

# CSP Chapter 1: Processes

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Extreme Multiprogramming 3  
November 26, 2013

# Overview

- ▶ Today: single, deterministic processes and events.
  - ▶ concurrency, nondeterminism, and channel-based communication next times
- ▶ Will follow book fairly closely, but with a few refinements. Compared to book, view CSP as
  - ▶ less of an abstract mathematical theory of processes
  - ▶ more of a concrete programming notation
- ▶ Two parts:
  1. Constructing processes
  2. Reasoning about processes

# Processes

- ▶ A CSP *process* is an independent unit of behavior.
- ▶ Somewhat like a *function* in ML or Haskell, but executes concurrently with other processes in system.
  - ▶ Exchange of information intermixed with computation, instead of only at start and end (call/return).
- ▶ May be named or anonymous (expressed directly as a combination of simpler processes).
- ▶ The other processes in the system are called the *environment* of the process.
- ▶ Running examples of processes: vending machines, customers.
- ▶ Abstract naming:  $P$ ,  $Q$ ,  $R$ , ...

# Events

- ▶ Events are the basic CSP construct for *synchronization* and *information exchange*.
- ▶ Considered *indivisible* and *instantaneous*, at the relevant level of abstraction.
- ▶ May require active participation (or at least acceptance) from multiple processes to happen.
- ▶ **Examples:** depositing coins, dispensing products, ...
- ▶ Event names may be atomic (e.g., “*coke*”) or structured (“*coin.10*”).
- ▶ Notation: events *x*, *y*, *z*, ...; event sets: *A*, *B*, *C*, ...

# Alphabets

- ▶ The set of events in which a process may *in principle* participate.
- ▶ **Notation:**  $\alpha P = A$ , “Process  $P$ ’s alphabet is  $A$ ”.
- ▶ May be finite or infinite
- ▶ Acts as the *type* of a process.
  - ▶ static semantics: disallow combinations of incompatible processes
  - ▶ dynamic semantics: determines which processes must participate for an event to happen
- ▶ **Examples:**
  - ▶ vending machine:  $\{\text{coin}, \text{coke}, \text{sprite}, \text{noise}\}$
  - ▶ customer:  $\{\text{coin}, \text{coke}, \text{sprite}, \text{drink}, \text{talk}, \dots\}$

## The finished process

- ▶ **Notation:**  $P = STOP_A$ . (Alphabet  $A$  may be omitted when clear from context)
- ▶ The completely inactive process, refuses to participate in any events.
- ▶ Often undesirable: models *deadlock*.
- ▶ Crucial that alphabet is known.
  - ▶ Determines which events the process is *expected* to participate in (and may hence block), once we consider concurrent composition.

# Prefixing

- ▶ **Notation:**  $P = x \rightarrow Q$ , pronounced “ $x$ , then  $Q$ ”
  - ▶  $\alpha P = \alpha Q = A$
  - ▶ Requires  $x \in A$
- ▶ The process that first participates in event  $x$ , and then behaves as  $Q$ .
- ▶ **Note:** can only prefix by an event, not by a general process; “ $P \rightarrow Q$ ” is a *syntax error*.
  - ▶ Later, will define general sequencing “ $P; Q$ ” in terms of parallel composition (conceptually!)
- ▶ **Example:**  $VM = coin \rightarrow coke \rightarrow STOP_{\{coin, coke, sprite\}}$

# Enumerated choice

- ▶ **Notation:**  $P = (x_1 \rightarrow P_1 \mid \cdots \mid x_n \rightarrow P_n), n \geq 2$ 
  - ▶ requires  $\alpha P_1 = \cdots = \alpha P_n = A (= \alpha P)$
  - ▶ requires  $x_1, \dots, x_n \in A$
  - ▶ requires  $x_1, \dots, x_n$  pairwise distinct
- ▶ Process that can participate in any of events  $x_1 \dots x_n$ , and then continues with chosen  $P_i$
- ▶ Process *offers* choices (“menu”), environment *selects* one.
- ▶ **Example:**  $VMC = coin \rightarrow (coke \rightarrow STOP \mid sprite \rightarrow STOP)$
- ▶ **Note:** can only choose between events, not general processes;  
 $((x_1 \rightarrow P_1) \mid (x_2 \rightarrow P_2))$  is a *syntax error*!
  - ▶ Later will introduce *general choice* between full processes, e.g.,  
 $(x_1 \rightarrow P_1) \square (x_2 \rightarrow P_2)$ .



## On syntax errors

- ▶ Seriously, **DON'T EVER WRITE:**

$((x_1 \rightarrow P_1) \mid (x_2 \rightarrow P_2))$  or  $(P \mid Q)$

- ▶ Since there are no laws for such processes, you'll have to invent your own to make further progress.
  - ▶ And such “laws” are almost always incorrect.
  - ▶ E.g.,  $(P \mid Q) \parallel R = (P \parallel R) \mid (Q \parallel R)$ .
    - ▶ Doesn't hold even with  $\square$  in place of  $\mid$
    - ▶ “When you find yourself in a hole, quit digging!”
- ▶ By handing in “proofs” with syntactically ill-formed choices, you are signalling:
  1. That you didn't read the book (p. 9 bottom).
  2. That you skipped (or paid no attention to) this lecture.
  3. That you didn't even look at the slides.

# Parametric choice

- ▶ **Notation:**  $P = (v: B \rightarrow Q(v))$ 
  - ▶  $v$  is a *variable* ranging over events
  - ▶  $Q(v)$  indicates that  $Q$  may depend on  $v$ .  
 $Q(x)$  is the result of replacing  $v$  in  $Q$  with  $x$ .
  - ▶  $\alpha P = \alpha Q = A$ , requires  $B \subseteq A$ .
- ▶ Process that offers a choice between all of the events in  $B$ .
- ▶ **Examples:**

$$VMC = coin \rightarrow (v: \{coke, sprite\} \rightarrow STOP)$$

$$VMD = (v: \{coin.10, coin.20\} \rightarrow$$

if  $v = coin.10$  then  $coke \rightarrow STOP$

else  $sprite \rightarrow STOP$ )

$$INC = (v: \{in.n \mid n \in \mathbb{N}\} \rightarrow out.(msg(v) + 1) \rightarrow STOP)$$

where  $msg(in.n) = n$
- ▶ Note: simple computations and tests on variables are allowed.

# Everything is a parametric choice!

By suitably choosing  $B$  and  $Q(v)$ , we can see deadlock, prefixing, and enumerated choice as special cases of parametric choice:

$$\begin{aligned}
 STOP_A &= (v : \{\} \rightarrow Q) \quad (Q \text{ arbitrary}) \\
 x \rightarrow P &= (v : \{x\} \rightarrow P) \\
 (x_1 \rightarrow P_1 \mid \cdots \mid x_n \rightarrow P_n) &= (v : \{x_1, \dots, x_n\} \rightarrow Q(v)), \text{ where} \\
 &\quad Q(x_1) = P_1 \\
 &\quad \vdots \\
 &\quad Q(x_n) = P_n
 \end{aligned}$$

# POP QUIZ

What do these machines do (if anything)?

- ▶  $VMX = coin \rightarrow (coin \rightarrow coke \rightarrow STOP \mid sprite \rightarrow STOP)$
- ▶  $VMY = coin \rightarrow (v : \{coin, sprite\} \rightarrow coke \rightarrow STOP)$
- ▶  $VMZ = coin \rightarrow (v : \{coin, sprite\} \rightarrow$   
if  $v = coin$  then  $coke \rightarrow STOP$  else  $STOP)$

# Recursion

- ▶ Have already used *abbreviations*  $X = P$ , where  $X$  is a process name.
- ▶ Process definitions may also be recursive:  $P$  may refer to  $X$ .
- ▶ Can even have mutual recursion. In general, we work with a whole *process system*:

$$X_1 \triangleq P_1, \dots, X_n \triangleq P_n$$

- ▶ **Examples:**

$$VM \triangleq \text{coin} \rightarrow \text{coke} \rightarrow VM$$

$$VMA \triangleq \text{coin} \rightarrow VMB$$

$$VMB \triangleq (\text{coke} \rightarrow VMA \mid \text{sprite} \rightarrow VMB)$$

- ▶ **Note:** recursion must be *guarded*: can only recurse after engaging in at least one event. This is illegal (for now):

$$MYSTERY \triangleq MYSTERY$$

# Alphabets of recursive processes

- ▶ With recursion, cannot tell alphabet just from definition;

$$VM \triangleq \text{coin} \rightarrow \text{coke} \rightarrow VM$$

might be a (partially empty) machine that also sells *sprites*.

- ▶ Must either declare alphabet in definition:

$$VM: \{\text{coin}, \text{coke}, \text{sprite}\} \triangleq \text{coin} \rightarrow \text{coke} \rightarrow VM$$

or separately (cf. Haskell type annotations):

$$\alpha VM = \{\text{coin}, \text{coke}, \text{sprite}\}$$

$$VM \triangleq \text{coin} \rightarrow \text{coke} \rightarrow VM$$

- ▶ **Remember:** knowing the declared alphabet of a process will be crucial once we get to parallel composition.

## Parameterized recursive processes

- Convenient to also allow *families* of process definitions:

$$X_{v_1, \dots, v_n} \triangleq P(v_1, \dots, v_n)$$

- **Example:** a coke costs 3 coins:

$$VM \triangleq VM_0$$

$$VM_n \triangleq \text{if } n = 3 \text{ then } \text{coke} \rightarrow VM_0 \text{ else } \text{coin} \rightarrow VM_{n+1}$$

Corresponds to 4 mutually recursive processes:

$$VM \triangleq \text{coin} \rightarrow VM'$$

$$VM' \triangleq \text{coin} \rightarrow VM''$$

$$VM'' \triangleq \text{coin} \rightarrow VM'''$$

$$VM''' \triangleq \text{coke} \rightarrow VM$$

- $v_1 \dots v_n$  keep track of the *local state* of a process.

# Anonymous recursive processes

- ▶ Sometimes convenient to introduce *local recursion*.
- ▶ **Notation:**  $P = \mu X : A. Q(X)$  [or  $\mu X : A \bullet Q(X)$ ]
  - ▶ Alphabet  $A$  may be omitted when clear from context.

- ▶ **Example:**

$VM = \text{coin} \rightarrow \mu X : \{\text{coin}, \text{coke}\}. \text{coin} \rightarrow \text{coke} \rightarrow X$   
equivalent to global definitions:

$$\begin{aligned} VM &\triangleq \text{coin} \rightarrow VM' \\ VM' &\triangleq \text{coin} \rightarrow \text{coke} \rightarrow VM', \end{aligned}$$

but  $VM'$  cannot be used by other processes.

- ▶ **Hint:** Avoid  $\mu$ -notation, unless specifically asked for.
  - ▶ Doesn't scale well to recursive processes with parameters, mutual recursion.



# Pictures (transition diagrams)

- ▶ (Not to be confused with *composition diagrams* later)
- ▶ Can draw processes like automata. Directed graph:
  - ▶ Nodes: process states
  - ▶ *Labeled* arcs: events
  - ▶ *Unlabeled* arcs: expansion of recursive definitions
- ▶ Determinism requirement: every node has either:
  1. zero or more outgoing arcs labelled with *distinct* events, or
  2. exactly one unlabelled outgoing arc.
- ▶ Guardedness requirement:
  - ▶ no all-unlabelled cycles.

# Reasoning

Will work with two kinds of reasoning:

1. Equational: processes are equivalent,  $P = P'$ .
  - ▶ Requires  $\alpha P = \alpha P'$ .
  - ▶ Reasoning is independent of what  $P$  and  $P'$  are meant to do.
  - ▶ Compositionality principle: replacing equals by equals inside process expressions.
2. Relational/logical: process satisfies specification,  $P \text{ sat } S$ .
  - ▶ Used for expressing more complicated properties than behaving exactly the same as another process.
  - ▶ Builds on notion of *traces*.
  - ▶ Compositionality principle: showing that system satisfies top-level specification because components satisfy theirs.

# Laws for parametric choice

- ▶ Recall that deadlock, prefixing, and enumerated choice are all special cases of  $(\nu: B \rightarrow P(\nu))$ .

- ▶ **Fundamental law:**

$$(\nu: B \rightarrow P(\nu)) = (w: B' \rightarrow P'(w)) \Leftrightarrow \\ B = B' \wedge \forall x \in B. P(x) = P'(x).$$

Note: book uses  $\equiv$  for  $\Leftrightarrow$ .

- ▶ Some immediate consequences:

- ▶  $STOP \neq (x \rightarrow P)$  [because  $B = \{\}$ ,  $B' = \{x\}$ ]
- ▶  $(x_1 \rightarrow P_1 \mid x_2 \rightarrow P_2) = (x_2 \rightarrow P_2 \mid x_1 \rightarrow P_1)$   
[because  $B = \{x_1, x_2\}$ ,  $B' = \{x_2, x_1\} = B$ , and  $P(x) = P'(x)$ ]
- ▶  $(x \rightarrow P) = (x \rightarrow Q) \Leftrightarrow P = Q$ .  
[“ $\Rightarrow$ ” direction particularly useful for showing *non-equality*]

# Laws for recursion

When recursion is guarded, need just two reasoning principles:

- ▶ *Validity* of recursive definitions:
  - ▶ **Law:** If declarations include  $X \triangleq P$  then  $X = P$  (unfolding)
    - ▶ (completely implicit in book's notation)
  - ▶ Note: can also be used to replace  $P$  by  $X$  (folding)
- ▶ *Uniqueness* of recursive definitions:
  - ▶ **Law:** If declarations include  $X \triangleq P(X)$  and  $Y \triangleq Q(Y)$ , and if  $P(Z) = Q(Z)$  for all  $Z$ , then  $X = Y$ .
- ▶ Easy example: free coke

$$VM1 \triangleq \text{coke} \rightarrow VM1, \quad VM2 \triangleq \text{coke} \rightarrow \text{coke} \rightarrow VM2$$

$$\begin{aligned} VM1 &= \text{coke} \rightarrow VM1 \quad [\text{by unfolding } VM1] \\ &= \text{coke} \rightarrow \text{coke} \rightarrow VM1 \quad [\text{by unfolding } VM1 \text{ again}] \end{aligned}$$

$$\text{so } P(Z) = Q(Z) = \text{coke} \rightarrow \text{coke} \rightarrow Z$$

## Larger example

$$VM1 \triangleq \text{coin} \rightarrow (\text{coin} \rightarrow \text{coke} \rightarrow VM1 \mid \text{sprite} \rightarrow VM1)$$

$$VM2 \triangleq \text{coin} \rightarrow VM2'$$

$$VM2' \triangleq (\text{sprite} \rightarrow \text{coin} \rightarrow VM2' \mid \text{coin} \rightarrow \text{coke} \rightarrow VM2)$$

Does  $VM1 = VM2$ ? Let us rewrite  $VM2$  using the laws:

$$\begin{aligned} \underline{VM2} &= \text{coin} \rightarrow \underline{VM2'} \\ &= \text{coin} \rightarrow (\text{sprite} \rightarrow \text{coin} \rightarrow VM2' \mid \text{coin} \rightarrow \text{coke} \rightarrow VM2) \\ &= \text{coin} \rightarrow (\text{coin} \rightarrow \text{coke} \rightarrow VM2 \mid \text{sprite} \rightarrow \underline{\text{coin} \rightarrow VM2'}) \\ &= \text{coin} \rightarrow (\text{coin} \rightarrow \text{coke} \rightarrow VM2 \mid \text{sprite} \rightarrow VM2) \end{aligned}$$

Again LHS and RHS are now identical up to the choice of process name.

# POP QUIZ: Equivalences

- Consider definitions:

$$\begin{aligned}\alpha VM1 = \alpha VM2 &= \{coin, coke, sprite\} \\ VM1 &\triangleq coke \rightarrow coke \rightarrow VM1 \\ VM2 &\triangleq coke \rightarrow coke \rightarrow coke \rightarrow VM2\end{aligned}$$

Is  $VM1 = VM2$ ? Why or why not? How can we (dis)prove it?

- Consider these:

$$\begin{aligned}\alpha VM3 = \alpha VM4 &= \{coin, coke, sprite\} \\ VM3 &\triangleq coke \rightarrow sprite \rightarrow VM3 \\ VM4 &\triangleq sprite \rightarrow coke \rightarrow VM4\end{aligned}$$

Is  $VM3 = VM4$ ? Why or why not? How can we (dis)prove it?

# Traces

- ▶ A *trace* of a process is any sequence of events that the process *could* be observed to engage in, when placed in a suitable environment.
  - ▶ Variables  $s$ ,  $t$ ,  $u$  range over traces.  $s = \langle x_0, \dots, x_{n-1} \rangle$ ,  $n \geq 0$
  - ▶ **Note:** a single trace is always finite, but in general, a process may have arbitrarily long traces.
  - ▶ Set of all possible traces of a process  $P$  is written  $traces(P)$ .
  - ▶ **Examples:**
    - ▶  $traces(\text{coin} \rightarrow \text{coke} \rightarrow STOP) = \{ \langle \rangle, \langle \text{coin} \rangle, \langle \text{coin}, \text{coke} \rangle \}$
    - ▶  $traces(\mu X. (\text{sprite} \rightarrow X \mid \text{coke} \rightarrow STOP)) =$   
 $\{ \langle \rangle, \langle \text{sprite} \rangle, \langle \text{coke} \rangle, \langle \text{sprite}, \text{sprite} \rangle, \langle \text{sprite}, \text{coke} \rangle,$   
 $\langle \text{sprite}, \text{sprite}, \text{sprite} \rangle, \langle \text{sprite}, \text{sprite}, \text{coke} \rangle, \dots \}$
- (will see formal definition later)

## Trace operations: concatenation

- ▶ **Notation:**  $s^{\wedge}t$ .
- ▶ **Def:**  $\langle x_0, \dots, x_{n-1} \rangle^{\wedge} \langle y_0, \dots, y_{m-1} \rangle = \langle x_0, \dots, x_{n-1}, y_0, \dots, y_{m-1} \rangle$
- ▶ **Laws** (follow directly from definition):

$$\langle \rangle^{\wedge} s = s$$

$$s^{\wedge} \langle \rangle = s$$

$$(s^{\wedge}t)^{\wedge}u = s^{\wedge}(t^{\wedge}u)$$



## Trace operations: length

- ▶ **Notation:**  $\#s$ .
- ▶ **Def:**  $\#\langle x_0, \dots, x_{n-1} \rangle = n$
- ▶ **Laws:**

$$\#\langle \rangle = 0$$

$$\#\langle x \rangle = 1$$

$$\#(s^{\wedge} t) = \#s + \#t$$

# Trace operations: restriction

- ▶ **Notation:**  $s \upharpoonright B$
- ▶ **Def. (informal):** the subsequence of events from  $s$  that belong to  $B$ .
- ▶ **Laws:**

$$\begin{aligned}
 \langle \rangle \upharpoonright B &= \langle \rangle \\
 \langle x \rangle \upharpoonright B &= \langle x \rangle, \quad \text{if } x \in B \\
 \langle x \rangle \upharpoonright B &= \langle \rangle, \quad \text{if } x \notin B \\
 (s \hat{\ } t) \upharpoonright B &= (s \upharpoonright B) \hat{\ } (t \upharpoonright B)
 \end{aligned}$$

- ▶ Event counting:  $s \downarrow x = \#(s \upharpoonright \{x\})$
- ▶ **Example:**  $\langle \text{coin}, \text{coke}, \text{coin}, \text{coke}, \text{coke} \rangle \downarrow \text{coke} = 3$

## Prefix ordering on traces

- ▶ **Notation:**  $s \leq t$
- ▶ **Def:**  $s \leq t \iff \exists u. s \hat{~} u = t$
- ▶ **Laws** (*partial order laws*):
  - ▶  $s \leq s$  (reflexivity)
  - ▶ If  $s \leq t$  and  $t \leq u$  then  $s \leq u$  (transitivity)
  - ▶ If  $s \leq t$  and  $t \leq s$  then  $s = t$  (antisymmetry)
- ▶ **Note:** not a *total* order: can have  $s \not\leq t$  and  $t \not\leq s$ .

# Traces of processes

- Traces of various process forms:

$$\begin{aligned}
 \text{traces}(\text{STOP}_A) &= \{\langle \rangle\} \\
 \text{traces}(x \rightarrow P) &= \{\langle \rangle\} \cup \{\langle x \rangle^s \mid s \in \text{traces}(P)\} \\
 \text{traces}((x \rightarrow P \mid y \rightarrow Q)) &= \{\langle \rangle\} \cup \{\langle x \rangle^s \mid s \in \text{traces}(P)\} \\
 &\quad \cup \{\langle y \rangle^s \mid s \in \text{traces}(Q)\} \\
 \text{traces}(v: B \rightarrow P(v)) &= \{\langle \rangle\} \cup \{\langle x \rangle^s \mid x \in B, s \in \text{traces}(P(x))\} \\
 (\text{traces}(X) &= \text{traces}(P) \quad \text{when } X \triangleq P)
 \end{aligned}$$

- Note:** traces are prefix-closed: if  $t \in \text{traces}(P)$  and  $s \leq t$ , then  $s \in \text{traces}(P)$ .
- Hint:** To show  $s \in \text{traces}(P)$ , do *not* try to write out all of  $\text{traces}(P)$  first.
  - Rather, let argument be guided by actual structure of  $s$ .
  - Ex:**  $\langle y, z \rangle \in \text{traces}((x \rightarrow P \mid y \rightarrow Q))$  if  $\langle z \rangle \in \text{traces}(Q)$ .

# Trace refinement

- ▶ Will later introduce a notion of *trace refinement*:

$$P \sqsubseteq_T Q \iff \text{traces}(Q) \subseteq \text{traces}(P)$$

- ▶ There are tools (e.g., FDR) that can quickly verify that  $P \sqsubseteq_T Q$ , or find a specific counterexample.
- ▶  $P$  and  $Q$  are called *trace-equivalent*,  $P =_T Q$ , if  $\text{traces}(P) = \text{traces}(Q)$ .
  - ▶ I.e., if both  $P \sqsubseteq_T Q$  and  $Q \sqsubseteq_T P$ .
- ▶ For *deterministic* processes only: If  $P =_T Q$ , then  $P = Q$ .
  - ▶ With nondeterminism, this will no longer hold; will also need to look at *refusals*.
  - ▶ Even for deterministic processes, this is almost never the easiest way to establish equality of processes.

# Residuation

- ▶ If  $s \in \text{traces}(P)$ , then  $P / s$  (" $P$  after  $s$ ") is the *residual process* after having executed  $s$ .

- ▶ **Ex:**  $VM \triangleq \text{coin} \rightarrow (\text{coin} \rightarrow \text{coke} \rightarrow VM \mid \text{sprite} \rightarrow VM)$

$$VM / \langle \rangle = VM$$

$$VM / \langle \text{coin} \rangle = (\text{sprite} \rightarrow VM \mid \text{coin} \rightarrow \text{coke} \rightarrow VM)$$

$$VM / \langle \text{coin}, \text{sprite} \rangle = VM$$

$$VM / \langle \text{coin}, \text{coin} \rangle = \text{coke} \rightarrow VM$$

$$VM / \langle \text{coin}, \text{coin}, \text{coke} \rangle = VM$$

- ▶ **Laws:**

$$P / \langle \rangle = P$$

$$P / (s \hat{\ } t) = (P / s) / t$$

$$(v : B \rightarrow P(v)) / \langle x \rangle = P(x) \quad (\text{must have } x \in B)$$

$$(X / s = P / s \text{ when } X \triangleq P)$$

## More operations on traces (see book)

- ▶ head,  $s_0$
- ▶ tail,  $s'$
- ▶ map,  $f^*(s)$
- ▶ catenation of subsequences,  $\wedge/s$
- ▶ reversal:  $\bar{s}$
- ▶ sequencing,  $s; t$
- ▶ interleaving:  $s$  interleaves  $(t, u)$

# Specifications

- ▶ A *specification* of a process is an assertion about all its traces.
- ▶ **Notation:**  $P \text{ sat } S$ , where  $S$  is a logical formula involving the special variable  $tr$ .
- ▶ **Def.**  $P \text{ sat } S(tr) \equiv \forall s \in \text{traces}(P). S(s)$
- ▶ Immediate consequences of definition:
  - ▶ **Law:** if  $P = Q$ , and  $Q \text{ sat } S$ , then  $P \text{ sat } S$ . [Compositionality]
  - ▶ **Law:** If  $P \text{ sat } S$ , and  $P \text{ sat } T$ , then  $P \text{ sat } S \wedge T$ . [Conjunction]
  - ▶ **Law:** If  $P \text{ sat } S$ , and  $S \Rightarrow T$ , then  $P \text{ sat } T$ . [Implication]



# Proof rules, cf. def of $traces(P)$

- ▶ **Deadlock:**  $STOP \text{ sat } (tr = \langle \rangle)$ .
- ▶ **Prefix:**  
If  $P \text{ sat } S(tr)$ ,  
then  $(x \rightarrow P) \text{ sat } (tr = \langle \rangle \vee \exists s. tr = \langle x \rangle \wedge s \wedge S(s))$ .
- ▶ **Enumerated choice** (here for  $n = 2$ ):  
If  $P \text{ sat } S(tr)$  and  $Q \text{ sat } T(tr)$ ,  
then  $(x \rightarrow P \mid y \rightarrow Q) \text{ sat } (tr = \langle \rangle \vee \exists s. (tr = \langle x \rangle \wedge s \wedge S(s)) \vee (tr = \langle y \rangle \wedge s \wedge T(s)))$
- ▶ **Parametric choice:**  
If for all  $x \in B$ ,  $P(x) \text{ sat } S(tr, x)$ ,  
then  $(\nu: B \rightarrow P(\nu)) \text{ sat } (tr = \langle \rangle \vee \exists x, s. tr = \langle x \rangle \wedge s \wedge x \in B \wedge S(s, x))$ .
- ▶ **Recursion:**  
If  $STOP_A \text{ sat } S$  and for all  $Z$ ,  $(Z \text{ sat } S) \Rightarrow (P(Z) \text{ sat } S)$ ,  
then  $X \text{ sat } S$ , where  $X: A \triangleq P(X)$ .

# A satisfaction proof (1)

- ▶ Let  $VM: \{coin, coke\} \triangleq coin \rightarrow coke \rightarrow VM$ 
  - ▶ I.e.,  $VM \triangleq P(VM)$ , where  $P(Z) = coin \rightarrow coke \rightarrow Z$ .
- ▶ Want to show: in any trace of  $VM$ , the number of  $coke$  events is no greater than the number of  $coin$  events
  - ▶ Need not be equal: last  $coke$  may not have occurred yet
- ▶ Take  $S(tr) \equiv (tr \downarrow coke \leq tr \downarrow coin)$
- ▶ To show:  $VM \text{ sat } S(tr)$ , i.e.,  $VM \text{ sat } (tr \downarrow coke \leq tr \downarrow coin)$ .
- ▶ Recursion law base: Show  $STOP \text{ sat } (tr \downarrow coke \leq tr \downarrow coin)$ .
  - ▶  $STOP \text{ sat } tr = \langle \rangle$ , by Deadlock law.
  - ▶ Check:  $tr = \langle \rangle \Rightarrow tr \downarrow coke \leq tr \downarrow coin$ .
    - ▶ OK, since  $\langle \rangle \downarrow coke = \langle \rangle \downarrow coin = 0$ .
  - ▶ So  $STOP \text{ sat } (tr \downarrow coke \leq tr \downarrow coin)$  by Implication.

## A satisfaction proof (2)

- ▶ Inductive step: assume  $Z \text{ sat } tr \downarrow \text{ coke} \leq tr \downarrow \text{ coin}$ ;  
show  $(\text{coin} \rightarrow \text{coke} \rightarrow Z) \text{ sat } tr \downarrow \text{ coke} \leq tr \downarrow \text{ coin}$ .
  - ▶ By assumption on  $Z$  and Prefix, we have:  
 $(\text{coke} \rightarrow Z) \text{ sat } (tr = \langle \rangle \vee \exists s. tr = \langle \text{coke} \rangle^s \wedge s \downarrow \text{coke} \leq s \downarrow \text{coin})$ .
  - ▶ Let  $S'(tr) \equiv (tr \downarrow \text{coke} \leq 1 + tr \downarrow \text{coin})$
  - ▶ Check:  $(tr = \langle \rangle \vee \exists s. tr = \langle \text{coke} \rangle^s \wedge s \downarrow \text{coke} \leq s \downarrow \text{coin}) \Rightarrow S'(tr)$ 
    - ▶ If  $tr = \langle \rangle$ , then:  $\langle \rangle \downarrow \text{coke} = 0 \leq 1 = 1 + \langle \rangle \downarrow \text{coin}$ .
    - ▶ If  $tr = \langle \text{coke} \rangle^s$  and  $s \downarrow \text{coke} \leq s \downarrow \text{coin}$ , then:  

$$tr \downarrow \text{coke} = (\langle \text{coke} \rangle^s) \downarrow \text{coke} = 1 + s \downarrow \text{coke} \leq 1 + s \downarrow \text{coin} =$$

$$1 + (\langle \text{coke} \rangle^s) \downarrow \text{coin} = 1 + tr \downarrow \text{coin}.$$
  - ▶ Hence  $(\text{coke} \rightarrow Z) \text{ sat } S'(tr)$ , by Implication.
  - ▶ Analogously, by Prefix again:  
 $\text{coin} \rightarrow (\text{coke} \rightarrow Z) \text{ sat } (tr = \langle \rangle \vee \exists s. tr = \langle \text{coin} \rangle^s \wedge S'(tr))$ .
  - ▶ Check:  $(tr = \langle \rangle \vee \exists s. tr = \langle \text{coin} \rangle^s \wedge S'(tr)) \Rightarrow S(tr)$ .
  - ▶ Hence  $(\text{coin} \rightarrow \text{coke} \rightarrow Z) \text{ sat } S(tr)$ , qed.

## Assessment of satisfaction

- ▶  $P \text{ sat } S$  is a *safety* property: no matter what environment does,  $P$  will never engage in sequence of events that would violate  $S$ .
- ▶ Like *partial correctness* in Hoare logic: the program will not return incorrect results (but may not return at all).
  - ▶  $STOP \text{ sat } S$  for any specification  $S$  that is realizable at all.  
If  $P \text{ sat } S$  for some  $P$ , then also  $STOP \text{ sat } S$ .
- ▶ Also need *liveness* property: process will never deadlock, i.e.,  $P / s \neq STOP$  for all  $s \in \text{traces}(P)$ .
- ▶ For now, trivial: if no occurrence of  $STOP$ , and all recursion guarded, any process can always engage in at least one event.
  - ▶ Once we add concurrency, will need to think harder.

## For next time

- ▶ Read Chapter 1 again, if necessary.
- ▶ Read Chapter 2. May again skip Implementation sections and Section 2.8 on theory. Be sure to work through the examples.