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# CHAPTER 4

## PUBLIC KEY CRYPTO

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# APPENDIX

MODULAR ARITHMETIC

KNAPSACK

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DIFFIE-HELLMAN KEY EXCHANGE

ELLIPTIC CURVE CRYPTOGRAPHY

USES FOR PUBLIC KEY CRYPTO

# MODULAR ARITHMETIC



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- For integer  $x$  and  $n$ , “ $x \bmod n$ ” is the **remainder** of  $x / n$ .

- Examples

$$7 \bmod 6 = 1$$

$$33 \bmod 5 = 3$$

$$33 \bmod 6 = 3$$

$$51 \bmod 17 = 0$$

$$17 \bmod 6 = 5$$

## Practice

$$6 \bmod 5 = ?$$

$$23 \bmod 5 = ?$$

$$10 \bmod 5 = ?$$

$$58 \bmod 20 = ?$$

$$100 \bmod 20 = ?$$

# MODULAR ADDITION



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## ■ Notation and facts

- $7 \bmod 6 = 1$
- $7 = 13 = 1 \bmod 6$
- $((a \bmod n) + (b \bmod n)) \bmod n = (a + b) \bmod n$
- $((a \bmod n)(b \bmod n)) \bmod n = ab \bmod n$

## ■ Addition example

- $3 + 5 = 2 \bmod 6$
- $2 + 4 = 0 \bmod 6$
- $3 + 3 = 0 \bmod 6$
- $(7 + 12) \bmod 6 = 19 \bmod 6 = 1 \bmod 6$
- $(7 + 12) \bmod 6 = (1 + 0) \bmod 6 = 1 \bmod 6$



## ■ Multiplication example

- $3 \cdot 4 = 0 \bmod 6$
- $2 \cdot 4 = 2 \bmod 6$
- $5 \cdot 5 = 1 \bmod 6$
- $(7 \cdot 4) \bmod 6 = 28 \bmod 6 = 4 \bmod 6$
- $(7 \cdot 4) \bmod 6 = (1 \cdot 4) \bmod 6 = 4 \bmod 6$

# MODULAR INVERSE



- *Additive inverse* of  $x \bmod n$ , denoted as  $-x \bmod n$ , is the number that must be added to  $x$  to get  $0 \bmod n$ .
  - $-2 \bmod 4 = \hat{6}$  since  $2+4 = 0+6$
- *Multiplicative inverse* of  $x \bmod n$ , denoted  $x^{-1} \bmod n$ , is the number that must be multiplicative by  $x$  to get  $1 \bmod n$ .
  - $3^{-1} \bmod 7 = 5$ ; since  $3 \cdot 5 = 1 \bmod 7$

# MODULAR ARITHMETIC QUIZ



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- What is  $-3 \bmod 6$ ?
- 3  $3+3 \bmod 6 = 0$
- What is  $-1 \bmod 6$ ?
- 5  $1+5 = 0 \bmod 6$
- What is  $5^{-1} \bmod 6$ ?
- 5  $5*5 \bmod 6 = 0$   
or,  $5*5 = 0 \bmod 6$
- What is  $2^{-1} \bmod 6$ ?
- ???

# RELATIVE PRIMALITY



- $x$  and  $y$  are **relatively prime** if they have **no common factor** other than 1.
- $x^{-1} \bmod y$  exists only when  $x$  and  $y$  are relatively prime.
- $x^{-1} \bmod y$  is easy to find (when it exists) using **Euclidean** algorithm



# TOTIENT FUNCTION



- $\phi(n)$  is the number of numbers less than  $n$  that are relatively prime to  $n$ .
  - Positive integer.
- Example
  - $\phi(4) = 2$  since 4 is relatively prime to 3, 1.
  - $\phi(5) = 4$  since 5 is relatively prime to 1, 2, 3, 4
  - $\phi(12) = 4$
  - $\phi(p) = p-1$  if  $p$  is prime.
  - $\phi(pq) = (p-1)(q-1)$  if  $p$  and  $q$  are prime

$$\mathbb{Z}_{26} = (0, 1, 2, \dots, 25)$$

mod 26  
tion

$$\text{Gcd}(273, 301) = 77$$

$$11^{-1} \bmod 26 = 19$$

$g$	$\pi_1$	$\pi_2$	$\pi$	$t_1$	$t_2$	$t$
0	26	11	4	0	1	-2
2	11	4	3	1	-2	5
1	4	3	1	-2	5	-7
3	3	1	0	5	-7	26

$$\begin{aligned}
 11^{-1} \bmod 26 &= -7 \\
 &= -7 + 26 \\
 &= 19
 \end{aligned}$$

$$t = t_i - g t_{i+1}$$

$$0 - 2 \cdot 1 = -2$$

$$1 - (2 \times -2) = 5$$

$$-2 - (1 \times 5) = -7$$

$$5 - (3 \times -7) = 26$$

$$= 26$$

$$* 17^{-1} \bmod 203$$

$$\text{GCD}(17, 203) = 1$$

$$17 \mid 203 \mid 11 \rightarrow$$

$$\begin{array}{r} 17 \\ 33 \\ 13 \\ \hline 16 \end{array}$$

$$16 \mid 17 \mid 1$$

$$\begin{array}{r} 16 \\ 16 \\ \hline 1 \end{array}$$

$$11 \mid 16 \mid 16$$

$$\begin{array}{r} 16 \\ 16 \\ \hline x \end{array}$$

$$2 \mid 16 \mid 8$$

$$\begin{array}{r} 16 \\ 16 \\ \hline 0 \end{array}$$

	$g$	$\pi_1$	$\pi_2$	$\pi$	$t_1$	$t_2$	$t$
- 11	203	17	16	0	1	-11	
- 1	17	16	1	1	-11	12	
- 16	16	1	0	-11	12		

$$x^{-1} \bmod 181$$

$$t = t_i - g t_{i+1}$$

$$t = 0 - 11 \times 1$$

$$= -11$$

$$1 - (1 \times -11)$$

$$1 + 11$$

$$= 12$$

\*

$$\text{Gcd}(11, 26) = 1$$

$$11^{-1} \bmod 26 = ? \quad (-7) + 26 = 19$$

$q$	$r_1$	$r_2$	$r$	$t_1$	$t_2$	$t$
26	11	4	0	1	-2	
2	11	4	3	1	-2	5
1	4	3	1	-2	5	-7
3	3	1	0	5	-7	26

$$11^{-1} \bmod 26 = -7 + 26 = 19$$

$$11 \overline{26} \begin{array}{l} 2 \\ 22 \\ \hline 4 \end{array}$$

$$4 \overline{11} \begin{array}{l} 2 \\ 8 \\ \hline 3 \end{array}$$

$$3 \overline{4} \begin{array}{l} 1 \\ 3 \\ \hline 1 \end{array}$$

$$1 \overline{3} \begin{array}{l} 3 \\ 3 \\ \hline 0 \end{array}$$

$$t = t_i - g t_{i+1}$$

$$t = t_1 - g t_2 = 0 - (2 \times 1) = -2$$

$$1 - (2 \times -2)$$

$$t = -2 - (1 \times 5) = -7$$

$$t = 5 - (3 \times -7) = 26$$

# PKC IS NEWCOMER



- Different name
  - Asymmetric cryptography
    - Consider the **symmetric** cryptography
  - Two key cryptography
  - Non-security key cryptography
- The concept is relative **newcomer**
  - In the late 1960s by **GCHQ of British**
  - Independently, in early 1970s by academic researchers

# MISCONCEPTIONS ON PKC

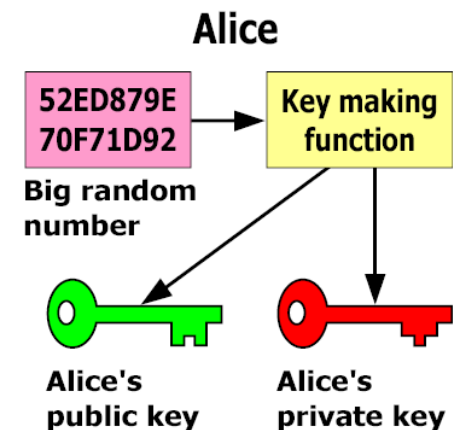


- **PKC is more secure than that of symm cipher**
  - Cipher Security is depends on computational work to break a cipher – both are depends on it
- **PKC made symm cipher obsolete**
  - The problem of computation overhead of PKC
- **Key distribution of PKC is trivial**
  - The procedures of PKC are so not simpler and more efficient than those of symm cipher
  - PKI is required for the key distribution of PKC

# KEY GENERATION OF PKC



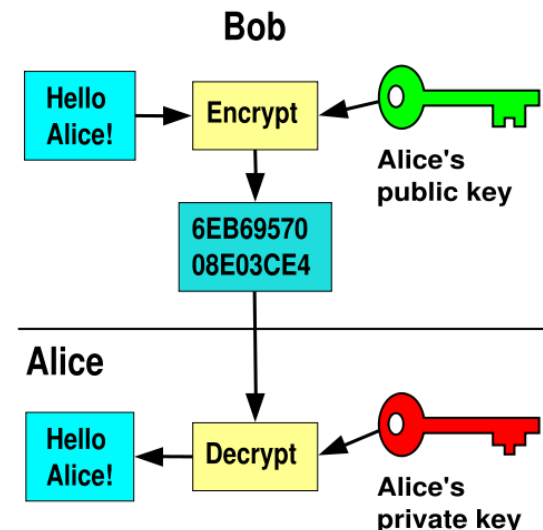
- Making two keys: Based on **trap door one way function**
  - Easy to compute in one direction
  - Hard to compute in other direction
  - “Trap door” used to create keys
  - Example: Given **p** and **q**, product  **$N=pq$**  is easy to compute, but given **N**, it is hard to find **p** and **q**
- A message encrypted by the **public key** can be decrypted only with the corresponding **private key**



# TWO MAIN BRACHES OF PKC



- Public key **Encryption**
  - Suppose we encrypt **M** with Alice's public key
  - Only Alice's private key can decrypt to find **M**



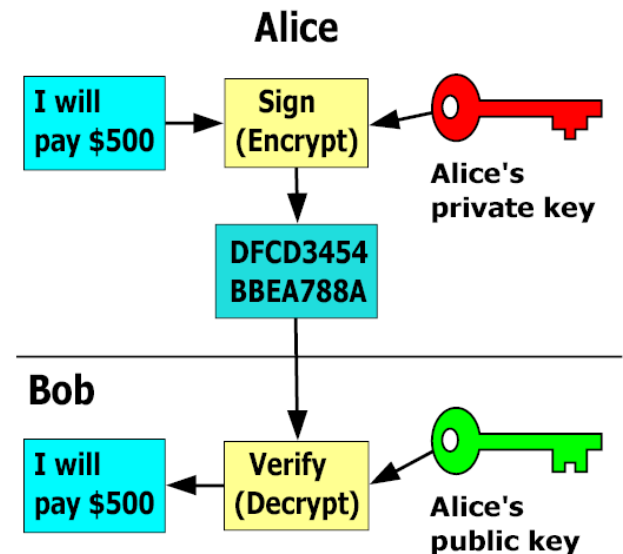


# TWO MAIN BRANCHES OF PKC



## ■ Digital Signature

- **Sign** by “encrypting” with private key
- Anyone can **verify** signature by “decrypting” with public key
- But only private key holder could have signed
- Like a handwritten signature (and then some)



# PKCS TO DISCUSS



- **Knapnsack**
  - The first proposed PKC
  - It is inscure
- **RSA**
  - Problem of factoring large numbers
- **Diffie-Hellman Key Exchange**
  - Discrete log problem
- **ECC(Elliptic Curve Cryptography)**
  - Based on the algebraic structure of elliptic curves over finite fields

# KNAPSACK



# KNAPSACK PROBLEM



- Given a set of  $n$  weights  $W_0, W_1, \dots, W_{n-1}$  and a sum  $S$ , is it possible to find  $a_i \in \{0,1\}$  so that

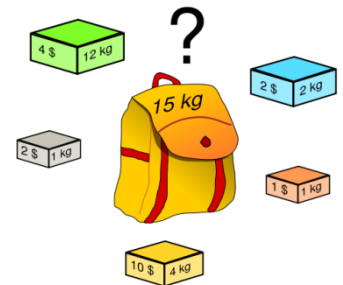
$$S = a_0W_0 + a_1W_1 + \dots + a_{n-1}W_{n-1}$$

(technically, this is “subset sum” problem)

- Example

- Weights (62,93,26,52,166,48,91,141)
- Problem: Find subset that sums to  $S=302$
- Answer:  $62+26+166+48=302$

- The (general) knapsack is NP-complete



# KNAPSACK PROBLEM



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- General knapsack (GK) is **hard** to solve
- But **superincreasing knapsack (SIK)** is easy
- **SIK** each weight greater than the sum of all previous weights
- Example
  - Weights (2,3,7,14,30,57,120,251)
  - Problem: Find subset that sums to  $S=186$
  - **Work from largest to smallest weight**
  - Answer:  $120+57+7+2=186$

# KNAPSACK CRYPTOSYSTEM



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1. Generate **superincreasing** knapsack (SIK)
2. Convert SIK into “**general**” knapsack (GK)
  - Public Key: **GK**
  - Private Key: **SIK plus conversion factors**

- Easy to encrypt with GK
- With private key, easy to decrypt (convert ciphertext to SIK)
- Without private key, must solve GK (???)

# KNAPSACK CRYPTOSYSTEM



1. Let (2,3,7,14,30,57,120,251) be the SIK

2. Choose  $m = 41$  and  $n = 491$   
with  $m, n$  rel. prime and  $n$   
greater than sum of elements of  
SIK

Then General knapsack can be  
computed;

3. General knapsack:  
(82,123,287,83,248,373,10,471)

$$2 \cdot 41 \bmod 491 = 82$$

$$3 \cdot 41 \bmod 491 = 123$$

$$7 \cdot 41 \bmod 491 = 287$$

$$14 \cdot 41 \bmod 491 = 83$$

$$30 \cdot 41 \bmod 491 = 248$$

$$57 \cdot 41 \bmod 491 = 373$$

$$120 \cdot 41 \bmod 491 = 10$$

$$252 \cdot 41 \bmod 491 = 471$$

# KNAPSACK EXAMPLE



- Private key: (2,3,7,14,30,57,120,251)

$$n = 491 \quad m^{-1} \bmod n \rightarrow 41^{-1} \bmod 491 = 12$$

- Public key: (82,123,287,83,248,373,10,471)

- Example: Encrypt 10010110

$$82 + 83 + 373 + 10 = 548$$

- To decrypt

- $548 \cdot 12 = 193 \bmod 491 = S$
- Solve (easy) SIK with  $S = 193$
- $193 = 2 + 14 + 57 + 120$
- Obtain plaintext 10010110

$2 \cdot 41 \bmod 491$	$= 82$
$3 \cdot 41 \bmod 491$	$= 123$
$7 \cdot 41 \bmod 491$	$= 287$
$14 \cdot 41 \bmod 491$	$= 83$
$30 \cdot 41 \bmod 491$	$= 248$
$57 \cdot 41 \bmod 491$	$= 373$
$120 \cdot 41 \bmod 491$	$= 10$
$252 \cdot 41 \bmod 491$	$= 471$



# KNAPSACK WEAKNESS



- **Trapdoor:** Convert SIK into “general” knapsack using modular arithmetic
- **One-way:** General knapsack easy to encrypt, hard to solve; SIK easy to solve
- This knapsack cryptosystem is **insecure**
  - Broken in 1983 with Apple II computer
  - The attack uses **lattice reduction**
  - “General knapsack” derived from SIK is not general enough!
  - This special knapsack is easy to solve!



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# RSA



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- The most difficult computation?

Addition	Multiplication	Factorization
Easy		Difficult
$\begin{array}{r} 123 \\ + 654 \\ \hline 777 \end{array}$	$\begin{array}{r} 123 \\ \times 654 \\ \hline 492 \\ 615 \\ 738 \\ \hline 80442 \end{array}$	$\begin{array}{l} 221 = ? \times ? \\ 221/2 = \\ 221/3 = \\ 221/5 = \\ 221/7 = \\ 221/11 = \\ 221/13 = \\ 221 = 13 \times 17 \end{array}$

# RSA



- Invented by Cocks (GCHQ), independently, by **Rivest, Shamir and Adleman** (MIT)
- Let  $p$  and  $q$  be two large prime numbers
- Let  $N = pq$  be the modulus
- Choose  $e$  relatively prime to  $(p-1)(q-1)$
- Find  $d$  s.t.  $ed = 1 \bmod (p-1)(q-1)$
- **Public key** is  $(N, e)$
- **Private key** is  $d$

# RSA



- To encrypt message **M** compute
  - $C = M^e \bmod N$
- To decrypt **C** compute
  - $M = C^d \bmod N$
- Recall that **e** and **N** are public
- If attacker can factor **N**, he can use **e** to easily find **d** since  $ed = 1 \bmod (p-1)(q-1)$
- **Factoring the modulus breaks RSA**
- It is not known whether factoring is the only way to break RSA

# DOES RSA REALLY WORK?



- Given  $C = M^e \bmod N$  we must show

$$M = C^d \bmod N = M^{ed} \bmod N \quad \text{where } M < N$$

- **Euler's Theorem**

If  $M$  is relatively prime to  $N$  then

$$M^{\phi(N)} = 1 \bmod N \quad \text{where } \phi(N) \text{ is totient function}$$

- Facts:
  - $ed = 1 \bmod (p-1)(q-1)$

- By definition of “mod”,  $ed = k(p-1)(q-1) + 1$

# DOES RSA REALLY WORK?



- Facts:

- $ed = 1 \bmod (p-1)(q-1) \quad ed = k(p-1)(q-1) + 1$

- By definition of “mod”,

- $\phi(N) = (p-1)(q-1)$

- Then  $ed - 1 = k(p-1)(q-1) = k\phi(N)$

- Prove

$$\begin{aligned} M^{ed} &= M^{(ed-1)+1} = M \bullet M^{ed-1} = M \bullet M^{k\phi(N)} \\ &= M \bullet (M^{\phi(N)})^k \bmod N = M \bullet (1)^k \bmod N \\ &= M \bmod N \end{aligned}$$

# SIMPLE RSA EXAMPLE



- Example of RSA
  - Select “large” primes  $p = 11, q = 3$
  - Then  $N = pq = 33$  and  $(p-1)(q-1) = 20$
  - Choose  $e = 3$  (relatively prime to 20)
  - Find  $d$  such that  $ed = 1 \pmod{20}$ , we find that  $d = 7$  works
- **Public key:**  $(N, e) = (33, 3)$
- **Private key:**  $d = 7$



# SIMPLE RSA EXAMPLE



- **Public key:**  $(N, e) = (33, 3)$

- **Private key:**  $d = 7$

- Suppose message  $M = 8$

- Ciphertext  $C$  is computed as

- $$C = M^e \bmod N = 8^3 = 512 = 17 \bmod 33$$

- Decrypt  $C$  to recover the message  $M$  by

$$\begin{aligned} M &= C^d \bmod N = 17^7 = 410,338,673 \bmod 33 \\ &= 12,434,505 \times 33 + 8 = 8 \bmod 33 \end{aligned}$$

# MORE EFFICIENT RSA (I)



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- Modular exponentiation example
  - $5^{20} = 95367431640625 = 25 \text{ mod } 35$
- A better way: **repeated squaring**
  - $20 = 10100 \text{ base } 2$
  - $(1, 10, 101, 1010, 10100) = (1, 2, 5, 10, 20)$
  - Note that  $2 = 1 \cdot 2$ ,  $5 = 2 \cdot 2 + 1$ ,  $10 = 2 \cdot 5$ ,  $20 = 2 \cdot 10$
  - $5^1 = 5 \text{ mod } 35$
  - $5^2 = (5^1)^2 = 5^2 = 25 \text{ mod } 35$
  - $5^5 = (5^2)^2 \cdot 5^1 = 25^2 \cdot 5 = 3125 = 10 \text{ mod } 35$
  - $5^{10} = (5^5)^2 = 10^2 = 100 = 30 \text{ mod } 35$
  - $5^{20} = (5^{10})^2 = 30^2 = 900 = 25 \text{ mod } 35$
- **Never have to deal with huge numbers!**

# MORE EFFICIENT RSA (2)



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- Let  $e = 3$  for all users (but not same  $N$  or  $d$ )
  - Public key operations only require 2 multiplies
  - Private key operations remain “expensive”
  - If  $M < N^{1/3}$  then  $C = M^e = M^3$  and **cube root attack**
    - (mod  $N$ ) operation has no effect
  - For any  $M$ , if  $C_1, C_2, C_3$  sent to 3 users, cube root attack works (**uses Chinese Remainder Theorem**)
  - Can prevent cube root attack by padding message with random bits
- Note:  $e = 2^{16} + 1$  also used: Protect CRT attack