

Correctness of INSERTION-SORT

INSERTION-SORT (A)

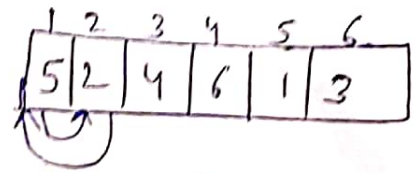
- 1) for $j = 2$ to $A.length$
- 2) $key = A[j]$
- 3) $i = j - 1$
- 4) while $i > 0$ and $A[i] > key$
- 5) $A[i+1] = A[i]$
- 6) $i = i - 1$
- 7) $A[i+1] = key$

Step(I) : Identify "Loop Invariant"

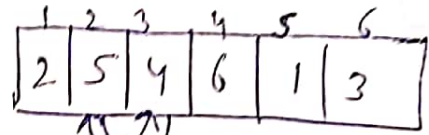
At the beginning of each iteration of the for loop [line 1 to 7], the subarray $A[1 \dots j-1]$ are the elements originally in $A[1 \dots j-1]$, but in sorted order.

Step(II) : Initialization

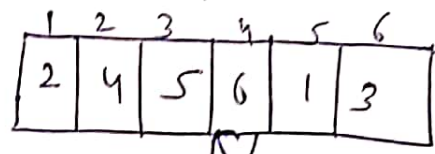
Prior to the first iteration of the for loop, the subarray $A[1 \dots j-1]$, contains only one element i.e. $A[1 \dots 1] = A[1]$, which is trivially in sorted order.



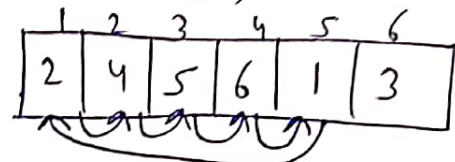
(a)



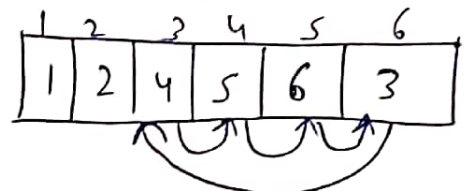
(b)



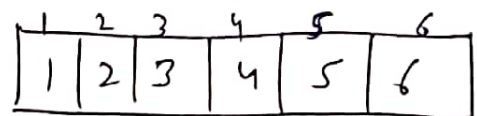
(c)



(d)



(e)



(f)

Step(III) : Maintenance

The body of the for loop works by moving $A[j-1]$, $A[j-2]$, $A[j-3]$, ... and so on, by one position to the right until it finds the proper position for $A[j]$; the point at which it inserts the value of $A[j]$.

The subarray $A[1 \dots j]$ then consists of the elements originally in $A[1 \dots j]$, but now in sorted order.

Incrementing j for the next iteration; preserves the loop invariant.

Step(IV) : Termination

The loop terminates when $j > A.length = n$.

$$\text{i.e. } \boxed{j = n+1}$$

So, the subarray $A[1 \dots j-1] = A[1 \dots n+1-1]$
 $= A[1 \dots n]$ consists

of the elements originally in $A[1 \dots n]$; but now in sorted order. Hence, the entire array $A[1 \dots n]$ is now sorted.

So, INSERTION-SORT(A) is correct.

Analysis of INSERTION-SORT (Time complexity of INSERTION-SORT)

<u>INSERTION-SORT(A)</u>	<u>cost</u>	<u>no. of times</u>
1) for $j = 2$ to $A.length$	c_1	n
2) $key = A[j]$	c_2	$n-1$
3) $i = j-1$	c_3	$n-1$
4) while $i > 0$ and $A[i] > key$	c_4	$\sum_{j=2}^n t_j$
5) $A[i+1] = A[i]$	c_5	$\sum_{j=2}^n (t_j - 1)$
6) $i = i-1$	c_6	$\sum_{j=2}^n (t_j - 1)$
7) $A[i+1] = key$	c_7	$n-1$

The running time $T(n)$ of INSERTION-SORT(A) can be expressed as:-

$$T(n) = c_1 n + c_2(n-1) + c_3(n-1) + c_4 \sum_{j=2}^n t_j + c_5 \sum_{j=2}^n (t_j - 1) \\ + c_6 \sum_{j=2}^n (t_j - 1) + c_7(n-1)$$

$$= c_1 n + c_2 n - c_2 + c_3 n - c_3 + c_4 \sum_{j=2}^n t_j + c_5 \sum_{j=2}^n (t_j - 1) \\ + c_6 \sum_{j=2}^n (t_j - 1) + c_7 n - c_7$$

$$= (c_1 + c_2 + c_3 + c_7) n + (-c_2 - c_3 - c_7) + c_4 \sum_{j=2}^n t_j + c_5 \sum_{j=2}^n (t_j - 1) \\ + c_6 \sum_{j=2}^n (t_j - 1)$$

Best-case Analysis (when array is already sorted)

In this case, "while" loop statement $A[i] > \text{key}$ is always FALSE, because $A[i] \leq \text{key}$ is satisfied.

$$\text{Thus, } \boxed{t_j = 1}$$

So, the running time can be expressed as :-

$$\begin{aligned} T(n) &= (c_1 + c_2 + c_3 + c_7)n + (-c_2 - c_3 - c_7) + c_4(n-1) \\ &= (c_1 + c_2 + c_3 + c_7)n + (-c_2 - c_3 - c_7) + c_4n - c_4 \\ &= (c_1 + c_2 + c_3 + c_7 + c_4)n + (-c_2 - c_3 - c_7 - c_4) \end{aligned}$$

which is of the form $an + b$ (linear function of n).

Worst-case Analysis (when array is in descending order)

In this case, $A[i] > \text{key}$ is always TRUE.

$$\text{Thus, } \boxed{t_j = j}$$

$$\text{So, } \sum_{j=2}^n j = \frac{n(n+1)}{2} - 1$$

$$\text{and } \sum_{j=2}^n (j-1) = \frac{n(n-1)}{2}$$

$$\begin{aligned} \text{So, } T(n) &= (c_1 + c_2 + c_3 + c_7)n + (-c_2 - c_3 - c_7) + c_4 \left[\frac{n(n+1)}{2} - 1 \right] \\ &\quad + c_5 \left[\frac{n(n-1)}{2} \right] + c_6 \left[\frac{n(n-1)}{2} \right] \end{aligned}$$

$$T(n) = (c_1 + c_2 + c_3 + c_7)n + (-c_2 - c_3 - c_7) + c_4\left(\frac{n^2 + n}{2} - 1\right) \\ + c_5\left(\frac{n^2 - n}{2}\right) + c_6\left(\frac{n^2 - n}{2}\right)$$

$$= \left(\frac{c_4}{2} + \frac{c_5}{2} + \frac{c_6}{2}\right)n^2 + \left(c_1 + c_2 + c_3 + c_7 + \frac{c_4}{2} - \frac{c_5}{2} - \frac{c_6}{2}\right)n \\ + (-c_2 - c_3 - c_7 - c_4)$$

which is of the form $an^2 + bn + c$ (quadratic function of n)