and 5.21)

The following are possible on the exam

Formulate and prove a theorem that asserts that the reduced row echelon form of a given  $m \times n$  matrix over a field  $F$  is unique. (Do not spend time defining RREF or "row-equivalence of matrices" or "pivot columns." Use such concepts in your proof as appropriate.)

(For this, you'll need to use the Column Correspondence Principle. In your answer, state it clearly and sketch its proof. Also, formulate your proof of uniqueness very concisely, showing me that you understand the mechanism of the proof.)

Prove that in  $F^n$ , every finite linearly independent set has at most  $n$  elements, and if it has exactly  $n$  elements, then it is a basis of  $F^n$ . Do not use the Replacement Theorem in your proof.

Prove that in  $F^n$ , every finite spanning set has at least  $n$  elements, and if it has exactly  $n$  elements, then it is a basis of  $F^n$ . Do not use the Replacement Theorem in your proof. (Of course, these are the proofs on page 11 of my Lecture 13 notes.)

### Helpful comments:

Needed theorems:

- 1.9 (pg 45): If a vector space  $V$  is generated by a finite set  $S$ , then some subset of  $S$  is a basis for  $V$ . Hence  $V$  has a finite basis
- 1.10 (pg 46): Replacement Theorem
- Cayley Hamilton Theorem (5.20)
- Corollary 1 of 1.10 (pg 47): Let  $V$  be a vs with a finite basis. Then all bases for  $V$  are finite and every basis for  $V$  contains the same number of vectors.
- Corollary 2(a,b,c) (pg 48) Let  $V$  be a  $n$  dimensional vectorspace
  - (a) Any finite generating set for  $V$  contains at least  $n$  vectors and a generating set for  $V$  with exactly  $n$  vectors is a basis for  $V$
  - (b) Any linearly independent subset of  $V$  that contains  $n$  vectors is a basis for  $V$
  - (c) Every linearly independent subset of  $V$  can be extended to a basis for  $V$  that is if  $L$  is a LI subset of  $V$  then there is a basis  $\beta$  of  $V$  such that  $L \subseteq \beta$
- Proofs on RREF theory and same results for the special case where  $V = F^n$
- Theorem 1 and 2 near end of 13 notes:
  - 1: In  $F^n$  every finite linearly independent set has at most  $n$  elements and if it has exactly  $n$  elements then it is a basis of  $F^n$
  - 2: In  $F^n$  every finite spanning set has at least  $n$  elements and if it has exactly  $n$  elements then it is a basis of  $F^n$
- RREF being unique \*\*\* (11/10/2024 announcement)
- 1.11 (pg 50) Need to know proof: Let  $W$  be a subspace of finite-dimensional vector space  $V$ . Then  $W$  is finite-dimensional and  $\dim(W) \leq \dim(V)$  Moreover, if  $\dim(W) = \dim(V)$  then  $W = V$ .
- 2.2 (pg 68) no proof needed but need to know: Let  $V$  and  $W$  be Vector spaces and  $T$  be a linear transformation from  $V$  to  $W$ . Then the null space of  $T$  is a subspace of  $V$  and the range of  $T$  is a subspace of  $W$ .

- 2.3 (pg 70) Fundamental dimension theorem (read proof): Let  $V$  and  $W$  be vector spaces and  $T$  be a linear transformation from  $V$  to  $W$ . If  $V$  is finite dimensional then  $\text{Rank}(T) + \text{Nullity}(T) = \dim(V)$
- 2.6 and corollary (pg 73) talk on minday: Let  $V$  and  $W$  be vector spaces over  $F$  and suppose that  $\{v_i\}_n$  is a basis for  $V$ . For  $w_1, \dots, w_n \in W$  exists a unique linear transformation  $T : V \rightarrow W$  such that  $T(v_i) = w_i$  for  $i = 1, \dots, n$ .
- 2.11 (pg 89) super imp but read proof: Let  $V, W$  and  $Z$  be vector spaces over  $F$  and with bases  $\alpha, \beta, \gamma$  respectively. Let  $T : V \rightarrow W$  and  $U : W \rightarrow Z$  be linear transformations. Then  $[UT]_\alpha^\gamma = [U]_\beta^\gamma [T]_\alpha^\beta$
- 2.14 (pg 92) super imp but read proof: Let  $V$  and  $W$  be finite dimensional vector spaces having ordered bases  $\beta$  and  $\gamma$  respectively and let  $T : V \rightarrow W$  be a linear transformation then  $\forall x \in V [T(x)]_\gamma = [T]_\beta^\gamma [x]_\beta$

### Note for Corollary 1 and 2:

This can be generalized to any vector space  $V$  of dimension  $n$  by taking a unique linear transformation  $T$  from  $V$  to  $F^n$ . Then  $T$  is a linear isomorphism since it takes a basis to a basis which implies that it is onto with null space  $\{0\}$ . Its inverse is also a linear transformation and onto. Now, any linear isomorphism takes any spanning set to a spanning set and also takes any linearly independent set to a linearly independent set (which follows from the fact that the null space of the linear isomorphism is  $\{0\}$ ), so these properties hold for both  $T$  and  $T^{-1}$ . We will need to use these properties of  $T^{-1}$  because we will need that  $T^{-1}$  applied to any basis of  $F^n$  is a basis of  $V$ .

To prove Theorem 1 for  $V$ , given a finite linearly independent set, its image under  $T$  is also linearly independent, so it has at most  $n$  elements by Theorem 1 above. If it has exactly  $n$  elements, then its image under  $T$  is a basis of  $F^n$  by Theorem 1 above, and so the set itself is a basis of  $V$  since  $T^{-1}$  applied to a basis is a basis.

To prove Theorem 2 for  $V$ , given a finite spanning set in  $V$ , its image under  $T$  spans  $F^n$ , so it has at least  $n$  elements by Theorem 2 above. If it has  $n$  elements, then its image under  $T$  is a basis of  $F^n$  by Theorem 2 above, and so the set itself is a basis of  $V$  (for the same reason as above). This proves both of the theorems for  $V$ .

To prove the corollary for  $V$ , combine Theorems 1 and 2 for  $V$ . (Or, one can directly invoke the corollary for  $F^n$ : Given a finite basis of  $V$ , its image under  $T$  is a finite basis of  $F^n$  and so it has  $n$  elements.)

## 2 Review

### 2.1 Proof of content (helpful info) that needs to be known

#### 2.1.1 1.9

If a vector space  $V$  is generated by a finite set  $S$ , then some subset of  $S$  is a basis for  $V$ . Hence  $V$  has a finite basis.

*Proof.* If  $S = \emptyset$  or  $= \{0\}$  then  $V = \{0\}$  and the empty set is a basis for  $V$ .

Otherwise  $S$  contains a non-zero vector  $u_1$ . This element itself is obviously LI. now choosing  $u_2 \dots u_k$  such that  $u_1, \dots, u_k$  is LI. Since  $S$  is a finite set we can continue this process until we have a basis for  $V$ .

This can happen until  $\beta = S$  and we have generating set of  $V$  and a LI set of  $V$  which implies this is a basis for  $V$ .

If the set  $\beta$  is a proper subset of  $S$  such that  $\beta \cup$  any other element of  $S$  is not LI then  $\beta$  is our desired subset of  $S$

Clearly  $\beta$  is LI by construction we only need to show that  $\beta$  generates  $V$ .

Let  $v \in S$ . If  $v \in \text{span}(\beta)$  then we are done. If  $v \notin \text{span}(\beta)$  then  $v$  is a linear combination of  $\beta$  and some  $u_i \in S$ . but we have seen that for that  $u_i$  with  $\beta$  is linearly dependent thus  $v \in \text{span}(\beta)$

□

### Notes

The proof of this is kinda shaky but intuitively uses the idea of choosing the Longest LI chain of vectors in  $S$  then showing that this is a basis for  $V$ . as any vector in  $S$  is a LC of vectors of the basis and since we know  $S$  generates  $V$  we can see that the basis generates  $V$ .

### 2.1.2 1.10

READ THE PROBLEMS PROOFS:

#### Notes:

This essentially is a proof by induction on the size of the Linearly independent set. We see that we can replace any element of the generating set with an element of the linearly independent set to get a new generating set. This is done by isolating the element of the linearly independent set in the span of the generating set and then replacing it with the element of the generating set. This is done by induction on the size of the linearly independent set.

### 2.1.3 Cayley Hamilton Theorem

READ THE PROBLEMS PROOFS:

#### Notes:

This is done by using the  $T$  - *cyclic* subspaces of  $V$ . If  $W$  is the  $T$  - *cyclic* subspace generated by  $v$  then we can see that the characteristic polynomial of  $T_W$  divides the characteristic polynomial of  $T$ . Since  $T_W$  satisfies its characteristic polynomial we can see that  $T$  satisfies its characteristic polynomial.

### 2.1.4 Corollary 1 of 1.10

Let  $V$  be a VS with a finite basis. Then all bases for  $V$  are finite and every basis for  $V$  contains the same number of vectors.

*Proof.* Suppose a basis  $\beta$  of size  $n$  for  $V$  and another basis  $\gamma$  for  $V$  of size  $m$ . If  $\gamma$  has more than  $n$  vectors. Then we can select a subset  $S$  of  $\gamma$  of size  $n + 1$ . Since  $S$  is LI and  $\beta$  generates  $V$  the replacement theorem tells us this is a contradiction as  $n + 1$  is not less than  $n$ .

Therefore  $\gamma$  is finite and has at least the number of vectors in  $\beta$ .

Now if we reverse the roles of  $\beta$  and  $\gamma$  we can see that  $\beta$  has at least the number of vectors in  $\gamma$ .

Thus  $m = n$  and all bases for  $V$  have the same number of vectors.  $\square$

### Notes:

This is a simple proof that employs the fact the size of a basis is bounded above and below by the number of longest LI chain of vectors in  $V$ .

### 2.1.5 Corollary 2(a,b,c)

Let  $V$  be a  $n$  dimensional vectorspace

- (a) Any finite generating set for  $V$  contains at least  $n$  vectors and a generating set for  $V$  with exactly  $n$  vectors is a basis for  $V$
- (b) Any linearly independent subset of  $V$  that contains  $n$  vectors is a basis for  $V$
- (c) Every linearly independent subset of  $V$  can be extended to a basis for  $V$  that is if  $L$  is a LI subset of  $V$  then there is a basis  $\beta$  of  $V$  such that  $L \subseteq \beta$

*Proof.* Let  $\beta$  be a basis for  $V$  and let  $G$  be a generating set for  $V$ .

- (a) We know that a subset  $H$  of  $G$  must be a basis for  $V$  and thus  $H$  must have  $n$  vectors by corollary 1 of 1.9. Thus  $G$  must have at least  $n$  vectors. Moreover if  $G$  has exactly  $n$  vectors then  $H = G$  and  $G$  is a basis for  $V$ .
- (b) Let  $L$  be a LI subset of  $V$  with  $n$  vectors. By the replacement theorem we can see that there is a subset  $H$  of  $\beta$  containing  $n - n$  vectors such that  $L \cup H$  is a generating set for  $V$ . Thus  $H = \emptyset$  and  $L$  generates  $V$  and is LI and thus a basis for  $V$ .
- (c) If  $L$  is a LI subset of  $V$  containing  $m$  vectors then by the replacement theorem we can see that there is a subset  $H$  of  $\beta$  containing  $n - m$  vectors such that  $L \cup H$  is a generating set for  $V$ . Thus  $L$  can be extended to a basis for  $V$ .

$\square$

### Notes:

- (a) is kinda trivial as we know the dimension of  $V$  is  $n$  and thus any generating set must have at least  $n$  vectors and  $n$  vectors is a basis for  $V$ .
- (b) is a direct application of the replacement theorem. with  $m = n$  and thus  $H = \emptyset$
- (c) is a direct application of the replacement theorem. with  $m < n$  and thus  $H$  is a subset of  $\beta$  that extends  $L$  to a basis for  $V$

### 2.1.6 Proof of Theorem 1 and 2 near end of 13 notes

- 1: In  $F^n$  every finite linearly independent set has at most  $n$  elements and if it has exactly  $n$  elements then it is a basis of  $F^n$
- 2: In  $F^n$  every finite spanning set has at least  $n$  elements and if it has exactly  $n$  elements then it is a basis of  $F^n$

*Proof.* Let the VS be  $F^n$

- 1

Let the set  $\{v_1, \dots, v_k\}$  be a finite LI set in  $F^n$ .

Let the vectors form the matrix  $A = [v_1 \ \dots \ v_k]$

Let  $R = rref(A)$ . by CCP we can see the columns of  $R$  are LI.

Thus  $R(x) = 0$  has no nontrivial columns and the nullity of  $R$  (and in turn  $A$ ) is 0.

Thus  $R$  must be  $\begin{bmatrix} I_R \\ 0 \end{bmatrix}$  Thus  $k \leq n$

If  $k = n$ , there are no zero rows, and thus  $R$  is the identity matrix and thus  $A$  is a basis for  $F^n$  since rank of  $A$  is  $n$  and the columns of  $A$  span  $F^n$  and is LI.

- 2

Let the set  $\{v_1, \dots, v_k\}$  be a finite spanning set in  $F^n$ .

Let the vectors form the matrix  $A = [v_1 \ \dots \ v_k]$

Let  $R = rref(A)$ . by CCP we can see the columns of  $R$  are LI.

We know that  $Ax = b \implies Rx = c$  for some  $b \in F^n$  and  $c \in F^n$

Since  $R$  cannot have any 0 rows, it must be that  $rank(A) = rank(R) = n$  and thus  $k \geq n$

If  $k = n$ ,  $R = I_n$  and thus the rank is  $n$  and the columns are LI by ccp and thus  $A$  is a basis for  $F^n$

□

**Notes:**

### 2.1.7 RREF being unique

Prove that for any  $m \times n$  matrix over a field  $F$ , the reduced row echelon form is unique.

*Proof.* We can suppose that  $A$  has 2 RREFs  $R$  and  $S$ .

We need to show that  $R = S$

In other words for each of the  $n$  columns of  $R$  and  $S$  we need to show that the columns are equal  $r_i = s_i$

We can do this by induction on the number of columns.



For the base case of  $n = 1$ .

$r_1 = 0 \iff s_1 = 0$  since  $\{0\}$  is a linearly dependant set and a nonzero column vector is linearly independant.

Then if  $r_1 \neq 0$  then it must be  $\begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$  since  $R$  is in RREF.

similar for  $s_1$

Thus clearly  $r_1 = s_1$

Now for the inductive hypothesis we can assume that

$$r_1 = s_1, \dots, r_{j-1} = s_{j-1}$$

We need to show that  $r_j = s_j$

We can denote the the  $k$  pivot columns of  $R$  and  $S$  up to  $j$  as  $r_{p_1}, \dots, r_{p_k}$  and  $s_{p_1}, \dots, s_{p_k}$   
 $r_j$  is either a LC of prior pivot columns or is a pivot column itself.

If  $r_j$  is a pivot column then it is the  $j^{th}$  pivot column and thus  $r_j = \begin{bmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{bmatrix}$  and similar for  $s_j$

since it is row equivalent to  $R$

If  $r_j$  is a LC of prior pivot columns then it is a linear combination of the pivot columns of  $R$  given by  $\sum_{i=1}^k c_i r_{p_i}$  for some  $c_i \in F$

Since  $r_{p_1}, \dots, r_{p_k}$  are the pivot columns of  $S$  we can see that  $r_j$  is a linear combination of the pivot columns of  $S$  and thus  $r_j = s_j$

Thus  $R = S$

□

### Notes:

This is a fun and important proof of the uniqueness of the RREF of a matrix using CCP. This is done by induction on the number of columns of the matrix. The base case is trivial and the inductive step is done by showing that the  $j^{th}$  column of  $R$  is equal to the  $j^{th}$  column of  $S$  by showing that it is a pivot column or a linear combination of pivot columns.

### 2.1.8 Column Correspondence Principle

*Proof.* \*\*\*

□

### Notes:

### 2.1.9 1.11 (pg 50)

Let  $W$  be a subspace of finite-dimensional vector space  $V$ . Then  $W$  is finite-dimensional and  $\dim(W) \leq \dim(V)$ . Moreover, if  $\dim(W) = \dim(V)$  then  $W = V$ .

*Proof.* Let  $n = \dim(V)$  and let  $\beta = \{v_1, \dots, v_n\}$  be a basis for  $V$ .

Then  $\beta$  generates  $V$  and thus  $\beta$  generates  $W$ .

If  $W = \{0\}$  then  $\dim(W) = 0$  and we are done.

If  $W \neq \{0\}$  then there exists a non-zero vector  $w_1 \in W$ .

We can write  $w_1$  as a linear combination of the vectors in  $\beta$ .

If we take the longest LI chain in  $W$  we can see that this chain has at most  $n$  vectors and the length of this chain is the dimension of  $W$ .

Thus  $\dim(W) \leq n = \dim(V)$

If  $\dim(W) = \dim(V)$  then the longest LI chain in  $W$  has  $n$  vectors and thus is a basis for  $W$  by the replacement theorem.

□

#### Notes:

This is actually such a cute little proof that is super trivial. This essentially takes the Longest LI chain in  $W$  and shows it is bounded above by the dimension of  $V$ . If the dimension of  $W$  is equal to the dimension of  $V$  then the longest LI chain in  $W$  is a basis for  $W$  by corollary 2 of 1.10.

### 2.1.10 2.2 (pg 68)

Let  $V$  and  $W$  be Vector spaces and  $T$  be a linear transformation from  $V$  to  $W$ . Then the null space of  $T$  is a subspace of  $V$  and the range of  $T$  is a subspace of  $W$ .

*Proof.* We can let  $0_V$  be the zero vector in  $V$  and  $0_W$  be the zero vector in  $W$ .

Since  $T(0_V) = 0_W$  we can see that  $0_V \in N(T)$ .

let  $u, v \in N(T)$  and  $c \in F$ .

Then  $T(u) = 0_W$  and  $T(v) = 0_W$

Thus  $T(u + v) = T(u) + T(v) = 0_W + 0_W = 0_W$

$T(cu) = cT(u) = c0_W = 0_W$

Thus  $N(T)$  is a subspace of  $V$ .

Since  $T(0_V) = 0_W$  we can see that  $0_W \in R(T)$ .

Let  $w_1, w_2 \in R(T)$  and  $c \in F$ .

Then  $\exists u_1, u_2 \in V$  such that  $T(u_1) = w_1$  and  $T(u_2) = w_2$

Thus  $T(u_1 + u_2) = T(u_1) + T(u_2) = w_1 + w_2$

$T(cu_1) = cT(u_1) = cw_1$

Thus  $R(T)$  is a subspace of  $W$ .

□

#### Notes:

This is a super trivial proof applying the definition of a subspace.

1)  $0$  is in subspace

- 2) Closed under addition
- 3) Closed under scalar multiplication

### 2.1.11 2.3 (pg 70)

#### Fundamental Dimension Theorem

Let  $V$  and  $W$  be vector spaces and  $T$  be a linear transformation from  $V$  to  $W$ .

If  $V$  is finite dimensional then  $\text{Rank}(T) + \text{Nullity}(T) = \dim(V)$

*Proof.* Suppose  $\dim(V) = n$ . We can consider  $\dim(N(T)) = k < n$  with a basis  $\gamma = \{u_1, \dots, u_k\}$  for  $N(T)$

We can see that  $\gamma$  extends to a basis  $\beta$  for  $V$  by the replacement theorem.

We will denote the extension of  $\gamma$  by  $\{u_1, \dots, u_k, v_{k+1}, \dots, v_n\}$

We can see that  $\alpha = \{T(v_{k+1}), \dots, T(v_n)\}$  is a basis for  $R(T)$

Now to prove that  $\alpha$  is a basis for  $R(T)$  we need to show that it is LI and generates  $R(T)$ .

It is clearly LI by construction since it is a subset of another LI set.

We know by theorem 2.2 that  $R(T)$  is a subspace of  $W$  and thus  $\alpha$  generates  $R(T)$ .

Thus  $\dim(R(T)) = n - k$

Thus  $\text{Rank}(T) = n - k$  and  $\text{Nullity}(T) = k$

Thus  $\text{Rank}(T) + \text{Nullity}(T) = n$

□

#### Notes:

This is a pretty simple proof that uses the replacement theorem to extend a basis of the null space to a basis of the vector space. Then we can see that the basis of the range is the image of the basis of the vector space under  $T$ . This is a basis for the range and thus we can see that the rank of  $T$  is the dimension of the range and the nullity of  $T$  is the dimension of the null space.

### 2.1.12 2.6 and corollary (pg 73)

Let  $V$  and  $W$  be vector spaces over  $F$  and suppose that  $\{v_i\}_n$  is a basis for  $V$ . For  $w_1, \dots, w_n \in W$  exists a unique linear transformation  $T : V \rightarrow W$  such that  $T(v_i) = w_i$  for  $i = 1, \dots, n$ .

*Proof.* Let  $x \in V$ . then

$$x = a_1 v_1 + \dots + a_n v_n$$

Let  $T : V \rightarrow W$  be  $T(x) = a_1 w_1 + \dots + a_n w_n$

$T$  is linear since for  $x, y \in V$  and  $c \in F$  we have

$$T(x + y) = T(a_1 v_1 + \dots + a_n v_n + b_1 v_1 + \dots + b_n v_n) = T((a_1 + b_1)v_1 + \dots + (a_n + b_n)v_n)$$

$$= (a_1 + b_1)w_1 + \cdots + (a_n + b_n)w_n = a_1w_1 + \cdots + a_nw_n + b_1w_1 + \cdots + b_nw_n = T(x) + T(y)$$

$$T(cx) = T(c(a_1v_1 + \cdots + a_nv_n)) = T(ca_1v_1 + \cdots + ca_nv_n) = ca_1w_1 + \cdots + ca_nw_n = c(a_1w_1 + \cdots + a_nw_n) = cT(x)$$

Thus  $T$  is linear and  $T(v_i) = w_i$  for  $i = 1, \dots, n$

To show uniqueness suppose there exists another linear transformation  $S : V \rightarrow W$  such that  $S(v_i) = w_i$  for  $i = 1, \dots, n$

Then  $S(x) = S(a_1v_1 + \cdots + a_nv_n) = a_1S(v_1) + \cdots + a_nS(v_n) = a_1w_1 + \cdots + a_nw_n = T(x)$

Thus  $S = T$  and  $T$  is unique.

□

### Notes:

This is a super simple proof that shows that we can define a linear transformation by its action on a basis. This is done by defining the linear transformation on the basis and then extending it linearly to the entire vector space. This is a unique linear transformation.

### 2.1.13 2.11 (pg 89)

Let  $V, W$  and  $Z$  be vector spaces over  $F$  and with bases  $\alpha, \beta, \gamma$  respectively. Let  $T : V \rightarrow W$  and  $U : W \rightarrow Z$  be linear transformations. Then

$$[UT]_{\alpha}^{\gamma} = [U]_{\beta}^{\gamma}[T]_{\alpha}^{\beta}$$

*Proof.*

□

### Notes:

This is essentially asking us to see that taking the transformation from alpha to beta to gamma is the same as the one from alpha to gamma. This is done by taking the matrix of the transformation from alpha to beta and the transformation from beta to gamma and multiplying them to get the transformation from alpha to gamma.

### 2.1.14 2.14 (pg 92)

Let  $V$  and  $W$  be finite dimensional vector spaces having ordered bases  $\beta$  and  $\gamma$  respectively and let  $T : V \rightarrow W$  be a linear transformation then  $\forall x \in V$

$$[T(x)]_{\gamma} = [T]_{\beta}^{\gamma}[x]_{\beta}$$

*Proof.*

□

### Notes:

This is essentially asking us to see that the matrix of the transformation applied to a vector is the same as the transformation applied to the matrix of the vector. This is done by taking the matrix of the transformation and multiplying it by the matrix of the vector.

### 2.1.15 Brain Teaser 1

Must similar matrices have the same RREF? In class I said the answer is no. Can you find a 2x2 counterexample and prove that it really is a counterexample?

*Proof.* Let  $A = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$  and  $B = \begin{bmatrix} 1 & 1 \\ 0 & 0 \end{bmatrix}$

We can see that  $A$  and  $B$  are similar as  $B = PAP^{-1}$  where  $P = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$

We can see that the RREF of  $A$  is  $A$  and the RREF of  $B$  is  $B$  and thus similar matrices do not have the same RREF.

□

### 2.1.16 Brain Teaser 2

Must row-equivalent matrices be similar? Try to prove or find a 2x2 counterexample.

*Proof.* Let  $A = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$  and  $B = \begin{bmatrix} 1 & 1 \\ 0 & 0 \end{bmatrix}$

We can see that  $A$  and  $B$  are row equivalent as  $B = \begin{bmatrix} 1 & 1 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$

We can see that  $A$  and  $B$  are not similar as  $A$  is diagonalizable and  $B$  is not.

□

### 2.1.17 Brain Teaser 3

Suppose that  $F = \mathbb{F}_2$  instead of  $\mathbb{R}$ . Again, exactly as above,  $e_1 + e_2$  and  $e_1 - e_2$  are eigenvectors for  $A$  with eigenvalues 1 and  $-1$ , respectively, and so, again,  $A$  is similar to the diagonal matrix with diagonal entries 1 and  $-1$ . But in  $\mathbb{F}_2$ ,  $-1 = 1$ , so  $A$  is similar to the identity matrix. But the only matrix similar to the identity matrix is of course the identity matrix, so  $A$  *can't* be similar to the identity matrix. That was the weird conclusion (contradiction) that we "derived" in class. Where was the fallacy in this argument? And, also, *is*  $A$  diagonalizable or not over  $\mathbb{F}_2$ ?

*Proof.* We can see that the reason why that  $A$  is not diagonalizable is that the eigenvalues of  $A$  are repeated with multiplicity two, but the eigenspace is of dimension one since the only eigenvector of  $A$  is

$$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} - \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$$

and the only eigenvector of  $A$  is  $e_1 + e_2$

Thus  $A$  is not diagonalizable over  $\mathbb{F}_2$

and thus it is not similar to the identity matrix.

□

### 3 Problems

1. Formulate then prove the Replacement Theorem for a vector space  $V$  over a field  $F$ .

**Solution:** The Replacement Theorem (1.10) states the following:

**Theorem 1.** *Let  $V$  be a vector space over a field  $F$  by generated by a generating set  $G$  containing  $n$  vectors and let  $L$  be a linearly independent subset of  $V$  containing  $m < n$  vectors. Then there exists a subset  $H$  of  $G$  containing  $n - m$  vectors such that  $L \cup H$  is a generating set for  $V$ .*

In other words this is saying that if we have a linearly independent set of vectors in a vector space, we can replace some of the vectors in the generating set with vectors from the linearly independent set to get a new generating set.

*Proof.* Let  $V$  be a vector space over a field  $F$ . Let  $G$  be a generating set for  $V$  of size  $n$  and let  $L$  be a linearly independent subset of  $V$  of size  $m < n$ .

Proof by induction on  $m$ .

**Base Case:** If  $m = 0$  then  $L = \emptyset$  and if we take  $H = G$  then  $L \cup H = G$  is a generating set for  $V$ .

**Inductive Hypothesis:** Assume that the theorem holds for all linearly independent sets of size  $m$ .

**Inductive Step:** Let  $L$  be a linearly independent set of size  $m + 1$ . Let  $L = \{v_1, \dots, v_{m+1}\}$ . Then  $L' = \{v_1, \dots, v_m\}$  is a linearly independent set of size  $m$ .

By the inductive hypothesis, there exists a subset  $H'$  of  $G$  containing  $n - m$  vectors such that  $L' \cup H'$  is a generating set for  $V$ . Let  $H' = \{u_1, \dots, u_{n-m}\}$ .

Since  $L' \cup H'$  is a generating set for  $V$ , there exists a linear combination of the vectors in  $L' \cup H'$  that equals  $v_{m+1}$ . Let  $v_{m+1} = a_1v_1 + \dots + a_mv_m + b_1u_1 + \dots + b_{n-m}u_{n-m}$ . We must have  $n > m$  and there exists a non-zero  $b_i$  otherwise the set  $L'$  would not be linearly independent.

Then we can isolate a  $u_i$  with a non zero coefficient  $b_i$  (WLOG let it be  $u_1$ ) to see that  $u_1 = b_1^{-1}(v_{m+1} - a_1v_1 - \dots - a_mv_m - b_2u_2 - \dots - b_{n-m}u_{n-m})$ .

We can see that if we take  $H = H' \setminus \{u_1\}$  we satisfy  $L \cup H$  being a generating set for  $V$ .

This is clear as  $u_1 \in \text{span}(L \cup H)$ ,  $\text{span}(L' \cup H') \subseteq \text{span}(L \cup H)$  and  $v_{m+1} \in \text{span}(L \cup H)$ .

Thus by induction, the theorem holds for all  $m$  and we can replace vectors in the generating set with vectors from the linearly independent set to get a new generating set.  $\square$

Think of the mechanics of this proof literally being a replacement in an induction.  
 We know that the one element  $v_{m+1} \in L$  can be replaced by an element of  $H$  to get a LI set of vectors for  $V$ .

2. Formulate then prove the Cayley-Hamilton Theorem for a linear operator  $T$  on an  $n$ -dimensional vector space  $V$  over a field  $F$ .

**Solution:** Let  $T$  be a linear operator on an  $n$ -dimensional vector space  $V$  over a field  $F$ . Let  $f(t)$  be the characteristic polynomial of  $T$   
 Then  $f(T) = T_0$  where  $T_0$  is the zero operator.  
 In other words  $T$  satisfies its characteristic polynomial.

*Proof.* We need to prove that  $f(T) = T_0$  where  $T_0$  is the zero operator.

IE  $f(T)(v) = 0$  for all  $v \in V$ .

if  $v = 0$  then  $f(T)(0) = 0$  trivially

If  $v \neq 0$

Let  $W$  be a  $T$ -cyclic subspace of  $V$  generated by  $v$  of dimension  $k$ .

Let  $\gamma = \{v, Tv, T^2v, \dots, T^{k-1}v\}$  be a basis for  $W$ .

We can see that  $a_0 + a_1T + \dots + a_{k-1}T^{k-1} + T^k = 0$  for all  $v \in W$  Let  $g(t)$  be the characteristic polynomial of  $T_W$ .

Then  $g(t) = a_0 + a_1t + \dots + a_{k-1}t^{k-1} + t^k$

We see that  $g(T)(v) = 0$  for all  $v \in W$

We know that  $g(t)$  divides  $f(t)$  and thus  $\exists q(t)$  such that  $f(t) = q(t)g(t)$

Then  $f(T)(v) = q(T)g(T)(v) = 0$  for all  $v \in W$

□

This uses the idea of  $T$ -cyclic subspaces. Since the  $t$ -cyclic subspace is generated by  $v$  and  $T$  acts on  $v$  to get  $Tv$  and so on, we can get a final  $T^k$  to be a Linear combination of the prior terms. This mirrors the characteristic polynomial of  $T_W$  and since it is a restriction of  $T$  we can see that the CP of  $T_W$  divides the CP of  $T$ . Since cp of  $T_W$  is satisfied by  $T$  we can see that the CP of  $T$  is satisfied by  $T$ .