

# **A Comparative Study of Histogram Equalization Based Image Enhancement Techniques**

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# Introduction

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**Digital image processing** is the technology of applying a number of algorithms to process digital image. Basically it includes the following three steps:

- Importing the image via image acquisition tools.
- Analyzing and manipulating the image.
- Output (an altered image or image analysis report).

**Image enhancement:** A collection of techniques that seek to improve the visual appearance of an image or convert images to a form better suited for analysis by human or machine. In general two major approaches, one is **gray level statistics based** and the other is **spatial frequency content** based.

**The principle objective** of image enhancement is to modify attributes of an image to make it more suitable for a given task and a specific observer.

The existing image enhancement techniques can be classified into two categories:

- Spatial domain enhancement.
- Frequency domain enhancement.

Spatial domain techniques are performed to the image plane itself and are based on direct manipulation of pixels in an image.

**Histogram equalization** is a well known spatial domain enhancement technique due to its strong performance and easy algorithm in almost all types of images.

# Aims and objectives

- To describe and implement four popular methods of histogram equalization on images with different levels of contrast.
- To compare among these five methods using traditional as well as sophisticated metric.
- To illustrate the application of histogram in the field of image processing.

# Basic definitions and notations

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# Digital image

A digital image is a matrix representation of a two-dimensional image. It can be represented by the following matrix:

$$f(x, y) = \begin{pmatrix} f(0, 0) & f(0, 1) & \dots & f(0, N - 1) \\ f(1, 0) & f(1, 1) & \dots & f(1, N - 1) \\ \cdot & \cdot & \dots & \cdot \\ \cdot & \cdot & \dots & \cdot \\ \cdot & \cdot & \dots & \cdot \\ f(M - 1, 0) & f(M - 1, 1) & \dots & f(M - 1, N - 1) \end{pmatrix} \quad (1)$$

It is advantageous to use a more traditional matrix notation to denote a digital image and its elements:

## Basic definitions

$$\mathbf{A} = \begin{pmatrix} a_{0,0} & a_{0,0} & \dots & a_{0,N-1} \\ a_{1,0} & a_{1,0} & \dots & a_{1,N-1} \\ \cdot & \cdot & \dots & \cdot \\ \cdot & \cdot & \dots & \cdot \\ \cdot & \cdot & \dots & \cdot \\ a_{M-1,0} & a_{M-1,0} & \dots & a_{M-1,N-1} \end{pmatrix} \quad (2)$$

where,  $a_{i,j} = f(x = i, y = j) = f(i, j)$  and thus equations (1) and (2) are identical.

### **Pixel**

Each element in the matrix is called an image element, picture element, pixel or pel. Thus a digital image  $f(x, y)$  with  $M$  rows and  $N$  columns contains  $M \times N$  number of pixels or image elements. In spatial domain technique for image processing, operation is done on this pixel.

## Basic definitions

### Neighbors of a pixel

The 4-neighbors of pixel  $p$ ,  $N_4(p)$  are the four pixels located at (shaded square)  $(x+1, y)$ ,  $(x-1, y)$ ,  $(x, y+1)$ ,  $(x, y-1)$

$(x-1, y-1)$	$(x-1, y)$	$(x-1, y+1)$
$(x, y-1)$	$(x, y)$	$(x, y+1)$
$(x+1, y-1)$	$(x+1, y)$	$(x+1, y+1)$

**Table 1:** 8-neighborhood.

The four diagonal neighbors of pixel  $p$ ,  $N_D(p)$  are the four pixels located at

$(x+1, y+1)$ ,  $(x+1, y-1)$ ,  $(x-1, y+1)$ ,  $(x-1, y-1)$  and are denoted by  $N_D(p)$ . These points, together with the 4-neighbors, are called the 8-neighbors of  $p$ , denoted by  $N_8(p)$ .

### Bit-depth

It explains the number of possible colors from which a particular value can be selected by a pixel. For example: a binary image is an one bit image which can take any of thee two values: 0 or 1 (black or white). An 8 – *bit* gray-scale image can assign one of 256(  $2^8$ ) colors to a pixel. The number of  $b$  bits required to store a digital image of size  $M \times N$  with  $2^k$  gray level is,

$$b = M \times N \times k \quad (3)$$

1	1	1	1	1	1	1	1	1	1
1	0	0	0	1	1	0	0	0	1
1	1	0	1	1	1	1	0	1	1
1	1	0	1	1	1	1	0	1	1
1	1	0	1	1	1	1	0	1	1
1	1	0	0	0	0	0	0	1	1
1	1	0	1	1	1	1	0	1	1
1	1	0	1	1	1	1	0	1	1
1	1	0	1	1	1	1	0	1	1
1	0	0	0	1	1	0	0	0	1
1	1	1	1	1	1	1	1	1	1

**Figure 1:** A bi-tonal image, where pixels can take any of the two values namely 0 and 1.

# Basic definitions

## Image histogram

Image histogram provides information about brightness and contrast of an image. Discrete function  $h(r_k)$  showing the number of occurrences  $n_k$  for the  $k$ th gray level  $r_k$

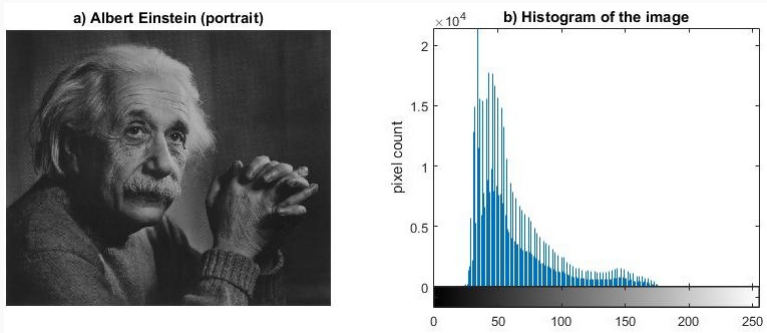
$$h(r_k) = n_k \quad (4)$$

A common practice is to normalize a histogram by dividing each of its values by the total number of pixels, denoted by  $n$ . So,

$$p(r_k) = \frac{n_k}{n}, \text{ for } k = 0, 1, \dots, L-1; \text{ and } \sum_0^{L-1} p(r_k) = 1 \quad (5)$$

# Basic definitions

## Image histogram



**Figure 2:** An 8-bit image of Albert Einstein and its histogram



# Methodology

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Four different histogram equalization techniques has been used:

1. Global Histogram Equalization(GHE).
2. Brightness Preserving Bi-Histogram Equalization(BBHE).
3. Equal Area Dualistic Sub Image Histogram Equalization (DSIHE).
4. Recursive Mean Separate Histogram Equalization (RMSHE).

# Mathematical formulation of GHE

Let,  $\mathbf{X} = \{X(i,j)\}$  is a given image composed in L (for 8-bit image  $L = 256$ ) discrete gray levels denoted as  $X_0, X_1, \dots, X_{L-1}$

- Calculate the probability density function.

$$p(X_k) = \frac{n_k}{n}, \text{ for } k = 0, 1, \dots, L-1 \quad (6)$$

- Calculate cumulative distribution function by

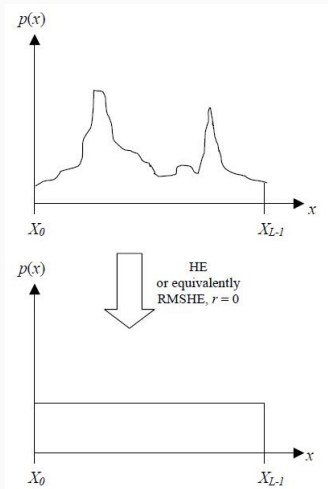
$$c(x_k) = \sum_{j=0}^k p(X_j), \text{ for } k = 0, 1, \dots, L-1 \quad (7)$$

- On the basis of CDF, Define transformation function  $f(x)$  as

$$f(X_k) = X_0 + (X_{L-1} - X_0)c(x_k) \quad (8)$$

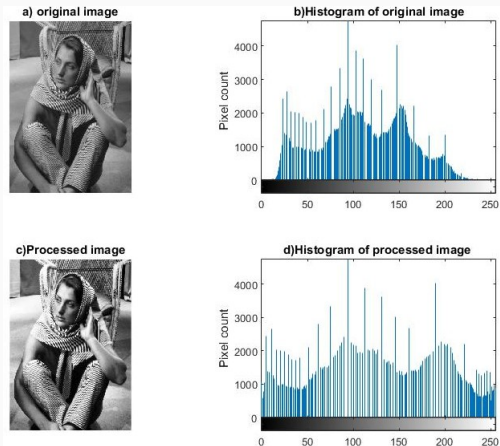
- Using the transformation function, calculate new intensity values

# Global Histogram Equalization(GHE)



**Figure 3:** Histogram before and after HE or equivalently, RMSHE,  $r = 0$ .

# An example of GHE



**Figure 4:** Contrast enhancement based on global histogram equalization.

# Brightness Preserving Bi-Histogram Equalization(BBHE)

- Partitions Histogram in two sub-histograms and equalize them independently.
- Proposed to minimize mean intensity change.
- Ultimate goal is to preserve brightness and enhance contrast.
- Image parameters such as mean gray-scale level used for partitioning.

# Equal Area Dualistic Sub Image Histogram Equalization (DSIHE).

This method is also known as Dualistic Sub Image Histogram Equalization(DSIHE).

- Image parameters such as median grayscale level used for partitioning.
- The input image is decomposed into two sub-images, being one dark and one bright.
- Then applies Histogram Equalization on two sub-images.

## Mathematical Formulation for BBHE and DSIHE

- Input image  $X(i, j)$  with gray levels 0 to 255.
- Image  $X(i, j)$  is segmented by a section with gray level of  $X_m$
- $X_m$  (mean in case of BBHE and median in case of DSIHE)
- The image is decomposed into two sub images  $X_L$  and  $X_U$ .
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$$\mathbf{X} = \mathbf{X}_L \cup \mathbf{X}_U$$

where

$$\mathbf{X}_L = \{X(i, j) | X(i, j) \leq X_m, \forall X(i, j) \in \mathbf{X}\}$$

and

$$\mathbf{X}_U = \{X(i, j) | X(i, j) > X_m, \forall X(i, j) \in \mathbf{X}\}$$



# Mathematical Formulation for BBHE and DSIHE

- $X_L$  is composed by gray level of  $\{l_0, l_1, \dots, l_m\}$ ,  $X_U$  is composed by gray level of  $\{l_{m+1}, l_{m+2}, \dots, l_{L-1}\}$
- Respective probability density functions of the sub-images are:

$$p_L(X_k) = \frac{n_L^k}{n_L}, \text{ for } k = 0, 1, \dots, m$$

and

$$p_U(X_k) = \frac{n_U^k}{n_U}, \text{ for } k = m+1, m+2, \dots, L-1$$

# Mathematical Formulation for BBHE and DSIHE

- $n_L^k$  and  $n_U^k$  are the numbers of  $X_k$
- $n_L = \sum_{k=0}^m n_L^k$  ,  $n_U = \sum_{k=m+1}^{L-1} n_U^k$
- The respective cumulative density function for  $\mathbf{X}_L$  and  $\mathbf{X}_U$  are :  $c_L(x) = \sum_{j=0}^k p_L(X_j)$  and  $c_U(x) = \sum_{j=m+1}^{L-1} p_U(X_j)$
- Transformation function defined for exploiting the cumulative density functions:  $f_L(x) = X_0 + (X_m - X_0)c_L(x)$  and  $f_U(x) = X_{m+1} + (X_{L-1} - X_{m+1})c_U(x)$

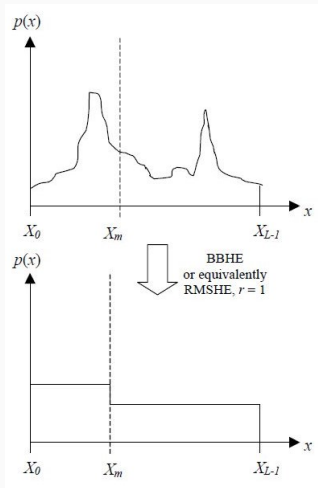
# Mathematical Formulation for BBHE and DSIHE

- Based on these transform functions, the decomposed sub-image are equalized independently.
- The composition of resulting equalized sub-images constitutes the output of BBHE or DSIHE as  $\mathbf{Y} = \{Y(i,j)\}$   
 $= f_L(\mathbf{X}_L) \cup f_U(\mathbf{X}_U)$  where  
 $f_L(\mathbf{X}_L) = \{f_L(X(i,j)) | \forall X(i,j) \in \mathbf{X}_L\}$  and  
 $f_U(\mathbf{X}_U) = \{f_U(X(i,j)) | \forall X(i,j) \in \mathbf{X}_U\}$

# Algorithm for BBHE

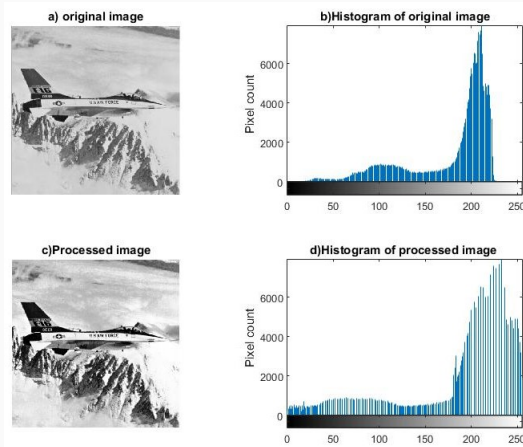
- Obtain the original image.
- Get histogram of the original image.
- Calculate mean of the histogram.
- Divide the histogram on the basis of the mean in two parts.
- Equalize each part independently using PDF and CDF.
- Combine both sub-images for the processed image

# Algorithm for BBHE



**Figure 5:** Histogram before and after BBHE or equivalently, RMSHE,  $r = 1$ .

# An example of BBHE

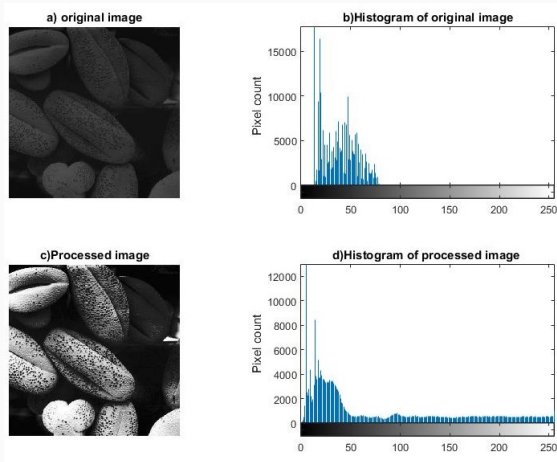


**Figure 6:** Contrast enhancement based on brightness preserving bi-histogram equalization.

# Algorithm for DSIHE

- Obtain the original image.
- Get histogram of the original image.
- Calculate median of the histogram.
- Divide the histogram on the basis of the median in two parts.
- Equalize each part independently using PDF and CDF.
- Combine both sub-images for the processed image

# An example of DSIHE



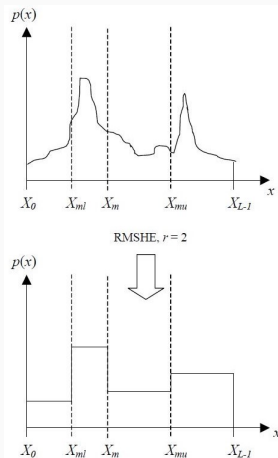
**Figure 7:** Contrast enhancement based on dualistic sub-image histogram equalization.



# Recursive Mean Separate Histogram Equalization (RMSHE)

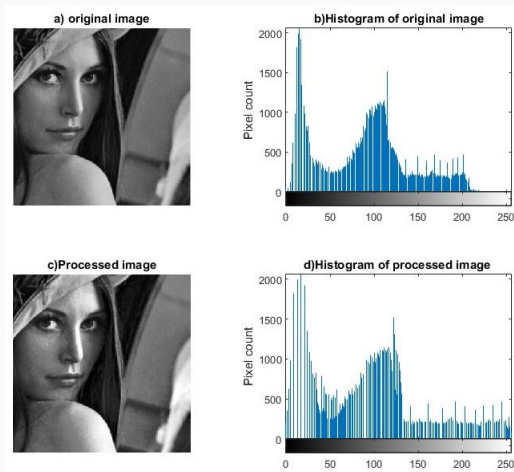
- Generalization of HE and BBHE in term of brightness preservation
- Recursively separating the input histogram based on the mean

# Recursive Mean Separate Histogram Equalization (RMSHE)



**Figure 8:** Recursive mean separated histogram equalization with recursion level  $r=2$

# An example of RMSHE



**Figure 9:** Contrast enhancement based on recursive mean separate histogram equalization.

## Results and discussion

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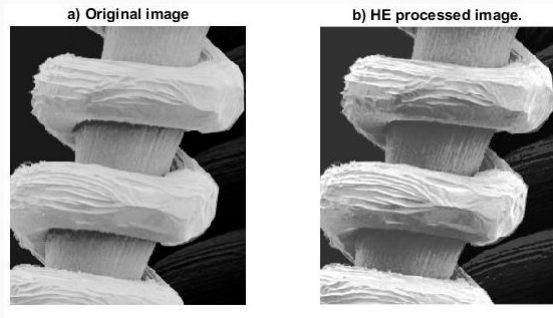
# Results and discussion

## Quality assessment

The following measurement are used to make comparison among the histogram equalization techniques

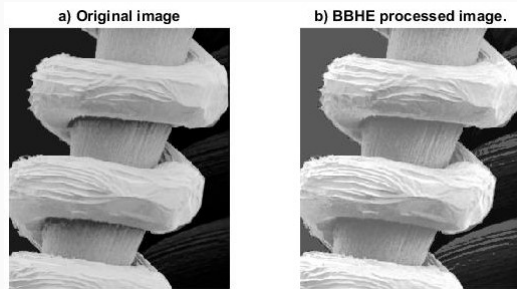
- **Mean squared error (MSE)** is the average of squared intensity differences distorted and reference image pixels. Lower value of MSE means that the image is of good quality.
- **Peak signal to noise ratio(PSNR)** is the ratio between the maximum possible power of a signal and the power of corrupting noise that affects the fidelity of its representation. It varies between 25 to 40 dB. Higher value of PSNR is good
- **Structural similarity index(SSIM)** varies between 0 to 1. The value 1 means, the image is of best quality.

## Global histogram equalization



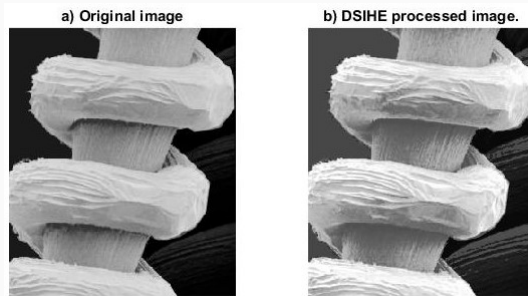
**Figure 10:** Contrast enhancement based on histogram equalization.

## Brightness preserving bi-histogram equalization



**Figure 11:** Contrast enhancement based on brightness preserving bi-histogram equalization.

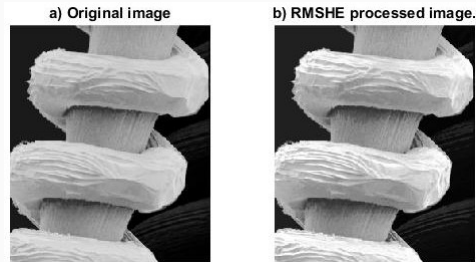
## Dualistic sub-image histogram equalization



**Figure 12:** Contrast enhancement based on dualistic sub-image histogram equalization.



## Recursive mean separate histogram equalization



**Figure 13:** Contrast enhancement based on recursive mean separate histogram equalization.

## Experimental results

Simulation results for 'Tungsten-filament' and 'Barbara' are presented in table 2 and 3. and

Methods	Mean	SD	SSIM	MSE	PSNR
Tungsten filament	128.11	75.31	–	–	–
GHE	127.71	73.5	0.79991	478.83	21.32
BBHE	150.5	69.05	0.80593	843.65	18.86
DSHE	140.43	72.94	0.79856	533.68	20.85
<b>RMSHE</b>	<b>133.99</b>	<b>79.97</b>	<b>0.90909</b>	<b>139.46</b>	<b>26.68</b>

**Table 2:** Comparison of various histogram equalization methods using objective image quality measures

Methods	Mean	SD	SSIM	MSE	PSNR
Barbara	111.5	48.15	–	–	–
GHE	127.48	73.88	0.875	969.18	18.26
BBHE	118.44	73.77	0.868	782.22	19.19
DSIHE	117.94	73.77	0.867	777.89	19.22
<b>RMSHE</b>	<b>115.93</b>	<b>61.01</b>	<b>0.937</b>	<b>243.36</b>	<b>24.26</b>

**Table 3:** Comparison of various histogram equalization methods using objective image quality measures

## Conclusion

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# Conclusion





- The experimental results shows that RMSHE processed 'Tungsten-filament' image has lowest MSE , highest PSNR and highest SSIM among these four techniques.
- Similar result shows for 'Barbara' image.

So, recursive mean separate histogram equalization(RMSHE) performs well according to this performance measure as well as visual assessment.

# Appendix

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## Reference i

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