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## Lexical Analysis

- divide input into tokens
- identifier

int 97x3j → not valid, does not need  
to be parsed



language is a set of strings

$L('a')$  is  $\{"a"\}$

$L('a^*)$  is  $\{"a", "aa", "aaa", \dots\}$

## Regex

"a"	$\{"a"\}$
$\epsilon$	$\{\}$
$S t$	$L(S) \cup L(t)$
$St$	$\{uw \mid u \in L(S), w \in L(t)\}$
$S^*$	$\{ \cdot \} \cup \{uw \mid u \in L(S), w \in L(S^*)\}$

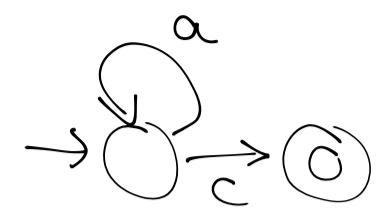
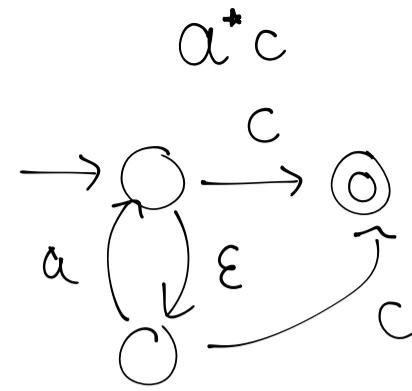
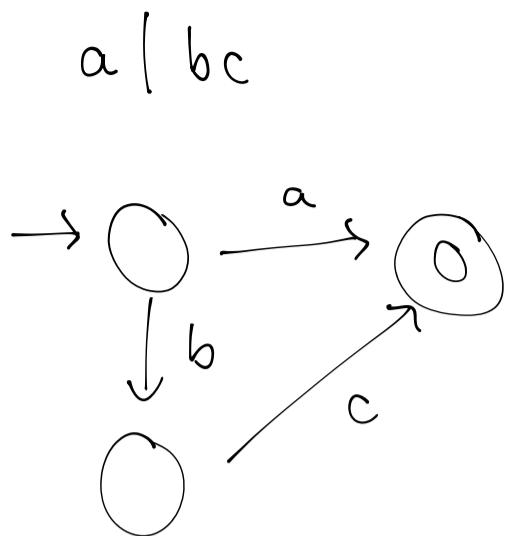
$$(0|1|2|3) = [0-3]$$

Identifier in the unnamed language

$$([a-zA-Z][A-Za-z]|\_) ([0-9]|[a-zA-Z][A-Za-z]|\_)*$$

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NFA



Convert a RE to NFA

Regex

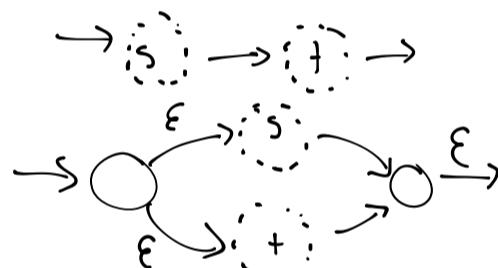
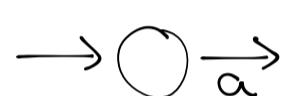
'a'

'ε'

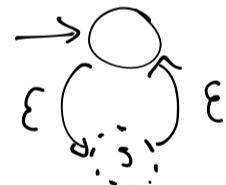
s\*

s|t

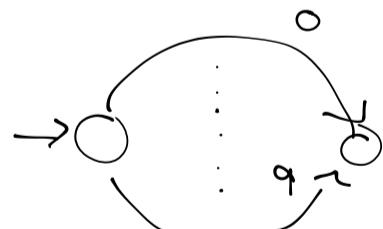
Fragment



$s^*$



[0-9]

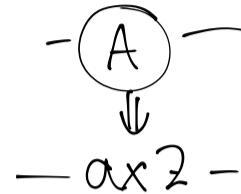


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## Derivation

Consider productions as rewrite rules whenever we have a non terminal.

$$\begin{array}{l} A \rightarrow \alpha x^2 \\ A \rightarrow t p Q \end{array}$$



①  $\Rightarrow$  derivation

$$\alpha N B \Rightarrow \alpha y B, \text{ if } \exists N \Rightarrow y$$

②  $\alpha \Rightarrow \alpha$

③  $\alpha \Rightarrow \gamma$  if  $\exists \beta$  such that  $\alpha \Rightarrow \beta$   
 $\beta \Rightarrow \gamma$

Grammar G

$L(G)$  the set  $\{ w \in T^* \mid \underset{\substack{\uparrow \\ \text{start production}}}{S} \Rightarrow w \}$

FuncBody  $\rightarrow \{ \cdot \text{VarDecl}^* \text{Statement}^* \cdot \}$

VarDecl  $\rightarrow \text{Type id} \cdot \cdot$

Type  $\rightarrow \text{int}$   
 $\rightarrow \text{float}$

Statement  $\rightarrow \cdot \cdot \cdot$   
 $\rightarrow \text{Expr} \cdot \cdot \cdot$   
 $\rightarrow \text{id} := \text{expr} \cdot \cdot \cdot$

Expr  $\rightarrow \text{int literal}$   
 $\rightarrow \text{id}$

Can you derive  $\{ \text{int } x; x=9; \}$

FuncBody

{ VarDec\* Statement\* }

{ Type id ';' Statement\* }

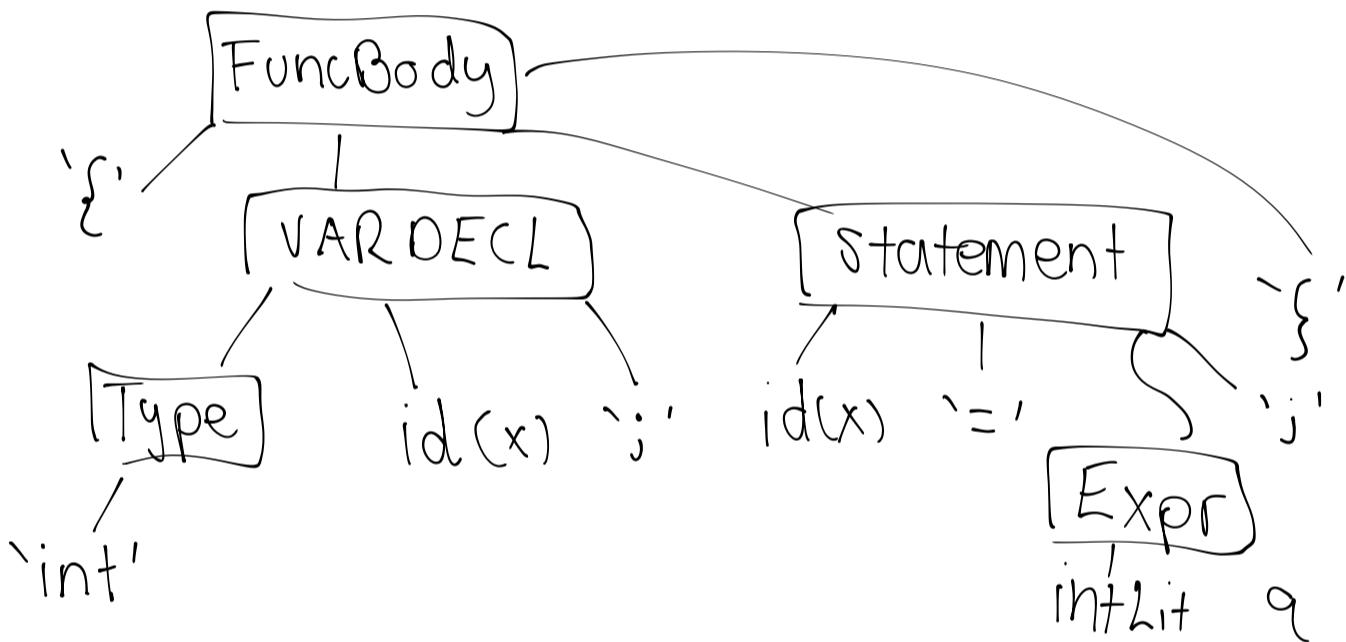
{ int id(x); Statement\* }

id '=' expr

a

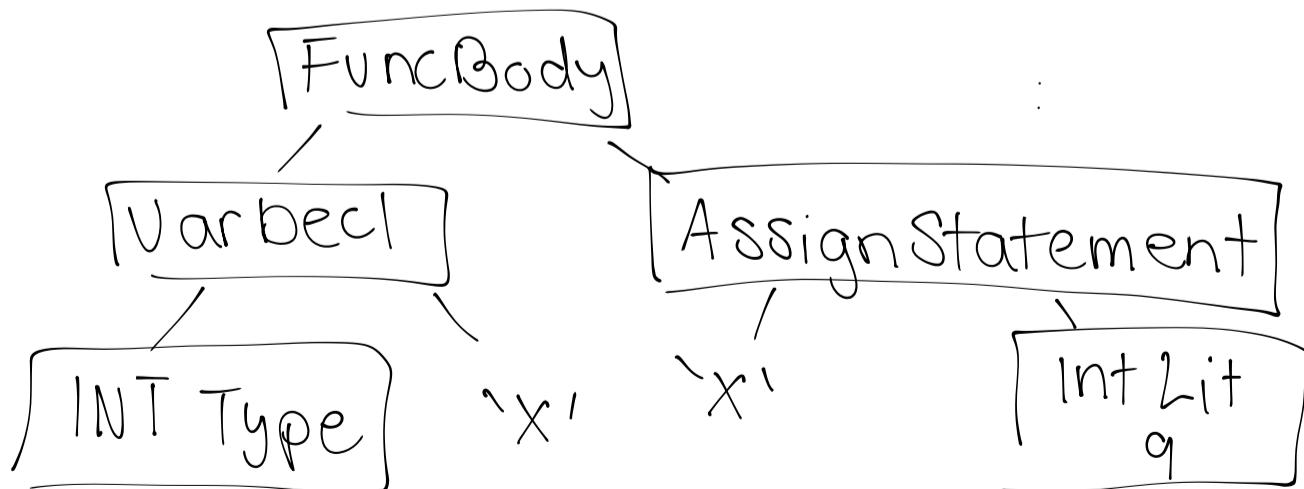
## Parse Tree

{ int x; x=9 }



## Abstract Syntax Tree (AST)

Each box is a class in Java



## Nullable

$$\text{Nullable } (\epsilon) = \text{true}$$

$$\text{Nullable } (a) = \text{false}$$

$$\text{Nullable}(a\beta) = \text{Nullable}(a) \wedge \text{Nullable}(\beta)$$

$$\text{Nullable}(N) = \text{Nullable}(a_1) \vee \dots \vee \text{Nullable}(a_n)$$

where  $N \rightarrow a_1 \quad \dots \quad N \rightarrow a_n$

## First

$$\text{First}(\epsilon) = \emptyset$$

$$\text{First}(a) = \{a\}$$

$$\text{First}(a\beta) = \begin{cases} \text{First}(a) \cup \text{FIRST}(\beta) & \text{if Nullable}(a) \\ \text{First}(a) & \text{else} \end{cases}$$

$$\text{FIRST}(N) = \text{FIRST}(a_1) \cup \dots \cup \text{FIRST}(a_n)$$

$N \rightarrow a_1, \dots, N \rightarrow a_n$

Remove Left Recursion:

$$A \rightarrow Aa_1 | Aa_2 | \dots | Aa_n | B_1 | \dots | B_m$$

a nonempty sequence of non-terminals  
and terminals

$B$ : sequence of non-terminals  
and terminals not starting with  $A$

Replace these with two sets of production:

$$A \rightarrow B, A' | \dots | B_m A'$$

$$A' \rightarrow a, A' | \dots | a_n A' | \epsilon$$

RHS

$T \rightarrow R$	T	{b\$}
$T \rightarrow aTc$	F	{a\$}
$R \rightarrow \epsilon$	T	$\emptyset$
$R \rightarrow bR$	F	{b\$}
	T	
	R	T

- ① Add non terminal  $S' \rightarrow S \$$  as a new start symbol
- ② For each non-terminal  $N$ , locate all occurrences of  $N$  on the right-hand sides of productions for each occurrence:
  - 2.1 Let  $B$  be the RHS after the occurrence of  $N$   
 $\alpha, \beta$  may be empty  
 $[m \rightarrow \alpha N \beta]^*$   
if the RHS contains several occurrences of  $N$  we split for each one.
  - 2.2 Let  $m = \text{FIRST}(B)$   
Add constant  
 $m \subseteq \text{FOLLOW}(N)$
  - 2.3 If  $\text{NULLABLE}(B)$ , add constant  
 $\text{FOLLOW}(m) \subseteq \text{FOLLOW}(N)$   
Note if  $B$  is empty,  $\text{NULLABLE}(B)$  is true. If  $M=N$  does not add anything

$T' \rightarrow T \$$  $T \rightarrow R$  $T \rightarrow aTc$  $R \rightarrow$  $R \rightarrow bR$  $\{ \$ \} \subseteq \text{Follow}(T) \quad ①$  $c \subseteq \text{Follow}(T) \quad ②$  $\text{Follow}(T) \subseteq \text{Follow}(R) \quad ③$  $T:$  $\overline{T' \rightarrow T \$}$  $m \rightarrow \alpha N B$  $①$  $\{ \$ \} \subseteq \text{Follow}(T)$  $T \rightarrow aTc \quad T \rightarrow aTB \quad ②$  $m = \text{FIRST}(\beta) = c$  $c \subseteq \text{Follow}(T)$  $R:$  $T \rightarrow R \quad T \rightarrow \alpha R \beta \quad ③$  $\text{NULLABLE}(\beta)$  $\text{Follow}(T) \subseteq \text{Follow}(R)$  $R \rightarrow bR \quad R \rightarrow \alpha R \beta$  $\text{Follow}(R) \subseteq \text{Follow}(R)$ 

$\text{Follow}(T)$	$\emptyset$	$i_1$	$i_2$
$\text{Follow}(R)$	$\emptyset$	$\{ \$, c \}$	$\{ \$, c \}$

$\text{Follow}(T)$	$\emptyset$	$\{ \$, c \}$	$\{ \$, c \}$
$\text{Follow}(R)$	$\emptyset$	$\{ \$, c \}$	$\{ \$, c \}$

Solve by

- ① Assume empty follow for all non-terminals  
 ② Handle all constraints of the form

$\text{FIRST}(\beta) \subseteq \text{Follow}(N)$

add

- ③ Handle all constraints of the form

$\text{Follow}(S) \subseteq \text{Follow}(N)$

$\text{FOLLOW}(M) \subseteq \text{FOLLOW}(N)$

↑ add

- ④ Iterate until no changes.

choose production  $N \rightarrow \alpha$  on input symbol  $c$  if

- $c \in \text{FIRST}(\alpha)$   
OR
- $\text{NULLABLE}(\alpha)$  and  $c$  in  $\text{FOLLOW}(N)$

LL(1)

Left to Right input processing

Left derivation

1 symbol look ahead

Midterm

- ① RE
- ② NFA,  $\text{RE} \rightarrow \text{NFA}$  \* NO DFA minimizing or conversion
- ③ Context Free Grammar
- ④ Parse Trees, derivation
- ⑤ Remove left recursion  
operator precedence in LL grammars
- ⑥ FIRST, NULLABLE, FOLLOW
- ⑦ LL(1)

Follow: A terminal symbol  $a$  is in  $\text{Follow}(N)$

iff there is a derivation from the start symbol  $S$  of the grammar

such that  $S \Rightarrow^* aN\alpha\beta$  where  $a$  and  $\beta$

are possibly empty sequence of symbols

Ambiguous grammar : find two different ways to derive a string

$$\begin{aligned} \text{Exp} &\rightarrow \text{Exp} + \text{Exp2} \\ \text{Exp} &\rightarrow \text{Exp} - \text{Exp2} \\ \text{Exp} &\rightarrow \text{Exp2} \\ \text{Exp2} &\rightarrow \text{Expr2}^* \text{ Expr3} \\ \text{Exp2} &\rightarrow \text{Exp2} / \text{Expr3} \\ \text{Expr2} &\rightarrow \text{Expr3} \\ \text{Expr3} &\rightarrow (\text{Exp}) \end{aligned}$$

A larger example

Nothing is nullable, we start w/ all false

First

AB	$\emptyset$	FIRST(A).
BA	$\emptyset$	FIRST(B)
a	$\emptyset$	$\{a\}$
CAC	$\emptyset$	FIRST(C)
b	$\emptyset$	$\{b\}$
CBC	$\emptyset$	FIRST(C)

N  
A  
B  
C

	$\emptyset$	$\text{FIRST}(A) \cup \text{FIRST}(B)$
N	$\emptyset$	$\text{FIRST}(C) \cup \{a\}$
A	$\emptyset$	$\text{FIRST}(C) \cup \{b\}$
B	$\emptyset$	
C	$\emptyset$	$\{a, b\}$

$AB$	$\emptyset$	$\{as\}$	$\{a,b\}$
$BA$	$\emptyset$	$\{bs\}$	$\{a,b\}$
$a$	$\{as\}$	$\{a\}$	$\{a\}$
$CAC$	$\emptyset$	$\{as, bs\}$	$\{a,b\}$
$b$	$\{bs\}$	$\{b\}$	$\{b\}$
$CBC$	$\emptyset$	$\{a, b\}$	$\{a,b\}$

$N$	$\emptyset$	$\{a, b\}$	$\{a, b\}$
$A$	$\{as\}$	$\{a, bs\}$	$\{a, b\}$
$B$	$\{bs\}$	$\{a, b\}$	$\{a, b\}$
$C$	$\{a, b\}$	$\{a, b\}$	$\{a, b\}$

$S \rightarrow N \$$

$S \rightarrow N \$$

$N \rightarrow AB$

$N \rightarrow BA$

$A \rightarrow a$

$A \rightarrow CAC$

$B \rightarrow b$

$B \rightarrow CBC$

$\{\$ \subseteq \text{FOLLOW}(N)\}$

$\text{FIRST}(B) \subseteq \text{FOLLOW}(A)$ ,  $\text{FOLLOW}(N) \subseteq \text{FOLLOW}(B)$

$\text{FIRST}(A) \subseteq \text{FOLLOW}(B)$ ,  $\text{FOLLOW}(N) \subseteq \text{FOLLOW}(A)$

$\text{FIRST}(C) \subseteq \text{FOLLOW}(A)$ ,  $\text{Follow}(A) \subseteq \text{FOLLOW}(C)$

?  $\text{FIRST}(A) \subseteq \text{FOLLOW}(C)$

$\text{FIRST}(B) \subseteq \text{FOLLOW}(C)$ ,  $\text{FIRST}(C) \subseteq \text{FOLLOW}(B)$

$\text{FOLLOW}(B) \subseteq \text{FOLLOW}(C)$

$C \rightarrow a$

$C \rightarrow b$

$\text{FOLLOW}(N) \quad | \quad \emptyset \quad | \quad \{\$\}$

$\text{FOLLOW}(B) \quad | \quad \emptyset \quad | \quad \text{FOLLOW}(N), \text{FIRST}(A), \text{FIRST}(C)$

$\text{FOLLOW}(A) \quad | \quad \emptyset \quad | \quad \text{FIRST}(B), \text{FOLLOW}(N), \text{FIRST}(C)$

$\text{FOLLOW}(C) \quad | \quad \emptyset \quad | \quad \text{FOLLOW}(A), \text{FIRST}(A), \text{FIRST}(B)$

$\text{FOLLOW}(N) \quad \{\$\}$

$\text{FOLLOW}(B) \quad \$, a, b \}$

$\text{FOLLOW}(S) \{ \$, a, b \}$   
 $\text{FOLLOW}(A) \{ \$, a, b \}$   
 $\text{FOLLOW}(C) \{ \$, a, b \}$

## Construction of LL(1) parsers

1. Eliminate ambiguity
2. Eliminate left-recursive
3. Perform left factorization as required
4. Add an extra start production  $S' \rightarrow S \$$
5. Calculate FIRST for every production  
and FOLLOW for every non-terminal
6. For non-terminal  $N$  and input symbol  $c$   
choose  $N \rightarrow a$  when:
  - $c \in \text{FIRST}(a)$  or
  - Nullable( $a$ ) and  $c \in \text{Follow}(N)$

## Left factorized

$\text{Stat} \rightarrow \text{id} := \text{Exp}$

$\text{Stat} \rightarrow \text{if Exp then Stat Elsepart}$

$\text{Elsepart} \rightarrow \text{else stat}$

$\text{Elsepart} \rightarrow$